



This is a digital copy of a book that was preserved for generations on library shelves before it was carefully scanned by Google as part of a project to make the world's books discoverable online.

It has survived long enough for the copyright to expire and the book to enter the public domain. A public domain book is one that was never subject to copyright or whose legal copyright term has expired. Whether a book is in the public domain may vary country to country. Public domain books are our gateways to the past, representing a wealth of history, culture and knowledge that's often difficult to discover.

Marks, notations and other marginalia present in the original volume will appear in this file - a reminder of this book's long journey from the publisher to a library and finally to you.

### Usage guidelines

Google is proud to partner with libraries to digitize public domain materials and make them widely accessible. Public domain books belong to the public and we are merely their custodians. Nevertheless, this work is expensive, so in order to keep providing this resource, we have taken steps to prevent abuse by commercial parties, including placing technical restrictions on automated querying.

We also ask that you:

- + *Make non-commercial use of the files* We designed Google Book Search for use by individuals, and we request that you use these files for personal, non-commercial purposes.
- + *Refrain from automated querying* Do not send automated queries of any sort to Google's system: If you are conducting research on machine translation, optical character recognition or other areas where access to a large amount of text is helpful, please contact us. We encourage the use of public domain materials for these purposes and may be able to help.
- + *Maintain attribution* The Google "watermark" you see on each file is essential for informing people about this project and helping them find additional materials through Google Book Search. Please do not remove it.
- + *Keep it legal* Whatever your use, remember that you are responsible for ensuring that what you are doing is legal. Do not assume that just because we believe a book is in the public domain for users in the United States, that the work is also in the public domain for users in other countries. Whether a book is still in copyright varies from country to country, and we can't offer guidance on whether any specific use of any specific book is allowed. Please do not assume that a book's appearance in Google Book Search means it can be used in any manner anywhere in the world. Copyright infringement liability can be quite severe.

### About Google Book Search

Google's mission is to organize the world's information and to make it universally accessible and useful. Google Book Search helps readers discover the world's books while helping authors and publishers reach new audiences. You can search through the full text of this book on the web at <http://books.google.com/>





HAQ







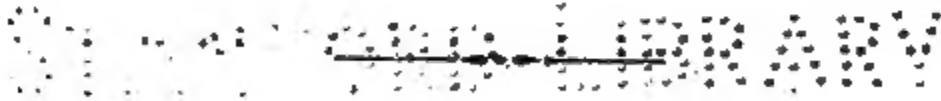
THE OFFICE OF SURROGATE,  
SURROGATES  
AND  
SURROGATES' COURTS,  
AND  
EXECUTORS, ADMINISTRATORS AND GUARDIANS  
IN THE  
STATE OF NEW YORK.

---

A COMPILATION OF THE STATUTES,  
AND A SUMMARY OF  
The Judicial Decisions of the State of New York,  
RELATING TO THE OFFICE OF SURROGATE, THE PROVING OF WILLS, THE GRANTING OF PROBATE,  
OF LETTERS TESTAMENTARY, OF ADMINISTRATION AND OF GUARDIANSHIP,  
AND THE POWERS, DUTIES, AND LIABILITIES OF EXECUTORS,  
ADMINISTRATORS, AND GUARDIANS,  
ARRANGED IN THE FORM OF A TREATISE,  
By ISAAC DAYTON.

Third Edition,

CONTAINING, ALSO, CHAPTERS ON PUBLIC ADMINISTRATORS, THE ADMEASUREMENT OF DOWER IN SURROGATES' COURTS, AND APPEALS FROM THE ORDERS AND DECREES OF SURROGATES,  
WITH AN APPENDIX OF FORMS  
AND PRECEDENTS FOR PRACTICE IN THE SURROGATES' COURTS, AND FOR THE USE OF EXECUTORS, ADMINISTRATORS, AND GUARDIANS.

  
BANKS & BROTHERS, LAW PUBLISHERS,  
NEW YORK: No. 144 NASSAU STREET;  
ALBANY: No. 475 BROADWAY.  
1861

**335238**

Entered according to act of Congress, in the year eighteen hundred and sixty-one

By ISAAC DAYTON,

in the office of the Clerk of the District Court of the U. S. for the southern district of N. Y.

Y8A881J 08078A72



# INTRODUCTION.

---

THE second edition of the following work having been for a considerable period out of print, the present edition was undertaken at the suggestion of many members of the profession, as well as upon the invitation of the publishers. It has been prepared in the midst of numerous distractions, which have added largely to the toil of compiling the volume, and delayed its publication. The writer has faithfully endeavored, in this edition, to bring together in a convenient form all the statutes and the leading rules and principles of law now in force, or recognized in this state, governing the entire practice of the Surrogates' Courts, and the rights, duties and liabilities of executors, administrators and guardians. And the work has been rendered more nearly complete by inserting, in this edition, three chapters not contained in former editions, being those treating of the Admeasurement of Dower in the Surrogates' Courts, of Public Administrators, and of Appeals from the Orders and Decrees of Surrogates.

The form of a treatise has been preserved in this edition, and, as in the former ones, the standard and elementary authors have been freely quoted for the purpose of applying, explaining, or elucidating the statutes and decisions which constitute the basis of the work. The elegant, learned, and comprehensive treatise of Sir Edward V. Williams on the law of executors and administrators, and the valuable notes to the American edition of that publication, have contributed important aid in this particular.

The FORMS to be found in the appendix are for the most part such

as are in daily use in the Court of the Surrogate of the County of New York. They have been carefully revised for this edition. Several have been added in that portion of the appendix which was contained in the second edition of the work, and in this edition forms are supplied for proceedings for the admeasurement of dower, for proceedings with reference to estates of which the public administrator in the city of New York is authorized to take charge, and on appeals from the orders and decrees of Surrogates. By request of several members of the profession, an INDEX TO THE FORMS has been added in this edition.

The writer has had reason to believe that the previous editions of this work have proved serviceable. He has, in this edition, endeavored to add to the value and usefulness of the publication. With a grateful sense of the indulgence which was extended to the first and second editions, he submits the present edition to the profession and the public with unfeigned diffidence, and with pretensions to no other merit than that of faithfully and earnestly attempting to render a useful service to the practitioner in conducting business in the Surrogates' Courts, and to guide and assist executors, administrators and guardians in the proper and safe management of estates committed to their charge.

NEW YORK, 20th May, 1861.

# TABLE OF CONTENTS.

## CHAPTER I.

### OF THE JURISDICTION, POWERS, DUTIES, AND LIABILITIES OF SURROGATES,

	1
Of the origin of the office .....	1
Of the former general powers and jurisdiction of surrogates.....	5
Of their incidental and constructive authority .....	5
Surrogates' Courts—Statutory Courts .....	7
Of attorneys and counsellors in Surrogates' Courts .....	9
Of pleadings in Surrogates' Courts.....	9
Of the competency of witnesses and their examination, and the examination of parties in proceedings in Surrogates' Courts .....	9
Of the existing organization of Surrogates' Courts.....	10
Of the election of county judges, and separate officers to perform the duties of the office of surrogate.....	11
Of the time of election and term of office of county judges, and officers elected to per- form the duties of the office of the surrogate.....	12
Of local officers in certain counties to discharge the duties of county judge, or surrogate, or either—the time of their election, and their term of office .....	13
Of the election of the surrogate of the county of New York, and his term of office.....	14
Regulations respecting vacancies in office, and for dispensing with officers authorized to be elected to discharge the duties of the office of surrogate .....	14
Of the time for holding Surrogates' Courts, the titles of the different officers, and their respective powers and jurisdiction .....	15
Of the assistants and clerks to the surrogates of the counties of New York, Kings, Otsego, and Erie—their appointment, powers and duties.....	17
Of the compensation of county judges and other officers authorized to discharge the du- ties of the office of surrogate, and of the surrogate of the county of New York and his assistants, and the clerks of the surrogates of the counties of Kings, Otsego, and Erie .....	19
Of the security required of surrogates, and the prosecution of their official bonds .....	22
Of the locality of the office of surrogate, and the oath of office .....	23
Of the specific powers and duties of surrogates .....	24
Of the time when the Surrogate's Court shall be open .....	30
Of the books and records to be kept by surrogates, the filing and preserving of papers, and of searches and transcripts.....	31
Of the execution of process issued out of Surrogates' Courts, and of attachments for con- tempts .....	34
Of the surrogate's power to award costs .....	39
Of the completion of business pending before a surrogate, on a vacancy occurring in his office .....	39
Of the exclusive jurisdiction of surrogates with reference to each other.....	39
Of the fees of surrogates, and of their duties in respect to accounting for the same ....	40
Of the cases in which the surrogate is disabled from acting, and of the supplying his place in such cases.....	43
Prohibitions to which surrogates, their clerks, law partners, &c., are subject.....	47

## CHAPTER II.

## OF PROVING WILLS, 48

Of the origin of wills of personal property, and the power of bequeathing or devising..	49
Who is capable of making a will .....	54
Persons disabled from making a will for want of liberty or free will.....	61
Of the form and manner of making a will.....	64
Of the subscription of the will by the testator .....	68
Of the publication of the will .....	81
When the publication shall be made .....	89
Whether the witnesses must know or have the opportunity to hear and take cognizance of the declaration .....	90
What shall constitute a sufficient publication.....	98
Of the attestation of the will.....	101
In what manner the attestation shall be made.....	101
Of the place at which the attestation shall be made.....	106
Of the request by the testator to the witnesses to sign.....	110
Whether the witnesses must sign the will in the presence of the testator.....	114
Of the incorporation in a will of unattested papers.....	118
Where the attesting witness is interested under the will.....	119
Where attesting witnesses are not able to prove the execution of the will.....	119
The form and language of a will.....	121
Of the materials with which a will may be written, and of the person who may be the writer, and herewith of a will prepared by a legatee.....	122
Of the execution of wills by blind persons, and by persons deaf and dumb.....	123
Of nuncupative wills.....	124
Of the revocation of wills.....	127
Revocation by a subsequent testamentary disposition.....	130
By express revocation.....	133
Revocation by the republication of a prior will.....	134
Revocation by burning, tearing, cancelling, obliterating, or destroying.....	134
Of revocation by marriage or other change of circumstances, and therewith of presumptive or other implied revocations.....	137
Of the republication of wills.....	142
Of the consequences of republication.....	143
Of proving wills.....	146
Of the Surrogate's Court having jurisdiction to take the proof of the will.....	147
Of the proving of wills of inhabitants of the county—of the preliminary proceedings..	148
Of the petition for the proof.....	150
Of the issuing and service of the citation.....	153
Of proceedings for the proof.....	157
Of taking the testimony.....	159
Of the necessary witnesses.....	160
Of the examination of witnesses residing in the same county or in another county, disabled from attending.....	165
Of the examination of witnesses residing out of the State.....	168
Of the evidence on proving a will.....	171
Of the entries to be made by the surrogate in his minutes.....	186
Of the record and probate of the will, and the effect thereof, and of recording the proceedings.....	187
Of the disposal of the will after proof.....	191
Of proving the wills of non-inhabitants.....	191
Of certain exemplifications, copies, and records of wills.....	201
Of proving nuncupative wills.....	202
Of proceedings where all the subscribing witnesses to a will are dead, insane, or non-residents.....	203
Of compelling the production of a will before the surrogate for proof.....	204

## CHAPTER III.

## OF THE APPOINTMENT OF EXECUTORS, THE GRANTING OF LETTERS TESTAMENTARY, AND THE ACCEPTANCE OR REFUSAL OF THE OFFICE OF EXECUTOR, 205

Who is capable of being an executor.....	205
Of the appointment of executors. By what words executors may be appointed.....	206

## TABLE OF CONTENTS.

vii

In what ways the appointment of executor may be qualified.....	210
Of the transmission of the appointment of executor.....	210
Of an executor <i>de son tort</i> .....	210
Of the form and manner of issuing, and the necessity of obtaining probate or letters testamentary.....	212
Of the granting of the letters testamentary.....	213
Of the surrogate who must issue the letters testamentary.....	214
Of the persons who are not competent by law to serve as executors.....	214
Of the removal of the disabilities in certain cases.....	216
Of the time when letters testamentary may be granted—of objections to granting the same, and of the disposition of such objections.....	216
Of granting letters testamentary to non-residents.....	219
Of the executor's oath.....	219
Of the executor's refusal or acceptance of the office, and of renunciation by a person named as executor.....	220
The consequences of renunciation by an executor.....	222
Of letters testamentary of the wills of foreigners.....	223

## CHAPTER IV.

### OF THE APPOINTMENT OF ADMINISTRATORS,

227

1. In cases of intestacy.....	227
2. With the will annexed, and <i>de bonis non</i> .....	227
3. Special administrators or collectors.....	227
In what Surrogate's Court the letters of administration shall be obtained.....	227
To whom general administration is to be granted, and of the persons incompetent to become administrators.....	229
Table of consanguinity.....	233
Who are incapable of being administrators.....	240
Of the proceedings on taking out letters of administration.....	242
Of granting administration with the will annexed, and administration <i>de bonis non</i> ....	247
Of granting letters of collection.....	251

## CHAPTER V.

### OF THE CONTROL OVER THE ESTATE BEFORE PROBATE OR ADMINISTRATION GRANTED,

253

Of the recovery of the property of the deceased taken without authority, and herewith of the right of the executor or administrator to disaffirm fraudulent sales or con- tracts made by his testator or intestate.....	256
---	-----

## CHAPTER VI.

### OF THE INTEREST OF THE EXECUTOR OR ADMINISTRATOR IN THE ESTATE OF THE DECEASED, INCLUDING THE SUBJECT OF THE INTEREST AND RIGHTS OF THE DONEE MORTIS CAUSA,

259

<i>Donatio mortis causa</i> .....	262
-----------------------------------	-----

## CHAPTER VII.

### OF THE DUTIES OF THE EXECUTOR OR ADMINISTRATOR IN RESPECT TO THE INVENTORY OF THE ASSETS WHICH GO TO THE EXECUTOR OR ADMINISTRATOR, AND OF COMPELLING THE RETURN OF AN INVENTORY,

267

Of the appointment of appraisers.....	268
Of the notice of the appraisement, and the qualification of the appraisers.....	268
Of the manner of taking the inventory, and of the property to be included therein, and to be deemed assets; and of the property exempt from appraisement, and to remain in the possession of the widow.....	269
Of returning the inventory, and of the executor and administrator's oath to the same..	278
Of the proceedings to compel an executor or administrator to return an inventory.....	279
Of the effect of one or more of several executors returning an inventory.....	287
Of returning further inventories.....	287

## CHAPTER VIII.

OF THE DUTIES AND LIABILITIES OF THE EXECUTOR OR ADMINISTRATOR IN RESPECT TO  
THE COLLECTION OF THE PERSONAL ESTATE OF THE DECEASED, 288

Of continuing actions brought by the deceased in his lifetime, and of the limitation of actions in favor of the estates of deceased persons.....	297
Of the enforcement of judgments obtained by the deceased in his lifetime.....	299
Of continuing suits commenced, and of enforcing judgments obtained by a former executor or administrator.....	301
Of sets-off in actions by executors or administrators.....	302
Of the collection of interest and dividends on stocks of the deceased, and the transfer of such stocks, and the collection of money in bank.....	304

## CHAPTER IX.

## OF THE DISPOSAL OF THE ESTATE OF THE DECEASED BY THE EXECUTOR OR ADMINISTRATOR, 305

## CHAPTER X.

## FUNERAL CHARGES, AND PAYMENT OF DEBTS, 311

Of the duties of the executor or administrator in respect to the discharge of the funeral expenses, and the payment of the debts of the deceased; the liability of the executor or administrator to suits for debts owing by the deceased; the liability of the assets to executions upon judgments against the executor or administrator, and of enforcing the payment of the debts, by the executor or administrator, by proceedings in the Surrogate's Court.....	311
Of the order of the payment of the debts, and herewith of the funeral.....	312
Of the expenses of the funeral of the deceased and their payment.....	313
Debts due to the United States.....	314
Taxes, and herewith of assessments.....	315
Judgments and decrees.....	315
Of the preference to be given to the payment of rent on leases.....	318
As to what claims upon the deceased survive against the executor or administrator, and of the liability of the executor or administrator upon the acts of the deceased.....	318
Of the liability of an executor or administrator on his own contracts.....	333
Of the collection of judgments against the deceased in his lifetime; of suits pending against the deceased at the time of his death; of the limitation of actions against executors and administrators; of suits and judgments against them; of the publication of notice for the presentation of claims, and the exhibition of claims against the deceased, to the executor or administrator; their reference and payments; and of proceedings in the Surrogates' Courts to enforce the payment of the debts against the personal property of the deceased.....	340
Of the bringing and conducting suits, the obtaining and enforcing judgments, and the prosecution and collection of claims generally, against executors and administrators; and herewith of the ascertaining the claims against the estate by the publication of a notice, and the reference of claims presented, and the disposition of claims referred.....	348
Of enforcing a judgment obtained against an executor or administrator, after a trial at law upon the merits.....	367
Of enforcing a judgment obtained against an executor or administrator, otherwise than after a trial at law upon the merits.....	371
Of the enforcement of debts against the estate, whether in judgment or not, by proceedings in the Surrogates' Courts.....	373
Of the ascertainment of the debts of the deceased by the publication by the executor or administrator of a notice to claimants to exhibit their claims, and the subsequent proceedings thereon.....	379
Of the adjustment and retainer of debts due by the deceased to the executor or administrator.....	394



# TABLE OF CONTENTS.

ix

## CHAPTER XI.

### OF LEGACIES, AND THE PAYMENT AND DISCHARGE THEREOF; OF ENFORCING THE PAYMENT OF LEGACIES, AND OF DISTRIBUTION IN CASES OF INTESTACY, 396

Who is capable of being a legatee.....	399
Of bequests to charitable uses.....	401
Of the general rules of construction of wills.....	404
Modes of description of a legatee.....	411
Who are entitled under description of—1. "Children;" 2. "Grandchildren;" 3. "Wife;" 4. "Nephews and Nieces".....	411
Of mistakes in the names or descriptions of legatees.....	415
Of specific legacies.....	418
Of legacies, vested or contingent.....	421
Of legacies lapsed by the death of the legatee after the death of the testator.....	424
Of the lapse of legacies payable out of the real estate.....	425
Of conditional legacies.....	426
Legacies to executors.....	427
Of cumulative legacies.....	429
Of the satisfaction of debts by legacies.....	430
Of a legacy by a creditor to his debtor.....	431
Creditor executor.....	433
Of the ademption of specific legacies.....	434

### OF THE PAYMENT OF LEGACIES, 436

All debts must be paid before any legacies are satisfied.....	436
Of the abatement of legacies.....	443
Of the executor's assent to a legacy.....	445
At what time legacies are to be paid; and herewith of bequests for life, with remainder over.....	447
Of compelling the payment of legacies or distributive shares by proceedings in the Surrogate's Court.....	453
To whom legacies are to be paid.....	460
Of interest upon legacies.....	463
Of the payment or delivery of specific legacies.....	466
Of election.....	467
Of the refunding of legacies.....	473
Of the residuum, and the rights of the residuary legatee.....	474

## CHAPTER XII.

### EXECUTORS AND ADMINISTRATORS' ACCOUNTS, 480

Of compelling executors and administrators to render accounts in the Surrogates' Courts; of the rendering and settling of such accounts; of enforcing the payment of claims on such settlements; and of the distribution of the assets remaining in the hands of the executor or administrator, including numerous particulars of the executor or administrator's liability by reason of his office, and a complete view of the subject of the distribution of the surplus in cases of intestacy.....	480
Statutory provisions.....	481
Of compelling an executor or administrator to account in the Surrogate's Court.....	486
Who may compel an account.....	487
Of the petition for an account.....	488
Of the order to account.....	490
Of the proceedings on the return of the order.....	491
Of the form of the account, and of the vouchers.....	495
Of the executor's oath to the account.....	496
Of the proceedings on the accounting.....	497
Of the final settlement of the accounts of executors and administrators.....	499
Of the petition for a final settlement.....	500
Of the issuing and service of the citation for a final settlement.....	502
Proceedings on return of citation.....	505
Proceedings as to infant parties.....	506

Of the adjournment of the accounting . . . . .	507
Of the proceeding on the accounting—the form of the account . . . . .	507
Of the executor's oath to the account . . . . .	508
Of debts due to the executor . . . . .	509
Of contesting the account . . . . .	510
Of referring to an auditor . . . . .	516
Of the liability of an executor . . . . .	518
Of allowance for property perished or lost—devastavit . . . . .	518
Of the executor's accountability for investments . . . . .	521
Of the liability of an executor on the acts or defaults of his co-executor . . . . .	524
Of the executor's accountability for the increase or decrease of the estate during the administration . . . . .	526
Of the liability of executors for interest . . . . .	529
Of the allowance of executors' commissions . . . . .	534
Of the allowance of the executor's expenses . . . . .	540
Of the effect of the final settlement . . . . .	543
Provisions as to disposition of property still in executor's hands and securities not yet due . . . . .	554
Of distribution in cases of intestacy . . . . .	556
Of the rights of the widow in the distribution of the effects of her intestate husband, under the statute . . . . .	559
Of the rights of the children of the deceased, and their representatives, to distribution under the statute . . . . .	560
Of advancements . . . . .	561
The rights of the next of kin of the intestate under the Statute of Distributions . . . . .	565
Of distribution where the deceased, at the time of his death, was domiciled abroad . . . . .	570
Of the disposition of the personal property of intestate married women . . . . .	573
Of securing the distributive shares of minors . . . . .	575
Of the form of the surrogate's decree . . . . .	577
Of enforcing the surrogate's decree . . . . .	577
Accounts of executors or administrators whose authority has been revoked, and accounts of collectors . . . . .	583
Jurisdiction of courts of equity over executors and administrators . . . . .	583

## CHAPTER XIII.

OF THE AUTHORITY OF THE EXECUTOR OR ADMINISTRATOR OVER THE REAL ESTATE OF THE DECEASED, AND OF SALES OF SUCH REAL ESTATE, WHETHER VOLUNTARY OR COMPULSORY, FOR THE PAYMENT OF THE DEBTS OF THE DECEASED, . . . . .	587
Of the proceedings by an executor or administrator to procure an order to mortgage, lease, or sell the real estate of his testator or intestate for the payment of his debts . . . . .	590
Of the petition to mortgage, lease, or sell . . . . .	591
Of the appointment of guardians of minors interested . . . . .	593
Of the order to show cause why authority should not be given . . . . .	595
Of the service and publication of the order to show cause . . . . .	595
Of the hearing on the return of the order to show cause . . . . .	597
Of entering the demands adjudged valid . . . . .	603
Of the order to mortgage, lease, or sell . . . . .	603
Of determining whether property shall be mortgaged, leased, or sold, and of a mortgage or lease of the property . . . . .	604
Of the order for sale . . . . .	605
Of the security to be given by an executor or administrator, or a person appointed in his place, on obtaining an order to mortgage, lease, or sell . . . . .	608
Of the notice of sale . . . . .	609
Regulations of the sale . . . . .	610
Of vacating or confirming sale . . . . .	611
Of the disposition of the proceeds of the sale . . . . .	615
Of the expenses of the sale and their payment, and the satisfaction of the widow's dower . . . . .	615
Of the notice of the distribution of the proceeds, and of such distribution . . . . .	618
Of the disposition of the surplus . . . . .	620
Of proceedings by a creditor to obtain an order for the mortgage, lease, or sale of the real estate of a deceased person for the payment of his debts . . . . .	621

## TABLE OF CONTENTS.

xi

Of the petition of a creditor for an order to have the real estate of his deceased debtor mortgaged, leased, or sold for the payment of his debts .....	624
Of the order that parties in interest show cause .....	625
Of the order that the executor or administrator mortgage, lease, or sell .....	626
Of sales by executors, of the real estate of their testator, under a devise of power .....	627
Of the sale of the interest of the deceased in a contract for the purchase of land .....	630
Of the execution of the order to mortgage, lease, or sell, where the executor or administrator, or other person authorized, has died, or been removed, or become disqualified after the making of the order .....	632
Of the irregularities, omissions, or defects in the proceeding before a surrogate for the sale of the real estate of a deceased person for the payment of his debts, which do not invalidate the sale .....	633
Of the authority of an administrator with the will annexed over the real estate of the deceased .....	634
Of the confirmation by the Supreme Court of sales or conveyances of the real estate of deceased persons for the payment of their debts, made under orders of surrogates, where irregularities have occurred in the proceedings .....	635

## CHAPTER XIV.

OF PROCEEDINGS FOR THE REVOCATION OF PROBATES, AND FOR THE REMOVAL OF EXECUTORS; FOR COMPELLING EXECUTORS TO GIVE SECURITY, AND ADMINISTRATORS TO GIVE NEW SURETIES, WHERE THOSE ALREADY PROVIDED FOR ARE INSUFFICIENT OR DESIRE TO BE RELEASED,	637
Of proceedings for the revocation of the probate of a will on allegations .....	638
Of proceedings for the removal of an executor who is legally incompetent, and to compel an executor, whose circumstances are so precarious as not to afford adequate security for his due administration of the estate, to give security, or to remove him for want of security .....	642
Of proceedings to compel an administrator, whose sureties are insufficient, to give further sureties, or, for want of such further sureties, to remove him from his trust .....	647
Of proceedings by a surety of an administrator, to be released from responsibility for the future acts or defaults of the administrator, and to compel such administrator to give new sureties, and, for want of new sureties, to remove him from his trust .....	648
Of the revocation of letters for false representations made, when issued .....	649
Of requiring an executor or administrator to give increased security .....	650
Of accounts to be rendered by executors or administrators who have been superseded, or whose letters have been revoked .....	650

## CHAPTER XV.

OF COSTS AND FEES IN THE SURROGATE'S COURT, AND OF THE LIABILITY OF EXECUTORS AND ADMINISTRATORS TO THE PAYMENT OF COSTS,	651
Of costs and fees in the Surrogate's Court .....	651
Fees of surrogates .....	653
OF THE LIABILITY OF EXECUTORS AND ADMINISTRATORS FOR COSTS IN SUITS IN COURTS OTHER THAN THE SURROGATES' COURTS,	654
Of their liability for costs in actions brought by them .....	654
Of the liability of executors and administrators to the payment of costs in actions brought against them .....	656
Of the liability of an executor or administrator for the costs of suits pending against his testator or intestate at the time of his death .....	666
Of costs in suits in equity .....	670

## CHAPTER XVI.

OF GUARDIANS; OF THE APPOINTMENT OF GENERAL GUARDIANS BY SURROGATES, AND OF THEIR POWERS AND AUTHORITY,	671
Of the proceedings for the appointment of a guardian of a minor by a surrogate.....	675
Of the surrogate having jurisdiction to appoint the guardian of a minor.....	677
Of the appointment of guardians for minors over the age of fourteen.....	678
Of the appointment of guardians for minors under the age of fourteen.....	678
Of the power and authority of the guardian.....	687

## CHAPTER XVII.

OF THE DUTIES AND LIABILITIES OF GUARDIANS; OF COMPELLING THEM TO ACCOUNT IN THE SURROGATE'S COURT; OF THE RENDERING AND SETTLING OF THEIR ACCOUNTS, AND OF PROCEEDINGS IN THE SURROGATE'S COURT FOR THEIR REMOVAL,	687
Of the accounts of guardians.....	690
Of the rendering and settling of the accounts of guardians in the Surrogate's Court....	693
Of the removal of guardians by proceedings before surrogates.....	698
Of the resignation of guardians of their trusts.....	702

## CHAPTER XVIII.

OF PUBLIC ADMINISTRATORS,	708
OF THE PUBLIC ADMINISTRATOR IN THE CITY OF NEW YORK,	703
Of his appointment.....	703
Of the oath of office, and the official bond of the public administrator.....	704
Of the commissions to be retained by the public administrator, his accountability for the same and his salary.....	704
Of the authority of the public administrator in the city of New York.....	704
Of the duty of the public administrator where there is a widow or any next of kin of the decedent residing in the city of New York, and of the proceedings before the surrogate to authorize the public administrator to interfere to prevent embezzlement of the decedent's goods.....	706
Of proceedings by the public administrator to obtain possession of property of the de- ceased concealed or withheld from him.....	706
Of the duty of the health officer and his deputy in regard to property of which the public administrator is authorized to take charge, being or arriving at quarantine.....	709
Of the duty of the public administrator with regard to property of the deceased in a perishing condition.....	709
Of the proceedings to obtain administration of the goods, &c., of a deceased person, of which the public administrator is authorized to take charge, where the value of the property exceeds one hundred dollars.....	709
Of administration by the public administrator, where the property of the intestate, of which he is authorized to take charge, is worth a sum not exceeding one hundred dollars.....	713
Of the power of the public administrator over the property of the intestate before the letters of administration are granted to him, or he files an affidavit.....	714
Of the duty of the public administrator where the deceased was a foreigner not natural- ized, or had not taken any steps to become naturalized....	714
Of the cases in which the power and authority of the public administrator shall be superseded.....	714
Of continuing, after his authority shall have ceased, suits commenced by the public ad- ministrator.....	716
Of the rights and powers of the public administrator, and the obligations to which he is subject.....	716
Of the duties of the public administrator with respect to depositing and drawing moneys.....	718
Of the power of the public administrator to make advances to relatives of the deceased	719
Of the duty of the public administrator to make annual reports to the Common Council	

## TABLE OF CONTENTS.

xiii

of the city of New York, and of the publication of his annual report, and of the penalty for omitting to make the same.....	719
Of the liability of the Mayor, Aldermen and Commonalty of the city of New York for the acts of the public administrator.....	720
Of the transfer of moneys, papers, &c., in cases of the resignation, removal from office, or death of the public administrator.....	721
Of the duties of boarding and lodging-house keepers to report to the public administrator deaths of transient persons, and of the notice to be given by the public administrator to the keepers of those houses.....	721
Of public administrators in the several counties of this state, other than the county of New York.....	721

## CHAPTER XIX.

### OF THE ADMEASUREMENT OF DOWER, 726

Of dower.....	726
Of proceedings in the Surrogate's Court for the admeasurement of dower.....	727

### OF PROCEEDINGS BY THE WIDOW, 727

Of the petition for the admeasurement of her dower.....	727
Of the notice of the presentation of the petition, the service of the petition, and the notice, and the proceedings in relation to minors.....	728.
Of proceedings by an heir or owner of lands subject to dower, to procure an admeasurement of such dower.....	729
Of the hearing before the surrogate, whether upon a petition by the widow, or an heir or owner; and of the appointment of commissioners to admeasure the dower.....	730
Of the oath of office of the commissioners.....	731
Of vacancies in the office of commissioner.....	731
Of the powers and duties of the commissioners.....	732
Of enlarging the time to bring in report, compelling report, or discharging commissioners.....	733
Of filing and recording the report.....	734
Of the hearing on the report of the commissioners.....	734
Of the effect of the admeasurement, and the proceeding by the widow to recover her dower.....	734
Of the costs of the proceeding.....	735

## CHAPTER XX.

### OF APPEALS FROM THE ORDERS AND DECREES OF SURROGATES, 735

Of appeals to the Court of Appeals.....	742
Of the costs of appeals.....	742
Of appeals from decrees of surrogates admitting or refusing to admit wills to probate or record.....	744
Of appeals from the decrees of surrogates revoking or refusing to revoke the probate of wills on allegations.....	750
Of appeals from the decrees of surrogates on the final settlement of the accounts of executors, administrators, or guardians.....	751
Of appeals in other cases.....	752
Of appeals from the decrees of surrogates confirming or vacating any admeasurement of dower.....	755

APPENDIX OF FORMS.

GENERAL INDEX.

INDEX TO FORMS.





# TABLE OF THE NEW YORK

AND NEARLY ALL THE OTHER

# AMERICAN CASES,

AND MANY OF THE

## ENGLISH CASES CITED IN THIS VOLUME.\*

<b>A</b>	
Abbot v. Massie,.....	417
Abrams, Emmanuel, in the matter of proving the will of,.....	83, 121
Ackerman v. Emott,.....	521, 522, 529
Adams v. Winne,.....	50, 137, 139, 140, 436
Addy v. Greig,.....	69
Ainalie v. Radcliff,.....	315
Akely agt. Akely,....	386, 387, 388, 389, 661
Alden agt. Clark, Tift & Bradley, 301, 343, 344	
Allen and wife, v. Bishop's executors,....	360
Allen v. Maddock,.....	74, 118
Allen v. Public Administrator,.....	58, 181
Alsop v. Mather,.....	338
Alston v. Jones,.....	58, 61, 736, 749, 751
American Home Missionary Society v. Wadhams,.....	62, 63
Ames v. Downing,.....	287, 310, 527
Amisa, in the goods of,.....	101
Anderson, in the goods of,.....	109
Andrews agt. The General Theological Seminary,.....	404
Andrews agt. Wallege,.....	378
Andrews v. Wallace,.....	552
Applegate v. Cameron,.....	274, 277
Appreece v. Appreece,.....	464
Arcularius v. Geisenhainer,.....	406, 423
Armstrong v. Moran,.....	409, 424
Arnold v. Downing,.....	603, 620
Arthur v. Arthur,.....	141
Ash, A. M., in the goods of,.....	74, 118
Ashmore, <i>In re</i> ,.....	77
Ashmore, in the goods of,.....	101
Atkins v. Kinnan, 8, 591, 592, 606, 607, 614	
Atkinson v. Hutchinson,.....	406
Atkinson's will, matter of,.....	190, 197
<b>B</b>	
Auburn Theological Seminary v. Kellog,.....	404
Averill v. Taylor,.....	224
Avery v. Smith,.....	670
Ayres v. Methodist Church,.....	51, 145
Babcock v. Booth,.....	255, 257, 292
Babcock v. Lillis,.....	378, 552
Baggott v. Boulger, 391, 581, 582, (appendix lix)	
Bain, administrator, &c., v. Pine,.....	301
Baker v. Deming,.....	101
Baker v. Kingsland,.....	599
Baker v. Woods,.....	463
Ball v. Miller,.....	598, 600, 602
Bank of Cayuga County v. Bennett, 333, 348	
Bank of Munroe v. Winder,.....	738
Bank of Niagara, in the matter of the, 535, 538, 539	
Bank of Poughkeepsie v. Hasbrouck, 499, 500, 514, 545, 546, 548	
Bank of Troy, The v. Topping,.....	335, 337
Banks v. Phelan,.....	404, 416
Banks v. Taylor,....	514, 685, 696, 752
Bannatyne v. Bannatyne,.....	59
Barnes v. Vincent,.....	63
Barnsley, <i>ex parte</i> ,.....	55
Barruso v. Madan,.....	426
Barry v. Butlin,.....	123, 180
Barstow v. Goodwin,.....	423
Bartlett, <i>ex parte</i> ,.....	677, 684
Barton v. Robins,.....	179
Bate v. Graham,.....	293
Bayldon v. Bayldon,.....	183
Baylis v. Attorney General,.....	417
Beach v. King,.....	361

\* Cases referred to in extracts quoted in this work from other publications, are not in general inserted in this table.



Doe v. Westlake,.....417  
 Castell v. Tagg,.....183  
 Catskill Bank, The agt. Sandford,.....300  
 Caujolle's appeal,.....741  
 Cautant v. Schuyler,.....264, 266  
 Cave v. Halford,.....140  
 Caw agt. Robertson,.....161, 164, 400, 426  
 Cayuga County Bank, The v. Bennett, 333,  
 348  
 Chafee and Chapman v. The Baptist Mis-  
 sionary Convention of the State of New  
 York,.....75  
 Chaffee v. Baptist Missionary Convention, 69,  
 77-8, 108, 120, 121, 123, 172, 173, 174, 182  
 Chambers v. Queen's Proctor,.....77  
 Chamney, Edward, in the goods of,.....107  
 Chandler v. Northrop,.....8, 612  
 Chandler v. Fish,.....194, 224, 239, 256, 294  
 Chapman, in the goods of,.....184  
 Charlton v. Hindmarsh,.....101  
 Cheeney v. Arnold,.....120, 172  
 Christian, in the goods of,.....101  
 Churchill v. Prescott,.....215, 241  
 Clapper v. House,.....142  
 Clark v. Clark,.....451, 510, 523, 524, 555, 689  
 Clark, in the goods of,.....69  
 Clark v. Sexton's executors,.....343  
 Clarke v. Bogardus,.....431, 432, 433  
 Charke v. Sawyer,.....56  
 Clarke v. Scripps,.....136  
 Clarke, Susanna, in the goods of,.....69  
 Clarkson v. De Peyster,.....531, 534, 688  
 Clayton v. Liverman,.....53  
 Clayton v. Lord Nugent,.....417  
 Clayton v. Wardell,.....437, 741, 742  
 Cleoburg v. Beckett,.....132  
 Clift agt. White,.....569  
 Clinch v. Eckford and others, executors,.....539  
 Clowes v. Van Antwerp,.....543, 696  
 Coates v. Cheever,.....9, 733  
 Cockayne, C., in the goods of,.....135  
 Coggeshall v. Pelton,.....403  
 Cogswell v. Cogswell,.....448  
 Colberg, in the goods of,.....134  
 Colegrove v. Horton,.....218, 643, 644, 645  
 Collier v. Rivaz,.....194  
 Collin v. Collin,.....50, 411  
 Collins v. Hoxie,.....541  
 Colt v. Lasmier,.....532  
 Colyer v. Idley's executors,.....641  
 Comstock v. Olmsted,.....658, 659, 662  
 Congdon, in the matter of,.....685  
 Conger v. Ring,.....527, 610  
 Conklin v. Egerton's administrator, 205,  
 207, 208, 307, 628  
 Conklin v. Moore,.....423  
 Connolly v. Pardon,.....415  
 Conover v. Hoffman,.....132, 628  
 Conway v. Hitchins,.....316  
 Coope v. Lowerre,.....214, 215, 236, 241, 646  
 Cooper, in the goods of,.....67, 700  
 Cope, in the goods of,.....101  
 Corwin v. Merritt,.....7, 8, 596, 597  
 Cottrell v. Brock,.....644, 645  
 Covenhoven v. Shuler,.....408, 410, 452

Cranmer's case,.....431  
 Craig v. Craig,.....265, 475, 629  
 Craigie v. Lewin,.....194  
 Crause, Jane Elizabeth, in the goods of,.....239  
 Creeley and others v. Ostrander, 60, 177, 184,  
 185  
 Crispell v. Dubois,.....123, 178  
 Croft v. Day,.....178, 180  
 Croft v. Pawlet,.....108  
 Crosby v. Wendell,.....408, 409  
 Cruikshank v. Cruikshank,.....658, 659, 665  
 Cunningham v. Burdell,.....246  
 Cunningham v. McGregor,.....656  
 Curtis, executor, &c., v. Dutton,.....655  
 Curry, Mungo, deceased, in the matter of  
 proving the will of,.....121  
 Cutler v. Doughty,.....414  
 Cutto v. Gilbert,.....130, 133

## D

Dadda, Ann, in the goods of,.....135  
 Dakin v. Deming,.....8, 495, 535, 549, 686, 743  
 Dakin v. Hudson,.....7  
 Dan v. Brown,.....136  
 Danberry v. Coghlan,.....417  
 Darby v. Condit,.....655  
 Darley v. Darley,.....177  
 Davies v. Skidmore,.....368, 581, 754  
 Davoue v. Fanning,.....688  
 Davy, Robert, in the goods of,.....183-4  
 Dawson, *ex parte*,.....26  
 Day, *ex parte*,.....53, 128  
 Decker v. Miller,.....433  
 Defour v. Croft,.....178, 180  
 Defour v. Pereira,.....53, 128  
 De Kay v. Irvine,.....405, 410  
 Delafield v. Colden,.....671  
 Delaplaine v. Lawrence,.....610, 611, 612, 613,  
 741, 754  
 Demarest v. Laws,.....appendix, lix  
 Dendy, in goods of,.....132  
 De Nottebeck v. Astor,.....435  
 De Orleans, Her R. H., in the goods of,.....240  
 De Peyster v. Clarkson,.....530, 696  
 De Peyster v. Clendening,.....452, 635  
 De Peyster, in the matter of,.....537  
 Devaynes v. Noble,.....323  
 Dew v. Clark,.....58-9  
 Dewitt v. Yates,.....430  
 De Zichy, Ferraris v. Lord Hertford,.....194  
 Dicken, Mary Ann, in the goods of,.....143  
 Dickinson v. Purvis,.....422  
 Disbrow v. Henshaw,.....693  
 Disosway v. Bank of Washington,.....378, 552  
 Doan v. Hines' administrators,.....392  
 Dodge v. Dodge,.....470  
 Dodge v. Meech,.....180  
 Doe v. Allen,.....417  
 Doe *ex dem.* Burdett v. Spilsbury,.....103  
 Doe v. Hiscock,.....417  
 Doe v. Perks,.....134  
 Doe v. Roe,.....68, 80, 89, 98, 111, 178

Dolbeer v. Casey,.....383, 390  
 Dole v. Cook,.....366  
 Doie, administrator, &c., v. Irish,.....228  
 Dominick v. Michael,.....627, 634  
 Dominick v. Moore,.....423, 477  
 Doolittle v. Lewis,.....224, 256, 627  
 Doran v. Dempsey,.....28, 38  
 Dornick v. Reichenback,.....54  
 Dorset, Duke of v. Harwarden,.....417  
 Douglass v. Satterlee,.....261, 524-5  
 Doughty v. Stillwell,.....434, 436, 568  
 Dox v. Blackenstose,.....257, 360, 361, 363  
 Drake v. Price,.....536  
 Draper v. Hitch,.....183  
 Drummond v. Parish,.....125  
 Dudley v. Griswold,.....366-7  
 Duncan *et al.* v. Patty's heirs,.....687  
 Dunn v. Browyer,.....463  
 Dunscomb v. Dunscomb,.....688  
 Dunstan v. Dunstan,.....426  
 Durnell v. Corfield,.....178, 180  
 Dyce, Sombre agt. Troup,.....60, 176  
 Dyer, an infant, in matter of,....26, 683, 700

**E**

Eaton v. Benton,.....332, 431  
 Easton's will, matter of,.....193, 197  
 Eckford v. De Kay,.....688  
 Edmonds v. Crenshaw & McMorries,....530  
 Eddy v. Traver,.....606  
 Edelen v. Dent,.....431  
 Egberts v. Wood,.....260  
 Egerton's administrator v. Conklin,.....634  
 Eitel v. Walter,.....378, 552  
 Elder v. Bogardus,.....321  
 Elliot v. Cronk's administrators,.....391  
 Elliott v. Lewis,.....523, 526, 540  
 Ellis v. Walker,.....419  
 Ellison v. Miller,.....50, 52  
 Elms v. Elms,.....134-5  
 Elwood v. Deifendorf,.....502, 552  
 Emerson v. Bowers,.....215, 241, 646  
 Enobin and others v. Wylie,....183, 194, 226  
 Ennis v. Pentz,.....415  
 Euston, The Earl of v. Seymour,.....125  
 Evans v. Evans,.....303  
 Evelin v. Evelin,.....566  
 Evertson v. Tappan,.....  
 Ewen agt. Franklin,.....107  
 Eyre v. Golding,.....465

**F**

Farmer v. Breck,.....120-1  
 Farnsworth v. Oliphant,.....697  
 Farquhar, in the goods of,.....126  
 Farrington v. King, ex'r, and others, 8, 593,  
     599, 600, 601, 603, 606, 608, 611  
 Farrington v. Knightly,.....205  
 Fary, J., in the goods of,.....136  
 Faulkner, in the matter of,.....254, 340  
 Fawcett v. Jones,.....178, 183

Ferguson v. Broome,....586, 598-9, 622, 624  
 Ferrie v. The Public Administrator,....246  
 Fish v. Miller,.....697  
 Fisher v. Fisher,.....327, 536, 539  
 Fitch v. Whitbeck,.....591, 602  
 Fitzpatrick v. Brady,.....374, 378  
 Flagg v. Ruden,.....378, 383, 390  
 Flinn v. Chase,.....8, 26, 247, 294, 637  
 Flintham's Appeal,.....313  
 Floyd v. Baker,.....477  
 Floyd v. Ferris,....475  
 Foot & Beebe v. Gumaer's exec'rs....661-2  
 Foot agt. Stanton,.....120  
 Forbes v. Mitchell,.....687  
 Forfair v. Heastie,.....178  
 Forsyth v. Ganson,.....348  
 Fort v. Gooding..382, 384-5, 392, 664, 666  
 Fort v. Walworth,.....592-3  
 Forth v. Chapman,.....406  
 Fosbrooke v. Balguy,.....528  
 Foster v. Wilbur,.....9, 492, 584  
 Foster v. Tyler,.....159  
 Fox v. Lipe,.....605, 608  
 Francisco v. Fitch,.....387  
 Frazer v. Western,.....62  
 Frith, William, in the goods of,.....102  
 Fry V. Evans,.....294, 302, 366  
 Fry v. Fry,.....463

**G**

Gaines v. Winthrop,.....139  
 Gale v. Edsall,.....731  
 Galloway, in the matter of,.....327-8  
 Gansevoort v. Nelson..384, 662, 666, 668-9  
 Gardner v. Gardner, 368, 410, 509, 512, 576,  
     671  
 Gardner v. Heyer,.....413, 417  
 Gardner v. Miller,.....433  
 Gardner and others agt. Brown and others, 740  
 Gardner v. Printup,.....434-5  
 Garniss v. Gardener,.....530  
 Gaze v. Gaze,.....77  
 Genet v. Tallmadge,.....460, 462  
 Gere v. Clarke,.....322, 356  
 Giddings v. Seward,.....419  
 Gilchrist v. Rea,.....602, 608, 612  
 Gillett v. Hutchinson's adm'rs,.....361  
 Glass v. Baxter,.....309  
 Gleason v. Thayer,.....459  
 Glover v. Holley,.....500, 540, 546  
 Gombault v. The Public Administrator,. 59,  
     83, 98, 99, 112, 159, 712  
 Goodall v. McLean,.....422  
 Goodright v. Glazier,.....132  
 Goose v. Brown,.....178  
 Gorham agt. Ripley,.....386  
 Gottsberger v. Smith,.....7, 255, 583  
 Gottsberger v. Taylor,.....255  
 Gove v. Garwin,.....77  
 Graham v. Graham,.....431  
 Graham v. Van Wyck,.....574  
 Grant v. Grant,.....89, 108, 120  
 Grant v. Shurter,.....322

## TABLE OF CASES.

xix

Gratacap v. Phyfe,.....490, 493  
 Greaves, Edward, in the goods of,...74, 118  
 Green v. Dennis,.....475, 489  
 Green v. Putnam,.....728  
 Green v. Winter,.....688  
 Greenough v. Martin,.....182  
 Greville v. Tylee,.....123, 178  
 Griffith v. Beecher,...556, 576, 585, 630, 653  
 Griswold v. Griswold,.....340, 449, 466  
 Grovill v. Barr,.....58  
 Groom v. Thomas,.....59  
 Grotgen v. Grotgen.....246  
 Guild v. Peck,.....463, 489-90, 499  
 Gullan, Thomas, in the goods of.....72, 134

**H**

Habergham v. Vincent,.....118  
 Hakewell, Emma, in the goods of,...74, 118  
 Hale v. Tokelove,.....132, 137  
 Hall v. Bruen,.....378  
 Hall v. Patridge.....  
 Hallett v. Hare,.....555  
 Hallet, matter of,.....405  
 Halsey v. Reed,.....329, 554  
 Halsey v. Van Amringe, 535, 577, 652, 739-40  
 Hamersley v. Lambert,.....324  
 Hands v. James,.....108  
 Harlin v. Corse,.....410  
 Harper v. Butler,.....224  
 Harris v. Berrall,.....137  
 Harris v. Clark,.....265  
 Harris v. Fly,.....426  
 Harrison v. Elvin,.....102, 104, 105  
 Harrison v. McMahon,.....6, 214, 241  
 Harrison v. Stone,.....183  
 Hart v. Coltrain,.....228  
 Hart v. Marks,.....417, 423  
 Hartshorne v. King,.....666  
 Harvey v. Skillman, 382, 385, 390, 663, 664, 669  
 Harwood v. Baker,.....61, 180  
 Harwood v. Goodright,.....131  
 Hasler v. Hasler,.....532, 540  
 Havens v. Havens,.....52, 142, 436  
 Havens v. Vandenberg,.....137  
 Haviland v. Bloom,.....463  
 Hawley v. Cramer,.....527  
 Hawley v. James,.....410, 464, 471  
 Heister v. Lynch,.....54  
 Hemiup, in the matter of,.....636  
 Henderson v. McIver,.....541  
 Henry v. Bishop,.....116  
 Hepburn v. Hepburn,.....464, 521  
 Hensloe's case,.....2, 213  
 Herbert v. Herbert,.....125, 127, 203  
 Herbert v. Torball,.....61  
 Herrick v. Grow,.....590  
 Heyer v. Berger,.....63, 89, 190  
 Heylin v. Heylin,.....145  
 Hicks, H., deceased, in the matter of  
 proving the will of,.....99  
 Hicks v. Gildersleeve,.....564, 565, 567

Hicks v. Hicks,.....739, 748  
 Higbie v. Westlake,.....616 to 618, 754  
 Hill agt. Burger's executors and others,...8, 183, 185  
 Hillhouse, in the goods of,.....118  
 Hills v. Tallman's administrators,...302, 367  
 Hobbs v. Knight,.....134  
 Hobson v. Blackburne,.....53  
 Holdridge v. Gillespie,.....688  
 Holgate, John, in the goods of,.....120  
 Hollenback v. Fleming,.....116  
 Hollister v. Hallister,.....627  
 Hollister agt. Hollister,.....356  
 Hollister v. Hollister,.....228  
 Holly v. S. G. ....536, 537  
 Holmes v. Cook,.....629, 645, 646, 647  
 Hone v. Van Schaick,...405, 406, 408, 410, 411, 412, 413, 414  
 Hope v. Bague,.....327  
 Hopper, in the matter of,.....44  
 Hornbeck's executors v. American Bible Society,.....404, 416  
 Hornby's will, matter of,.....190, 203  
 Horton v. Horton,.....613  
 Hosack v. Rogers,.....28, 29, 38, 536, 542  
 Hough's estate, *in re*,.....132  
 House v. House,.....272, 329  
 Hovey v. Smith,.....273, 318, 547, 752  
 How, matter of,.....402, 670  
 Howard v. Holloway,.....136  
 Howe agt. Searing,.....527  
 Howell v. Babcock's executor, 298, 364, 365  
 Hoyt v. Hilton,.....485, 674  
 Hubbard v. Hubbard,.....124, 126-7, 203  
 Hudson v. Parker,.....77  
 Hulbert v. Young,.....461  
 Hungerford v. Nosworthy,.....131  
 Hunt v. Hort,.....417  
 Hunt v. Mootrie, .84, 92, 100, 130, 194, 195  
 Hunt, Rev. George, in the goods of,...74, 118  
 Huntington v. Brinckerhoff,.....298, 364  
 Huntington v. Gilmore,.....264-5, 266  
 Hurd v. Cass,.....594  
 Hurd, C. and H. Selden, administrators, &c., in the matter of,.....350  
 Hutchings v. Cochrane,.....112  
 Hutchins, lessee of Nosworthy, v. Bassett,.....131  
 Hutchinson v. Smith,.....302-3  
 Hyde v. Hyde,.....730, 732  
 Hyde v. Tanner,.....588, 623

**I**

Idley v. Bowen,.....135  
 Illott v. Genge,.....77  
 Ingram v. Hyatt,.....123  
 Ingram v. Wyatt,.....56, 180  
 Innes v. Johnston,.....419  
 Irving v. De Kay,.....408  
 Isenhardt v. Brown,.....444, 446, 464  
 Isham v. Gibbons, 5, 6, 148, 194, 198, 200, 226  
 Ives v. Allen,.....193



**J**

Jackson v. Aspell,.....	728
Jackson v. Babcock,.....	409
Jackson v. Betts,.....	136
Jackson v. Churchill,.....	470
Jackson v. Combs,.....	672
Jackson v. Cornell,.....	550
Jackson v. Crawford,.....	8, 607
Jackson v. De Watts,.....	673
Jackson v. Edwards,.....	62, 188
Jackson, <i>ex dem</i> ,.....	136
Jackson v. Fitzsimmons,.....	51
Jackson v. Green,.....	51
Jackson v. Irwin,.....	592, 605, 607, 610
Jackson v. King,.....	55, 56, 176
Jackson v. O'Donaghy,.....	727
Jackson v. Potter,.....	629
Jackson v. Robinson,.....	8, 9, 34, 261, 591, 607
Jackson v. Sill,.....	408
Jackson v. Totten,.....	730
Jackson v. Vanderheyden,.....	728
Jackson v. Vandusen,.....	58, 101
Jacot v. Emmett,.....	532
James, Elizabeth Stone, in the goods of,	134, 185
James, in the goods of,.....	136
James v. James,.....	475-6
Jaques v. Chambers,.....	418
Jauncey v. Thorne,.....	48, 65, 77, 80, 119, 172, 174, 187
Jay v. Martin,.....	301
Jennings, in the matter of proving will of,.....	63
Jennings v. Phelps, adm'r, &c. ....	25, 378
Johnson v. Corbett,.....	318, 329, 524, 727
Jones, ex'r, &c., of John Mason, in the matter of accounting of,.....	378
Jones v. Ward,.....	688
Jones, William, in the goods of,.....	79
Jonson, in the goods of,.....	125
Judah v. Stag's exec'rs,.....	668
Judson v. Gibbons,.....	223, 627

**K**

Kain v. Fisher,.....	273, 275
Kain v. Masterson,.....	612
Kane v. Astor's executors,.....	131
Kane v. Gott,.....	403
Kapp v. The Public Administrator,....	275-6
Keen v. La Farge,.....	350
Keeney v. Whitmarsh,....	68, 69, 80, 90, 148, 153-4, 173
Keigwin v. Keigwin,.....	77
Kellett v. Rathbun,....	506, 509-10, 512, 532, 542, 739
Kellinger v. Roe,.....	680
Kellogg, in the matter of,.....	536, 690
Kennedy v. Mills,.....	471
Kenney v. The Public Administrator, ..	266
Kent, John, in the matter of the estate of,.....	378, 488, 504
Ketchum v. Ketchum,.....	655

Kettletas v. Gardner,.....	700
Kidd v. Chapman,.....	378, 552
King v. Baker,.....	423
King, in the goods of,.....	136
King v. Strong,.....	475
King v. Woodhull,.....	403, 475-6
Kirby v. Potter,.....	419
Kirby & Robbins v. Carpenter and ots,	551, 740
Kirby v. Turner,.....	246, 525, 678, 686
Knapp v. Curtiss,.....	664, 668
Knapp v. Knapp,.....	200
Knight v. Weatherwax,.....	138
Kohler v. Knapp,.....	6, 148, 228

**L**

Lally, James, in the will of,.....	118
Langdon v. Astor's executors,.....	144, 145, 435
Lanning agt. Swarts and others,....	388, 665
Lansing v. Cole,.....	654, 669
Larpernt v. Sindrey,.....	226
Latson, John W., in the matter of, ..	28, 29, 38
Lawrence v. Brown,.....	598, 606, 734
Lawrence v. Embree,.....	449, 450, 465
Lawrence v. Hebbard,....	65, 66, 411, 412, 422
Lawrence, Isaac, deceased, the real estate of,.....	592, 599, 609, 610, 613
Lawrence v. James L. Brinckerhoff and others,.....	748
Lawrence v. Lawrence,.....	213, 224, 256
Lawrence v. Miller,.....	598, 606, 617
Lawrence v. Trustees of Leake and Watts' Orphan House,.....	324
Lawrence v. Stitch,.....	419
Lay, in the goods of,.....	125
Leaycraft v. Simmons, ..	60, 90, 108, 130, 173, 179
Leddell & McVickar,.....	534
Leeman v. Wood and others, adm'rs,....	667
Lee, Mason, heirs at law of agt. Executors of Mason Lee,.....	58
Lee v. Moore,.....	213
Lee v. Pain,.....	417
Lefevre v. Laraway,.....	610, 688
Le Ford and others v. Delafield,.....	635
Legget v. Hunter,.....	638
Le Roy v. Bayard,.....	284
Leroy, <i>ex parte</i> ,.....	116
Lewis agt. Dutton, ..	8, 677, 678, 679, 683, 701
Lewis agt. Lewis, ..	67, 78, 79, 84, 90, 92, 100, 121, 175
Lewis, Samuel William, in the goods of, ..	134
Lidderdale v. Robinson,.....	525
Lindsay, <i>ex parte</i> ,.....	53, 183
Linn v. Clow,.....	670
Livingston, in the matter of,.....	539, 540
Lloyd v. Tench,.....	566
Lockhart v. The Public Administrator,...	719
Lockwood v. Stockholm,.....	238, 239
Longchamp v. Fish,.....	179
Lorillard v. Coster,.....	410
Lowe v. Joliffe,.....	172
Lupton v. Lupton,.....	445, 466, 474
Lyon v. Smith,....	66, 101, 102, 112, 115, 116



## M.

MacFarland v. Irwin,.....	342
Mactier v. Frith,.....	293
Magee v. Vedder,.....	378, 552
Mahoney v. Gunter,.....	375
Mallory v. Vanderheyden,.....	330, 331
Manderville, executor, &c. v. Manderville,.....	645
Manhattan Company v. Evertson,.....	317
Mann v. Lawrence,.....	534, 537
Mann v. Mann,.....	409, 416
Manny v. Phillips,.....	670
Maravet, in the goods of,.....	194
Marre v. Ginochio,.....	216, 489, 514
Marselis v. Thalheimer,.....	411
Marsh v. Hague,.....	465
Marsh v. Wheeler,.....	426
Marston v. Paulding,.....	26
Martin v. Bradley,.....	320
Martin v. Gage,.....	494, 498, 514
Martin v. Wright's administrators,.....	332
Mason v. Jones,.....	408, 410, 641
Matthews v. Matthews,.....	323, 589
Matthews v. The Mayor, &c., of the city of New York,.....	720
Maverick v. Reynolds,.....	57, 60
McCann agt. Bradley,.....	667
McCartee v. Camel,.....	511, 575
McCartee v. Orphan Asylum Society,.....	51, 402
McComb, <i>ex parte</i> ,.....	448, 449, 466, 537
McCormick, <i>ex parte</i> ,.....	53, 194, 195, 208
McCosker v. Golden,.....	236, 238, 573
McDonnell, <i>ex parte</i> ,.....	207
McDonough v. Loughlin,.....	67, 109, 111, 112, 163, 400
McEvers v. Pitkin, late sheriff,.....	321
McEwin v. Bertine,.....	423, 477
McGlinsey, appeal of,.....	313
McGuire v. Kerr,.....	57, 71, 106
McIntire v. Morris' administrators,.....	337, 348
McKay v. Allen,.....	331
McLoskey v. Reid,.....	129, 193, 421, 461
McMahon v. Harrison,.....	215, 241, 646, 742
McNabb v. Pond,.....	273
McNamara v. Dwyer,.....	224, 585
McPherson v. Clark,.....	134, 186
McSorley v. McSorley,.....	57, 60
McWhorter v. Benson,.....	540
Mead v. Mead,.....	186, 741, 749
Meakings v. Cromwell,.....	629
Meehan v. Rourke,.....	57, 102, 105
Mercein v. Smith,.....	294, 302, 366
Merchant v. Merchant,.....	266, 514, 552
Merchants' Bank v. Birch,.....	333
Merritt v. Seaman,.....	294, 302, 367
Mersereau v. Ryers,.....	662, 668, 669
Mesick v. Mesick,.....	524
Messerve v. Sutton,.....	742
Methune v. Methune,.....	182
Metzer v. Metzer,.....	511, 513, 514
Mick v. Mick,.....	51
Miles v. Boyden,.....	672
Miller v. Travers,.....	417
Mills v. Fogal,.....	193
Mills v. Thursby,.....	344, 374, 552

Mitchell v. Blain,.....	444
Moehring v. Mitchell,.....	63, 422
Mollan v. Griffith,.....	329
Monell agt. Dennisen,.....	8, 151
Montgomery v. Dunning,.....	287
Moore v. Budd,.....	194
Moore v. Moore,.....	60, 98, 112, 601
Moore v. White,.....	599
Mootrie v. Hunt,.....	251
Morehouse v. Cook,.....	682
Morrell v. Dickey,.....	224, 460, 461, 686
Morris v. Kent,.....	428, 466
Morris v. Keyes,.....	201
Morrison v. Smith,.....	59, 61, 180
Moses v. Murgatroyd,.....	569
Moss v. McCullough,.....	587
Mowatt v. Carow,.....	412, 417
Mowry v. Silber,.....	57, 179
Mountain v. Bennett,.....	61
Muir v. Trustees of Leake and Watts' Or- phan House,.....	188, 211
Mulheran's ex'rs v. Gillespie,.....	431, 660, 668
Munson agt. Howell,.....	670
Murray v. Blatchford,.....	261
Murray v. Mumford,.....	322

## N

Nash v. Harned,.....	351
Nash v. The Mayor, &c., of the City of New York,.....	720
Nelson v. McGiffert,.....	67, 113, 120, 130, 131, 133, 135, 160, 174, 182, 201
Netherton v. Jessup,.....	326
New v. Jones, <i>coram</i> ,.....	538
Newhouse v. Godwin,.....	54, 55, 57, 120, 123, 173, 177, 178, 179
Newton agt. Bronson,.....	307, 310, 628, 629
Newton v. Osborn,.....	326
Nicholl v. Mumford,.....	550
Nichols v. Chapman,.....	306, 340
Nichols and Freeman v. Binna,.....	59, 176
Nicholson v. Showerman,.....	658, 669
Nipper v. Grosbeck,.....	67, 68, 83, 84, 98
Nodding v. Alliston,.....	74, 121
Norbury v. Norbury,.....	521
New York Institution for Blind v. How's Executors,.....	416

## O

Odell v. Buck,.....	56
Ogden v. Smith,.....	627
Ogilvie v. Ogilvie,.....	529, 530
Olmsted v. Vredenburg,.....	362, 368, 370, 582
O'Neil v. Murray,.....	61, 177, 181
Orleans de, Her R. H. Duchess, in the goods of,.....	240
Orser and others v. Hoag,.....	51
Osborne Thomas, in the goods of,.....	194
Owens agt. The Missionary Society of the Methodist Episcopal Church,.....	404
Owings v. Owings,.....	431

**P**

**Paff v. Kenney**,.....577, 578  
**Page v. Donovan and Harkey**.....73  
**Pain v. Bartlett's administrators and ex-  
 cutors**.....574  
**Palmer v. Palmer**,.....655  
**Parish, in the matter of**,.....583  
**Parker, Francis E., in the matter of the  
 petition of**,.....6, 25, 689  
**Parker's executors v. Gainor's administra-  
 tors**,.....359, 360  
**Parkhill agt. Hillman and others, adminis-  
 trators**,.....668  
**Parkinson v. Parkinson**,.....464  
**Parks v. Hardey**.....730, 731, 733, 734  
**Parks v. Parks**,.....404, 405, 410  
**Parsons v. Lyman**,.....340, 570, 572  
**Patchen v. Wilson**,.....260, 294  
**Patridge's administrator v. Patridge**,...  
**Patterson v. Ellis**,.....425  
**Payne v. Matthews**,.....550  
**Peck v. Mead**,.....273  
**Peebles v. Case**,.....120, 121, 174  
**Pelham v. Newton**,.....278  
**Pembroke, Countess Dowager of, in the  
 goods of**,.....74, 118  
**Pendleton v. Fay**,.....629  
**People, The v. Barnes**,.....7-8, 580  
**People, The v. Conklin**,.....51  
**People, The v. Corlies**,.....8  
**People, The v. Gibbs and another, exe-  
 cutors, &c., of Gibbs, late sheriff, &c.**,...421  
**People, The v. Guild**,.....580  
**People, The v. Irvan**,.....51  
**People, The v. Kearney**, 672, 674, 677,  
 681, 684, 689, 700  
**People, The v. Pelham**,.....34  
**People, The v. Rowland**,.....580  
**People, The v. The Judges of the Albany  
 Mayor's Court**,.....341, 359, 371  
**People, The agt. Wilcox**,.....8, 680, 683  
**Perley v. Sands**,.....649  
**Perry v. Mitchell**,.....37  
**Peters v. The Public Administrator**, 236, 244  
**Petrie v. Shoemaker**,.....56  
**Pew v. Hastings**,.....5, 6  
**Phillips v. Atkinson**,.....302  
**Philpot, in goods of**,.....77  
**Philpott v. Bryant**,.....332  
**Phipps, in the goods of**,.....125  
**Phyfe v. Phyfe**,.....423  
**Pierce, Hannah M., in the matter of**,...8, 674,  
 677, 679, 683, 701  
**Pinney v. Administrators of Johnson**,...373  
**Pinney v. Fancher**,.....464  
**Plenty v. West**,.....130  
**Plowden v. Hyde**,.....142  
**Pond v. Bergh**,...50, 51, 52, 144, 408, 410  
**Pool v. Wilshaw**,.....566  
**Porter v. Swetnam**,.....326  
**Post, William, in the matter of the estate  
 of**,.....215, 241  
**Potter v. Chapin**,.....404  
**Potter v. Etz et al., administrators, &c.**...658

**Powell v. Murray**,.....420, 671  
**Preston v. Lord Melville**,.....194  
**Price v. Brown**,.....108, 120  
**Price v. Page**,.....417  
**Price, Stephen, in the matter of the real  
 estate of**,.....620  
**Priest v. Watkins**,.....255  
**Prince v. Hazleton**,.....203  
**Pritchard v. Hicks**,.....671  
**Proctor v. Wanmaker**,...6, 7, 649, 713, 716  
**Proud agt. Whiton**,.....386, 66, 5  
**Public Administrator, The v. Peters**, 236, 245  
**Public Administrator, The v. Ward**,.....708  
**Public Administrator, The v. Watts and  
 Leroy**,.....159, 712  
**Pugaley v. Aikin**,.....273  
**Pumpelly v. Tinkham**,.....249, 250

**R**

**Raine, Joseph, in the goods of**,.....53  
**Ranie, in the goods of**,.....128  
**Rapalye v. Hall**,.....688  
**Raphael v. Boehm**,.....530  
**Rathbone v. Dyckman**,.....407-8  
**Ray agst. Van Hook**,.....576, 653  
**Rea v. McEacheron**,.....611, 614  
**Redding, otherwise Higgins, in the goods  
 of**,.....136  
**Remsen v. Brinckerhoff**,...49, 66, 68, 76, 83,  
 85, 94, 120-1, 173-4  
**Renwick v. Renwick**,...239, 599, 602, 620  
**Reynolds v. Collins**,.....345, 347, 391  
**Reynolds v. Reynold's admin'rs**,.....361  
**Rex v. Netherseal**,.....213  
**Rice v. Jones**,.....193  
**Rich v. Frank**,.....327  
**Richards v. Queen's Proctor**,.....130  
**Richardson v. Judah**,.....625-6  
**Rickart v. Townsend**,.....324  
**Rickets v. Livingston**,.....431  
**Ridgeway v. Clare**,.....323  
**Rieben v. Hicks**,.....67, 68, 84, 90  
**Roberts, Catharine, in the matter of the  
 will of**,.....197  
**Roberts v. Ditmas, admr'x, &c.**,...389-90, 392,  
 658-9  
**Roberts, in the matter of**,.....536, 692  
**Robertson agt. Caw**,.....161, 459  
**Robertson v. McGeogh**,.....222-3  
**Robertson v. Shiell**,.....666  
**Robins v. Coryell**,.....66, 69  
**Robinson v. Crandall**,.....224  
**Rodd v. Lewis**,.....178  
**Roe v. Swezey**,.....356, 627  
**Rogers' Appellants**,.....53  
**Rogers v. Holley**,.....666  
**Rogers v. King**,.....495, 584  
**Rogers v. Rogers**,.....190, 310, 590, 688  
**Roman Catholic Orphan Asylum v. Em-  
 mons**,.....408  
**Roosevelt v. Ellithorp**,.....670  
**Roosevelt v. Thurman**,.....405

# TABLE OF CASES.

xxiii

Root v. Stuyvesant, ..... 410  
 Root v. Taylor, ..... 366  
 Rose v. Clark, ..... 569  
 Rose v. Rose, ..... 141  
 Raddon v. McDonald, ..... 66, 102, 115  
 Ruggles v. Sherman, ..... 519  
 Russell v. Lane, ..... 382, 384-5, 664  
 Rutherford v. Rutherford, .. 79, 99, 111, 113,  
 182

## S

Sacia v. Berthond, ..... 309  
 Salmon v. Stuyvesant, ..... 410  
 Sandford v. Vaughan, ..... 183  
 Sands agt. Craft, ..... 388, 661  
 Sanford v. Granger, ..... 599, 622, 623  
 Sankey v. Lilley, ..... 180  
 Sanderson v. Bayley, ..... 413  
 Saunders' Heirs v. Saunders' Executors. 261  
 Savory, in the goods of, ..... 73  
 Sayer v. Sayer, ..... 421  
 Schieffelin v. Stewart, ..... 530, 531, 688  
 Schneider v. McFarland, ..... 267  
 Schoonmaker v. De Witt, ..... 337  
 Schultz v. Dambmann, ..... 194  
 Scott v. Howard, ..... 728  
 Scott v. Scott, ..... 135  
 Scott v. Tyler, ..... 308  
 Scofield v. Scofield, ..... 275, 276  
 Scranton v. Baxter, ..... 301  
 Scruby v. Fordham, ..... 59  
 Seabury v. Bowen, ..... 315  
 Seaman v. Duryea, . 8, 25, 28, 29, 37, 38, 689,  
 696  
 Seaman, John, in the matter of, ..... 38  
 Sears v. Mack's assignees, ..... 620  
 Sedgwick v. Ashburner, ..... 224, 278  
 Seguine v. Seguine, . 67, 68, 80, 98, 110, 165,  
 173  
 Sellis' case, ..... 550, 552  
 Sere v. Coit, ..... 446, 459  
 Seymour v. Butler, ..... 443, 464  
 Shadbolt v. Waugh, ..... 183  
 Sheldon v. Bliss, ..... 374  
 Sheldon v. Wright, ..... 596, 608, 614  
 Sherman v. Youngs, ..... 742, 743  
 Sherry v. Lozier, ..... 65  
 Shultz v. Pulber, ..... 224, 294  
 Shumway v. Cooper, . 26, 238, 487, 495, 501,  
 574  
 Sibley v. Waffle, ..... 8, 585, 596  
 Simmons v. Simmons, ..... 131  
 Simpson v. Loft and others, ..... 298  
 Skidmore v. Davies, ..... 6  
 Skidmore v. Romaine, ..... 599, 601  
 Slater v. Wheeler, ..... 323  
 Slatter v. Carroll, ..... 324  
 Sleech's case, ..... 323  
 Smith, in the goods of, ..... 67  
 Smith v. Smith, ..... 416, 456, 688  
 Smith v. Webb, ..... 213, 224  
 Smith v. Kearney, ..... 432  
 Smith v. Lawrence, .... 493, 495, 499, 548-9  
 Smith v. Van Keuren, ..... 490, 498, 751

Snelling's case, ..... 3  
 Snyder v. Young, ..... 382, 664  
 Southby v. Stonehouse, ..... 62  
 Spear v. Tinkham, ..... 452, 532  
 Spofford v. Manning, ..... 473  
 Squier v. Mayer, ..... 272  
 Stagg v. Beekman, ..... 431, 433  
 Stagg v. Jackson, ..... 556, 630  
 Stanley v. Stanley, ..... 562  
 Stanton v. Weatherwax, 55, 58, 59, 60, 176,  
 741  
 Stephens agt. Clark, ..... 386  
 Stephens v. Smart, ..... 224  
 Stephens v. Van Buren, ..... 533, 671  
 Stephenson v. Clark, ..... 661-2, 665  
 Sterret's appeal, ..... 524, 541  
 Stevens v. Gaylord, ..... 256  
 Stevenson, W. F., in the goods of, ..... 207  
 Stevenson v. Weisser, ..... 316, 351  
 Stewart v. Eden, ..... 333  
 Stewart, in the matter of, ..... 193  
 Stewart v. Kissam, ..... 356, 474, 527  
 Stewart's exec'r v. Lispenard, 48, 54, 69, 176,  
 749  
 St. Helens, Lord v. Lady Exeter, ..... 183  
 Stiles v. Birch, ..... 527, 544, 551, 578  
 Stires v. Van Rensselaer, . 411-12, 414, 422  
 St. Jurjo v. Dunscomb & Beckwith, . 240, 250  
 Stout v. Hart, ..... 434  
 Stout, Jacob, in the will of, ..... 117  
 Stracey, Sir Josias Henry, Bart., and Di-  
 ana Stracey, his wife, in the goods of, . 53,  
 128  
 Strong v. Wilkin, ..... 62, 63  
 Stulz, v. Schaeffle, ..... 177  
 Suffern v. Lawrence, ..... 740  
 Summers, John, in the goods of, ..... 77  
 Sutherland v. Brush, ..... 309  
 Sweet v. Chase, ..... 426  
 Sweet v. Geisenheiner, ..... 476  
 Swezey v. Willis, 230, 234, 277, 566-7, 569  
 Swift v. Blair's executrix, . 385-6, 392, 660  
 Symes v. Green, ..... 59, 176

## T

Taunton v. Flower, ..... 194, 213  
 Taylor, M., in the goods of, ..... 107  
 Taylor v. Delancey, ..... 243  
 Tattersall v. Howell, ..... 426  
 Taylor v. Morris, ..... 629  
 Taylor v. Wendel, ..... 329  
 Teft agt. Porter, ..... 418, 420  
 Ten Eyck v. Vanderpoel, ..... 335, 337  
 Terrible, James, in the goods of, ..... 52, 142  
 Terrill v. The Public Administrator, 423, 426  
 Terry v. Dayton, ..... 511, 516, 564, 565  
 Theological Seminary of Auburn v. Childs, 51  
 Thomas v. Cameron, ..... 254  
 Thomas, Jane, widow, in the goods of, 120,  
 175  
 Thomas v. Stevens, ..... 415  
 Thompson v. Connor, ..... 53, 183  
 Thompson, *ex parte*, ..... 124, 126, 127, 203

Thompson v. Graham,.....	304
Thompson v. Hall,.....	120
Thompson, in the matter of,.....	131
Thompson v. Quimby,.....	57, 58, 72, 118
Thompson v. Thompson, 57, 58, 73, 278, 281, 282, 283, 302, 493	
Thomson v. Thomson,.....	283
Thornton v. Winston,.....	223
Thorp v. Amos,.....	266
Thorpe v. Jackson,.....	323
Tindal agt. Jones,.....	667, 668
Tole v. Hardy,.....	437
Tompkins v. Fonda,.....	728
Tompkins v. Soulice,.....	742
Tonnele v. Hall,.....	49, 69, 70, 106, 118
Tooker v. Oakley,.....	304
Torrey v. Bowen,.....	83, 92
Tracy v. Suydam,.....	389
Travers v. Miller,.....	183
Treat v. Fortune,.....	394, 511
Trevelyan v. Trevelyan,.....	135
Trexidor, Jacinto, in the matter of the es- tate of,.....	226
Trust v. Harned,.....	393
Tucker agt. St. Clement's Church,.....	404
Tummalty v. Tummalty,.....	246
Tunison v. Tunison,....	98, 112, 177, 181, 185
Tyler v. Mapes,.....	101, 164

## U

Udall v. Kenney,.....	463
Underhill v. Dennis,.....	44, 680, 682
United States, The v. The State Bank of North Carolina,.....	315
Upson v. Badeau,.....	516

## V

Valentine v. Jackson,.....	254, 255
Valentine v. Valentine,.....	534, 535, 537
Van Allen v. Moore,.....	408
Van Alst v. Hunter,.....	60
Van Cortlandt v. Kip,.....	51, 53, 142, 475
Vanderheyden v. Vanderheyden,.....	540
Vanderpoel v. Van Valkenburg, .8, 189, 213	
Van Duzer v. Van Duzer,.....	463
Van Epps v. Van Deusen,.....	463
Van Epps v. Van Epps,.....	310, 527
Van Horn, in the matter of,.....	697
Van Kleek v. Dutch Church of New York, 51, 475	
Van Pelt v. Van Pelt,....	57, 180, 742, 750
Van Sickle v. Van Sickle,.....	574
Van Sickler v. Graham,.....	669, 670
Van Vechten v. Van Vechten,.....	467
Van Vleck v. Burroughs, .9, 375, 377, 382, 664	
Van Wert v. Benedict,.....	63, 64, 160
Van Wyck v. Bloodgood,.....	424
Van Wyck, in the matter of,.....	629, 638
Van Wyck agt. Seymour,.....	95
Varick v. Bodine,.....	655

Varick v. Jackson,.....	51
Vaughan v. Burford,.....	68, 112, 122
Vermilya v. Beatty,.....	257
Vernam v. Spencer, .68, 101, 115, 117, 173	
Voelckner v. Hudson,.....	276, 727
Voorhis v. Baxter,.....	325
Voorhis v. Child's executor,.....	325
Vredenburg v. Calf,.....	5
Vreeland v. McClelland,.....	141, 178, 192
Vroom v. Van Horne,....	224, 253, 255, 256
Vynior's case,.....	127

## W

Wain v. Walters,.....	336
Wakeman v. Hazleton,.....	541
Waldron v. McComb,.....	614, 628
Walker v. Schuyler,.....	726, 733
Walker v. Sherman,.....	272
Walker's case,.....	326
Wallace v. Markham, . . . . .	382, 664-5
Walpole, Lord v. Lord Oxford,.....	53, 128
Walsh v. Ryan,.....	158-9
Walton v. Walton,.....	419, 434-5
Ward v. Kitts, . . . . .	729
Warden, in the goods of,.....	77
Waring v. Waring,.....	58
Warner v. Warner, . . . . .	132
Warren v. Paff,.....	598
Waterman agt. Whitney,.....	130, 181
Waters v. Cullen,.....	57, 60, 64
Watson v. Donnelly,.....	57
Watts v. Aiken and others,.....	736
Watts v. Crooke,.....	568
Watts v. The Public Administrator,.....	65
Way v. Bassett,.....	323
Waydell v. Velie,.....	378
Webb, Jane, in the goods of,.....	116
Webster v. Hale,.....	419
Weir v. Fitzgerald, 56, 57, 124, 174, 178-9, 180, 182	
Welles, executor, &c., agt. Webster,....	294
Wenman v. The Mohawk Insurance Com- pany,.....	345 to 347
Wescott v. Cady,.....	408
Westerfield v. Westerfield,.....	536-7
Western v. Romaine,.....	30, 577, 652
Westervelt v. Gregg, 489-90, 496, 498, 509, 512, 516, 574, 577, 653	
Wever, v. Marvin,.....	211, 489-90, 736
Wheatley v. Lane,.....	320
Wheeler v. Alderson,.....	178
Wheeler v. Wheeler,.....	261
Whitbeck v. Patterson,.....	67, 79, 750
White v. Bullock,.....	534
White v. Carpenter,.....	306
White, John, in the goods of,.....	104
White v. Parker,.....	687 to 689, 691, 696
White v. Pomeroy,.....	245, 680-1, 686
White v. Repton,.....	125
White v. Story,.....	731, 733
White v. White,.....	574
Whitfield, <i>ex parte</i> ,.....	689
Whitmore v. Foose,.....	382, 383, 664

# TABLE OF CASES.

XXV

Whittle, in the goods of,.....	72, 109	Wilson v. The Baptist Education Society, 8,	
Wightman v. Stoddard,.....	178, 181, 185		265, 378, 552
Wilcox v. McCarty,.....	529, 533	Wilson v. Wilson,.....	588
Wilcox v. Smith, 348, 509, 511, 514, 515, 516,		Wing v. Ketcham,.....	297
520, 527, 542, 577, 585, 652, 653, 751		Winne v. Van Schaick, 359, 371, 373, 659,	
Wilder v. Keeler,.....	260		668
Wilkes v. Harper,.....	474	Wolfe v. Van Nostrand,.....	408
Wilkinson v. Henderson,.....	323	Wood v. Byington,.....	599, 602
Williams v. Crary,.....	430, 431	Wood v. Cox,.....	489
Williams v. Fitch,.....	736, 751	Wood v. Vandenberg,.....	444, 445, 670
Williams v. Harden,.....	513	Wood v. Wood,...	217, 677, 683, 693
Williams v. Holden,.....	339	Woodin agt. Bagley,.....	389, 658, 669
Williams v. Purdy, 368, 383, 496, 509, 510,		Woodruff v. Cox,.....	216
511, 512, 513		Wright v. Wright,.....	531
Williams v. Stora,.....	224, 256	Wright and others v. Trustees Methodist	
Williams v. Upton,.....	298	Episcopal Church,.....	404, 543, 546
Williams v. Williams,.....	401, 410	Wright v. Wakeford,.....	108
Williamson v. Williamson, 31, 33, 445, 449,		Wurts v. Jenkins,.....	495, 549
464, 465			
Willis v. Green,.....	333		
Willoughby v. McCleur,.....	350		
Wilmot, John Percival, in the goods of,...	73		
Wilson v. Carmichael,.....	538		
Wilson v. Hetterick,....	83, 84, 92, 100, 121		
Wilson v. Moran,.....	123, 179, 181		
Wilson v. Squire,.....	417		

## Z

Zabriskie and others v. Smith,.....	291
Zichy, Ferraris, The Countess De v. Lord	
Hertford,.....	194
Zilkin v. Carhart,.....	528









S U R R O G A T E S  
AND  
S U R R O G A T E S ' C O U R T S ,  
AND  
EXECUTORS, ADMINISTRATORS, AND GUARDIANS  
IN THE  
STATE OF NEW YORK.

---

CHAPTER I.  
OF THE JURISDICTION, POWERS, DUTIES, AND  
LIABILITIES OF SURROGATES.

OF THE ORIGIN OF THE OFFICE.

THE office of surrogate in this state is in many respects similar to, and perhaps was derived from, the metropolitan and diocesan jurisdictions until recently existing in England, exercising authority over the estates and effects of deceased persons.<sup>(a)</sup>

(a) By the Act 20 and 21 Vict., cap. 77, entitled "An act to amend the law relating to probates and letters of administration in England," 25th August, 1857, the voluntary and contentious jurisdiction and authority of all ecclesiastical, royal peculiar, peculiar, manorial, and other courts and persons in England then having jurisdiction or authority to grant or revoke probate of wills or letters of administration of the effects of deceased persons was, in respect of such matters, absolutely abolished; and, by the following sections of the act, a new court vested with this jurisdiction, and styled the Court of Probate, was established.

"§ 4. The voluntary and contentious jurisdiction and authority in relation to the granting or revoking probate of wills and letters of administration of the effects of deceased persons, now vested in, or which can be exercised by, any court or person in England, together with full authority to hear and determine all questions relating to matters and causes testamentary, shall belong to and be vested in Her Majesty, and shall, except as hereinafter is mentioned, be exercised in the name of Her Majesty in a court to be called the Court of Probate, and to hold its ordinary sittings, and to have its principal registry, at such place or places in London or Middlesex as Her Majesty in council shall from time to time appoint.

"§ 5. There shall be one judge of Her Majesty's Court of Probate; and it shall be law-

By the old law of England, the king, as the *parens patriæ* and general trustee of the kingdom, was entitled to seize upon the goods of such persons as died intestate, to the intent that they should be preserved and disposed of for the burial of the deceased, the payment of his debts; to advance his wife and children, if he had any, and, if not, those of his blood.<sup>(b)</sup>

This prerogative the king exercised for some time by his own ministers of justice; and it was granted as a franchise to many lords of manors and others, who had, until the 20 & 21 Vict., cap. 77, went into operation, a prescriptive right in these matters;<sup>(c)</sup> and afterwards, the crown, in favor of the church, invested the prelates with this branch of the prerogative; which was done, saith Perkins,<sup>(d)</sup> because it was intended by the law that spiritual men are of better conscience than laymen, and that they had more knowledge what things would conduce to the benefit of the soul of the deceased. The effects were therefore committed to the ordinary, and he might seize and keep them without wasting, and, after the *partes rationabiles* or two-thirds belonging to the wife and children were deducted, might give, alien, or sell the remainder at his pleasure, and dispose of the money in charity to the poor, or in such superstitious uses as the mistaken zeal of the times had denominated pious. If he did otherwise, he violated the trust reposed in him as the king's almoner within his diocese. And as the ordinary had thus the disposition of intestates' effects, the probate of wills of course followed; for it was thought just and natural that the will of the deceased should be proved to the satisfaction of the functionary, whose right of distributing his chattels for the good of his soul was effectually superseded thereby.<sup>(e)</sup>

ful for Her Majesty from time to time, by letters patent, under the great seal of the United Kingdom, to appoint a person, being, or having been, an advocate of ten years' standing, or a barrister-at-law of fifteen years' standing, to be such judge."

The act provided that it should come into operation on such day, not sooner than the 1st of January, 1858, as Her Majesty should by order in council appoint, provided that such order should be made one month at least previously to the day so to be appointed. This act, together with the Act 20 & 21 Vict., c. 85, entitled "An act to amend the law relating to Divorce and Matrimonial Causes in England," depriving the ecclesiastical courts of jurisdiction in relation to divorce and matrimonial causes, and establishing "Her Majesty's Court for Divorce and Matrimonial Causes," came into operation, by virtue of an order in council, on the 11th of January, 1858. On the 12th of January, 1858, Sir Cresswell Cresswell, having been appointed judge of the two courts, took his seat as judge of Her Majesty's Court of Probate at Westminster.

(b) 2 Black. Comm. 494; *Hensloe's case*, 9 Co. 38 b; 9 Co. 376; Toller on Executors, 80; Williams on Executors, 350.

(c) Previous to 20 and 21 Vic., cap. 77, about eighty of the testamentary courts in England were *lay* courts, the franchise being attached to corporations, manors, forests, universities and hospitals; and most of them were held by prescription, and were of indefinite antiquity, or of Saxon origin. Fourth Report of Commissioners on the Law of Real Property, pp. 50, 51, 107. See 1 Bradf. Surr. Rep. xix.

(d) 9 Rep. 38.

(e) 2 Black. Comm. 494; Toll. on Exrs. 80. This is the history of this jurisdiction, as given in the authorities quoted. The reader is referred, however, upon this subject, to the learned and interesting dissertation on "the origin of the ecclesiastical jurisdiction over the probate of wills, and the administration of the estates of deceased persons, as connected with the prevalence of the rules and principles of the civil law in this department of jurisprudence," contained in the introductory note to the first volume of Bradford's Surrogate's Court Reports. From this, it will appear that the jurisdiction of the ordinary was acquired

The goods of the intestate being thus vested in the ordinary upon the most solemn and conscientious trust, the reverend prelates were, therefore, not accountable to any but to God and themselves for their conduct. But the conduct of the ordinary did not justify the presumption which had been thus formed in his favor. The trust so confided to him he did not very faithfully execute. He converted to his own use, under the name of church and poor, the whole of such residue, without even paying the deceased's debts. To redress such palpable injustice, the Statute of Westminster or 2, the 13 E. I, ch. 19, was passed; by which it is enacted that the ordinary is bound to pay the debts of the intestate, so far as his goods will extend, in the same manner as executors are bound in case the deceased has left a will—an use, as Mr. Justice Blackstone styled it, more truly pious than any requiem or mass for his soul.<sup>(f)</sup> This, however, it has been said, was the law at common law, and that the Statute of Westminster 2 was made in affirmance of the common law.<sup>(g)</sup>

But though the ordinary was, either at common law or now by force of this statute, liable to the intestate's creditor, yet the residue, after payment of debts, continued in his hands, to be applied to whatever purposes his conscience might approve. But as he was not sufficiently scrupulous to prevent the perpetual misapplication of the fund, the legislature again interposed, in order to divest him and his dependents of the administration. The statute 31 E. III, ch. 11, therefore, provides that in case of intestacy the ordinary shall depute the nearest and most lawful friends of the deceased to administer his goods; and they are thereby put on the same footing, in regard to suits and to accounting, as executors appointed by will.<sup>(h)</sup>

This is the original of administrators,<sup>(i)</sup> as they at present stand

in the reverse order to that above stated; that, under the Anglo-Saxons, the probate of wills was exclusively the subject of the jurisdiction of the ordinary courts, or of those public assemblies which, by the custom of the age, possessed judicial powers; that the clergy probably, first, for purposes of convenience and assistance in expounding the laws, and, afterwards, by custom and royal warrant, became presiding judges with the civil magistrate, in the most important courts, and that these courts continued after the conquest, and exercised their customary jurisdiction, without any perceptible change, until about the eighteenth year of William the Conqueror, when he separated the ecclesiastical from the secular jurisdiction; that, although the church did not possess probate jurisdiction, either by the civil or the canon law, approaches were made towards the acquisition of this authority at a very early period; that, in the Saxon county courts, the peculiar cognizance of wills would naturally tend rather to the charge of the bishop, than of the earl, and when, by the direction of William, the bishop ceased to sit with the earl, and was authorized to hold his own court at such place as he might designate, he took with him the probate jurisdiction: and that the charge of the proof of wills, and the execution of legacies, being then in the bishop or ordinary, the administration of the estate of an intestate, in progress of time, flowed into the same channel.

<sup>(f)</sup> Toll. on Exrs. 80.

<sup>(g)</sup> *Snelling's case*, 5 Rep. 82 b; 9 Rep. 39 b. See Wms. on Exrs. 351-2.

<sup>(h)</sup> Toll. on Exrs. 81, 82; 2 Black. Comm. 495-6; 1 Wms. on Exrs. 352.

<sup>(i)</sup> Toll. on Exrs. 81; 1 Wms. on Exrs. 352. By statute 21 Henry VIII, ch. 5, the ordinary is given a discretion to grant administration either to the widow or the next of kin, or to both of them; and where two or more persons applying are in the same degree of kindred, he has his election to accept whichever he pleases. The statute of distributions (22 and 23 Car. II, ch. 10; 29 Car. II, ch. 30,) destroyed the old common law right to the *pars rationabilis*, and made the estate distributable among the widow and next of kin—leaving still, however, in the hands of the administrator, for his own use, the third formerly

in England; at least so it was stated previously to the recent act(*j*) abolishing the ecclesiastical jurisdiction in matters testamentary and relating to administration. And notwithstanding that act, the remark still holds good, as by that act the jurisdiction to grant or revoke probate or letters of administration, and in matters pertaining thereto, has only been changed from the ecclesiastical to a secular tribunal. The vestiges of the old authority still linger in the forms in use in England, and they have been followed in the Surrogates' Courts of this state.

In the American law, these, as well as other secular matters, have always been assigned to the courts and magistrates of civil jurisdiction.(*k*)

Before the Revolution, the power of granting letters testamentary and letters of administration, and perhaps other powers vested in England in the ecclesiastical courts, resided in New York in the colonial governor, as judge of the prerogative court or court of probates of the colony.(*l*) The probate of last wills and testaments, and granting of administration of intestates' estates, were declared, by an act of the general assembly of the colony of the 11th Nov. 1692, to be vested in the governor, "or in such persons as he should delegate under the seal of the prerogative court."(*m*) This right continued down to the Revolution. The surrogates were the deputies of the governors, as judges of the prerogative court or court of probates, acting in conformity to the system prevailing in the ecclesiastical courts of England.(*n*) By the "Act to organize the government of this state," passed 16th of March, 1778, the court of probates was instituted, and surrogates were first recognized under the state government.(*o*)

The session laws, and the various revisions previous to the Revised Statutes, contain numerous enactments respecting this officer. By an act of the 5th of April, 1802, surrogates were authorized to allow of guardians, who should be chosen by infants of the age of fourteen years, and to appoint guardians for such as should be within that age, in as full and ample manner as the chancellor of this state might or could appoint or allow of the same. They have been authorized, also, by statute, to cause the admeasurement of dower to widows. By the act of the legislature of 21st March, 1823, the Court of Probates was abolished, and all its writings, records, and proceedings, were ordered to be deposited in the office of the secretary of this state.(*p*)

retained by the church, and finally the statute of 1 Jac. II, chap. 17, made this third the subject of the statute of distributions. "Thus, after the lapse of many years," says Mr. Surrogate Bradford, "the restitution of the estate to the use of the family of the deceased, and its administration under certain prescribed rules, was effected, and nothing was left to the spiritual courts, save the judicial cognizance of this class of cases." 1 Bradf. Surr. Rep. Intr. note, p. xxvi.

(*j*) 20 and 21 Vict., cap. 77. See *ante*, p. 1.

(*k*) 2 Kent's Comm. 409.

(*l*) 2 Kent's Comm. 409; 3 R. and or. S. (2d. ed. R. S.) App. 679.

(*m*) Bradford's Col. Laws, 16.

(*n*) 1 Bradf. Surr. Reps. 78; 1 R. L. 1813, 454, note.

(*o*) *Vide* Gr. v. 1, 18, sec. 3.

(*p*) S. L. 1823, 62.

## OF THE FORMER GENERAL POWERS AND JURISDICTION OF SURROGATES.

It is not within the scope of this work to discuss any of the questions which formerly existed as to the powers and jurisdiction of surrogates in this state. Before the adoption of the Revised Statutes, their jurisdiction was very undefined; the laws respecting them, and the subjects of their cognizance, were defective, ambiguous, and irreconcilable; and the practice and decisions were various and floating. The Revised Statutes provided for the future an effectual remedy for these evils, by accurately and strictly defining the purposes and ends of the office, the objects and extent of its authority, the means of exercising and enforcing such authority, and the duties and responsibilities of the officer.

## OF THEIR INCIDENTAL AND CONSTRUCTIVE AUTHORITY.

The first section of the title of the Revised Statutes relative to Surrogates' Courts, as originally reported by the revisers and enacted by the legislature, after enumerating the powers of the surrogate, as hereinafter given, concluded as follows:

"Which powers shall be exercised in the cases and in the manner prescribed by the statutes of this state, *and in no other; and no surrogate shall, under pretext of incidental power or constructive authority, exercise any jurisdiction whatever not expressly given by some statute of this state.*"(q) Whether or not it was found that the limited and precise terms of the Revised Statutes were not adapted to the nature of the proceedings, or adequate to the business of the Surrogates' Courts, at any rate, by the 71st section of the act of the 16th of May, 1837,(r) so much of this provision as is above printed in *italics* was repealed. After the Revised Statutes, "it was found," says Chancellor Walworth,(s) "that the exercise of certain incidental powers by courts was absolutely essential to the due administration of justice, and that the revisers and the legislature had not, by their care and foresight, been able to take the case of these Surrogates' Courts out of the operation of the general rule." And it has been also remarked that the entire course of legislation since the adoption of the Revised Statutes has looked rather to an enlargement than a diminution of the authority of the surrogate.(t)

The vigor and extent of their incidental and constructive authority, since the repeal of the restrictive clause in the Revised Statutes, have been adverted to in several reported cases, and the exercise of powers not enumerated in the statute has been repeatedly sustained and commended by the appellate court. In *Vredenburg v. Calf*,(u) it was held that since the repeal of the prohibitory provision of the Revised Statutes, where an order has been entered when the surrogate had not the power to enter such an order, he not only has the right, but it is

(q) 2 R. S. (1st ed.) 221.

(r) S. L. 1837, 536.

(s) In *Pew v. Hastings*, 1 Barb. Ch. Rep. 454.(t) *Isham v. Gibbons*, 1 Bradf. Surr. Rep. 78.

(u) 9 Paige, 128.



his duty, to set it aside for irregularity. In *Skidmore v. Davies*,<sup>(v)</sup> it was expressly stated that the remedy of a party aggrieved by an irregular *ex parte* order made by a surrogate, is to apply to the surrogate to vacate or set aside the order, and not by an appeal. And where a default has been regularly taken in the Surrogate's Court against a party by mistake of the party or by accident, it is settled that the surrogate has the power to open the decree taken by such default, and set the same aside, upon a proper application for that purpose showing such mistake or accident.<sup>(w)</sup> And if an order is actually made by the surrogate at a particular time, and he was then authorized to make such an order, he probably has the right afterwards to enter it *nunc pro tunc*, as of that date, if by any inadvertence it was not entered in the books of his office at the time it was made.<sup>(x)</sup> The effect of the amendment of 1837, however, it seems, was only to restore to the surrogates the powers which were incidental and necessary to the proper discharge of the powers conferred upon them by statute or otherwise. The section as now amended (presently to be given at length), therefore, gives to the surrogates substantially the same powers as they possessed previous to the adoption of the Revised Statutes.<sup>(y)</sup>

In *Isham v. Gibbons*,<sup>(z)</sup> before the surrogate of the county of New York, it was intimated on this subject that the surrogate has a right to receive a foreign probate in evidence where the deceased was domiciled abroad, independently of the statutory declarations. Although the statute does not contain any provision expressly conferring the authority, it was considered in the case of the last will and testament of Catharine McCabee, deceased, before the surrogate of the county of New York,<sup>(a)</sup> that the surrogate has jurisdiction, where one will has been already admitted to probate, to take the proof of a subsequent will and to call in the previous probate; that this power is essential to the administration of justice, and a necessary incident to the exclusive jurisdiction of the surrogate over the subject matter of the probate of wills.<sup>(b)</sup> And that, the power to take the proof of wills being given generally, the mode of its exercise, in a case not provided for by statute, must be regulated by the court in the exercise of a sound discretion, according to the peculiar circumstances of each particular case. "For example," it was said, "there can be no doubt that a legatee or party interested in a later will, discovered after a previous will has been admitted to proof, has a right to have the last will proved, and letters testamentary issued thereon; but there cannot be two last wills and two sets of letters at the same time. It is incidental, therefore, to the exercise of jurisdiction in taking probate of the last will and the consequent grant of letters, to revoke the first probate and the first letters testamentary." And in *Kohler v. Knapp*,<sup>(c)</sup> it was held that, by the repeal, by the act of 1837, of the above-mentioned limitation provided by the Revised

(v) 10 Paige, 316. See, also, *Proctor v. Wanmaker*, 1 Barbour's Chan. Rep. 302.

(w) *Pew v. Hastings*, 1 Barb. Ch. Rep. 452; *Harrison v. McMahon*, 1 Bradf. Surr. Rep. 283.

(x) *Butler v. Emmet*, 8 Paige, 12, 21.

(y) *In the matter of Parker*, 1 Barb. Ch. R. 154.

(z) 1 Bradf. Surr. Rep. 69.

(a) *Campbell v. Logan*, 2 Bradf. Surr. R. 90.

(b) See 2 R. S. 220; 3 R. S. (5th ed.) 362.

(c) 1 Bradf. Surr. Rep. 241.

Statutes, the original jurisdiction of the surrogate, in relation to the grant of administration, was placed where it had been previous to the Revised Statutes, except so far as it was regulated expressly by statute. And it was declared that although the surrogate must in every enumerated case exercise his powers "in the cases and in the manner prescribed by the statutes of this state," yet, in a *casus omissus*, he should not decline jurisdiction because the law is silent as to the mode in which it is to be exercised, when it is apparent that a proper occasion to invoke his authority has arisen.(d)

The ends of justice demand at all times a vigorous exercise of the powers of the court in holding trustees to a strict accountability for all their acts during the period of their trust; but the court will proceed with great delicacy in exercising incidental jurisdiction, when there exists an undoubted remedy for the alleged evil elsewhere, especially when the rights of sureties on the official bond of the officer sought to be made liable may be seriously affected. Thus, where certain leasehold estate belonged to the testator at the time of his death, and the collector set up title in himself by virtue of a lease from the owners of the property, made prior to his appointment as collector, and posterior to the testator's decease, it was held that the surrogate had not jurisdiction, on the accounting of the collector, to try the validity of a title thus acquired before the fiduciary relations of the collector with the estate commenced.(e)

#### SURROGATES' COURTS—STATUTORY COURTS.

Surrogates' Courts are not, by the Revised Statutes, courts of record, but are named therein among the "courts of peculiar and special jurisdiction."(f) "The Surrogates' Courts" are included in the enumeration of the courts of justice of this state, contained in sec. 9, title 1, of the Code of Procedure.

It was laid down, previous to the enactment of the Code of Procedure, that the Surrogate's Court is entirely a creature of the statute, and that in pleading its decree it should be shown affirmatively, therefore, that the facts upon which it acted gave jurisdiction of the subject matter and of the persons.(g) By the Code,(h) however, it is provided that in pleading a judgment, or other determination of a court or officer of special jurisdiction, it shall not be necessary to state the facts conferring jurisdiction; but such judgment or determination may be stated to have been duly given or made. If such allegation be controverted, the party pleading shall be bound to establish on the trial the facts con-

(d) See, also, *Bliss v. Sheldon*, 7 Barb. Sup. Ct. Rep. 152. Independently of the statute of 1837, a surrogate has power to call in and revoke letters of administration, which have been irregularly and improperly obtained upon a false suggestion of a matter of fact, and without due notice to the party rightfully entitled to administration. *Proctor v. Wanmaker*, 1 Barb. Ch. Rep. 302.

(e) *Gottsberger v. Smith*, 2 Bradf. Sur. Rep. 86.

(f) 2 R. S. 220, 276; 3 R. S. (5th ed.) 361, 362, 468.

(g) *Dakin v. Hudson*, 6 Cow. 221; *Corwin v. Merritt*, 3 Barb. Sup. Ct. Rep. 341. See, also, *The People v. Barnes*, 12 Wend. 492.

(h) Code of Procedure, as amended July, 1851, sec. 161; Code of 1848, sec. 138; 1849, 1851, sec. 161; 3 R. S. (5th ed.) 520.

ferring jurisdiction. Formerly, in all the cases relating to surrogates' sales of real estate, it was held that the person claiming under them must show affirmatively that the officer had acquired jurisdiction.<sup>(i)</sup> But now, by statute of March 23, 1850,<sup>(j)</sup> every sale heretofore made or hereafter to be made under any of the provisions of the title of the Revised Statutes concerning the powers and duties of executors and administrators, in relation to the sale and disposition of the real estate of their testator or intestate,<sup>(k)</sup> and of the acts amending the same or in addition thereto, shall be deemed and held to be as valid and effectual as if made by order of a court having original general jurisdiction; and the title of any purchaser at any such sale, made in good faith, shall not be impeached or invalidated by reason of any omission, error, defect or irregularity in the proceedings before the surrogate, or by an allegation of want of jurisdiction on the part of such surrogate; except in the manner and for the causes that the same could be impeached or invalidated, in case such sale had been made pursuant to the order of a court of original general jurisdiction.<sup>(l)</sup>

The Surrogate's Court has, however, only a special and limited statutory jurisdiction, and in general its proceedings can be sustained only by showing a conformity to the statute.<sup>(m)</sup> The authority to do certain acts, or to exert a certain degree of power, however, need not be given in express words. If the authority may be fairly and reasonably inferred from the general language of the statute, or if it be necessary to accomplish its objects, and to the just and useful exercise of the powers which are expressly given, it may be taken as granted.<sup>(n)</sup> And in matters and proceedings coming within the cognizance and jurisdiction of the surrogate, his decree is conclusive, and cannot in general be impeached in a collateral proceeding.<sup>(o)</sup> If the surrogate obtain jurisdiction, subsequent error or irregularity of the proceedings before him cannot be shown in a collateral action,<sup>(p)</sup> nor can his decree be impeached even for fraud,<sup>(q)</sup> nor even although it appear that there has been in the proceedings before him a palpable disregard of the directions of the statute applicable to the matter before him.<sup>(r)</sup> Where, however, the surrogate has not jurisdiction of the subject matter of the suit or proceeding before him, no assent or submission of the parties can give him such jurisdiction.<sup>(s)</sup>

(i) *Bloom v. Burdick*, 1 Hill, 130, 139; *Corwin v. Merritt*, 3 Barb. Sup. Ct. Rep. 341.

(j) S. L. 1850, 117; 3 R. S. (5th ed.) 192.

(k) Title 4, ch. 6, part 2, 2 R. S. 99; 3 R. S. (5th ed.) 185.

(l) See *Chandler v. Northrop*, 24 Barb. 129.

(m) *The People v. Corlies*, 1 Sandf. Superior Ct. Rep. 228; *The People v. Barnes*, 12 Wend. 492; *Wilson v. The Baptist Education Society*, 10 Barb. Sup. Ct. Rep. 308; *Seaman v. Duryea*, 10 ib. 523; *Sibley v. Waffle*, 16 N. Y. Rep. 180, 185.

(n) *Seaman v. Duryea*, 10 Barb. Sup. Ct. Rep. 523; per Brown, J.

(o) *Atkins v. Kinnan*, 20 Wend 241; *Jackson v. Crawford*, 12 Wend. 533; *Jackson v. Robinson*, 4 Wend. 436; *Vanderpoel v. Van Valkenburgh*, 2 Selden, 190; *Farrington v. King*, 1 Bradf. Surr. Rep. 182; *Monell agt. Dennison*, 17 How. Pr. Rep. 422; *Hill agt. Burger, exr., and others*, 10 How. Pr. Rep. 264; *Lewis agt. Dutton*, 8 How. Pr. Rep. 99; *In the matter of Hannah M. Pierce*, 12 How. Prac. Rep. 532; *The People agt. Wilcox*, 22 Barb. 178; *Flinn v. Chase*, 4 Denio, 84.

(p) *Jackson v. Robinson*, 4 Wend. 436.

(q) See *Atkins v. Kinnan*, 20 Wend. 246.

(r) *Vanderpoel v. Van Valkenburgh*, 2 Seld. 190. (s) *Dakin v. Deming*, 6 Paige, 95.



And the Surrogate's Court, being a tribunal proceeding according to the course of the common law, and recognized by the common law, proceeds, in all matters relative to the probate of testaments and the administration of the estates of deceased persons, in conformity with prescription and established usage, except as modified from time to time by satutory regulations.(t)

Although the Surrogate's Court is not, strictly speaking, a court of record, yet, where its judgment, decree, or proceeding is to be proved, it may be done by producing the original, or a copy duly authenticated. This is the general rule with respect to courts of record;(u) and there is not any exception to it in relation to the records of Surrogates' Courts. The exemplification of letters of administration from the surrogate's office may therefore be received in evidence, without accounting for the non-production of the original letters.(v)

#### OF ATTORNEYS AND COUNSELORS IN THE SURROGATES' COURTS.

Attorneys are not known as officers of the Surrogate's Court; and the person appearing as attorney there is not considered as an attorney on record, upon whom notices may be served, in the progress of a suit prosecuted or defended by attorney.(w) The 75th section of the act known as the Judiciary Act, passed 12th May, 1847, authorizes solicitors in Chancery and attorneys of the Supreme Court, admitted previously to the first Monday of July, 1847, to practice in all the courts of this state; attorneys of the Court of Common Pleas of any county, admitted previously to the same day, to practice in the county court of the same county; and attorneys admitted by the Supreme Court, to practice in all the courts in this state.(x) This, however, probably has not had the effect to make such attorneys officers of the Surrogates' Courts. They represent the persons for whom they appear before the surrogate, only in the same manner as they represent their clients in the justices' courts.

#### OF PLEADINGS IN SURROGATES' COURTS.

There is not any statute or rule regulating pleadings in the Surrogate's Court, but it is laid down that in this, as well as in other courts, the parties ought to make statements of their claims in the nature of pleadings, in order that the parties may be apprised of the questions in issue.(y)

#### OF THE COMPETENCY OF WITNESSES AND THEIR EXAMINATION, AND THE EXAMINATION OF PARTIES IN PROCEEDINGS IN THE SURROGATES' COURTS.

Previously to the act of April 16, 1860, to amend the Code of Procedure, the provisions of the Code, prescribing and regulating the com-

(t) *Campbell v. Logan*, 2 Bradf. Surr. R. 90, 93.

(u) Starkie's Ev., pt. 2, 151.

(v) *Jackson ex. dem. Jenkins v. Robinson*, 4 Wend. 436.

(w) *Coates v. Cheever*, 1 Cow. 463, 475.

(x) S. L. 1847, ch. 280, vol. 1, 319, 342.

(y) *Van Vleck v. Burroughs*, (per Parker, J.) 6 Barb. Sup. Ct. Rep. 344. See, also, *Foster v. Wilbur*, 1 Paige, 540; *Carle v. Underhill*, 3 Bradf. Surr. Rep. 101.

petency and examination of witnesses, and allowing and regulating the examination of parties to actions, had no application to proceedings in Surrogates' Courts.(z) By the twelfth section of that act, section three hundred and ninety-nine of the Code is amended, so as to read as follows:

"A party to an action or special proceeding, including proceedings Surrogates' Courts and proceedings for the summary recovery of the possession of land, may be examined as a witness on his own behalf, or in behalf of any other party, in the same manner, and subject to the same rules of examination as any other witnesses; except that a party shall not be examined against parties who are representatives of a deceased person, in respect to any transactions had personally between the deceased person and the witness; and except, also, that neither husband nor wife shall be required to disclose any communication made by one to the other."(a)

It will be observed that this provision enables parties only to proceedings in Surrogates' Courts to become witnesses. It is doubtful whether the provisions of the Code, authorizing the examination of a party at the instance of an adverse party, and enabling such adverse party to compel such examination,(b) are extended by this amendment to proceedings in the Surrogate's Court. The provision, abolishing the objection to a witness on the ground of interest,(c) still remains inapplicable to proceedings before a surrogate.

The section in question, like the Code itself, and nearly all the legislation purporting or proposing to amend it, is vague and indefinite, and leaves very wide room for uncertainty and dispute as to its intended application.

#### OF THE EXISTING ORGANIZATION OF SURROGATES' COURTS.

The office of surrogate and Surrogates' Courts, as they at present exist in this state, are established by the following provisions of the constitution of the state:

#### ARTICLE VI.

Section 14. There shall be elected in each of the counties of this state, except the city and county of New York, one county judge, who shall hold his office for four years. He shall hold the county court, and perform the duties of the office of surrogate.

In counties having a population exceeding forty thousand, the legislature may provide for the election of a separate officer to perform the duties of the office of surrogate.(d)

Section 15. The legislature may, on application to the board of supervisors, provide for the election of local officers, not to exceed two in any county, to discharge the duties of county judge and of surrogate, in cases of their inability or of a vacancy, and to exercise such other powers in special cases as may be provided by law.

(z) Code, sec. 8; *Burritt v. Silliman*, 16 Barb. 198, 201.

(a) S. L. 1860, ch. 459, pp. 783, 787.

(c) Sec. 398.

(b) Sec. 390, *et seq.*

(d) See 1 R. S. (5th ed.) 379.

By the twelfth section of the fourteenth article of the constitution, it was provided that the Surrogate's Court of the county of New York should remain, until otherwise directed by the legislature, with its then existing powers and jurisdiction.

By the eleventh section of article six of the constitution, all judicial officers, except justices of the Supreme Court and judges of the Court of Appeals, and except justices of the peace, and judges and justices of inferior courts not of record, may be removed by the senate on the recommendation of the governor; but no removal can be made by virtue of this section, unless the cause thereof be entered on the journals, nor unless the party complained of shall have been served with a copy of the complaint against him, and shall have had an opportunity of being heard in his defence: on the question of removal, the ayes and noes to be entered on the journals.

OF THE ELECTION OF COUNTY JUDGES, AND SEPARATE OFFICERS TO PERFORM THE DUTIES OF THE OFFICE OF SURROGATE.

Under the above clauses of the constitution, requiring the election of county judges, and authorizing the legislature to provide for the election, in counties having a population exceeding forty thousand, of a separate officer to perform the duties of the office of surrogate, it was enacted, on the twelfth day of May, 1847, by the "Act to provide for the election of certain judicial and other officers, and to fix their terms of office," that the first election of the county judges in the respective counties, (the city and county of New York excepted,) and all other judicial officers whose election should be thereby provided for, should be elected[\*] on Monday, the seventh day of June, then next.(e) And by the second section of the same act, it was enacted that there should be elected a separate officer to perform the duties of the office of surrogate, in each of the counties of this state (except New York) having a population exceeding forty thousand, in which such separate officer should be determined upon as provided by certain subsequent sections of the act.

The following three sections contain those provisions:

Sec. 8. In all cases where any county in this state (except the city and county of New York) shall have a population exceeding forty thousand, the board of supervisors therein, at any meeting of such board, may, by a resolution thereof, provide for the election of an officer other than the county judge, who shall perform the duties of the office of surrogate therein.(f)

Sec. 9. Such resolution shall be immediately delivered by the clerk of the board of supervisors to the county clerk, whose duty it shall be to file the same in the office of the clerk of such county, and keep the same as a part of the records thereof.

Sec. 10. Within ten days after such resolution shall be filed in the office of any such county clerk, he shall transmit to the secretary of

[\*] Thus, in the original, providing that an election should be elected.

(e) S. L. 1847, 306.

(f) 1 R. S. (5th ed.) 388, sec. 12.

state, to be filed and kept in his office, a copy of such resolution, duly certified by him.(g)

By the eleventh section of the same act, it was provided that the boards of supervisors in the several counties of this state (except New York) should meet at the office of the county clerk in their respective counties, on the twenty-fifth day of May, then instant; and that when so convened, they should, in those counties having a population exceeding forty thousand, determine whether the office of county judge and surrogate should be separate; but that this section should not apply to those counties having a population exceeding forty thousand, the boards of supervisors whereof had already determined whether to have a separate officer as aforesaid.

By the "Act to separate the offices of county judge and surrogate in the county of St. Lawrence," passed May 10th, 1847, it was provided that the office of county judge, and the office of surrogate in the county of St. Lawrence, should be separate offices, and that a separate officer from that of county judge should be elected in said county to perform the duties of the office of surrogate.(h)

OF THE TIME OF ELECTION, AND TERM OF OFFICE OF COUNTY JUDGES,  
AND OFFICERS ELECTED TO PERFORM THE DUTIES OF THE OFFICE  
OF SURROGATE.

The 4th section of article 14 of the constitution provided that the first election of county judges should take place at such time, between the first Tuesday of April and the second Tuesday of June, one thousand eight hundred and forty-seven, as might be prescribed by law; and that the said courts should respectively enter upon their duties on the first Monday of July next thereafter; but that the term of office of said judges, as declared by the constitution, should be deemed to commence on the first day of January, one thousand eight hundred and forty-eight.

By the twelfth section of the act of the 12th May, 1847,(i) it was provided that the separate officers elected to perform the duties of the office of surrogate, and the local officers to discharge the duties of county judge and of surrogate, and elected at the election provided for in that act, should enter upon their duties on the first Monday of July then next, and hold their offices for the term of four years from the first day of January then next, and after the expiration of the term of office of those first elected, the term of office of said officers should be four years.

(g) S. L. 1847, chap. 276, p. 307. This section of the statute was formerly entirely disregarded (see 2d edition of this work, p. 9); and whether more recently it has been observed, it has not been thought worth while to inquire. In all probability, the resolutions of the boards of supervisors have never been delivered to the respective county clerks, pursuant to the 9th section of the act; so that, in the several counties having a population exceeding 40,000, in which a surrogate has been provided for under the act of the 12th May, 1847, the only record evidence of the existence of the office at all consists of a resolution in the minutes of the boards of supervisors of the counties respectively.

(h) S. L. 1847, 270; 1 R. S. (5th ed.) 389, sec. 17.

(i) S. L. 1847, vol. 1, 308; 1 R. S. (5th ed.) 388, sec. 14. See 1 R. S. (5th ed.) 379.

OF LOCAL OFFICERS IN CERTAIN COUNTIES, TO DISCHARGE THE DUTIES OF COUNTY JUDGE AND SURROGATE, OR EITHER—THE TIME OF THEIR ELECTION, AND THEIR TERM OF OFFICE.

Under the above 15th section of article six of the constitution, it was enacted by the legislature, by the act passed April 10th, 1849,(j) that there shall be elected, in the counties of Jefferson, Oneida, St. Lawrence, Oswego, Orange, Chautauque, Cayuga, and Tioga, at the next general election, and as often thereafter at any succeeding general election as may be necessary, in the same manner as other county officers are elected, a local officer or two local officers, to discharge the duties of county judge and surrogate in their respective counties, in cases of vacancy or inability of such officers, or either of them, in pursuance of section fifteen of article sixth of the constitution. When the duties of county judge and surrogate shall be discharged by the same person, there shall be elected, as aforesaid, one local officer; and in such of the said counties, where the office of county judge and surrogate shall be separate, there shall be elected, as aforesaid, two local officers, and the term of office of the persons so elected shall commence on the first day of January next after their election. They shall hold their offices for three years, and until others are chosen in their places, and duly qualified, and shall be subject to removal in the same manner and for the same causes as county judges and surrogates are subject to be removed.(k)

By acts of the legislature, passed respectively March 28th, 1854,(l) and April 16th, 1858,(m) provision is made for the election, in the counties of Sullivan and Tompkins respectively, at the general election in each of the said counties next succeeding the passing of the act relating to that county, and as often thereafter at any succeeding general election as may be necessary, in the same manner as other county officers are elected, of a local officer to discharge the duties of county judge and surrogate of said county, in cases of vacancy or inability of such officer, in pursuance of section fifteen of article sixth of the constitution; the term of office of the person so elected to commence on the first day of January next after his election; the person elected to hold his office for three years, and until another shall be chosen in his place, and duly qualified, and to be subject to removal in the same manner and for the same causes as county judges and surrogates are subject to be removed.

By an act of the legislature of the 3d April, 1855, as amended by the act of the 16th April, 1858, it is provided that there shall be elected in the county of Washington, and as often thereafter at any succeeding election as may be necessary, in the same manner as other county officers are elected, two local officers, to discharge the duties of county judge and surrogate respectively in said county, in cases of vacancy or inability of such officer, or either of them, in pursuance of section

(j) S. L. 1849, ch. 306, p. 437; 1 R. S. (5th ed.) 389, sec. 18.

(k) 1 R. S. (5th ed.) 389, sec. 18. See 1 R. S. (5th ed.) 379.

(l) S. L. 1854, ch. 88, p. 169. See 1 R. S. (5th ed.) 379, 390.

(m) S. L. 1858, ch. 279, p. 438. See 1 R. S. (5th ed.) 379, 392.



fifteen of article six of the constitution; that the one shall be designated "special county judge," and the other "special surrogate;" that the terms of office of the persons so elected shall commence on the first day of January next after their election; and that they shall hold their offices for four years, and until others are chosen in their places respectively, and duly qualified, and shall be subject to removal in the same manner and for the same causes as county judges and surrogates are subject to be removed.(n)

By the act to provide for the appointment and election of a surrogate separate from the county judge of the county of Cattaraugus, passed April 2, 1857, it is enacted that, at the next general election to be held in the said county, an officer to perform the duties of surrogate of the county of Cattaraugus, separate from the county judge, who shall be denominated the surrogate of said county, shall be elected in like manner as other county officers are elected, and shall hold his office four years; and once in four years thereafter such officer shall be from time to time elected, and his term of office shall commence on the first day of January next after such election (o)

OF THE ELECTION OF THE SURROGATE OF THE COUNTY OF NEW YORK,  
AND HIS TERM OF OFFICE.

By the act of the legislature passed December 15th, 1847,(p) it is provided that there shall be elected at the general annual election in and for the city and county of New York, held in the month of November, in the same manner that other county officers of said city and county are elected, a surrogate for said city and county, who shall hold his office for the term of three years from the first day of January next after said election, on which day he shall enter upon the discharge of the duties of his office. The first election of said officer to take place at the general election in November, 1848, and the surrogate to enter upon the discharge of his official duties on the first day of January, 1849.

REGULATIONS RESPECTING VACANCIES IN OFFICE, AND FOR DISPENSING  
WITH OFFICERS AUTHORIZED TO BE ELECTED TO DISCHARGE THE  
DUTIES OF THE OFFICE OF SURROGATE.

By the 13th section of the "Act to provide for the election of certain judicial and other officers, and to fix their term of office," passed May 12, 1847,(q) as amended by the act of the 15th of April, 1851,(r) whenever the office of county judge shall be vacant in a county having a population exceeding forty thousand, or the term of office of such judge shall be about to expire, the board of supervisors of that county, if there be a separate officer to perform the duties of the office of surrogate in said county, may resolve that there shall be no such separate officer

(n) S. L. 1855, ch. 148, p. 288, sec. 1; S. L. 1858, ch. 280, p. 439. See 1 R. S. (5th ed.) 379, 391.

(o) S. L. 1857, ch. 236, p. 495, sec. 3; 1 R. S. (5th ed.) 882, sec. 200.

(p) S. L. 1847, vol. 2, 727; 1 R. S. (5th ed.) 393, sec. 42.

(q) S. L. 1847, 308.

(r) S. L. 1851, ch. 175, p. 331; 1 R. S. (5th ed.) 388, sec. 15.

in said county; and thereupon the office of such separate officer shall be deemed vacant and abolished from the time that the term of office of said separate officer shall expire. Or if there be no such separate officer, said board may resolve that there shall be such separate officer in such county; in which case such separate officer shall be elected at the time and in the manner in all respects, and for the same term that the county judge in said county shall be elected. And the said county board may at the same time alter the salary of the county judge, and fix the salary of such separate officer; but no alteration of the salary of a county judge shall be made to take effect during the continuance of his term of office.

The second section of the same act provides that every resolution providing for the election of, or dispensing with, such separate officer, shall be immediately delivered by the clerk of the board of supervisors to the county clerk, whose duty it shall be to file the same in his office, and keep the same as a part of the records of such county; and, within ten days after such resolution shall be filed in the office of any such county clerk, he shall transmit to the secretary of state, to be filed and kept in his office, a copy of such resolution, duly certified by him.

By the acts above quoted, to provide for the election of a local officer to discharge the duties of county judge and surrogate in the county of Sullivan,<sup>(s)</sup> of local officers to discharge the duties of county judge and surrogate in the county of Washington, and to do chamber business,<sup>(t)</sup> and relative to the election of a special judge for the county of Tompkins,<sup>(u)</sup> such local officers and special county judge are elected to discharge the duties of county judge and surrogate of those counties respectively in cases of vacancy or the absence or other inability of such officer or officers respectively, in pursuance of section fifteen of article sixth of the constitution.

In case a vacancy occurs in the office of surrogate of the county of New York, the board of supervisors of said city and county is authorized to fill such vacancy until the general election next ensuing the happening of such vacancy, when an election is to be had to fill the unexpired term.<sup>(v)</sup>

#### OF THE TIME FOR HOLDING SURROGATES' COURTS, THE TITLES OF THE DIFFERENT OFFICERS, AND THEIR RESPECTIVE POWERS AND JURISDICTION.

By the fourteenth section of the "Act to provide for the election of certain judicial officers," &c., passed May 12, 1847,<sup>(w)</sup> separate officers elected to perform the duties of the office of surrogate, under the fourteenth section of article six of the constitution, are to be denominated "surrogate" of their respective counties.

By the act "in relation to Surrogates' Courts held by county judges and other officers," passed July 21, 1853,<sup>(x)</sup> it is provided that in those

(s) S. L. 1854, ch. 88, p. 169; *ante*, p. 13.

(t) S. L. 1855, ch. 148, p. 228; *ante*, p. 13.

(u) S. L. 1858, ch. 279, p. 438; *ante*, p. 13.

(v) S. L. 1847, vol. 2, p. 728, sec. 3; 1 R. S. (5th ed.) 394, sec. 44.

(w) S. L. 1847, vol. 1, p. 308; 1 R. S. (4th ed.) 313, sec. 13; 5th ed. 388, sec. 13.

(x) S. L. 1853, 1228; 1 R. S. (5th ed.) 881, sec. 186.

counties in which the county judge is also surrogate, he may be named and designated simply as surrogate, without any addition referring to his office as county judge; and in those counties where the surrogate is a distinct officer, the county judge or other officer, when acting as surrogate, shall be designated by his official title, with the addition of the words "and acting surrogate."

The officers whose election in the counties of Washington and Tompkins is provided for by the above-quoted acts of 3d April, 1855,<sup>(y)</sup> and 16th April, 1858,<sup>(z)</sup> respectively, are designated in the county of Washington, the one "special county judge," and the other "special surrogate;" and in the county of Tompkins, "special county judge." The officer whose election in the county of Cattaraugus is provided for by the above-quoted act of the 2d April, 1857,<sup>(a)</sup> is denominated "surrogate of the county of Cattaraugus."

The thirty-second section, article fourth, of the "Act in relation to the judiciary," passed May 12, 1847,<sup>(b)</sup> provides that Surrogates' Courts, in counties in which the county judge performs the duties of the office of surrogate, may be held at the time and place at which county courts shall be held, and that the order of business of the County Court, Court of Sessions, and Surrogate's Court, shall be under the direction of the county judge, and that he shall perform the duties of the office of surrogate at such other times and places within his county as the public interests shall require.

By the thirty-third section of the same act, in counties in which the duties of the office of surrogate are performed by a separate officer elected to perform the duties of the office of surrogate, Surrogates' Courts are to be held at the times and places, and in the manner and with the same powers and jurisdiction as are provided by law.<sup>(c)</sup>

The thirty-seventh section of the same act provides as follows: "The county judge or other officer elected to perform the duties of the office of surrogate, and the local officers elected to discharge the duties of county judge and surrogate, when acting as surrogate, shall possess the same powers, and perform all the duties, and exercise the same jurisdiction, as are now possessed, performed, and exercised by the surrogates of their respective counties, so far as shall be consistent with the constitution and the provisions of this act. And all laws relating to the jurisdiction, powers and duties of surrogates, and Surrogates' Courts and their proceedings, shall be applicable to said judge or other officer, while performing the duties of the office of surrogate, so far as the same can be so applied, and are consistent with the constitution and the provisions of this act."

In the counties having a population exceeding forty thousand (except the city and county of New York), the board of supervisors whereof have by a resolution provided for the election of an officer other than the county judge to perform the duties of the office of surrogate, pursuant to the act above quoted, "to provide for the election of certain

(y) S. L. 1855, ch. 148, p. 228, *ante* p. 13.

(z) S. L. 1858, ch. 279, p. 438, *ante* p. 13.

(a) S. L. 1857, ch. 236, vol. 1, p. 495.

(b) S. L. 1847, vol. 1, p. 329; 3 R. S. (5th ed.) 363, sec. 4.

(c) *Ib.*, sec. 5.



judicial and other officers," &c., passed May 12th, 1847,(d) every person elected pursuant to the said act, or the act above quoted amendatory thereof, passed April 15th, 1851,(e) has power to take affidavits, and the proof and acknowledgment of deeds and other instruments in writing, with the same force and effect as if taken by a county judge, and for which he may charge the same fees.(f)

In the counties of Orange, Chautauque, Cayuga, and St. Lawrence, Tioga, Oneida, Jefferson, and Oswego, the local officers elected to discharge the duties of county judge (or of county judge and surrogate in those counties where there is no separate officer to discharge the duties of surrogate) are designated as special county judges; and such local officers so elected to discharge the duties of surrogate in those counties where there is a separate officer to discharge the duties of surrogate, are designated as special surrogates. Such local officers so elected to discharge the duties of county judge, or of county judge and surrogate, or to discharge the duties of surrogate in those counties where there is a separate officer to discharge the duties of surrogate, possess all the powers and are required to perform the duties which are possessed and can be performed by a county judge out of court; and any proceeding commenced before any such special county judge or special surrogate, may be finished by him, or he may, by order, direct that the same shall be finished by the county judge or by the surrogate, as the case may be.(g)

By the above-quoted act of the 28th March, 1854,(h) the local officer authorized by that act to be elected in the county of Sullivan, to discharge the duties of county judge and surrogate in that county, in case he shall be of the degree of counselor at law in the Supreme Court, shall also possess all the powers and perform all the duties that are now performed by a county judge at chambers; and any proceeding commenced before him may be finished by him, or he may, by an order made by him, direct that the same be finished by the county judge or surrogate. A similar provision is contained in the acts above quoted, providing for the election of local officers to discharge the duties of county judge and surrogate in the county of Washington,(i) and relative to the election of a special judge for the county of Tompkins.(j)

OF THE ASSISTANTS AND CLERKS TO THE SURROGATES OF THE COUNTIES OF NEW YORK, KINGS, OTSEGO, AND ERIE—THEIR APPOINTMENT, POWERS, AND DUTIES.

By the seventh section of the "Act in relation to the fees and compensation of certain officers in the city and county of New York," passed December 10th, 1847,(k) the surrogate of the county of New

(d) S. L. 1847, 306.

(f) S. L. 1851, 332; 1 R. S. (5th ed.) 388, sec. 15.

(g) S. L. 1851, 192; 1 R. S. (5th ed.) 389, sec. 19.

(i) S. L. 1855, ch. 148, p. 228.

(k) S. L. 1847, vol. 2, p. 560.

(e) S. L. 1851, 331.

(h) S. L. 1854, 169. See *ante*, p. 13.

(j) S. L. 1858, ch. 279, p. 438.

## 18 ASSISTANTS AND CLERKS OF SURROGATES OF NEW YORK AND KINGS.

York has the power to appoint so many assistants, to aid him in the performance of the duties of his office, as he shall deem necessary for that purpose, not exceeding the number which he shall from time to time be authorized to appoint by the board of supervisors of the said city and county, whose duty it is from time to time to prescribe the number of assistants who may be so appointed, which number may at any time be increased or diminished by the said board.<sup>(l)</sup>

The appointment of every assistant under and by virtue of the act is made in writing, and filed in the office of the clerk of the city and county of New York, before such assistant enters upon the discharge of his duties, and the surrogate is responsible for the acts of his assistants.<sup>(m)</sup>

Such assistants have power, during the term of their appointment, to administer and certify oaths and affirmations in all cases in which the surrogate is authorized to administer the same, but such assistant cannot perform such service until he shall have taken an oath of office before the clerk of the city and county of New York, in the form prescribed by law in cases of other public officers, which oath is to be thereupon subscribed and filed in said clerk's office.<sup>(n)</sup>

By an act of the legislature, passed March 30th, 1849,<sup>(o)</sup> the surrogate of the county of Kings is authorized and required to appoint one or more clerks to assist him in his said office; a certificate in writing of every such appointment to be filed by the surrogate in the office of the clerk of said county, and to be deemed and taken as evidence of such appointment.

The clerks so appointed have power to administer oaths, and perform such other duties as are properly incident to the business of the office, not inconsistent with the constitution and laws of the state.<sup>(p)</sup>

By an act of the legislature, of the 21st March, 1856, the board of supervisors of the county of Otsego are authorized to allow clerk-hire to the surrogate, if a clerk be actually employed by the surrogate, to an amount not to exceed three hundred dollars.<sup>(q)</sup>

By the act relating to the Surrogate's Court of the county of Erie, passed April 18th, 1857, the surrogate of the said county of Erie is authorized to employ a clerk of the Surrogate's Court, whose duty it shall be to keep the records of the said Surrogate's Court, and to record all orders, decrees, wills, proofs, letters testamentary, letters of guardianship and letters of administration, and perform such other duties, as clerk of said court, as the surrogate shall direct; no appointment, however, to be made under the provisions of the act until the board of supervisors of the county shall by resolution recommend the same.<sup>(r)</sup>

<sup>(l)</sup> 1 R. S. (5th ed.) 894, sec. 279.

<sup>(m)</sup> S. L. 1847, vol. 2, 562, sec. 8; 1 R. S. (5th ed.) 894, sec. 280.

<sup>(n)</sup> S. L. 1850, ch. 201, p. 384; 1 R. S. (5th ed.) 894, sec. 281.

<sup>(o)</sup> S. L. 1849, ch. 173, p. 235, sec. 1; 1 R. S. (5th ed.) 880, sec. 184.

<sup>(p)</sup> *Ib.*, sec. 2; *Ib.*, sec. 185.

<sup>(q)</sup> S. L. 1856, ch. 45, sec. 3, p. 45; 1 R. S. (5th ed.) 881, sec. 190.

<sup>(r)</sup> S. L. 1857, ch. 789, vol. 2, p. 758, sec. 2; 1 R. S. (5th ed.) 881, sec. 192.

OF THE COMPENSATION OF COUNTY JUDGES AND OTHER OFFICERS  
AUTHORIZED TO DISCHARGE THE DUTIES OF THE OFFICE OF SURRO-  
GATE, AND OF THE SURROGATE OF THE COUNTY OF NEW YORK AND  
HIS ASSISTANTS, AND THE CLERKS OF THE SURROGATES OF THE  
COUNTIES OF KINGS, OTSEGO, AND ERIE.

The 20th section of the sixth article of the constitution provides that no judicial officer, except justices of the peace, shall receive to his own use any fees or perquisites of office.

The third subdivision of the above-quoted 14th section of the same article, provides that the county judge shall receive an annual salary, to be fixed by the board of supervisors, which shall be neither increased nor diminished during his continuance in office.

By the above-quoted 11th section of the act of May 12, 1847,<sup>(s)</sup> the board of supervisors in the several counties of this state (except New York) were required, at their meeting to be held on the 25th day of May then instant, to fix the salary of the county judge, and, in the proper counties, of the separate officer elected to perform the duties of the office of surrogate; and, also, at the same meeting, in those counties having a population exceeding forty thousand, after having determined whether the office of county judge and surrogate should be separate, if separate, to fix the salary of such separate officer.<sup>(t)</sup>

By the third subdivision of the third section of the "Act to provide for the payment of certain expenses of government, to fix the salaries of certain judicial and other officers, and for other purposes," passed May 12, 1847,<sup>(u)</sup> it is provided that the salary of the county judge shall be paid by the county, and at the close of each quarter.

The fourth section of the same act provides that any separate officer elected to perform the duties of the office of surrogate, shall receive an annual salary, which shall be fixed by the board of supervisors, and paid at the close of each quarter by the county in which he shall be elected, and such salary shall not be increased or diminished during his continuance in office.<sup>(v)</sup>

The fifth section of the same act provides that all local officers elected in any county of this state, to discharge the duties of county judge and surrogate, and exercise such other powers in special cases as may be provided by law, shall for their services respectively be paid, by the county in which they shall be elected, such reasonable compensation as the board of supervisors of such county may deem proper to allow.<sup>(w)</sup>

By the third section of the act of 10th April, 1849,<sup>(x)</sup> authorizing the election of local officers to discharge the duties of county judge and surrogate in the counties of Orange, Chautauque, Cayuga, and St. Lawrence, Tioga, Oneida, Jefferson, and Oswego, it was provided that such local officers should receive, for the services to be rendered by them under the provisions of the act, such compensation as should be allowed

<sup>(s)</sup> S. L. 1847, 307.

<sup>(t)</sup> The section further confirms the proceedings of those boards of supervisors which had already acted in the premises.

<sup>(u)</sup> S. L. 1847, 312; 1 R. S. (5th ed.) 903, sec. 4.

<sup>(v)</sup> 1 R. S. (5th ed.) 903, sec. 5.

<sup>(w)</sup> 1 R. S. (5th ed.) 903, sec. 6.

<sup>(x)</sup> S. L. 1849, 437; see *ante*, p. 13.

to them respectively by the boards of supervisors in the said respective counties.

The acts of March 28, 1854, to authorize the election of a local officer to discharge the duties of county judge and surrogate in the county of Sullivan;(y) of April 3, 1855, to authorize the election of local officers to discharge the duties of county judge and surrogate in the county of Washington;(z) and of April 16th, 1858, relative to the election of a special judge for the county of Tompkins,(a) contain the same provision for the compensation of the officers whose election is authorized by those acts respectively. The act of April 2d, 1857,(b) to provide for the appointment and election of a surrogate, separate from the county judge of the county of Cattaraugus, provides that such officer shall receive an annual salary, to be fixed by the board of supervisors of the county, and paid quarterly at the end of each quarter of the year, and that, after being so fixed, such salary shall not be increased or diminished during the term of office of the incumbent.

By the act of the 19th April, 1855, the board of supervisors of the county of Chautauque, at their annual meeting in each year, are authorized to make such allowance to the surrogate of said county, in addition to his salary, as in their judgment shall be adequate to meet the expenses of his office during the year, out of the surplus earnings of the office, after paying the salary of the surrogate.(c)

By the second section of the "Act in relation to the fees and compensation of certain officers in the city and county of New York," passed December 10, 1847,(d) it is provided that there shall be allowed to the surrogate of the city and county of New York a salary, at and after the rate of three thousand dollars a year, as his compensation for all services whatever which he may perform as such surrogate or by virtue of his office.(e)

By the sixth section of the same act, the supervisors of the said city and county of New York may, at any time in the year one thousand eight hundred and forty-seven, and in every second year after the year one thousand eight hundred and forty-seven, increase or diminish the salary of the said surrogate as fixed by the act—no such increase or diminution to vary said salary more than five hundred dollars from the sum at which it is fixed by the act—and any such increase or diminution to take effect on the first day of January next after the same shall be so made by the said board.(f)

By the seventh section of the same act, the board of supervisors fixes, and may, from time to time, change the salaries to be paid to the assistants of the surrogate of the county of New York, the appointment of whom is provided for by the act, but no such salary is to exceed the rate of twelve hundred dollars a year.(g) By an act of the legislature, of the 14th April, 1860, entitled "An act to amend the above-mentioned

(y) S. L. 1854, ch. 88, p. 169, sec. 3.

(a) S. L. 1858, ch. 279, p. 438.

(c) S. L. 1855, 1068, ch. 561; 1 R. S. (5th ed.) 881.

(d) S. L. 1847, vol. 2, 560.

(f) 1 R. S. (5th ed.) 893, sec. 278.

(g) S. L. 1847, vol. 2, 560; 1 R. S. (5th ed.) 894, sec. 279.

(z) S. L. 1855, ch. 148, p. 228.

(b) S. L. 1857, ch. 236, vol. 1, p. 495.

(e) 1 R. S. (5th ed.) 893, sec. 274.

act," this section is amended by adding thereto as follows : "The salary of the chief clerk in the office of the surrogate of the city and county of New York, shall be twenty-five hundred dollars per year, to take effect on the first day of January, 1860."(*h*)

The eleventh section of the same act provides that no account for the compensation for services of any assistant, to be appointed under and by virtue of the act, shall be allowed, until such assistant shall have certified, on oath or affirmation, that the services have been performed for which such account may be rendered, and that he has not in any way, directly or indirectly, paid or given, nor contracted to pay or give, any reward or compensation for his office or employment, or the emoluments thereof.(*i*)

By the fourteenth section of the same act, the salary of the surrogate and his assistants, therein before provided for, and which might thereafter be provided for by the board of supervisors of the said city and county, under and by virtue of the act, is to be paid out of the fees, perquisites, and emoluments received from the surrogate into the treasury of said city and county, subject to the limitation afterwards prescribed in the act, upon the warrant of the comptroller of the said city and county, in quarter-yearly payments, unless a different period for such payments is fixed by the said board of supervisors.(*j*)

By the fifteenth section of the same act, any contingent expenses necessarily incurred by the surrogate, and for which the common council of the city of New York shall make appropriation, are to be paid out of the fees, perquisites, and emoluments received from the surrogate into the treasury of the city and county of New York, on the warrant of the comptroller of said city and county.(*k*)

And by the sixteenth section, the comptroller of the said city and county is to keep a separate and distinct account of all fees, perquisites, and emoluments received from the surrogate, and of all disbursements on account of his office, for the salary of the principal officer and assistants therein, and for the aforesaid contingent expenses thereof; and no greater sum is to be paid in any one year, for such disbursements for the said office, than shall be received into the treasury of the said city and county, on account of the fees, perquisites, and emoluments accruing at such office during such year, which year is to be taken to mean the period from the first day of January to the thirty-first day of December next following, both inclusive.(*l*)

In the county of Kings, the board of supervisors is to audit and allow, as compensation to the clerk or clerks authorized by the act of March 30th, 1849, above quoted, to be appointed by the surrogate of the county, for the performance of his or their duties, a sum not exceeding in amount the balance of the fees and emoluments by law allowed to be received for the benefit of the county, and actually paid

(*h*) S. L. 1860, ch. 406, p. 703.

(*i*) S. L. 1847, 563; 1 R. S. (5th ed.) 895, sec. 286.

(*j*) 1 R. S. (5th ed.) 896, sec. 289.

(*k*) 1 R. S. (5th ed.) 896, sec. 290.

(*l*) 1 R. S. (5th ed.) 896, sec. 291.



into the treasury thereof by the said surrogate, after deducting therefrom the amount fixed by the board of supervisors, to be paid to said surrogate for his salary.<sup>(m)</sup>

By the act of the 21st March, 1856, in relation to the office of surrogate of the county of Otsego, the clerk-hire to be allowed to the surrogate of the county of Otsego by the board of supervisors of the county, if a clerk be actually employed by the surrogate, is limited to three hundred dollars.<sup>(n)</sup>

By the act of the 18th April, 1857, relating to the Surrogate's Court of the county of Erie, providing, among other things, for the appointment of a clerk of the Surrogate's Court of that county, it is enacted that the clerk of the Surrogate's Court shall receive a salary for his services, payable quarterly, on the last days of March, June, September, and December, in each and every year; that the board of supervisors of the said county shall, at their next special or annual meeting, fix the amount of the salary of such clerk; that the same, when so fixed, shall not be altered or changed oftener than once in two years, and that the board of supervisors shall, at each annual meeting thereof thereafter held, make provision for the payment of the said salary.<sup>(o)</sup>

#### OF THE SECURITY REQUIRED OF SURROGATES, AND THE PROSECUTION OF THEIR OFFICIAL BONDS.

The Revised Statutes contain the following provisions, regulating the security to be given by surrogates:

Sec. 144. [Sec. 87.] Every person hereafter appointed [now elected] to the office of surrogate of any county, shall, within twenty days after receiving notice of such appointment, execute to the people of this state, with two or more sureties, being freeholders, a joint and several bond, conditioned for the faithful performance of his duty, and for the application and payment of all moneys and effects that may come into the hands of such surrogate in the execution of his office. The bond of the surrogate of the city and county of New York shall be in the penal sum of ten thousand dollars; of every other surrogate, in the sum of five thousand dollars.<sup>(p)</sup>

Sec. 145. [Sec. 88.] The clerk of the county for which such surrogate shall have been appointed, shall be the judge of the sufficiency of the sureties; and in case he shall be satisfied by the oath of the sureties, or otherwise, that they are good and sufficient, he shall indorse on the bond a certificate of his approval, and file such bond in his office, there to remain a matter of record.<sup>(q)</sup>

By the act requiring officers acting as surrogates to give security, passed April 14th, 1858, every county judge, special county judge, or other officer elected or appointed under any law, or by any proper authority in this state, and authorized to act as surrogate, is required,

(m) S. L. 1849, 235, ch. 173, sec. 2; 1 R. S. (5th ed.) 880, sec. 185.

(n) S. L. 1856, ch. 45, sec. 3, p. 45; 1 R. S. (5th ed.) 881, sec. 190.

(o) S. L. 1857, ch. 789, sec. 4, vol. 2, p. 759; 1 R. S. (5th ed.) 882, sec. 194.

(p) 1 R. S. 382; 5th ed. 880; 1 R. S. (3d ed.) pt. 1, tit. 2, ch. 12, art. 6, sec. 119, p. 437.

(q) *Ib.*

before entering upon or discharging any of the duties of surrogate, to execute a bond, in the same manner and with the same conditions as are required of surrogates by the above sections of the Revised Statutes.<sup>(r)</sup>

By an act of the legislature, of the 10th March, 1847,<sup>(s)</sup> the board of supervisors of the city and county of New York are authorized and empowered to require from the surrogate of the city and county of New York, and from any of his assistants, such security, for the faithful performance of his duty, as to the said board of supervisors may seem necessary and proper.

By the act relating to the Surrogate's Court of the county of Erie, passed April 18, 1857, every person elected or appointed to the office of surrogate of the county of Erie is required, before he enters upon the duties of his office, to execute to the people of this state a bond, in the penal sum of ten thousand dollars, conditioned and to be approved as surrogates' bonds are required by law to be conditioned and approved; and the act further provides that the surrogate of the said county shall be liable, on his official bond, for all the acts of the clerk, the appointment of whom is provided for, and whose duties are prescribed by the act,<sup>(t)</sup> in the same manner and to the same extent as if the said acts had been done and performed by the said surrogate.<sup>(u)</sup>

With respect to suits on the official bonds of surrogates, the Revised Statutes provide, that whenever the surrogate of any county shall be guilty of any default or misconduct in his office, the party aggrieved thereby may apply to the Court of Chancery<sup>(v)</sup> for leave to prosecute the official bond of such surrogate. The application must be accompanied by the same proof required by law in proceedings on sheriff's bonds; and upon such leave being granted, the applicant is authorized to prosecute such bond in the name of the people of this state, in the Supreme Court only, in the same manner, with the like effect, and subject in all respects to the provisions of law in respect to suits on the official bonds of sheriffs; and the Supreme Court possesses the same powers in relation to such suits.<sup>(w)</sup>

#### OF THE LOCALITY OF THE OFFICE OF SURROGATE, AND THE OATH OF OFFICE.

The surrogate is a local officer, and is confined in the execution of his duties to the county for which he is elected.<sup>(x)</sup>

Before entering on the duties of his office, and within fifteen days after notice of his election, or within fifteen days after the commencement of his term of office, the surrogate is required to subscribe and take the

<sup>(r)</sup> S. L. 1858, ch. 213, p. 337; 1 R. S. (5th ed.) 882, sec. 197.

<sup>(s)</sup> S. L. 1847, 560, sec. 13; 1 R. S. (5th ed.) 896, sec. 288.

<sup>(t)</sup> See *ante*, p. 18.

<sup>(u)</sup> S. L. 1857, ch. 789; vol. 2, p. 758, secs. 1, 5; 1 R. S. (5th ed.) 881, 882.

<sup>(v)</sup> Now to the Supreme Court. See "Act in relation to the judiciary," art. 3, sec. 16; S. L. 1847, 323.

<sup>(w)</sup> 2 R. S. 479; 3 R. S. (5th ed.) 781.

<sup>(x)</sup> See 1 R. S. 101; 5th ed. 383, sec. 9 [sec. 11].

constitutional oath of office, which must be filed in the office of the clerk of the county.<sup>(y)</sup>

By law, the surrogate of the county of Otsego is required to hold his office in the building erected by the supervisors of the county for the purpose of a surrogate's office and for the accommodation of the surrogate and county clerk, and he has no authority to hold his office at any other place, so long as the said building is reserved by the county for his accommodation.<sup>(z)</sup>

#### OF THE SPECIFIC POWERS AND DUTIES OF SURROGATES.

The first title of the second chapter of the third part of the Revised Statutes, entitled "Of Surrogates' Courts," provides as follows:

Sec. 1. Every surrogate who shall have duly qualified, by taking the oath and executing the bond required by law, shall hold a court within the limits of the county for which he was appointed, and shall have power:

1. To take the proof of wills of real and personal estate, in the cases prescribed by law; and, also, to take the proof of any will relating to real estate situated within the county of such surrogate, when the testator in such will shall have died out of this state, not being an inhabitant thereof, and not leaving any assets therein;

2. To grant letters testamentary and of administration;

3. To direct and control the conduct, and settle the accounts of executors and administrators;

4. To enforce the payment of debts and legacies, and the distribution of the estates of intestates;

5. To order the sale and disposition of the real estates of deceased persons;

6. To administer justice in all matters relating to the affairs of deceased persons, according to the provisions of the statutes of this state;

7. To appoint guardians for minors, to remove them, to direct and control their conduct, and to settle their accounts, as prescribed by law;

8. To cause the admeasurement of dower to widows;

Which powers shall be exercised in the cases and in the manner prescribed by the statutes of this state.<sup>(a)</sup>

It is difficult to say what direction and control over executors and administrators was intended to be given to the surrogates by the above third subdivision of this section. It has been determined that its general language is not to be construed in such a manner as to give to a surrogate the power to direct and control the conduct of executors and administrators, relative to suits and proceedings in other courts. Thus, where an application was made by a legatee to the surrogate, for an order directing the executor to desist from the further defence of an action upon certain promissory notes of the testator, alleged by the

<sup>(y)</sup> 1 R. S. 119, 20; 5th ed. 410, sec. 24.

<sup>(z)</sup> S. L. 1856, ch. 45, sec. 2, p. 45; 1 R. S. (5th ed.) 881, sec. 189.

<sup>(a)</sup> 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1.



petitioner to be due, and which, if they were to be charged upon the property, would render the estate insufficient to pay the debts and general legacies, and also to desist from the further prosecution of a suit in Chancery, brought by the executors for a discovery of the consideration of the notes, and from any further proceedings to resist the claim of the holder and owner of the notes, until the residuary legatees, for whose benefit the claim was resisted, should give security to indemnify the estate of the testator against the costs and charges of the litigation, it was held, on appeal, that the surrogate had not jurisdiction to make the order.<sup>(b)</sup> The words of the subdivision, however, are general, and would seem to be directly applicable to a case in which an executor persists in exercising the functions and discharging the duties of his trust erroneously or irregularly. And any person who suffers an injury by the erroneous action of the executor in his proceedings in the surrogate's office, may lawfully call upon the surrogate to control his conduct. Thus, if, in making out an inventory, the executor should adopt an erroneous principle, it seems that he may be controlled by the surrogate.<sup>(c)</sup>

In *Jennings v. Phelps, administrator, &c.*,<sup>(d)</sup> the petitioner filed his petition, praying for an account and for payment of his demand. The surrogate declined to make a decree for the payment; but, as the petitioner had not presented his demand to the administrator within six months from the publication of the notice for the exhibition of claims under the statute,<sup>(e)</sup> and the administrator, therefore, appeared to be at liberty to distribute the estate before suit brought on the claim, the surrogate, to protect the applicant, directed that the order dismissing the petition for payment should provide that the administrator refrain from distribution for the period of thirty days, within which time the petitioner might bring his action at law.

Under the above seventh subdivision, empowering the surrogate to direct and control the conduct of guardians, and to settle their accounts where a guardian has been superseded and a new guardian has been appointed in his place, upon proceedings taken to compel the former guardian to account, the surrogate has the power not only to settle the account of such former guardian, to ascertain and declare the quantity, quality, and condition of the ward's property, and the balance due to him from the guardian, but to decree and adjudge the time when, the person to whom, and the manner in which the same shall be paid or delivered over.<sup>(f)</sup> "The power to direct and control the conduct of guardians," says Mr. Justice Brown, in *Seaman v. Duryea*,<sup>(g)</sup> "cannot be a barren power. To direct and control, is to govern and to command; and the authority to appoint and to remove guardians or other trustees, and to direct and control their conduct, given by law to a court of justice, must comprehend the power to compel them to do whatever the law requires they should do, or it comprehends nothing. If it be just and lawful that a trustee, upon the expiration of his trust, should

<sup>(b)</sup> *In the Matter of Parker*, 1 Barb. Ch. Rep. 154.

<sup>(c)</sup> *Bliss v. Sheldon*, 7 Barb. Sup. Ct. Rep. 152.

<sup>(d)</sup> 1 Bradf. Surr. Rep. 485.

<sup>(f)</sup> *Seaman v. Duryea*, 10 Barb. Sup. Ct. R. 523.

<sup>(e)</sup> 2 R. S. 89; 3 R. S. (5th ed.) 175.

<sup>(g)</sup> *Supra*.

pay and deliver over the trust money or property to his successor, or to his *cestui que trust*, then the tribunal or officer having the direction or control of his conduct, has the right to make an order or decree that it shall be done."

The surrogate has no jurisdiction to accept the resignation of an executor or administrator, or to revoke his letters testamentary or of administration, or to remove him from his trust, except in the special cases provided for by law. There is no statute which authorizes him to revoke letters of administration, because the administrator is about to remove from the village in which he resides, or because he may find it inconvenient to act further as administrator. And if the surrogate accept the resignation of an executor or administrator, or revoke his letters in a case not specified in the statutes, and appoint a new administrator of the goods, &c., of the deceased, the first executor or administrator will be recognized as still the lawful executor or administrator, and no right or power will be recognized in the new administrator to represent the estate of the decedent.<sup>(h)</sup>

Nor has the surrogate authority to remove a guardian, or to accept the resignation of a guardian appointed by himself, and appoint another in his place, or to compel a guardian to account, except in the particular cases specified in the statute on this subject; and where the surrogate appointed a new guardian in the place of the guardian appointed by the Court of Chancery, and proceeded to settle the account of the old guardian, it was held that the whole proceedings were void for want of jurisdiction.<sup>(i)</sup>

The surrogate, however, in respect to minors residing in his county, has the same extent of authority, as to the appointment of guardians, as was possessed by the late Court of Chancery, whose jurisdiction was commensurate with that of the English Court of Chancery.<sup>(j)</sup>

The surrogate has not jurisdiction, upon a summary application to him, to compel an administrator to deliver over property to the owner thereof, which property has been taken possession of by him as a part of the estate to be administered by him, although his claim to such property is wholly unfounded, or is merely colorable.<sup>(k)</sup>

The surrogate has not any authority to inquire into or settle the rights of the heirs at law to property of the decedent in the hands of an executor or administrator. The powers and duties of surrogates are prescribed by law, and do not include the power to adjudicate between the heir and personal representative.<sup>(l)</sup>

By the act of April 10, 1850, "to provide for the settlement of the accounts of testamentary trustees," amending the Revised Statutes,<sup>(m)</sup> jurisdiction is conferred upon the surrogate of the county in which any last will or testament shall have been proved, to settle the accounts of any trustee created by such last will or testament, or appointed by any competent authority to execute any trust created by any such last will or testament, or of any executor or administrator with the will

(h) *Flinn v. Chase*, 4 Denio, 84.

(i) *Matter of Dyer, an infant*, 5 Paige, 534.

(j) *Ex parte Dawson*, 3 Bradf. Surr. Rep. 130.

(k) *Marston v. Paulding*, 10 Paige, 40.

(l) *Shumway v. Cooper*, 16 Barb. Sup. Ct. Rep. 556.

(m) S. L. 1850, 587; 3 R. S. (5th ed.) 181, sec. 72 [sec. 66].

annexed, authorized to execute any such trust upon a voluntary accounting by such trustee, executor, or administrator with the will annexed, in the manner provided by law for the final settlement of the accounts of executors and administrators.

With respect to the jurisdiction in cases of the erection of a new county, it was provided, by an act of 1843, that in all cases of the erection of a new county hereafter, the surrogate of such county may take the proof of wills, and grant letters testamentary and of administration, in cases where the deceased, at the time of his death, resided within the territory embraced within such county.<sup>(n)</sup>

Sec. 73. [Sec. 55.] All letters testamentary, letters of administration, and letters appointing any collector, shall be issued in the name of the people of this state, and shall be tested in the name of the surrogate or other officer granting the same, and shall be signed by him, and sealed with the seal of his office, or with the seal of the Court of Common Pleas of such county, when issued by the first judge or district attorney.<sup>(o)</sup>

The above-quoted title of the Revised Statutes further provides as follows:

Sec. 10. [Sec. 6.] Every surrogate shall have power:

1. To issue subpoenas under his seal of office, to compel the attendance of any witness residing or being in any part of the state, or the production of any paper, material to any inquiry pending in his court, the form of which shall be similar to that used by courts of record in the like cases; [*As amended 1830, ch. 320, sec. 66.*]<sup>(p)</sup>

2. To punish disobedience to any such subpoena, and to punish witnesses for refusing to testify after appearing, in the same manner and

<sup>(n)</sup> S. L. 1843, 236, sec. 4; 3 R. S. (5th ed.) 167, sec. 72.

<sup>(o)</sup> 2 R. S. 80; 3 R. S. (5th ed.) 167.

<sup>(p)</sup> Sec. 3. The seals of the several surrogates of the respective counties of this state, of which a description in writing has heretofore been deposited and recorded in the office of the secretary of this state, shall continue to be the seals of the Surrogates' Courts of such counties respectively. 2 R. S. 221; 3 R. S. (5th ed.) 363.

Sec. 4. Whenever a surrogate shall be appointed for any county hereafter organized, or for any county for which no surrogate's seal shall have been provided, he shall, at his own expense, cause a seal to be made for his office, with such device as he shall think proper, upon which shall be inscribed the name of the county for which such surrogate was appointed, and the words "Surrogate's Seal." He shall deliver a description of such seal to the secretary of state, to be by him deposited and recorded in his office as part of the public records of this state; and the same shall thereupon be the seal of the Surrogate's Court of such county. *Ib.*

Sec. 5. Whenever the seal of the Surrogate's Court of any county shall be so injured that it cannot be conveniently used, the surrogate shall destroy the same; and whenever the surrogate's seal shall be lost or destroyed, he shall, at his own expense, provide a new seal, similar in all respects to such former seal, and shall give notice thereof, in writing, to the secretary of state, who shall file such notice; and thereupon such new seal shall become the seal of the Surrogate's Court of such county. *Ib.*

The seals of the surrogates of the respective counties of this state shall continue to be the seals of the Surrogate's Courts of said counties, and shall be kept and used as such by the county judge, or other officer authorized to perform or discharge the duties of the office of surrogate; a description of said seals shall be deposited or recorded in the office of the secretary of state, which description shall remain of record. Act in relation to the Judiciary, S. L. 1847, 319, art. 6, sec. 72.

By chapter ten of the Laws of 1855, the surrogate of the county of Chenango was authorized to adopt a new and appropriate official seal for the Surrogate's Court in said county;

to the same extent as courts of record in similar cases, and by process similar in form to that used by such courts ;

3. To issue citations to parties in all matters cognizable in his court, and, in the cases prescribed by law, to compel the appearance of such parties ;(q)

4. To enforce all lawful orders, process, and decrees of his court, by attachment against the persons of those who shall neglect or refuse to comply with such orders or decrees, or to execute such process ; which attachment shall be in form similar to that used by the Court of Chancery in analogous cases ;(r)

5. To exemplify, under his seal of office, all transcripts of records, papers, or proceedings therein ; which shall be received in evidence in all courts, with the like effect as the exemplifications of the records, papers, and proceedings of courts of record ;

6. To preserve order in his court during any judicial proceeding, by punishing contempts which amount to an actual interruption of business, or to an open and direct contempt of his authority or person, in the same manner and to the same extent as courts of record.(s)

The authority conferred upon the surrogate, by the above 4th subdivision of the above 6th section of the statute, to enforce all lawful orders, process, and decrees by attachment, extends to orders and decrees for the payment of money. The language of this subdivision is not limited in its application, to enforce obedience to subpoenas, to compel witnesses to give evidence, to the preservation of order during any judicial proceeding, nor to enforce orders which require the production of wills or other papers, or the filing of inventories, nor to compel the appearance of parties, because the performance of the latter class of duties is expressly provided for, under the name of orders and process in the same subdivision, and the former in the 2d and 6th subdivisions of the same section ; nor does the 4th subdivision discriminate between decrees for the payment of money and those for the transfer and delivery of specific property. Neither is this power, to proceed by attachment against the person, taken away by any of the provisions of the act of the 16th May, 1837, concerning the proof of wills, Surrogates' Courts, &c. ;(t) but rather such power as the surrogate had to enforce his decrees, previous to the passage of that act, is confirmed and enlarged by force of its provisions. Nor was the surrogate's power, under this provision, affected by the act to abolish imprisonment

the surrogate to file in the office of the secretary of state a description of said seal, with an impression from the same impressed thereon or thereto annexed, and thereafter such seal to be the legal and official seal of the said Surrogate's Court, instead of the one previously in use. See 1 R. S. 313, sec. 83.

(q) The process of summons is the one expressly designated in the statutes for compelling the return of an inventory, and for procuring an executor to qualify. It differs in nothing from a citation, except that by it the party is "summoned" instead of "cited." Formerly citations ran in the same way.

(r) See *Doran v. Dempsey*, 1 Bradf. Surr. Rep. 490 ; *Seaman v. Duryea*, 10 Barb. S. C. Rep. 523 ; *S. C.* on appeal, 1 Kernan, 324 ; *Hosack v. Rogers*, 11 Paige, 603 ; *In the Matter of John W. Latson*, 1 Duer, 696.

(s) 2 R. S. 221 ; 3 R. S. (5th ed.) 364.

(t) S. L. 1837, 524. See secs. 66, 67, 3 R. S. (5th ed.) 366.

for debt;(u) and the second section of that act, declaring that its provisions shall not extend to contempts, to enforce civil remedies, and the act of the 9th May, 1846,(v) providing that in actions upon contract for moneys received by male persons, in a fiduciary capacity, the defendants "shall be liable to imprisonment in the same manner as in actions for wrongs," indicate no disposition to relax the law of imprisonment, in regard to trustees made liable for trust property.(w)

The act of the 16th May, 1837, "concerning the proof of wills," &c., "and Surrogates' Courts," provides as follows:

Sec. 61. Whenever any surrogate shall issue a citation to any administrator, executor, or guardian, requiring him to show cause why he should not be removed from office, the surrogate shall have power to enter an order enjoining such executor, administrator, or guardian from further acting in the premises, until the matter in controversy shall be disposed of.(x)

Sec. 62. The surrogate may adjourn any proceeding pending before him, from time to time, as the ends of justice may require, and may administer oaths to witnesses in any matter or cause pending before him, and in all other cases where it may be necessary in the exercise of the powers and duties of such surrogate.(y)

Sec. 63. After any decree is made by a surrogate for payment of money by an executor or administrator or guardian, on application he shall make out a certificate, stating the names of the parties against and in favor of whom the decree is made, with the trade, profession, or occupation of the parties respectively, in their places of residence, in which he shall state the amount of debt and costs directed to be paid by such decree.(z)

Sec. 64. On such certificate being filed with the clerk of any county, the same shall be entered and docketed on the books now required by law to be provided and kept for the purpose of docketing judgments, the transcripts or certificates of which shall be filed with such clerk, and shall thenceforth be a lien on all the lands, tenements, real estate, and chattels real, of every person against whom such decree shall be entered, situate in the county in which said surrogate's certificate may be filed; and execution shall be issued thereon in the same manner as though the same was a judgment recovered in the Court of Common Pleas [county court] of said county. [*As amended by sec. 2 of chap. 104 of laws of 1844.*] The first section of chap. 104 of laws of 1844, provides that the 64th section of the act of 1837 is repealed. The second section provides that "the 64th section of last said act shall be" as above given.(a)

(u) S. L. 1831, 396. But see *Hosack v. Rogers*, 11 Paige, 603; *In the Matter of John W. Latson*, 1 Duer, 696.

(v) S. L. 1846, 164. See, also, Code, secs. 179, 288, 289.

(w) *Seaman v. Duryea*, 10 Barb. Sup. Ct. Rep. 523, 534, per Brown, J., and see same case on appeal, 1 Kernan, 324.

(x) 3 R. S. (5th ed.) 366, sec. 15.

(y) *Ib.*, sec. 16.

(z) S. L. 1837, ch. 460, sec. 63; 3 R. S. (5th ed.) 366, sec. 17.

(a) In the last (5th) edition of the Revised Statutes (vol. 3, p. 366) the 64th section of the law of 1837 is inserted as contained in that law, although in a note the section is stated to have been repealed by chapter 104 of the laws of 1844, and no notice is taken of the amendment to



Sec. 65. If such execution be issued, and returned unsatisfied, the surrogate shall, on application, assign the bond given by such executor, administrator, or guardian, to the person in whose favor such decree is made, for the purpose of being prosecuted.(b)

Sec. 66. Process of attachment, or other compulsory process, authorized by law to enforce the orders, process, or decrees of Surrogates' Courts, may be issued by the surrogate of one county to the officers required by law to serve such process in any other county of the state where it may be necessary to serve the same; and the officer receiving the same shall have power and authority to arrest the person or persons against whom said process is issued, and to convey the person or persons so arrested to the county and place where the writ may be returnable.(c)

Sec. 77. On any proceedings or matter in controversy before a surrogate, when the testimony of a witness in any other state or territory of the United States, or any foreign place, is required by any party to such proceedings or controversy, the surrogate may issue a commission to take such testimony, in the same manner as by law the same may be done in any court of record.(d)

It is provided by statute that issues of fact, which shall be joined in any Surrogate's Court to be tried by a jury, shall be tried in the county court of the county in which said Surrogate's Court is held.(e)

The general rule of the Revised Statutes, that all writs and process shall be in the name of the people of this state, except where otherwise provided by law, applies to process issued out of Surrogates' Courts.(f)

#### OF THE TIME WHEN THE SURROGATE'S COURT SHALL BE OPEN.

The above-mentioned title of the Revised Statutes, concerning Surrogates' Courts, contains the following provision :

Sec. 6. [Sec. 2.] The Surrogates' Courts shall be at all times open for the hearing of any matters within the jurisdiction thereof; and particularly on Monday of each week, it shall be the duty of every surrogate to attend at his office, to execute the powers and duties conferred on him.(g)

In the counties, however, in which the county judge performs the duties of the office of surrogate, as has been seen,(h) Surrogates' Courts may be held at the time and place at which county courts shall be held, and the order of business of the county court, court of sessions, and Surrogate's Court, is under the direction of the county judge; and

the section made by the last mentioned act. In the 4th edition of the Revised Statutes, (vol. 2, p. 421,) the 64th section of the law of 1837 is stated in a note to have been repealed by the act of 1844, and neither the original nor the amended section is inserted. In the third edition of the Revised Statutes, (vol. 2, p. 320,) the 64th section of the law of 1837, as amended by the act of 1844, is inserted in its proper place.

(b) 3 R. S. (5th ed.) 366, sec. 19.

(c) *Ib.*, sec. 20.

(d) S. L. 1837, 535, 537; 3 R. S. (5th ed.) 364, sec. 11.

(e) S. L. 1847, ch. 280; 3 R. S. (5th ed.) 365, sec. 12.

(f) 2 R. S. 275, sec. 17 [sec. 8]; 3 R. S. (5th ed.) 467.

(g) 2 R. S. 221; 3 R. S. (5th ed.) 363, sec. 6 [sec. 2].

(h) *Ante*, p. 16.

he is required to perform the duties of the office of surrogate at such other times and places within his county as the public interests require. In the case of every other officer elected as surrogate, or to discharge the duties of the office of surrogate, the above provision of the Revised Statutes applies.

The sittings of the Surrogate's Court, as of every court within this state, must be public, and every citizen may freely attend the same.<sup>(i)</sup> The court cannot be opened or transact any business on Sunday.<sup>(j)</sup>

There are no stated terms in the Surrogate's Court.<sup>(k)</sup>

**OF THE BOOKS AND RECORDS TO BE KEPT BY SURROGATES, THE FILING AND PRESERVING OF PAPERS, AND OF SEARCHES AND TRANSCRIPTS.**

The above-quoted title of the Revised Statutes, concerning Surrogates' Courts, provides as follows:

Sec. 13. [Sec. 7.] The surrogate of each county shall provide and keep the following books:

1. A book in which shall be fully and distinctly recorded all wills, testaments, and codicils, proved before him, and the proof thereof, and in which he may also record any will relating to real estate situated within his county, which shall have been duly proved before, and recorded by any other surrogate, upon the production of an exemplified copy of such record;

2. A book in which shall be recorded, in like manner, all letters testamentary and of general and special administration;

3. A book in which shall be entered all minutes of other proceedings by or before him, in relation to the estates of deceased persons, with all orders and decrees made by him, and minutes of all citations, subpoenas, attachments, and other process issued by him in relation to such estates, and the testimony taken by him in relation to the granting or revocation of letters testamentary or of administration;<sup>(l)</sup>

4. A book in which shall be recorded the appointment of guardians for infants, and the revocation of any such appointment;

5. A book in which shall be entered all proceedings in relation to the admeasurement of dower, and all orders, reports, and decrees thereupon.

To each of the said books there shall be attached an index of the subjects therein, with a reference to the pages where such subjects may be found; which, together with such books, shall at all proper times

(i) 2 R. S. 274; 3 R. S. (5th ed.) 465, sec. 1.

(j) 2 R. S. 274; 3 R. S. (5th ed.) 467, sec. 16 [sec. 7].

(k) *Western v. Romaine*, 1 Bradf. Surr. Rep. 37.

(l) This provision, so far as it requires the surrogate to enter, in a book of minutes, subpoenas issued by him, is not always complied with. The writ of subpoena, in a court exercising jurisdiction over a large population, is one which is issued daily—almost hourly—often necessarily in blank as to the person to whom it is to be directed and as to the day of its return; and frequently several must be issued in this manner in the same matter or controversy. To make a minute of each one is in many instances impracticable. In cases arising under the eighth section of the act respecting the public administrator in the city of New York (2 R. S. 120), the uniform practice has been to enter a formal order in the minutes for the issuing of the subpoena.



be open to the inspection of any person paying the fees allowed by law for such examination.<sup>(m)</sup>

Sec. 75. [Sec. 57.] The testimony taken by any surrogate, in relation to the proof of any written or unwritten will, and in any controversy relating to the granting of letters testamentary or of administration, or the revoking of the same, shall be reduced to writing, and shall be entered by him in a proper book to be provided and preserved as part of the books of his office; if taken by any first judge of a county or district attorney, the same shall be filed in the office of the clerk of the county.<sup>(n)</sup>

Sec. 76. [Sec. 58.] Each surrogate shall record in his books, to be provided by him, all wills proved before him, and all letters testamentary or of administration, and all letters appointing a collector, with all things concerning the same. The records of such wills and letters, and the transcripts thereof, duly certified by the surrogate having the custody of such records, under his seal of office, shall be evidence in all courts, so far as respects any personal estate, in the same manner as if the originals were produced and proved.<sup>(o)</sup>

The title of the Revised Statutes relative to sales of real estate, by order of surrogates, contains the following provision :

Sec. 71. [Sec. 60.] The several surrogates shall record, in books to be provided by them for that purpose, all orders and decrees by them made upon any proceedings before them in relation to the sale of real estate, and shall file and preserve all papers, returns, vouchers, and documents connected with such proceedings.<sup>(p)</sup>

The law of 1837 enacts as follows:

Sec. 3. Every surrogate shall keep a book of fees, which shall pertain to his office, and be subject to inspection in the same manner that his books of record are, in which he shall enter at length and by items the fees charged and received by him on all proceedings had before him under the name of each intestate or testator.<sup>(q)</sup>

By the ninth section of the "Act in relation to the fees and compensation of certain officers in the city and county of New York," passed December 10th, 1847, it is made the duty of the surrogate of the county of New York, to keep an exact account, in a book or books to be provided for that purpose, at the expense of the people of the city of New York, of all fees, perquisites, and emoluments actually received by him for any service done by him or his assistants in his or their official capacity, by virtue of any law of this state; and of all fees, perquisites, and emoluments which such surrogate shall be entitled to demand and receive from any person, for any service rendered by him or them in his or their official capacity, pursuant to law. Such books are to show when and for whom every such service shall have been performed, its nature, and the fees chargeable therefor; and are at all times, during

<sup>(m)</sup> 2 R. S. (4th ed.) 420, 421; S. L. 1837, 524; 2 R. S. 222; S. L. 1837, 524; 3 R. S. (5th ed.) 365, sec. 13 [sec. 7].

<sup>(n)</sup> 2 R. S. 80; 5th ed., vol. 3, 167, sec. 75 [sec. 57].

<sup>(o)</sup> 2 R. S. 80; 5th ed., vol. 3, p. 167.

<sup>(p)</sup> 2 R. S. 110; 3 R. S. (5th ed.) 198, sec. 71 [sec. 60].

<sup>(q)</sup> S. L. 1837, 524; 3 R. S. (5th ed.) 365, sec. 13 [sec. 7], subd. 7.

office hours, to be open to the inspection, without any fee or charge therefor, of all persons desiring to examine the same; and are to be deemed a part of the records of the office, and are to be preserved therein as other books of record are.<sup>(r)</sup>

Under the Revised Statutes, up to the time of the law of 1837, the surrogate was required to provide and keep a book, in which should be entered all accounts of executors and administrators settled before him; and also a book in which should be recorded the accounts rendered by guardians at full length. By the second section of the law of 1837,<sup>(s)</sup> these provisions are repealed, and the surrogate is required "to file said accounts, and to record with his decree a summary statement of the same, as the same shall be finally settled and allowed by him, which shall be referred to and taken as part of the final decree." For security of preservation, and for convenience of reference, the recording of accounts, although attended with some expense, was a wise and salutary requirement, and its abolition has not been deemed any improvement upon the previous law.

The above-mentioned title of the Revised Statutes, concerning Surrogates' Courts, further provides as follows:

Sec. 14. [Sec. 8.] Every surrogate shall carefully file and preserve all affidavits, petitions, reports, accounts, and all other papers belonging to his court; and all such papers, and the books kept by him, shall belong and appertain to his office, and be delivered to his successor.<sup>(t)</sup>

It is the duty of the surrogate, upon the taking of an account, or upon any other proceeding, which may be the subject of an appeal, to reduce to writing, and preserve the evidence and admissions of the parties, so far as to enable him or his successor to make a correct return of the facts, in case it shall be necessary, in consequence of an appeal to a higher tribunal.<sup>(u)</sup>

With respect to searches and transcripts, the act of December 14, 1847, to amend the act in relation to the judiciary, contains the following provision:

Sec. 40. It shall be the duty of every surrogate, of every county clerk, of the register of the city and county of New York, of the clerk of every court, and of every person having the custody of the records or papers in any public office, to search the files, papers, records, and dockets in his office, to make transcripts from any such papers, records, and dockets, and to certify to the correctness of such transcripts and searches, when required to do so, and on the payment or offer to pay the fees chargeable by law for such service; and every surrogate, clerk, register, or other officer who shall neglect or refuse to make such search, transcript, or certificate as aforesaid, when he shall be so required, and the fee therefor shall be paid or offered to be paid, shall be deemed guilty of a misdemeanor.<sup>(v)</sup>

<sup>(r)</sup> S. L. 1847, 562; 1 R. S. (5th ed.) 894, sec. 284.

<sup>(s)</sup> S. L. 1837, 524; 2 R. S. (5th ed.) 365.

<sup>(t)</sup> 2 R. S. 223; 3 R. S. (5th ed.) 365, sec. 14 [sec. 8].

<sup>(u)</sup> *Williamson v. Williamson*, 6 Paige, 300.

<sup>(v)</sup> S. L. 1847, vol. 2, 645, 646; 3 R. S. (5th ed.) 481, sec. 85. By chapter 45 of the laws of

It has been already observed that where the judgment, decree, or proceeding of the Surrogate's Court is to be proved, it may be done by producing the original, or a copy duly authenticated; and where the proof offered is an exemplified copy, it is sufficient, and the party is not called upon to account for the non-production of the original.(w)

OF THE EXECUTION OF PROCESS ISSUED OUT OF SURROGATES' COURTS,  
AND OF ATTACHMENTS FOR CONTEMPTS.

The above-mentioned title of the Revised Statutes, concerning Surrogates' Courts, provides as follows:

Sec. 23. [Sec. 9.] Every sheriff, jailer, coroner, or other executive officer, to whom any citation, subpoena, attachment, or other process, issued by a Surrogate's Court, may be directed or delivered for the purpose of being executed, shall execute the same in the same manner as if issued by a court of record; and for any neglect or misfeasance therein, shall be subject to the same penalties, actions, and proceedings as if the same had occurred in relation to any process issued by courts of record.(x)

The law of 1837, as has been seen, contains the following provision:

Sec. 66. Process of attachment or other compulsory process authorized by law to enforce the orders, process, or decrees of Surrogates' Courts, may be issued by the surrogate of one county to the officers required by law to serve such process in any other county of the state where it may be necessary to serve the same; and the officer receiving the same shall have power and authority to arrest the person or persons against whom said process is issued, and to convey the person or persons so arrested to the county and place where the writ may be returnable.(y)

The same law further provides as follows:

Sec. 67. All attachments and other compulsory process which may be issued by any surrogate, shall be made returnable to the county where the same may issue; and the tenth, twelfth, and thirteenth sections, and sections sixteenth to thirty-second, title thirteenth of chapter eighth of the third part of the Revised Statutes, inclusive, shall apply to attachments issued by surrogates.(z)

The sections referred to in this provision are as follows:

Sec. 10. When an attachment shall be issued, according to the provisions of this title, by the special order of any court, such court shall direct the penalty in which the defendant shall give bond for his appearance to answer.(a)

Sec. 12. Upon arresting any defendant upon an attachment, to answer for any alleged misconduct, the sheriff shall keep such defendant

1856, it is provided that all the records, books, papers, and documents, of whatever character, belonging or pertaining to the surrogate's office of the county of Otsego, shall be kept in the building erected by the supervisors of the said county for that purpose, and for the accommodation of the surrogate and county clerk. S. L. 1856, p. 45; 1 R. S., (5th ed.) 881, sec. 188.

(w) *Ante*, p. 9; *Jackson v. Robinson*, 4 Wend. 436.

(x) 2 R. S. 223; 3 R. S. (5th ed.) 367, sec. 24 [sec. 9].

(y) S. L. 1837, 535; 3 R. S. (5th ed.) 366, sec. 20. See *The People v. Pelham*, 14 Wend. 48.

(z) 3 R. S. (5th ed.) 366, sec. 21.

(a) 2 R. S. 536; 3 R. S. (5th ed.) 851.

in his actual custody, and shall bring him personally before the court issuing the attachment, and shall keep and detain him in his custody, until such court shall have made some order in the premises, unless such defendant shall entitle himself to be discharged, as prescribed in the next section.

Sec. 13. In cases where a sum shall have been indorsed on any attachment issued by the special order of the court, and where any sum shall have been so indorsed by any judge or other officer, as herein before prescribed, the defendant shall be discharged from arrest on such attachment, upon executing and delivering to the officer making the same, at any time before the return-day in such writ, a bond with two sufficient sureties in the penalty indorsed on such attachment to such officer, by his name of office and his assigns, with a condition that the defendant will appear on the return of such attachment, and abide the order and judgment of the court thereupon.(b)

Sec. 16. Upon returning any attachment, the officer executing the same shall return the bond, if any taken by him, of the defendant, which shall be filed with such attachment.(c)

Sec. 17. The sheriff or officer to whom any attachment shall be delivered, shall return the same by the return-day specified therein, without any previous rule or order for that purpose; and in case of default, an attachment may be issued against him, of course, upon being allowed by a judge of the court, or by some officer authorized to perform the duties of such judge, upon proof of such default; and, in such allowance, the cause of issuing the same shall be stated, and that the defendant is not to be discharged upon bail, or in any other manner but by order of the court.

Sec. 18. The officer to whom such last-mentioned attachment shall be delivered, shall execute the same by arresting and keeping the defendant in his custody, bringing him personally before the court, and detaining him in such custody until the order of the court.

Sec. 19. When any defendant, arrested upon an attachment, shall have been brought into court, or shall have appeared therein, the court shall cause interrogatories to be filed, specifying the facts and circumstances alleged against the defendant, and requiring his answer thereto; to which the defendant shall make written answers on oath, within such reasonable time as the court shall allow. The court may receive any affidavits or other proofs, contradictory of the answers of the defendant, or in confirmation thereof; and, upon the original affidavits, such answers and such subsequent proof shall determine whether the defendant has been guilty of the misconduct alleged.

Sec. 20. If the court shall adjudge the defendant to have been guilty of the misconduct alleged, and that such misconduct was calculated to or actually did defeat, impair, impede, or prejudice the rights or remedies of any party, in a cause or matter depending in such court, it shall proceed to impose a fine or to imprison him, or both, as the nature of the case shall require.(d) But in all cases which have arisen, or may

(b) 2 R. S. 537; 3 R. S. (5th ed.) 851.

(d) 2 R. S. 538; 3 R. S. (5th ed.) 852.

(c) 2 R. S. 537; 3 R. S. (5th ed.) 852.

hereafter arise under the provisions of this title, the court or tribunal ordering such imprisonment may, in their discretion, (in cases of inability to perform the requirements imposed,) relieve the person or persons so imprisoned, in such manner and upon such terms as they shall deem just and proper.(e)

Sec. 21. If an actual loss or injury shall have been produced to any party, by the misconduct alleged, a fine shall be imposed, sufficient to indemnify such party, and to satisfy his costs and expenses, which shall be paid over to him on the order of the court. And in such case the payment and acceptance of such fine shall be an absolute bar to any action by such aggrieved party, to recover damages for such injury or loss.

Sec. 22. In all other cases the fine shall not exceed two hundred and fifty dollars, over and above the costs and expenses of the proceedings.(f)

Sec. 23. When the misconduct complained of consists in the omission to perform some act or duty, which it is yet in the power of the defendant to perform, he shall be imprisoned only until he shall have performed such act or duty, and paid such fine as shall be imposed, and the costs and expenses of the proceedings.

Sec. 24. In such case the order and process of commitment shall specify the act or duty to be performed, and the amount of the fine and expenses to be paid.

Sec. 25. In all other cases, where no special provision is otherwise made by law, if imprisonment be ordered, it shall be for some reasonable time, not exceeding six months, and until the expenses of the proceeding are paid; and, also, if a fine be imposed, until such fine be paid; and in the order and process of commitment, the duration of such imprisonment shall be expressed.

Sec. 26. Persons proceeded against according to the provisions of this title, shall, notwithstanding, be liable to indictment for the same misconduct, if it be an indictable offence; but the court before which a conviction shall be had on such indictment, shall take into consideration the punishment before inflicted in forming its sentence.

Sec. 27. If the defendant against whom an attachment shall have been issued and returned served, do not appear on the return-day thereof, the court may either award another attachment, or may order the bond taken on the arrest to be prosecuted, or both.(g)

Sec. 28. Such order shall operate as an assignment of the bond to any aggrieved party, who shall be authorized by the court to prosecute the same, and such party may maintain an action thereon in his own name, as assignee of the sheriff or officer to whom the same was given, in the same manner as in other actions on bonds, with condition to perform covenants other than for the payment of money.

Sec. 29. The measure of the damages to be assessed in such action shall be the extent of the loss or injury sustained by such aggrieved party, by reason of the misconduct for which the attachment was issued, and his costs and expenses in prosecuting such attachment.

(e) S. L. 1843, ch. 9; 3 R. S. (5th ed.) 852.

(f) 2 R. S. 538; 3 R. S. (5th ed.) 853.

(g) 2 R. S. 539; 3 R. S. (5th ed.) 853.



Sec. 30. If there be no party aggrieved by the misconduct for which the attachment was issued, the court, in case the defendant shall fail to appear, according to the condition of the bond taken on the arrest, shall order the same to be prosecuted by the attorney-general or by the district-attorney of the county in which the bond was taken, in the name of the officer who took such bond.

Sec. 31. In such case the whole penalty of the bond shall be forfeited and recovered, and from the moneys collected thereon the court shall order such sum to be paid to the party prosecuting the attachment, as the court ordering the prosecution shall think proper to satisfy the costs and expenses incurred by him, and to compensate him for any injury he may have sustained by the misconduct for which such attachment was issued; and the residue of such money shall be paid into the treasury of this state.<sup>(h)</sup>

Sec. 32. If, on the return of executions duly issued upon any judgment obtained on such bond, it shall appear that the sureties taken therein were, at the time of taking them, insufficient, and that the officer receiving them had reasonable grounds to doubt their sufficiency, he shall be liable in an action on the case to the party aggrieved, who may have prosecuted such suit, for the amount of the judgment recovered by him, and for his costs and expenses in such suit; or if such suit was brought by the attorney-general, or a district-attorney, an action on the case may in like manner be brought by them, in the name of the people of this state, for the amount of the judgment so recovered; and the same disposition of the moneys collected in such action on the case against such officer, shall be made as directed in the last section.

An attachment against a witness for disobedience of a subpoena, requiring the sheriff to arrest the witness, and bring him before the surrogate, "to testify" in a matter pending before him, is wholly void. The surrogate has not any power to issue such process, and he will be liable in an action for false imprisonment for issuing a subsequent attachment against the sheriff for not executing and returning such process, if the latter be arrested on the latter attachment. A witness may be arrested and punished for a contemptuous refusal to appear and give evidence, but the law does not authorize his being brought into court forcibly to *testify* in any case whatever.<sup>(i)</sup>

The power and duty of the surrogate to enforce, by process of attachment, decrees for the payment of money made by him against executors, administrators, or guardians, is now authoritatively established. In *Seaman against Duryea*,<sup>(j)</sup> where a decree had been made by a surrogate against a guardian for the payment to a new guardian of the same ward, of money belonging to the ward, the Court of Appeals determined that the surrogate had jurisdiction to compel the performance of the decree, and the payment over of the money by the former guardian, by process of attachment against him, under the above section of the statute. The doctrine maintained in several of

<sup>(h)</sup> 2 R. S. 539; 3 R. S. (5th ed.) 854.

<sup>(j)</sup> 1 Kernan, 324.

<sup>(i)</sup> *Perry v. Mitchell*, 5 Denio, 537.

the cases, (k) and countenanced in another, (l) that the debt due by an executor, administrator, or guardian, to those whose money or property he has misapplied or wasted, stands upon the same footing with the honest debts which, by misfortune, a debtor is unable to pay, and that the law has been expressly so framed that the remedy in the one case should be no more severe or effective than in the other, is rejected by the Court of Appeals; and the refinement that, because the statute of 1837 gives an execution against the executor, administrator, or guardian, therefore an attachment will not lie against him, upon which one of the cases (m) was decided, remains unnoticed. But the Court of Appeals fully sustains the high-toned, cogent, and powerful judgment of Mr. Justice Brown at special term, in the principal case, (n) at once vindicating the rights of the creditor, the widow, the legatee, the next of kin, or the infant ward, against the unjust executor, administrator, or guardian, establishing the Surrogate's authority, under the statute in question, to hold executors, administrators, and guardians, upon pain of imprisonment, to the faithful and honest discharge of their duties, and restoring the former just policy of the law, of visiting with severity of punishment the trustee who wastes or squanders the property committed to his care. "I cannot doubt," says Mr. Justice W. F. Allen, delivering the opinion of the Court of Appeals, "that the final order or decree of the surrogate upon an accounting from which an appeal may be taken, is a decree striking the balance and directing the payment of any amount found to be in the hands of the guardian, and for non-compliance with which an attachment may be issued. Trust-moneys and property being kept entirely separate from the individual money and property of the guardian, and ready to be delivered at any time, it was fit and proper that disobedience of an order of a court of competent jurisdiction requiring this, should be treated as a contempt, and punished as such." And again: "In contemplation of law, the trust-fund at all times remains in specie or invested as required by law, and the money or the proper securities are or should be in a situation to be delivered over at once; and hence, an order or decree, directing this to be done, is not like an ordinary judgment for a given amount in an action at law, which can properly be enforced only by the ordinary process of execution."

The obligations and liabilities of an executor or administrator, with respect to the property and funds in his hands belonging to his trust, are in all respects the same as those of a guardian. Decrees of the surrogate against them, for the payment of money of the estate, may, therefore, in like manner, be enforced by attachment.

(k) *Hosack v. Rogers*, 11 Paige, 603; *Doran v. Dempsey*, 1 Bradf. Surr. Rep. 490; opinion of McCoun, J., in the *Matter of John Seaman*, quoted by the court in 10 Barb. Sup. Court Rep. 528, 530.

(l) *In the Matter of John W. Latson*, on a *habeas corpus*, 1 Duer, 696.

(m) *In the Matter of John W. Latson*, on a *habeas corpus*, 1 Duer, 696.

(n) *Seaman v. Duryea*, 10 Barb. Sup. Ct. Rep. 523.



## OF THE SURROGATE'S POWER TO AWARD COSTS.

The above-quoted title of the Revised Statutes, concerning Surrogates' Courts, provides as follows:

Sec. 24. [Sec. 10.] In all cases of contest before a Surrogate's Court, such court may award costs to the party in the judgment of the court entitled thereto, to be paid either by the other party personally, or out of the estate which shall be the subject of such controversy.(o)

The law of 1837 contains the following provision :

Sec. 70. In all cases where the surrogate is authorized by law to award costs, he shall tax the costs at the same rate allowed for similar services in the Courts of Common Pleas.(p)

These provisions of the statutes will be more fully considered, in connection with the subject of costs, in a subsequent chapter of this work.(q)

## OF THE COMPLETION OF BUSINESS PENDING BEFORE A SURROGATE, ON A VACANCY OCCURRING IN HIS OFFICE.

The above-mentioned title of the Revised Statutes provides as follows :

Sec. 25. [Sec. 11.] Upon the office of any surrogate becoming vacant, his successor shall have power and authority to complete any business that may have been begun, or that was pending before such surrogate.(r)

In the counties of Jefferson, Oneida, St. Lawrence, Oswego, Orange, Chautauque, Cayuga, and Tioga, and Sullivan, Washington, and Tompkins, as has already been seen,(s) provision has been made for the election of a local officer, or two local officers, to discharge the duties of county judge and surrogate, or the duties of surrogate, in their respective counties, in cases of vacancy or inability of such officers, or either of them, in pursuance of section fifteen of article sixth of the constitution.

## OF THE EXCLUSIVE JURISDICTION OF SURROGATES, WITH REFERENCE TO EACH OTHER.

The above-quoted title of the Revised Statutes, concerning Surrogates' Courts, provides as follows :

Sec. 26. [Sec. 12.] When jurisdiction shall have been acquired by any Surrogate's Court, in relation to any matter or proceeding, such jurisdiction over the same matter, and all its incidents, shall be exclusive of all other surrogates, except when otherwise provided by law. And whenever any guardian shall have been appointed, or any other proceeding shall have been commenced, in relation to any other matter in any Surrogate's Court, all other proceedings in relation to such guardian or other matter shall be had and continued in the Surrogate's Court of the same county.(t)

(o) 2 R. S. 223 ; 3 R. S. (5th ed.) 367, sec. 25 [sec. 10].

(p) S. L. 1837, 536 ; 3 R. S. (5th ed.) sec. 23.

(q) *Post*, ch. 15.

(s) *Ante*, p. 13.

(r) 2 R. S. 223 ; 3 R. S. (5th ed.) 367, sec. 26 [sec. 11].

(t) 2 R. S. 223 ; 3 R. S. (5th ed.) 367, sec. 27 [sec. 12].

## OF THE FEES OF SURROGATES, AND OF THEIR DUTIES IN RESPECT TO ACCOUNTING FOR THE SAME.

The constitution, (article 6, section 20,) as has been seen, prohibits any judicial officer, except justices of the peace, from receiving to his own use any fees or perquisites of office. The legislature, as has been seen,<sup>(u)</sup> has made provision for the compensation of surrogates, to be determined by the boards of supervisors of the several counties, and to be paid out of the respective county treasuries. The fees to be allowed and received for services done or performed by surrogates, are fixed by the act of May 7, 1844. The tariff thereby established will be found at length in the appendix to this volume. The legislature has, also, enacted the following provisions respecting the collection of such fees, and the accounts to be rendered by surrogates therefor.

The "Act to provide for the payment of certain expenses of government, to fix the salaries of certain judicial and other officers, and for other purposes," passed May 12, 1847,<sup>(v)</sup> having provided for the compensation of county judges, separate officers elected to perform the duties of the office of surrogate, and local officers elected to discharge the duties of county judge and surrogate, further provides as follows:

Sec. 8. All county officers whose compensation is regulated by this act, shall charge and receive from all persons the same fees and perquisites for the performance of any official services performed by them, as might have been charged against any person or persons by any officer or officers in this state, under any law in force on the thirty-first day of December, eighteen hundred and forty-six, for like official services; and shall also receive all such other fees and perquisites as may hereafter be imposed by law on any person for services rendered by any such officer in his official capacity.<sup>(w)</sup>

The same act, as amended by the act of March 12, 1849,<sup>(x)</sup> further provides as follows:

Sec. 9. Such county officers shall in no case perform any official services, unless upon prepayment of the fees and perquisites imposed by law upon any person for services rendered by such officer in his official capacity, and upon such payment it shall be the duty of any officer to perform the services required. They shall, also, pay over all sums so received by them for such fees and perquisites, after deducting their salaries, to the treasurers of the respective counties, on the first Monday of May and November of each year. Also, to render an account, giving each item of fees received, verified by their affidavit, to the board of supervisors at their annual meeting of each year.<sup>(y)</sup>

The same act of May 12, 1847, further provides as follows:

Sec. 11. Whenever any public officer is or shall be required by law to keep an account of, or pay over to any county treasurer, or to the state treasurer, the fees of his office, such fees shall be deemed to include all fees which such officer shall be entitled to receive for any act or

(u) *Ante*, p. 19.

(w) S. L. 1847, ch. 277, vol. 1, p. 313; 1 R. S. (5th ed.) 903, sec. 7.

(x) S. L. 1849, ch. 95, p. 135.

(v) S. L. 1847, ch. 277, p. 309.

(y) 1 R. S. (5th ed.) 904, sec. 8.

duty done by him in his official capacity, whether such act or duty pertains to his office or the business thereof, or not.(z)

In the counties of Jefferson, Oneida, St. Lawrence, Oswego, Orange, Chautaque, Cayuga, and Tioga, all fees received by the local officers, or two local officers, authorized to be elected by the act of April 10, 1849, as herein above recited,(a) for discharging any of the duties under the act, are to be paid to the county treasurer of their respective counties, to be applied towards the payment of county charges.(b)

And the same provision is made by the act to authorize the election of a local officer to discharge the duties of county judge and surrogate in the county of Sullivan, passed March 28, 1854;(c) the act to authorize the election of local officers to discharge the duties of county judge and surrogate in the county of Washington, passed April 3, 1855;(d) and the act relative to the election of a special judge for the county of Tompkins, passed April 16, 1858,(e) with respect to the fees received by the officers whose election is provided for by those acts respectively for discharging any of the duties under those acts.

In the county of New York, by the first section of the "Act in relation to the fees and compensation of certain officers in the city and county of New York," passed December 10, 1847, all the fees, perquisites, and emoluments of the surrogate, or which the surrogate is or may hereafter by law be permitted or entitled to take by virtue of his office, for all official services whatsoever rendered by him, belong to and are for the benefit of the city and county of New York, and are to be collected by him, and accounted for and paid over into the treasury of said city and county, as afterwards provided in and by the act; and in lieu of such fees, perquisites, and emoluments, there is to be paid to the surrogate the salary allowed by the act, as herein above recited,(f) and no other salary or compensation whatever.(g)

By the ninth section of the same act, the surrogate is required, as has been seen,(h) to keep an exact account, in a book to be provided for that purpose, of all fees, perquisites, and emoluments actually received by him or his assistants, in his or their official capacity, and of all fees, perquisites, and emoluments, which he shall be entitled to demand and receive from any person for any service rendered by him in his official capacity; such books to show when and for whom every such service shall have been performed, its nature, and the fees chargeable therefor.

The tenth section of the same act provides that a transcript of such accounts, to be made in such form as shall be prescribed by the comptroller of the city and county of New York, shall be transmitted by the surrogate for each calendar month, and within ten days from the

(z) S. L. 1847, 313; 1 R. S. (5th ed.) 904, sec. 9.

(a) *Ante*, p. 13.

(b) S. L. 1849, 437.

(c) S. L. 1854, ch. 88, 169; *Ante*, p. 13.

(d) S. L. 1855, ch. 148, p. 228.

(e) S. L. 1858, ch. 279, p. 438.

(f) *Ante*, p. 20.

(g) S. L. 1847, ch. 432, p. 560; 1 R. S. (5 ed.) 893, sec. 273.

(h) *Ante*, p. 32.

expiration thereof, to the comptroller of said city and county, which shall be verified by the oath of the surrogate or by his assistants, which transcript shall contain a statement of all moneys received by such surrogate for fees, perquisites, and emoluments, for services done by him or his assistants in his or their official capacity, by virtue of any law of this state, since making the last preceding transcript and return, specifying the total amount received from each person, and the name of each person; and, also, a statement of the fees, perquisites, and emoluments which such surrogate or his assistants shall be entitled to demand from any person for services rendered in his or their official capacity, by virtue of any law of this state since making the last preceding return, which shall have been made by such surrogate, specifying the amounts chargeable to such person, the names of such persons, and the character of the service rendered; and that the verification of every account so transmitted to such comptroller shall be positive, and not upon information or belief.(i)

The twelfth section provides that any officer referred to in the act, (including the surrogate,) who shall receive, to his own use, or neglect to account for, in such mode as the board of supervisors of the city and county of New York may direct, any fees, perquisites, or emoluments by the act declared to belong to and be for the benefit of the city and county of New York, or shall neglect to render to the said comptroller an account of the fees accruing at his office, or to pay over the same, as herein required, shall be deemed guilty of a misdemeanor, and punishable with a fine of not less than five hundred dollars nor exceeding five thousand dollars, or imprisonment in the penitentiary for a period not less than three months nor exceeding one year, or both, at the discretion of the court before whom said officer may be convicted, and in addition shall forfeit any sum that may be due to him on account of his salary, and shall be liable to the said city and county in a civil action for all moneys so received, and not accounted for and paid over into the treasury of said city and county, pursuant to the requirements of the act.

By the second section of the "Act in relation to the assistants appointed by the surrogate to the city and county of New York," passed April 8, 1850, above quoted,(j) authorizing such assistants to administer and certify oaths and affirmations, the same fees are to be collected, for services performed under the act, as the said surrogate is authorized to collect for such services when performed by him, and are to be in the same manner accounted for by him, and paid over into the treasury of said city and county.(k)

By the act of April 18, 1857, relating to the Surrogate's Court of the county of Erie, providing, among other things, for the appointment of a clerk of the Surrogate's Court of the county of Erie, and prescribing his duties, it is enacted that the same fees shall be paid for services rendered by the clerk as are allowed by law for like services rendered

(i) S. L. 1847, vol. 2, 562, 563; 1 R. S. (5th ed.) 895, sec. 285.

(j) *Ante*, p. 18.

(k) S. L. 1850, ch. 201, p. 384; 1 R. S. (5th ed.) 894, sec. 282.

by surrogates, and the same, when so paid to the clerk, shall be paid over by him to the surrogate, and be applied and disposed of by him as surrogates' fees are now required by law to be applied and disposed of.<sup>(l)</sup>

By the fifth section of the act "respecting the fees of surrogates," passed May 7, 1844, each surrogate is required annually, between the first and twentieth day of January in each year, to make a report under oath, and transmit the same to the secretary of state, without expense to the state, of all his fees received or charged during the year then next preceding, stating therein the gross amount in every case where the law gives him a gross sum; and in all other cases stating particularly every item thereof, and showing a full and accurate account of all fees received or charged arising from said office for said year, and also stating the items and amount of his disbursements.<sup>(m)</sup>

It is proper to state here, that it is provided by law that no fee shall be taken by any surrogate in any case, when it shall appear to him, by the oath of the party applying for letters testamentary or of administration, that the goods, chattels, and credits do not exceed the value of fifty dollars.<sup>(n)</sup>

It may also be stated, that by an act of the legislature of the 18th April, 1843,<sup>(o)</sup> surrogates were required, upon the written request of the person or persons liable to pay the same, to procure their bills for fees and charges to be taxed by the first judge of the county courts, or by some other officer authorized to tax bills of costs in the Supreme Court, residing in the county with such surrogate. It is, perhaps, a matter of some doubt, in view of the later legislation on the subject of costs, how far this provision is still applicable.

In the county of Wayne, by an act of the legislature, of the 13th April, 1859, the board of supervisors of the county were authorized, at any annual meeting of the board, by a vote of two-thirds of all the members thereof, to abolish the fees of the offices of county judge and surrogate of said county, or of either of those offices.<sup>(p)</sup>

#### OF THE CASES IN WHICH THE SURROGATE IS DISABLED FROM ACTING, AND OF THE SUPPLYING HIS PLACE IN SUCH CASES.

The first title of the third chapter of the third part of the Revised Statutes, containing general provisions concerning courts of justice,<sup>(q)</sup> enacts as follows:

Sec. 2. No judge of any court can sit as such, in any cause to which he is a party, or in which he is interested, or in which he would be excluded from being a juror by reason of consanguinity or affinity to either of the parties.

The third article of title second of chapter sixth of part second of

<sup>(l)</sup> S. L. 1857, ch. 789, vol. 2, p. 758, sec. 3; 1 R. S. (5th ed.) 882, sec. 193.

<sup>(m)</sup> S. L. 1844, p. 448; 3 R. S. (5th ed.) 367, sec. 30.

<sup>(n)</sup> S. L. 1844, 447, 448; 3 R. S. (5th ed.) 921, sec. 22.

<sup>(o)</sup> S. L. 1843, ch. 205.

<sup>(p)</sup> S. L. 1859, ch. 293, p. 658.

<sup>(q)</sup> Tit. 1, ch. 3, part 3, 2 R. S. 275; 3 R. S. (5th ed.) 465.



the Revised Statutes, relating to letters testamentary and of administration, provides as follows :

Sec. 60. [Sec. 48.] No surrogate shall admit to probate any will, or grant letters testamentary or of administration in any case or upon any estate, where he shall be interested as next of kin of the deceased, or as a legatee or devisee under such will, or where such surrogate shall be named as executor or trustee in such will, or shall be a witness thereto.(r)

The above-mentioned first title of the third chapter of the third part of the Revised Statutes, as amended by the sixth section of the act of 7th May, 1844,(s) further enacts that the provisions of the above-quoted second section of the same title shall not apply to Surrogates' Courts, except in the cases enumerated in the above section forty-eight [60] of article third of title second of chapter sixth of part second, relating to letters testamentary and of administration, unless an objection shall be taken on behalf of the parties interested at the first hearing or proceedings before the surrogate, on account of such surrogate being disqualified by virtue of the said second section.(t)

It has been held, also, that the statute does not apply to cases where the relative of the judge has no personal interest in the subject matter of the litigation. A surrogate, therefore, for instance, may appoint a guardian for an infant, although such surrogate is a relative of the person who is appointed guardian.(u)

The act "in relation to the judiciary," passed May 12, 1847, provides as follows :

Sec. 81. No judge of any court shall have a voice in the decision of any cause in which he has been counsel, attorney, or solicitor, or in the subject matter of which he is interested.(v)

With respect to supplying the place of the surrogate in cases of disability, the same act, as amended by the act of December 14, 1847, provides as follows :

Sec. 32. Whenever any surrogate of any county shall be precluded from acting as such in any case, by reason of interest, relationship, by consanguinity or affinity to any party interested therein, so that he would be excluded from being a juror, or by reason of being a witness to any will, or of having acted as counsel in any cause, and there shall be no local officer in such county to discharge the duties of such surrogate, or where such local officer shall also be incapable of acting as such surrogate, by reason of the foregoing disabilities in the case of the surrogate, the county judge of such county, or, in case of his disability for like cause, then the district-attorney of such county, shall possess the power and exercise the jurisdiction in all respects in such case as the surrogate of such county would be authorized to possess and exercise, were it not for such disability.(w)

(r) 2 R. S. 79; 3 R. S. (5th ed.) 165.

(s) S. L. 1844, ch. 300, p. 448.

(t) 2 R. S. 276; 3 R. S. (5th ed.) 468, sec. 25 [sec. 14].

(u) *Underhill v. Dennis*, 9 Paige, 202. See, also, *In the Matter of Hopper*, 5 Paige, 489.

(v) S. L. 1847, vol. 1, 346; 3 R. S. (5th ed.) 466, sec. 8.

(w) S. L. 1847, vol. 2, 643, 644; 3 R. S. (5th ed.) 165, sec. 63.

This statute, it is apprehended, makes provision applicable to every county of the state, except New York, for supplying the place of the surrogate in all cases of disabilities, and supersedes all the previous acts having the same object. Those acts are, however, probably still in force with reference to the surrogate of the county of New York, and for this reason, as well as for the sake of completeness, it is deemed advisable to give them a place in these pages. They are as follows:

When any surrogate, who would otherwise be authorized to act, shall be so precluded from acting, (by virtue of sec. 60, [sec. 48,] 2 R. S. 79, above quoted,) or shall be so precluded from acting by reason of any of the provisions of section second of title first of chapter third of part third of the Revised Statutes, upon a representation and due proof thereof to the first judge of such county, such judge shall be vested with all the powers and authority of the surrogate, in relation to the proof of any such will, and the granting of letters testamentary or of administration thereon, and the granting of letters of administration in case of intestacy; and shall retain jurisdiction in such cases, for all the purposes contemplated by this chapter.(x)

When the office of surrogate in any county shall be vacant, the first judge of the county shall act as surrogate until such vacancy be supplied.(y)

In any case where a surrogate, who would have exclusive jurisdiction to admit to probate any will, or grant letters testamentary or of administration upon any estate, is a subscribing witness to said will, the same may be proved before the first judge of the county in which said surrogate would have such exclusive jurisdiction; and the said first judge may grant letters testamentary or of administration, in the same manner and with the like effect as the said first judge is authorized to do by the provisions of the Revised Statutes above quoted, in cases where the surrogate is precluded from acting.(z)

Whenever the first judge of any county shall act as surrogate, pursuant to the foregoing provisions, he shall possess all the powers and authority of a surrogate, in the same manner, under the same restrictions as are herein prescribed in relation to such surrogate; and his orders and decrees shall in like manner be subject to appeal.(a)

Whenever it becomes necessary for the first judge to use a seal in the execution of any of his duties or powers as surrogate, he shall be authorized to use the seal of the Court of Common Pleas of his county without any charge therefor. All papers, vouchers, and documents received by him, and which are required to be retained by the surrogate, shall be deposited in the office of the clerk of the county.(b)

Whenever it becomes necessary for the first judge of any county to act as surrogate thereof, by reason of a vacancy in the office of surrogate, he shall use the seal of the Surrogate Court of said county, and

(x) 2 R. S. 79; 3 R. S. (5th ed.) 165, sec. 61 [sec. 49], as amended; S. L. 1843, ch. 121 p. 108.

(y) 2 R. S. 79; 3 R. S. (5th ed.) 166, sec. 64 [sec. 50].

(z) S. L. 1834, ch. 308; 3 R. S. (5th ed.) 166, sec. 65.

(a) 2 R. S. 79; 3 R. S. (5th ed.) 166, sec. 66 [sec. 51].

(b) 2 R. S. 79; (5th ed.) 166, sec. 67 [sec. 52].



shall file in the said surrogate's office, all papers, vouchers, and documents received by him, and which are required to be retained by the surrogate.(c)

Whenever any will, letters testamentary or of administration, shall be entitled or required by law to be recorded by such first judge, acting as surrogate, he shall record the same in the books kept for that purpose by the surrogate, and shall sign and certify the same under his own hand.(d)

The same causes which preclude the surrogate from acting, shall apply equally to the first judge, and when both shall be thus incapable of acting, and when the office of both shall be vacant, the district-attorney of the county, if not incapacitated by the same causes, shall have the same powers as are given by the preceding sections to the first judge, and shall proceed in the same manner; where there shall be no persons capable of acting under the provisions of this title, the chancellor, (now the Supreme Court,) upon petition, shall issue a commission to some suitable person, empowering him to act as surrogate in the premises.(e)

In the county of New York, as has been seen, there is not a county judge within the description of the above-quoted law of 12th May, 1857.(f) The above provision of that law, for supplying the place of the surrogate in case of disability, therefore, does not apply to that county. Previously to the law of 1847, the first judge of the county courts of the city and county of New York, was the first judge of the Court of Common Pleas of the city and county.(g) By the seventh section of the act of the 12th May, 1847, "in relation to the Superior Court and the Court of Common Pleas, in and for the city and county of New York," it was provided that the judges of the Court of Common Pleas, thereby authorized to be elected, should have and possess the same powers, and perform the same duties, as the first judge and assistant judges of said Court of Common Pleas then had, and possessed and performed.(h) The sixth section of the same act provides for the selection of the first judge. The above sections of the statutes, authorizing the first judge to discharge the duties of the surrogate, in case of his disability, are, therefore, it would seem, applicable to the case of a disability on the part of the surrogate of the county of New York.

So far as the sections of the statutes are still in force, with reference to the other counties, the county judge is to be understood as the officer intended, when the first judge of the county is mentioned, and the County Court as the court intended where the Court of Common Pleas is mentioned, and, for all the counties, the Supreme Court is to be understood where the chancellor is mentioned.(i)

(c) S. L. 1837, ch. 465, p. 543, sec. 1; 2 R. S. 79; 3 R. S. (5th ed.) 166, sec. 68 [sec. 53].

(d) 2 R. S. 80; 3 R. S. (5th ed.) sec. 70 [sec. 53].

(e) 2 R. S. 80; 3 R. S. (5th ed.) sec. 71 [sec. 54].

(f) Constitution, Art. 6, sec. 14; *Ante*, p. 10. (g) 2 R. S. 215, secs. 22, 23; 3d ed. p. 284.

(h) S. L. 1847, vol. 1, ch. 255, p. 279. See, also, the sixth section of the act in relation to the Court of Common Pleas for the city and county of New York, passed 12th April, 1854; S. L. 1854, ch. 198, p. 464.

(i) See "Act in relation to the judiciary," S. L. 1847, vol. 1, 280, sec. 16, and act amend-

PROHIBITIONS TO WHICH SURROGATES, THEIR CLERKS, LAW PARTNERS, &c., ARE SUBJECT.

On this topic, the following statutory provisions are applicable to surrogates and Surrogates' Courts:

No judge can practice, or act as a counselor, solicitor, or attorney, in the court of which he is a judge.(j)

No judge shall have any partner, practicing in the court of which he is a judge; nor shall any judge be directly or indirectly interested in the costs of any suit that shall be brought in the court of which he is a judge.(k)

No judge shall, directly or indirectly, take any part in the decision of any cause or question which shall be brought or defended in the court of which he is a judge, by any person acting as an attorney or counselor with whom he shall be interested or connected as a partner in any other court.(l)

No county judge shall practice or act as an attorney, solicitor, or counselor, in any court of which he shall be, or shall be entitled to act as a member; nor shall any partner of, or person connected in law business with any such judge, practice or act as an attorney, solicitor, or counselor, in any court of which such judge shall be, or shall be entitled to act as a member, or in any cause or proceeding originating in such court; nor shall any such judge practice, or act as a counselor, in any cause or proceeding which shall have originated in a court of which he shall be, or shall be entitled to act as a member.(m)

No partner or clerk of any judge or officer shall practice before him, as attorney, solicitor, or counsel in any cause or proceeding whatever, or be employed in any suit or proceeding which shall originate before such judge or officer; nor shall any judge or officer act as attorney, solicitor, or counselor in any suit or proceeding which shall have been before him in his official character.(n)

No judge, commissioner, or other judicial officer, shall demand or receive any fees or other compensation for giving his advice in any matter or thing pending before such judge or officer, or which he has reason to believe will be brought before him for decision; or for drafting or preparing any papers or other proceedings, relating to any such matter or thing, except in those cases where fees are expressly given by law to such judge or officer for services performed by him.(o)

No surrogate shall be counsel, solicitor, or attorney, for or against

ing the same; S. L. 1847, vol. 2, 638, sec. 627; 3 R. S. (5th ed.) 277, sec. 1, 306, sec. 32; Code of Procedure, sec. 30, subdv. 11; Howard's Code, p. 33. In the view above given of the present force of the sections of the statutes in question, it is supposed that a slight inaccuracy occurs in the fourth and fifth editions of the Revised Statutes, in substituting in those sections the county judge in the place of the first judge of the county, and the County Court in the place of the Court of Common Pleas, as applicable to all the counties of the state.

(j) 2 R. S. 275; 3 R. S. (5th ed.) 465, sec. 4.

(k) *Ib.*, sec. 5.

(l) S. L. 1839, ch. 303, p. 281, as amended; S. L. 1841, ch. 272, p. 255; 2 R. S. 275; 3 R. S. (5th ed.) 466, sec. 7.

(m) S. L. 1847, vol. 2, 647, sec. 48; 3 R. S. (5th ed.) 466, sec. 10.

(n) S. L. 1847, vol. 2, 648, sec. 52; 3 R. S. (5th ed.) 466, sec. 13.

(o) 2 R. S. 275; 3 R. S. (5th ed.) 466, sec. 15.

any executor, administrator, guardian, or minor, in any civil action, over whom or whose accounts he could have any jurisdiction by law.<sup>(p)</sup>

No son, partner, or clerk of any surrogate, shall be permitted to practice before such surrogate, as attorney, solicitor, or counsel for any party to any proceeding before him.<sup>(q)</sup>

No surrogate shall practice or act as an attorney, counselor, or solicitor in his court, nor in any cause originating in such court; nor shall any partner of, or person connected in law business with any surrogate, practice or act as attorney, solicitor, or counselor, in any cause or proceeding before such surrogate, or originating before such surrogate.<sup>(r)</sup>

Other particulars and incidents of the powers, duties, and liabilities of surrogates, will appear in the following pages, in connection with the various subjects within their cognizance and jurisdiction embraced within the scope of this work.

## CHAPTER II.

### OF PROVING WILLS.

It should be understood, at the outset of this chapter, that a will proved in the Surrogate's Court is conclusive as to the personal estate only of the testator. Although, as will presently appear, a will may be proved in the Surrogate's Court as to real as well as personal estate, still the surrogate's record of the proof with respect to the real estate of the testator can be received in evidence, and is as effectual only as the original will would be if produced and proved, and may in like manner be repelled by contrary proof.<sup>(a)</sup> On the other hand, the probate of any will of personal property, taken by a surrogate having jurisdiction, is conclusive evidence of the validity of such will until such probate be reversed on appeal, or revoked by the surrogate on allegations, as directed by the statute, or the will be declared void by a competent tribunal.<sup>(b)</sup> The principal subject of the present chapter, therefore, will be the proving of wills of personal property. In connection with that subject, however, the partial and inconclusive jurisdiction of surrogates, with respect to the proof of wills of real estate, and the mode of proceeding on taking such proof, will be fully considered and explained.

<sup>(p)</sup> 2 R. S. 223; 3 R. S. (5th ed.) 367, sec. 28 [sec. 13].

<sup>(q)</sup> S. L. 1844, ch. 300, p. 448, sec. 4; 2 R. S. (3d ed.) 322, sec. 28.

<sup>(r)</sup> S. L. 1847, vol. 2, 647, sec. 51; 3 R. S. (5th ed.) 367, sec. 29.

<sup>(a)</sup> 2 R. S. 58; 3 R. S. (5th ed.) 140, sec. 11 [sec. 15]; *Jauncey v. Thorne*, 2 Barb. Chan. Rep. 40, 51; *Bogardus v. Clarke*, 1 Ed. Ch. Rep. 266.

<sup>(b)</sup> 2 R. S. 61; 3 R. S. (5th ed.) 142, sec. 23 [sec. 29].

## OF THE ORIGIN OF WILLS OF PERSONAL PROPERTY, AND THE POWER OF BEQUEATHING OR DEVISING.

The power of bequeathing is coeval with the first rudiments of the English law; for we have no traces or memorials of any time when it did not exist.(c) The principle has been declared in the highest court of this state, that the right of testamentary bequest is not, as some great jurists maintain, a mere institution of positive law, but a natural right, subject to the restrictions and regulations of civil legislation, yet not its mere creature.(d)

In England, till modern times, by the general law of the land or by custom in particular places, a man could dispose of only one-third of his movables from his wife and children; and, in general, no will was permitted of lands till the reign of Henry the Eighth, and then only of a certain portion; for it was not until after the Restoration that the power of devising real property became so universal as at present.(e) This law, as regards movables, is at present altered by imperceptible degrees, and the deceased may now by will bequeath the whole of his goods and chattels.

All those embarrassments which formerly checked the testamentary disposition of property in England, have been effectually removed in this state, and the power of devising and bequeathing one's estate is here enjoyed in the fullness and perfection of the absolute right.(f) The only limitation which would seem to exist, is that supposed to be created by implication, by the laws commonly known as the exemption laws, providing that when a man having a family shall die, leaving a widow or a minor child or children, certain spinning-wheels, weaving looms, domestic animals, farming utensils, household furniture, &c., shall not be deemed assets, but shall remain in the possession of the family of the deceased; and, in addition thereto, other personal property, to the value of not exceeding one hundred and fifty dollars.(g) But these provisions, if they apply otherwise than as against creditors, which may perhaps be doubted, are altogether too inconsiderable in their effect to be regarded seriously as a restraint upon bequests in this state.

Such, at any rate, until recently, could be stated to be the law of this state upon the present subject. By the act entitled "An act relating to Wills," passed April 13, 1860,(h) however, the legislature enacted as follows:

Sec. 1. No person having a husband, wife, child, or parent, shall, by his or her last will and testament, devise or bequeath to any benevolent, charitable, literary, scientific, religious, or missionary society, association or corporation, in trust or otherwise, more than one-half of his

(c) 2 Black. Comm. 491.

(d) *Stewart's Executor v. Lispenard*, 26 Wendell's Reps. 296; *Remsen v. Brinckerhoff*, Id. 333, per Verplanck, Senator.

(e) 2 Black. Comm. 12; 1 Wms. on Exrs. 1, 2, 3; *Tonnele v. Hall*, 4 Comst. 145.

(f) See 2 Kent's Comm. 327-8.

(g) 2 R. S. 83; 3 R. S. (5th ed.) 170, sec. 9, 10, 11; S. L. 1842, ch. 157, p. 193.

(h) S. L. 1860, ch. 360, p. 607.

or her estate, after the payment of his or her debts (and such devise or bequest shall be valid to the extent of one-half, and no more).

Sec. 2. All laws and parts of laws inconsistent with this act are hereby repealed.

This law is not, properly speaking, a restraint upon the power of devising or bequeathing, because one may still devise or bequeath all his estate; but it restricts its exercise for the benefit of charities.<sup>(i)</sup>

A last will and testament is defined to be "the just sentence of our will touching what we would have done after our death."<sup>(j)</sup> When the will operates upon personal property, it is sometimes called a *testament*, and when upon real estate, a *devise*; but the more general and the more popular denomination of the instrument, embracing equally real and personal estate, is that of last will and testament.<sup>(k)</sup>

A last will and testament, with respect to personal property, will operate upon whatever personal estate a man dies possessed of, whether acquired before or since the execution of the instrument.<sup>(l)</sup> With respect to real estate formerly, upon the principle that a devise of lands is merely a species of conveyance, being considered by the courts not so much in the nature of a testament as of a conveyance by way of appointment, of particular lands to a particular devisee, it was established that a man could devise those lands only which he had at the time of the date of such conveyance, and no after-purchased lands would pass, whatever words might be used.<sup>(m)</sup> The Revised Statutes altered the language of the law in this particular, and made the devises prospective. They declare as follows:

(i) See, also, the act in relation to conveyances and devises of personal and real estate for religious purposes, S. L. 1855, ch. 230, p. 338; 3 R. S. (5th ed.) p. 19.

The widow of the decedent is endowed of the third part of all the lands whereof her husband was seized, of an estate of inheritance at any time during the marriage, and her dower is beyond the control of her husband, by his last will and testament, or otherwise. But this right constitutes, at best, a mere incumbrance upon the estate, and the power of absolute disposition of the property remains complete.

The act concerning the rights and liabilities of husband and wife, passed March 20, 1860, contains the following provision:

§ 10. At the decease of husband or wife, leaving no minor child or children, the survivor shall hold, possess, and enjoy a life estate in one-third of all the real estate of which the husband or wife died seized. S. L. 1860, ch. 90, p. 157.

(j) Swinb., pt. 1, sec. 2; Godolph, pt. 1, ch. 1, sec. 2; 2 Black. Comm. 499; 1 Wms. on Exrs. 6.

(k) 4 Kent's Comm. 501. It is said by Lord Coke, (Co. Lit. 111, a.) that in law most commonly *ultima voluntas in scriptis* is used, where lands or tenements are devised, and *testamentum*, when it concerneth chattels. See, also, to the same effect, Godolph, pt. 1, ch. 6, sec. 7.

"So, also, the word *devise* seemeth properly applicable to lands; *bequest*, *bequeath*, *give*, *dispose*, and such like, to goods: yet, forasmuch as authors do generally confound them, and because that propriety of expression is not so much regarded in wills as in other legal instruments of conveyance, so long as the testator's intention doth sufficiently appear; therefore, it hath not been thought necessary, in these different ways of expression, to observe a scrupulous exactness. The word *legacy*, in its ordinary signification, is applied to money; but it may signify a devise of land, and land may pass thereby." Doug. 39.

(l) 2 Black. Comm. 378-9; 1 Wms. on Exrs. 6; *Collin v. Collin*, 1 Barb. Ch. Rep. 630.

(m) 2 Black. Comm. 378; 4 Kent's Comm. 510, 1 Wms. on Exrs. 6, 181; *Adams v. Winne*, 7 Paige, 100, per Walworth, Ch. See, also, *post*; *Pond v. Bergh*, 10 Paige 141; *Ellison v. Miller*, 11 Barb. Sup. Ct. Rep. 332. The recent statute 1 Victoria, ch. 26, sec. 24, has changed the rule of law upon this subject in England entirely, by providing that every will shall be construed, with reference to the real and personal estate comprised in it, to speak and take effect as if it had been executed immediately before the death of the testator, unless a contrary intention shall appear by the will.



Sec. 2. Every estate and interest in real property descendible to heirs may be devised.<sup>(n)</sup>

Sec. 35. Expectant estates are descendible, devisable, and alienable in the same manner as estates in possession.<sup>(o)</sup>

Sec. 5. Every will that shall be made by a testator, in express terms, of all his real estate, or in any other terms denoting his intent to devise all his real property, shall be construed to pass all the real estate which he was entitled to devise at the time of his death.<sup>(p)</sup>

This last statutory provision, it is laid down, proceeds upon the ground that, in a general devise of all his real estate, the testator has reference to the real estate as it shall exist at the time of his death; and that such a construction of the testamentary disposition of his property will be but carrying his intention into effect. Upon the same principle, therefore, if he devises all the real estate of a particular description, of which he shall die possessed, or which shall belong to him in a particular town or county, at the time of his death, although the devise would not be within the words of this section, it not being a general devise of all his real estate, still it is thought it would clearly be within the spirit and intent of this statutory provision. Where, however, the testator devises all his real estate at a particular place, or within a particular district of country, there is good reason to suppose he means to speak in reference to the lands he has already acquired there; and that if he intended to give to the devisee all the lands or real estate which he should afterwards purchase at that place, or within the specified district of country, there would have been something in

<sup>(n)</sup> 2 R. S. 57; 3 R. S. (5th ed.) 138. See *Varick v. Jackson*, 2 Wend. 166; *Pond v. Bergh*, 10 Paige, 141.

Sec. 3. Such devise may be made to every person capable by law of holding real estate; but no devise to a corporation shall be valid, unless such corporation be expressly authorized by its charter, or by statute, to take by devise (2 R. S. 57.) See *McCartee v. Orphan Asylum Society*, 9 Cowen, 437; *Theol. Sem. of Auburn v. Childs*, 4 Paige, 419; *Ayres v. Methodist Ch.*, 3 Sandf. Super. Ct. Rep. 351. See, also, the act "authorizing certain trusts," passed May 14, 1840, (S. L. 1840, 267); and the act in addition thereto, passed May 26, 1841, (S. L. 1841, 245); also, act to amend last-mentioned act, passed April 21, 1846, (S. L. 1846, ch. 74, p. 76); also, act in relation to the accumulation of the income of certain trust funds, passed 13th April, 1855; (S. L. 1855, 805; 3 R. S. (5th ed.) pp. 17, 18, 19); Taylor's *Precedents of Wills*, 68, 156.

Sec. 4. Every devise of any interest in real property to a person who, at the time of the death of the testator, shall be an alien, not authorized by statute to hold real estate, shall be void. The interest so devised shall descend to the heirs of the testator; if there be no such heirs competent to take, it shall pass under his will to the residuary devisees therein named, if any there be competent to take such interest, 2 R. S. 57; 3 R. S. (5th ed.) 139. See, also, sec. 8 of 1 R. S. 719—3 R. S. (5th ed.) 3; *Id.*—3 R. S. (5th ed.) 5, 6, 7, 8, 9; the "Act to enable resident aliens to hold and convey real estate," passed April 10, 1843, S. L. 1843, 62—3 R. S. (5th ed.) 6; the "Act to enable resident aliens to hold and convey real estate, and for other purposes," passed April 30, 1845, chap. 115 of S. L. 1845, 94; "Act to extend the provisions of chapter 115 of the laws of 1845, in relation to aliens," passed 15th April, 1857, 3 R. S. (5th ed.) 6, 7, 8, 9; *Jackson v. Green*, 7 Wend. 333; *Jackson v. Fitz Simmons*, 10 id. 9; *Mick v. Mick*, 10 id. 379; *The People v. Irvan*, 21 id. 128; *The People v. Concklin*, 2 Hill, 67; *Orser and others v. Hoag*, 3 id. 79; *West v. West*, 8 Paige, 433; *Brown v. Sprague*, 5 Denio, 545. See, also, *Van Kleek v. Dutch Church of New York*, 20 Wend. 457; *Van Cortlandt v. Kip*, 1 Hill, 590.

<sup>(o)</sup> 1 R. S. 725; 3 R. S. (5th ed.) 13.

<sup>(p)</sup> 2 R. S. 57; 3 R. S. (5th ed.) 139. See *Van Kleek v. Dutch Church of New York*, 20 Wend. 457; *Van Cortlandt v. Kip*, 1 Hill, 590.

his will indicating such an intention. Thus, in *Pond v. Bergh*,<sup>(q)</sup> where P. D., owning certain lands in the county of Schoharie, made his will about nine years before the death of his uncle, from whom he derived certain other five parcels of land in the same county, by which will, in distributing his real and personal estate among his children and grandchildren, he gave to his four sons all his real estate lying and being in the county of Schoharie, subject to the payment of certain legacies to his other children and descendants, it was held that he must have had reference to the real estate in that county which then belonged to him, or in which he had some right or interest; and not such as he should acquire afterwards by purchase or devise, or by descent from others, and that, therefore, all his children and grandchildren were entitled to participate in the five parcels of land in which he acquired an interest as one of the heirs at law of his uncle. In *Havens v. Havens*,<sup>(r)</sup> the words of the will were, "all the rest, residue, and remainder of my estate, in case it shall more than suffice to pay the legacies herein-above and herein-after given, I bequeath," &c. The testator devised specifically all the real estate which he had at the date of the will, and there was after-purchased land. It was held that the will did not in express terms dispose of all the testator's real estate, nor were there terms which denoted his intent to devise all his real property within the meaning of the statute, and, consequently, that the real estate acquired by the testator after the making of his will did not pass under this residuary bequest, but descended to his heirs at law, subject to the dower of his widow.

Where the testator, owning certain lands, by his will, executed previously to the Revised Statutes, devised the use of all his real estate to his wife during her widowhood, and in 1831, after the Revised Statutes took effect, became seized of other lands, by purchase, and died in 1833 without having altered or republished his will; it was held that the lands acquired in 1831 did not pass to the widow under the will, but descended to the heirs at law of the testator.<sup>(s)</sup>

With respect to codicils, in strictness, according to the older authorities of the ecclesiastical law, the appointment of an executor was essential to a testament, and the term codicil was applied to those instruments which expressed the intentions of the party touching that which he would have done after his death *without the appointing of an executor*. But this strictness has long since ceased to exist, and a codicil may now be defined to be a supplement or an addition to a will, made by the testator to be annexed to, and taken as part of the will itself, being for its explanation or alteration, or to make some addition to, or else some subtraction from, the former dispositions of the testator.<sup>(t)</sup>

A codicil, in this latter sense of it, is part of the will, all making but one testament. It has the effect, and independently of any intention to bring down the will to the date of the codicil, making the will speak as of that date,<sup>(u)</sup> unless a contrary intention be shown, in which case

(q) 10 Paige, 140.

(r) 1 Sand. Ch. Rep. 324.

(s) *Ellison v. Miller*, 11 Barb. Sup. Ct. Reps. 332.

(t) See Wms. on Executors, 7, 8; Toll. on Executors, 5.

(u) See *In the Goods of James Terrible*, 1 Swabey and Tristram, 140.



it will repel that effect. And this is so, whether the immediate subject of the codicil be real or personal property.

The codicil may be written on the same paper, or affixed to, or folded up with the will; or it may be written on a different paper, and deposited in a different place. Physical annexation or connection is not absolutely requisite. Internal annexation, as it is termed in the cases—that is, constructively by reference to the previous will or its provisions—is held to be as effectual and inseparable an annexation as if made by a wafer or wrapper.<sup>(v)</sup>

A testator, though he can make only one will which can take effect, (as there can be but one *last* will,) may make as many codicils as he pleases, and the first is of equal force with the last, if not contradictory to each other.<sup>(w)</sup>

With this exception, the laws which regulate codicils agree, in general, with those which regulate wills. They must be executed, published and attested, and proved exactly in the same manner as a will.

A will, as well as a codicil, is, by its nature, in all cases a revocable instrument, even should it in terms be made irrevocable.<sup>(x)</sup>

With respect to conditional wills, that is, where, besides the death of the testator, by a provision of the will itself, it depends upon the occurrence of some event, or the performance of some act, whether the will shall have effect; the rule seems to be, that if the words of the will do not clearly express that the entire instrument is to take effect, or to fail upon a particular event, the court will give sentence of probate, so as to leave the determination of the conditional character of the instrument open as a question of construction.<sup>(y)</sup>

It may be added that there cannot be a joint or mutual will, an instrument of such a nature being unknown to the testamentary law.<sup>(z)</sup>

(v) *Wms. on Exrs*, 8; *Barnes v. Crowe*, 1 Ves. jr. 486; *Van Cortlandt v. Kip*, 1 Hill, 590; *Toll. on Exrs*, 5.

(w) *Godolph.* 1; *Swinb.* 14, 15.

(x) *Swinburne*, intreating of the revocation of testaments, wherein are express clauses, even, derogatory of the power of making future testaments, as "I do from henceforth renounce the power of making any other testament," or the like, lays down, that such testaments are avoided by testaments of a later date, precisely as if they contained no such derogatory clauses. "The reason," he adds, "is, because the clause derogatory of the power of making testaments, is utterly void in law; nor can a man renounce the power or liberty of making testaments; neither is there any cautel under heaven to prevent this liberty, which also endureth whilst any life endureth." *Swinb.* p. 504; see, also, to the same effect, pp. 101, 102, 501, &c.

(y) *Ex parte Lindsay*, 2 Bradf. Surr. Rep. 204; *Thompson v. Connor*, 3 Bradf. Surr. Rep. 366.

(z) *Wms. on Exrs*, 9, 10. But see *Ex parte Day*, 1 Bradf. Surr. Rep. 476; *Ex parte McCormick*, 2 ib. 169.

There is some discussion upon this position, contained in a note to the same statement in the second edition of this work, at page 45. Neither subsequent reflection nor an examination of the more recent cases, has in any degree modified the views of the writer, as expressed in that note. But the subject is not one of sufficient importance to entitle it in this edition to the space required for a repetition of those observations.

The principal cases upon the question, including those referred to in the note which has been mentioned, are the following: *Hobson v. Blackburne*, 1 Add. 274, 277; *Dufour v. Pereiro*, 1 Dickens, 419; 2 Harg. Jurid. Arg. 304; 2 Harg. Exerct. Juris. 101; *Lord Walpole v. Lord Orford*, 3 Vesey, 402; *Clayton v. Liverman*, 2 Dev. & Batt. 558; *Rogers, Appellants*, 2 Fairfield, 303; *Day ex parte, supra*; *Ex parte McCormick, supra*; *In the Goods of Sir Josias Henry Stracey, Bart. and Diana Stracey his wife*, 1 Deane, 6; *In the Goods of Joseph Raine*, 1 Swabey and Tristram, 144.

Many of the cases are collected in the judgment of the surrogate in *Day ex parte*, 1 Bradf. Surr. Rep. 476.

## WHO IS CAPABLE OF MAKING A WILL.

Sec. 1. All persons, except idiots, persons of unsound mind, married women<sup>(a)</sup> and infants, may devise their real estate by a last will and testament duly executed, according to the provisions of this title.<sup>(b)</sup>

Sec. 18. [Sec. 21.] Every male person, of the age of eighteen years or upwards, and every female, not being a married woman,<sup>(c)</sup> of the age of sixteen years or upwards, of sound mind and memory, and no others, may give and bequeath his or her personal estate by will in writing.<sup>(d)</sup>

The disqualification on the ground of idiocy is founded on the natural incapacity of an idiot to exercise such a degree of understanding as constitutes volition. An idiot or natural fool, is one who has had no understanding from his birth, and is, therefore, deemed in law (founded on experience) never likely to attain any, for which reason, and to prevent their alienating their estates and disinheriting their heirs, the statute,<sup>(e)</sup> following the common law, directs that the chancellor (now the Supreme Court) shall have the care and custody of all idiots, lunatics, &c., and of their real and personal estates, and shall provide for their safe keeping and maintenance, and the maintenance of their families, out of their personal property, and the rents and profits of their real estate.

He is undoubtedly an idiot, who, notwithstanding he may be of lawful age to make a will, has yet so little sense as to be unable to number to twenty, or to tell his age, or the days of the week, or to know his own father or mother, or to answer any common questions, by which it may plainly appear that he has not sufficient reason to discern what is to his advantage or disadvantage; and who, from want of his natural parts, is incapable of being informed or instructed by any other person. Such an idiot cannot, at any time, make a will or testament, or dispose either of lands or goods.<sup>(f)</sup>

But a man is not an idiot who has any glimmering of reason, so that he can tell his age, know his parents, and the like.<sup>(g)</sup> And generally tests, founded on the want of knowledge of such matters, though they may be evidences, yet they are too narrow, and conclude not always; for whether idiot or not, is clearly a question of fact, referable to the individual circumstances of each particular case.<sup>(h)</sup>

Mere feebleness of intellect, however considerable in a testator, it should be understood, will not invalidate his will.<sup>(i)</sup> Persons of weak minds have the same rights with the prudent, or strong-minded, to dis-

(a) But see act for the more effectual protection of the property of married women, S. L. 1848, ch. 200; S. L. 1849, ch. 375; 3 R. S. (5th ed.) 239, 40, *post*, p. 63.

(b) Title 1, ch. 6, part 2, art. 1, 2 R. S. 56; 3 R. S. (5th ed.) 138.

(c) But see act for the more effectual protection of the property of married women, S. L. 1848, ch. 200; S. L. 1849, ch. 375; 3 R. S. (5th ed.) 239-40, *post*, p. 63.

(d) 2 R. S. 60; 3 R. S. (5th ed.) 141.

(e) 2 R. S. 52; 3 R. S. (5th ed.) 134.

(f) Burns' Eccles. Law.

(g) Fitzh. N. Brev. 233; 1 Black. Comm. 304.

(h) See 1 Wms. on Exrs. 16.

(i) *Newhouse v. Godwin*, 17 Barb. 236; *Dornick v. Reichenback*, 10 Serg. & Rawle, 84; *Heister v. Lynch*, 1 Yeates, 108.

pose of their property; and if imbecility, and not a total absence, or rather perversion of mind, should constitute inability to act, it would be impossible to draw any clear line of distinction, or one which should generally prevail.(j)

*Non compos mentis*, or of unsound mind, (which means the same thing,) are legal terms of a determinate signification, understood by courts of law, importing not weakness of understanding, but a total deprivation of reason.(k) People, therefore, of mean understanding and capacities, neither of the wise sort nor the foolish, even though they rather incline to the foolish, are not hindered from making their wills.(l) The law will not scrutinize into the depth of a man's capacity, particularly after his death, if he was able to conduct himself reasonably in the common course of life, as it might be opening a wide door to pretensions of fraud.(m) A man's capacity may be limited, his manners eccentric, and he may have many very foolish propensities; but he is not, therefore, excluded from the power of disposing of his property by will.

The degree of mental capacity requisite to the execution of a will, was the subject of protracted and elaborate discussion in the case of *Stewart's Executor v. Lispenard*;(n) and Senator Verplanck, delivering the leading opinion of the court of last resort in that case, declares his views of the question, as follows:

"To establish any standard of intellect or information beyond the possession of reason in its lowest degree, as in itself *essential* to legal capacity, would create endless uncertainty, difficulty, and litigation; would shake the security of property, and wrest from the aged and infirm that authority over their earnings or savings, which is often their best security against injury and neglect. If you throw aside the old common law test of capacity, then proofs of wild speculations, or extravagant and peculiar opinions, or of the forgetfulness, or the prejudices of old age, might be sufficient to shake the fairest conveyance, or impeach the most equitable will. The law, therefore, in fixing the standard of positive legal competency, has taken a low standard of capacity; but it is a clear and definite one, and, therefore, wise and safe. It holds, (in the language of the latest English commentator,(o) that 'weak minds differ from strong ones, only in the extent and power of their faculties; but unless they betray a total loss of understanding, or idiocy, or delusion, they cannot properly be considered unsound.'(p)

(j) *Newhouse v. Godwin*, *supra*.

(k) *Ex parte Barnsley*, 3 Atk. R. 167; 2 Madd. Ch. 569; *Jackson v. King*, 4 Cow. 217. See *Stanton v. Wetherwax*, 16 Barb. S. C. R. 260.

(l) Swinburne, 127-8.

(m) Burns' Eccl. Law.

(n) 26 Wend. 255.

(o) Shelford on Lunacy, 39.

(p) "But," continues Senator Verplanck, "although the weak in intellect, the dull, the stupid, the decayed in mind, do not, and ought not, upon any ground of policy, or right, or authority, to labor under the personal disability of disposing of their property, which the law prescribes as to 'persons of unsound mind,' yet the books abound in cases where the courts, even at common law, have made void the bequests, devises, and conveyances of the imbecile. Are such cases contradictory to the conclusions just stated? I think clearly not. They are founded upon a different, but closely allied principle, perfectly reconcilable with the other, and they are both applicable to cases like the present. By the decisions referred to

"The right of testamentary disposition is regarded as a common and natural right, to be restricted no further than public policy and the necessary evidence of intent and consent absolutely require. When the testator is shown to possess such a rational capacity as the great majority of men possess, that is sufficient to establish his will: 'when this can be truly predicated, bare execution is sufficient,'(q) no matter how arbitrary its provisions, or how hard and unequal may be its operation on his family. On the other hand, when a total deprivation of reason is shown, whether from birth, as in idiocy, or from the entire subsequent overthrow of the understanding, whether permanently or existing only at the time of execution, further inquiry is needless; the will is itself a nullity, however just and prudent in its provisions, and with whatever fairness of intention it may have been obtained by well-meaning friends. That intermediate class, who fall below the most ordinary standard of sound and healthy minds, whether from the partial disease of one faculty or the general dullness and torpor of the understanding, are not on that account interdicted from the common rights of citizens, and, least of all, from that of testamentary disposal. But their defect of intellect may furnish most essential and powerful evidence, in union with other proof, that some particular will or codicil was obtained by fraud and delusion; that it had not the consent of the will and understanding, and was not executed by one who, in *that respect*, was of a sound and disposing mind and memory. As in the former class of cases, there is a general legal disability, because the party, from total unsoundness of mind and memory, is unable to consent with understanding to any legal act whatever; so, in the latter instances, there may be shown an absence of consent to the particular will, from inability to comprehend its effect and nature."(r)

wills, deeds and contracts have been held void, when made by imbecile persons; but they were so held, not on account of the general and positive disability of the party for the performance of all similar acts, but because of the relative character of the will or contract itself, and of all the external circumstances in proof to the mental capacity of the party. They have been held void, not because the person making them was incapable of a valid consent to any act or contract, but because the whole transaction, taken together, with all its facts, of which the proof of mental weakness was one, showed that the consent, 'the very essence of the act,' (per Sir J. Nichol, 2 Phill. R. 70,) was wanting to *that particular act*. Thus, whilst proof of stupidity, gross ignorance, folly, or strange particular aberration of opinion, in a mind otherwise unclouded, is alone incompetent to affect the legality of an act of such a person; yet, that evidence, when taken in connection with the disposition of the property, the interests and relative situation of those affected by it, and other circumstances, may show conclusively, that this particular act of a person laboring under no general disability, wanted his consenting will and understanding; that the sound and disposing mind was deficient in regard to this special matter; that the whole was the result of fraud, of abuse of confidence, perhaps of delusion."

The learned senator further approves and adopts the language of Mr. Justice Washington, (in 3 Wash. 587,) "that a man's capacity may be perfect to dispose of his property by will, and yet very inadequate to the management of other business, as, for instance, to make contracts for the sale of property;" and further asserts, that if we then sum up the whole doctrine of the law of wills, as affected by mental incapacity, we shall find it just, reasonable, and consistent with itself, as well as in perfect harmony with the decisions and rules touching the effect of unsoundness or weakness of understanding, in avoiding deeds and contracts.

(q) *Ingram v. Wyatt*, per Sir J. Nichol, 1 Hagg. R. 385.

(r) See, also, *Jackson v. King*, 4 Cowen, 207; *Odell v. Buck*, 21 Wend. 142; *Petrie v. Shoemaker*, 24 Wend. 85; *Blanchard v. Nestle*, 3 Denio, 37; *Clarke v. Sawyer*, 2 Comst. 498; *Burger v. Hill*, 1 Bradf. Surr. Rep. 360; *Weir v. Fitz Gerald*, 2 Bradf. Surr. Rep. 42;

And if the case shows that the testator had mind and memory to understand the situation and value of his property, and the condition and situation of those who, by reason of their relationship to him, have claims upon his bounty, his will must stand for the reason of the act; and it is not sufficient to impeach his competency, that the will is not such in all respects as would have been expected from one in his situation.<sup>(s)</sup> And where, though the testator's capacity was slender, he still had a disposing mind, if the evidence is sufficient to show that he fully understood and intended to make the disposition which his will makes of his property, the will must stand, however unnatural and unjust may be its provisions.<sup>(t)</sup>

A man who is born deaf, dumb, and blind, is looked upon, by the law, as in the same state with an idiot, he being supposed incapable of any understanding, as wanting all those senses which furnish the human mind with ideas.<sup>(u)</sup> Even one who is deaf and dumb from his nativity, is, in presumption of law, an idiot, and, therefore, incapable of making a will; but such presumption may be rebutted, and if it sufficiently appears that he understands what a testament means, and has a desire to make one, then he may by signs and tokens declare his testament.<sup>(v)</sup>

A lunatic, that is, a person usually mad, but having intervals of reason, during the time of his insanity cannot make a testament, nor dispose of any thing by will. And "so strong is this impediment of insanity of mind, that if the testator make his testament, after this *furor* has overtaken him, and while as yet it possesses his mind, although after the *furor* departing or ceasing, the testator recover his former understanding, yet does not the testament, made during his former fit, recover any force or strength thereby."<sup>(w)</sup>

If any one attempt to call in question or overthrow a will, on account of any supposed insanity, or "want of memory," as it is technically termed, in the testator, he must prove such impediment to have existed *previous to*, and at the date of the will; and must establish such incapacity by the clearest and most satisfactory proofs. The burden of proof rests upon the person attempting to invalidate what, on its face, purports to be a legal act.<sup>(x)</sup> Every person making a will is presumed to be of sound understanding, until the contrary be proved, so that the proof lies on the other side.<sup>(y)</sup> Where the general competency of the decedent is not questioned, the *onus* of proving that, at

*Mowry v. Silber*, Ib. 133; *McSorley v. McSorley*, Ib. 188; *McGuire v. Kerr*, Ib. 244; *Waters v. Cullen*, Ib. 354; *Maverick v. Reynolds*, Ib. 360; *Meehan v. Rourke*, Ib. 385; *Thompson v. Quimby*, Ib. 449. S. C. on appeal *nomine*, *Thompson v. Thompson*, 21 Barb. Sup. Rep., 107; *Newhouse v. Godwin*, 17 id. 236; *Van Pelt v. Van Pelt*, 30 id. 134; *Watson v. Donnelly*, 28 id. 653; *Brown v. Torrey*, 24 id. 583.

(s) *Watson v. Donnelly*, 28 Barb. Sup. Ct. Rep. 653.

(t) *Van Pelt v. Van Pelt*, 30 Barb. Sup. Ct. Rep. 134.

(u) 1 Black Comm. 304.

(v) Wms. on Exrs. 16. See, also, *Weir v. Fitz Gerald*, 2 Bradf. Surr. Rep. 42.

(w) Swinb. part 2, sec. 3, pl. 2; Wms. on Exrs. 18.

(x) See Wms. on Exrs. 18; *Brown v. Torrey*, 24 Barb. Sup. Ct. Rep. 583.

(y) Burns' Eccles Law.



the particular time when the will was executed, he labored under any delusion, aberration, or weakness of mind, rests with the contestant.<sup>(z)</sup>

"The true test," says Sir John Nichol, in *Dew v. Clarke*,<sup>(a)</sup> "of the absence or presence of *insanity*, I take to be the absence or presence of what, used in a certain sense of it, is comprisable in a single term, namely, *delusion*. Whenever the patient once conceives something extravagant to exist, which has still no existence whatever but in his own heated imagination; and whenever, at the same time, having once so conceived, he is incapable of being, or at least of being *permanently* reasoned out of that conception,<sup>(b)</sup> such a patient is said to be under a delusion, in a peculiar *half* technical sense of the term; and the absence or presence of delusion so understood, forms, in my judgment, the only true test or criterion of absent or present insanity. In short, I look upon *delusion*, in this sense of it, and insanity, to be almost, if not altogether, convertible terms.<sup>(c)</sup>

Eccentric habits, or a belief in witchcraft and a supernatural agency,<sup>(d)</sup> or erroneous, foolish, and even absurd opinions on certain subjects,<sup>(e)</sup> do not show insanity, when the person entertaining them still continues in the possession of his faculties, discreetly conducting affairs.

Soundness and perfectness of mind are held, in law, to be absolutely requisite in the making of wills; the health of the body, merely, not being regarded.<sup>(f)</sup> If general insanity be proved, it is presumed to continue until a recovery be shown; and the party alleging a restoration to sanity, must prove his allegation.<sup>(g)</sup> But if mad persons have lucid intervals, during which they are fully possessed of a sound and disposing mind, memory, and understanding, and in such intervals make their wills, they will be good in law; so, if any person of sound mind make his will, it will not be revoked or affected by his subsequent insanity.<sup>(h)</sup>

With respect to persons of unsound mind having lucid intervals, it is sufficient if the evidence adduced, in support of the will, shall establish that the party afflicted had intermissions, and that there was an intermission of the disorder at the time of the act. But the order of proof and presumption is thereby inverted; for where habitual insanity is established, then the party who would take advantage of the act, done during an interval of reason, must prove such act to have been so done. In all these cases, the question is, whether a lucid interval did exist in which the act was done, and if it can be proved that such an act was rationally and sensibly done, and if it does not appear

(z) *Allen v. The Public Administrator*, 1 Bradf. Surr. Rep. 378; 2 Curteis, 415.

(a) 3 Add, 79. See, also, Dr. Haggard's Report from the judge's notes.

(b) "The frame, or state of mind," says Lord Brougham in *Waring v. Waring*, 6 Moo. P. C. 353, "which indicates his incapacity to struggle against such an erroneous belief, constitutes an unsound frame of mind."

(c) See *Stanton v. Wetherwax*, 16 Barb. Sup. Ct. Rep. 259, 262.

(d) *Heirs at Law of Mason Lee agst. Executors of Mason Lee*, 4 McCord, 183.

(e) *Thompson v. Thompson*, 21 Barb. Sup. Ct. Rep. 107; *S. C. nomine, Thompson v. Quimby*, 2 Bradf. Surr. Rep. 449.

(f) See *Alston v. Jones*, 17 Barb. Sup. Ct. Rep. 276.

(g) *Grobill v. Barr* 5 Barr, 441. See, also, *Jackson v. Vandusen*, 5 Johns. 144.

(h) Roberts on Wills. Lovell.



that there existed any delusion, or gross inconsistency in the disposal of the property, the whole case is proved, and nothing is left to presumption to show the lucid interval. But it must be shown that the act was done without any assistance from another person, and that the lucid interval was of sufficient length to do the act intended. The consistency and propriety of the act will also be taken into consideration, for the purpose of showing that a lucid interval really did exist; and such internal evidence will generally have more weight with the court than the mere opinion of witnesses.<sup>(i)</sup>

But although where a will, rational on the face of it, is shown to have been executed and attested in the manner prescribed by law, it is presumed, in the absence of any evidence to the contrary, to have been made by a person of competent understanding; yet, if there are circumstances in evidence which counterbalance that presumption, the decree of the court must be against its validity, unless the evidence on the whole is sufficient to establish affirmatively that the testator was of sound mind when he executed it. Accordingly, in *Symes v. Green*,<sup>(j)</sup> where the will propounded was sensible on the face of it, and contained no trace of any reference to or connection with the testator's then subject of delusion, yet, as the production of an unsound mind, it was held not entitled to probate.

Partial insanity, or insanity or unsoundness always existing, although only occasionally manifest, or on a particular point, may invalidate a will which proceeds from the effect of such partial insanity, and which may fairly be presumed to have been made under its direct and immediate operation. But such fact is extremely difficult of proof, as direct mental perversion and actual insanity, with respect to the object of such delusion, must be satisfactorily established.<sup>(k)</sup>

Again, a monomaniac may make a valid will, where the provisions of the will are entirely unconnected with, and, of course, uninfluenced by the particular delusion. But where there is good reason to believe that the will is the offspring of that particular delusion which has seized his mind, and controls its operations, the rule is otherwise. A will thus made, under the influence of a powerful delusion, which has impaired and perverted his judgment and understanding in relation to subjects connected with the provisions of the will, so as to exercise a controlling influence in the disposition of his property, is not the will of a testator of sound mind. His mind is unsound with respect to the

<sup>(i)</sup> *Cartwright v. Cartwright*, 1 Phillim. 90. See, also, *Scruby v. Fordham*, 1 Add. 90; *Chambers v. Queen's Proctor*, 2 Curt. 415, 451; Wms. on Exrs. 20, 21, 22, 23; *Bannatyne v. Bannatyne*, 16 Jur. 864; 14 Eng. L. & Eq. Rep. 581; 2 Robert 472; *Morrison v. Smith*, 3 Bradf. Surr. Rep. 209; *Gombault v. The Public Administrator*, 4 id. 226; *Nichols and Freeman v. Binns*, 1 Swabey and Tristram 239.

<sup>(j)</sup> 1 Swabey and Tristram 401.

<sup>(k)</sup> *Dew v. Clark*, 1 Add. 279; 3 Add. 79; *Stanton v. Wetherwax*, 16 Barb. S. C. R. 259, 263. It must, however, be observed that the rule of law is, that in civil suits, it is not necessary to trace or connect the morbid imagination with the act itself. If the mind is unsound, the act is void. The law avoids every act of the lunatic, during the period of the lunacy, although the act to be avoided cannot be connected with the influence of the insanity, and may be proper in itself. *Groom v. Thomas*, 2 Hagg. 436. See Wms. on Exrs. 267.

very subject on which he is called to exercise its power in making the will.<sup>(l)</sup>

Besides the two classes of persons *non compotes mentis* already mentioned, viz., idiots and lunatics, there are two more classes, viz., those who were of good and sound memory, and, from some cause not directly attributable to their own act or conduct, have lost it; and those who have become *non compotes* by their own act, as *drunkards*.<sup>(m)</sup> In the former of these two latter classes must be reckoned those who, from sickness, grief, accident, or old age, have lost their reason, who are not like those classed by Lord Coke as "*lunatici*," sometimes having their understanding, and sometimes not; but whose understandings are defunct; who have survived the period of the stability of their minds.<sup>(n)</sup>

But old age alone does not deprive a man of the capacity of making a testament, for a man may freely make his testament, how old soever he be: since it is not the integrity of the body, but of the mind, that is requisite in testaments.<sup>(o)</sup> "It is one of the painful consequences of extreme old age," says Chancellor Kent, in *Van Alst v. Hunter*, "that it ceases to excite interest, and is apt to be left solitary and neglected. The control which the law still gives to a man over the disposal of his property, is one of the most efficient means which he has, in protracted life, to command the attention due to his infirmities. The will of such an aged man ought to be regarded with great tenderness, when it appears not to have been procured by fraudulent acts, but contains those very dispositions which the circumstances of his situation and the course of the natural affections dictated." Yet obviously old age may be the cause of, or accompanied by such a defect or perversion of understanding and discernment, and such a loss of memory, as to render the party equally as incapable to make a valid will, as an idiot, or a child, or a lunatic person. Defect of memory, however, unless it be total, or appertain to things very essential, is not sufficient to create incompetency.<sup>(p)</sup>

It is laid down that it is not necessary to go so far as to make a man absolutely insane, so as to be an object for a commission of lunacy, in order to determine the question, whether he was of a sound and disposing mind, memory, and understanding. A man, perhaps, may not be insane, and yet not equal to the important act of disposing of his

(l) See *Stanton v. Wetherwax*, 16 Barb. Sup. Ct. Rep. 259, 263. Where insanity, though confined to certain one or more delusions, has once existed, and the evidence shows the deceased to have been instructed to conceal the continued existence of such delusion or delusions, and the evidence to prove perfect recovery of capacity is at least doubtful, the will made by a person so affected, though rational and rationally instructed and executed, is not entitled to probate. *Dyce Sombre agst. Troup*, 1 Deane, 22.

(m) See *McSorley v. McSorley*, 2 Bradf. Surr. Rep. 188; *Waters v. Cullen*, Ib. 354.

(n) See *Wms. on Exrs.* 36.

(o) *Swinb.* part 2, sec. 5, pl. 1; *Wms. on Exrs.* 36; *Van Alst v. Hunter*, 5 Johns. Ch. Rep. 158; *Bleecker v. Lynch*, 1 Bradf. Surr. Rep. 458; *Butler v. Benson*, 1 Barb. Sup. Ct. Rep. 526, 538; *Moore v. Moore*, 2 Bradf. Surr. Rep. 261; *Maverick v. Reynolds*, Ib. 360; *Leaycraft v. Simmons*, 3 id. 35; *Creely and others v. Ostrander*, Ib. 107; *Carroll v. Norton*, Ib. 291.

(p) *Bleecker v. Lynch*, 1 Bradf. Surr. Rep. 466.

property by will.(q) In order to constitute a sound disposing mind, the testator must not only be able to understand that he is, by his will, giving the whole of his property to the object of his regard, but must also have capacity to comprehend the extent of his property, and the nature of the claims of others, whom, by his will, he is excluding from participation in that property.(r) And, generally, he must possess an intelligent understanding of the contents and the effect of the instrument.(s)

As to the last of the classes of *non compotes* mentioned: "He that is overcome by drink," says Swinburne,(t) "during the time of his drunkenness, is compared to a madman, and, therefore, if he make his testament at that time, it is void in law, which is to be understood, when he is so excessively drunk, that he is utterly deprived of the use of reason and understanding; otherwise, albeit his understanding is obscured, and his memory troubled, yet he may make his testament, being in that case.(u)

Blind persons may of course make their wills; but such cases will be more conveniently considered hereafter, in connection with the subject of the "form and manner of making a will."(v)

In respect to the age requisite to the valid execution of a will, it was formerly the weight of opinion, that an infant, if a male, of the age of fourteen, and if a female, of the age of twelve, might make a testament of chattels:(w) but now, as has been seen, the party, if a male, must have attained the age of eighteen, and, if a female, the age of sixteen, before he or she is competent to the due execution of a will. An infant never could, and cannot now, make a valid devise; and the Revised Statutes specially exclude the exercise of a power by a married woman during her infancy.(x)

It may not be quite superfluous to remark in this place, with reference to the disability of infancy, that in computing the age of a person, for testamentary or other purposes, the day of his birth is included; thus, if he were born on the 16th Jannary, 1800, he would have attained his majority on the 15th January, 1821;(y) and as the law does not recognize fractions of a day, the age would be attained at the first instant of the latter day.(z)

#### PERSONS DISABLED FROM MAKING A WILL FOR WANT OF LIBERTY OR FREE-WILL

Prisoners, captives, and the like, are included in this class, but the law does not make such persons absolutely intestable; but only leaves it to the discretion of the court to judge, upon the consideration of their particular circumstances of duress, whether or no such person could be

(q) *Mountain v. Bennett*, 1 Cox, 356.

(r) *Harwood v. Baker*, 3 Moo. P. C. C. 282, 290; *Morrison v. Smith*, 3 Bradf. Surr. Rep. 209. See, also, *Alston v. Jones*, 17 Barb. Sup. Ct. Rep. 276.

(s) *Burger v. Hill*, 1 Bradf. Surr. Rep. 360.

(t) Part 2, sec. 6; Wms. on Exrs. 38.

(u) See *O'Neil v. Murray*, 4 Bradf. Surr. Rep. 311.

(w) 2 Black. Comm. 497; 3 R. S. (2d ed.) App. 629.

(y) *Herbert v. Torball*, 1 Sid. 142; *S. C.*, Raym. 84.

(v) *Post*.

(x) 4 Kent's Comm. 506.

(z) 1 Jarman on Wills.

supposed to have free-will and liberty in the making of his will.(a) Any will, the making of which has been induced by threats, or through fear, restraint, fraud, deceit, undue influence, or importunity, may be set aside; but these cases, as well as all cases generally, under the present head, will properly be considered more fully hereafter,(b) in connection with the subject of the proof requisite to the establishment of a will..

Formerly married women were incompetent to make a will, and came within the causes of disability now under consideration. A wife could not devise her lands by will, for she was, as she is by the above-quoted section 1, excepted out of the statute. Yet, where an estate was limited to uses, and a power was given to a *feme covert* before marriage to declare those uses, such limitations of uses might take effect; and though a married woman could not be said strictly to make a will, yet she might, as she still may, devise, by way of execution of a power, which is rather an appointment than a will, or a writing in nature of a will; and whoever takes under the same, takes by virtue of the execution of the power, and by the power coupled with the writing, and as if the limitation in that writing of appointment had been contained in the deed creating the power, for he takes from the author of the power.(c)

(a) 2 Black. Comm. 497.

(b) *Post*.

(c) 2 Black. Comm. 498; 2 Kent's Comm. 170, 171; Stephens' Comm. (Am. ed.) 550; *Southby v. Stonehouse*, 2 Vesey, 611; *Strong v. Wilkin*, 1 Barb. Ch. Rep. 13, 14; *American Home Missionary Society v. Wadhams*, 10 Barb. Sup. Ct. Rep. 597.

The Statute of Powers (art. 3, title 2, ch. 1, part 2, of the Revised Statutes, 1 R. S. 731, (5th ed.) vol. 3, p. 23) prescribes the requisites and formalities necessary, as well to the creation of a power, as to the due execution of a will made under the same.

By the 74th section of the statute, (1 R. S. 732; 3 R. S. (5th ed.) 23,) a power is defined to be an authority to do some act in relation to lands, or the creation of estates therein, or of charges thereon, which the owner granting or reserving such power might himself lawfully perform.

By sec. 80, a general and beneficial power may be given to a married woman to dispose, during her marriage, and without the concurrence of her husband, of lands conveyed or devised to her in fee.

By sec. 87, a special and beneficial power may be granted to a married woman to dispose, during the marriage, and without the concurrence of her husband, of any estate less than a fee belonging to her, in the lands to which the power relates.

By sec. 106, a power may be granted: 1. By a suitable clause contained in a conveyance of some estate in the lands, to which the power relates; 2. By a devise contained in a last will and testament.

By sec. 109, a power may be vested in any person capable in law of holding, but cannot be exercised by any person not capable of aliening lands, except in the single case mentioned in the next section.

By sec. 110, a married woman may execute a power during her marriage, by grant or devise, as may be authorized by the power, without the concurrence of her husband, unless by the terms of the power, its execution by her during marriage is expressly or impliedly prohibited.

By sec. 111, no power vested in a married woman, during her infancy, can be exercised by her until she attains her full age.

By sec. 115, where a power to dispose of lands is confined to a disposition by devise or will, the instrument of execution must be a will duly executed, according to the provisions of the sixth chapter of the act.

By sec. 130, when a married woman, entitled to an estate in fee, shall be authorized by a power to dispose of such estate during her marriage, she may, by virtue of such power, create any estate, which she might create if unmarried.

See *Jackson v. Edwards*, 7 Paige, 386; *S. C.*, on appeal, 22 Wend. 498; *Frazer v. Western*, 1 Barb. Ch. Rep. 220, 240.

With respect to personal property, previous to the Revised Statutes, a married woman was incapable of making a testament of such property, without the consent of her husband. For, it was said, all her personal chattels are absolutely his; and he may dispose of her chattels real, or shall have them to himself if he survives her; it would be, therefore, extremely inconsistent to give her a power of defeating that provision of the law, by bequeathing those chattels to another. Yet, by her husband's license, she might make a testament; but such license was more properly his assent; for, unless it was given to the particular will in question, it would not be a complete testament, even though the husband beforehand had given her permission to make a will.<sup>(d)</sup>

Under the Revised Statutes, a married woman was prohibited from making a will of personal property in any case, even with the assent or license of her husband; for the statute declared, as it still declares, as has been seen, that every male person of eighteen years of age, and every female, *not being a married woman*, of the age of sixteen, and *no others*, may make a will of personal estate.<sup>(e)</sup>

But now, by statute, married women are not under any disability in respect to the making of wills, whether of real or personal estate. The act "for the more effectual protection of the property of married women," passed April 7th, 1848,<sup>(f)</sup> as amended by the act of April 11th, 1849,<sup>(g)</sup> provides as follows:

Sec. 3. Any married female may take, by inheritance or by gift, grant, devise or bequest, from any person other than her husband, and hold to her sole and separate use, and convey and devise real and personal property, and any interest or estate therein, and the rents, issues, and profits thereof, in the same manner and with like effect as if she were unmarried, and the same shall not be subject to the disposal of her husband, nor be liable for his debts.<sup>(h)</sup>

This act substantially repeals the restrictions contained in the above

These provisions of the statute have special reference to real estate. The principle and equity of the statute would doubtless extend, however, to enable a married woman, who had personal estate conveyed or bequeathed to her separate use, with an express power to dispose of it by will at her death, to make a will, or an instrument in the nature of a will, for the purpose of appointing or disposing of her separate estate in pursuance of such power. See *Strong v. Wulkin*, 1 Barb. Ch. Rep. 9; *Moehring v. Mitchell*, Id. 272; *American Home Missionary Society v. Wadhams*, 10 Barb. Sup. Ct. Rep. 597; *Van Wert v. Benedict*, 1 Bradf. Surr. Rep. 114; *Barnes v. Vincent*, 4 Notes of Cases, Suppl. 21; S. C., 5 Moo. P. C. 201; *In the Matter of proving the will of Jennings*, 10 Legal Observer, 253; *Este v. Este*, 2 Robert. 351.

The execution of wills under powers is the subject of some comments in a note at page 54 of the second edition of this work. The act for the more effectual protection of the property of married women, (S. L. 1848, ch. 200; S. L. 1849, ch. 375; 3 R. S. (5th ed.) 239, 240, *supra.*), having placed married women upon the same footing with unmarried women and with men, in respect to the power and right of devising real and personal property, would seem to have superseded the necessity for express powers to enable married women to make wills, and it is believed such powers have gone almost entirely out of use in this state. It is not deemed proper, therefore, to devote any space to the discussion of the subject in this publication.

A will, in execution of a power, is ambulatory and revocable, in the same manner as a proper will. 1 Bradf. Surr. Rep. 114.

(d) 2 Black. Comm. 498.

(e) *Moehring v. Mitchell*, 1 Barb. Ch. Rep. 264; 4 Kent's Comm. (7th ed.) 506, *Ib.* note; but see *Heyer v. Berger*, 1 Hoff. Ch. Rep. 1.

(f) S. L. 1848, 307.

(g) S. L. 1849, 528.

(h) 3 R. S. (5th ed.) 239-40.



sections of the Revised Statutes, against the validity of a will by a married woman in regard to real and personal estate ; and, by the act, married women are competent to devise and bequeath real and personal property, in the same manner and with the like effect as if they were unmarried. The act removes a personal disability, and the power given to devise, probably, is not limited to subsequently-acquired property. If it be, however, where the capacity exists to make a will, when the will of a *feme covert* made subsequently to the passage of the act is properly proved, it is the duty of the Surrogate's Court to admit it to probate, leaving it to the proper tribunals to determine, as occasion may arise, what property passes by it.(i)

Nor can the operation or effect of the will, on the estate or rights of the husband in the property of the wife, be considered on the probate. The power to make a will relates to the personal capacity and the probate ; the right to dispose of any particular property relates to the effect of the instrument when proved, and its construction. On proof, therefore, of the due execution of the will of a married woman, deceased, the surrogate is bound to admit the will to probate, leaving the question of what passes under the instrument for future construction.(j)

#### OF THE FORM AND MANNER OF MAKING A WILL.

Before the Revised Statutes, no solemnities of any kind were necessary to the making of a will of personal estate. The second section of the act "concerning wills,"(k) which required the formalities of signature and attestation for a devise of land, did not extend to wills of personal property. The nineteenth section provided that every person might by will, in writing, give or bequeath his personal estate, in the same manner as if the act had not been passed ; and the fourteenth section made it necessary that wills of personal estate should, generally speaking, be reduced *into writing* in the testator's lifetime ; inasmuch as it was thereby enacted, that no nuncupative will (where the estate thereby bequeathed should exceed the value of seventy-five dollars) should be good, unless under the particular circumstances therein specified. But no other formality whatever was necessary to give them effect and operation. Whence it often happened that a will, intending to dispose of both real and personal estate, was inoperative as to the former, and at the same time a perfect disposition of the latter.

The act "concerning wills" was repealed from and after the thirty-first day of December, 1829,(l) and the Revised Statutes, which took effect on the first day of January, 1830, contain enactments, the result of which is, that the solemnities prescribed by them are required to render valid any will or other testamentary disposition of every description of property, without distinction ; so that the same formalities

(i) *Van Wert v. Benedict*, 1 Bradf. Surr. Rep. 116.

(j) *Waters v. Cullen*, 2 Bradf. Surr. Rep. 354.

(k) 1 R. L. 1813, 364.

(l) See act "to repeal certain acts and parts of acts," passed December 10th, 1828. S. L. 1828 ; 3 R. S. (3d. ed.) 161.



of execution, publication, and attestation, are necessary, whether the instrument disposes of real or of personal estate.<sup>(m)</sup>

These enactments are contained in the first title of the sixth chapter of the second part of the Revised Statutes, and are as follows:

Sec. 33. [Sec. 40.] Every last will and testament (or codicil),<sup>(n)</sup> of real or personal property, or both, shall be executed and attested in the following manner:

1. It shall be subscribed by the testator at the end of the will;
2. Such subscription shall be made by the testator, in the presence of each of the attesting witnesses, or shall be acknowledged by him to have been so made, to each of the attesting witnesses;
3. The testator, at the time of making such subscription, or at the time of acknowledging the same, shall declare the instrument so subscribed to be his last will and testament;
4. There shall be, at least, two attesting witnesses, each of whom shall sign his name as a witness, at the end of the will, at the request of the testator.<sup>(o)</sup>

Sec. 34. [Sec. 41.] The witnesses to any will shall write opposite to their names their respective places of residence; and every person who shall sign the testator's name to any will by his direction, shall write his own name as a witness to the will. Whoever shall neglect to comply with either of these provisions, shall forfeit fifty dollars, to be recovered by any person interested in the property devised or bequeathed, who will sue for the same. Such omission shall not affect the validity of any will; nor shall any person liable to the penalty aforesaid be excused or incapacitated, on that account, from testifying respecting the execution of such will.<sup>(p)</sup>

Sec. 19. [Sec. 22.] No nuncupative or unwritten will, bequeathing personal estate, shall be valid, unless made by a soldier while in actual military service, or by a mariner, while at sea.<sup>(q)</sup>

Sec. 91. [Sec. 70.] The provisions of this title shall not be construed to impair the validity of the execution of any will made before this chapter shall take effect, or to affect the construction of any such will.<sup>(r)</sup>

The formalities required for the valid execution of a will made before the Revised Statutes took effect, do not any longer form a practical subject for consideration. Within the period of thirty years, which has elapsed since that event, every will executed previously has, in all human probability, been disposed of. The few recent cases which have arisen on such wills would not justify any extended examination of the rules of law which prevailed under the former system.<sup>(s)</sup> In this work, therefore, the principles of the old law will be considered, if

<sup>(m)</sup> See *Watts v. The Public Administrator*, 4 Wend. 168.

<sup>(n)</sup> Sec. 92. [Sec. 71.] The term "will," as used in this chapter, shall include all codicils, as well as wills.

<sup>(o)</sup> 2 R. S. 63; 3 R. S. (5th ed.) 144.

<sup>(p)</sup> Id.

<sup>(q)</sup> 2 R. S. 60; 3 R. S. (5th ed.) 141.

<sup>(r)</sup> 2 R. S. 68; 3 R. S. (5th ed.) 153.

<sup>(s)</sup> See *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 40; *Lawrence v. Hebbard*, 1 Bradf. Surr. Rep. 252; *Sherry v. Lozier*, Id. 437.

at all, only incidentally, and by way of explanation or illustration of the plan introduced by the Revised Statutes.(t)

It may be observed, however, that the law, in regard to the execution of wills, remains as it was in this state before the revision of 1830, except that a subscription at the end of the will is substituted for a signing, and a provision is made for acknowledging and publishing the will, and the number of witnesses is reduced from three to two, and they must be requested by the testator to sign the will. No other alteration was intended by the legislature at the time of the revision. The execution of a will, in a manner which would have been valid previously to the Revised Statutes, is, therefore, a valid execution under those statutes, provided the additional requirements contained in those statutes are complied with. Accordingly, the subscription of a will by the testator, by the agency of a witness, or another person, as it would have been a valid signing of the will under the former law, is a valid subscription of the will under the Revised Statutes.(u)

The above sec. 33 [sec. 40] comprises all the directions which are necessary to be observed in the due execution of a will. The requirements of this statute are not merely cumulative to any previous law or statute, but are of themselves entirely sufficient for the valid execution of a will, and all former statutory provisions are inapplicable; in fact, there is not any law, prescribing the mode in which wills may be made, now in force, other than the Revised Statutes. Wills executed previously to their enactment, according to the law as it then stood, as has been seen, are expressly saved; but, in every other case, the Revised Statutes are now the sole and exclusive guide as to all formalities in the execution of wills, required to be made in conformity to the laws of this state.(v)

The statute is in its terms perfectly explicit. Four distinct ingredients, as declared, must enter into and together constitute one entire complete substance, essential to the complete execution of the instrument as a will: 1. There must be a signing by the testator at the end

(t) It is proper that it should be noted that in a recent case, where the testator died since the Revised Statutes, and his will, executed previously to the Revised Statutes, was offered for proof as a will of both real and personal estate, and was attested by two witnesses only, and, therefore, defective in respect to real estate, according to the old law, which required three witnesses to a devise of lands, (see act concerning wills, sec. 2, 1 R. L. 1813, 364,) it was considered, in view of the above section 91 [sec. 70] providing that the validity of wills already executed should not be impaired by the new provisions of the Revised Statutes, that the law stopped at that point, thus leaving to wills executed before, the benefit of the change, so that if bad by the law existing at the time of their execution, they might be cured, and become valid by the law existing at the time of the death of the testator; that if the testator had died previous to the Revised Statutes, the case would of course have been otherwise; but that his decease subsequent to the new law going into effect, attached the benefit thereof to his will, and aided, though it might not impair, the validity of its execution; it was held, therefore, although this will was attested by only two witnesses, instead of three, as required by law at the time of its execution, in order to pass real estate, that still, by the decease of the testator after the Revised Statutes took effect, the defect was cured, and that the will had been properly admitted and recorded as a valid will of real estate. *Lawrence v. Hebbard*, 1 Bradf. Surr. Rep. 252.

(u) *Robins v. Coryell*, 27 Barb. Sup. Ct. Rep. 556.

(v) *Ruddon v. McDonald*, 1 Bradf. Surr. Rep. 352; *Lyon v. Smith*, 11 Barb. Sup. Ct. Rep. 124. At variance with a *dictum* of Mr. Justice Hand in *Butler v. Benson*, 1 Barb. Sup. Ct. Rep. 530.

of the will ; 2. The signing must take place in the presence of each of the witnesses, or be acknowledged to have been made in their presence ; 3. The testator, at the time of signing or acknowledging the writing, shall declare it to be his last will ; and, 4. There must be two witnesses. Every one of these four requisites, in contemplation of the statute, is to be regarded as essential as another. There must be a concurrence of all to give validity to the act, and the omission of either is fatal. A will cannot be made by silence ; there must be a distinct affirmative performance of all the statutory requisites.(w) The four facts specified in the section must exist, and those acts therein directed be fully and completely performed. The statute is peremptory, and no evasion or omission can be excused or tolerated.(x)

While the courts, however, have, with commendable firmness, insisted upon a rigid compliance with the formula prescribed by the statute, they have never held that a literal compliance was necessary. A substantial compliance is enough. The only sure guide is to look at the substance, sense, and object of the law, and, with the aid of these lights, endeavor to ascertain whether there has been a substantial compliance. No particular form of words is required to comply with the statute ; if the testator knows what is necessary, and does it, he may use his own language. But the statute must be substantially complied with, regardless of consequences.(y)

On the one hand, nothing can be more contrary to the duty of a court of justice, than to impair the force of a legislative enactment by a latitudinarian construction ; on the other, it would be equally against the intention of the legislature, by too rigid an interpretation of the words of the statute, to render void wills when the requisites of the statute have been substantially complied with, and when those wills do not contain any of those faults or defects which it must have been the intention of the legislature should, for some reasons, render them inoperative. In a state like this, where the power of testation exists to so wide an extent, all forms must be intended to protect the right so existing, and to secure the genuineness and authenticity of all testamentary instruments, and not to restrict the right of testation ; and if wills *bona fide* made by testators are defeated from want of observing the solemnities required, such an occurrence is an evil in itself, but an evil to be submitted to, because, according to the judgment of the legislature, such sacrifice is conducive to the general safety and well-doing of the right of testation.(z) The question, in every case on the execution of a will, is not whether the testator has become entitled to devise by full compliance with the letter of the law ; but whether there has

(w) *Ex parte Beers*, 2 Bradf. Surr. Rep. 163, 165.

(x) *Remsen v. Brinckerhoff*, 26 Wend. 331, per Nelson, Ch. J.; *Whilbeck v. Patterson*, 10 Barb. Sup. Ct. Rep. 610, per Taylor, J.

(y) *Seguine v. Seguine*, 2 Barb. Sup. Ct. Rep. 393, per Edmonds, J.; *Butler v. Benson*, 1 Barb. Sup. Ct. Rep. 534, per Hand, J.; *Nelson v. McGiffert*, 3 Barb. Chan. Rep. 163; *Remsen v. Brinckerhoff*, 26 Wend. 332, per Nelson, Ch. J.; *Lewis agst. Lewis*, 1 Kernan, 220, 224; *Rieben v. Hicks*, 3 Bradf. Surr. Rep. 353; *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238; *Nipper v. Groesbeck*, 22 Barb. Sup. Ct. Rep. 670.

(z) Substantially, per Dr. Lushington, *In the Goods of Smith*, and *In the Goods of Cooper*, 16 Jur. 178.

been such a neglect of the legal requirements enacted to guard against fraud, as to make the will inoperative as an evidence of intention, and consequently to leave the property to be governed by the general laws of descent or distribution.<sup>(a)</sup>

Neither of the four acts, which, united, make a valid execution of a will, may be done at a different time from the rest. If the instrument has in fact been signed at a previous time, then the signature must be acknowledged to the subscribing witnesses, which is deemed to be equivalent to a new signing of the instrument. But a will is duly executed when the several acts required by the statute have been performed at the same time, whatever the order in which such acts may be severally performed.<sup>(b)</sup> The subscription of the will by the testator, his publication of it, his request to the witnesses, and their subscriptions, are all, however, to be done at the same time—not at the same instant of time, for that is impracticable, but at the same interview—one act immediately following the other without any interval, and without any interruption to the continuous chain of the transaction.<sup>(c)</sup>

To the due execution of a will, as has been observed, several ceremonial parts are necessary, and one just as necessary as another. There is no will until they are all completed. The absence of any one ceremony destroys the unity. These ceremonies are acts. The mere intention to have them all performed is not sufficient, but the intention must be effectuated in fact. If accident intervene to prevent their performance, the intention cannot be taken in lieu of performance, or instead of the act; and it is not enough to say the decedent has done all he could do, or all that he was called upon to do, in his own person, and, therefore, the will is valid. It will not remedy the difficulty that the defect has arisen from the omission or mistake of parties over whom the testator had no control. If, for instance, the witnesses, when called upon, refuse to sign the will, although this may account for the want of a proper attestation, the execution of the will is nevertheless fatally defective. However apparent the intention may have been, the fact that the act has not been performed, controls in determining the question whether or not the will has been duly executed.<sup>(d)</sup>

#### OF THE SUBSCRIPTION OF THE WILL BY THE TESTATOR.

The statute requires that, in order to the due execution of a will, it shall be subscribed by the testator at the end of the will. It is not necessary that the testator should be able to write his name, for it has

(a) Per Verplanck, Senator, *Remsen v. Brinckerhoff*, 26 Wend. 335.

(b) *Doe v. Roe*, 2 Barb. Sup. Ct. Rep. 200, 205. See, also, *Keeney v. Whitmarsh*, 16 Barb. Sup. Ct. Rep. 141; *Rieben v. Hicks*, 3 Bradf. Surr. Rep. 353; *Nipper v. Groesbeck*, 22 Barb. Sup. Ct. Rep. 670; *Vaughan v. Burford*, 3 Bradf. Surr. Rep. 78. In the last-named case, the witnesses signed the will before the testator had signed it, or even seen it, although he had directed it to be drawn, but it was read over to him by one of the witnesses in the presence of the other, with their names signed to it, following the word "witnesses," and then the testator signed the paper, and it was held well executed as a will.

(c) See *Sequine v. Sequine*, 2 Barb. Sup. Ct. Rep. 385, 395.

(d) See *Vernam v. Spencer*, 3 Bradf. Surr. Rep. 16, 30.

been determined that the signing of a will by making a mark is a sufficient subscription within the meaning of the act.(e) The mark, however, it should be understood, is to be made between the given and the surname, or otherwise in connection with the name of the testator, written by another person by the direction of the testator. The former statute required that the will should be signed by the testator, or by some other person in his presence and by his express direction. And that the legislature did not intend to alter the law, in that respect, is evident from the fact that this mode of subscribing the testator's name by the instrumentality of another person, but by the testator's direction, is recognized in the above-quoted 41st section of the statute.(f) Further, it is a valid subscription to the will by the testator, if his name be signed at the end of the will by an attesting witness, at the request and in the presence of the testator.(g) And where the testator's name is written by another person by his direction, his mark, although a common, would seem to be an unnecessary formality.

With reference to the construction to be given to this provision of the statute, it is a sound principle that such a construction ought to be put upon a statute as may best answer the intention which the makers had in view, and that is sometimes to be collected from the cause or necessity of making it; at other times, from other circumstances. Whenever the intention can be discovered, it ought to be followed with reason and discretion in its construction, although such construction may seem contrary to its letter.(h)

The reason and object of the provisions of the present statute of wills and testaments, and especially of the provision now under consideration, would seem to be obvious, especially when compared with the former statutes on that subject, and the course of judicial decisions under them. The act of 1813, "concerning wills," required (sec. 2) that every last will and testament of land should be in writing, and *signed* by the party making the same, or by some other person in his presence, and by his express direction, &c. So, the English Statute of Frauds required that all devises and bequests of lands should be in writing, and signed by the party so devising the same, or by some other person in his presence, and by his express direction, &c. Questions had arisen under these statutes, as to what the legislature meant

(e) *Stewart's Exrs. v. Lispenard*, 26 Wend. 289, per Campbell, Surrogate; "1 Rob. on Wills, 94; *Addy v. Grieg*, 8 Ves. 504;" *Chaffee v. Baptist Missionary Convention*, 10 Paige, 91; *In the Goods of Bryce*, 2 Curt. 325; *Butler v. Benson*, 1 Barb. Sup. Ct. Rep. 533, per Hand, J. See, also, *Keeney v. Whitmarsh*, 16 ib. 141; *In the Goods of Susanna Clarke*, 1 Swabey and Tristram, 22; *In the Goods of Bryce*, 2 Curt. 325; *In the Goods of Clark*, Id. 329.

(f) See *Chaffee v. Baptist Missionary Convention*, 10 Paige, 91; *Robins v. Coryell*, 27 Barb. Sup. Ct. Rep. 556. The provision of the 41st section alluded to, it should be noted, was originally recommended by the revisers to be adopted in connection with a provision expressly authorizing the testator's name to be signed by another person by his direction. See 3 Revised and other Statutes, (2d ed.) Appendix, 627-8; also, *post*.

(g) *Robins v. Coryell*, 27 Barb. Sup. Ct. Rep. 556. In this case, the testator could write, if at all, with great difficulty, his arms and fingers being in a measure paralyzed. But the rule is as broad as laid down in the text, and the disability of the testator is not requisite to render a subscription by an agent valid; so it is not essential that such agent should become an attesting witness, although, if he omit to write his own name as a witness to the will, he is liable, under the 41st section of the statute, to a fine of fifty dollars.

(h) *Tonnel v. Hall*, 4 Coms. 144, per Jewett, J.



by the word "signed," namely, whether it should be construed in its strict sense, and by analogy to other instruments, or whether it should be liberally expounded, and left open as a question of construction upon intention, to be inferred from the facts and circumstances attending each particular case. The construction had been, as well in the courts of England as here, that the writing of the name of the testator in the body of the will, if written by himself with the intent of giving validity to the will, was a sufficient "signing" within the statute.<sup>(i)</sup> It was considered, also, that the putting of a seal by the testator was a sufficient signing; for that *signum* was no more than a mark, and sealing was a sufficient mark that it was his will.<sup>(j)</sup> But this doctrine was afterwards overruled.<sup>(k)</sup>

Thus the old law stood, and the mischief of it was that, as it was not necessary for the testator to have adopted the instrument after it was finished, by actually signing the same at the close of the will, it did not denote clearly that he had perfected and completed it. To remedy this evil, and to prevent future controversy as to whether a will signed by the testator in any other part of the instrument than at the *end* denoted a complete and perfect instrument, the statute under consideration requires that "it shall be subscribed by the testator at the end of the will." And the Statute of Victoria I, ch. 26, passed in 1837, requires that the will "shall be signed at the foot or end thereof by the testator, or by some other person in his presence, and by his direction;" and, to avoid the misconstruction which had prevailed as to "signing," the words "subscribed at the end of the will," are used in the Revised Statutes, and the words "signed at the foot or end thereof," are used in the Statute of Victoria.

But questions of this kind do not appear to be altogether excluded by the operation of these enactments, and a new ground of contest has arisen out of them, both in this state and in England, as to what may be considered a subscription or signing of the will at the end or foot thereof.

In *Tonnele v. Hall*,<sup>(l)</sup> the writing of the instrument propounded for probate commenced on the first of several sheets of paper, stitched together, immediately below a margin, in this form: "In the name of God, amen. I, John Tonnele, of the city of New York, being of sound mind and memory, and considering the uncertainty of life, do make, publish, and declare this to be my last will and testament, in manner and form following, that is to say"—and was continued on that and the four succeeding sheets (turning over at the bottom of each of the first four sheets), when it was brought to a close as follows: "In witness whereof, I have hereunto set my hand and seal, the twenty-second day of October, in the year of our Lord one thousand eight hundred and

(i) "1 Powell on Dev. 74; 1 Jarman on Wills, 70; *Lemayn v. Stanley*, 3 Levinz, 1; *Hilton v. King*, Id. 86; *Pearson v. Wightman*, 1 Const. Court Rep. 343;" Wms. on Exrs. 68.

(j) "*Lemayn v. Stanley*, 3 Lev. 1; S. C., 1 Freem. 538."

(k) Wms. on Exrs. 68, and cases cited.

(l) 4 Comstock, 140; from the able and lucid opinion of Mr. Justice Jewett, in which case the above remarks on the construction of the first subdivision of the statute are, for the most part, taken.



forty-four. At this point, the testator subscribed and sealed the instrument. Following this was an attestation clause, in the usual form of such clauses to wills, which was subscribed by three witnesses. The next sheet was entirely blank, and was succeeded by a sheet on which was written, "Map of the property of John Tonnele in the Ninth and Sixteenth Wards, from surveys and maps made by Geo. B. Smith and Edw'd Doughty, in 1828 and 1835, by R. Spencer, city surveyor, July 8th, 1842." And, also, written on the same, "Reduced copy of a map on file at the register's office in the city of New York. R. S." This map indicated the position, by numbers, &c., of various lots of land in the city of New York, owned by the testator, and which the will purported to dispose of, but it was not signed by the testator, nor by the witnesses. Between the last-mentioned sheet and the envelope there was another blank sheet, and the envelope was stitched to and inclosed the whole.

The first clause by which any part of his real estate was devised, occurred in the second folio, whereby "the brick house on the rear of lot number 73, on the *map* hereinafter mentioned, together, &c., was devised to A. H., to hold during her life." After some other provisions, a devise followed of several other lots of his real estate, which were described as being designated on a certain map now on file in the office of the register of the city and county of New York, (a copy of which, on a reduced scale, is hereto annexed,) entitled map of the property of John Tonnele, Esq., &c., as above given. In each subsequent devise, by which any other of the lots of land laid down upon the map were disposed of, they were described as being "designated on the said *map*" by certain numbers mentioned, and no reference was made to the *copy* of the *map* annexed.

The point taken in opposition to the will was, that the execution of the instrument was not in conformity to the first and fourth requisites of the statute; because, as was insisted, it was neither *subscribed* by John Tonnele, nor *signed* by the *witnesses*, at the *end* of it. It was contended, that as the map annexed should be regarded as a component part of the instrument at the time of its execution, and as it was written on the last sheet of the papers composing the instrument, it was necessarily the end of the instrument, where the subscription by the testator and the signing by the witnesses, to constitute it a valid will, should have been made.

It was held by the Court of Appeals that the will was subscribed by the testator *at the end of the will*, within the meaning and intent of the statute, and that the execution thereof was valid.

In the case of the will of Catharine Kerr, deceased, before the surrogate of the county of New York,<sup>(m)</sup> the closing portion of the will, and the signatures, were as follows:

"To the children of Mary Dow, residing in Ireland, in county Kil-kenny, Give and bequath two Hundred dollars, to be equally divided

(m) *McGuire v. Kerr*, 2 Bradf. Surr. Rep. 244, 254.

between them. If there be a balance, my executors will divided it among my relations that are not herein mentioned.

CATHE KEER.

Phelan,

"I herby appoint Mich<sup>n</sup> of 2d st., and John Kelly, of 9th st., as my executors to this my last will testament.

"Witnesses,

"R. KEIN.

"MATHEW M. SMITH.

pay

"I herby order my executors to <sup>pay</sup> all my lawful and debts & funeral expenses—should it please the Almighty now to call me. This they will do before paying any legacy above mentioned.

"CATH<sup>n</sup> KEER."

There was a question as to the mental capacity of the testatrix, and also as to the genuineness of her alleged subscription, the two witnesses calling her *Keer*, and the two subscriptions being of that name, her christian name Catharine being abbreviated, whilst her real name was Kerr, and several previous papers were produced in which her name, proved to have been signed by herself, was invariably written *Catharine Kerr* in full. But the surrogate held that the form of the will was fatally defective, because the will was not subscribed by the testatrix, and signed by the attesting witnesses at the end, in conformity with the requirements of the statute, and, as the case arose on allegations, revoked and annulled the previous probate.(n)

(n) Numerous cases on this head arose in England under the provision of the Wills Act, 1 Victoria, ch. 26, sec. 9, requiring the will to be signed at the foot or end thereof by the testator; and many of them were collected and commented upon in a note at page 65 of the second edition of this work. In the case of *The Goods of Whittle*, (2 Robert. 122, 123,) Sir H. Jenner Fust remarked: "Unfortunately cases, involving the question whether the will is signed at the foot or end thereof, multiply apace. I confess I know not what to do with them." In 1852 Parliament interposed, and put a legislative construction upon this provision of the statute. The "Wills act amendment act, 1852," provides as follows:

1. Where, by an act (1 Vict. ch. 26) intituled "An act for the amendment of the laws with respect to wills," it is enacted, that no will shall be valid unless it shall be signed at the foot or end thereof by the testator, or by some other person in his presence, and by his direction: every will shall, so far only as regards the position of the signature of the testator, or of the person signing for him as aforesaid, be deemed to be valid within the said enactment, as explained by this act, if the signature shall be so placed at or after, or following, or under, or beside, or opposite to the end of the will, that it shall be apparent on the face of the will that the testator intended to give effect by such his signature to the writing signed as his will; and that no such will shall be affected by the circumstance that the signature shall not follow or be immediately after the foot or end of the will; or by the circumstance that a blank space shall intervene between the concluding word of the will and the signature; or by the circumstance that the signature shall be placed among the words of the testimony clause or of the clause of attestation; or shall follow or be after or under the clause of attestation, either with or without a blank space intervening; or shall follow or be after, or under, or beside the names or one of the names of the subscribing witnesses; or by the circumstance that the signature shall be on a side, or page, or other portion of the paper, or papers containing the will, whereon no clause, or paragraph, or disposing part of the will, shall be written above the signature; or by the circumstance that there shall appear to be sufficient on or at the bottom of the preceding side or page, or other portion of the same paper on which the will is written, to contain the signature; and the enumeration of the above circumstances shall not restrict the generality of the above enactment; but no signature, under the said act or this act, shall be operative to give effect to any disposition

A signature of the testator's name to the will, by his direction, may well be made by a person who is one of the two attesting witnesses to the will. The statute is peremptory, that the person signing the testator's name shall write his own name as a witness to the will; but there is not any thing in it which requires that there shall be more than one other witness.

It would seem, from the express words of the statute, that it would not be sufficient if the party signing for the testator should sign his own name, and not that of the testator. The only case in which a subscription otherwise than by the testator is recognized, is where a person shall sign *the testator's* name to the will by his direction.(o)

It is not necessary that all the sheets or papers, of which a will consists, should be signed by the testator, or that they should all be connected together. It is enough if they were in the same room where the execution took place, and it must be presumed, *prima facie*, that they were so.(p)

And the absence of a paper referred to in a will, as containing some of the testamentary intentions of the testator, will not vitiate the execution of the will. Thus, in *Thompson v. Quimby*,(q) by a clause in the will, the following provision was made: "All such household furniture, jewelry, chemical and other apparatus, which is specified or enumerated in a certain schedule accompanying this, my will, and which is signed by me, I give and dispose of to the respective parties named in said schedule, and in the proportion as therein designated." It appeared that the schedule referred to in the will, was not attached to the instrument at the time of execution, or subsequently. Something was said concerning it when the decedent was about signing the will, and the idea was advanced that it might be annexed afterwards, but the proceeding was not interrupted, and he called on the witnesses to attest, and declared the instrument to be his will, notwithstanding the schedule was not ready. It was held that, notwithstanding one of its provisions looked to another instrument and other gifts, that circumstance did not affect the integrity of the will as a complete act then accomplished, and that the appending of a schedule, intended to effectuate the objects of one of the clauses, or the failure to append it, could have no effect upon the question of the due execution of what was at the time signed, declared, and attested as the last will.

or direction which is underneath or which follows it; nor shall it give effect to any disposition or direction inserted after the signature shall be made.

Under this statute, where there was a writing by the testator, disposing of some of his property, below his signature to the will, and there was a subsequent codicil, the writing was rejected. *In the Goods of John Percival Wilmot*, 1 Swabey and Tristram, 36. See, also *In the Goods of Thomas Gullan*, *Ib.* 23; *Page v. Donovan and Hankey*, 1 Deane, 278.

The principle of this statute is undoubtedly applicable to the provision of the Revised Statutes, corresponding to that of the English Statute under consideration, requiring that the will shall be signed at the end by the testator.

(o) Under the English statute, 1 Vict. ch. 26, sec. 9, which requires that the will shall be signed at the foot or end thereof by the testator, it is sufficient, it seems, if the testator write his initials to the will. *In the Goods of Savory*, 15 Jur. 1042; 6 Eng. L. & Eq. Rep. 583.

(p) 4 Notes of Cases, 620, 629; Wms. on Exrs. 73.

(q) 2 Bradf. Surr. Rep. 449; *S. O.*, on appeal, *nomine Thompson v. Thompson*, 21 Barb. Sup. Ct. Rep. 107.

References may be made in a will to another document, for purposes of description, but there can be no valid testamentary dispositions unless contained in the will; and the testator cannot, in his will, reserve the power of giving, or declare that he does give, by an instrument not formally executed according to the provisions of the statute. Accordingly, it was considered, in the case last cited, that the schedule it was proposed to attach to the will, as well as the clause in the will referring to it, would have been void, even had the schedule been annexed.(r)

The second subdivision of the statute prescribes, that the subscription at the end of the will shall be made by the testator in the presence of each of the attesting witnesses,(s) or shall be acknowledged by him to have been so made to each of the attesting witnesses. This, of course, does not mean, in respect to an acknowledgment, that the testator shall acknowledge to the attesting witnesses that he has subscribed the will in the presence of the attesting witnesses, although such would be a literal interpretation of the language used. An acknowledgment of the subscription merely is what is intended to be required. By the fourth subdivision, there must be at least two attesting witnesses.

(r) But if the schedule had been prepared and annexed, or present at the time of the execution of the will, it would have formed a part of the will. See, on this subject, *In the Goods of the Rev. George Hunt*, 2 Robt. Eccles. Rep. 622; 17 Jur. 720; *In the Goods of Emma Hake-well*, 1 Deane, 14; 4 W. R. 304; *In the Goods of Edward Greaves*, 1 Swabey and Tristram, 250; *Allen v. Maddock*, 1 Deane, 325; *S. C.*, on appeal, 11 Moo. P. C. C. 427; *In the Goods of A. M. Ash*, 1 Deane, 181; *In the Goods of the Countess Dowager of Pembroke*, 1 Deane, 182.

(s) What shall be a sufficient subscription of the will by the testator in the presence of the witnesses, has never been made a question under the statute. The English statute requires that the signature to the will shall be made or acknowledged by the testator, in the presence of two or more witnesses present at the same time. In *Nodding v. Alliston*, (14 Jur. 904; 2 Eng. L. and Eq. 594,) which was a case under that statute, a paper was propounded as the will of John Howe, deceased, and the two subscribing witnesses and the writer of the paper were examined. The first subscribing witness deposed that, on his going into the room where the alleged execution of the will took place, he found the deceased, and the other subscribing witness, and the writer of the paper; that the writer of the will passed it to the deceased, saying, "witness," upon which the deceased wrote the word "witness" on the left-hand side of the paper; and the writer of the will then pointed to the paper, and said, as though speaking to the subscribing witnesses, "sign;" upon which they both signed their names, and left directly; that he had no idea what the paper was, and could not tell by its appearance, for it was folded over, and he saw no writing on it except the word "witness;" and that the deceased did not sign in his presence, and he could not have seen him sign unless he had gone right into the room, as the door opened inwards, and the deceased sat behind the door. The other subscribing witness deposed that he came into the room first, and that the deceased signed his name before him, but whether in the presence of the other subscribing witness, he could not tell; and that after the deceased had so signed his name, it was suggested to him that he should write the word "witness;" whereupon the deceased took and wrote the word "witness," under which the witnesses wrote their names. This witness also deposed that, whilst the deceased was signing his name, he saw writing above the signature, but that, when the witness signed his own name, he saw no writing, except that of the word "witness," neither the signature of the testator nor any part of the writing being visible, because that half of the paper was turned downwards, and the other half then turned upwards was blank, except the word "witness" on it,[\*] The writer of the will deposed that, upon the subscribing witnesses coming into the room, the deceased told them that he wanted them to witness his signature, not saying what the document was; that nothing passed as to the contents or nature of the document in their presence; that the deceased in their presence signed his name at the end of the will, and they put their names to it under the word "witness," which the deceased had written at the deponent's suggestion and in their presence. He had no doubt that they were both present when the deceased

[\*] The paper was folded from top to bottom; the writing and signature being all to the right, and the word "witness" to the left of the fold.

The question does not seem to have been raised, whether an acknowledgment of the subscription was intended to be effectual in any other case, than where some other person had signed the testator's name to the will by his direction, as impliedly authorized by the 41st section; but there is little doubt that the statute means that, whether the subscription be made by the testator, or by another person by his direction, if it be acknowledged by the testator in the presence of the witnesses, the execution shall be good.

A more difficult question hereupon arises in cases where the subscription is not made by the testator, in the presence of the attesting witnesses, as to what shall be a sufficient acknowledgment of the subscription by him in their presence. The case of *Chaffee & Chapman v. The Baptist Missionary Convention of the State of New York*,<sup>(1)</sup> turned upon this question. In that case, the will purported to have been signed with the name of the testatrix, and sealed; it had, in addition, an attestation clause, by which the subscribing witnesses were made to certify that it was signed, sealed, delivered, and published by her, to be her last will and testament, in their presence; and that they had thereto subscribed their names as witnesses, in her presence, but without stating that it was done at her request. One of the subscribing witnesses, however, swore positively that he and the other witness subscribed it at her request, though the latter could not recollect whether she requested him to sign it or not. Neither of the subscribing witnesses knew whether the testatrix could write; but it appeared from papers in the surrogate's office, relative to the administration of the estate of her deceased husband, that she signed the bond, oath, inventory, &c., by making her mark only. The person by whom the will had been drawn, was dead at the time it was offered for probate. The subscribing witnesses were alone with the testatrix at the time the supposed execution of the will took place. They testified that when they went to the decedent's room, she took the instrument, which then had her name to it, out of her drawer, and, putting her finger on her name, said, "I acknowledge this to be my last will and testament," or words to that effect. But she did not admit, in the presence of the witnesses, that she had subscribed her name to the will, or that it had been subscribed thereto by any other person by her direction. It was held by the chancellor, on appeal, reversing a decision of a circuit judge and affirming a decree of a surrogate, that the will was not duly executed, for want of a subscription or acknowledgment of a subscription by the testatrix in the presence of the attesting witnesses. "There was no

wrote his name, but they came in separately, and the thing was done rather in a hurry. Sir H. Jenner Fust pronounced judgment in the case, as follows (after stating the substance of the evidence): "Mere presence of the witness in the room, without any knowledge on his part of what may be going on, is clearly not sufficient to make a good execution; and as the first witness deposes that he never saw the deceased sign his name, and never saw the signature, the court cannot hold that the will was executed in the presence of two witnesses present at the same time, and the case falls short of the required proof. No doubt cases may occur, as in *Blake v. Knight* (3 Curt. 547), where circumstances will lead the court to pronounce against the belief or deposition of the witness, but this is not a case of that kind; I must pronounce against the will."

(1) 10 Paige, 85.



evidence here," says the chancellor, "that the name was subscribed to this testamentary paper by the direction of the testatrix, or even in her presence. And, for aught that appears to the contrary, the will may have been brought to her precisely in the form in which it appeared when she took it out of the drawer. She did not admit to either of the witnesses that she had subscribed her name to the will, or say that any other person had written it there in her presence, or by her direction. On the contrary, one of the witnesses swears that he did not hear her say any thing about who wrote the name; and the other says nothing on the subject. The attestation clause states that the will was signed by her in the presence of the witnesses; but this is contradicted by the testimony of both of these witnesses. The putting her finger upon the part of the will where the seal was, and acknowledging that the instrument was her last will and testament, was merely a compliance with the directions of the third subdivision of the fortieth section, which required her to declare the instrument, which she asked the witnesses to attest, to be her last will and testament. But it did not supersede the necessity of an actual subscription, in the presence of the witnesses, or an acknowledgment to each of them that she had previously subscribed it, or had directed some other person to sign it with her name, which appeared thereon. In *Remsen v. Brinkerhoff*,<sup>(u)</sup> Chief Justice Nelson, after stating the four requisites to a valid execution of a will, under the provisions of the fortieth section of the Revised Statutes on the subject, says: 'It is obvious that any one of these four requisites, in contemplation of the statute, is to be regarded as essential as another; that there must be a concurrence of all to give validity to the act, and that the omission of either is fatal.' And as the will in that case was pronounced invalid, although subscribed by the testatrix in the presence of the attesting witnesses, for want of due publication in their presence, so in this it must be declared not to have been duly executed, because it was not subscribed by her in the presence of the witnesses, as is erroneously stated in the attestation clause; and because there is no proof that she acknowledged, in their presence, that her name, subscribed to it, was put there by her, or by her direction, or in her presence."

This case is undoubtedly to be regarded as an authority, upon the law of this state, relative to the execution of wills. It should be noted, however, that the great weight of the decision rests upon the single circumstance, that upon the evidence the subscription was not made by the testatrix, but, as she could not write, must have been made by some other person. In England, under the Statute of Victoria, which requires that the signature of the testator "shall be made or acknowledged by the testator in the presence of two or more witnesses, present at the same time," &c., the result of the cases appears to be, that where the testator produces the will, with his signature visibly apparent on the face of it, to the witnesses, and requests them to subscribe it, this is a sufficient acknowledgment of his signature; but not where his

(u) 26 Wend. Rep. 331.



signature is concealed from the witnesses.(v) Where, however, it is proved, or is to be presumed from the testimony, as in the case under consideration, that the decedent was unable to write, a greater degree of rigor and exactness is properly demanded. The object of the statute

(v) *Chambers v. Queen's Proctor*, 2 Curt. 415; *Gove v. Garwin*, 3 Curt. 157; *Gaze v. Gaze*, Id. 451; *Blake v. Knight*, Id. 547; *Keigwin v. Keigwin*, Id. 607; *In re Davis*, Id. 748; *In re Ashmore*, Id. 756. See, also, *In the Goods of Warden*, 2 Curt. 334; *In the Goods of Philpot*, 3 Notes of Cas. 2; *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 61, 62, 63. For a different view of the subject, see 2 Curt. 326; Id. 863. In *Illott v. Genge*, (3 Curt. 160,) Sir Herbert Jenner Fust says: "It is not necessary that the testator should state to the witnesses that it is his signature; the production of a will by the testator, it having his name upon it, and a request to the witnesses to attest it, would be a sufficient acknowledgment of the signature under the present statute." But it is not sufficient merely to produce the paper to the witnesses, where it does not appear that the signature of the testator was affixed to it at the time. 3 Curt. 181. The signature must not be concealed from the witnesses. *Illott v. Genge*, 3 Curt. 160; 4 Moo. P. C. C. 265; *Hudson v. Parker*, 1 Robert. 14. See, also, 6 Notes of Cases, Suppl., p. 1; 3 Notes of Cases, 275; Wms. on Exrs. 77.

The following recent case, which, as it is brief, is extracted entire from the report, (2 Robertson's Eccles. Rep. 577,) shows the views of an English court, under their statute, upon the sufficiency of the acknowledgment of the decedent's signature to a will, under circumstances in many particulars similar to those in *Ohaffee v. The Baptist Missionary Convention*.

IN THE GOODS OF CHARLOTTE ELIZABETH IVES BOSANQUET, DECEASED.

Miss Bosanquet died on the 4th July, 1852, leaving a will bearing date the 15th November, 1850. She did not name any executor. The will was thus signed:

"CHARLOTTE ELIZABETH IVES BOSANQUET."  
"Signed in the presence of."

Beneath the above were the signatures of the attesting witnesses, Miss Sotherby, since deceased, and her servant, Jane Roberts, who gave, in her affidavit, the following account of the transaction: "That on one day, which she believes was on the 15th of the said month of November, 1850, after having assisted the said Miss Sotherby from her bed-room down to the drawing-room, which was her custom to do, as she, the said Miss Sotherby, was very infirm, the said Miss Sotherby requested the deponent to stay in the room, as she wanted her, she said, to put her name to Miss Bosanquet's will, which she had then before her. That the deponent, on referring to the said paper, saw that the names and words "Charlotte Elizabeth Ives Bosanquet, signed in the presence of," had been written on the said paper, in manner and form as they now appear. And she further saith, that the said deceased, who was then present in the room, did not sign her name in the said paper, or acknowledge her signature thereto in the presence of the deponent or of the said Miss Sotherby, but that the said Miss Sotherby wrote her name to the said paper in the presence of the deceased and in the presence of the deponent, and thereupon she, the said deponent, according to the directions of the said Miss Sotherby, subscribed her name to the said paper, in manner now appearing thereon, in the presence of the deceased and in the presence of the said Miss Sotherby."

The affidavit gave no information respecting the handwriting on the paper beyond what is above stated.

Jenner moved for administration with the will annexed.

Judgment. SIR JOHN DODSON:—I think, as the testatrix was assenting to the transaction, probate may pass. She did not, it is true, in words state the signature to be her's; still I conceive there was a virtual acknowledgment thereof: she was in the room with the will lying open before her. The memorandum likewise at the bottom of the page, though it cannot be proved as a part of the will, since it is underneath the signature of the testatrix, tends likewise to establish an acknowledgment on her part.[\*]

Where, however, the name of the deceased had been signed to his will at his request by the drawer thereof, who, on a subsequent day, asked, at the request of the deceased, two persons, called in by the deceased himself to attest his will, all being present together at the same time, to sign their names as witnesses, which they did, and the deceased then placed his seal on the paper, and said, "I deliver this as my act and deed," it was held that the signature was not acknowledged by the deceased in the presence of the witnesses. *In the Goods of John Summers*, 2 Rob. Eccles. Rep. 295.

[\*] The memorandum referred to was, according to the affidavit, written after the execution, and is in these words: "I request that the plainest and most inexpensive funeral may be made for me, but that I may be buried at Willesden, or at least that I may not be buried at Meesden."

is to secure positive evidence of the absolute identity of the testamentary dispositions intended by the testator; and if the subscription be not in the handwriting of the decedent, in order to establish the will, the most complete and conclusive evidence should be required that the decedent recognized, and adopted as his, the subscription which appears at the end of the instrument.<sup>(w)</sup> On the other hand, in a case where the will has been subscribed by the testator, it may, perhaps, be doubted whether an acknowledgment and request to the witnesses, such as those made in the case in question, ought not to be regarded, not merely as a compliance with the provisions of the statute requiring publication and request, but as an acknowledgment of the subscription satisfying the alternative prescribed in the second subdivision. The fact that the Revised Statutes require publication of the will, probably does not make any difference with respect to the sufficiency of the act or expression signifying an acknowledgment of the subscription of the instrument.

In the case of the will of Thomas Lewis, deceased, before the Hon. Jesse C. Smith, surrogate of the county of Kings, the paper was a printed blank form of a will, filled in by the testator, and signed by him with the usual attestation clause, the blanks in which were also filled in by the testator. Neither of the attesting witnesses saw the deceased subscribe his name to the instrument in question, nor heard him acknowledge the signature to be his. Wing, one of the witnesses, said he had no recollection that the deceased said what the paper was, or that the subscription was his. Tripp, the other witness, testified: "Mr. Wing and myself were called into the office by Mr. Lewis, the testator, where he had a paper, of which he turned up so much as would allow us to write our names, requesting us to sign the same, with our residences. He also said, 'I declare the within to be my free will and deed;' that was all he said, as near as I can recollect." Again he said, "It (the paper) was laid on the desk, and so folded or laid that all I saw was blank paper, enough to sign our names upon." \* \* \* "It was so

<sup>(w)</sup> In *Butler v. Benson*, (1 Barb. Sup. Ct. Rep. 535,) Mr. Justice Hand, on the authority of *Chaffee v. Bap. Miss. Conv.*, and leaving out of view the fact that the subscription in that case was not in the handwriting of the testatrix, lays it down that, though the testator has subscribed the will, if not in the presence of the witnesses, publication is not a sufficient acknowledgment. In the case of the will of Thomas Lewis, deceased, before the surrogate of the county of Kings (N. Y. Legal Observer, vol. 9, p. 150), the surrogate considers that "if under the English act, which requires no publication, a declaration that it was the will of the testator, was insufficient as an acknowledgment, for a much stronger reason, would the same declaration be insufficient under our statute?" But the reasoning of the learned surrogate is probably to be understood as applicable to such cases only as those in England referred to, or as that before him, where the signature of the testator was not made known to or was concealed from the attesting witnesses.

In *Lewis v. Lewis*, (1 Kernan, 226,) Mr. Justice Allen thus declares the law: "The statute contemplates the subscription or the acknowledgment thereof in the presence of the witnesses, and a publication of the instrument as a will, as two distinct acts, and both are necessary to its due execution: and being explicit and clear in terms, courts are not authorized to vary it by construction, or to make the one act stand for both. Upon this branch of the statute it is the subscription, not the will, which is to be acknowledged." But this is said in the same case, and it has not in any case been determined that where the testator produces a will with his subscription to it, open and visible to the witnesses, and declares the instrument to be his will, and requests the witnesses to sign it as such, he has not sufficiently acknowledged his subscription to the will.

arranged that I saw no part of the contents, written or printed. I did not see his signature. I only saw the blank space upon which our names were written." Upon this proof, the surrogate pronounced against the validity of the execution of the will. "When a will," said he, "is not subscribed in the presence of the witnesses, to prove the acknowledgment of the subscription to the attesting witnesses, there must be evidence sufficient to satisfy the court that the witnesses saw the subscription when the acknowledgment was made." And, again, "Each case decided in our own courts has, to a considerable extent, rested upon its own circumstances; some general principles have, indeed, been laid down, and considered as established, but no case has been reported which presents the question whether an acknowledgment of the subscription of the testator can be made to the witnesses without their seeing the signature, and whether a declaration that the paper is his free will and deed, without exhibiting the signature, is a good acknowledgment." Upon appeal to the general term of the Supreme Court, this decree was affirmed.<sup>(x)</sup> "The case," said the court, "is marked by the absence of all evidence that the signature of the testator was upon the instrument at the time the witnesses subscribed their names, and thus one of the requisites, which the ecclesiastical courts deem absolutely essential, is wanting;" and, after referring to the attestation clause, they conclude as follows: "The theory upon which the assertions of an attestation clause are to come, in aid of the proof of a will, is the presumption that reputable witnesses would not have put their names to it unless its contents were known to be true. But this presumption is destroyed when the signature of the deceased, and the clause itself, are concealed from the knowledge and observation of the attesting witnesses." Upon appeal to the Court of Appeals, the judgment of the Supreme Court in this case was affirmed.<sup>(y)</sup>

In a case<sup>(z)</sup> where, in respect to one of the two witnesses, the subscription by the testator was not in his presence, and there was not any acknowledgment in his presence, this was considered a fatal defect in the execution of the paper.

The statute does nowhere specify the particular mode or form in which the acknowledgment shall be made. It may be concluded, from the facts of the case, that what was said and done by the testator, amounted to such an acknowledgment as will satisfy the provisions of the statute, although an express acknowledgment is not made by the testator.<sup>(a)</sup> The acknowledgment is not required to be done in words; but in the case of a mute, or other person who cannot articulate or write, it may be expressed by signs.<sup>(b)</sup>

The statute requires that the subscription shall be made by the tes-

(x) See *Lewis v. Lewis*, 13 Barb. S. C. R. 17.

(y) *Lewis v. Lewis*, 1 Kernan, 222. See, also, *Whitbeck v. Patterson*, 10 Barb. Sup. Ct. Rep. 608, which, however, has been reversed by the Court of Appeals. The judgment in this case, in the Supreme Court, is the subject of some comments, as well in the text as in a note, at pages 75, 76, and 77, of the second edition of this work. As that judgment has been reversed, it is not deemed expedient to repeat those observations in this edition.

(z) *Rutherford v. Rutherford*, 1 Denio, 33.

(a) See *In the Goods of William Jones*, 1 Deane, 3.

(b) *Whitbeck v. Patterson*, 10 Barb. S. C. Rep. 610, per Taylor, J.

tator in the presence of each of the attesting witnesses, or shall be acknowledged by him to each of the attesting witnesses. If the testator subscribe the will in the presence of the witnesses, it must be subscribed in the presence of each, that is, of both or all of the witnesses. If the testator acknowledge his subscription to the will, such acknowledgment must be made to each, that is, to both or all the attesting witnesses. In contemplation of the statute, all the acts requisite to the due execution of a will are to be done at the same time.<sup>(c)</sup> In the case of a subscription, both or all the witnesses, from the physical necessities of things, must be present on a single occasion. There cannot be a subscription in the presence of each of the attesting witnesses, unless it be in the presence of both or all at the same moment. And it is reasonably to be inferred that, in the case of an acknowledgment, it must be an acknowledgment before both or all of the witnesses present at the same time.<sup>(d)</sup>

It is a just conclusion, from the drift and tenor of the whole section, taken together, that it was not within the intention of the legislature to authorize the execution of a will piecemeal or by installments. It is certainly all one transaction in the case of a subscription, and no good reason is apparent why it should be split up and divided in the case of an acknowledgment. A subscription in the presence of one of the attesting witnesses, and an acknowledgment in the presence of another, is not permitted; and the same objection which would preclude such an execution of the will, obviously lies against two or more separate acknowledgments. It was settled, it is true, previous to the Revised Statutes, that a will of real estate, attested by three witnesses, who at several times subscribed their names in the presence of the testator, and at his request, was valid, although all the witnesses were never present at the same time. But the then existing statute, by its letter, only required that the will should be signed by the testator, but not that such signing should take place in the presence of the attesting witnesses; and as to the witnesses, it required only that the will should be attested by three witnesses, who should subscribe the same in the presence of the testator.<sup>(e)</sup> The alteration in the present statute, distinctly requiring a subscription before at least two witnesses, present at the same time, as a necessary legal inference, it is supposed, carries with it the same requirement in respect to the presence of the witnesses in the case of an acknowledgment. An important object of the statute is to prevent fraud or deception, and this is more effectually promoted by requiring at least two witnesses to every act connected with the execution of a will, and such is clearly the purpose and meaning to be gathered from all the provisions of this section, taken together. In

(c) *Doe v. Roe*, 2 Barb. Sup. Ct. Rep. 205, per Harris, J.; *Seguine v. Seguine*, Id. 394-5, per Edmonds, J. See, also, *Keeney v. Whitmarsh*, 16 Barb. 141.

(d) There is an unfortunate note attached to a form of a will in the appendix to the first edition of this work, in which a different view of this question is taken. It is not with a design to disparage the accuracy or value of that publication, that its errors are pointed out and corrected in the present edition. It is the source of some comfort, that the view taken of the statute, in the note referred to, has received, at the hands of Mr. Justice Hand, a judicial approval and sanction. See *Buller v. Benson*, 1 Barb. Sup. Ct. Rep. 533.

(e) See R. L. 1813, 364, sec. 2; *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 56, and cases cited.



the third subdivision, "the time of making" and "the time of acknowledging" the subscription, are alike spoken of as a single occasion, and the two or more attesting witnesses demanded by the fourth subdivision, are plainly both or all jointly to attest the observance of all the previous requirements.(f)

#### OF THE PUBLICATION OF THE WILL

The third subdivision of the statute provides that the testator, at the time of making the subscription, or at the time of acknowledging the same, shall declare the instrument so subscribed to be his last will and testament.

No publication was ever necessary for a will of personal estate previously to the Revised Statutes. The former statutes of wills prescribed that every last will and testament of lands should be in writing, and signed by the party making the same, or by some other person, in his presence and by his express direction; and should be attested and subscribed in the presence of such party by three or more credible witnesses, or else such last will and testament should be utterly void.(g) But nothing was said as to the publication of the will, by the testator, in the presence of the witnesses or otherwise. The question, therefore, arose, whether the adoption of the formalities required by the statute dispensed with the necessity of the publication of the will, which was necessary previous to the statute; and, if not, whether it was necessary that such publication should be made by the testator in the presence of the three witnesses who attest the will. The weight of authority in England is, that under the 29 Car. II, ch. 3, sec. 5, (of which the old statute of this state concerning wills was a copy,) no publication by the testator, in the sense declared by the Revised Statute, was required as essential to the validity of the will; and this, it may be considered, was regarded as the law of this state before the Revised Statutes, though it does not appear that the attention of the courts was ever drawn to the particular point in any of the cases.(h)

(f) In *Butler v. Benson*, however, (1 Barb. Sup. Ct. Rep. 533,) Mr. Justice Hand lays down a different doctrine on these points. In giving what he denominates as "perhaps a pretty correct summary of the requirements of our statute," after stating the preliminary requirements of the statute, he declares that "this subscription must be made in the presence of the attesting witnesses, or the making of such subscription must be acknowledged to them. If by acknowledgment, that may be made to the witnesses separately, or he may subscribe and publish in the presence of one, and acknowledge, &c., before another." But the case before the learned justice did not involve the construction of this provision of the statute, and his opinion plainly is pronounced upon an incorrect version of the section. The requirement is, that the subscription or acknowledgment, whichever shall be the form of execution adopted, shall be in the presence of *each* of the attesting witnesses. Upon the question whether the acknowledgment may be made to the witnesses separately, the opinion and *dictum* of the learned justice is certainly entitled to the most respectful consideration. A subscription in the presence of one of the attesting witnesses, and an acknowledgment in the presence of another, as approved by the learned justice, is neither a subscription in the presence of each, nor an acknowledgment in the presence of each, and if the words of a statute are at all to be trusted as a guide for its construction, it is submitted, is not, therefore, a compliance with the section.

(g) 29 Car. II, ch. 3, sec. 5; 1 R. L. 1813, 364, sec. 2.

(h) *Brinckerhoff v. Remsen*, 8 Paige, 491; *Remsen v. Brinckerhoff*, 25 Wend. 330, and see cases cited.

It was doubtless the contrariety of opinion, and uncertainty upon so important a subject of the law, which induced the legislature of this state in 1830, while revising the law, to declare with explicitness the necessity of publication to give validity to the will, and which led, in England, to the Act of 1 Victoria, ch. 20, in 1837, by which any other proof of publication is dispensed with, except what arises from the act of signing or acknowledging the instrument in the presence of the witnesses. Both statutes were intended to settle the law, which is undoubtedly of vastly more importance than that it should be settled in favor of one or the other of the conflicting opinions. The statute of this state followed the lead of those which maintained that some sort of publication was necessary, while the English statute has dispensed with it.

The revisers, in their report to the legislature upon this branch of the law, recommended the following section to relate to wills of real estate,<sup>(i)</sup> instead of the present section, which was afterwards adopted by the legislature :

"Sec. 5. No last will and testament of real estate, or of any interest therein, shall be valid unless the same be in writing; be signed by the testator, or by some other person for him, and in his presence, and by his express direction; and be attested by three or more credible witnesses, who shall subscribe their names as witnesses in the presence of the testator, and at his request;" which was accompanied by a note, signifying that it was slightly varied from 1 R. L. 364, 2d section, "in the hope of making it more explicit."<sup>(j)</sup>

The legislature, it will be perceived, framed the section so as to make it apply to wills both of real and personal property, and, besides other material alterations, expressly incorporated the provision now under examination.

When the explicit language of the provision in question is considered, in reference to the doubts which existed, whether, under the statute of Charles II, an actual publication in the presence of the attesting witnesses was not necessary to the due execution of the will, in connection with the circumstance that the legislature intentionally altered the language of the section, in this particular, from that of the old statute, which, as has been seen, was retained substantially in the section as originally reported by the revisers, there is no room for doubt that the law-makers intended to require an actual publication of the instrument as a will, in the presence of the subscribing witnesses at the time of its execution, in addition to the other formalities required by the statute. The legal and historical external evidence of the legislative intent, corresponds with and supports the natural and obvious interpretation of the statute itself. The signing of papers purporting to be wills by persons near death, supposing that they signed some other instrument, was a danger such as demanded consideration whether it might not be excluded by positive legislation. This third subdivision, then, was intended as a statutory declaration of what is understood, in

(i) Proposing to leave the law substantially as it stood respecting wills of personal property.

(j) See 3 Revised and other Statutes, (2d ed.) Appendix, 627.



technical language, to be a publication; it is found in juxtaposition with the requisites of signing and witnesses; and can no more be dispensed with in passing upon the validity of an execution, as being in conformity with the law, than either of these. It prescribes, in general terms, what shall amount to publication. The testator must not only declare the instrument to be his will, but he must so declare at the time of signing or acknowledging; which act, by the previous clause, is to be done in the presence of the witnesses. Such declaration must, therefore, be made in their presence.<sup>(k)</sup>

Whether it was wise to require a formality of this kind, resting in the recollection of the witnesses merely where the instrument upon its face purported to be a will, and thereby exposing the intention of the testator to be defeated, by the imperfect or mistaken recollection of the witnesses, that the testator, at the time he signed the instrument, did not declare it to be his last will and testament, is a question which it does not properly belong to the courts to decide.<sup>(l)</sup> And if the fact distinctly and satisfactorily appear, that the testator did not declare to the subscribing witnesses, or in their presence, that the instrument signed by him, and attested by them, was a will, it is the duty of the courts to carry into effect the expressed will of the law-givers, by declaring that such an instrument is invalid as a testamentary disposition of the decedent's property.<sup>(m)</sup>

No particular form of words, however, is necessary, even under this statutory provision, to comply with its requirement. The legislature only meant that there should be some communication to the witnesses, indicating that the testator intended to give effect to the paper as his will. Any communication of this idea, or to this effect, will meet the object of the statute. Words equivalent in import and signification, or acts and words together, which amount to a clear and unequivocal declaration by the testator of his intention to execute the instrument as his last will and testament, are sufficient.<sup>(n)</sup> The testator may do many acts, which may amount to a declaration that the instrument he executes is his will. A man may be unable to speak, and yet may be perfectly competent to make a will.<sup>(o)</sup> But the declaration is an open act, a manifest signification or assertion, or assent by words or signs; and it must be made to appear by unequivocal circumstances, so that the testamentary character of the instrument is shown to have been communicated by the testator to the witnesses.<sup>(p)</sup> The minds of the parties must meet on that point. It will not suffice that the witnesses have elsewhere, and from other sources, learned that the document

(k) See *Brinckerhoff v. Remsen*, 8 Paige, 498; *Remsen v. Brinckerhoff*, 26 Wend. 325.

(l) 8 Paige, 498; *Ex parte Beers*, 2 Bradf. Surr. Rep. 164; *Wilson v. Hetterick*, *Ib.* 431.

(m) In *Brown v. De Selding*, 4 Sandf. Sup. Ct. Rep. 17, the court, Mason J., says: "But the Revised Statutes have somewhat altered the rule," (in respect to the publication from what it was previously,) "and the alteration is, we think, wise and salutary. Whether it is so or not, it is our duty to carry out the provisions of the statutes on this subject, in their letter and spirit."

(n) *Torrey v. Bowen*, 15 Barb. Sup. Ct. Rep. 304; *Nipper v. Groesbeck*, 22 Barb. Sup. Ct. Rep. 670.

(o) In the *Matter of proving the will of Emmanuel Abrams, deceased*, M. S. per Ogden, Surr. N. Y.

(p) *Ex parte Beers*, 2 Bradf. Surr. Rep. 164; See *Gombault v. The Public Administrator*, 4 *id.* 226.

which they are called to attest is a will, or that they suspect or infer, from the circumstances and occasion, that such is the character of the paper. The witnesses cannot spell out the declaration by a hasty glance at a word here and there, or by connecting present acts with previous conversations. The testator must know that the witnesses know the testamentary nature of the act, and *vice versa*; and this mutual knowledge must arise from something said, done, or signified, contemporaneously with the execution of the instrument.<sup>(q)</sup> It would be unwise, if not unsafe, to speculate upon the precise mode of communication, as every case must depend upon its own particular circumstances. The statute itself is plain, and may readily be obeyed in a way to leave little or no room for construction. When that is necessary, the only sure guide is to look at the substance, sense, and object of the law, and, with the aid of these lights, endeavor to ascertain whether there has been a substantial compliance.<sup>(r)</sup>

One of the earliest decisions in which this provision of the statute was the subject of construction, was that in the matter of proving the last will and testament of Emmanuel Abrams, deceased, before the surrogate of the county of New York, in May, 1840. In that case, both the witnesses testified that the brother of the testator produced the will, and asked the testator whether the signature, which was shown to him, was his signature, and that the testator answered "Yes; it is all right;" and that not a word was said at that time whether the paper was a will or not. One of the witnesses said that "he did not know whether the paper he subscribed as a witness was a will or a deed." The other witness said he "did not know that the paper was, at the time of the signature, called any thing. He was led to believe it was a will. When he and the first witness entered the room, he thought the brother said to the testator that they had come to witness his will. He did not know that the testator made any reply. He thought the testator heard his brother say they had come to witness his will."

"I think," said the surrogate, "the statute requires that it should be clearly shown, by the two witnesses, that the testator acknowledged it to be his will, either by so declaring it himself, or by some act showing conclusively that he understood it to be his will; and I think the witnesses must also so have clearly understood it. In this case, one of the witnesses never heard the word *will* mentioned, and did not himself know whether the instrument he witnessed was a will or a deed; and the other witness inferred it was a will, because he thought he heard the brother tell the testator they had come to witness his will, and he thought the testator heard him say so. I cannot say that the evidence in this case is sufficient to prove that the testator, at the time he acknowledged this instrument, declared it to be his last will and testament, so as to bring it within either the word or the spirit of the statute."

(q) *Wilson v. Hetterick*, 2 Bradf. Surr. Rep. 431; *Lewis agst. Lewis*, 1 Kernan, 222, 227. See, also, *Burritt v. Silliman*, 16 Barb. S. C. R. 211; *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322.

(r) 26 Wend. 332, 337; *Rieben v. Hicks*, 3 Bradf. Surr. Rep. 353; *Nipper v. Groesbeck*, 22 Barb. Sup. Ct. Rep. 670.

The leading case, however, on this provision of the statute, is that of *Remsen v. Brinckerhoff*,<sup>(s)</sup> which was determined in the court of last resort, in the year 1841. This case arose in the court of the surrogate of the county of New York, on a proceeding to prove the last will and testament of Dorothea Brinckerhoff, deceased. The will was signed by the testatrix, in the presence of two witnesses. The attestation clause, to which the witnesses subscribed their names, recited a full compliance with all the requirements of the statute, and, in addition, at the close, stated that the witnesses had, each of them, "written opposite to their names, their respective places of residence," pursuant to the 41st section of the statute. The witnesses were examined before the surrogate of the county of New York. One of them testified that the testatrix executed the will in his presence by writing her name, and acknowledging it to be her hand and seal, "for the purposes therein mentioned;" that he subscribed his name to the will in the presence of the testatrix; that the will was not read to the testatrix, nor did he (the witness) read it; he read the last line of the attestation. He did not ask the testatrix whether it was her will, nor did she say it was her will, nor was it stated by any person present that the instrument executed was a will. The other witness testified that he saw the testatrix sign the instrument; she did not say it was her will, but said she acknowledged it to be her signature for the purposes therein mentioned. She requested him to sign his name as a witness, and after he had written his name as a subscribing witness, she discovered that he had omitted to write his place of residence, and requested that it should be done. This witness testified, also, that he never saw the testatrix previous to the time of her signing the instrument, and remained in the room where it was signed not longer than ten or fifteen minutes. On this evidence, the surrogate admitted the instrument to probate. Some of the heirs and next of kin appealed from his decision to the circuit judge of the first circuit, who confirmed the decree of the surrogate. The heirs and next of kin then appealed to the chancellor, who, on the 6th October, 1840, *reversed* the decree of the surrogate, and directed the proceedings to be remitted, in order that administration might be granted as in case of intestacy.<sup>(t)</sup> From the decree of the chancellor, the executrices named in the instrument propounded as a will, appealed to the Court for the Correction of Errors. That court affirmed the decision of the chancellor, and determined that the instrument was not well executed as a will, for want of a due observance of the provisions of the statute now under consideration, requiring the testator, at the time of subscribing or of acknowledging his subscription, to declare the instrument so subscribed by him to be his last will and testament.

Chief Justice Nelson, in delivering his opinion, recurs to the previous law, and points out the alteration introduced by the Revised Statutes, and, after declaring the necessity of a substantial compliance with the new provision, concludes as follows: "But whatever may be the mode that may hereafter be approved, by which the testator may

(s) 26 Wend. 325.

(t) See 8 Paige, 491, *et seq.*

indicate that the instrument the witnesses are requested to subscribe, as such, is intended as his will, it is entirely clear nothing to that effect appears, directly or indirectly, in the case before us. Not one word or sign, or even act, passed within the hearing or presence of the witnesses at the time of the execution, tending to this effect. The testimony presents the bald case of an execution, according to the forms of the old law, without at the time adverting to the new provision. The instrument in question cannot, therefore, be upheld, without a virtual repeal of the statute; and though I may not admire the wisdom of the change, but have preferred the solemnities, as I think heretofore understood in this state, and have been settled by the recent act in England, we shall unquestionably best consult our duty as well as the interest of all hereafter concerned in testamentary dispositions, by giving full force and effect to the statute, fixing thereby a well-known and permanent rule for their guide. I shall, therefore, vote to affirm the decree of the court below."

And Senator Verplanck; in his opinion, after commenting upon the general principles and rules governing the right of testation, and after considering the previous law, and the alteration made by the Revised Statutes, pronounces his conclusions upon the case in question as follows:

"Here the evidence shows, conclusively, that the testatrix made no verbal declaration to the witnesses, did not cause them to read any written declaration, nor in any other way render it clear that she might not have thought the instrument signed and acknowledged was a deed or lease, instead of a will. There was, at the end of the instrument, an attestation clause, setting forth, in the customary form, that the testatrix "acknowledged to each witness that she subscribed the same, and declared it to have been her last will and testament." This, if the witnesses had been asked to read it by the testatrix, or in her hearing, would have been a silent, but clear, declaration. But it was not read by them. The appellant's counsel maintained that, knowing the contents of the will, and the concluding attesting clause, the testatrix, when she acknowledged her signature "for the purposes therein mentioned," made the declaration her own, as much as if she had distinctly repeated it, so that she virtually declared her signing to be for the purpose of authenticating her last will and testament. This might tend to show her intent; and if she had shown that clause to the witnesses, or had it read by another person, and assented to it, that would have been a declaration—a making known her will to the witnesses. But, presuming the written attestation to have been correctly understood by the old lady; it was in fact merely a declaration, written to be made known thereafter to others, and not one made at the time to the witnesses." And, after adverting to the evidence necessary to prove a declaration, the learned senator proceeds: "Here, however, we have the testimony of the subscribing witnesses themselves, direct and positive, that they did not read this declaratory clause, and that nothing passed that could indicate any intent to inform them that they were witnessing a will, and not a deed or lease. The circumstance of the testatrix having directed the addition of the witnesses' residences

to their names, tends to show her own knowledge of the character of the instrument, (though not conclusively,) but proves nothing as to any design of thus indirectly informing others that this was her will, since neither she nor they might know that this was the peculiar mode required by law for the attestation of wills." "This, therefore," says the learned senator, in closing, "is incontestably a case where the open evidence of knowledge and intent, demanded by our law, in order to exclude the possibility of delusion or deception, and to authenticate wills, has not been furnished. The will has, therefore, not been proved according to law, any more than if it had but a single witness; and the estate must pass under the general laws of descent and distribution. I place my opinion exclusively upon this ground."

This judgment has settled the law of this state, that a publication of the will by the testator, by an open, distinct, and intelligible communication at the time of subscription or acknowledgment, is requisite to its due execution. That it is not sufficient that the testator knows that the instrument he is in the act of executing is a will, and understands its contents, but he must convey to others a positive and unequivocal intimation and understanding that the instrument is his will, and that he knows and executes it as such. The circumstance appearing in the testimony in this case, that the testatrix directed one of the witnesses to write, opposite to his signature, his place of residence, a formality not prescribed for the execution of any other instrument than a will, and especially prescribed in that case, raises a strong presumption that the testatrix herself knew and understood the nature and character of the instrument she was executing. But this decision establishes that the provision of the statute under examination requires more than this, and is not satisfied unless the testator, either directly or indirectly, expressly communicate, so that the witnesses may so understand it, the fact that the paper is his will.

Upon this principle, the case of the will of Mungo Currie, deceased, before the surrogate of the county of New York, was decided. In that case, the will was in the handwriting of the testator. One of the witnesses testified that he saw the instrument executed by the testator. It was not written, nor was it read to or by the testator in the presence of the witness. At the time the will was executed, the testator was in bed. He asked an old woman who was in the room to hand him some papers. From these papers, the testator took out his will. He asked for a pen and ink, and signed it, and asked the witness to subscribe it as a witness. The testator did not say what the paper was, and the witness did not know what it was, but presumed it was a will. After he subscribed it as a witness, Mr. Currie, the testator, said to the old woman in the room, "Now do you sign it;" she replied that it was so long since she had written, she did not think she could write her name; but, addressing herself to Mr. Currie, she said, "If my daughter sign it, it will answer the same purpose." Mr. Currie replied, "Yes, it would." The will was then carried into the front room, and signed by the daughter. The witness thought the daughter must have heard what had passed in relation to the paper in the back room. After the will was so signed by the daughter, it was handed back to the testa-



tor, who then inclosed it in a letter, directed to the executor, and requested the witness to deliver it, which he did accordingly. The other witness testified that her name, subscribed to the will as a witness, was her name; that Mr. Currie asked her to sign a paper as a witness; she told him she did not think she could write, and she would let her daughter sign it for her; and the name of the witness to the paper was as written by the daughter of the witness. She saw Mr. Currie sign a paper, but what it was, she did not know, nor did she know that it was the paper produced. When Mr. Currie had signed the paper, he requested the witness to sign it. After Mr. Currie had signed it, the witness took it into the front room, and got her daughter to write the name of the witness to it. When Mr. Currie handed her the paper, he said, "Do you sign this;" this was all he said. The word will was never mentioned by Mr. Currie, nor by any other person present at the time.

The surrogate, after referring to, and quoting the case of the will of Dorothea Brinckerhoff, thus proceeds: "Let us now compare the case of Mr. Currie's will with that of Mrs. Brinckerhoff. Mr. Currie's will contains no other attestation clause than this, 'sealed, signed and delivered in the presence of these witnesses, and of each other.' In that case, as in this, nothing was said by the testatrix about this being a will. In that case the testatrix asked the witnesses to affix to their names their places of residence, which was relied upon as showing she knew it was her will. In this case the will was in the handwriting of the testator. This is also evidence of his intending it to be his will; but in this case, as in that, it is not sufficient to show an execution of the instrument, according to the provisions of the statute. I am compelled, by what I deem a proper construction of the words of the statute, and by the case above referred to, to declare that this instrument cannot be admitted to probate as the last will and testament of Mungo Currie, deceased." Upon appeal to the circuit judge of the first circuit, the Hon. William Kent, circuit judge, affirmed the sentence of the surrogate.

So, in *Brown v. De Selding*,<sup>(u)</sup> where J., one of the witnesses, testified that the testatrix, when about to sign the will, inquired "where she must sign the will, and upon the place being pointed out, took the pen, and signed;" and Z., the other witness, testified that the testatrix "distinctly asked where the proper place was for her to sign," and both witnesses agreed that, besides this inquiry, the testatrix did not say any thing, nor was any thing said by any other person, on the subject of the will, at the time the paper was signed. The court, Mason J., after remarking that it is true that the witness understood, from what passed, that the instrument was a will, and that such was the understanding of the testatrix also, but that there was no recognition of it as her will in the presence of the witness Z., beyond the simple signature, nor in the presence and hearing of the witness J., unless she used the word "will" when she asked about the place to sign, thus state their conclusions upon the case: "It is fairly to be inferred, in the case of Mrs. Brinck-

(u) 4 Sandf. Sup. Ct. Rep. 10.



erhoff, that all parties also understood the paper to be a will. One of the witnesses put his residence opposite to his name, and the testatrix remarked to the other witness that he had not done so, and required him to do it, which would have been unnecessary in attesting the execution of any other instrument. But, yet, the court held the acknowledgment to be insufficient. If we should hold the mere signing of a will, or any act equivalent to it, without any declaration, either before or after signing, in the presence and hearing of each of the witnesses, to be a sufficient publication, we should disregard the plain letter of the statute, which requires that the testator shall, at the time of making the subscription, *declare* the instrument to be his last will. The utmost that can be said of this case is, that the conduct of the testatrix implied the instrument to be her will. But no declaration of any kind is pretended. We do not see how it is possible to get over this defect.”(v)

## WHEN THE PUBLICATION SHALL BE MADE.

The clause of the statute at present under consideration provides that the testator, at the time of making the subscription, or at the time of acknowledging the same, shall declare the instrument *so subscribed* to be his last will and testament. “The provision,” says Assistant Vice-Chancellor Hoffman, in *Heyer v. Burger*,(w) “seems clearly to require that the declaration must follow the subscription;” and, in that case, where, after the will was read over to the testatrix, she was asked whether it was to her wishes, and she replied “Yes,” in a very languid tone, and afterwards signed it, by making her mark; and, after making the mark, did not speak a word, and had lost the power of articulation completely; the learned assistant vice-chancellor considered that the instrument was void as a will, on the ground that this provision of the statute was not complied with; but the point was not necessarily involved in the determination of the case.

In *Doe v. Roe*,(x) however, this question was expressly determined. This was a feigned issue, pursuant to 2 R. S. 66, sec. 57, to try the validity of the last will and testament of Isaac L. Van Alstyne, deceased. On a motion for a new trial on a bill of exceptions, it appeared that two of the witnesses to the will were sent for at the time of the execution, and when they came into the room, they were told by Dr. Root, the testator’s physician, in Van Alstyne’s presence, that they had been called in to witness the will. Dr. Root then read the will to the testator, and asked him if that was his last will and testament, to which he replied in the affirmative. The testator then signed the will, and the three witnesses subscribed their names to the attesting clause in his presence. Dr. Root then inclosed the will in a wrapper, and indorsed it, and, by the direction of the testator, delivered it to one of the other witnesses for safe keeping. Upon this case, Mr. Justice Harris, delivering the opinion of the Supreme Court at general term, says: “I cannot

(v) See, also, *Grant v. Grant*, 1 Sandf. Ch. Rep. 235.

(w) 1 Hoff. Ch. Rep. 1, 20.

(x) 2 Barb. Sup. Ct. Rep. 200.

doubt that when the testator was asked by Dr. Root, after the instrument had been read to him, whether it was his last will and testament, his reply in the affirmative was a sufficient publication of the will to answer the requirement of the statute. Nor do I regard it as at all material in what order the various steps necessary to constitute a due execution of a will take place. The publication is required to be *at the time of signing*. The clear intent of the statute is, that the testator shall not sign the will at one time, or on one occasion, and at another time, or on another occasion, declare it to be his will. Both shall be done at the same time, on the same occasion; but which shall be done first in the order of time; whether the testator shall declare the instrument he is about to sign to be his last will and testament, or shall first subscribe the paper, and then make the declaration of his purpose, is immaterial. It is enough that the two things are not done at different times." And after referring to the above-quoted remark of Assistant Vice-Chancellor Hoffman, the learned justice thus proceeds: "But a reference to the case in which the remark was made, will show that the question was not necessarily before the court; and the form of expression used by the assistant vice-chancellor shows that it was with him a question of first impression, and that he only intended to express such impression. This impression is undoubtedly derived from the phraseology found in the statute. The language is, that the testator shall declare the instrument "so subscribed" by him, to be his last will and testament. The instrument which is to be declared to be a will, it is insisted, must be a *subscribed* instrument. But I think the language of the statute, in this respect, may properly be regarded as descriptive of the paper, and not as intended to prescribe any particular, in which the several acts necessary to constitute a valid execution of a will shall in fact be done. In contemplation of the statute, all are to be done at the same time. Neither of the four acts, which, united, make a valid execution of the instrument, may be done at a different time from the rest. If the instrument has, in fact, been signed at a previous time, then the signature must be acknowledged to the subscribing witnesses, which is deemed to be equivalent to a new signing of the instrument. I am clearly of opinion, therefore, that a will is duly executed when the several acts required by the statute have been performed *at the same time*, whatever the order in which such acts may be severally performed. If this be so, and I am right in my conclusion that the mode of declaring the instrument to be the will of the testator was a substantial compliance with the statute in this respect, it follows that there was in this case a sufficient publication."(*y*)

WHETHER THE WITNESSES MUST KNOW OR HAVE THE OPPORTUNITY TO  
HEAR AND TAKE COGNIZANCE OF THE DECLARATION.

A question has arisen upon this clause of the statute, whether the declaration required of the testator, that the instrument is his will, must be made to the attesting witnesses, or in their presence, in such

(*y*) See, also, *Keeney v. Whitmarsh*, 16 Barb. S. C. Rep. 141; *Leaycraft v. Simmons*, 3 Bradf. Surr. Rep. 35; *Rieben v. Hicks*, Ib. 353; *Lewis v. Lewis*, 1 Kernan, 220.

terms or in such a manner as to enable them to know the fact that it was so made. The plain words of the whole section, and its general policy and intent, would seem to leave little room for doubt or construction on this point. After providing for the subscription by the testator at the end of the will, the second subdivision, as has been seen, requires that the subscription, or the acknowledgment thereof, shall be made in the presence of each of the attesting witnesses, and then comes the provision in question, that the testator, at the time of making the subscription, or at the time of acknowledging the same, shall declare the instrument to be his will. The purpose of the legislature, here apparent, it is conceived, is to demand two or more attesting witnesses to each of the acts connected with the execution. The testator, in the presence of the witnesses, is to subscribe, or to acknowledge his subscription to the instrument, and at the same time to declare it to be his will. The witnesses are certainly to take notice of the subscription or acknowledgment, and it is hardly reasonable to suppose that the legislature could have intended to require a declaration to be made at the same time, which they were not to take notice of. The inference is almost irresistible, that the witnesses are to take cognizance alike of both these acts of the testator. If the testator subscribe the instrument in the presence of the witnesses, and at the same time declares it to be his last will, and the witnesses take the cognizance of the one act on the part of the testator, they must necessarily hear or comprehend the other. Whether the particular language used, or sign made, or act performed, amounts to a declaration, is another question, but in what manner soever the declaration be made, as it is required to be made at the time of the subscription or acknowledgment in the presence of the witnesses, of which they must have knowledge, it would seem to be equally required that they should know or perceive the declaration. It is submitted that the intention and meaning of the legislature, to this effect, are distinctly expressed by the language used, and that the section does not need any other or additional words to declare this intention and meaning.

The legislature are to be supposed to have recognized, as an indisputable physical necessity, that the testator could not subscribe the instrument in the presence of the witnesses, and at the same time declare it to be his will, without the witnesses, if they saw or understood the act of subscription, being informed of the declaration. The fact, whether they were both so informed of the declaration, may depend for its proof upon testimony other than that of the attesting witnesses themselves. But where, for instance, the testator so executes the will as that only one of the witnesses understands or notices the declaration, and the other denies such understanding, or notice and knowledge of the same is not brought home to him, the will, on the plain words of the statute, is not well executed; and this would be so, never mind how distinct or explicit may have been the declaration to the one who was made aware, and took cognizance of it. The proof, in a particular case, certainly might give rise to a reasonable legal inference or presumption that the second witness must have been made cognizant of the declaration to the first, notwithstanding his

denial. The proof, indeed, might establish a declaration to the knowledge of both the witnesses, although both denied or failed to recollect it. But this, plainly, would not vary the force or effect to be allowed to this requirement of the statute.

The section, then, it is believed, demands that the declaration that the instrument is his will, alike with the subscription or acknowledgment thereof, by the testator, must be made in the presence of both the attesting witnesses, in such a manner as that they shall both have knowledge of the same.<sup>(z)</sup> And in the discussions, in the case of the will of Dorothea Brinckerhoff, deceased, heretofore referred to, where, however, this particular question was not, strictly speaking, an issue to be decided, such was the interpretation put upon this provision of the statute. In *Brinckerhoff v. Remsen*,<sup>(a)</sup> Chancellor Walworth says: "No particular form of words is necessary, under this statutory provision, to communicate the information from the testator *to the attesting witnesses*, that he knows and understands the nature of the instrument he is executing, and that he intends distinctly to recognize it as his will." And in the same case, on appeal in the Court of Errors,<sup>(b)</sup> Chief Justice Nelson uses this language: "I agree that no form of words will be necessary; that the legislature only meant there should be some communication *to the witnesses*, indicating that the testator intended to give effect to the paper as his will." And, still more distinctly and emphatically, Senator Verplanck declares his opinion as follows: "When, therefore, it was determined that such a declaration should be made essential to the due proof of wills, as the necessary evidence of the testator's real intent, it was expressly enacted, that this declaration should be made *to each attesting witness*, at the time of execution or acknowledgment. How, then, can this positive requirement be satisfied, except by the testator personally making the fact of his own understanding and intention *known to the witnesses, at the time, by such express words or signs as could leave no doubt in their minds?* This provision is just as imperative as that requiring two witnesses to the will, though otherwise a single one might ordinarily be sufficient."<sup>(c)</sup>

And in *Hunt v. Mootrie*,<sup>(d)</sup> Mr. Surrogate Bradford pronounces the law upon the publication of a will as follows: "There must be mutuality as to the knowledge of all the parties, testator and witnesses, in respect to the nature of the transaction. The declaration must be made to each of the witnesses at the time of subscribing or acknowledging, and as part of the transaction."<sup>(e)</sup>

The cases which have arisen, involving this distinct question, do not, however, it should be remarked, leave it entirely free from difficulty. In the case of the will of Henrietta Hicks, there were two witnesses, Terry and Rudd. Terry testified that the testatrix acknowledged the

<sup>(z)</sup> *Torrey v. Bowen*, 15 Barb. Sup. Ct. Rep. 304.

<sup>(a)</sup> 8 Paige, 498.

<sup>(b)</sup> 26 Wend. 325.

<sup>(c)</sup> See, also, *Ex parte Beers*, 2 Bradf. Surr. Rep. 164; *Wilson v. Hetterick*, Ib. 431; *Lewis v. Lewis*, 1 Kernan, 220, 225.

<sup>(d)</sup> 3 Bradf. Surr. Rep. 322, 339.

<sup>(e)</sup> See, also, *Torrey v. Bowen*, 15 Barb. 304.

instrument to be her handwriting and her will. "I held the will," said he, "in my hand, and asked her if it was her handwriting, and she said 'Yes.' I asked, also, if it was her will, and she said 'Yes.'" The witness Rudd testified as follows: "The paper was signed by Miss Hicks, the testatrix. She did not say any thing that I remember of to me. I did not hear of any declaration, by the testatrix, what the instrument was. I do now recollect that I did not hear her say any thing as to what it was." Upon this testimony, the surrogate (the late Hon. Charles McVean) determined that the instrument was not sufficiently published as a will, and pronounced his opinion as follows: "The witness Terry states, distinctly, that when he asked the testatrix if it was her will, she answered 'Yes.' There is no objection, in point of form, as to the declaration that she is thus interrogated, and that she responds in the simple affirmative. It is a good declaration as to Terry, but the form in which it was made makes it difficult to say that it extended further than him, or that it was intended to extend further than him. If the issue was, whether the testatrix then and there made the declaration that the instrument was her will, the affirmative testimony of Terry would decide it at once that she did. The issue is, did she make the declaration to both witnesses. That she made it to Terry is clear. Had Terry testified that she, addressing both, in the presence and hearing of both, had said, 'This is my will,' or had he even testified that he had asked her whether she declared to him and Rudd that it was her will, and she answered 'Yes,' and that Rudd was within hearing distance, and apparently attending to what was said, there would be such a conflict of evidence as might perhaps authorize a court to say that he did hear the declaration; notwithstanding that he testifies, positively, that he recollects that he did not hear it. There is no evidence here that it was even intended that he should hear it, or that he was addressed on the subject by any one in her presence. The evidence of both may stand, and the evidence of both has failed to satisfy me that she made to Rudd, in any manner, the declaration that the instrument was her will. There is no direct affirmative evidence that she did, and he testifies now positively that he did not hear it, and the proof of the fact depending entirely on the sense of hearing, is of the most positive character that the nature of the case will admit of. The law declares, in effect, that the declaration should be made to him, and if it be true that he did not hear it, the declaration was not made to him. It was not "made known" to him that it was her will, and if it was not made known to him by her, it was not declared by her to him.(f)

The decree of the surrogate in this case was, however, on appeal, reversed by the circuit judge of the first circuit; and, on such reversal, the circuit judge pronounced his opinion upon this part of the case as follows: "The surrogate, understanding from the testimony that the testatrix did, at the time of signing the will, declare to one of the witnesses that it was her will, supposed that the true issue was, whether she 'did make the declaration to both the witnesses.' It appears to

(f) *Will of Dorothea Brinckerhoff*, 26 Wendell, 325.



me that such an issue is not warranted by the statute, but that, on the other hand, to allow it would be to add a restriction which the statute has not contemplated and does not require. The requirement of the statute, (g) in the third paragraph of the section, is, that the publication shall be made 'at the time of making the subscription, or at the time of acknowledging the same:' not that it shall be made 'to' any person, but that it shall be made at that time. Chief Justice Nelson, in *Remsen v. Brinckerhoff*, (h) in reasoning upon this provision, infers that as the publication must be at the time of signing or acknowledging, it must, therefore, be made in the presence of the witnesses. The statute would seem to be satisfied if the publication was made at the time of signing, &c., and the statute, and that decision together, would be satisfied if it was done at that time, and in the presence of the witnesses; but neither the statute nor that decision require, not only that it shall be made at that time, and in the presence of the witnesses, but to them, and to both of them. Yet the surrogate seems so to understand the rule. He says: 'If the issue was, whether the testatrix then and there made the declaration that the instrument was her will, the affirmative testimony of Terry would decide it at once that she did.' That, as I understand the statute, and the decision of the Court of Errors, was precisely the issue raised; and the only one that could be raised in that regard. The other issue, 'whether she made the declaration to both the witnesses,' is beyond the statute; yet, as I understand the decision of the surrogate, it was because the affirmative of that issue was not made out, that he refused to admit the will to probate. In this I think he erred. He had no authority to impose the additional restriction that the publication should be made to the witnesses and to both of them. It was enough that it was made at the time of the signing, and in the presence of the witnesses; and I agree with him in the opinion that the affirmative testimony of Terry establishes the fact that the testatrix did so publish the will."

In *Brown v. De Selding*, (i) however, the court considered that it was "definitely settled by the court of *dernier* resort, in *Remsen v. Brinckerhoff*, that there must be some communication *to the witnesses* by the testator, indicating that he intended to give effect to the paper as his will." In that case there were two witnesses, Johnson and Zevely, and in respect to Dr. Johnson, there was, perhaps, some evidence of a publication of the will. At his own instance he procured the attendance of the witness Zevely. When Mr. Zevely came into the room, he was introduced to the testatrix by the doctor. The testatrix inclined her head in recognition, but did not speak. From a difficulty in her breathing, it was apparently hard for her to talk. Soon after, or at the time of his introduction, according to Mr. Zevely's testimony, Dr. Johnson mentioned to the testatrix that Mr. Zevely was a person whom he had invited to come in and witness her signature to her will. Johnson, in his testimony, stated all the conversation which he remembered, but did not give this remark. He testified that the testatrix

(g) 2 R. S. 63, sec. 40.

(i) 4 Sand. Superior Court Rep. 10.

(h) 26 Wend. 332.



"inquired where she must sign the will, and, on the place being pointed out, she took the pen, and signed." Zevely testified, "she distinctly asked where the proper place was for her to sign." In a subsequent portion of his testimony, he spoke of "her question about where to sign," and in another, of "her asking the question about the place where to sign the will." The will was signed in the presence of Dr. Johnson and Mr. Zevely, and they thereupon signed their names as witnesses, in the presence of the testatrix. No conversation took place between the witness Zevely and the testatrix at the time the instrument in question was signed, and none (other than what is above specified) occurred between her and Dr. Johnson, nor was there any conversation on the part of any other person, except in reference to other matters.

On this state of facts the court observed: "Now, in this case, nothing whatever was said by the testatrix, except the single question where she must sign. Dr. Johnson says she asked where she must sign the will; but it is evident he does not intend to give the exact words. Mr. Zevely says she distinctly asked, 'where was the proper place for her to sign.' But the will was not read to her before signing it, nor did she read it, or say any thing concerning its contents, nor was any thing said or done by her in the presence and hearing of the witness Zevely, by which she signified to him that the paper subscribed by her was her last will; she merely signed the paper, and did not even acknowledge her signature, as was done by Mrs. Brinckerhoff. It is true that the witness understood, from what passed, that the instrument was a will, and that such was her understanding also; but there was no recognition of it as her will in Zevely's presence, beyond the simple signature, nor in the presence and hearing of Dr. Johnson, unless she used the word 'will' when she asked about the place to sign." And the court, as has already appeared, (j) concluded as follows: "If we should hold the mere signing of a will, or any act equivalent to it, without any declaration, either before or after signing, in the presence and hearing of each of the witnesses, to be a sufficient publication, we should disregard the plain letter of the statute, which requires that the testator shall, at the time of making the subscription, *declare* the instrument to be his last will. And the court reversed a decree of the surrogate, admitting the will to probate."

In *Van Wyck* against *Seymour*, (k) recently decided in the Court of Appeals, the question was as to the validity of the execution of a codicil to the will of Peter R. Maison, deceased. The case came on upon an appeal from a judgment of the Supreme Court, reversing a decree of the surrogate of the county of Westchester, admitting the codicil to probate. There were two witnesses to the alleged codicil, Caleb Roscoe and Caroline See. Roscoe testified that he was sent for by the testator; that he went into the room where the testator was confined to his bed, and that the testator said to him, "I have sent for you, and want you

(j) *Ante*, p. 89.

(k) Decided by the Court of Appeals, 23d October, 1851; 2 Selden, 120. The above statement of this case was prepared from the papers used in the Court of Appeals before the publication of 2d Selden.

to take notice whether you think I am in a rational state of mind, and sufficient mind and memory to make a will; for I have long wanted to make an alteration in my will, and now I have had Jane Ann to write a codicil to my will, and had my wife and every one away, and no one to influence me, and I want you now to write to it what is necessary, and witness it." The witness then said it would require two witnesses, and Caroline See was sent for. He had the paper in his hand at the time, and the testator told him to date it. He then sat down, and wrote at the foot of the codicil, "Signed by the testator, in presence of the undersigned witnesses, July 29, 1848." When the testator said "date it," his daughter, who was present, said, "I have dated it, pa," to which he said, "No matter; date it again," which the witness said conveyed to him the idea that the attestation should be dated also. Caroline See having come in, and a book having been placed before the testator, the witness turned the paper round on the book to the testator, gave him the pen, and he subscribed it. The two witnesses then also subscribed it. The testator said something to Caroline See, but he did not recollect particularly what he said to her. After the paper had been subscribed and attested, the testator requested the witness to put the codicil up with the will, in an envelope which had contained the will, and seal it up, and to write the executors' names on the envelope. The witness turned the paper over, and asked the testator to name the executors, which he did, and the witness wrote them down. He then inclosed the paper in the envelope, and sealed it, and the testator requested that it might be opened when all the executors were present. He did not profess to give the terms the testator used; but he said the testator spoke of the paper several times, as being a codicil to his will, both before and after he signed it, but he did not recollect any declaration by the testator from the time the codicil was laid before him for him to sign, until he directed him to write the names of the executors upon it. He did not recollect any distinct declaration of the codicil at the time of signing it. He did not recollect that the testator made any declaration about the paper he signed being a codicil, nor that any thing was said to him or in his presence by any other person about its being a codicil, after the other witness came into the room on that occasion, and while she was in the room. He did not know whether the other witness was in the room when the testator directed him to write the names of the executors upon the envelope.

Caroline See, the other witness, testified as follows: "The testator signed the will in my presence, and requested me to witness it. The testator said, 'You see I am in my right mind.' I bowed my head. He said 'Speak,' and I said 'Yes.' He said, 'I want you to sign your name to that paper.' He was raised up in the bed, called for the paper, pen, and ink, and put his name to it. Then Mr. Roscoe signed his name to it; then I put mine; that was the end of it. I left the room. I heard nothing said what was in the paper at that time." She further testified that the paper was folded, and a seal called for; that she then left the room; that she left before it was sealed; that she did not hear the paper read, and that it was not read in her presence; that the testator, whilst she was in the room at the time he signed the alledged

codicil, did not say, publish, or declare that it was his last will or codicil, or any thing of that kind, and that he did not on that occasion, in her presence or hearing, speak the word "will" or "codicil;" that she knew it was a codicil by seeing the word "codicil" written at the head of the paper. She did not read the paper any further than that she saw "codicil" at the head of it, and noticed the name of one of the executors on it. Those words were coarse writing, and those words struck her eye.

The testator was nearly seventy-seven years of age, and in a very feeble state of health at the time of the alleged execution of the codicil, and there was a question as to the soundness of his mind at the time, and, also, whether the codicil was not procured by restraint or undue influence.

Foot, Justice, delivered the unanimous opinion of the court, as follows:

"The circumstances under which the codicil in question was prepared and executed are unusual and unsatisfactory. The mildest result which can legitimately flow from them, is a close scrutiny of the evidence of its execution, for the purpose of ascertaining whether the requirements of the law have been complied with; as these requirements are guards which the statute has set round the feeble in body and mind, to protect them and the real objects of their bounty from imposition and fraud. The rule is well settled that the fact must be established by some satisfactory proof that the testator, at the time of subscribing his name to the will, or acknowledging his subscription, declared the instrument to be his last will and testament in the presence of each of the two subscribing witnesses.

"In this case there is not satisfactory proof that the testator, at the time he subscribed the codicil in question, declared it to be a codicil to his will in the presence of Caroline See, one of the subscribing witnesses. Judgment affirmed, with costs of this appeal to be paid by appellants."

If the witness Roscoe, in this case, is to be understood as testifying that the testator used the term codicil or will when he directed the witness to inclose the codicil in the envelope with the will, and seal it up, then the testimony of the witness Caroline See to the effect that seals were called for before she left the room, would seem to leave no doubt that the testator spoke of the paper as a codicil to his will in her presence. This, then, might be taken as a declaration in her presence, but one which she did not hear, or of which she did not take any notice; and if the court so understood the testimony, then this judgment must be regarded as deciding that a declaration, of which one of the attesting witnesses does not take cognizance, or knowledge of which is not brought home to him, is not such a publication of the will in the presence of the attesting witnesses as is required by the statute. This question upon the testimony is not, in any way, adverted to in the judgment; but the court, it would seem, did not consider the proof as satisfactory, that there was any declaration or publication in the presence of the witness Caroline See. In this view of the testimony, the most that the court were called upon to decide, and all which they do decide, is,

that the presence of both the witnesses is necessary at the time of the declaration; but the question whether both the witnesses must have actual knowledge of such declaration, in order to the due execution of the will, is not directly passed upon, and this question remains to be authoritatively determined. It was regarded as one of some interest, and, therefore, demanding an examination in these pages.

#### WHAT SHALL CONSTITUTE A SUFFICIENT PUBLICATION.

It has heretofore been stated that it is not necessary that the testator should adopt any particular phrase or form of expression in making the declaration required by the statute. The use of the precise term "declare," following the language of the statute, is not absolutely requisite. In *Seguine v. Seguine*,<sup>(l)</sup> where all the witnesses agreed that, at the time of executing the will, and immediately upon finishing his signature, the testator laid down his pen, put his finger on the seal, and said, "I acknowledge this to be my last will and testament;" it was held that this was a sufficient publication. "If," said the court, "he had used the words 'I declare this to be my last will and testament,' it would have been a literal compliance with the statute. But can that be necessary? I am not aware of any case, especially on a remedial statute, where it has been exacted. The object of the statute was to secure evidence that a testator, when he executed the instrument, knew that it was a will, and not an indenture or deed of a different character. That object has been fully attained in this case, and it is most manifest that the testator knew and intended to make known or communicate to the attesting witnesses, by words and signs, that the paper which he then subscribed was his last will and testament."

The reading of the will in the presence of the testator and the subscribing witnesses, and its subscription by all the parties in the presence of each other, is ordinarily sufficient evidence of a testamentary declaration, and of a request to the witnesses to attest the instrument. The minds of the parties meet on the essential points, through the medium of the reading, and acquiesce or consent to the attestation. No particular form is requisite in these respects, except that the testator shall communicate to the witnesses that it is his will, and he desires them to attest it. This can be done by reading and other acts, performed by a third person, provided an intelligent assent on the part of the testator be shown.<sup>(m)</sup> And if the testator himself read the will aloud to the subscribing witnesses, of course that is equally effectual.<sup>(n)</sup>

It is a publication of the will, within the requirement of the statute, if the will be read over to the testator in the presence of the witnesses, and on being asked whether that is his last will and testament, the testator reply in the affirmative.<sup>(o)</sup> And even where the will is not

<sup>(l)</sup> 2 Barb. Sup. Ct. Rep. 393.

<sup>(m)</sup> *Moore v. Moore*, 2 Bradf. Surr. Rep. 261. See, also, *Carle v. Underhill*, 3 Bradf. Surr. Rep. 101; *Carroll v. Norton*, Ib. 291.

<sup>(n)</sup> *Carle v. Underhill*, 3 Bradf. Surr. Rep. 101. See, also, *Gombault v. The Public Administrator*, 4 id. 226; *Nipper v. Groesbeck*, 22 Barb. Sup. Ct. Rep. 670.

<sup>(o)</sup> *Doe v. Roe*, 2 Barb. Sup. Ct. Rep. 200; *Carroll v. Norton*, 3 Bradf. Surr. Rep. 291; *Tunison v. Tunison*, 4 id. 138.

read over in the presence of the witnesses, if the testator, in answer to the question put to him in their presence, whether he declares the instrument to be his will or whether it is his will, says "Yes," this, it would seem, is a sufficient publication.<sup>(p)</sup>

So, if a paper commencing with a testamentary declaration, but not having an attestation clause, be read over to the testator in the presence of the witnesses, and he approves of it, and, nothing more being said by any of the parties, immediately consummates the execution, there is a sufficient publication of the will. Thus, in a case before the surrogate of the county of New York,<sup>(q)</sup> where the paper was as above described, and one of the witnesses was sent for by the testatrix to alter a will previously drawn to a later date, and the witness wrote an additional clause, written and dated on the 9th May, 1851, at the dictation of the testatrix, and then, in the presence of the other witness, read the whole paper to the testatrix, and he then signed it for her by her direction, but he did not recollect that she said that the paper of the 9th May was her last will, but she said it was all right, and himself and the other witness then signed as witnesses at the request of the testatrix; it was held that the evidence established a substantial declaration by the decedent of the testamentary character of the instrument at the time of its execution. "Independently of all the other circumstances," said the surrogate, "if there was nothing else than the reading of the whole instrument aloud and the approval of the testatrix, I think that would be a sufficient testamentary declaration."

Where the testator was deaf, and the usual mode of communicating with him was by writing on a slate, and upon the execution of his will the question was written on the slate, "Do you wish to make your will now?" to which he nodded in approval; and, after reading the will, and subscribing it, he was again asked, "Is this your will?" to which he gave assent by nodding his head; this was held to be a publication of the will.<sup>(r)</sup>

A statement by one of the witnesses to the other, at the time of the subscription by the testator, and in his presence and hearing, that the instrument is his will, it seems may be considered a compliance with the statute.<sup>(s)</sup> But the information in such a case should be distinct and unequivocal. And where the proof was that when one of the witnesses, on coming into the room where the testator and the other witness were, inquired what it was they wanted him to sign, the other witness, who had drawn the will, replied that it was "the will or agreement" of R. R., the testator, and the testator was silent, it was held that this was too indefinite.

Again: in all cases the declaration must be distinct and unequivocal. The policy and object of the statute require this, and nothing short of this will prevent the mischief and fraud which were designed to be

<sup>(p)</sup> *In the Matter of proving the will of H. Hicks, deceased, Ante*, pp. 92, 93.

<sup>(q)</sup> *Campbell v. Logan*, 2 Bradf. Surr. Rep. 90.

<sup>(r)</sup> *Gombault v. The Public Administrator*, 4 Bradf. Surr. Rep. 226.

<sup>(s)</sup> *Rutherford v. Rutherford*, 1 Denio, 33.



reached by it.<sup>(t)</sup> Thus, where the decedent, at the time of asking the witnesses to attest the alleged will, said, "I declare the within to be my free will and deed," it was held that this was not a sufficient declaration of the instrument as his will.<sup>(u)</sup> "The declaration," said Mr. Justice Allen, delivering the opinion of the Court of Appeals, "that the instrument was his free will and deed, was equivocal, and would be satisfied by a deed executed voluntarily. It did not necessarily inform the witnesses that it was a will by excluding every other instrument from the mind. From the expression, they could not know that the testator did not suppose the instrument was a deed. It is a very common form of acknowledgment of the execution of a deed, to acknowledge it as the 'free act and deed' of the party, and the expression of the decedent varied but little from this form."

Where the decedent, at the time of signing the alleged will, said to the witnesses he had "an instrument in writing" he wanted them to witness, and no other statement or declaration was made, although the whole instrument was in the handwriting of the decedent, and although the witnesses understood from the circumstances and from looking at the paper, and one of them from reading a part, if not the whole, of the attestation clause, that the instrument was a will, yet it was held that the instrument was not published by the decedent as his will, as required by the statute, and sentence was pronounced rejecting the will.<sup>(v)</sup>

In *Hunt v. Mootrie*,<sup>(w)</sup> Mr. Surrogate Bradford lays down the law, in respect to a constructive publication of a will, as follows: "If any thing is to be taken as a substitution for an express declaration, it must be such an act as is clear and unequivocal, and as gives the basis of a necessary inference that the testator conveyed, intended to convey, and knew that he had conveyed to the minds of the witnesses, that he executed the paper as his last will and testament. There must be mutuality as to the knowledge of all the parties, testator and witnesses, in respect to the nature of the transaction. We cannot spell out and guess at this mutuality. It must be evinced with reasonable definiteness by the party."

Again: so material a part of the important affair of executing a will as the declaration, cannot be left to the interpretation of a laugh, to mere guess, surmise, or conjecture. Where both the witnesses concurred in the statement that the decedent simply acknowledged his signature, and, pointing with his pen to the attestation clause, requested them to sign as witnesses, and state their residence under their signatures; and they affirmatively disproved any testamentary declaration, but from extraneous circumstances they guessed it was a will, and one of them expressed his opinion in this way: "It is a poor look for me, as a witness to the will does not receive any thing," and the decedent laughed, but still neither assented nor dissented, and that was the whole

(t) 1 Kernan, 227; *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322.

(u) *Lewis agst. Lewis*, 1 Kernan, 222.

(v) *Wilson v. Hetterick*, 2 Bradf. Surr. Rep. 427. See, also, *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322.

(w) 3 Bradf. Surr. Rep. 322, 339.

transaction, it was held that there was not a sufficient testamentary declaration, and that the instrument was not properly executed as a will.(x)

And the execution and publication of the will, and its attestation by the subscribing witnesses, must be completed in the lifetime of the testator; otherwise there is no testamentary declaration.(y)

#### OF THE ATTESTATION OF THE WILL.

The fourth and only remaining subdivision of the statute provides that there shall be at least two attesting witnesses, each of whom shall sign his name as a witness at the end of the will, at the request of the testator.

Although there are the names of more than two witnesses signed to the will, it is enough if only two know or take cognizance of the solemnities required for the execution, or are called upon to attest their performance. The statute does not demand more than two attesting witnesses, and though a third person sign his name as a witness, it will not invalidate the execution, if there be an omission of the observance of any formality in respect to him.(z)

And a person in whose presence the testator performs only a portion of the testamentary ceremonies, is not a witness to the will, although he sign his name to the will as a witness.(a)

#### IN WHAT MANNER THE ATTESTATION SHALL BE MADE.

The English Statute of Wills (1 Vict., ch. 26, sec. 9,) provides that the "witnesses shall attest and shall subscribe the will," and it is held in the Ecclesiastical Court, that the *initials* of the attesting witnesses to a testamentary paper, are a sufficient subscription under the act; they are not required to sign their names.(b) The statute of this state, it is plain, cannot be satisfied by the witnesses signing any thing less than their names. As has already been made manifest, a much greater degree of precision and formality are required in the execution of a will, under the present law of this state, than under the Statute of Frauds, or the previous statute of this state, or the present English statute.(c)

(x) *Ex parte Beers*, 2 Bradf. Surr. Rep. 163.

(y) *Vernam v. Spencer*, 1 Bradf. Surr. Rep. 16.

(z) *Lyon v. Smith*, 11 Barb. Sup. Ct. Rep. 124; *Carroll v. Norton*, 3 Bradf. Surr. Rep. 291.

(a) *Tyler v. Mapes*, 19 Barb. Sup. Ct. Rep. 448. See, also, *Charlton v. Hindmarsh*, 1 Swabey and Tristram, 433.

(b) *In the Goods of Christian*, 2 Robert. 110. See, also, *In the Goods of Cope*, Ib. 235.

(c) If this view of the statute be correct, it is not competent for the subscribing witnesses to make their marks as witnesses, or for another person to write their names for them. The statute, as has been seen, (*Ante*, p. 65,) has an express provision, which, by implication, allows the name of the testator to be signed by another person by his direction; but, with respect to the witnesses, the only provision in this particular is that each "shall sign his name as a witness." Under the Statute of Frauds and the present English statute, and perhaps under the former statute of this state, in the case of the witnesses as well as of the testator, a subscription by mark was and is sufficient.[\*]

But those several statutes required and the present English statute now requires, the

[\*] *Baker v. Doming*, 8 A. and E. 94; *Jackson v. Van Dusen*, 5 Johns. 144; *In the Goods of Ashmore*, 3 Curt. 756; *In the Goods of Ames*, 2 Robert. 116. In *Jackson v. Van Dusen*, the attestation to the will by the witness, in respect to which a question was made, although spoken of as by mark, was by his initials, "A. W." The action was ejectment, in which it became necessary to prove the will. Two of the attesting

Where, however, the attestation was made by one witness signing his own name, and holding and guiding the hand of a second witness while the name of the latter was signed, it was held that the execution was valid. (d). And in *Meehan v. Rourke*, (e) where a subscribing wit-

(d) *Campbell v. Logan*, 2 Bradf. Surr. Rep. 90. See *In the Goods of William Frith*, 1 Swabey and Tristram, 8; *Harrison v. Elwin*, 2 Gale and Dav. 769; S. C., 32 B. 117.

(e) 2 Bradf. Surr. Rep. 385.

witnesses only to attest and subscribe the will. A signing by mark by the witnesses can hardly be said to satisfy a statute which expressly requires the witnesses to sign their names. So far, then, as the language used by the legislature affords an indication of the meaning of this provision, an individual who cannot write is precluded from becoming a witness to a will. Upon the same principle upon which it has been determined that it is not necessary for the witnesses to sign in the presence of the testator, because the provision to this effect in the former law is omitted in the present statute, (see *Ruddon v. McDonald*, 1 Bradf. Surr. Rep. 352; *Lyon v. Smith*, 11 Barb. Sup. Ct. Rep. 124,) the change in the phraseology, respecting the attestation by the witnesses, must be regarded as expressly demanding witnesses who can and do write their names in order to the due attestation of the instrument as a will.

The legislature, when they provided in the 41st section that every person who shall sign the testator's name to any will by his direction, shall write his own name as a witness to the will, seem to have taken it for granted that the provision requiring the testator to subscribe the will at its end, authorized a subscription by the instrumentality of another person. [\*]

And it may be said that they regarded "subscribe," in the first subdivision of the 4th section, and "sign the testator's name," in the 41st section, as equivalent expressions. It would follow from this that the only mode of subscription by the testator authorized by the statute, is his name, either written by himself or by another person by his direction. And when, at a previous page, (*Ante*, pp. 68-9,) it was stated that a subscription by mark by the testator was sufficient, it was also stated, not that the mark alone would satisfy the statute, but that the mark was to be inserted between the given and the surname, or otherwise in connection with the name of the testator, written there by another person by his direction; which would be a writing of the name of the testator precisely in conformity with the words of the 41st section. But this would not at all vary the force of the directions used with reference to the witnesses that they should sign their names. So that, although the terms "subscribe" and "sign the testator's name" are used by the legislature as synonymous, there is still no alternative for the witnesses but to write their names themselves, as there is not any provision authorizing their names to be written by any other person. It is further apparent that the 41st section in the case of the person who signs the testator's name to the will by his direction, requires a person who can and does write; for, besides speaking of such signing, it expressly directs that he "shall write his own name as a witness to the will." And it is not to be presumed that the legislature intended that the qualifications of the witnesses, in this respect, should be otherwise than uniform in all cases. And, indeed, the whole of the 41st section distinctly contemplates witnesses who are able to write, for it imposes a penalty upon the witnesses in case they do not "write opposite to their names their respective places of residence," and if the person signing the will for the testator, do not also write his own name as a witness to the will, which clearly is ineffectual and in-

witnesses had signed their names, and the third had signed his initials as his mark. After proof of the two signatures, a witness testified that he had seen Samuel Wheeler, the other attesting witness, on a previous occasion, affix the same letters in a similar manner to another paper then in his possession, and that he subscribed his name as a witness to such signature. And that, from a comparison of the mark affixed to the will, with the mark so made by Wheeler to the former paper, he believed that Wheeler made the mark to the will; that there was a small difference between the "W" in the two marks, and that he judged only from the comparison of the two and from the manner the S was made, it being inverted (s). It was this identification of the initials of the witness which enabled the party to prove the will. If the witness had made his mark merely, the proof of the formal execution of the will would have been out of the question. This difficulty in the way of proving a will, where an attesting witness has signed otherwise than in his own handwriting, and he is dead or without the jurisdiction, is alluded to hereafter in connection with the present subject, and there is great reason for believing that it was with a view of preventing its occurrence in future, that the legislature enacted the provision requiring the attesting witnesses to sign their names.

It may be added, that it does not appear, from any reported case, that the English decisions on the Statute of Frauds, allowing the sufficiency of a mark by an attesting witness to a will, were ever adopted in this state, as applicable to the Statute of Wills previous to the Revised Statutes.

[\*] This provision was originally recommended by the revisers to be adopted, in connection with a provision expressly authorizing the testator's name to be signed by another person; 2 R. & or. S. (2d. ed.) App. pp. 627, 628. See this point particularly adverted to, *post*, p. 108.

ness made her mark opposite her name, written by the other witness, and she "acknowledged it to be her mark and signature," it was held that the mode of attestation was a sufficient compliance with the statute requiring the witness to "*sign* his name." "A witness," it was said,

operative if witnesses are allowable who cannot write at all. It is hardly to be supposed that it was the intention of the legislature to allow a person not able to write to become an attesting witness to a will, and then to impose upon him a penalty of fifty dollars for not writing opposite to his name his place of residence. Yet this they have done, if the statute is to be construed as admitting such persons to become attesting witnesses to wills.

It is true that the 41st section itself provides that the omission by the witnesses to comply with its requirements shall not affect the validity of the will. But the intentions of the legislature, with respect to the qualifications and duties of the attesting witnesses, are not the less plainly indicated by its terms on this account. This clause, it is submitted, merely saves the will in case of the non-performance by the witnesses of the particular writing required by this section, but it does not dispense with their writing at all, nor can it fairly be construed to vary in any way the meaning or force of the requirement of the 4th subdivision of the preceding 40th section, that each of the attesting witnesses shall sign his name as a witness. Besides, the section goes on to provide for compelling the witnesses to testify, notwithstanding they may have laid themselves liable to the penalty it imposes. Now, a person not able to write could not, in the nature of things, be liable to this penalty. This provision, then, on the supposition that witnesses are admissible who cannot or do not write, would not have any application, and would be absurd, which demonstrates conclusively that the clause saving the will in case of the non-compliance by the witnesses with the provisions of this section, does not admit of witnesses who do not write at all.

The history of the circumstances attending the adoption by the legislature of these provisions of the statute, furnishes additional evidence in support of the natural and obvious interpretation of the language of the statute itself. The revisers, in their report to the legislature of a provision prescribing the formalities for the execution of wills of real estate, although they recommended the re-enactment substantially of the second section of the former act concerning wills, (1 R. L. 1813, 364,) the section which they proposed differed from the former section in this particular, very material to the present inquiry, that instead of requiring merely that the will should be attested and subscribed by the witnesses, it distinctly prescribed that the witnesses should "subscribe their names as witnesses."[\*]

This was followed in their report by the present 41st section, in precisely the language afterwards adopted by the legislature.[†]

With these propositions before them, distinctly recommending that the law respecting the mode of attesting wills, which before that time, it may be assumed, had allowed attesting witnesses to sign by mark or by the agency of another person, should be changed, the legislature deliberately adopted the phraseology found in the present 40th and 41st sections. They prescribed that the witnesses should *sign their names* as witnesses, and they enacted the further section proposed by the revisers, by which witnesses who can and do write are very explicitly demanded. It is submitted that this view of the proceedings of the legislature in enacting these provisions, leaves little room for doubt that they intended to require that the attestation of wills should be in the proper handwriting of the attesting witnesses, and not by mark nor by the agency or guidance of other persons.

It is worthy of notice, in this connection, that the revisers manifestly intended to recognize and sanction a different mode of signing or subscription by the testator, from that prescribed for the attestation by the witnesses; for they recommended, in respect to the testator, the old provision that the will should be signed by him, "or by some other person for him, and in his presence and by his express direction;" whilst, as to the witnesses, they required simply, but imperatively, that they should "subscribe their names." And the provision of the 41st section, requiring the person who signs the testator's name to any will by his direction to write his own name as a witness to the will, was founded upon and was literally consistent with this provision expressly authorizing the testator's name to be signed by another person, whilst strictly it has no application to the provisions of the 40th section, as adopted by the legislature. The legislature seemingly overlooked this discrepancy; but,

[\*] 3 R. & or. S. (2d. ed.) App., p. 627. See, also, the proposition of the revisers relative to wills of personal estate in certain cases, p. 629, sec. 28.

[†] The reason given by the revisers for this section is, that it will facilitate the proof of wills and the detection of forgeries. Affixing the residences of the witnesses to their names is certainly calculated for both these purposes, but what can be more easy than to counterfeit a mark, or to set up a name written by the agency of another person? To require the witnesses themselves to write, is calculated most effectually both to facilitate the proof and to prevent forgeries.



"who has written the testator's name, must *write* his own. He has shown he can write. Other witnesses may '*sign* their names.' Where another person writes the name of the witness, and then the witness

however the provisions adopted may admit the construction that the testator may subscribe the will by the agency of another person, their language in respect to the witnesses preserves all the strictness proposed by the revisers, and they adopted all the recommendations of the revisers as to the duties and qualifications of the attesting witnesses, and in express terms required that they should sign and write their names and places of residence.

The policy or reason of the statute upon this point is not properly a subject for discussion, in view of the plain import of the language used. But there is good ground for supposing that the legislature, in consideration of the new formalities for the execution and attestation of wills, introduced by the Revised Statutes, whose observance the subscribing witnesses were to be called upon to attest and authenticate, purposely determined to require, as a qualification of those witnesses, that they should be able to write. The former statute, under which illiterate persons, unable to write, were admitted as competent attesting witnesses to a will, did not contain any requirement of publication, or request to the witnesses, in order to the valid execution and attestation of the instrument as a will. When those requisites were prescribed, a degree of culture in the witnesses, enabling them at least to write their names, was properly demanded.[\*]

It is manifest, also, that the signatures of the witnesses, written by themselves, furnish a reliable foundation for those legal presumptions in favor of the due execution of a will, which arise upon proof of the handwriting of the witnesses when they are dead, or without the jurisdiction at the time of the proof, and that any other mode of attestation is defective in this particular. And this, also, may have been an object, in the judgment of the legislature, calling for the provisions of the new statute requiring attesting witnesses who can write.

The construction of the statute thus contended for, is certainly liable to the objection that it precludes the making of a will, in an emergency where the attendance of two witnesses, able to write, cannot be procured. The art of writing is now so generally acquired, that such cases can but seldom occur. Those disabled from writing by disease or infirmity are not frequently called upon to attest wills. A person otherwise capable of being a witness to a will, will usually be found able to write. Where this is not so, however, the general rule prescribed by the legislature for the prevention of frauds, and to insure the most reliable evidence of the due execution of a will, must prevail over what may appear to be the right of the particular case. There may be cases in which the observance of other requirements of the statute may be impracticable, and the rejecting the will in the case under consideration, would be attended with no greater hardship than in these.

After the foregoing observations upon the mode of attestation to be required of the subscribing witnesses to a will had been prepared, the writer became acquainted with the two above-quoted decisions upon the subject, contained in the second volume of the Reports of Mr. Surrogate Bradford. Without for a moment supposing that any new light is thrown upon the question by the investigations of the writer, as the comparison of the provisions of the present with those of the previous statute, and, also, of the several provisions of the 40th and 41st sections of the statute with each other, and the history of the legislation upon the subject above given, had been omitted by the learned surrogate in the judgments referred to, it was deemed advisable not to suppress the matter thus prepared, and it is, therefore, offered for the consideration of the reader.

With respect to the decisions mentioned, some remarks may, also, with all deference, be submitted.

In *Campbell v. Logan*, the first of these cases, it appeared in proof that the name of the testatrix was subscribed to the will by William Crooker, one of the witnesses, he guiding her hand, and she holding the pen. The same thing occurred as to the subscription of the name of the other witness, Sarah M. Disnoff, who took hold of the pen, while Crooker conducted it, and wrote her name. The surrogate, in pronouncing his judgment, makes the following examination of the English cases: "*In the Goods of John White*, (2 Notes of Cases, 461,) Sir H. Jenner Fust held the execution of the will insufficient, where it appeared that one of the witnesses signed not only his own name, but that of the other witness, his wife, who was present at the time. The judge put the decision on the ground that the statute did not authorize any person to subscribe the witness's name; that the act required both witnesses to subscribe "either by signature or mark." There was no evidence that the wife had, in fact, become a party to the subscription of her name as a witness, in which respect that case differed from the following: In *Harrison v. Elwin*, (3 Qu. B. R. 117,) a will made

[\*] Such a change in the law, it has been seen, was recommended by the revisers, even without the new formalities.



acknowledges the signature—puts his mark to it—his *signum*—he literally signs; and what he signs is his name—i. e., he signs his name—while a mark alone would not be sufficient.”

after the statute of 1 Vict., ch. 26, was attested by one witness in his own handwriting, and he also held and guided the hand of a second witness, and in this way the name of the second witness was written. This was held a good attestation, the second witness being really a party to the act of signing his name.” And after referring to the manner of subscription by the testator required by the statute, the learned surrogate proceeds to the consideration of the present question as follows: “There is nothing in the statute authorizing one witness to sign the name of another witness. The question is, therefore, brought down to the same point presented in *Harrison v. Elvin*, that is, whether, by holding the pen while the other witness guided it, the former so became a party to the act of signing, that it may properly be termed his signature, though he was aided by another in making it. Our statute differs from the English act, in requiring each of the witnesses ‘to sign his name as a witness at the end of the will,’ while the latter only prescribes that the witnesses shall ‘attest’ and ‘subscribe’ the will. The will, by our act, is simply required to be ‘subscribed’ by the testator; but each of the witnesses must ‘sign his name.’ For the witness merely to put his mark, is not a signature of his name, and where witnesses attest by mark, their names are generally written by other persons, without the marksman taking any part in the act. In such cases a question may very well arise whether that mode of execution [attestation?] is sufficient; but in the present instance the facts show a physical participation of the witness in the act of signing her name, which I think it reasonable to hold a sufficient compliance with the statutory requirement.”

This decision can hardly be said to have left this question free from difficulty. Although the learned surrogate expressly points out the difference in the language between the English act and the provisions of the Revised Statutes, he nevertheless adopts a decision made under the English act, as the foundation for his judgment in the case before him. And, without advertng at all to the express language of the 41st section, relative to the duties of the witnesses, in connection with that of the fourth subdivision of the 40th section, he intimates that a signing by the attesting witnesses by mark alone would not be sufficient; but the cases which he quotes conclusively show that a mark is as good as a signature, and certainly as good as a mere participation in the act of signing. Indeed, it seems to have been granted on the argument of *Harrison v. Elvin*, (3 Qu. B. R. 118,) that a mark by a witness was a good mode of attestation, but it was urged that a signing by guidance had not the virtues of a mark. What shall amount to a physical participation by the attesting witness in the act of signing, is left by the learned surrogate entirely undetermined. It would be, strictly speaking, a participation in the act of signing, if the witness, not able to write, should hold the pen whilst another person guided his hand in the making of a single letter of his name, or even in the making of a line composing a part of one of those letters. And this would be a mere signing by mark, and bring the attestation of wills under this statute, expressly requiring the witnesses to sign their names, back to the same thing it was under a statute which simply required that they should attest and subscribe the will. The distinction taken in the English cases quoted by the learned surrogate, between a signing by one witness to a will of the names of both, and a signing by one in which he only participates by holding the pen, may be warranted by the provisions of their act, and the decisions on the similar provision of the Statute of Frauds, but it is not perceived that, under the Revised Statutes, it can properly have any application here.

In *Meehan v. Rourke*, the other case referred to, the learned surrogate puts the decision mainly upon the distinction supposed to be made by the statute between a witness *writing* his name, when he has subscribed the testator's name, and being required in all other cases only to *sign* his name, which latter, the surrogate argues, means only that he shall put his mark to his name—his *signum*. It has been proposed above to show that the legislature, in these different provisions, used the words “subscribe,” “sign,” and “write” his name, as equivalent terms, all requiring that the party referred to should write, the case of the testator's signature by another person, pursuant to the 41st section, alone excepted. It may be here added, that in the very section upon which the supposed distinction alleged by the surrogate is based, the words “sign” and “write” are used as synonymous in respect to the affixing of the names of the testator and the witnesses to the will. The expression is, “And every person who shall sign the testator's name to any will by his direction, shall write his own name as a witness to the will.” It can hardly be contended that the statute means that a person signing the testator's name to a will, by his direction, may put a mark sign (*signum*) to the will for the testator. It clearly contemplates that the name of the testator shall be written to the will. Yet, if the words “sign the testator's name,” in this place, re-

## OF THE PLACE AT WHICH THE ATTESTATION SHALL BE MADE.

It has already appeared(*f*) that where the will consisted of eight sheets or pieces of paper, securely bound together at the ends in the usual form of law papers, and the writing of the will commenced on the first and was continued on the four succeeding sheets, where it was brought to a close by the usual attestation clause, and was subscribed by the testator and the witnesses, and, on one of the sheets following the subscription, was a map referred to in the body of the will, which was not signed by the testator or the witnesses, it was held that the signing by the witnesses, as well as the subscription by the testator to the will, was in strict compliance with the statute.

The statute directs that the will shall be subscribed by the testator "at the end," and that each of the witnesses shall sign his name "at the end of the will." The same place is here spoken of—*the end*—and the testator and the witnesses must all unite in authenticating the instrument at its point of completion. "The object of the law," says the surrogate, in the case of the will of Catharine Kerr, deceased, (*g*) "has been not only to exclude a signature at any other point, but also to have the concurrence of all the parties as to the end of the will, and to secure the instrument from interpolation or unauthorized addition. It is not to be regarded as a mere arbitrary rule; the provision is a judicious one, and care should be taken not to break in upon it by lax interpretation."

The circumstances of the case of the last will and testament of Catharine Kerr, deceased, before the surrogate of the county of New York, and the form of subscription and attestation there occurring, have appeared in a previous page, (*h*) in connection with the consideration of the provision of the statute requiring the testator to subscribe at the end of the will. In pronouncing his judgment upon that case, the learned surrogate declares the execution and attestation defective, and expounds the meaning and application of the several provisions of the statute relating to the question, in the following lucid and cogent language: "In the present case," says the surrogate, "if the first signature of the name of Catharine Kerr is at the end of the will, there is no attestation of the witnesses at that place. After that, comes the appointment of executors, and this is attested by the witnesses, but not

quire that the person shall write the name, it is difficult to conceive of any reason why the words "sign his name," used in the previous part of the statute, should not equally require the witness to write his name.

The learned surrogate, in the course of his examination of this question in this case, makes the following remark, above quoted in the text: "A witness who has written the testator's name, must write his own. He has shown he can write." By the letter of the statute, the witness who *must* write his own name is the one who has *signed*, not *written*, the testator's name; but, without dwelling upon this inadvertance, it may be suggested that it is not easy to perceive the precise meaning or application of this remark. It is of no consequence, certainly, in the view taken of the statute by the learned surrogate, that the witness "*can write*." Although he can write, he is not required, except where he signs the testator's name by his direction, to write his name as a witness to the will. His mark sign (*signum*) to his name is, in the learned surrogate's judgment, all which is requisite.

(*f*) *Ante*, pp. 71, 72, referring to *Tonnele v. Hall*, 4 Comst. 148.

(*g*) *McGuire v. Kerr*, 2 Bradf. Surr. Rep. 244.

(*h*) *Ante*, pp. 71-2.

subscribed by Mrs. Kerr; and then comes another clause, purporting to be signed by Mrs. Kerr, but which is not attested by the witnesses. The decedent and the witnesses, supposing Mrs. Kerr's signature to be genuine, do not agree upon any one point as the end of the will. The last clause is not attested, and it must be excluded from probate. The clause next to the last is not signed by the testatrix, and must be excluded likewise, and then all that precedes the first signature of the testatrix is not attested at its end, so that the entire instrument must be rejected. I think that the testator and witnesses must agree as to the instrument—what it is, and where its end is. But for the testator to affirm by his signature that one part is the will, and the witnesses to affirm by their signatures that another clause is to be added, is such a disagreement as defeats the requirement of the statute that the will must be signed by all parties at the end. The act of authentication must take place at the termination of the testamentary disposition, and the testator and the witnesses must concur in determining that point. The law is no more fulfilled by the testator signing in the middle of the will and the witnesses attesting at the end, than by the witnesses signing in the middle and the testator at the end. They must all subscribe at the end.”<sup>(1)</sup>

The practice almost uniformly obtains for the attesting witnesses to sign their names at the close of an attestation clause following the will, and certifying to the observance, by the testator and the witnesses, of the formalities requisite to the due execution of the instrument as a will. An interval thereby occurs strictly considered between the end of the will and the signature of the witnesses. This practice arose under the 5th section of the Statute of Frauds, and the previous statute of this state relating to wills of real estate, which, it will be remembered, required that the will should be attested and subscribed in the presence of the testator by three or more creditable witnesses. This certificate was deemed requisite, among other things, in order to show the purpose for which the names of the witnesses came upon the paper, and the character in which they signed; and as the statute distinctly required that the witnesses should attest as well as subscribe the will, this was, perhaps, considered as demanding some written recital, from which the observance of the prescribed formalities could be gathered

(1) In the case of *The Goods of M. Taylor*, (15 Jur. 1090, 9 Eng. L. and Eq. 582,) paper A, beginning with the words, “This is the last will and testament,” &c., and ending with the words, “Revoking all former wills by me made, I do declare this my last will and testament,” and having a full attestation clause as for a will, was written on the first and second sides of a sheet of paper. Paper B, beginning with the words, “I do make this as a codicil to my will,” and ending with the same words of revocation and a similar attestation clause as A, was written on the third side of the same sheet of paper. The deceased signed A and B on the same occasion, in the presence of two witnesses, who subscribed A only. Neither paper was dated. There was nothing in the disposition of the property to make the two inconsistent. On a motion for probate of A and B, as together containing the will, Sir H. Jenner Fust said, “I cannot, on motion, certainly, decree probate of B, which has not the names of any subscribing witnesses. The fact of its being written on the same sheet of paper as A, does not alter that fact. Decree probate of A only.”

See, also, *Even agst Franklin*, 1 Deane, 7. It should be noted that the English statute (1 Vict., ch. 26, sec. 9,) only requires that the witnesses “shall attest, and shall subscribe the will in the presence of the testator.” See *In the Goods of Edward Chamney*, 1 Robert. 757.

or presumed. The present statute, although it speaks of the witnesses as attesting witnesses, does not enact that they shall, in the discharge of their functions, do any thing more than sign their names as witnesses. The term attesting, used as a description of the witnesses, does not of itself imply any thing more than this. Even in the construction of the former statute, the thing required was, (as according to all sense and reason it ought to have been,) that the forms thereby prescribed should be proved to have been complied with, but that the simple attestation by the word "witness" was sufficient.(j)

The attestation clause does not constitute a part of the will, and, under the present statute, is not necessary to its validity, as the witness will be permitted to prove that the forms prescribed by the statute were in fact all complied with, although the attestation clause is silent on the subject.(k) Upon a rigid construction of the present statute, then, the conclusion of that clause at an interval from the end of the

(j) *Burdett v. Doe ex dem. Spilsbury*, 7 Scott's New Rep. 66, 111, per Williams, J.

(k) *Chaffee v. Baptist Miss'y Conv.*, 10 Paige, 85, 89, per Walworth, Chan.; *Leaycraft v. Simmons*, 3 Bradf. Surr. Rep. 35. See, also, *Bryan v. White*, 14 Jur. 919; 5 Eng. L. & Eq. Rep. 579. In the judgment of the court in the case of *Grant v. Grant*, (1 Sandf. Ch. Rep. 235,) much stress is laid upon the absence of proof of an attestation clause to the will there in question, which was alleged to have been lost or destroyed; and the general remarks of the assistant vice-chancellor (the late learned and lamented Hon. Lewis H. Sanford) would seem to imply that, according to his understanding of the law, an attestation clause, or something equivalent to it, was essential to the validity of the execution of the will in all cases. It should be observed that the authorities referred to in that judgment were all cases arising on wills executed by married women under powers. It was regarded as settled by *Wright v. Wakeford*, (4 Taunt. 213,) and several subsequent cases, that the attestation of an instrument, executed in pursuance of a power, should set forth a compliance with the terms of the power, and that, although the proof fully establish such compliance, yet the want of a recital thereof, in the attestation of the witnesses, was fatal to the validity of the execution. This doctrine extended, of course, to wills executed by married women under powers, and although, under the Statute of Frauds, no form of attestation, further than the mere word "witnesses," was necessary, yet, in the case of a power, even if the things required by it were in the very words and letters of the Statute of Frauds, (viz., attested and subscribed in the presence of the deviser, by three or four credible witnesses,) a will which would have been clearly good under that statute, must have been held invalid without, as Mr. Justice Williams expressed it, "the addition of an idle formula." (See *Doe ex dem. Burdett v. Spilsbury*, 7 Scott's New Rep. 66, 111.) But no such rule was ever applied to ordinary wills. The only cases where the attestation was ever brought in question, in respect to such wills, were, where the proof of the will depended entirely upon the legal presumptions, arising upon the face of the instrument itself; on evidence of the handwriting of the witnesses; and, even in those cases, it was decided that the formality of signing, not noticed in the attestation clause, might be presumed from circumstances, after the witnesses to the will were dead; (*Croft v. Pawlet*, 2 Str. Rep. 1109; *Bryce v. Smith*, Willes, 1; *Hands v. James*, Comyn's Rep. 531; *Price v. Brown*, 1 Bradf. Surr. Rep. 291, where all the cases are collected,) and that, although the fact of the subscription of the witnesses in the presence of the testator was omitted in the attestation, yet, if the witnesses were dead, and their hands proved in common form, it was evidence, to be left to a jury, of a compliance with all the circumstances; and this, although it was contended that the hands of the witnesses could only stand to the facts they had subscribed to; and verdicts were given in favor of the wills; and, indeed, it seems clear, that in every case of this nature, free from any particular suspicion, a jury would find the solemnities adhered to. (Sugden on Powers, 317.) An attestation clause, is not probably required, under the Revised Statutes, any more than under the previous statute. Indeed, the language of the section expressly defining the duty of each of the attesting witnesses to be to sign his name as a witness at the end of the will, negatives the idea of any further requisite, and leaves the statute to be understood, the same as though it contained the provision of the English Statute of Wills (1 Vict., ch. 26, sec. 9,) that "no form of attestation shall be necessary."

The following remarks of Chancellor Walworth, in *Chaffee v. The Baptist Missionary Con-*



will, is not the place designated for the attesting witnesses to sign. It is laid down, however, that the legislature undoubtedly intended that the certificate of attestation should intervene between the body of the will and the names signed by the witnesses.<sup>(l)</sup>

One object which the legislature had in view in requiring the subscription by the testator to be made at the end of the will was, that the testator should denote clearly that he adopted the instrument after it was finished and perfected, and completed it as his will by actually signing the same at its close; and another, it may be conjectured, was to prevent additions to wills, made even by the testator himself, after execution. The present provision, requiring the witnesses also to sign their names at the end of the will, is eminently calculated to serve the same purposes, and it may be presumed was framed with a view to the same objects. The space, then, which may be permitted to intervene between the actual end of the will and the signatures of the witnesses, is to be determined by the same rules which apply to the provision relative to the subscription at the end of the will by the testator. The allowance of a space after the close of the will, and preceding the signatures of the witnesses, sufficient for the insertion of a memorandum, in the shape of an attestation clause, not essential to the validity of the instrument as a will, has been sanctioned by unquestioned practice, and may probably now be definitely considered as authorized by law.<sup>(m)</sup>

*vention*, (10 Paige, 85, 90,) are so directly to the present purpose, and contain suggestions so wise and admirable relative to the duties of the attesting witnesses, and the proper course to be pursued on the execution of a will, as to warrant their insertion at length in this place: "The statute," says the chancellor, "does not require an attestation clause, showing that the proper legal formalities were complied with; and although, upon the face of the instrument, those formalities are stated to have taken place, the fact may be disproved by the witnesses. But prudence requires that a proper attestation clause should be drawn, showing that all the statute formalities were complied with; not only as presumptive evidence of the fact in case of the death of the witnesses, or where, from lapse of time, they cannot recollect what did take place, but also for the purpose of showing that the person who prepared the will, knew what the requisite formalities were, and, therefore, gave the proper information to the testator, or saw that they were complied with, if he was present. To impress the more strongly upon the memory of the witnesses the important fact that all the legal forms, requisite to a due execution of the will, were complied with at the time when they subscribed their names as witnesses to such execution, the safer course always is to read over the whole of the attestation clause, in the presence and hearing of the witnesses and of the testator. And where the person executing the will is not known to the subscribing witnesses to be capable of reading and writing, especially if he executes the will as a marksman, it would be proper that the whole will should be deliberately read over to him, in the presence and hearing of the witnesses, and the fact of such reading in his presence should be stated in the attestation clause; or, at least, the witnesses ought, by inquiries of the illiterate testator himself, to ascertain the fact, that he was fully apprised of the contents of the instrument which he executed and published as his will, as well as that he was of competent understanding to make a testamentary disposition of his property. All these things, however, are matters of precaution and prudence, to prevent any well-founded doubt upon matters of fact; and where they are neglected, it does not necessarily render the will invalid, if the court or jury which is to pass upon the question of its validity is satisfied, upon the whole evidence, that the will was duly executed, and that the testator understood its contents."

(l) *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238, 244.

(m) In a recent case before Sir H. Jenner Fust, where the only signing by the testatrix was with the attesting witnesses at the end of the attestation clause, it was held that the will was signed at the foot or end thereof by the testatrix. *In the Goods of Whittle*, 2 Robert. 122. See, also, *In the Goods of Anderson*, 15 Jur. 92; 1 Eng. L. & Eq. Rep. 634.



The requirement of the statute is plain, and easily to be complied with. Immediately after the last line of the will, the witnesses should sign their names. In order to prevent any question, in case of the death, absence from jurisdiction, or failure of memory of the witnesses, whether this alone would furnish presumptive evidence of a compliance with the directions of the statute, an attestation clause may be annexed, and also signed by the witnesses, certifying to an observance of the prescribed formalities. Or the statute will be strictly satisfied, and the necessary legal presumptions in favor of the due execution of the instrument, as a will, will be provided for, if the will itself set out that it was subscribed, and published and witnessed in accordance with the directions of this section, and then the witnesses simply sign their names in the character of witnesses.

#### OF THE REQUEST BY THE TESTATOR TO THE WITNESSES TO SIGN.

Each of the attesting witnesses is to sign his name as a witness at the end of the will, *at the request of the testator*. It is not material at what particular stage of the execution the request to the witnesses is made. The statute, though it does, as to publication, contain a provision as to the time at which that shall be made, viz., the time of subscription, does not contain any as to the time when the witnesses are to be requested; and the testator is not in that regard bound down by any express enactment. The attesting witnesses are regarded, in the law, as persons placed around the testator, in order that no fraud may be practiced upon him in the execution of his will, and to judge of his capacity.<sup>(n)</sup> This statute, by a provision not commonly found in statutes on wills, has conferred upon the testator, alone, the power of selecting the persons who are thus to be placed around him. And when it is ascertained that the attesting witnesses, his protectors against fraud, and the judges of his capacity, have been freely chosen by himself, and not imposed upon him by others, the whole purpose of the law is answered, and its requirements are literally, as well as substantially, complied with.

Thus, in *Seguine v. Seguine*,<sup>(o)</sup> where one of the attesting witnesses, who had prepared the will by direction of the testator, testified that, after the testator had examined it, he said it was right, and told him that he had selected him and the other two witnesses (naming them) to be witnesses to his will, and then sent his servant for those other two to come to his house; that they came in together, and the testator then told one of them that he had sent for them to be witnesses to his will; and that he then signed it in their presence, and declared it to be his will, and then the witnesses signed it in his presence, and immediately left the room; and further, that he did not then know that it was necessary, under the statute, for the testator to request the witnesses to sign as such: and another of the witnesses testified that, when he entered the room, the testator said to him, "Sheriff, I have sent for you as one

<sup>(n)</sup> 2 Greenl. Ev., sec. 691.

<sup>(o)</sup> 2 Barb. Sup. Ct. Rep. 385.

of the witnesses to my will;" and that, after the testator had signed it, "he shoved the paper or handed it over to the witness, to witness or sign it;" and the third witness testified that, when he entered the room, the testator told him he wanted him to be a witness to his will: but all the witnesses testified that nothing was said to them after that about their being witnesses to the will; it was held that the request to the witnesses was sufficient. "In this case," said the court, "there is no doubt that the testator enjoyed and exercised this freedom of choice, [of the attesting witnesses,] and exercised it in such a manner, that his request to the witnesses was as much a part of the *res gestæ* as any other ingredient in the execution of the will. His subscription to the will, his publication of it, his request to the witnesses, and their subscriptions, were all done at the same time—not at the same instant of time, for that would have been impracticable—but at the same interview, one act immediately following the other without any interval, and without any interruption to the continuous chain of the transaction. This was enough. It was a strict compliance with the requirements of the statute."

It is not necessary that the testator should, in terms, request the witnesses to attest the execution. The request may be implied as well as expressed.<sup>(p)</sup> If they are sent for by his attendants, in his presence, and without objection; if, upon their introduction, he sets himself to the execution of the will, and delivers it, when subscribed, to the witnesses, in order that they may sign it, and they do sign it in his presence, he thereby adopts the acts of his friends, and makes their request his request, within the spirit and meaning of the statute. This was so held in *Doe v. Roe*.<sup>(q)</sup> It was stated in that case to the witnesses, in the testator's presence, that they had been sent for, for the purpose of being witnesses to the will, and they subscribed their names as witnesses, after the will with the attesting clause had been read to the testator, and signed by him in their presence, and it was held that there was sufficient evidence to be submitted to a jury upon the question whether there was a request or not. The same point was decided in *Rutherford v. Rutherford*.<sup>(r)</sup>

So in *Brown v. De Selding*,<sup>(s)</sup> the testatrix made no formal request to the witnesses. She appeared to have been entirely silent. But when an attendant, her sister, stated to Dr. Johnson, the physician, that her sister wished to execute a will, he said, if she wished to do so, it would be best to have another witness; and he went out immediately, and brought in Mr. Zevely, the other witness. The testatrix made no objection then, nor when the doctor returned; on the contrary, she got up, and went to the table where the will was lying. Upon Mr. Zevely's coming into the room, after she was seated at the table, he was introduced to her by the doctor, as a person whom he had invited to come in and witness her signature to the will, and she bowed her head in recognition, and then distinctly asked where she must sign, according

(p) See *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238.

(q) 2 Barb. Sup. Ct. Rep. 200.

(r) 1 Denio, 33.

(s) 4 Sandf. Sup. Ct. Rep. 10.

to Zevely's testimony, or, according to Dr. Johnson's, where she must sign the will. She then signed it in their presence, and they signed their names as witnesses. "There can be no doubt," said the court, "from all the circumstances, that she assented to and adopted the acts of her sister and Dr. Johnson in relation to the latter witnessing, and inviting Mr. Zevely to witness, the execution, and this ratification and adoption is clearly equivalent to an express request by her."

When all the circumstances show the design of the testator to execute his will, his knowledge of the character of the instrument, and the purpose for which the witnesses attend, his signing the instrument, and acknowledging it to be his will, his observing the witnesses sign, and then taking the executed paper into his own possession without objection or comment, sufficiently establish and imply a request to the attesting witnesses to join in the necessary formalities. Thus, where a will, prepared by the counsel of the decedent, pursuant to her directions, was handed to her by one of the subscribing witnesses, who stated that he came "to witness her signing her will," and the testatrix, having read it, declared it to be her will, and signed it, and both witnesses subscribed their names in her presence, it was held that there was sufficient evidence of a request to the witnesses to attest the instrument.<sup>(t)</sup>

A request to sign as witnesses may be communicated by signs, or may be implied from the acts of the parties.

So, it is a good request if one of the witnesses ask the testator, in the presence of the other witnesses, whether he wishes them to sign the will as witnesses, and the testator answers "Yes." Thus, in *Lyon v. Smith*,<sup>(u)</sup> Schoonhoven, one of the attesting witnesses, testified that Boardman, another attesting witness, who was without the jurisdiction, and not examined, after reading or explaining the will to the testator, asked him, "whether he wanted us to sign it as witnesses, and he said 'yes,' Boardman named myself, my sister, and himself." The three persons thus named attested the execution. This was deemed a sufficient request.<sup>(v)</sup>

But in the case of the will of Henrietta Hicks, deceased, before the surrogate of the county of New York, where Terry, one of the witnesses, testified: "The testatrix then requested me to sign it as a witness. The words, as near as I can recollect, were, 'Nathaniel, will thee sign?'" And Rudd, another of the witnesses, testified: "Mr. Nathaniel Terry requested me to put my name to the paper as a witness. The testatrix did not ask me; there was nothing said by her to me about it. I do not know whether the testatrix heard Nathaniel Terry ask me to sign it; he spoke loud enough for her to hear. She was not, that I heard, asked to make any acknowledgments in respect to it." It was held that there was not a request to each of the attest-

<sup>(t)</sup> *Hutchings v. Cochrane*, 2 Bradf. Surr. Rep. 295. See, also, *Moore v. Moore*, Ib. 261; *Vaughan v. Burford*, 3 Bradf. Surr. Rep. 78; *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238.

<sup>(u)</sup> 11 Barb. Sup. Ct. Rep. 124.

<sup>(v)</sup> See, also, *Tunison v. Tunison*, 4 Bradf. Surr. Rep. 138; *Gombault v. The Public Administrator*, 4 Bradf. Surr. Rep. 226; *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238.

ing witnesses, in compliance with the requirement of the statute. "There is no direct evidence," said the surrogate, "that she authorized Terry to request Rudd. Had Terry said, in the presence and hearing of the testatrix and Rudd, that the testatrix requested him to become a witness, even if she said nothing, it would be considered a good request by her. No communication between a testator and a subscribing witness can be made by a third person in behalf of such testator, unless it be made in the presence and hearing of the testator and the witness to whom the communication is intended to be made. Subject to this rule, any declaration or request required in the ceremonials of execution may be made by a third person, and not otherwise. It is not proved that the testatrix requested Rudd to sign this will as a witness. My decision, therefore, is, that the will is not proved to have been executed in due form of law in respect to \* \* \* \* the request to the witnesses."

Upon appeal, however, to the circuit judge of the first circuit, the sentence of the surrogate was reversed, and on this point the learned circuit judge said: "In the case of *Rutherford v. Rutherford*,<sup>(w)</sup> the court held that it would have been proper for the jury to have found that the testator's sending for the witnesses, and the request to him made by the other witness in the testator's presence, were, in effect, a request made by the testator, and the surrogate understands the rule to be, that the request may be made by a third person in the presence and hearing of the testator and the witness. This is undoubtedly the sound rule, and in its application to the testimony seems to me to relieve the case of all its difficulties. Terry was requested by the testatrix. There is no difficulty as to him. Rudd went to the house solely for the purpose of witnessing the execution of the will. He saw it executed, and, in the presence and hearing of the testatrix, he was requested by Terry to sign as a witness. It may be literally true, as remarked by the surrogate, that 'it is not proved that the testatrix requested Rudd to sign the will as a witness,' but it is also true that he was so requested by a third person in her presence and hearing. As this has been held to be enough in 1 Denio, as the surrogate understands it to be enough, and as I think such is the true rule, it seems to me that the conclusion ought to have been that the will was duly proved; such, at least, is the conclusion which I draw from the premises."

Again, in *Nelson v. McGiffert*,<sup>(x)</sup> where the testator went with his son-in-law to the store of one of the subscribing witnesses, with the will already prepared for execution; apparently for the sole purpose of having it executed in the presence of such witness, and in the presence of others who were acquainted with the testator; and all the witnesses testified to facts from which it might fairly be inferred that they attested the execution of the will in conformity with the wishes of the testator, although there was some indistinctness in the recollection of two of the witnesses, whether the request to them emanated directly from the testator or from the son-in-law, in his presence, and in

(w) 1 Denio, 36.

(x) 3 Barb. Ch. Rep. 163.

respect to one of these whether from either, and there was no pretence that the testator was not perfectly competent to make a valid will, and to understand the nature of the act he was about to perform; it was held that a sufficient request to the witnesses was established. "Not only the witnesses, but the testator himself," said the chancellor, after reciting the facts, "must, therefore, have understood that they were witnessing the execution of the will in conformity to his desire and wish; although he may not have said, in terms, 'I request you and each of you to subscribe your names to this my will.' If such a formal request was necessary to be proved, in all cases, and the witnesses were required to recollect the fact, so as to be able to swear to it after any considerable lapse of time, not one will in ten would be adjudged to be valid. In the execution of wills, the statute does not require any particular form of words to be used by the testator, either in the admission of his signature, in the publication of the instrument as his will, or in the communication to the witnesses of his request or desire that they should subscribe their names to the will as attesting witnesses to the fact of its due execution by him. But it is sufficient if the formalities required by the statute are complied with in substance."

"I think," says Mr. Justice Hand, in *Butler v. Benson*,<sup>(y)</sup> "in ordinary cases, a request may be presumed, where two persons are called in to witness a paper, which they do, in the presence of the testator, he at the same time subscribing it, and declaring that it is his last will and testament. There is a purpose and entirety in the transaction, that favors a pretty liberal intendment as to his wishes." But where it appeared that the subscribing witnesses attended at the request of one of the executors and trustees, and signed their names as attesting witnesses at the request of another; and there was no evidence that the testatrix requested the witnesses to attest the execution, or knew that they were there for the purpose of attesting the execution of her will, or that they were in attendance at all; it was held that this was not a compliance with the statute.<sup>(z)</sup>

#### WHETHER THE WITNESSES MUST SIGN THE WILL IN THE PRESENCE OF THE TESTATOR.

The statute is silent as to the witnesses signing in the presence of the testator. That was necessary here before the revision in 1830, and has been in England, certainly, since 1677.<sup>(a)</sup> "It is believed," says Mr. Justice Hand, in *Butler v. Benson*,<sup>(b)</sup> "the requirements of the statute are merely cumulative, and that this is still necessary." The point, however, did not arise in the case before the learned justice. The requirements of the present statute can hardly be said to be "cumulative," to those provided by the former law, supposing that to have now any vitality, as that law prescribed the solemnities for the execution of devises of land only, whilst the present statute concerns wills, whether

<sup>(y)</sup> 1 Barb. Sup. Ct. Rep. 526.

<sup>(z)</sup> *Burritt v. Silliman*, 16 Barb. Sup. Ct. Rep. 198.

<sup>(a)</sup> 1 R. L. 1813, 364; 29 Car. II, ch. 3.

<sup>(b)</sup> 1 Barb. Sup. Ct. Rep. 526, 530.



of real or of personal property. But the previous act has been expressly repealed,(c) and none of the English statutes are any longer to be considered as laws of this state.

The revisers in their report to the legislature on the law relative to wills, reported a section for the execution of wills of real estate, as has already been seen,(d) substantially the same as the former second section of the act concerning wills in the Revised Statutes of 1813, and expressly requiring, in respect to such wills, that the attesting witnesses should "subscribe their names as witnesses *in the presence of the testator.*"(e) The requirement that the witnesses should affix their places of residence to their signatures, "to facilitate the proof of wills, and the detection of forgeries," was also originally proposed to attach to wills of real estate only. With respect to the execution of wills of personal property, they proposed to leave the law the same as it stood previously, except that they recommended that the requisite ages for competency should be defined, and reported a provision requiring two witnesses to a will of personal property, where the testator was under twenty-one years of age, or where the name of the testator was written by his direction.(f) When these propositions came before the legislature, they saw fit to frame the present 40th and 41st sections, abolishing all distinctions between the manner of executing wills of real and wills of personal estate, requiring the same formalities in respect to both, and applying all the new facilities for proof and preventatives of fraud recommended by the revisers, to wills of either or both species of property. In framing the subdivision now under consideration, they omitted any express requirement that the attesting witnesses should sign in the presence of the testator.

Under the previous statute, the doctrine of a constructive presence of the testator had arisen, and had been carried very far; and it had been decided, that if the witnesses were within view, and where the testator *might* see them, or had the capacity to see them with some little effort, if he had the desire, though in reality he did not see them, they were to be deemed subscribing witnesses *in his presence.*(g) The present statute drops the direction in a former statute relative to wills of real estate, that the witnesses should subscribe *in the presence of the testator*, and "the doctrine of constructive presence," says Chancellor Kent, "is thereby wisely rejected."(h)

This question has been expressly adjudicated in two reported cases,(i) and in both it has been determined that it is not necessary that the attesting witnesses should sign their names in the presence of the testator, in the strict sense of the express requirement of the former law. In *Ruddon v. McDonald*, the testatrix subscribed the will in a small bed-room, and the witnesses signed in an adjoining room. The door

(c) See General Repealing Act, passed December 10, 1828, sec. 1, clause 95.

(d) *Ante*, p. 103, note.

(e) See 3 R. & or. S. (2d ed.) Appendix, 627.

(f) *Ib.* 629.

(g) 4 Kent's Comm. 515; 7th ed. 574-5, where the cases are collected.

(h) *Ib.* 515, 574.

(i) *Ruddon v. McDonald*, 1 Bradf. Sup. Ct. Rep. 352; *Lyon v. Smith*, 11 Barb. Sup. Ct. Rep. 124. See, also, *Vernam v. Spencer*, per Bradford, Surrogate; 3 Bradf. Surr. Rep. 28, 29, 31.

between the two rooms was open, but the place where the witnesses signed was in a part of the room where the testatrix could not see the witnesses in the act of signing, without putting her head down towards the foot of the bed, if she could then; and they did not look to be able to say whether they could see her face at the time or not. In *Lyon v. Smith*, the subscribing witnesses did not attest in the presence of the testator, but did it in an adjoining hall, and out of the sight of the testator; and it was held, in each case, that the attestation was sufficient. Both decisions were put upon the ground that the omission from the present statute of the clause contained in the former act, requiring the witnesses to attest and subscribe the will in the presence of the testator, under the circumstances above mentioned, was a clear indication of an intention on the part of the legislature to change the law in that particular. The object of the old rule was to prevent imposition by changing the paper. The present statute, it is reasonable to presume, is also to be construed with a view to the prevention of the same evil practices. The former statute did not require the testator to sign in the presence of the witnesses; the present does. The former required the witnesses to attest and subscribe in the presence of the testator; the present does not. The insertion of the first requirement is perhaps to be regarded as a compensation for the omission of the latter; but it is a necessary requisite of an attestation to any instrument, that the witness subscribe at the time of the execution, or acknowledgment thereof, and with the knowledge and consent of the one who executes the instrument.(j) A strict observance of this rule is especially demanded, as a safeguard against fraud in the execution of wills, and nothing less than this will satisfy the present provision.

And the meaning of the statute is, that each of the witnesses shall sign his own name to the will, with the intention of becoming an attesting witness. Thus, where C. B. wrote the will at the request of the deceased, and then signed the name of his father, J. B., to the will as a witness, because J. B. could not write well, and he did not suppose he was going to sign, and J. B. did afterwards subscribe his own name, but C. B. was not requested to become a witness, and did not, in fact, sign his own name, supposing that only one subscribing witness was sufficient, it was held that C. B. not having signed his name, the signature of the name of his father could not be taken as a substitute; and as it was not a case of mistake, there having been no intention to have more than one subscribing witness, the will was rejected.(k)

The statute does not seem to require that the attesting witnesses should sign their names as witnesses to the will in the presence of each other.(l)

The execution—that is, the subscription and publication of the will

(j) *Lyon v. Smith*, 11 Barb. Sup. Ct. Rep. 126, per Shankland J.; *Henry v. Bishop*, 2 Wend. 575; *Hollenback v. Fleming*, 6 Hill, 303.

(k) *Ex parte Leroy*, 3 Bradf. Surr. Rep. 227.

(l) Where the attesting witnesses subscribe the will in the presence of the testator, but not in the presence of each other, the execution is good under 1 Vict., ch. 26, sec. 9; *In the Goods of Jane Webb*, 1 Deane, 1.

by the testator—must be entirely accomplished, and the attestation of the will by the witnesses, at the request of the testator, must be performed in the lifetime of the testator. Although he may have subscribed and published the instrument as his will, and requested the witnesses to sign it as witnesses, yet, if death overtake him before the attestation has been effected, the instrument is not his will. To make a valid will, it is just as necessary for the testator to procure before his death the attestation and subscription of two witnesses, as it is for him to have the will drawn, and to subscribe it himself. The testator is the prime mover: to devise his property, he needs to see completed certain forms during his lifetime; and if he does not live to see them all accomplished, the act is not completed. There is no will until all the ceremonies prescribed by the statute have been gone through with. The mere intention to have them performed is not sufficient, but the intention must be effectuated in fact. The act of the witnesses is just as essential as the act of the testator. The request by the testator to the persons summoned or proposed as witnesses, is revocatory till acted on. Death revokes it. At the time the witnesses sign, they must sign under a present existing request. The request is their authority. They derive all their right and power to subscribe from the testator's present volition and consent. When he ceases to exist, their power is withdrawn. The actual attestation by the witnesses, as well as all the antecedent formalities prescribed by the statute, must be completed in the lifetime of the testator, in order that the instrument shall be valid as his will. Thus where the testator, having determined to modify a previous will, and the instrument, prepared conformably to his instructions, having been placed before him for execution, in the presence of two witnesses attending at his request, he signed it at the foot, and was seized with death as he was in the act of signing in the margin, it was held that the provision of the statute, requiring the attestation of the subscribing witnesses, had not been complied with, and that the instrument was not valid as a last will and testament, not being complete at the testator's decease.(m)

And an attestation by the witnesses, after the decease of the testator, will not give effect to the instrument as a will. The request and authority given by the testator to the witnesses, to attest the will, if such attestation is not performed in his lifetime, is revoked by his death. They have no power, after that event, to make that the will of the testator which was not his will at the moment his death occurred. Whether one dies intestate or testate, is immutably fixed at the instant of death; and so it was held in the case of *Vernam v. Spencer*, just quoted. And in the case of *The will of Jacob Stout*, deceased, before the Hon. Edward C. West, surrogate of the county of New York, 2d July, 1860, where the testator subscribed the will, and told the witnesses that the instrument was his will, and requested them to sign it, and immediately fell backwards and expired, and the witnesses then signed the will, it was decided that the will was not properly attested, inasmuch as it was not signed by the witnesses while Mr. Stout was

(m) *Vernam v. Spencer*, 1 Bradf. Surr. Rep. 16.

living. And in the case of *The will of James Lally*, where the witness failed to sign the will until after the decedent's death, the same learned surrogate rejected the will.

#### OF THE INCORPORATION IN A WILL OF UNATTESTED PAPERS.

The authorities with respect to the Statute of Frauds, appear to apply to the present statute, upon the question whether an unattested will or other paper may be rendered valid, as a testamentary disposition, by being referred to and adopted by a will properly attested. Those authorities have established that, if the testator, in a will or other testamentary paper duly executed, refers expressly to an existing unattested will or other paper, the instrument so referred to becomes part of the will.<sup>(n)</sup> The object of the change in the words of the present statute from those of the former statute, by which the subscription of the testator and the attestation of the witnesses are required to be at the end of the will, was to insure a signature of authentication, distinct from and at the close of the descriptive and disposing parts of the will; and the practice of making the references spoken of has not, it is believed, at any time resulted in any mischief or inconvenience. Whether the two papers, the will and the paper referred to therein, are brought together by being attached, at the time of the execution of the one or not, can have no other effect than as to the identity of the one referred to. If annexed, the identification may perhaps be more satisfactory in many cases than it would otherwise be. But the effect or character of it is not at all changed. The contents of the paper, so far as referred to in the instrument executed, become constructively a part of the latter, and in that respect they make together one instrument, although in fact existing in two distinct papers, whether attached together or not.<sup>(o)</sup> But whether the paper referred to be attached to the will or not, the reference must be distinct, so as to exclude the possibility of mistake; and the paper referred to must be already written.<sup>(p)</sup>

<sup>(n)</sup> *Habergham v. Vincent*, 2 Ves. jun. 228; and see cases under both the Statute of Frauds and the recent English Statute; *Wms. on Exrs.* 85; *Tonnele v. Hall*, 4 Comst. 140; *In the Goods of the Rev. Geo. Hunt*, 2 Robert. 426; 17 Jur. 720; *In the Goods of Hillhouse*, 17 Jur. 1186.

<sup>(o)</sup> *Tonnele v. Hall*, 4 Comst. 144, 146.

<sup>(p)</sup> *Wms. on Exrs.* 85, and cases cited. See, also, *Thompson v. Quimby*, 2 Bradf. Surr. Rep. 449; *In the Goods of Hunt*, 2 Robert. 622; 17 Jur. 720; *In the Goods of Hillhouse*, 17 Jur. 1186. This question was fully argued and considered in *Allen v. Maddock*, 11 Moore, Priv. Crim. Cas. 427, and the authorities are collected and reviewed in the judgment in that case; and it was determined that an unattested paper, which would have been incorporated in an attested will or codicil, executed according to the Statute of Frauds, is now in the same manner incorporated, if the will or codicil is executed according to the requirements of the Wills Act, 1 Vict., ch. 26, sec. 9: That where there is a reference, in a duly executed testamentary instrument, to another testamentary instrument, imperfectly executed, but by such terms as to make it capable of identification, it is necessarily a subject for the admission of parol evidence, and such parol evidence is not excluded by the 1st Vict., ch. 26; and that if the parol evidence satisfactorily prove that, in the existing circumstances, there is no doubt as to the instrument referred to, it is no answer that, by possibility, circumstances might have existed in which the instrument could not have been identified. See, also, *In the Goods of Emma Hakewell*, 1 Deane, 14; 4 W. R. 304; *In the Goods of Edward Greaves*, 1 Swabey & Tristram, 250; *Allen v. Maddock*, 1 Deane, 325; *In the Goods of A. M. Ash*, 1 Deane, 181; *In the Goods of the Countess Dowager of Pembroke*, 1 Deane, 182.

## WHERE THE ATTESTING WITNESS IS INTERESTED UNDER THE WILL.

If any person be a subscribing witness to the execution of a will, wherein any beneficial devise, legacy, interest, or appointment of any real or personal estate is made to such witness, the will is not on that account invalid; but if such will cannot be proved without the testimony of such witness, the devise, legacy, interest, or appointment is void so far only as concerns such witness or any claiming under him, and such person is a competent witness, and compellable to testify respecting the execution of the will, in like manner as if no such devise or bequest had been made. But if such witness would be entitled to any share of the testator's estate in case the will were not established, then so much of the share that would have descended or have been distributed to such witness is saved to him, as will not exceed the value of the devise or bequest made to him in the will, and he may recover the same of the devisees or legatees named in the will, in proportion to and out of the parts devised and bequeathed to them.<sup>(q)</sup>

If, by any will any real estate be charged with any debt, and the creditor whose debt is so charged attest the execution thereof, such creditor, notwithstanding such charge, is a competent witness to prove the execution of such will.<sup>(r)</sup>

## WHERE ATTESTING WITNESSES ARE NOT ABLE TO PROVE THE EXECUTION OF THE WILL.

In acting upon the doctrines established by the authorities, which there has been occasion to cite in the foregoing pages, no little difficulty has occurred with respect to the evidence given by the subscribed witnesses of the circumstances attending the attestation, particularly where the witnesses have been examined for the first time, (as must very often happen,) at a period long after the transaction: for it may be that they have no recollection at all on the subject, so that they are quite unable to affirm that the will was executed according to the statute; or it may be that one affirms and the other negatives, or that both negative a compliance with the statute. The result of the cases on this subject appears to be, that although the party propounding the will must examine the attesting witnesses, if they be within the jurisdiction, it is not absolutely necessary, for the validity of the will, to have their positive affirmative testimony that all the formalities prescribed by the statute were actually observed in the execution of the will: for if the will, on the face of it, appears to be duly executed, the presumption is *omnia esse rite acta*.<sup>(s)</sup> "Where," says the chancellor, in *Jauncey v. Thorne*,<sup>(t)</sup> "there is good reason to suppose the will has been duly executed, and that no fraud or want of testamentary capacity existed at the time it was made, justice to the dead, as well as to the living, requires that the declared wishes of the testator should not be defeated by the imperfect recollections of the attesting witnesses, or by reason of

<sup>(q)</sup> 2 R. S. 65; 3 R. S. (5th ed.) 146.  
<sup>(s)</sup> See *Wms. on Exrs.* 87.

<sup>(r)</sup> 2 R. S. 57; 3 R. S. (5th ed.) 139, sec. 6.  
<sup>(t)</sup> 2 Barb. Ch. Rep. 59.



their deaths or removal beyond the jurisdiction of the state. It is for this reason that the most liberal presumption in favor of the due execution of wills, are sanctioned by courts of justice, where, from lapse of time or otherwise, it may be impossible to give positive evidence on the subject.<sup>(u)</sup> A will may, therefore, be sustained, even in opposition to the positive testimony of one or more of the subscribing witnesses, who, either mistakenly or corruptly, swear that the formalities required by the statute were not complied with, if, from other testimony in the case, the court or jury is satisfied that the contrary was the fact.<sup>(v)</sup> And where any of the witnesses are dead, or in such a situation that their testimony cannot be obtained, proof of their signatures is received, as secondary evidence of the facts to which they have attested by subscribing the will as witnesses to the execution thereof.<sup>(w)</sup> The same rule is frequently applied to the case of a subscribing witness, who is called and sworn, but who, from defect of memory, has no recollection of the transaction, except that his signature to the will is genuine." So, where the witnesses have signed their names to a full attestation clause, the mere inability of the witnesses to recollect whether the requirements of the statute had or had not been complied with, would not be sufficient to invalidate the will, unless it appeared that they signed their names to the attestation clause without hearing it read, or knowing what was contained therein,<sup>(x)</sup> and where one of the subscribing witnesses to the will swears that all the formalities required by the statute were complied with, the will may be admitted to probate, notwithstanding the other subscribing witnesses may not be able to recollect the fact.<sup>(y)</sup> Thus, in *Nelson v. McGiffert*,<sup>(z)</sup> where the subscribing witnesses, after the lapse of eight years, did not all recollect whether they attested the execution of the will at the request of the testator, or at the request of his son-in-law, who was present at the same time; and one of them had a vague impression that it was the son-in-law who requested them to subscribe the will as witnesses, but another swore positively that they all attested the execution of the will at the request of the testator and in his presence, it was held that the proof was sufficient.<sup>(a)</sup> Again: where the will had been executed

(u) See, also, *Cheaney v. Arnold*, 18 Barb. Sup. Ct. Rep. 434.

(v) See *Farmer v. Breck*, 1 Deane, 187; *In the Goods of Jane Thomas, widow*, 1 Swabey and Tristram, 255.

(w) See *Brinckerhoff v. Remsen*, 8 Paige, 499, per Walworth, Chancellor; *Remsen v. Brinckerhoff*, 26 Wend. 338, per Verplanck, Sen.; *Chaffee v. Bap. Miss. Con.*, 10 Paige, 91, per Walworth, Chan.; *Buller v. Benson*, 1 Barb. Sup. Ct. Rep. 526, 538; *Price v. Brown*, 1 Bradf. Surr. Rep. 291; *Peebles v. Case*, 2 Bradf. Surr. Rep. 226; *Thompson v. Hall*, 2 Robert. 426.

(x) 8 Paige, 499; 26 Wend. 338; 10 Paige, 91; *Grant v. Grant*, 1 Sandf. Ch. Rep. 235, 240. See, also, *Foot agst. Stanton*, 1 Deane, 19.

(y) *Newhouse v. Godwin*, 17 Barb. Sup. Ct. Rep. 236.

(z) 2 Barb. Ch. Rep. 158, 162.

(a) See, also, *Brenchley v. Lynn*, 16 Jur. 226; 9 Eng. L. & Eq. Rep. 563; 2 Robert. 162, 441. Where the testatrix made her will in 1842, and at her death there appeared the names of three subscribed witnesses, but no attestation clause; and the only surviving witness, whose name stood first of the three, stated that the testatrix signed the will in his presence only; and that he suggested the necessity of two witnesses, but could remember no more particular circumstances, it was held that the presumption of due execution was not rebutted by the affidavit of the surviving witness. *In the Goods of Jane Thomas, widow*, 1 Swabey and Tristram, 255. See, also, *In the Goods of John Holgate*, 1 Swabey and Tristram, 261.

about ten years before the proceedings for the proof, and one of the subscribing witnesses had nearly forgotten the whole transaction, and the other was almost as much lost on many important points, and the attestation clause was full, and there was not any evidence of fraud or undue influence in the case, or circumstances to awaken suspicion, the court felt constrained, though with much doubt and hesitation, to sustain the will.<sup>(b)</sup> So in the case of the will of Sarah Stake, deceased, before the surrogate of the county of New York,<sup>(c)</sup> where there was a full attestation clause to the will, and one of the witnesses was a lawyer of repute, (the late Gen. Robert Bogardus,) who was dead at the time of the proceedings, and the other witness, on his examination, testified that he saw the testatrix have the will in her hand—whether she read it or not he did not remember—"I remember," he continued, "that the paper was handed to her by the lawyer, and that there was some sort of acknowledgment; I cannot say what it was. I believe that she acknowledged it to be her last will, but I have no recollection of it. The lawyer said it was her will in her presence and in mine. I heard her voice, but do not remember what she said." The will was sustained. "I cannot," said the surrogate, "rely upon the testimony of this witness to overcome the weight which, under the circumstances of the case, the signature of Mr. Bogardus has upon my mind. I, therefore, decide that this will was properly executed, and I admit it to probate."<sup>(d)</sup>

Where, however, the attesting witnesses state facts, (not contradicted by other testimony,) which demonstrate that the will was not duly executed and attested, and there are no circumstances on which the court can found an inference that the recollection of the witnesses is infirm on the subject, the will must be pronounced against, notwithstanding it should be all in the handwriting of the deceased, and be signed by him, and profess to be duly attested.<sup>(e)</sup>

#### THE FORM AND LANGUAGE OF A WILL.

The law has not made requisite to the validity of a will, that it should assume any particular form, or be couched in language technically appropriate to its testamentary character. It is sufficient that the instru-

<sup>(b)</sup> *Buller v. Benson*, 1 Barb. S. C. Rep. 526, 538.

<sup>(c)</sup> M. S., Hon. David B. Ogden, Surrogate.

<sup>(d)</sup> In *Peebles v. Case* (2 Bradf. Surr. Rep. 226,) there were two wills bearing the same date, purporting to be attested by the same witnesses, and both in the handwriting of the decedent—one was recognized and proved by both the witnesses, but they denied any knowledge of the other—the latter was admitted to probate as the last will, notwithstanding the denial of the witnesses, upon evidence of handwriting, identification of the instrument as recognized by the decedent, memoranda in the handwriting of the deceased, and other circumstantial evidence. So where the two subscribing witnesses were the only witnesses produced in the support of a will, and one of them was discredited, and there was no evidence of instructions, the will was pronounced for on the evidence of the single witness, corroborated by the probabilities of the case. *Farmer v. Brock*, 1 Deane, 187.

<sup>(e)</sup> In the Matter of proving the Will of Emmanuel Abrams, dec'd, cited ante, p. 84; *Brinckerhoff v. Remsen*, 8 Paige, 488; In the Matter of proving the Will of Mungo Currie, deceased, cited ante, p. 87; *Remsen v. Brinckerhoff*, 26 Wend. 325; *Chaffee v. Bap. Miss. Con.*, 10 Paige, 85; *Brown v. De Selding*, 4 Sandf. Sup. Ct. Rep. 10; *Lewis v. Lewis*, 1 Kernan, 220; *Noding v. Alliston*, 14 Jur. 904; 2 Eng. L. and Eq. Rep. 594. See, also, Wms. on Exrs. p. 89; *Ex parte Beers*, 2 Bradf. Surr. Rep. 163; *Wilson v. Hetterick*, Ib. 427.

ment, however irregular in form or inartificial in expression, discloses the intention of the maker respecting the posthumous destination of his property ;(*f*) and if this appears to be the nature of its contents, any contrary title or designation which he may have given to it will be disregarded.(*g*)

The Ecclesiastical Courts in England, and the same may be said of the Surrogates' Courts here, do not confine the testamentary disposition to a single instrument; but they will consider several, of different natures and forms, as constituting altogether the will of the deceased.(*h*) It is immaterial in what language a will is written, whether in English or in the Latin, French or any other tongue.(*i*)

The established doctrine of the authorities and cases, previous to the Revised Statutes, by which deeds, indentures, marriage settlements, drafts, sketches of wills, instructions for wills, and, indeed, almost any paper writing, were admitted as valid wills of personal property, can scarcely be considered as applicable under the system introduced by the Revised Statutes. Such instruments or papers are never subscribed, published, and attested with the formalities prescribed by the section of the statute, which has been examined in the foregoing pages, and a paper not so executed is, under the present law, fatally deficient in the essential requisites of a will.

OF THE MATERIALS WITH WHICH A WILL MAY BE WRITTEN, AND OF THE PERSON WHO MAY BE THE WRITER, AND HEREWITH OF A WILL PREPARED BY A LEGATEE.

There are scarcely any restrictions with respect to the materials on which or by which a testamentary document may be executed. Thus, a will or codicil, or any part thereof, may be made or altered in pencil as well as in ink.(*j*) The alterations, however, must be executed, published, and attested, in conformity with the statute; otherwise they will be of no effect; which amounts to a republication of the will.

By the civil law, if a person wrote a will in his own favor, the instrument was rendered void.(*k*) That rule has not been adopted, in its full extent by the law of England or of this state, which only holds that where the person who prepares the instrument, or conducts its execution, is himself benefited by its dispositions, besides being subject to the general rule, that the *onus probandi* lies in every case upon the party propounding a will; he must satisfy the conscience of the court that the instrument so propounded is the last will of a free and

(*f*) *Vaughan v. Burford*, 3 Bradf. Surr. Rep. 78; *Carle v. Underhill*, Ib. 101.

(*g*) 1 Jarman on Wills, 1.

(*h*) Wms. on Exrs. 93, and cases cited, note *c*. In the case of the last will and testament of Catharine Macabee, deceased, before the surrogate of the county of New York, one will of the testatrix, devising her real estate only, but appointing an executor, had been proved; afterwards, two other instruments, both, however, written on the same sheet of paper, one dated previously and the other subsequently to the will already proved, were propounded for probate, and the surrogate admitted them as, together with the will already proved, constituting the last will and testament of the real and personal estate of the testatrix. *Campbell v. Logan*, 2 Bradf. Surr. Rep. 90.

(*i*) Swinb. pt. 4, sec. 25, pl. 3.

(*j*) See Wms. on Exrs. 96.

(*k*) Dig. Lib. 48, tit. 10, sec. 15, and lib. 34, sec. 8; Wms. on Exrs. 96; 4 Barb. Sup. Ct. Rep. 398.

capable testator. This benefit to such legatee is a circumstance which ought generally to excite the suspicion of the court, and calls upon it to be vigilant and jealous in examining the evidence in support of the instrument, in favor of which it ought not to pronounce unless the suspicion is removed, and it is judicially satisfied that the paper propounded does express the true will of the deceased. Nor does the law determine that the act is absolutely void, even though the person drawing the will in his own favor is the confidential agent, or attorney, or adviser of the testator. All that can be truly said is, that if a person, whether attorney or not, prepares a will with a legacy to himself, it is at most a suspicious circumstance, of more or less weight, according to the facts of each particular case; in some, of no weight at all, varying according to the circumstances: for instance, the *quantum* of the legacy, and the proportion it bears to the property disposed of, and numerous other contingencies; but in no case amounting to more than a circumstance of suspicion, demanding the vigilant care and circumspection of the court in investigating the case, and calling upon it not to grant probate without full and entire satisfaction that the instrument did express the real intentions of the deceased. And in such cases, even if the testator's capacity is doubtful, there is not any technical rule which requires proof that the will has been read to or by the deceased, or that it was prepared from instructions given by him. The court would naturally look for such evidence; in some cases it might be impossible to establish a will without it, but it cannot in every case require it. If satisfied that the instrument contains the real intentions of the testator, although there is no proof of reading over, and no proof of instructions, it will grant probate of it.<sup>(l)</sup>

#### OF THE EXECUTION OF WILLS BY BLIND PERSONS, AND BY PERSONS DEAF AND DUMB.

Concerning the execution of the will of a blind testator, no enactment is made in the statute; and consequently he, as well as others, must comply, as far as he is able, with the requisitions of a statute out of which he is not excepted. He must subscribe the will in the presence of the attesting witnesses, or get some other person to sign his name for him, and acknowledge that signature as having been made by him or by his direction and in his presence, and he must declare the instrument so subscribed to be his last will and testament, and request the witnesses to sign it as such. The suggestions of Chancellor Walworth, in *Chaffee v. The Baptist Missionary Convention*,<sup>(m)</sup> for the execution of a will by a person not capable of reading or writing, apply with the greatest force to the execution of a will by a blind person: "It would be proper that the whole will should be deliberately read over to him in the presence and hearing of the witnesses, and the fact of such reading in his presence should be stated in the attestation clause, or at least the witnesses ought, by inquiries of the testator himself, to ascertain

<sup>(l)</sup> *Barry v. Bullin*, 1 Curt. 637; *S. C.*, 2 Moo. P. C. C. 480; *Ingram v. Hyatt*, 3 Hagg. 466; *Wms. on Exrs.* 96 to 101, and cases cited; *Crispell v. Dubois*, 4 Barb. Sup. Ct. Rep. 393; *Greville v. Tylee*, 7 E. F. Moo. 320; *Wilson v. Moran*, 3 Bradf. Surr. Rep. 172; *Newhouse v. Godwin*, 17 Barb. Sup. Ct. Rep. 236.

<sup>(m)</sup> 10 Paige, 90.

the fact that he was fully apprised of the contents of the instrument which he executed and published as his will, as well as that he was of competent understanding to make a testamentary disposition of his property."<sup>(n)</sup> The attesting witnesses must, of course, sign their names as witnesses, as in the ordinary case.

There has heretofore been occasion to remark, in the course of the consideration of the several provisions of the statute, that persons not able to speak, in which description may be included deaf and dumb persons, may make an acknowledgment of subscription or a publication of a will by signs.<sup>(o)</sup> But the greatest pains and caution are demanded in these cases, in order satisfactorily to ascertain and establish that the testator is mentally competent to the execution of a will, and that he fully comprehends the nature of the act he is about performing, and the disposition of his property made by the alleged testamentary instrument.

#### OF NUNCUPATIVE WILLS.

A nuncupative testament is where the testator, without any writing, doth declare his will, before a sufficient number of witnesses.<sup>(p)</sup> Before the Statute of Frauds, it was of as great force and efficacy (except for lands, tenements, and hereditaments) as a written testament.<sup>(q)</sup> But as wills of this description are liable to great impositions, and may occasion many perjuries, that statute (29 Car. II, ch. 3) laid them under several restrictions, except when made by "any soldier being in actual military service, or any mariner or seaman being at sea."<sup>(r)</sup> The statute of this state, "to reduce the laws concerning wills into one statute," passed February 20th, 1801,<sup>(s)</sup> copied substantially all the restrictions of the 29 Car. II, upon the making of nuncupative wills; and so did the act "concerning wills," passed March 5th, 1813,<sup>(t)</sup> and both contained the provision that soldiers in actual military service, and mariners or seamen being at sea, might dispose of their personal estates in the same manner as if those acts had not been passed. Those two statutes of this state have long since ceased to exist, and in their place, on the revision of the statutes, which took effect in 1830, the present statute "of wills and testaments of real and personal property, and the proof of them," was enacted. With respect to nuncupative wills, that statute provides as follows :

Sec. 19. [Sec. 22.] No nuncupative or unwritten will, bequeathing personal estate, shall be valid, unless made by a soldier while in actual military service, or by a mariner while at sea.<sup>(u)</sup>

<sup>(n)</sup> See, also, *Weir v. Fitz Gerald*, 2 Bradf. Surr. Rep. 42.

<sup>(o)</sup> See *ante*, pp. 79, 99.

<sup>(p)</sup> Swinb. pt. 1, sec. 12, pl. 1; Godolph., pt. 1, ch. 4, sec. 6; 7 Bac. Abr. 305, tit. *Wills and Testaments, D.*

<sup>(q)</sup> Swinb., pt. 1, sec. 12, pl. 3; Godolph., pt. 1, ch. 4, sec. 6.

<sup>(r)</sup> See 2 Black. Comm. 500; 4 Kent's Comm. 516; *Hubbard v. Hubbard*, 12 Barb. Sup. Ct. Rep. 153-4; *S. C.*, on appeal, 4 Seld. 196; *Ex parte Thompson*, 4 Bradf. Surr. Rep. 154.

<sup>(s)</sup> Kent and Radcliff's Revision, vol. 1, p. 181, ch. 9, secs. 14 and 15.

<sup>(t)</sup> Van Ness and Woodworth's Revision, vol. 1, p. 367, ch. 23, secs. 14 and 15.

<sup>(u)</sup> 2 R. S. 60; 3 R. S. (5th ed.) 141. There is a marked inconsistency between this section of the statute, and the 40th section, already treated of. This section allows of unwritten wills of personal estate in the cases specified, but the 40th section, in express terms, prescribes that "Every last will and testament of real or personal property, or both, shall be



In respect to all but the two classes of soldiers and mariners, the right to transmit personal property, by means of an unwritten will, was first greatly circumscribed, and then taken away altogether. The imminent dangers, the diseases, disasters, and sudden death, which constantly beset soldiers and sailors; the utter inability oftentimes to find the time or the means to make a deliberate and written testamentary disposition of their effects, seem at all times to have made them a proper exception to the operation of a rule, which the wisdom of later times has found it expedient, if not absolutely obligatory, to apply to all others.<sup>(v)</sup>

In England, by the new Statute of Wills, (1 Vict. ch. 26,) nuncupative wills (or other testamentary dispositions) are altogether rendered invalid. The exception, however, in favor of soldiers and mariners, is continued by the 11th section of the statute, which provides and enacts that "any soldier, being in actual military service, or any mariner or seaman, being at sea, may dispose of his personal estate as he might have done before the making of this act."

This privilege, as it respects soldiers, has been held to be confined, by the insertion of the words "actual military service," to those who are *on an expedition*; and, consequently, it has been decided that the will of a soldier, made while he was quartered in barracks, is not privileged.<sup>(w)</sup>

With respect to the exception of "any mariner or seaman, being at sea," in a case where the testator was commander-in-chief of the naval force at Jamaica, but lived on shore at the official residence with his family, it was held that he did not come within the exception; for that he was not "*at sea*," within the meaning of that expression in the act; and, consequently, that a nuncupative will, made by him on shore, was invalid.<sup>(x)</sup> But in a late case, on motion,<sup>(y)</sup> the unattested will of a seaman—who, while on board of a vessel lying in the harbor of Buenos Ayres, on the 4th of November, 1839, obtained leave to go on shore, where he met with an accident, and was thereby so severely injured that he died on shore on the 9th—was admitted to probate as being within the exception; and the court distinguished the case from that

executed and attested in the following manner;" and then follows the enumeration of the formalities which have been considered, utterly incompatible with the making of unwritten wills, and distinctly and irresistibly implying that the will must be in writing. And if the latter section is paramount to the previous, nuncupative or unwritten wills are entirely abolished. The plan proposed by the revisers was consistent in all its parts. Wills of personal property were to be executed as formerly, nuncupative wills were to be allowed only in the cases of seamen and soldiers, and wills of real estate were to be in writing, and executed with substantially the same formalities as were prescribed by the Statute of Frauds. (See 3 R. and or. S. (2d. ed.) Appendix, 627, 629.) The legislature, having determined to require wills of real and wills of personal property to be executed in the same manner, seem to have forgotten that they had already, adopting a part of the plan of the revisers, allowed of unwritten wills in certain cases, and framed a section which, by necessary implication, requires wills to be in writing in all cases.

<sup>(v)</sup> 12 Barb. Sup. Ct. Rep. 155, per Brown, J.

<sup>(w)</sup> *Drummond v. Parish*, 3 Curt. 522; *White v. Repton*, 3 Curt. 818. See *In the Goods of Phipps*, 2 Curt. 368; *In the Goods of Johnson*, 2 Curt. 341; *Wms. on Exrs.* 102; *Herbert v. Herbert*, 1 Deane, 10.

<sup>(x)</sup> *The Earl of Euston v. Seymour*, cited *per curiam*, 2 Curt. 339; 3 Curt. 530.

<sup>(y)</sup> *In the Goods of Lay*, 2 Curt. 375.

just cited, where the testator was living on shore at Jamaica, only occasionally going on board his ship; but this was to be regarded as the will of a seaman "at sea," although the deceased was not actually on board ship at the time the will was made.

In the recent case of *Hubbard v. Hubbard*,<sup>(z)</sup> in this state, the testator was, at the time of his death master of a coasting vessel employed in the transportation of coal from the city of Philadelphia to one of the eastern ports, and the alleged testamentary words were spoken by him on board of the vessel in his last illness, while the vessel, with her cargo on board, was lying at anchor, wind bound, at the mouth of Delaware Bay, under the breakwater, and about a mile distant from the main land, and three miles from the nearest settlement; and the place where the vessel lay, the bay between Capes May and Henlopen, is eighteen miles wide, and the tide ebbs and flows six feet: it was held that the testator was a mariner "at sea," within the meaning of the terms of the statute.

And where the cook on board of a steam-ship, while lying at the wharf in the harbor of Bremen, made certain testamentary declarations, it was held that he came within the meaning of the privilege provided by the statute, and that those expressions were entitled to probate as his nuncupative will.<sup>(a)</sup>

As to the construction of the words "mariner or seaman" in the exception in the English act, it has been held that the purser of a man of war is within this description, and it should seem that it includes the whole service, applying equally to superior officers, up to the commander-in-chief, as to a common seaman being at sea. And it has also been held to apply to *merchant* seamen.<sup>(b)</sup> In *Hubbard v. Hubbard*, in this state, above cited, the testator was the *master* of the vessel, and in the *merchant* service; and in the case of Thompson, as is above stated, he was the cook of the steam-ship. In the Admiralty law, women, as well as men, employed on board of a vessel to promote the purposes of the voyage, are mariners.<sup>(c)</sup>

The right, however, of a soldier in actual military service, or of a mariner at sea, to make an unwritten will, is not an unqualified right, which may be exercised under all circumstances. As the making of such wills can only be justified upon the plea of necessity, so they will only be tolerated when made *in extremis*.<sup>(d)</sup>

<sup>(z)</sup> 12 Barb. Sup. Ct. Rep. 148; *S. C.*, on appeal, 4 Selden, 196.

<sup>(a)</sup> *In the matter of Thompson*, 4 Bradf. Surr. Rep. 154.

<sup>(b)</sup> Wms. on Exrs. 103, and cases cited.

<sup>(c)</sup> Benedict's Admiralty, 158. In England, notwithstanding the general provisions of the act, it has been held that a minor may make his will if he falls within the exception as being "in actual military service," &c.; the words of the clause being "any soldier," &c. *In the Goods of Farquhar*, 4 Notes of Cases, 651, 652; Wms. on Exrs. 103.

<sup>(d)</sup> 12 Barb. 155-6. Mr. Surrogate Bradford, however, upon this point, pronounces the law as follows: "As well because the wills of soldiers and mariners were excepted from the operation of the provisions of the statute of frauds, as for the reason and ground of the exception, and the peculiar character of the military testament, it was never held requisite that these nuncupations should be made during the last sickness." *Ex parte Thompson*, 4 Bradf. Surr. Rep. 154, 160.

As this point was not involved in *Hubbard v. Hubbard*, the Court of Appeals did not pass upon it on the appeal in that case.

The statute does not anywhere prescribe the circumstances which must attend the speaking of the words, or the formalities requisite to be observed, to render valid a nuncupative or unwritten will. The very essence of the privilege consists in the absence of all ceremonies as legal requisites.<sup>(e)</sup> The common law, in respect to these matters, is, therefore, the guide in such cases. It is laid down by an authority, that a will by word or without writing is where a man is sick, and for fear that death or want of memory or speech should surprise him, that he should be prevented, if he stayed the writing of his testament, desires his neighbors and friends to bear witness of his last will, and then declares the same presently by word before them.<sup>(f)</sup> But it seems the *rogatio testium*, or calling upon persons to bear witness to the act, is not necessary. Thus, in *Hubbard v. Hubbard*, above cited, the testator was asked by the mate of the vessel, who was one of the witnesses to the will, whether he had a will; he said no, that he had had one, but that it was destroyed. He was then asked by the mate, what disposition he wished to make of his property; he replied, "I want my wife to have all my personal property." The three other witnesses who were examined, severally stated that they were present when the mate put the questions to the testator as to whether he had a will, and what disposition he wished to make of his property, and that they heard him give the answer as above stated. They all testified that the testator was of sound mind and memory at the time, and not under any restraint. And it was held that the proof established a valid nuncupative will. The case probably furnishes a fair example of the application of the rules of the common law to wills of this nature, and presents the utmost limits within which those rules allow of such a testamentary disposition of property.

#### OF THE REVOCATION OF WILLS.

There has already been occasion to observe that a will is, in all cases whatever, a revocable instrument. For, though a man make his testament and last will irrevocable in the strongest and most express terms, yet he may revoke it, because his own act and deed cannot alter the judgment of the law to make that irrevocable which is, of its own nature, revocable.<sup>(g)</sup> A will is, therefore, said to be ambulatory until the death of the testator.<sup>(h)</sup>

It has already been stated that a mutual and conjoint will is unknown to the testamentary law.<sup>(i)</sup> One ground of objection to such an instrument as testamentary, is its irrevocability. However, such a will may, it should seem, in some cases, be enforced in equity as a com-

<sup>(e)</sup> *Ex parte Thompson*, 4 Bradf. Surr. Rep. 154, 160. See, also, *Hubbard v. Hubbard*, 4 Seld. 196, 201.

<sup>(f)</sup> 7 Bac. Abr. 305, tit. *Wills and Testaments*, D. It will occur to the reader that a will in writing, not executed with the solemnities prescribed by the statute for the execution of wills generally, may, when made by a soldier in actual service or a sailor at sea, be valid as a nuncupative will. See *Herbert v. Herbert*, 1 Deane, 10.

<sup>(g)</sup> *Vynior's Case*, 8 Co. 82, a; Swinb., pt. 7, sec. 14, pl. 2.

<sup>(h)</sup> See Wms. on Exrs. 109.

<sup>(i)</sup> *Ante*, p. 53

pact, and such a case was referred to and considered in a note at a previous page in the second edition of this work, in connection with the subject of mutual wills.(j)

The following statutory provisions relate to the revocation of wills :

Sec. 37. [Sec. 42.] No will in writing, except in the cases hereinafter mentioned, nor any part thereof, shall be revoked or altered, otherwise than by some other will in writing, or some other writing of the testator, declaring such revocation or alteration, and executed with the same formalities with which the will itself was required by law to be executed, or unless such will be burnt, torn, canceled, obliterated, or destroyed, with the intent and for the purpose of revoking the same, by the testator himself, or by another person in his presence, by his direction and consent; and when so done by another person, the direction and consent of the testator, and the fact of such injury or destruction, shall be proved by at least two witnesses.(k)

Sec. 38. [Sec. 43.] If, after the making of any will, disposing of the whole estate of the testator, such testator shall marry, and have issue of such marriage, born either in his lifetime or after his death, and the wife or the issue of such marriage shall be living at the death of the testator, such will shall be deemed revoked, unless provision shall have been made for such issue by some settlement, or unless such issue shall be provided for in the will, or in such way mentioned therein as to show an intention not to make such provision; and no other evidence to rebut the presumption of such revocation shall be received.

Sec. 39. [Sec. 44.] A will executed by an unmarried woman, shall be deemed revoked by her subsequent marriage.

Sec. 40. [Sec. 45.] A bond, agreement, or covenant, made for a valuable consideration, by a testator, to convey any property devised or bequeathed in any will previously made, shall not be deemed a revocation of such previous devise or bequest, either at law or in equity; but such property shall pass by the devise or bequest, subject to the same remedies on such bond, agreement, or covenant, for a specific performance or otherwise, against the devisees or legatees, as might be had by law against the heirs of the testator, or his next of kin, if the same had descended to them.(l)

Sec. 41. [Sec. 46.] A charge of incumbrance upon any real or personal estate, for the purpose of securing the payment of money, or the performance of any covenant, shall not be deemed a revocation of any will relating to the same estate, previously executed; but the devises and legacies therein contained, shall pass and take effect, subject to such charge or incumbrance.

Sec. 42. [Sec. 47.] A conveyance, settlement, deed, or other act of a testator, by which his estate or interest in property, previously devised or bequeathed by him, shall be altered, but not wholly divested; shall not be deemed a revocation of the devise or bequest of such

(j) *Law of Surrogates, &c.*, 2d ed., p. 45, note u; *Dufour v. Pereira*, 1 Dick. 419. See, also, *Walpole v. Lord Orford*, 3 Ves. 402; 1 Add. 278; *Jurid. Arg.* 272; 2 *Jurid. Ex.* 101; *Wms. on Exrs.* 109, 110; *Day, ex parte*, 1 Bradf. Surr. Rep. 476; *In the Goods of Stracey*, 1 Deane, 6; *In the Goods of Ranie*, 1 Swabey and Tristram, 144.

(k) 2 R. S. 64; 3 R. S. (5th ed.) 144.

(l) 2 R. S. 64; 3 R. S. (5th ed.) 145.

property; but such devise or bequest shall pass to the devisee or legatee the actual estate or interest of the testator, which should otherwise descend to his heirs, or pass to his next of kin; unless, in the instrument by which such alteration is made, the intention is declared, that it shall operate as a revocation of such previous devise or bequest.

Sec. 43. [Sec. 48.] But if the provisions of the instrument by which such alteration is made, are wholly inconsistent with the terms and nature of such previous devise or bequest, such instrument shall operate as a revocation thereof, unless such provisions depend on a condition or contingency, and such condition be not performed, or such contingency do not happen.(m)

Sec. 44. [Sec. 49.] Whenever a testator shall have a child born after the making of his will, either in his lifetime or after his death, and shall die, leaving such child, so after born, unprovided for by any settlement, and neither provided for, nor in any way mentioned in his will, every such child shall succeed to the same portion of the father's real and personal estate, as would have descended or been distributed to such child if the father had died intestate, and shall be entitled to recover the same portion from the devisees and legatees, in proportion to and out of the parts devised and bequeathed to them by such will.(n)

Sec. 48. [Sec. 53.] If, after the making of any will, the testator shall duly make and execute a second will, the destruction, canceling, or revocation of such second will, shall not revive the first will, unless it appear by the terms of such revocation that it was his intention to revive and give effect to his first will, or unless, after such destruction, canceling, or revocation, he shall duly republish his first will.(o)

The following section of the Revised Statutes also has a bearing upon the subject of the revocation of wills:

Sec. 90. [Sec. 67.] No will of any testator who shall die after this chapter shall take effect as a law, (31st December, 1829,) shall be allowed to be proved as a lost or destroyed will, unless the same shall be proved to have been in existence at the time of the death of the testator; or be shown to have been fraudulently destroyed in the lifetime of the testator; nor unless its provisions shall be clearly and distinctly proved, by at least two credible witnesses—a correct copy or draft being deemed equivalent to one witness.(p)

The whole subject of the revocation of wills is now regulated by statute, and a revocation is not effectual unless the statute has been complied with.(q) A mere intention to revoke, however well authenticated, or however defeated, is not sufficient. Intention, to be effectual, must be actually carried into execution. Nor will the prevention of the execution of a codicil, by improper means, operate to invalidate the will. Thus, where the testator, having desired to make a codicil to his will, in order to enlarge the provisions in favor of his daughter, and his son, who took the chief share under the will, and who had the custody of that instrument, refused to produce the will at the request

(m) 2 R. S. 65; 3 R. S. (5th ed.) 145.

(n) *Ib.* 65; 3 R. S. (5th ed.) 145.

(o) 2 R. S. 66; 3 R. S. (5th ed.) 146.

(p) 2 R. S. 68; 3 R. S. (5th ed.) 153.

(q) See *McLoskey v. Reid*, 4 Bradf. Surr. Rep. 334.



## 130 REVOCATION BY A SUBSEQUENT TESTAMENTARY DISPOSITION.

of the testator, to enable him to have the codicil prepared, it was held that the will was not thereby revoked.(r)

Again: where a will has been executed according to the law of the domicile of the testator and the law of the place where made, the subsequent change of domicile by the testator to a place by the law of which different forms are required, will not work a revocation of the will.(s)

It may be added that the cases establish the doctrine that, upon a question of revocation, no declarations of the testator are admissible, except such as accompany the act by which it is alleged the will is revoked; such declarations being then received as a part of the *res gestæ*, for the purpose of showing the intent of the act.(t)

### REVOCATION BY A SUBSEQUENT TESTAMENTARY DISPOSITION.

"Concerning the making of a later testament," says Swinburne,(u) "so large and ample is the liberty of making testaments, that a man may, as oft as he will, make a new testament, even until his last breath; neither is there any cautel under the sun to prevent this liberty; but no man can die with two testaments, and, therefore, the last and newest is of force; so that, if there were a thousand testaments, the last of all is the best of all, and makes void the former."(v)

With respect further to revocation, by a subsequent will, as provided by the 42d section of the statute, it is to be observed that a subsequent will does not revoke a former one, unless it contains a clause of revocation or is inconsistent with it. Thus, in *Nelson v. McGiffert*,(w) the will offered for probate was dated and executed in July, 1832, and the evidence fully established the fact of the due execution of a testamentary paper by the decedent in 1837, which was not forthcoming; but the parties entirely failed in showing that the latter was a revocation of the will of July, 1832, or that it was inconsistent with that will in any respect, and the prior will was sustained. And where the last will is inconsistent with the former will, in some of its provisions merely, it is only a revocation *pro tanto*.(x) Thus, several testamentary papers, all duly executed at different dates, but not containing any clause of revocation, may all be admitted as together constituting the last will of the decedent. And so it was determined in the case of the will of Catharine Macabee, deceased, before the surrogate of the county of New York,(a) where a will disposing of the testatrix's real estate only, but

(r) *Leaycraft v. Simmons*, 3 Bradf. Surr. Rep. 35.

(s) *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322.

(t) *Waterman agst. Whitney*, 1 Kernan, 162.

(u) Part 7, sec. 14, pl. 1.

(v) In *Bryan v. White*, (14 Jur. 919; 5 Eng. L. and Eq. 579,) the testator died on the 25th of August, leaving two wills of the 23d and 24th of that month, of a totally different tenor; and the will of the 24th had no words of attestation, although the prior will had a full attestation clause. Upon the evidence in the case it was held, that the presumptions arising against the last will, from the improbability of two wills on two successive days, and other circumstances, were rebutted, and the last will alone was established.

(w) 3 Barb. Ch. Rep. 158, 164.

(x) *Brant v. Wilson*, 8 Cow. 56. But see *Plenty v. West*, 1 Robert. 264; 9 Jur. 458; *Plenty v. West*, 17 Jur. 9; 22 Law J. Rep. (N. S.) Chanc. 185; 15 Eng. L. and Eq. Rep. 283; *Richards v. Queen's Proctor*, 18 Jur. 540; *Cutto v. Gilbert*, lb. 560.

(a) February, 1852, Hon. A. W. Bradford, Surr.; *Campbell v. Logan*, 2 Bradf. Surr. Rep. 90. See, also, *Richards v. Queen's Proctor*, 18 Jur. 540.

appointing an executrix, had already been admitted to probate, and a subsequent will, disposing of personal property, without any clause of revocation, was afterwards offered for probate, and was admitted, as, together with the former, constituting the last will and testament of the testatrix. And whether the provisions of the two or more wills, under these circumstances, were inconsistent with each other, would probably be left to be determined by a court of construction.

Mere proof of the execution of a subsequent will, then, is not sufficient to invalidate a prior will. There must be proof of a clause of revocation or of contrary or inconsistent provisions. And where the contents of the last will cannot be ascertained, it is not a revocation of the former will. This was decided by the Court of King's Bench, in England, more than one hundred and fifty years ago, in the case of *Hutchins, lessee of Nosworthy, v. Bassett*.<sup>(b)</sup> And that decision was subsequently affirmed upon a writ of error in the House of Lords.<sup>(c)</sup> In the subsequent case of *Harwood v. Goodright*,<sup>(d)</sup> which came before the Court of King's Bench in 1774, it was held that a former will was not revoked by a subsequent one, the contents of which could not be ascertained; although it was found, by a special verdict, that the disposition which the testator made of his property by the last will, was different from that made by the first will, but in what particulars the jurors could not ascertain. This case also was carried to the House of Lords upon a writ of error; and the judgment of the Court of King's Bench was affirmed. As these two decisions of the court of *dernier resort* in England were previous to the Revolution, they conclusively settle the law on the subject here.<sup>(e)</sup>

But in *Simmons v. Simmons*,<sup>(f)</sup> where there were three wills executed at intervals, and neither of the latter two contained any clause of revocation, but the will of latest date and execution made a complete disposition of all of the property of the testator, it was held that the last-mentioned will was inconsistent with the valid existence of any prior will; that by disposing of all the property of the testator, it worked a revocation of the wills previously executed, and that it alone was entitled to probate by the surrogate, as the last will and testament of the deceased. And a will duly executed, which in terms revokes all former wills and appoints executors, is a valid revocation of a former will, disposing of a part of the testator's property, although the will containing such clause of revocation makes no disposition of the property embraced in the former will.<sup>(g)</sup>

The dispositions of a will are not to be disturbed by a codicil, further than is absolutely necessary to give it effect.<sup>(h)</sup> And in the absence of express words of revocation, the provisions of a codicil are never construed as revoking those of the will, unless they are so entirely re-

<sup>(b)</sup> Comb. Rep. 90; 3 Mod. 203, S. C.

<sup>(c)</sup> See *Hungerford v. Nosworthy*, Show. Cases in Parl. 146.

<sup>(d)</sup> Cowper's Rep. 87.

<sup>(e)</sup> See the judgment of Chancellor Walworth in *Nelson v. McGiffert*, 3 Barb. Ch. Rep. 162, 165.

<sup>(f)</sup> 26 Barb. Sup. Ct. Rep. 68.

<sup>(g)</sup> *In the Matter of Thompson*, 11 Paige, 453.

<sup>(h)</sup> *Kane v. Astor's Executors*, 5 Sandf. Sup. Ct. Rep. 467.

pugnant that, to give effect to those of the codicil, those of the will must wholly fail.<sup>(i)</sup>

If two inconsistent wills be found of the same date, or without any date, and no evidence can be adduced establishing the posteriority of the execution of either, both are necessarily void, and the deceased must be considered intestate; but in every case the courts will struggle to reconcile them, if possible, and collect some consistent disposition from the whole.<sup>(j)</sup>

Again: where there are several codicils or other testamentary papers of different dates, it is a question of intention, upon all the circumstances of the case, which and how far either is a revocation of another, or whether the dispositions of the latter are to be considered as additional and cumulative to those of the prior. Parol evidence, however, is not to be admitted in order to investigate the *animus* with which the act was done, unless there is such doubt and ambiguity, *on the face of the papers*, as requires the aid of extrinsic evidence to explain it.<sup>(k)</sup>

Previous to the enactment of the above-recited 53d section of the statute, it had long been a *vexata quæstio* whether the principle of law was that, on the revocation of a later will, a former uncanceled will should revive or not. In the courts of common law, the presumption, it was said, was in favor of the revival of the former will; but in the ecclesiastical courts, either an opposite presumption prevailed, or the case was considered to be open without prejudice to the examination of testimony. In both courts, however, the law was undisputed that parol evidence was admissible to ascertain the real intentions of the testator, and to determine the fact of a revival of his will, or a designed intestacy.<sup>(l)</sup> It was this rule, say the revisers in their note to this provision,<sup>(m)</sup> which they proposed to change in the above section, by adopting the presumption against a revival, and excluding evidence to contradict it. 'It seemed to them, they added, "that the admission of parol evidence, in any case, to ascertain the intentions of the deceased, is contrary to the whole spirit and policy of the Statute of Wills, and is calculated to let in all the mischiefs which its salutary provisions were framed to prevent."

The provisions of the 53d section, as reported by the revisers and adopted by the legislature, it is plain, do not extend to all cases of the revocation or destruction of a second will, to affect the previous testamentary dispositions. Assuming that a second will, by its terms revoking a previous will still retained by the testator, although of itself revocable during the life of the testator absolutely from the time

(i) *Conover v. Hoffman*, 1 Bosworth, 214.

(j) See Wms. on Exrs. 144, and authorities cited.

(k) See Wms. on Exrs. 136, and cases cited. For a very curiously-complicated case, involving the question of the relative effect of several codicils and testamentary papers, see *Hale v. Tokelove*, 14 Jur. 817; 5 Eng. L. and Eq. 574. See, also, *Warner v. Warner*, 15 Jur. 141; 2 Eng. L. and Eq. 68. *In re Hough's Estate*, 20 Law J. Rep. (N. S.) Chanc. 422; 6 Eng. L. and Eq. 61; *In the Goods of Dendy*, 15 Jur. 1042; *Ib.* 584; *Cleoburg v. Beckett*, 14 Beavan, 583; 11 L. and Eq. 329.

(l) *Goodright v. Glazier*, 4 Burr. 2512; Cowp. 1791; 1 Phillim. 406, 446; 2 Addam, 116. See, also, Wms. on Exrs. 154.

(m) 3 R. and or. S. (2d. ed.) Appendix, 633.

of its execution, defeats the previous will, and thereby, if the latter should be destroyed, canceled or revoked, raises a necessity for the revival of such previous will, in order to give it validity—a case where the second will does not, by its terms, impair the validity of the previous will, but which has been destroyed or revoked, is not within the provision of the statute. In such a case, the words of the statute, that “the destruction, canceling, or revocation of the second will, shall not *revive* the first will, unless it appear by the terms of such revocation that it was his [the testator’s] intention to *revive and give effect* to his first will,” have not any application. There cannot be any occasion for the testator, on destroying or revoking a subsequent will, to manifest an intention to revive a previous will, if the validity of such previous will has not been defeated or impaired.(n)

## BY EXPRESS REVOCATION.

According to the above-quoted 42d section of the statute, express revocation or alteration of a will, or other testamentary instrument, cannot be effectual, unless “by some other will in writing, *or some other writing of the testator, declaring such revocation or alteration, and executed with the same formalities with which the will itself was required by law to be executed.*” Among the formalities prescribed for the due execution of a will, as will be remembered, it is required that the testator, at the time he subscribes or acknowledges his subscription, “shall declare the instrument, so subscribed by him, to be his last will and testament.” The instrument of revocation, contemplated by the section of the statute now under consideration, is not a will, and cannot be published as such: because, in the first place, it is executed for the very opposite purpose, that of defeating a will; and, in the second place, a revocation by a subsequent will is expressly provided for by the previous words of the section. The clause in question, providing for an express revocation, is, therefore, inoperative. The section was reported by the revisers, with a view to the adoption, by the legislature, of the pro-

(n) The language of the statute would seem to imply that, in all cases of the execution of a second will, the presumption is, that such will revokes the first. This section of the statute was not adverted to in the decision of *Nelson v. McGiffert* (3 Barb. Ch. Rep. 158); although it was cited on the argument. It does not, however, appear from the judgment of the chancellor in that cause, that it was considered that the destruction, canceling, or revocation of the second will, was established by the proof. Such a case is not within the present section of the statute. Where that does appear, it might, perhaps, admit of a doubt whether, instead of requiring of the party setting up the revocation, proof of a clause of revocation or of inconsistent provisions, the burden of proof, on the strict letter of the statute, would not lie on the other party to prove the absence of such clause or provisions.

The corresponding provision of the recent English statute (1 Vict., c. 26,) enacts [sec. 22] that no will or codicil, or any part thereof, *which shall be in any manner revoked*, shall be revived otherwise than by the re-execution thereof, &c.

In *Cutto v. Gilbert*, (18 Jur. 560,) A. C. duly executed his will. Subsequently, he duly executed another will, which was not found at his death, and the contents of which were unknown, save that it was headed “last will and testament;” it was held that the former will was revoked by the execution of the latter.

In the case of *The Goods of William Brown*, (1 Swabey and Tristram, 32,) the destruction of a second will itself revoking one of a prior date, it was held, did not revive the first will, and parol evidence was admitted to prove the contents of the second will.

visions recommended by them, prescribing the formalities for the execution of wills, and this clause was consistent with and applicable to those provisions.(o) Those provisions were not adopted by the legislature; but, in their stead, the existing statute regulating the execution of wills was enacted. The change made by the legislature in the formalities for the execution of wills, created the incongruity.

#### REVOCATION BY THE REPUBLICATION OF A PRIOR WILL.

If a man make a will, and, at a future period, republish it, such republication will revoke any will, intermediate to the original date of the prior will and its republication.(p) But this subject will be more conveniently discussed hereafter, when the doctrine of republication, generally, is considered.(q)

#### REVOCATION BY BURNING, TEARING, CANCELING, OBLITERATING, OR DESTROYING.

With respect to revocations by injuries to or destruction of the instrument, it has been held, that in order to operate a revocation of a will in this manner, it is not necessary that the instrument itself should be consumed or torn to pieces.(r) Such acts, on the part of the testator, as clearly evince a purpose and determination to destroy the will, and which, if not frustrated by accident, or by the interference of other persons, would inevitably accomplish the destruction of the paper, are sufficient, even though the will be not actually injured in any material part, or be but slightly burned. But there must be an actual burning of the will to some extent, in order to effect a revocation of this nature.(s) And whether by tearing or burning, for the purpose of revoking, there must be such an injury perpetrated, with intent to revoke, *as destroys the entirety of the will*. It may then be said, that the instrument no longer exists as it was.(t) There may, however, be a revocation of particular bequests or devises, or of portions of them by obliteration, and thus a partial revocation of a will; as where a testator, after duly executing his will, passed a pen through portions of a devise to his daughter; giving, in a note at the foot of the page, bad treatment as a reason.(u) It should be added, that if the act of destruction or cancelation be inchoate and incomplete, it will not amount to a revocation.(v) Thus, if the testator, in a moment of passion against a legatee in his will, commence tearing the paper, but, being interrupted before doing all he intended, afterwards expresses himself glad that he had not completely destroyed the paper, this is not a revocation.

(o) See 3 R. and or. S. (2d ed.) Appendix, 627, 629, 631.

(p) Wms. on Exrs. 161, and cases cited.

(q) *Post*, p. 142.

(r) *Bibb v. Thomas*, 2 W. Black. 1043; *Elms v. Elms*, 1 Swabey and Tristram, 155.

(s) *Doe v. Harris*, 6 A. and D. 209; *S. C.*, 2 Nev. and P. 615.

(t) *Ib.* See, also, *Hobbs v. Knight*, 1 Curt. 768; Wms. on Exrs. 119, 121. *In the Goods of Thomas Gullan*, 1 Swabey and Tristram, 23; *In the Goods of Samuel William Lewis*, *Ib.* 31.

(u) *McPherson v. Clark*, 3 Bradf. Surr. Rep. 92. See, also, *In the Goods of Elizabeth Stone James*, 1 Swabey and Tristram, 238.

(v) *Doe v. Perkes*, 3 B. and A. 489. See, accordingly, *In the Goods of Colberg*, 2 Curt. 832.



So, although the testator had previously declared his intention to make a new will, and leave all his property to the principal legatee in the existing will, and sent for the will, and tore it almost in two, but was stopped by the exclamations of persons in the room as to the danger of destroying the existing will before making another, and the testator let the will fall on the floor, but in a few minutes picked it up, and refused to burn it, and the paper, in its torn condition, being found at the death of the testator, and there being satisfactory evidence that the paper was duly executed, and no evidence to prove that, by partial tearing, the testator had carried into effect the original intention he had had to revoke the instrument, it was held that it was entitled to probate.(w) And where a will was destroyed by the testator, on the supposition that he had substituted another for it, but which was not duly executed, probate of a copy of the first will was granted.(x)

The statute requires that the burning, tearing, canceling, obliterating, or destroying of the will, with the intent or for the purpose of revoking it, must be performed by the testator himself, or by another person in his presence, by his direction and assent. Where the testatrix ordered a codicil to her will to be burned, and the person to whom she gave the order burned the codicil in another room, up stairs, and not in the presence of the testatrix, it was held that there was no revocation of the codicil, and the draft of it was admitted to probate.(y)

The destruction of the instrument, by the direction and in the presence of the testator, or even by his own hand, will not amount to a revocation in judgment of law, unless he had at that time sufficient capacity to understand the nature and effect of the act, and performed it, or directed it to be performed, freely and voluntarily, with the intent to effect a revocation; and although the instrument is not in being, its contents having been satisfactorily shown, there is no difficulty in establishing it as a will, if it is shown to have been improperly destroyed.(z) It is true, that a will proved to have been in existence, but not found at the death of the testator, is presumed to have been destroyed by him *animo revocandi*, with the intent of revoking it; and the above 67th section of the statute makes provision for this case; and it is incumbent upon a party, who seeks to establish such will, to repel that presumption, and show that it was improperly destroyed.(a)

All questions of revocation of wills have ever been regarded in the probate courts as questions in some degree of intention, and every fact of revocation may, in some sort, be said to be equivocal: but canceling and obliterating have always been considered peculiarly as equivocal acts, which, in order to operate a revocation, must be done with intention to revoke. The presumption of law, *prima facie*, is, that such acts are done *animo revocandi*.(b)

(w) *Elms v. Elms*, 1 Swabey and Tristram, 155.

(x) *Scott v. Scott*, Ib. 258. See, also, *In the Goods of C. Cockayne*, 1 Deane, 177.

(y) *In the Goods of Ann Dadds*, 1 Deane, 290.

(z) *Trevelyan v. Trevelyan*, 1 Phillim. 153; *Idley v. Bowen*, 11 Wend. 227, 235; *Smith v. Wait*, 4 Barb. Sup. Ct. Rep. 28.

(a) *Idley v. Bowen*, *supra*. See, also, *Nelson v. McGiffert*, 3 Barb. Ch. Rep. 158, 164.

(b) *Wms. on Exrs.* 128, and cases cited. The act of canceling is, in itself, equivocal, and will be governed by the intent. The rule is, that if the testator lets the will stand until he

But this presumption may be repelled by evidence, showing that the *animus* did not exist; as if a man were to throw ink upon his will, instead of sand, though it might be a complete deficiency of the instrument, it would be no revocation; or suppose a man having two wills of different dates by him, should direct the former to be canceled, and, through mistake, the person directed should cancel the latter, such an act would be no revocation of the latter will.(c)

In the case of *the Goods of Redding, otherwise Higgins*,(d) the deceased in 1843, then passing by the name of Higgins, requested a friend to draw up her will, which he did, describing her as "C. Higgins," and the deceased duly executed that will, signing it, "C. Higgins." In 1845, the deceased, who had in the meantime, but without any assigned reason, dropped the name of Higgins, and assumed that of Redding, requested the same person to alter her will for her, so far as regarded her name, and accordingly the name "Higgins" was turned into "Redding," in the body of the will and attestation clause, and the signature, "C. Higgins," was erased with a knife. This was done in the presence of the deceased and subscribing witnesses; after which, the deceased signed the will, "C. Redding," but the witnesses, though present, did not sign the will. "The circumstances of this case," says Sir H. Jenner Fust, "are rather peculiar, but, as it appears from the facts which have been stated, that the deceased had no intention of revoking her will, and her second signature has not been attested, probate must pass of the will as originally executed."

A codicil is, *prima facie*, dependent on the will; and the destruction or mutilation of the will, is an implied revocation of the codicil. But there have been cases, where the codicil has appeared so independent of and unconnected with the will, that, under the circumstances, the codicil has been established, though the will has been held invalid. It is a question altogether of intention. Consequently, the legal pre-

dies, it is his will; if he does not suffer it to do so, it is not his will. It is ambulatory until his death. (4 Burr. 2514.) There must be a canceling *animo revocandi*. Revocation is an act of the mind, which must be demonstrated by some outward and visible sign of revocation. The statute has prescribed four [five]. If any of them are performed in the slightest manner, joined with a declared intent to revoke, it will be an effectual revocation. (2 Bl. Rep. 1044.) *Dan v. Brown*, 4 Cowen, 483, 490, per Woodworth, J. See, also, *Jackson ex dem. Howard v. Holloway*, 7 Johns. Rep. 394; *Jackson v. Betts*, 9 Cowen, 408; S. C., 6 Wend. 173; *In the Goods of King*, 2 Robert. 403; *Clarke v. Scripps*, Ib. 403. The intention to revoke the will must, however, be apparent, and must be attended by evidence of some act which, within the terms of the statute, shall effect a revocation of the will. *In the case of the Goods of J. Fary*, (15 Jur. 1114; 9 Eng. L. and Eq. Rep. 600,) the deceased duly executed his will in 1846; in 1850, circumstances occurred which rendered this will inoperative, except as to a legacy of 100*l.*; and in December he drew a pen across the will, and wrote at the top of each sheet "canceled," and, also, at the end of the will, he wrote, "canceled by me, this 1st day of December, 1850," and it was held that no revocation had been effected, and probate was decreed. See, also, *In the Goods of James*, 1 Swabey and Tristram, 238.

(c) Wms. on Exrs. 120, and cases cited. This principle, that the effect of the obliteration, canceling, &c., depends upon the mind with which it is done, having been pursued in all its consequences, has introduced the doctrine of dependent relative revocations, in which the act of canceling, &c., being done with reference to another act, meant to be an effectual disposition, will be a revocation or not, according as the relative act be efficacious or not. The cases on this doctrine are collected and explained by Mr. Justice Williams in his admirable work on Executors, pp. 121-126. See, also, Ib. 141-145.

(d) 14 Jur. 1052; 1 Eng. L. and Eq. Rep. 624; 2 Robert. 339.

sumption in this case, may be repelled, namely, by showing that the testator intended the codicil to operate, notwithstanding the revocation of the will.(e)

It should be stated, in conclusion, that the *onus* of making out that the cancelation of a will was the act of the testator himself, lies upon those who oppose the will.(f)

OF REVOCATION BY MARRIAGE OR OTHER CHANGE OF CIRCUMSTANCES,  
AND THEREWITH OF PRESUMPTIVE OR OTHER IMPLIED REVOCATIONS.

The different methods of expressly revoking a will having been now considered, it remains to treat of presumed or implied revocations.

The 42d section of the statute which has been considered, provides that no will in writing can be revoked otherwise than by another will by express revocation, or by mutilation or destruction in the manner therein prescribed, "except in the cases hereinafter mentioned." Those cases are where, subsequently to the making of the will, the testator has married and had issue, or a change has occurred in the family of the testator, or in respect to the property, or a portion of the property, devised or bequeathed.

The 43d section of the statute makes the marriage of the testator and the birth of issue, the wife or the issue surviving the testator, a revocation of the will, unless provision has been expressly made for such issue, or the will shows an intention not to provide for such issue. Marriage alone, or the birth of issue alone, is not a revocation, but where marriage and the birth of issue concur, the will is revoked, although the wife alone survive, and if neither survive, the will is not revoked. But a provision for the issue, or an express intention not to make such provision, prevents a revocation, although there be no provision, or an absence of intention not to provide for the wife. The statute applies only in the case of a will "disposing of the whole of the estate of the testator." It applies, however, as well to a case where the testator had children by a former wife, who are provided for in the will, as where he was without children at the time it was executed.(g) The statute prescribes the exact extent of the proof which is to rebut the presumption of revocation, that is, either provision for the issue by settlement, or in the will, or the mentioning of the issue in the will, in such way as to show an intention not to make such provision, "thereby relieving the courts from all difficulty on that embarrassing point."(h)

By the 44th section of the statute, a will executed by an unmarried woman is revoked by her subsequent marriage. It was an old and settled rule of law that if a woman made a will, and afterwards married, the marriage alone was a revocation of the will. This was not on the principle of presumption, arising from change of the condition of

(e) Wms. on Exrs. 126, and cases cited. See, also, *Hale v. Tokelove*, 14 Jur. 817; 2 Robert. Eccles. R. 318; 5 Eng. L. and Eq. 574.

(f) Wms. on Exrs. 138; *Harris v. Berrall*, 1 Swabey and Tristram, 153.

(g) See *Havens v. Vandenberg*, 1 Denio, 27.

(h) 4 Kent's Comm. 527; *Adams v. Winne*, 7 Paige, 99, per Walworth, Chan.

the testatrix, but the reason was, that as it is in the nature of a will to be ambulatory during the testatrix's life, and marriage disables her from making any other will, the instrument ceases to be any longer ambulatory, and must consequently, be void. Therefore, the will of a *feme sole* ceased to have any operation after she became covert.<sup>(i)</sup> And if the wife survived her husband, although there was some conflict of opinion on the point, the weight of authority seems to have been that the will could not be deemed to have revived by the death of the husband.<sup>(j)</sup> The above provision, declaring that the will of a married woman shall be deemed revoked by a subsequent marriage, effectually put an end to this question under that statute.<sup>(k)</sup>

But upon the reason of the thing, this section of the Revised Statutes is repealed by the act of the 7th April, 1848, "for the more effectual protection of the property of married women," as amended by the act of the 11th April, 1849. The amended act provides as follows:

Sec. 3. Any married female may take, by inheritance, or by gift, grant, devise or bequest, from any person other than her husband, and hold to her sole and separate use, and convey and devise real and personal property, and any interest or estate therein, and the rents, issues, and profits thereof, in the same manner, and with the like effect, as if she were unmarried, and the same shall not be subject to the disposal of her husband, nor be liable for his debts.<sup>(l)</sup>

Marriage, under this enactment, does not disable a female who has already made a will from making any other will. The will is still ambulatory, as before the marriage. The right and the power to make a valid will has not been changed. They remain precisely the same as if the woman had continued sole. It is submitted, therefore, that, the disability on the part of the woman to make or alter a will during coverture, no longer existing, a will executed previously to a marriage is not revoked by that event.

By the above-recited 45th section of the statute, a bond, agreement, or covenant to convey property devised or bequeathed by a previous will, is not to be deemed a revocation of the will, either at law or in equity; but such property is to pass by the devise or bequest, subject to the right of the purchaser to a specific performance. Whether the land, with respect to which the contract has been made, is to be considered as real or personal estate after the making of the agreement to sell the same, the interest of the testator therein passes to the objects of his bounty, as specified in his will, in the same manner as if the agreement had not been made subject to the right of the other party to the agreement, to a specific performance of the contract upon payment of the purchase-money, according to the terms of the agreement, for the benefit of whoever may be entitled to the same under the will.<sup>(m)</sup> The rule, however, is, that a contract to sell lands is a revocation, in equity,

(i) 4 Kent's Comm. 527; Wms. on Exrs. 165.

(j) See 4 Kent's Comm. 527-8; Wms. on Exrs. 165. See, also, for a different view of the point, 3 R. and or. S. (2d ed.) Appendix, 631; Reviser's original note to sec. 51.

(k) 4 Kent's Comm. 528.

(l) S. L. 1849, 528; 3 R. S. (5th ed.) 240.

(m) See *Knight v. Weatherwax*, 7 Paige, 184.

*pro tanto* of a prior will. Still, as to the legal estate, the will remains in force; the title passes to a devisee, and he will be considered a trustee for the purchaser, and compelled to convey in fulfillment of the contract.<sup>(n)</sup>

The above sections of the statute, (46, 47, and 48,) prescribe the effect to be produced upon the terms of a will by a change in the situation of the testator's property, made after the execution of the will.

Under these provisions of the statute, evidence is admissible to show the situation of the testator's property at the time of making his will, and the changes which took place therein afterwards, for the purpose of enabling the court to determine, as a question of law, whether a devise of real estate was revoked, or a specific legacy was adeemed, either wholly or in part, by a partial or total destruction or change of the subject matter of the devise or bequest. Parol evidence of an actual intent to revoke a devise or bequest, which revocation could not be implied by the court from a mere knowledge of the different situations of the testator's family and property at the time of making his will, and afterwards, is, however, wholly inadmissible. In other words, extrinsic evidence must be admitted to prove the *material facts* upon which the legal question of revocation depends. But if the court, after being placed in the situation of the testator, by a knowledge of all these material facts, is not authorized, as a question of legal construction, to declare that the devise or bequest was revoked or adeemed, other evidence to show the actual intention of the testator cannot be received. On the other hand, it would seem the Revised Statutes have settled the principle, which before had been left in doubt by the conflicting decisions of courts, that where the law presumes a revocation from a change in the testator's family or property, after the making of his will, parol evidence of actual intention to the contrary is not admissible to rebut that presumption.<sup>(o)</sup>

Previous to the Revised Statutes, a devise of real estate, whether general or specific, was in the nature of an appointment of the specific estate, which the testator had at the time of making his will; but to take effect only at his death, and giving the testator the absolute control over the same in the meantime. It was, therefore, like a bequest of a specific legacy of personal estate, liable to be revoked or adeemed by a total destruction, or an entire change of the estate or property which was the subject matter of the bequest or devise. It necessarily followed, from the adoption of this principle, that when the testator entirely changed the nature of the property devised, or parted with all his interest in the same subsequently to the making of his will, there was an implied revocation of the devise, even though he might afterwards have acquired a similar interest in the same property by a new purchase thereof, or otherwise. But where the subsequent disposition was of a partial interest in the subject matter of the devise merely, but leaving the residue of the estate devised still in himself, it was only

(n) *Gaines v. Winthrop*, 2 Edw. Ch. Rep. 571, and authorities cited.

(o) *Adams v. Winne*, per Chancellor Walworth, 7 Paige, 99; Reviser's Notes, 3 R. and or. S. (2d ed.) Appendix, 631, &c.



a partial revocation or addemption, to the extent to which the estate was disposed of or changed, and the devise remained good as to the residue. And as there was a marked difference between real and personal estate in many respects, it also followed, from the principle before mentioned, that when the testator had converted real estate, which he had devised as such into personalty, or had converted the subject of a specific bequest of personal property into real estate, there was a revocation of the will or an ademption of the bequest, so far as the nature of the property was changed. To this extent it is apprehended the legislature intended to leave the law unchanged by the above 47th section of the statute, except in the case of a mere equitable conversion of the subject of the devise, by a covenant or contract to sell the same, which case is provided for by the 45th section.

"There was another class of cases, however, in which the courts of England, by departing from the true principle of implying the revocation of a devise only in case of an actual, instead of a mere constructive ademption of the subject matter of the testator's bounty, and by the adoption of arbitrary rules, not founded upon any correct principles of construction, had almost uniformly defeated the actual intentions of the testator.(p) The result of a departure from the true principle upon which the implied revocation by ademption was originally based, and of an adherence to these arbitrary rules and subtil refinements in defeating the intention of the testator by a constructive revocation of his will, is very clearly and forcibly pointed out by the revisers in their note to the 47th, 48th, and 49th sections of the statute, as finally adopted.(q) There is probably no doubt, therefore, that this class of cases alone was intended to be reached by the adoption of the 47th and 48th sections, the 49th being only applicable to an unsettled question of implied revocation of an entirely different character.

"Before the passing of the Revised Statutes, it was well settled that an actual conversion of real property into personalty, as by the sale of land, and taking a bond and mortgage thereon for the purchase-money, was such an ademption or destruction of the specific property devised, as to revoke a previous will of such property as real estate. . And even an equitable conversion of the real estate devised by the making of a valid agreement to sell the same, but without parting with the legal title, was deemed a revocation in equity. Both of these cases came within the general principle upon which the implied revocation of devise by addemption of the subject matter thereof was originally based. And as the legislature has altered the law as to the last only, it follows that where the testator, after the making of his will, has sold a portion of the land devised, although he has taken back a bond and mortgage upon the same property for a part of the purchase-money, the will of the testator is absolutely revoked as to the land so sold.

Accordingly, in *Adams v. Winne*,(r) where W., by his will, devised

(p) See *Cave v. Halford*, 3 Ves. jun., 662, and cases referred to.

(q) See 3 R. and or. S. (2d ed.) Appendix, 631.

(r) 7 Paige, 97, from the judgment of the chancellor, in which the above observations on the present subject are mainly taken. See, also, *Beck v. McGillis*, 9 Barb. Sup. Ct. Rep. 35; *Brown v. Brown*, 16 ib. 56.

to his two sons certain portions of his real estate, and charged them with the payment of his debts; and also devised certain other portions of his real estate to his two daughters, and then gave all the rest of his property to his four children, to be equally divided between them; and after the making of his will, he sold and conveyed one of the lots he had devised to his daughters for \$3,000, and received on the sale one-sixth of the purchase-money, and a bond and mortgage on the lots sold for the residue; and the testator died, leaving the bond and mortgage uncollected; it was held that the sale of the lot, and taking back the bond and mortgage for the purchase-money, was a revocation of the devise of the lot to the two daughters, and that the bond and mortgage passed to the four children under the residuary clause in the will. But if the land devised, is reconveyed to the devisor, and the title is in him at the time of his death, the same will pass under the will without any formal republication thereof.(s)

And where the testator devised a farm to his son, in consideration of labor performed for him by his son after he became of age, which farm was of adequate value to compensate for such services, and afterwards conveyed the same farm to the son in fee; it was held that such devise was revoked by such conveyance, and that the claim of the son for his services would remain unsatisfied, unless it was agreed that the conveyance should be in lieu of the devise, and in satisfaction of that claim.(t)

Again: where land is devised to several persons in fee, in undivided shares, a subsequent conveyance by the devisor to one of the devisees of a part of the same land, will not revoke or be deemed a satisfaction of the devise to the grantee; but he is entitled to the land conveyed by the deed, and to a share in the land remaining under the will. And this, although it appears there was a mutual mistake as to the effect of the deed, both parties supposing the land conveyed would be in lieu of the share given to the grantee by the will.(u)

Where the testator, on the same day on which he executed his will, executed an instrument purporting to be a trust-deed, by which he reserved to himself a life estate in certain lands devised by the will; it was held that the provisions of the two instruments being not inconsistent, but in harmony with each other, and the grantor not having "wholly divested" himself of his interest in the property, the will was not revoked by the deed.(v) And it was suggested, in that case, that there might be some doubt whether the sections of the statute referring to the revocation of "*devises and bequests*," as distinguished from those relating to the revocation of "*wills*," were not intended for the guidance and direction of courts of construction, and not for the Probate Court.

Again: improvements upon the land devised, made by the testator after the execution of the will, will not work a revocation; and even where the devise was in satisfaction of a debt, and both improvements

(s) *Brown v. Brown*, 16 Barb. Sup. Ct. Rep. 569.

(t) *Rose v. Rose*, 7 Barb. Sup. Ct. Rep. 174.

(u) *Arthur v. Arthur*, 10 Barb. Sup. Ct. Rep. 9.

(v) *Vreeland v. McClelland*, 1 Bradf. Surr. Rep. 393.

were made upon the land, by the erection of buildings, which nearly doubled its value, and the debt was reduced after the execution of the will, during the life of the testator, it was held that there was not a revocation of the devise.<sup>(w)</sup>

So a devise of a lot which the testator has contracted in writing to purchase, and upon which he has paid a portion of the purchase-money, is not revoked by the payment of the residue of the purchase-money, and the absolute conveyance of the lot to the testator after his execution of the will. And where the heir at law in such a case had brought ejectment to recover possession of the lot in question, it was held that the devisee was entitled to a perpetual injunction to restrain him from proceeding in such suit, and to a decree for a conveyance by the heir at law of his legal title, which in equity he held as a mere trustee for the devisee.<sup>(x)</sup>

#### OF THE REPUBLICATION OF WILLS.

The above-recited 53d section of the statute, which has already been partially considered,<sup>(y)</sup> provides that the destruction, canceling, or revocation of a second will, shall not revive the prior will, unless it appear, by the terms of such revocation, that it was the intention of the testator to revive and give effect to his first will, or unless, after such destruction, canceling, or revocation, he shall duly republish his first will. The only mode in which a will which has been revoked by the execution of a second will can be revived, is that pointed out by this section of the statute. It must appear, by the terms of the revocation, that it was the testator's intention to revive the prior will, or, after the destruction, canceling, or revocation, he must duly republish his first will. The previous 42d section of the statute, it will be remembered, provides that the revocation of a will must be executed with the same formalities prescribed for the execution of the will itself.<sup>(z)</sup> A revocation of a second will, which, by its terms, manifests the testator's intention to revive the first will, must, therefore, of itself, be a republication of the first will.

A codicil will amount to a republication of the will to which it refers, whether the codicil be, or be not annexed to the will,<sup>(a)</sup> or be, or be not expressly confirmatory of it,<sup>(b)</sup> for every codicil is, in construction of law, part of a man's will, whether it be so described in such codicil or not; and, as such, furnishes conclusive evidence of the testator's considering his will as then existing. But, although the effect of a codicil, as to republication, is by no means dependent on its being annexed to the will; yet, if there are several wills of different dates, and there be a question to which of these the codicil is to be taken as a codicil, the circumstance of annexation is most powerful to show that

<sup>(w)</sup> *Havens v. Havens, &c.*, 1 Sandf. Ch. Rep. 324.

<sup>(x)</sup> *Clapper v. House*, 6 Paige, 149. But see *Plowden v. Hyde*, 16 Jur. 823; 21 Law J. Rep. (N. S.) Chanc. 196; 13 Eng. L. and Eq. 175.

<sup>(y)</sup> *Ante*, pp. 129, 132.

<sup>(z)</sup> It has been intimated, at a previous page, that this provision is inoperative. See *ante*, p. 133.

<sup>(a)</sup> *Van Cortland v. Kip*, 1 Hill, 590.

<sup>(b)</sup> *In the Goods of James Terrible*, 1 Swabey and Tristram, 140.

was intended as a codicil to the will to which it is annexed, and to no other. A codicil referring inaccurately to a will, as where there is a misstatement in respect to the day of the month of the date of the will, may, nevertheless, republish it.(c)

But, although the general rule as to the republishing operation of a codicil is as above stated; yet, in all cases of this kind, the question to be considered is, whether the particular case is, or is not, within the general rule; for, if it appears, on the face of the codicil, that it was not the intention of the testator to republish, the ordinary presumption arising from the existence of the codicil will be counteracted.(d) A codicil well executed, which, by its terms, republishes a will found to be deficiently executed, is a good publication of the will, and any informality in the execution of the will, is corrected by the codicil duly executed.(e)

#### OF THE CONSEQUENCES OF REPUBLICATION.

It has long been settled law, that the republication of a will is tantamount to the making of that will *de novo*; it brings down the will to the date of the republishing, and makes it *speak*, as it were, *at that time*. In short, the will so republished is a new will.(f)

Consequently, upon the ordinary and universal principle, that of any number of wills, the last and newest is that in force, it revokes any will of a date prior to that of the republication.(g)

But there is a great distinction between wills and codicils in this respect; for as every codicil is, in construction of law, a part of the will, a testator, by expressly referring to, and confirming the will, will not be considered as intending to set it up against a codicil or codicils revoking it in part. If a man ratifies and confirms his last will, he satisfies and confirms it with every codicil which has been added to it.(h)

So, where the testator executed his will on the 4th day of July, 1836, and at intervals executed several codicils, the latest of which was dated December 22d, 1843, all of which were duly executed and attested on the days they respectively bore date; and on the 11th of

(c) Wms. on Exrs. 185, and cases cited.

(d) Wms. on Exrs. 186. See, also, the judgment of Lord Cranworth, V. C., in *Stilwell v. Mellersh*, 20 Law J. Rep. (N. S.) Chanc. 356; 5 Eng. L. and Eq. Rep. 185.

(e) *In the Goods of Mary Ann Dicken, deceased*, 2 Robert. Eccl. Rep. 298. So, a will or codicil, not duly executed, may be rendered valid by a later codicil duly executed, and referring clearly to it, or in such a manner as to show the intention. Therefore, if you were to begin your codicil, "This is a codicil to my last will," and there was only one will, those words would set up the will, although not duly executed. Handy Book on Property Law, 6th ed., 151.

(f) Wms. on Exrs. 188.

(g) Wms. on Exrs. 188, and cases cited. In *Bryan v. White*, (14 Jur. 919; 5 Eng. L. and Eq. Rep. 579,) the testator died on the 25th of August, leaving two wills of the 23d and 24th of that month, of a totally different tenor; and the will of the 24th had no words of attestation, although the prior will had a full attestation clause. Upon the evidence in the case, it was held that the presumptions arising against the last will from the improbability of the two wills on two successive days, and other circumstances, were rebutted, and the will of the 24th of August alone was established.

(h) Wms. on Exrs. 189, and cases cited.

January, 1845, the testator acknowledged and published all these instruments as his last will and testament, and codicils thereto; it was held that the will and codicils, having all been executed in due form, the re-execution could not annul the prior execution, or alter or vary the effect of the instruments, any further than as a republication; that they were the same instruments after as before the re-execution, and that legacies satisfied or adeemed were not revived by the re-execution.(i)

Another consequence of a republished will being considered as a new will, of the date of the republication, is, that its operation is extended to subjects which have arisen between its date and republication. Thus, if a man bequeath goods which he has not, if he after do purchase the same, and then republish his will, it shall be a good will, and the bequest will be effectual; and so far has the doctrine that a republication gives words, used in the original will, the same force and effect as they would have had if first written at the time of the republication, been extended, that it has been considered that a bequest may extend to *any person* to whom the description is applicable at the period of republication, though not originally intended.(j)

This consequence of republication was not so important with respect to personalty, as it was with regard to realty, before the passing of the Revised Statutes, because a will of personalty, if it contained prospective words sufficiently comprehensive, would operate on the personal estate of the testator, to which those words applied, although acquired since the making of the will, without any republication of it; whereas no real estate which the testator had not at the date of the will would pass by it, however express, comprehensive, and general the words, or however manifest the intention of the testator might be. Consequently, no after-purchased lands could pass, nor any lands which did not remain in the same condition from the date of the will till the death of the testator, unless there were a republication, according to the solemnities required by the act concerning wills or the Statute of Frauds; for any, the least alteration, or new-modeling of the estate after the will, was an actual revocation.(k)

But now, by statute, every will that shall be made by a testator in express terms, of all his real estate, or in any other terms denoting his intent to devise all his real property, shall be construed to pass all the real estate which he was entitled to devise at the time of his death.(l)

It has already appeared,(m) with reference to this provision of the statute, that if the testator devise all his real estate at a particular place, or within a particular district of country, after-purchased lands at that place or within that district do not pass by the will. In order to make a will in such a case apply to such lands, it must be republished.

The execution after the Revised Statutes of a codicil to a will, made

(i) *Langdon v. Astor's Executors*, 16 N. Y. Rep. 9.

(j) See Wms. on Exrs. 190.

(k) See *ante*, p. 139, and authorities and cases cited.

(l) 2 R. S. 57; 3 R. S. (5th ed.) 139, sec. 5. *Ante*, p. 51.

(m) *Ante*, p. 51; *Pond v. Bergh*, 10 Paige, 140.



before they took effect, renders the construction of the will subject to the provisions of those statutes, in the same manner as if it had then been originally made, and, therefore, in such a case, it was held that the statute declaring that no devise to a corporation shall be valid, unless such corporation be expressly authorized by its charter, or by statute, to take by devise,<sup>(n)</sup> controlled the effect and validity of certain provisions of such will.<sup>(o)</sup>

It has already been stated,<sup>(p)</sup> that a codicil has the effect, and independently of any intention, to bring down the will to the date of the codicil, making the will speak as of that date, unless a contrary intention be shown, in which case it will repel that effect. When it is said, however, that a codicil, republishing a will or confirming a will, makes the will speak from the time of republication, that does not mean that the will is to be read in any way different from the mode in which it would have been read, if the testator had died the moment after he had executed it. Thus, it was said by Lord Cranworth, V. C., in *Stilwell v. Mellersh*:<sup>(q)</sup> "Suppose I, by my will, say I give £500 to the present treasurer of Lincoln's Inn, and this day twelve months I republish my will, does that alter the party who is to take the legacy? That must be so, if it is to read as if I had written it over again; the 'present' treasurer would be a different person. So I conceive if I had said, I devise all the estates of which I am now seized, I afterwards purchase lands, and republish my will, I confess I think the same principle applies, as governed Lord Cottenham, in *Cole v. Scott*.<sup>(r)</sup> My will must be read in the same way as if I had said, I give all the lands of which I, on this 24th day of March, 1851, am seized, and if I republish that in 1852, it will still be read just as it was before; it will refer only to that which is there mentioned. Now, the cases in which this question has often arisen, and with which we are familiar, are these: where a party says by his will, 'I give all my lands,' what does that mean? All the lands that I have power to give. When, a year afterwards, I republish that will, having immediately purchased lands, it will apply to the after-purchased lands. It is to be read just as if I had put in those words, 'all the estates which I had power to give.' Therefore it seems to me that the distinction is manifest between an express date, or an express name fixed upon. You cannot alter that by saying you republish the will at a different time. You do republish it, so as to make it operate from that other later time, and if there be any legal effect that is brought to operate by what has taken place in the meantime, you have the benefit of that. But you cannot alter the meaning of the will, which you will be doing, if, by republishing the will, you are to treat the testator as having meant something by his will different from that which he has there expressed."<sup>(s)</sup>

(n) 2 R. S. 57, sec. 3; 3 R. S. (5th ed.) 138, sec. 3.

(o) *Ayers v. Meth. Ch.*, 3 Sandf. Sup. Ct. Rep. 352.

(p) *Ante*, pp. 52, 143.

(q) 20 Law J. Rep. (N. S.) Chanc. 356; 5 Eng. L. and Eq. Rep. 185.

(r) 1 Hall and Tw. 477; 1 Mac. and Gor. 518; 19 Law J. Rep. (N. S.) Chanc. 63.

(s) See, also, the judgment of Lord Mansfield, in *Heylin v. Heylin*, Cowper, 132; *Langdon v. Astor's Executors*, 16 N. Y. Rep. 9.

## OF PROVING WILLS.

All wills to affect lands within this state, and generally all wills disposing of personal property within this state, must be proved in the Surrogate's Court having jurisdiction, unless in those cases where jurisdiction is given to the Supreme Court, formerly the Court of Chancery, to take the proof of the will. Those cases are where the witnesses to a will duly executed, according to the laws of this state, reside without the jurisdiction of this state, or where the original will is in the possession of a court or tribunal of justice in any other country or state, whence the same cannot be obtained,<sup>(t)</sup> or where a will of personal estate is duly executed by a person residing out of this state, according to the laws of the state or country in which the same was made.<sup>(u)</sup> In all other cases, the proceedings for the proof of the will are to be taken in the Surrogate's Court.

Before the Revised Statutes took effect, the jurisdiction of the Surrogates' Courts was limited to the probate of wills of personal property. Where any real estate was devised by last will and testament, the executors to such will, or any person interested in the estate, might cause the said will to be brought before the Supreme Court, or the Court of Common Pleas of the county in which such real estate was situated, and the proof might there be taken and the will and proof recorded, and a will, duly certified by the clerk of the court in which the proof was taken to have been so proved, or the record, or a duly certified transcript of the record of such will and proof, was as effectual in all cases as the original will was, if produced and proved.<sup>(v)</sup> But now, under the Revised Statutes, the Surrogates' Courts have jurisdiction to take the proofs of wills of real as well as personal estate, as has heretofore appeared,<sup>(w)</sup> and there is not any other court which has jurisdiction to take the proof of a will, except in the particular cases above specified.

The proving and recording of wills of real estate, have become of greater importance to devisees since the Revised Statutes than formerly. The fifth title of the first chapter of the second part of the Revised Statutes,<sup>(x)</sup> enacts as follows:

Sec. 3. The title of a purchaser in good faith, and for a valuable consideration, from the heirs at law of any person who shall have died seized of real estate, shall not be defeated or impaired by virtue of any devise made by such person of the real estate so purchased, unless the will or codicil containing such devise shall have been duly proved as a will of real estate, and recorded in the office of the surrogate having jurisdiction, or of the register of the Court of Chancery, [now the Supreme Court,] where the jurisdiction shall belong to that court, within four years after the death of the testator: except—

1. Where the devisee shall have been within the age of twenty-one

(t) 2 R. S. 67; 3 R. S. (5th ed.) 151, sec. 79 [sec. 63].

(u) 2 R. S. 67; 3 R. S. (5th ed.) 152, sec. 824 [sec. 68].

(v) 1 R. L. 1813, 365, sec. 7.

(w) *Ante*, p. 24; 2 R. S. 220; 3 R. S. (5th ed.) 362, § 1.

(x) 1 R. S. 748-9; 3 R. S. (5th ed.) 38.

years, or insane, or imprisoned, or a married woman, or out of the state at the time of the death of such testator; or,

2. Where it shall appear that the will or codicil containing such devise shall have been concealed by the heirs of such testator, or some one them.

In which several cases, the limitation contained in this section shall not commence until after the expiration of one year from the time when such disability shall have been removed, or such will or codicil shall have been delivered to the devisee or his representative, or to the proper surrogate.

#### OF THE SURROGATE'S COURT HAVING JURISDICTION TO TAKE THE PROOF OF THE WILL.

The act of the legislature of the 16th of May, 1837, "concerning the proof of wills," &c., provides as follows:

Sec. 1. The surrogate [or county judge, &c.,] shall have jurisdiction, exclusive of every other surrogate, within the county for which he may be appointed, [elected,] to take the proof of last wills and testaments of all deceased persons, in the following cases:

1. Where the testator, at or immediately previous to his death, was an inhabitant of the county of such surrogate, in whatever place such death may have happened;

2. Where the testator, not being an inhabitant of this state, shall die in the county of such surrogate, leaving assets therein;

3. Where the testator, not being an inhabitant of this state, shall die out of the state, leaving assets in the county of such surrogate;

4. Where a testator, not being an inhabitant of this state, shall die out of the state, not leaving assets therein; but assets of such testator shall thereafter come into the county of such surrogate;

5. Where no surrogate has gained jurisdiction under either of the preceding clauses, and any real estate devised by the testator shall be situated in the county of such surrogate.<sup>(y)</sup>

The Revised Statutes, as has been seen,<sup>(z)</sup> provide that every surrogate, duly qualified, shall have power also to take the proof of any will relating to real estate, situated within the county of such surrogate, when the testator in such will shall have died out of this state, not being an inhabitant thereof, and not leaving any assets therein.

The case of a person, not an inhabitant of this state, dying in the county of the surrogate, not leaving assets therein, but leaving assets in another county, and that of a person, not an inhabitant, dying in the county, not leaving assets therein, but assets coming into the county after his death, are both unprovided for in terms by the above section of the statute; though, if a non-inhabitant die out of this state under these circumstances, the proving of his will is regulated. The statute declaring the general powers of the surrogate, it will be remembered, authorizes him "to take the proof of wills of real and personal estate, in the cases prescribed by law;" and further enacts, that his powers "shall be exercised in the cases and in the manner prescribed

<sup>(y)</sup> S. L. 1837, 524; 3 R. S. (5th ed.) 362-3, sec. 2.

<sup>(z)</sup> *Ante*, p. 19; 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1.

by the statutes of this state.”(a) It has been considered, with reference to the similar cases to those above specified alike unprovided for, in respect to the granting of administration, that there cannot, in the nature of things, be any reason why the administration of the assets of a non-inhabitant dying in the county should not belong to the surrogate, as well as that of a non-inhabitant dying out of the state, and that the argument for the power in the former instance is stronger than in the latter ;(b) and it would seem, notwithstanding the restrictive clauses of the statute above quoted, that provided the fact be established, that assets of the deceased have come into the county since his death, or were here at that time, the surrogate has jurisdiction.

A will of personalty, valid according to the law of the domicile of the testator, but not valid according to the law of the place where it was made, may be proved before the surrogate, under a commission issued for the examination of foreign witnesses.(c) There is, in the Surrogate’s Court, both jurisdiction to take the proof and the means of taking it.(d)

The Surrogate’s Court proceeds, in all matters relating to the probate of wills, and the administration of the estates of deceased persons, according to the course of the common and ecclesiastical law, as modified by statutory regulations. Where jurisdiction is given by statute, the mode of exercising it, in cases not specially provided for, must be regulated by the court, in the exercise of a sound discretion, according to circumstances.(e)

It was decided in *Keeney v. Whitmarsh*,(f) that the proceeding to prove a will is not an action under the code: “It is not,” argue the court, “a proceeding for the protection or enforcement of a right, within the meaning of the code. It is not an *adversary* proceeding. It does not seek any *relief* against the parties, as in the case of an action to recover a sum of money, or specific real or personal estate. If an action, then it must be commenced by summons and complaint, and the citation has become obsolete. This proceeding is, moreover, expressly saved from the operation of the code, as one of the special proceedings from which its operation is excluded.”

#### OF THE PROVING OF WILLS OF INHABITANTS OF THE COUNTY—OF THE PRELIMINARY PROCEEDINGS.

It is proposed, in the first place, to consider the proving of wills, under the first subdivision of the above section of the statute, namely, the proving of the wills of persons who, at or immediately previous to their deaths, were inhabitants of the county of the surrogate. This subdivision, it is manifest, embraces by far the greatest portion of the wills brought before the surrogate. The preliminary proceedings are alike in all such cases, whether the will relate either to real or to personal estate, or to both.

(a) 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1. See *ante*, p. 24.

(b) *Kohler v. Knapp*, 1 Bradf. Surr. Rep. 241.

(c) See sec. 77, S. L. 1837, 537; 3 R. S. (5th ed.) 364, sec. 11.

(d) *Isham v. Gibbons*, 1 Bradf. Surr. Rep. 69, 79.

(e) *Campbell v. Logan*, 2 Bradf. Surr. Rep. 90.

(f) 16 Barb. Sup. Ct. Rep. 141, 145.

The following sections of the statutes prescribe such preliminary proceedings. They are general in their terms, and, in respect to real estate, extend to all cases within the jurisdiction of the surrogate, of whatever place the deceased may have been an inhabitant, except where the Supreme Court has jurisdiction to take the proof of the will, as above specified.

Sec. 4. The executor, devisee, or legatee, named in any last will, or any person interested in the estate, may have such will proved before the proper surrogate.<sup>(g)</sup>

Sec. 5. On application to the surrogate, he shall ascertain, by satisfactory evidence, the following facts:

1. If the will relate exclusively to real estate, the names and places of residence of the heirs of the testator, or that, upon diligent inquiry, the same cannot be ascertained;

2. If the will relate exclusively to personal estate, the names and places of residence of the widow and next of kin of the testator, or that, upon diligent inquiry, the same cannot be ascertained;

3. If the will relate to both real and personal estate, the names and places of residence of the heirs, widow, and next of kin of the testator, or that, upon diligent inquiry, the same cannot be ascertained.

Sec. 6. The surrogate shall also ascertain whether any and which of the persons mentioned in the preceding section are minors, and the names and places of residence of their general guardians, if they have any; and if there shall be no general guardian within this state, the surrogate shall, by an order to be entered, appoint a special guardian for such minor, to take care of his interest in the premises; and the written consent of every person so appointed special guardian to serve as such, shall be filed with the surrogate. The testamentary guardian named in the will to be proved, shall not for this purpose be deemed a general guardian.<sup>(h)</sup>

Sec. 7. The surrogate shall thereupon issue a citation, requiring the proper person, at such time and place as shall be therein mentioned, to appear and attend the probate of the will. The citation shall state who has applied for the proof of the will, and whether it relate exclusively to either real or personal estate, or to both real and personal estate. It shall be directed to the proper persons by name, stating their places of residence, or, if any of them are minors, to their guardians, by name, stating their places of residence. If the name or place of residence of any person who ought to be cited, cannot be ascertained, such fact shall be stated in the citation.

Sec. 8. The citation shall be served on the persons to whom it is directed, as follows:

1. On such as reside in the same county with the surrogate, or an adjoining county, by delivering a copy to such person at least eight days before the day appointed for taking the proof; or by leaving a copy, at least eight days as aforesaid, at the dwelling-house or other place of residence of such person, with some individual of suitable age

<sup>(g)</sup> S. L. 1837, 524; 3 R. S. (5th ed.) 146.

<sup>(h)</sup> S. L. 1837, 525; 2 R. S. 66, sec. 49; 3 R. S. (5th ed.) 146.



and discretion, and under such circumstances as shall induce a reasonable presumption in the mind of the surrogate that the copy came to the hands or knowledge of the person to be served with it in time for him to attend the probate of the will :

2. On such as reside in any other county in this state, by delivering a copy personally to such person, or leaving it at his dwelling-house or other place of residence, in the manner and under the circumstances above mentioned, at least fifteen days before the day appointed for taking the proof ;

3. On such persons as do not reside in this state, citations may be served by delivering a copy personally to such persons, or leaving it at his or her dwelling-house or other place of residence, not less than fifteen days, nor more than ninety days before the day appointed for taking proof of any will ; and on such persons as do not reside in this state, or whose places of residence cannot be ascertained, by publishing a copy of the citation in the state paper for six weeks previous to the day appointed for taking the proof.(i)

Sec. 9. Before proceeding to take the proof of any will, the surrogate shall require satisfactory evidence, by affidavit, of the service of the citation, in the mode prescribed by law. If it has not been duly served on all the persons who ought to receive notice, the surrogate may adjourn the proceeding, and issue a further citation for the purpose of bringing in such persons.(j)

#### OF THE PETITION FOR THE PROOF.

The above sections of the statute, numbered 5 and 6, plainly contemplate an examination before the surrogate to enable him to ascertain the facts therein designated ; but the uniform practice has been for the executor, or other person, to commence the proceeding for the

(i) S. L. 1837, 525 ; S. L. 1840, 325 ; 2 R. S. 66 ; 3 R. S. (5th ed.) 147.

(j) S. L. 1837, 526 ; 3 R. S. (5th ed.) 148. Previous to the law of 1837, the preliminary proceedings on proving wills of real estate were prescribed by the following provisions of the Revised Statutes :

Sec. 7. When any real estate shall be devised by will, any executor or devisee named therein, and any person interested in such estate, may have such will proved before the surrogate of the county to whom the probate of the will of the testator would belong, in respect to personal property, under the second article of this title ; and if there be no such surrogate, then before the surrogate of any county in which any real estate devised by such will shall be situated.

Sec. 8. The person intending to apply for the proof of such will, shall give notice of his intention to the heirs of the testator, as follows :

1. To such heirs as reside in the county where such proof is intended to be taken, by serving such notice personally, at least fifteen days previous to such application ;

2. To such heirs as do not reside in the county, but reside in the state, by serving the same personally twenty days previously ;

3. To such heirs as cannot be found in the state, and to such as do not reside therein, by serving such notice personally, twenty days previously, or by publishing it once in each week, for six weeks, in the state paper.

Sec. 9. If any of such heirs shall be minors, and have guardians, service of such notice shall be made upon such guardians, in the same manner as prescribed in the last preceding section. If they shall have no guardians, the surrogate shall appoint guardians to take care of their interests in the premises. See 2 R. S. (2d ed.) 57.

These provisions were repealed by the 71st section of the law of 1837. See S. L. 1837, 536. But this recital of them is necessary, in order to render intelligible some of the subsequent provisions relating to the present subject.

proof of the will, by presenting to the surrogate a written petition, under oath, drawn in the form now to be described, and embodying the facts spoken of in these sections; and no other evidence as to those facts has been deemed necessary. This course of proceeding, although probably not in strict accordance with the intentions of the legislature in enacting these sections, will be found substantially to comply with their requirements. It is now well settled, and is therefore adopted in this work.

The petition must allege the death of the deceased and the time thereof, and that he was, at, or immediately previous to his death, an inhabitant of the county.<sup>(k)</sup> It must state the making of the will, and whether the same relates to real or personal estate, or to both. If it relate to real estate, the competency of the deceased to devise real estate may properly be averred. All the facts directed by the above fifth and sixth sections to be ascertained must then be set forth.

The heirs and next of kin referred to, are those persons to whom the real estate of the deceased would have descended, or who would have been entitled to share in the distribution of his personal property, if he had died intestate. The heirs are determined by the Statute of Descents, (1 R. S. 750;)<sup>(l)</sup> the next of kin by the Statute of Distribution, (2 R. S. 96.)<sup>(m)</sup> The heirs and next of kin are usually the same persons. The question, who constitute the next of kin, will be found particularly discussed in that part of this work in which the distribution of the personal property, in cases of intestacy, is considered. If one of the heirs, or next of kin, or the widow, has died subsequently to the death of the decedent, that fact should be stated in the petition, and the proper representatives of such deceased heir, or next of kin, or widow, as to his or her real or personal estate, should be mentioned, and made parties to the proceeding. In setting forth the heirs and next of kin, the manner and degree of their relationship to the deceased should be given, as well as their names. If the deceased left children, and descendants of deceased children, the names of the former should be first recited, designating them as such, and then the names of the descendants of a deceased child, describing them as descendants of such child, giving his or her name; and so with brothers and sisters, and children of deceased brothers and sisters, or any other relatives, entitled in distribution in cases of intestacy. This is the form an examination before the surrogate would properly take, and it is useful, also, as showing more distinctly the correctness

<sup>(k)</sup> Upon this allegation, the surrogate acquires jurisdiction to take the proof of the will. The fact of the residence of the decedent, is seldom the subject of question. The executor's allegation in his petition, is the only evidence on the point commonly submitted. For an extraordinary case, in which it was held that the jurisdiction of the surrogate having attached by the presentation of a verified petition, containing the allegation in question, and by the appearance of the parties, the decision of the surrogate on the question of the inhabitancy of the decedent was conclusive, no appeal having been taken. See *Monell v. Dennison*, 17 How. Prac. Rep. 422.

<sup>(l)</sup> By the principal provision of the Statute of Descents, the real estate of every person who shall die without devising the same, shall descend in manner following: 1. To his lineal descendants; 2. To his father; 3. To his mother; and, 4. To his collateral relatives: subject, in all cases, to the rules and regulations thereafter prescribed.

<sup>(m)</sup> See *Post*, ch. 12.

of the statement. If the will relate to personal estate, the name of the widow must be given; if there be no widow, that fact should be mentioned. The residences of all the persons named must be set forth. Where the name or residence of any party cannot, upon diligent inquiry, be ascertained, it should be so declared. If either of the next of kin be a married woman, the name and residence of her husband should be set forth. If any of the parties be minors, such parties should be so described, and if either of such parties have a general guardian within this state, his name and residence must be inserted. If either of the minors have no general guardian, that fact should be alleged. The prayer of the petition is, that the alleged last will and testament may be proved, and letters testamentary thereof granted according to law. [For form of petition, see Appendix, No. 1.]

As the petition is in the place of a judicial examination, and as such examination could not properly be had otherwise than under oath, the petition is required to be sworn to by the petitioner. [For form of *jurat* to the petition, see Appendix No. 1.] The oath should doubtless be taken before the surrogate, or officer performing the duties of the office of surrogate. In the county of Kings, the clerk or clerks, and in the county of New York, the assistant, appointed by the surrogates of those counties respectively, in pursuance and by the authority of the several acts of the legislature, at a previous page particularly quoted and referred to,<sup>(n)</sup> are qualified to administer this oath, and the petition has been held sufficiently verified if sworn to before a commissioner of deeds, or other usual officer authorized to administer oaths.

If a married woman be executrix or legatee, devisee, or otherwise interested, so as to propound the will, it is the practice to require her husband to join with her in the petition, as the wife alone is not competent to be a party to the record.

By the above section, numbered 6, if either of the parties is a minor, having no general guardian within this state, the surrogate shall, by an order to be entered, appoint a special guardian for such minor, to take care of his interest in the premises; and the written consent of every person so appointed special guardian to serve as such, shall be filed with the surrogate. [For forms of consent and order of appointment, see Appendix, Nos. 2 and 3.] The phrase "*general guardian*," used in the section, includes guardians, whether testamentary or appointed by deed, the late Court of Chancery, the Supreme Court, or the Surrogate's Court, excepting a testamentary guardian named in the will in question. In a case before the surrogate of the county of New York, a special guardian was appointed for an infant married woman. A special guardian, on proving the will, is appointed for minors residing out of the jurisdiction of this state. It is usual to appoint only a single special guardian for all the minors in any case; although it sometimes happens that the interest of some of the minor heirs, or next of kin, from being preferred by the will as devisees or legatees, may be to support the proof of the will, whilst that of others, from want of such preference, may be to oppose such proof.

(n) S. L. 1849, 235; 1 R. S. (5th ed.) 880, 894; *Ante*, pp. 17, 18; S. L. 1847, vol. 2, 560; S. 1850 384.

## OF THE ISSUING AND SERVICE OF THE CITATION.

The petition having been filed, a citation issues for proving the will. The above section, numbered 7, prescribes the form of such citation. It must require "*the proper persons*" to appear and attend the probate of the will. The "*proper persons*" are, if the will relate to both real and personal estate, the widow, heirs, and next of kin of the deceased; if it relate to real estate only, then the heirs alone are the persons intended; if it relate to personal estate only, then the widow and next of kin are the "*proper persons*." All the parties are named in the same citation. It must be directed to them by name, stating their places of residence. If either of the heirs or next of kin is a married woman, the practice is to direct the citation to both the husband and wife; but since the passage of the acts giving to a married woman the same right as a *feme sole* in her separate property,<sup>(o)</sup> if the decedent has died since the enactment of those statutes, there seems to be no necessity for citing the husband.<sup>(p)</sup> If any of the parties are minors, the citation runs to their guardians, whose residences must be stated. If the minor have a general guardian in this state, the citation must be directed to him. And this, notwithstanding the general guardian of the infants has an interest under the alleged will adverse to that of the infants.<sup>(q)</sup>

If the minor has not any general guardian in this state, the citation runs to the special guardian who has just been appointed by the surrogate. If one of the heirs, or next of kin, or the widow, has died subsequently to the death of the decedent, the legal representatives of such person, as to his or her real or personal estate, are proper persons to be included in the citation. If the name or place of residence of any person who ought to be cited, cannot be ascertained, such fact must be stated in the citation. The name of the person applying for the proof, and the description of the estate, whether real or personal, to which the will relates, must be stated. It is usual to insert the date of the will in the citation, but that is unnecessary. An order must be entered for issuing the citation. [For forms of the order and citation, see Appendix, Nos. 4, 5.]

(o) S. L. 1848, ch. 200; S. L. 1849, ch. 375; 3 R. S. (5th ed.) 239.

(p) *Bleecker v. Lynch*, 1 Bradf. Surr. Rep. 458; *Keeney v. Whitmarsh*, 16 Barb. Sup. Ct. Rep. 141.

(q) *Keeney v. Whitmarsh*, 16 Barb. S. C. R. 141. Where the infant next of kin had a general guardian in this state, who was interested under the will against the infants, and the surrogate issued the citation directed to such general guardian, and did not appoint a special guardian, it was held that his proceedings were regular. The surrogate, it was considered, neither had, nor could have, any knowledge of any supposed hostile interest to the infants, growing out of the provisions of the will, which he had not yet seen. Even if he had known all the facts as they subsequently appeared, he had no right, it was further considered, to supersede the statute, if he found it defective, or to disobey its injunctions, because it made no provision for a case of hostile interests like that of the guardian and the infants. "If any real injury," it was said, "had been done to the interests of the infants, by the guardian's neglect, he would be responsible to the infants. But the surrogate, who pursued the exact directions of the statute, and when he could not know of the objections to that course, did not lose his jurisdiction by obeying the statute. He could not have removed the guardian without a proceeding having been instituted for that purpose, however improper that appointment may have been. He must regard him as the legal guardian, and proceed as in any other case where a general guardian has been appointed before." *Keeney v. Whitmarsh*, *supra*.

The 8th section, given above, as amended by the act of 1840, prescribes the mode of service of the citation. If either of the parties be a married woman, the citation is commonly served on both herself and her husband. It was a question, previous to the acts of the 7th April, 1848, and 11th April, 1849,<sup>(r)</sup> "for the more effectual protection of the property of married women," whether the service of the citation to the heirs and next of kin, to attend the probate of a will, upon one of them, who was a *feme covert*, was a sufficient service, without a service thereof upon her husband. In *Bibby and others v. Myer*,<sup>(s)</sup> there was a strong intimation that service upon the wife alone was sufficient. "The statute," said the chancellor, "directs the citation to be served upon the heirs and next of kin of the testator, if they can be found. But it does not in terms require it to be served upon the husband, as well as upon the wife, where the heir and next of kin is a *feme covert*. The wife is, in fact, the real party whose rights, as heir and next of kin, the legislature intended to protect by requiring the notice of the proceedings to prove the will." In that case, where a will had been established and admitted to be recorded by the surrogate, upon service of the citation upon one of the heirs at law and next of kin, who was a married woman, without serving it upon her husband, it was held that the husband could not apply, in his own name only, to set aside the decree; but that the application must be made in the name of the husband and his wife jointly, if the proceeding upon the service of the wife only was irregular. Since the passage of the acts referred to, and where the decedent has died since the enactment of those statutes, there seems to be no doubt of the regularity of the service of the citation upon a married woman, heir, or next of kin alone.<sup>(t)</sup> It is thought, however, to be the most prudent course to serve the citation on the husbands of *femes covert*, as well as on themselves, although it is not required by the act, either expressly or by implication.<sup>(u)</sup> Where there are minors, the service is on the general or special guardian, as the case may be, named in the citation, and not on the minors themselves. [For forms of affidavits of service, see Appendix, No. 6.]

The statute makes provisions for the service of the citation on every person named therein residing in this state, either personally or by leaving a copy at the residence of such person with an individual of suitable age and discretion, and under such circumstances as to induce a reasonable presumption, in the mind of the surrogate, that the copy came to the hands or knowledge of the person intended in time for him to attend the probate. There is not any express provision for the case of a person residing in this state, but who, at the time of the service, is absent from his residence, either in a remote part of this state, or out of

(r) S. L. 1848, ch. 200; S. L. 1849, ch. 375; 3 R. S. (5th ed.) 239.

(s) 10 Paige's Ch. Rep. 220.

(t) *Bleecker v. Lynch*, 1 Bradf. Surr. Rep. 458-463; *Keeney v. Whitmarsh*, 16 Barb. Sup. Ct. Rep. 141.

(u) Per Gridley, J., in *Keeney v. Whitmarsh*, 16 Barb. Sup. Ct. Rep. 144. The 114th section of the code regulating the practice, where a married woman is a party to an action, does not strictly apply to this proceeding; but it will be observed that, by that section, in an action against a married woman, her husband must be joined with her as a defendant, except, of course, in an action against her by her husband. See How. Code, 142.



this state. Indeed, there is not any provision for giving the surrogate information of such a state of facts, although it may very frequently occur. The last clause of the first subdivision of the above eighth section of the statute will, however, apply to this case, and where the service is by copy, the surrogate, in order to be justified in believing that the copy came to the hands or knowledge of the person to be served with it in time for him to attend the probate, is bound to inquire where such person was at the time of such service; and, if it should prove that he was absent from his place of residence in a distant part of this state, or out of this state, and that he could not, or did not return or receive the copy in time to be present or to be represented at the probate, the surrogate is bound to adjourn the proceedings, and issue another citation to bring in such person.

The third subdivision of the eighth section provides for the service of the citation on persons residing out of this state, or whose place of residence is not known. This subdivision, as it was originally enacted in 1837, was as follows: "On such persons as do not reside in this state, or whose places of residence cannot be ascertained, by publishing a copy of the citation in the state paper for six weeks previous to the day appointed for taking the proof; and by putting in the post office, directed to such persons whose place of residence is known, at such place of residence, a copy of such citation, six weeks at least previous to such day."<sup>(v)</sup> Under this provision the practice was plain: where the residence of the party out of this state was known, service both by publication and by mail was required. The amendment of 1840 authorizes a service of the citation on the persons named therein whose residence is known, personally or by copy, out of the state, and service through the post office is dispensed with. But whether or not it was the intention of the legislature to provide for actual service out of the state as a substitute for service through the post office, publication for six weeks being required in either case, is perhaps not entirely clear. The practice under this subdivision has been, however, to regard the two several clauses as providing alternatives for the service of the citation in cases of non-residents, and allowing of publication alone, whether the place of residence of the non-resident is known or not known.<sup>(w)</sup>

The above section, numbered 9, provides that, before proceeding to take the proof of any will, the surrogate "*shall require satisfactory evi-*

(v) S. L. 1837, 526.

(w) The Revised Statutes, previous to the act of 1837, provided, in respect to the proof of wills of real estate, that notice of the intention to apply for the proof of the will should be given to such heirs as could not be found in the state, and to such as did not reside therein, by serving such notice personally, twenty days previously, or by publishing it once in each week, for six weeks, in the state paper, (2 R. S. 57, sec. 8;) and, in respect to wills of personal estate, that the citation should be personally served on the widow and next of kin, if they were in the county, six days, at least, before the return thereof; and if not in the county, and whenever personal service was not made on the next of kin, by publishing the same, at least two weeks, in such newspaper in the state as the surrogate should deem most likely to give notice to the relatives of the deceased. (2 R. S. 60, sec. 24.) The practice, under the present statute, in respect to service of the citation on non-residents, is the same as that formerly prescribed by the Revised Statutes for service of notice in the like case for the proof of a will of real estate.

dence, by affidavit, of the service of the citation, in the mode prescribed by law." This language has been considered by some imperatively to preclude any other evidence, as to the service of the citation, than that of proof by affidavit; but the practice has been, notwithstanding, to receive admissions of service of any of the parties, in lieu of affidavits of service. Such admission, however, must be duly proved or acknowledged. If a party appear in person, on the return-day of the citation, that has been deemed sufficient in the place of an affidavit of the service of the citation upon him. The appearance of a party by an attorney at law, has also been recognized as sufficient.

On the return-day of the citation, it is a proper precaution to enter the appearances of all the parties who appear in the matter, and the default of all those who have been served with the citation, or who have admitted service thereof, and fail to appear.

If the citation has not been duly served on all the persons who ought to receive notice, or their admissions of service have not been obtained, or they do not duly appear, the surrogate, under the last clause of the above 9th section of the statute, may adjourn the proceeding, and issue a further citation, for the purpose of bringing in such persons. An order must be entered for the adjournment and for the issuing of the further citation. It should contain a recital as to the appearances and defaults of parties; it should state those on whom the citation has not been served, or who have not admitted service and do not appear, and should order a further citation to issue, and the matter to stand adjourned until the return-day of such further citation. [For form of the order and of the further citation, see Appendix, No. 7.]

The foregoing provisions of the statutes, and remarks relative to the issuing and return of the citation, govern the proving of nearly all wills in the Surrogate's Court, where the decedent was, at or immediately previous to his death, an inhabitant of the county; but there are some cases to which they do not apply. Those cases are, where the party or parties alone entitled to oppose the proving of the will, shall take the proceedings before the surrogate. There is, in such cases, no one to whom to issue the citation. If the deceased has left an only son of full age, and no widow, and the son apply for the proof of the will, the surrogate, on being satisfied of those facts, will proceed forthwith to the examination of the witnesses. So, when the parties are numerous—as, for instance, a widow, heirs, and next of kin, and all join in the application—he will do the same; and even where there are minors, if the special guardian waive service of a citation, and consent to the immediate examination of witnesses, the surrogate will proceed at once to the proofs. And a party opposed to the probate of the will, may make or join in the application, so as to bring on an immediate examination of the witnesses, and an early decision in the matter.

The petition, in such cases, will be similar in almost every particular to that before described as proper in the usual case. The widowhood, or the degree of relationship, and the place or places of residence of the petitioner or petitioners, the fact that the petitioner or petitioners is or are of full age, and that there are no other heirs or next of kin of the deceased, should be distinctly averred. The prayer will be, that

the surrogate forthwith proceed to take the proof of the will. If there be more than one petitioner, they must all swear to the petition. A minor, of course, cannot join in the petition; but if his special guardian be present, he may, in his discretion, for the purpose of saving time, waive service of the citation, and consent to the immediate examination of witnesses in the matter, and thus expedite the proceedings. The waiver and consent must be in writing, and must be filed with the surrogate. If the surrogate be satisfied with the evidence contained in the petition,(x) and the special guardian, if there be one in the case, gives the required waiver and consent, he will make an order that the examination of the witnesses be forthwith entered upon. Such order should recite a summary of the facts, as established by the petitioner or petitioners, and should state the appointment of the special guardian, and the filing of his waiver and consent, if such has been the case, and allow and direct the proofs to be immediately taken. The witnesses may thereupon be examined, and the other proper steps may then be taken for obtaining the probate or rejection of the will. The practice thus pointed out avoids all delay in proving the will, and may be pursued with great facility in many cases in which it has been usual to go through with the ordinary proceedings by citation. [For forms of petition and order for immediate proof, see Appendix, No. 8.]

#### OF PROCEEDINGS FOR THE PROOF.

The citation having been returned duly served, or with proper admissions of service, or the parties having duly appeared, or an order for immediate proof having been granted, the testimony in the matter is next to be proceeded with. The statutory direction is as follows:

Upon proof being made of the due service of the citation, the surrogate shall cause the witnesses to be examined before him. All such proofs and examinations shall be reduced to writing.(y)

The proofs of service of the citation, the admissions of service, and their proofs, and the appearance of the parties, together with the evidence of the witnesses, must all be reduced to writing.

The following sections of the Revised Statutes make provisions, with respect to witnesses, on proving wills in the Surrogate's Court.

Sec. 10. Witnesses may be summoned by subpoenas, to be issued by the surrogate, at any time before the day specified in the notice [citation],(z) which may be served as in cases of personal actions; and a clause may be added to any such subpoena, commanding any person

(x) The surrogate may not deem the evidence furnished by the petition sufficient. In such a case, further proof by witnesses must be presented. This remark applies to the proving of wills, as well in the usual form as in the case under consideration.

(y) S. L. 1837, 526, sec. 10; 3 R. S. (5th ed.) 148, sec. 56.

(z) This section, as contained in the Revised Statutes, is conformable to the then existing preceding sections, numbered 7, 8, and 9, prescribing the preliminary proceedings on notice on proving wills of real estate, which were repealed by the 71st section of the act of 1837. (See *ante*, pp. 150, 155, *note*; S. L. 1837, 526.) By the 18th section of the act of 1837, this section is made applicable to the present proceedings by citation, in the same manner that it formerly applied to proceedings on notice. The word citation may, therefore, be substituted in the section for the word notice, and the section will then become intelligible with reference to the present proceedings by citation.

having the custody of, or power over the will, to produce the same before the said surrogate, for the purpose of being proved.

Sec. 11. Disobedience to any such subpoena shall be proceeded against and punished, as in other cases of proceedings before surrogates. If any person be committed for not producing any will, he may be discharged on producing the same to the surrogate who committed him, by an order for that purpose.(a)

Sec. 19. The witnesses shall have the like fees for their attendance on proving a will, as are allowed for similar services in personal actions, to be paid by the person applying to have such will proved.(b)

The subpoena, as has been seen,(c) must be similar in form to those used in courts of record; and disobedience to the same, or the refusal of the witness to testify after appearing, may be punished in the same manner, and to the same extent, as in similar cases in courts of record, and by process similar in form to that used by those courts. An entry of the issuing of the subpoena must properly be made in the surrogate's minutes.(d) [For form of entry, form of subpoena to witnesses to prove a will, and form of attachment for disobedience thereto, see Appendix, No. 9.]

The above sections, numbered 10 and 11, (2 R. S. 58,) formerly applied only to the proving of wills of real estate; but now, by the 18th section of the act of 1837,(e) they are applicable to wills of both real and personal estate, or either, and the 10th section applies to the present proceedings by citation in the same manner that it formerly applied to proceedings on notice. The above section, numbered 19, it would seem, is now strictly applicable only to the proving of wills of real estate, but the witnesses are, without doubt, entitled to the same compensation in all cases.

For present purposes, the will is supposed to be in the possession of the party offering the same for proof, and produced before the surrogate. The case of a will in the possession of a person refusing to offer the same for probate, will hereafter be treated of.

The application for probate of a will of personal estate, as has already been observed, may be made either by an executor, or by any other person interested in the estate under the will; and where the executor institutes proceedings in his own name only, any other person who has an interest in establishing the will, and who would be precluded if the decision was against its validity, has an unquestionable right to intervene, and thus make himself a party to the proceeding, if he is unwilling to trust the protection of his rights to the party by whom such proceedings were instituted;(f) and they probably have the same right to

(a) 2 R. S. 58; 3 R. S. (5th ed.) 139, sec. 8.

(b) 2 R. S. 59; 3 R. S. (5th ed.) 140, sec. 15. *Witnesses' fees.*—For each witness, fifty cents for each day while attending any court or officer; and if the witness resides more than three miles from the place of attendance, traveling fees, at the rate of four cents per mile, going and returning. S. L. 1840, ch. 386, sec. 8; 3 R. S. (5th ed.) 922.

(c) *Ante*, p. 27.

(d) See 2 R. S. 222; 3 R. S. (5th ed.) 364, sec. 13 [sec. 7], subdv. 4; *Ante*, p. 31.

(e) S. L. 1837, 538.

(f) *Law's Pr. Eccl. Courts*, 70, tit. 29; *Cockburn*, ch. 6, sec. 12; *Couset*, pt. 6, ch. 1, sec. 1, art. 18, p. 274. *Walsh v. Ryan*, 1 Bradf. Surr. Rep. 433.

come in as interveners to protect their rights on appeal. But, in either case, they must come in by a petition in the proper form, and make themselves parties to the proceedings, before they can be permitted to take any part therein.(g)

And where, in proceedings for the proof of a will, an alleged codicil is brought in by parties interested, but not cited, the proper course is to direct an allegation propounding the paper for proof, as part of the pending proceeding.(h)

Again: where the codicil to a will revoked a legacy given by the will, it was held, that though the statute does not in terms give to any person the right to appear and contest a will offered for probate, except the widow, heirs and next of kin, yet, if the legatee filed an application for the proof of the will, as authorized by the act of 1837,(i) she might be permitted to intervene, and to oppose the proof of the codicil.(j)

In the matter of the probate of the last will and testament of James Malcolm, deceased, before the Hon. Jesse C. Smith, surrogate of the county of Kings, the will offered for probate was contested for undue influence. A legatee under a prior will, and who also had a smaller legacy under the will offered, but who was not a next of kin, claimed a right to intervene, and to oppose the probate of the will offered for probate, and it was held, that he had such an interest as entitled him so to intervene.

And on proving a will, where the deceased leaves no known heirs or next of kin, the public administrator in the city of New York, and the county treasurer in the other counties in the state, may intervene to contest the will in relation to the personal estate, and the attorney-general in relation to the real estate.(k)

A person intending to oppose the proving of a will, must appear before the surrogate, either in person, or by attorney, proctor or counsel, on the return-day of the citation, or, in a case where it has not been necessary to issue a citation, on the day fixed for taking the proofs.

#### OF TAKING THE TESTIMONY.

The parties and witnesses being now before the surrogate, the examination commences.

Where a person appears before a surrogate to oppose the probate of a will, he is bound, if the adverse party dispute his interest, to propound the same, or show his right to contest the will. If issue be taken on the allegation of interest, the evidence in relation to that question, and that which relates to the validity of the will, should proceed *pari passu*. A person claiming as next of kin, whose title as such is disputed, should, in his allegation of interest, show how he was related to the deceased.(l)

Upon the hearing, the surrogate has jurisdiction and power to receive

(g) *Foster v. Tyler*, 7 Paige, 52.

(h) *Carle v. Underhill*, 3 Bradf. Surr. Rep. 101.

(i) See S. L. 1837, 524, sec. 4; 3 R. S. (5th ed.) 146; *Ante*, p. 149.

(j) *Walsh v. Ryan*, 1 Bradf. Surr. Rep. 433.

(k) See *Gombault v. The Public Administrator*, 4 Bradf. Surr. Rep. 226.

(l) *The Public Administrator of New York v. Watts and Le Roy*, 1 Paige, 347.



proof that the will was revoked by a subsequent will of the testator, and that such subsequent will has been fraudulently destroyed, or that it was destroyed by the testator when his mind had become so far impaired that he was incompetent to perform a testamentary act.<sup>(m)</sup> And in resisting the probate of an instrument propounded as the last will and testament of a decedent, his heirs and next of kin have the right to introduce any testimony which will be sufficient to satisfy the surrogate, or tend to establish that the instrument propounded was not in force, as a valid will, at the death of the testator named therein.<sup>(n)</sup> And where two instruments of a testamentary character are propounded by different parties, the several applications for probate will be consolidated and tried together.<sup>(o)</sup>

The opponent of the probate is not obliged, in any stage of the proceedings, to define his objections to the will, nor is there any system of allegations or pleading to control either party in his mode of carrying on the litigation. The greatest liberality prevails with respect to the topics and extent of investigation and inquiry, and the testimony is often exceedingly discursive, protracted, and tedious.

#### OF THE NECESSARY WITNESSES.

The proof which must be taken for the establishment of a will, is prescribed by the following provisions of the statutes :

Sec. 10. Two at least of such witnesses to such will, if so many are living in this state, and of sound mind, and are not disabled from age, sickness, or infirmity from attending, shall be produced and examined; and the death, absence, insanity, sickness, or other infirmity of any of them, shall be satisfactorily shown to the surrogate taking such proof; the surrogate shall inquire particularly into the facts and circumstances before establishing the same, or granting letters testamentary or of administration thereof.<sup>(p)</sup>

Sec. 11. In case the proof of any such will is contested, and any person having the right to contest the same shall, before probate made, file with the surrogate a request in writing that all the witnesses to such will shall be examined; then all of the witnesses to such will, who are living in this state and of sound mind, and who are not disabled from age, sickness, or infirmity, from attending, shall be produced and examined; and the death, absence, insanity, sickness, or other infirmity of any of them, shall be satisfactorily shown to the surrogate taking such proof.<sup>(q)</sup>

Sec. 17. No written will of real or personal estate, or both, shall be deemed proved, until the witnesses to the same, residing within this state at the time of such proof, of sound mind and competent to testify, shall have been examined pursuant to law, as above prescribed; and in all cases the oath of the person who received the will from the test-

<sup>(m)</sup> But the surrogate has no authority to take the proof of such destroyed will. The jurisdiction to take such proof, belongs exclusively to the Supreme Court. 2 R. S. 67; 3 R. S. (5th ed.) 153. *Nelson v. McGiffert*, 3 Barb. Ch. Rep. 158.

<sup>(n)</sup> *Nelson v. McGiffert*, *supra*.

<sup>(o)</sup> *Van Wert v. Benedict*, 1 Bradf. Surr. Rep. 114.

<sup>(p)</sup> S. L. 1837, 526; 3 R. S. (5th ed.) 148, sec. 56.

<sup>(q)</sup> S. L. 1837, 526; 3 R. S. (5th ed.) 148, sec. 57.

ator, if he can be produced, together with the oath of the person presenting the same for probate, stating the circumstances of the execution, the delivery, and the possession thereof, may be required; and before recording any will, or admitting the same to probate, the surrogate shall be satisfied of its genuineness and validity.(r)

Sec. 20. If all the witnesses to a will shall be dead, insane, out of the state, or incompetent to testify, the surrogate may take and receive proof of the handwriting of the testator, and of the subscribing witnesses, and of such other facts and circumstances as would be proper to prove such will on a trial at law; and if such proof shall be satisfactory to the surrogate, the will may be admitted to probate, and be recorded as a will of personal estate only, and so as to affect only the personal estate of the testator.(s)

(r) S. L. 1837, 527; 3 R. S. (5th ed.) 149, sec. 66. The 12th section of the article of the Revised Statutes, concerning "wills of real property, and the proof of them," provided as follows: "All the witnesses to such will, who are living in this state, and of sound mind, shall be produced and examined; and the death, absence, or insanity of any of them, shall be satisfactorily shown to the surrogate taking such proof." (2 R. S. 58.) The statute relative to "wills of personal property, and the probate of them," contained the following enactment: "Sec. 26. Written wills of personal estate offered for probate, shall be proved by one or more of the subscribing witnesses, or if they be dead, insane, or out of the state, then by proof of the handwriting of the testator, and of the subscribing witnesses. (2 R. S. 61.) These provisions were repealed by the 71st section of the act of the 16th May, 1837, (S. L. 1837, 536,) and by that act the above sections, numbered 10, 11, and 17 were enacted."

While section 12, above referred to, remained in force, a devise or bequest in a will disposing of real estate, to which the devisee or legatee was a subscribing witness, could be saved by the provisions of section 50, only where the devisee or legatee should be of unsound mind, or should reside out of the state when the will was proved. This confined to very narrow limits the operation of that section, as applied to wills disposing of real estate; while the different method of proving wills of personal estate under the provisions of section 26, gave effect to the 50th section, in all cases where a single disinterested witness should satisfactorily prove the due execution of the will. *Caw against Robertson*, 1 Selden, 125, per Grey, J.

The learned editor or editors of the later editions of Kent's Commentaries, seem to have overlooked the repeal of the 12th section of the Revised Statutes. In a note at p. 565, vol. 4, 7th edition, that section is spoken of as still in force, and with reference to the provision of the 50th section, that the beneficial devise, legacy, or interest to a witness, is void, in case "such will cannot be proved without the testimony of such witness," it is said: "There seems to be no room for the application of this exception, if all the witnesses must be produced and examined."

"By this change of the statutes," says Mr. Justice Grey, in *Caw agst. Robertson*, "I think the legislature designed to place the proof of wills of real and of personal property (as the formalities required at their execution had before been placed) on the same footing; and also to *extend* in regard to wills of real estate, and *limit* in regard to wills of personal estate, the benefits of section 50, before mentioned, to the cases where the execution of the will should not be contested; and where proof of its due execution could be made by the number of witnesses indispensable to its validity, without calling upon the witness claiming a beneficial interest under it. These things the act of 1837 accomplishes, and thus secures uniformity, not only in the proof of wills, but in the effect of provisions therein, in favor of subscribing witnesses, whether they relate to real or to personal estate, or to both; and removes the incongruity which before existed between sections 12 and 50. It may have been one object of the change, as was suggested by the learned justice who delivered the opinion in this cause in the court below, (see *Robertson agst. Caw*, 3 Barb. Sup. Ct. Rep. 410,) to relieve the executors or devisees having an interest in proving the will, from the labor and expense of producing all the witnesses beyond two. But I cannot think that this was the chief inducement to the repeal of section 12. The simplicity and convenience of a uniform system in the proof of wills, both of real and personal property, and the more just and equitable application of the provisions of section 50, are reasons which, in my judgment, must have operated with much greater force in producing the change."

(s) S. L. 1837, 528; 3 R. S. (5th ed.) 150, sec. 68.

The following provision is contained in that part of the Revised Statutes declaring the proof requisite to the establishment of a will of real estate :

Sec. 13. When any one or more of the subscribing witnesses to such will shall be examined, and the other witnesses are dead or reside out of the state, or are insane, then such proof shall be taken of the handwriting of the testator, and of the witness or witnesses so dead, absent, or insane, and of such other circumstances as would be sufficient to prove such will on a trial at law.(t)

The following sections of the statute make provisions regarding the competency of subscribing witnesses to a will :

Sec. 6. If, by any will, any real estate be charged with any debt, and the creditor whose debt is so charged, shall attest the execution thereof, such creditor, notwithstanding such charge, shall be admitted as a competent witness to prove the execution of such will.(u)

Sec. 50. If any person shall be a subscribing witness to the execution of any will, wherein any beneficial devise, legacy, interest, or appointment of any real or personal estate shall be made to such witness, and such will cannot be proved without the testimony of such witness, the said devise, legacy, interest, or appointment, shall be void, so far only as concerns such witness or any claiming under him ; and such person shall be a competent witness, and compellable to testify respecting the execution of the said will, in like manner as if no such devise or bequest had been made.(v)

The probate of a will, although, as to the subject-matter, a proceeding *in rem* as to the persons interested, is *lis inter partes*. Consequently, none of the parties are competent witnesses.(w)

By the amendment of the 16th of April, 1860, to the Code of Procedure, a party to a proceeding in a Surrogate's Court may be examined as a witness on his own behalf, or in behalf of any other party, in the same manner, and subject to the same rules of examination, as any other witnesses ; except that a party shall not be examined against parties who are representatives of a deceased person, in respect to any transactions had personally between the deceased person and the witness.(x)

It has been intimated, at a previous page of this work,(y) that the meaning of the amended provision of the Code just quoted, is by no means manifest, and that its practical application will not be, in many instances, free from dispute and difficulty. In respect to the proof of wills, the question will arise whether the next of kin and heirs of the testator, and the legatees and devisees under his will, shall be permitted to take the stand, and testify in the proceedings for the proof. Although, in view of the obvious principle and intent of the act, it would seem clear that they are parties to the proceeding(z) within the meaning of that language used in the section, yet, inasmuch as in a proceeding for

(t) 2 R. S. 58 ; 3 R. S. (5th ed.) 139.

(v) 2 R. S. 65 ; 3 R. S. (5th ed.) 146.

(w) *Brush v. Holland*, 3 Bradf. Surr. Rep. 240.

(x) L. 1860, ch. 459, sec. 12, p. 787.

(y) See *Brush v. Holland*, 3 Bradf. Surr. Rep. 240.

(u) 2 R. S. 57 ; 3 R. S. (5th ed.) 139.

(z) Page 10.

the proof of a will, the exception contained in the statute, prohibiting a party from being examined against parties who are representatives of a deceased person, in respect to any transactions had personally between the deceased person and the witness, cannot have effect, because in that proceeding there is not any representative of the deceased, it would follow that proceedings for the proof of a will were not in the minds of the legislature in passing this amendment; that the amendment contemplated only controversies between parties in interest or claiming an interest, and the executor or administrator; and that proceedings for the proof of a will are excluded from its operation. This conclusion is submitted, not without hesitation and misgiving, but is constrained by a consideration of the mischiefs which would inevitably flow from an interpretation of this statute which should hold, as any other interpretation must hold, that it opened the door to the unrestricted admission of the testimony of parties directly interested as to events, in respect to which the only other witness may have been the deceased person under or against whose will they claim.

A person named as executor in an instrument propounded as a will, is a competent witness to sustain its probate after he has renounced the executorship. And where, by an instrument executed as a will, real and personal property were devised to three persons in trust, and they were also named as executors; and on the will being offered for probate in the Surrogate's Court, by a party other than the trustees, one of the latter executed, acknowledged, and delivered to the surrogate, an instrument by which he renounced the executorship, and all right and claim to act as trustee; it was considered that, although, in the absence of proof to the contrary, a devisee of property in trust is presumed to accept the trust estate, yet that he cannot be vested with such an estate against his will; and that where he declines to accept it, his disclaimer need not be in such form as to pass an estate in the property devised. And it was determined that the instrument executed by the trustee and executor, was a valid disclaimer, and that the person making it was a competent witness to sustain the will.(a)

And a person who is merely an executor or trustee named in the will is, in any case, it would seem, a competent witness to prove the execution of the will. The fact that he is entitled to commissions as executor, or, as the donee of a mere naked power, may be entitled to compensation for his services, will not, it is considered, exclude him. His compensation as trustee does not necessarily render him beneficially interested in the execution of the power, and his commissions as executor, are allowed by statute by way of compensation for his services, and are not a gift under the will. A mere executor or trustee is, therefore, it is held, a competent witness to establish the will, without disclaiming the trust, or renouncing the execution of the will.(b)

The above 10th section imperatively requires the testimony of at

(a) *Burritt agst. Silliman*, 3 Kernan, 93. As to the admission of the declarations of parties in a proceeding to prove a will, and the effect of such declarations, see *Brush v. Holland*, 3 Bradf. Surr. Rep. 240.

(b) *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238.

least two of the subscribing witnesses to the will, if so many are living in this state, of sound mind, and not disabled by age, sickness, or infirmity from attending. Where a subscribing witness is a devisee, or legatee, or otherwise interested under the will, and there are two other subscribing witnesses who attend and are examined, and the proof is not contested, or the person opposing the proof does not request the examination of all the witnesses pursuant to the above 11th section, and the two witnesses examined prove the will to the satisfaction of the surrogate, the subscribing witness, who is a devisee or legatee, should not be called as an attesting witness.(c) It will be proper for the surrogate to call the witnesses in the order in which their names are subscribed to the will. Where two of the attesting witnesses are not legatees, and a third is, it cannot be determined that the will can be proved, without the testimony of the legatee, until the others are examined. If the testimony of the two sworn is not clear and satisfactory, then a case arises in which the will cannot be proved *without the testimony* of the third; and then, and not before, the subscribing witness, legatee, or devisee may be called and examined. And it would seem that there is not, in any case where there are three or more subscribing witnesses, any necessity for examining more than two witnesses, who satisfactorily prove the will, unless the proof is contested by some one having the right to do so. The above 17th section of the statute declares that no will of real or personal estate shall be deemed proved, unless the witnesses to the same, residing within this state, competent to testify, shall have been examined, as herein-before provided. When two witnesses are produced and examined, as required by section 10, by whom the will is satisfactorily proved, or when the proof of the will is contested, all the witnesses living in this state, competent to testify, and not disabled, are produced and examined, as provided in section 11, then the requisites of section 17 are complied with, so far as the necessity exists for the production and examination of witnesses.(d) And where the two witnesses to the will are both living in this state, and are of sound mind and not disabled from testifying, both must be examined on the proof of the will before the surrogate; otherwise the will is not well proved. A person in whose presence a portion only of the testamentary ceremonies are performed, is no witness to the will, although he sign his name to it as a witness.(e)

The above 17th section provides, also, for taking proof of the custody of the will; and in the court of the surrogate of the county of New York, such proof was formerly, in nearly all cases, required, although the statute is not peremptory on the point; but this practice has been discontinued, and now proof of custody is required only in litigated cases, and where a question as to the identity of the instrument arises. It is competent, however, under this provision of the statute, for the parties contesting the will, to examine the executor or other person who received the will from the testator, or who presented the same for

(c) *Caw agst. Robertson*, 1 Selden, 125.

(d) *Caw agst. Robertson*, 1 Selden, 125, per Grey, J.

(e) *Taylor v. Mapes*, 19 Barb. Sup. Ct. Rep. 448.



probate, touching the circumstances of the execution.(f) [For forms of the usual depositions proving the custody of the will according to this provision, see Appendix, No. 15.]

[For forms of depositions proving the handwriting of the testator or of a subscribing witness under the above sections, numbered 20 and 13, see Appendix, No. 16.]

Before the witness is examined, an oath is administered to him by the surrogate, that the evidence which he shall give in the matter of proving the will in dispute, shall be the truth, the whole truth, and nothing but the truth. His testimony is committed to writing by the surrogate, and after the witness has subscribed the same, he is again sworn to the truth of his deposition.

The statute, however, does not point out any mode or form in which the testimony shall be taken before the surrogate. It will be enough if it be attested by the solemnity of an oath, with full opportunity of examination for both sides.(g)

#### OF THE EXAMINATION OF WITNESSES RESIDING IN THE SAME COUNTY OR IN ANOTHER COUNTY, DISABLED FROM ATTENDING.

The following sections of the statutes (the first of which has already been inserted, in connection with the previous subject, but is here, as it will be perceived, necessarily repeated,) provide for the taking of the testimony of aged, sick, or infirm witnesses:

Sec. 11. In case the proof of any will is contested, and any person having the right to contest the same shall, before probate made, file with the surrogate, a request in writing that all the witnesses to such will shall be examined; then all the witnesses to such will, who are living in this state and of sound mind, and who are not disabled from age, sickness, or infirmity, from attending, shall be produced and examined; and the death, absence, insanity, sickness, or other infirmity of any of them, shall be satisfactorily shown to the surrogate taking such proof.(h)

Sec. 12. If any such aged, sick, or infirm witness reside in the same county with the surrogate, it shall be the duty of the surrogate, after examining the other witnesses, to proceed, without unnecessary delay, to the dwelling-house or other place of residence of such witness, and there, in the presence of such persons as may choose to attend, proceed to take the examination of such witness, in the same manner, and with the like effect, as though such witness had attended and been examined before the surrogate on the return of the citation.

Sec. 13. If such aged, sick, or infirm witness reside in a different county from the surrogate, and it shall not be probable that his attendance can be procured within a reasonable time, to which the surrogate may, in his discretion, adjourn the proceeding for that purpose, the surrogate may, in such case, after having examined the other witnesses, make an order adjourning the proceeding in his court to some future

(f) *Brush v. Holland*, 3 Bradf. Surr. Rep. 240, 241.

(g) *Seguine v. Seguine*, 2 Barb. Sup. Ct. Rep. 395, per Edmonds, J.

(h) S. L. 1837, 526; 3 R. S. (5th ed.) 148, sec. 57.

day, and directing that such aged, sick, or infirm witness be examined before the surrogate of the county in which he resides, and specifying some Monday, on or before which the said order shall be delivered to the surrogate directed to take the examination; a copy of which order, under the seal of the surrogate making the same, together with the original will, shall be delivered to the person applying for the probate, to be transmitted to the surrogate directed to take the examination.<sup>(i)</sup>

Sec. 14. The surrogate by whom any such order and will shall be received, shall, on the Monday mentioned in such order, appoint a time and place for taking such examination, and give notice thereof to any person who may attend such surrogate for the purpose of hearing such examination; and, at the time and place so appointed, or at such other time and place as it may be found necessary to designate by adjournment, the surrogate, in the presence of such persons as may choose to attend, shall proceed to take the examination of such aged, sick, or infirm witness, in the same manner, and with the like effect, as though such witness had attended and been examined before the surrogate having original jurisdiction on the return of the citation. Such surrogate may issue subpoenas, under his seal of office, to compel the attendance of any such witness or witnesses for the purposes aforesaid, in like manner, and with the like effect, as in cases in which he has original jurisdiction.<sup>(j)</sup>

Sec. 15. Such examination shall be reduced to writing, and be subscribed by the witness; and the examination, together with a statement of the proceedings before the surrogate taking the same, shall be certified by him, under his seal of office, and be returned without delay to the surrogate who ordered such examination.

Sec. 16. Upon the deposition so returned, together with such other proofs as may have been adduced before him, the surrogate to whom the original application was made shall, on the day to which the proceeding in his court had been adjourned, or as soon thereafter as practicable, proceed to determine on the sufficiency of the proof of any such will.<sup>(k)</sup>

By the "Act Concerning the Proof of Wills," passed 22d of April, 1841,<sup>(l)</sup> sec. 1, the foregoing sections, numbered 11, 12, 13, 14, 15, and 16, are made applicable to all witnesses whom any person interested in the proof of a will shall request to be examined—whether such wit-

(i) S. L. 1837, 527; 3 R. S. (5th ed.) 148, sec. 59.

(j) The surrogate, for any necessary travel required by these sections, is entitled to be paid at the rate of ten cents per mile, going and returning. S. L. 1837, 536; 3 R. S. (5th ed.) 367.

The provision of the statute is as follows: "Sec. 69. Surrogates shall be paid the like fees for services rendered, pursuant to this act, as they are now entitled to receive for similar services; and for any necessary travel, required by the eighteenth and twentieth sections of this act, shall also be paid at the rate of ten cents per mile, going and returning." The 18th and 20th sections of the act do not require any traveling by the surrogate. The above 12th and 14th sections are the only sections of the act which contain any such requirement. The 69th section, probably, was framed with a view to a different numbering of the sections from that which was finally adopted when the bill passed the legislature.

(k) S. L. 1837, 527; 3 R. S. (5th ed.) 149.

(l) S. L. 1841, 105; 3 R. S. (5th ed.) 149.

nesses be subscribing witnesses to such will or not—provided the surrogate, who has the power to take the proof of such will, is satisfied that the testimony of the witness so requested to be examined is material.

By the second section of the same act, the provisions of the first section are made applicable to all cases of the proof of wills, whether the will be contested or not.

And the third section of the same act declares, that no witness shall be examined under the provisions of the said act, unless the party requesting such examination shall have previously given written notice of the time and place appointed for such examination, for such length of time as is required in cases of trials of issues of fact in the Supreme Court, to all the parties who appeared before the surrogate before whom the proceedings to take the proof of any such will are pending.

The request under the above section, numbered 11, should aver the capacity in which the party claims the right to contest the will. In other respects it may follow the words of the statute.

If the contesting party propose to have a subscribing witness examined, who is disabled by age, sickness, or infirmity, from attending before the surrogate, he must prove the residence of the witness; and, if he reside in another county, that it is not probable that his attendance can be procured within a reasonable time, and then, after all the other witnesses have been examined, the surrogate, if the witness reside in the same county, will proceed to his residence, and take his examination; or, if he reside in another county, will direct his examination to be taken before the surrogate of the county of his residence. Where a person, not a subscribing witness, being disabled from attending, is proposed to be examined, the contesting party, in addition to showing the residence and disability of such person, and, where he resides in another county, that it is not probable that his attendance can be procured within a reasonable time, must, by the amendment of 1841, satisfy the surrogate that the testimony of such person is material; and then, after all the other witnesses have been examined, his testimony may be taken before either the original surrogate, or the surrogate of another county, according to the residence of the witness. The residence of the witness, the improbability of his attendance, and the necessity for his examination, may appear by the testimony of the other witnesses in the matter, or may be shown by affidavit. By the amendment of 1841, the testimony of persons, whether subscribing witnesses or not, disabled from attending, may be taken, although the proof of the will be not contested. The surrogate, where there is no opposition to the proof, may, of his own motion, proceed to take the testimony of a sick or disabled witness, at his residence in the same county, or, where such witness resides in a different county, direct that he be examined before the surrogate of such county.

The order directing the examination of a witness before the surrogate of a different county from that to which the proof of the will belongs, should set forth the names of all the parties who have appeared in the matter, in order that the surrogate who is to take the testimony

may see, before proceeding to the examination, that due notice has been given, pursuant to the 3d section above quoted of the act of 1841. It should specify some Monday, on or before which it must be placed in the hands of the surrogate directed to take the examination; and a copy of the same, together with the original will, is to be delivered to the person applying for the probate, to be transmitted to the proper surrogate. The carriage of the order and will thus belongs in all cases to the party propounding the will, although he may not be the one requesting the examination of the distant witness.

On the Monday fixed in the order, the surrogate who has received the same must appoint a time, which should not be less than fourteen days distant, and a place for taking the examination. The notice, under the 3d section of the act of 1841, is one of fourteen days.<sup>(m)</sup> It should be served personally on all the parties who attended before the original surrogate, or in such a manner, and under such circumstances, as will justify a reasonable presumption that it reached the party in time to enable him to attend the examination. If any of the parties appeared by proctor or counsel, service of the notice on such proctor or counsel would doubtless be held sufficient. The special guardian of the minors, if there be any in the case, should be served with the notice.

[For forms of request, affidavit to obtain the examination of a disabled witness, order directing the examination before another surrogate, and notice of examination, see Appendix, No. 10.]

The notice of the examination of a disabled witness before the surrogate of a different county, having been duly given on the day appointed, the examination is proceeded with. The surrogate taking the examination must reduce the testimony to writing, and forward the same, with a statement of the proceedings before him, certified under his seal of office, to the surrogate having the principal jurisdiction of the matter. The return will be merely a correct narrative of what takes place at the examination, with the usual attestation of the surrogate, under his official seal.

#### OF THE EXAMINATION OF WITNESSES RESIDING OUT OF THE STATE.

If a witness reside without the jurisdiction of this state, the surrogate may issue a commission to take his testimony in the same manner as by law the same may be done in any court of record.<sup>(n)</sup> The practice on taking out a commission will be similar, as near as may be, to that in the Supreme Court in the like proceeding.<sup>(o)</sup>

The application for a commission on proving a will ought not to be made until after the return of the citation; or, where a citation has not

<sup>(m)</sup> 2 R. S. 410, sec. 7; Code, sec. 256; How. Code, p. 396.

<sup>(n)</sup> S. L. 1837, 537, sec. 77; 3 R. S. (5th ed.) 364, sec. 11.

<sup>(o)</sup> The practice, on awarding a commission to take the testimony of foreign witnesses, is expressly regulated by statute—2 R. S. 393; 3 R. S. (5th ed.) 675, *et seq.* Those provisions, as far as they are applicable to cases arising in the Surrogate's Court, are adopted in the directions here given. They distinctly prescribe the manner in which, "by law," commissions may be issued out of a court of record, and are thus exactly conformable to the words of the 77th section of the act of 1837.

been necessary, until the appearance of the parties; because, until then, it cannot be known whether any, and, if any, what persons may demand to join in the commission or to propose interrogatories. It ought to be made as soon after the return-day of the citation, or, where there has not been any citation, as soon after the day appointed for taking the proofs, as practicable. It may happen that the necessity for examining a foreign witness may not occur until during the progress of the investigation; but there should be no delay in making the application for the commission, after the necessity for the same has become apparent, and the surrogate will not regard with favor an application for a commission which has been improperly deferred, and where the execution of the commission will postpone a decision upon the will.

In order to obtain the commission, a motion must be made before the surrogate. Prepare an affidavit, stating the names of the witnesses, *(p)* and that they are material, as the party is advised by counsel, and verily believes, and are without the state. *(q)* The affidavit for a commission may be made by a third person cognizant of the facts. *(r)* Ten days' notice of the motion must be given *(s)* to all the persons who have appeared on the proving of the will, and the notice must specify the names of three persons proposed as commissioners. The motion is to be brought on in the manner usual in courts of record.

The opposite party may resist the application if he can show reasonable grounds on which it should be denied, it being in the discretion of the court to grant or refuse it. *(t)* He may also object to any of the commissioners proposed, on showing sufficient cause by affidavit. *(u)*

If the motion be allowed, an order in accordance therewith for the issuing the commission must be entered, and a copy of the same must be served on the other parties.

A commission may issue at the instance of the party offering the will for probate where there is no opposition, if a subscribing witness or any witness resides out of this state, in which case plainly the proceeding on taking out the commission is *ex parte*.

[For forms of affidavit to obtain a commission, notice of motion and order for commission, see Appendix, No. 11.] The commission is to be made out in a form similar to that ordinarily in use in courts of record. [See Appendix, No. 12.]

Interrogatories must be drawn and settled conformably with the practice of the Supreme Court in such a case. These will of course vary according to the nature of the controversy upon the will. [For forms of direct interrogatories to a subscribing witness, embracing most of the usual inquiries in these examinations, see Appendix, No. 13.] They should be signed by counsel, and settled before the surrogate, after the service of a copy on each of the opposing parties who have appeared in the matter, and a notice of settlement of four days, within

*(p)* 2 Johns. Cas. 68, 285.

*(q)* 1 Wend. 65, &c.

*(r)* 1 Cowen, 210.

*(s)* This is the time fixed by the statute, (2 R. S. 393, sec. 20; 3 R. S. (6th ed.) 675, sec. 12,) in cases of application in vacation to one of the justices, or to a circuit judge, for an order for a commission.

*(t)* 3 Johns. Cas. 137.

*(u)* 3 Johns. R. 250.



which four days the opposing parties must serve copies of their cross-interrogatories, if they intend to propose any, with a notice of settlement before the surrogate, of at least two days for the same time. At the time specified, the parties attend before the surrogate. Either party may except to the interrogatories of the other; and the surrogate will decide on the exception. Further questions may also be proposed, and, if allowed, inserted among the interrogatories.(v) If no one attend on the opposite side, proof must be made by affidavit of service of a copy of the interrogatories and notice of presentation for allowance.

Although the mode thus pointed out for obtaining a commission out of the Surrogate's Court, for the examination of foreign witnesses, is that which is to prevail where a strict practice is required, the one usually adopted is not nearly so formal. The necessity for the examination of a foreign witness being apparent, and being suggested by either party, an order that a commission issue is commonly entered at once, the commissioner or commissioners having been agreed upon. Interrogatories are then settled by agreement, or, where the parties differ, upon convenient notice before the surrogate.

The interrogatories being thus settled, the surrogate will then indorse his allowance of them, and annex them to the commission; and upon the commission he will direct the manner in which it shall be returned. This is commonly by mail, under the provision of the statute.(w) The parties, or their attorneys, may, in writing, agree on the manner in which a commission for the examination of witnesses may be returned; and on filing such agreement *with the clerk of the court*, (in the present case *with the surrogate*,) the attorney for the party, or the party suing out the same, may indorse thereon a direction according to such agreement; and such commission shall be returned accordingly.(x) The return should in all cases be addressed to the surrogate, as the original will is nearly always annexed to the commission, and that should not, after having been submitted for proof and before probate, be allowed, unnecessarily to go out of the custody of the surrogate.

To the commission must be annexed a copy of section 16, article 2, title 3, chap. 7, part 3, of the Revised Statutes, which contains instructions to the commissioners for executing the commission,(y) together with such other particular directions as may be deemed proper. Printed forms of the instructions may be had at the stationers, as also entire sets of the papers. The original will, if necessary, should also be annexed, being first marked as it is referred to in the interrogatories. All the papers should then be carefully folded up and securely sealed, and transmitted to one of the commissioners by mail, or other safe conveyance.

The execution of the commission and the returning of the same, will follow the directions of the articles of the statutes above quoted, and the instructions accompanying the commission.

Sec. 30. [Sec. 22.] The commission, returns, depositions, and exhib-

(v) 2 R. S. 394, sec. 23; 3 R. S. (5th ed.) 675, sec. 15.

(w) Sec. 23 (sec. 15;); 2 R. S. 394; 3 R. S. (5th ed.) 675, sec. 15.

(x) 2 R. S. 395; 3 R. S. (5th ed.) 677, sec. 21.

(y) 2 R. S. 394; 3 R. S. (5th ed.) 676, sec. 16.

its thereto annexed, shall remain on file in the office of the *clerk* (in the present case *surrogate*) to whom the same were addressed.(z) They shall, at all times, be open to the inspection of the parties, who shall be entitled to copies of such parts thereof as they may require, on payment of the fees allowed by law.(a)

The court will sometimes allow a second commission to issue, as where a witness died before his examination,(b) or where some of the interrogatories have not been answered.(c)

#### OF THE EVIDENCE ON PROVING A WILL.

The testimony in the matter having been concluded, the surrogate is to decide upon the sufficiency of the same for the establishment of the will. The following provision is contained in the article of the Revised Statutes, entitled "of wills of real property and the proof of them :"(d)

Sec. 14. If it shall appear upon the proof taken that such will was duly executed; that the testator, at the time of executing the same, was in all respects competent to devise real estate, and not under restraint; the said will, and the proofs and examinations so taken, shall be recorded in a book, to be provided by the surrogate, and the record thereof shall be signed and certified by him.(e)

By the eighteenth section of the act of 1837,(f) it is declared that this fourteenth section shall be applicable to wills of both real and personal estate, or either. This, however, does not imply that it is necessary, on proving a will of personal estate, that it should appear that the testator, at the time of executing the same, was in all respects competent to devise real estate. The decedent may have been an alien or an infant, and, therefore, incompetent to devise real estate; his will may nevertheless be valid as to personal property.

It has already appeared(g) that two at least of the subscribing witnesses to the will, if so many are living within this state, and of sound mind, and not disabled by age, sickness, or infirmity, from attending, must be examined; and that upon the written request of any person interested in opposing the proof, all the subscribing witnesses living in this state, of sound mind, and not so disabled, must be produced and examined; that sick, disabled, or infirm witnesses may be examined at their residences, and that any other material witness, although not a sub-

(z) According to this provision, the surrogate should retain in his office the original will, where it has been proved, or partly proved, under a commission, and annexed to one; although another provision of the statute, presently to be referred to, directs that he return the will, after it has been proved, to the person delivering it, or to a devisee, or to an executor or legatee. See 2 R. S. 66; 3 R. S. (5th ed.) 150. It is presumed that the section relative to retaining the commission and exhibits is the paramount one in such a case.

(a) 2 R. S. 395; 3 R. S. (5th ed.) 677.

(b) 3 Caines' Rep. 321.

(c) 17 Johns. Rep. 343. The foregoing directions relative to the issuing of a commission are taken mostly from Mr. Burrill's valuable treatise on the Practice of the Supreme Court.

(d) Art. 1, tit. 1, ch. 6, pt. 2, 2 R. S. 56; 3 R. S. (5th ed.) 138.

(e) Ib. 58; 3 R. S. (5th ed.) 139.

(f) S. L. 1837, 528; 3 R. S. (5th ed.) 150, sec. 67.

(g) *Ante*, p. 160. See S. L. 1837, 526; 3 R. S. (5th ed.) 148, sec. 56.

scribing witness, may also be so examined.(h) And there has already been occasion also to state the sections of the statute which make an attesting witness competent, although he may happen to take an interest under the will.(i) It has further already been shown, that a will may be admitted to probate, as duly executed under the statute, even in opposition to the positive testimony of one or more of the subscribing witnesses, who, either mistakenly or corruptly, swear that the formalities required by the statute were not complied with, if, from other testimony in the case, the court or jury is satisfied that the contrary was the fact.(j) And where any of the witnesses are dead, or in such a situation that their testimony cannot be obtained, proof of their signatures is received conformably with the statutory provisions which have been recited,(k) as secondary evidence of the facts to which they have attested, by subscribing the will as witnesses to the execution thereof.(l)

With respect to the proof required by the above 14th section of the statute, that the will was duly executed, it is proposed to add but a few observations to those which there has already been occasion to make on the subject, in considering the 40th section of the statute relative to "wills and testaments of real and personal property, and the proof of them,"(m) prescribing the manner and form of making wills.

A party seeking to establish a will, takes upon himself the burden of proving the concurrence of all the acts essential to the validity of such an instrument.(n) It is not enough that he proves one or two of them, but he must prove them all in succession. He must show that it is subscribed at the end thereof by the testator himself, or by some person for him, in his presence, and by his direction. He must also show that the subscription was made in the presence of each of the attesting witnesses, or acknowledged by the testator to have been so made in the presence of each of the attesting witnesses. He must also prove that the testator, at the time of the making such subscription, or at the time of acknowledging the same, declared the instrument to be his last will and testament. And, in the last place, he must show that each of the attesting witnesses signed his name at the end of the will, at the request of the testator. There must be proof of each of these four separate acts, independent of each other. Evidence that the testator subscribed, and that the witnesses subscribed, is not proof that the testator signed in the presence of the witnesses. Evidence that he subscribed in the presence of the witnesses, and that they attested the instrument at his request, is not proof of its publication, in conformity with the directions of the 3d subdivision of the 40th section. Neither is the evidence of its publication in conformity with the 3d subdi-

(h) *Ante*, pp. 165, 166. See S. L. 1837, ch. 384; 3 R. S. (5th ed.) 148-9.

(i) *Ante*, pp. 162, 163; 2 R. S. 57, 65; 3 R. S. (5th ed.) 138, 146.

(j) *Lowe v. Joliffe*, 1 W. Black. Rep. 365. See, also, *Newhouse v. Godwin*, 17 Barb. Sup. Ct. Rep. 236.

(k) *Ante*, pp. 119, 121, 161, 162.

(l) See *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 60; *Cheney v. Arnold*, 18 Barb. Sup. Ct. Rep. 434.

(m) 2 R. S. 56, 63; 3 R. S. (5th ed.) 138, 144.

(n) *Chaffee v. Bap. Mis. Conv.*, 10 Paige 91, per Walworth, Ch.

vision, proof that it was subscribed in the presence of the witnesses, or acknowledged to each of the witnesses to have been so subscribed, so as to satisfy the demand of the 2d subdivison.(o) Proof of any one of these four separate acts cannot be enlarged by implication or presumption, so as to become proof of any other of the four separate acts. The order in which these several acts are to be performed, as was before shown, is of no moment.(p) In contemplation of the statute, they are all to be done at the same time. Neither of the four acts, which, united, make a valid execution of the instrument, may be done at a different time from the rest.(q) There must be a concurrence of all to give validity to the act, and the omission of either is fatal.(r)

It may here be properly observed that the statute does not require an attestation clause to a will. A testamentary declaration may be proved, although the *testatum* clause does not recite one to have been made. The only question on the probate, as to the form of execution, is whether, in fact, all the proper ceremonies were performed.(s)

The requirements of the statute, as to the execution and proof of wills, are the prescribed rules for the evidence, pronounced by law to be indispensably necessary to prove the disposing mind and will of the testator, and the authenticity of the testament; both of these being subjects peculiarly open to imposition, artifice, and error.(t) The rules which restrain and regulate the exercise of the right of testamentary disposition, which demand, upon the proof of a will, an accumulation of evidence unknown in any other proceeding, proceed from a profound sense of the necessity of protecting age and infirmity, and decaying mental faculties, from oppression and imposition. And when they are carefully and legibly written in the statute-book, the courts have no other duty than to see them rigidly enforced. The law of evidence, in its application to the proof of the several facts, which, united, constitute a valid will, is the same as it is in its application to the proof of any other fact. The evidence may be direct and positive, or it may be circumstantial and presumptive; for the law of evidence in regard to wills, as well as in regard to deeds and documentary proof generally, must have reference to the casualties of human life, and the infirmities of human memory.

Thus, in a case where the attesting witnesses are dead, and the instrument has a perfect attestation clause, which asserts that the requisites of the statutes have been complied with, and the name of the testator at the end of the will, and the names of the witnesses to the attestation clause, are proved to be in their proper handwriting, the proof would be circumstantial and presumptive; but still it would be such as would justify a court or jury, in the absence of all suspicious circumstances, to determine in favor of its due execution. In every case the clear probability must always be, that the witnesses would not have

(o) 10 Paige, 91.

(p) *Ante*, p. 68.

(q) *Doe v. Doe*, 2 Barb. Sup. Ct. Rep. 205; *Seguine v. Seguine*, *Ib.* 394; *Keeney v. Whitmarsh*, 16 Barb. Sup. Ct. Rep. 141.

(r) *Remsen v. Brinckerhoff*, 26 Wend. 331, per Nelson, C. J. See, also, *Vernam v. Spencer*, 3 Bradf. Surr. Rep. 16.

(s) *Leaycraft v. Simmons*, 3 Bradf. Surr. Rep. 35; *Chaffee v. Bap. Mis. Conv.*, 10 Paige, 85.

(t) See 26 Wend. 335.

signed the attestation of due publication, had it not agreed with the fact, so that this must be the legal presumption until expressly contradicted. The mere absence of additional proof would not negative this presumption.(u) So it is established that, where the facts essential to the valid execution of the will are stated in the attestation clause, the mere want of recollection in the witnesses, is insufficient to overthrow the presumption of due execution.(v) Nothing is said in the statute as to the necessity of each witness being able to prove that all the formalities required by law were complied with, where all the subscribing witnesses are alive, and are actually examined. And it would not be a proper construction of the statute to reject the probate of a will in such a case, upon the ground that some of the subscribing witnesses cannot, after a long period of years, recollect that all the requisites of the Statute of Wills were complied with.(w)

Again : as has appeared at a previous page,(x) where one of the witnesses, who was a lawyer, was dead, and the attestation clause was full, but the surviving witness was unable to prove a perfect compliance with the statute, it was held that the reasonable presumption was in favor of the due execution of the will. And, as was before shown,(y) it has been repeatedly decided that where one of the subscribing witnesses swears that all the formalities required by the statute were complied with, the will may be admitted to probate, notwithstanding the other attesting witnesses may not be able to recollect the fact ; and that the attestation clause, after the lapse of time, and on a want of recollection by the witnesses, affords a presumption or inference that its recitals are true.(z)

In *Peebles v. Case*,(a) it was laid down that if the subscribing witnesses have lost all recollection of the execution, the court, if satisfied from other evidence that they did in fact witness the will, may admit it to probate ; the performance of the usual formalities being inferred from the recitals of the *testatum* clause. When the subscribing witnesses corruptly deny the execution, and, *a fortiori*, when they are mistaken, the proof of the will may be supplied from other sources.

The proof of a will, it was further held in the same case, abides by the same rules of evidence as prevail in all other judicial investigations. The question for the court is, the *factum* of the instrument, and that may be proved in the very teeth of the subscribing witnesses. As to the effect, nature, and character of their testimony, the subscribing witnesses stand on the same ground as other witnesses, on the subject of contradiction ; and if untruth, mistake, or want of recollection be alleged, it is not only competent to prove it, but, on its being proven, and the judge being satisfied of the validity of the will, decree of pro-

(u) 26 Wend. 339.

(v) *Remsen v. Brinckerhoff*, 26 Wend. 332.

(w) *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 52, 53. See, also, *Butler v. Benson*, 1 Barb. Sup. Ct. Rep. 526 ; *Carroll v. Norton*, 3 Bradf. Surr. Rep. 291.

(x) Page 121.

(y) Pages 120, 121.

(z) *Chaffee v. Bap. Mis. Convn.*, 10 Paige, 85 ; *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 49 ; *Nelson v. McGiffert*, 3 Barb. Ch. Rep. 158 ; *Butler v. Benson*, 1 Barb. Sup. Ct. Rep. 526 ; *Weir v. Fitzgerald*, 2 Bradf. Surr. Rep. 42. See 19 Johns. Rep. 386 ; 4 Cowen, 483 ; 1 Wend. 406 ; 11 Wend. 599 ; *Carroll v. Norton*, 3 Bradf. Surr. Rep. 291.

(a) 2 Bradf. Surr. Rep. 226.



bate should follow. The sections of the statute providing that, where the witnesses are dead, insane, out of the state, or incompetent to testify, proof of their signatures may be taken, are only directory, and do not forbid a resort to that class of testimony in other cases, when necessary for the ascertainment of truth. Having attained jurisdiction of the subject-matter, the surrogate, where the course of procedure is not prescribed by statute, must dispose of it according to the established rules of evidence.

And where there was not an attestation clause to the will, but the names of three persons appeared immediately under the word witness, at the end of the will, and two of those witnesses were dead, and the surviving witness testified that, according to his recollection, no other person was present at the time the testatrix subscribed the will in his presence, when he became a subscribing witness, and that he suggested that another ought to be called in, but that, according to his recollection, another witness was not called in at the time, and the handwriting of the deceased witnesses, who were shown to have been persons of intelligence and standing, was proved, the will was admitted to probate.(b)

But where the evidence of the subscribing witnesses, or even of one of them, proves the fact of a positive non-compliance with the requirements of the statute as to the execution of the will, there is no room left for the application of the law of presumptions, for there are no circumstances from which presumptions can arise. The requisite proof is not furnished, and it appears affirmatively that it does not exist, nor can the attestation clause be of any value in this emergency. An attestation clause is of value when the attesting witnesses are dead, or beyond the jurisdiction of the court, or where their memory has failed from any cause, but not when the facts which it asserts are affirmatively disproved. The theory upon which the assertions of an attestation clause are to come in aid of the proof of a will, is the presumption that reputable witnesses would not have put their names to it, unless its contents were known to be true. But this presumption is destroyed when the fact of a want of due execution is affirmatively shown.(c)

The statute prescribes that it must appear upon the proof taken that the testator, at the time of executing the will, was in all respects competent to devise real estate.

In order to be competent to devise real estate, or to make a will or testament in any case, the testator must be of sound mind and memory.(d)

Until the contrary appears, sanity is to be presumed; and where the act is sought to be avoided on the ground of mental disability, the burden of proof is on the party who alleges the disability. Want of capacity on the part of the testator must be shown affirmatively by the party resisting the probate; and if the party propounding the will can rebut his evidence, by showing a general capacity, or a lucid interval accompanying the act, or that the testator had sufficient mind to know

(b) *In the Goods of Jane Thomas*, 1 Swabey and Tristram, 255.

(c) See *Lewis v. Lewis*, 13 Barb. Sup. Ct. Rep. 31, 32.

(d) 2 R. S. 56; 3 R. S. (5th ed.); 2 R. S. 60 (5th ed.); *Ante*, p. 54, *et seq.* 4

what he was doing, the case on the proponent's part is made out. If he can succeed even in neutralizing the evidence, the conclusion of law is that the testator was sane.<sup>(e)</sup>

Where there is evidence tending to show the mental incapacity or insanity of the testator, or that the will was executed by the testator under the influence of a delusion, it is the duty of the surrogate to inquire and decide the question whether it was so executed.

Unsoundness of mind, in the legal acceptation of the phrase, may consist, it has been seen, in a total destitution of intellectual capacity, or in such delusions or aberrations of the mind prevailing at the time, and influencing the act in question, as constitute insanity or loss of reason, whether temporary and partial, or permanent and general, and proceeding from whatever cause, whether from habitual derangement or from drunkenness, sickness, grief, or other accident. Unsoundness of mind may be predicated of either the one or the other of these conditions; and it would seem to be plain that, although the evidence might not show an entire absence of the mental faculties, incompetency to execute a will might still be concluded from proof of delusions, insanity, or loss of reason, either general, or temporary and partial, under the operation of which the will has been executed. It will be erroneous, therefore, for the surrogate to apply to cases of lunacy or insanity the rule which prevails with respect to idiots and imbeciles. It will be incumbent upon him in such cases to determine whether the unsoundness of the testator's mind extended to so many subjects, and perverted his judgment in relation to so many topics, as to obscure and distort his entire mental faculties, and to amount to a general unsoundness of mind, which would entirely incapacitate him from making a rational or valid disposition of his property. Or, if the facts did not show such general unsoundness of the mind of the testator, then whether the will was made under the influence of a delusion, impairing or perverting his judgment and understanding in relation to subjects connected with the provisions of the will, and exercising a controlling influence in the disposition of his property.<sup>(f)</sup>

In short, the important inquiry and controlling consideration in these cases is as to the condition of the testator at the time the alleged will was executed. It is not enough that the testator may, at some prior period, have been laboring under some disability, but the question is, had he the capacity to do the act which he performed at the time of its execution.<sup>(g)</sup>

And further, and in another point of view of the requirement of the statute, that on proving a will there shall be proof that the testator was competent to devise real estate, it should be stated that in general

(e) See *Brown v. Torrey*, 24 Barb. Sup. Ct. Rep. 583; *Jackson v. King*, 4 Cowen, 267. See, also, *Stewart's Executor v. Lispenard*, 26 Wend. 255; *Blanchard v. Nestle*, 3 Denio, 37.

(f) *Stanton v. Weatherwax*, 16 Barb. Sup. Ct. Rep. 259. Where a person is laboring under an insane delusion, his sanity is to be tested by directing his attention to the subject-matter of such delusion; but where a person is afflicted with habitual insanity, unaccompanied with delusions, his sanity is to be tested by his answers to questions, his apparent recollection of past transactions, and his reasoning justly with regard to them, and with regard to the conduct of individuals. *Nichols and Freeman v. Binns*, 1 Swabey and Tristram, 239.

(g) *Brown v. Torrey*, 24 Barb. Sup. Ct. Rep. 583; *Symes v. Green*, 1 Swabey and Tristram, 401; *Dyce Sombre agst. Troup*, 1 Deane, 22.

the only qualifications necessary to render a person competent to devise real estate, in addition to those required to enable him to bequeath personal property, are that he should be a citizen of the United States, and of full age. The blanks for the depositions of the attesting witnesses, formerly in ordinary use on proving a will in the court of the surrogate of the county of New York, contained a clause by which the witnesses swore, in the words of the statute, that the testator was, at the time of the execution of the will, in all respects competent to devise real estate. This has recently been altered, and the witnesses now swear that the testator was not in any respect incompetent to devise real estate. Neither of the forms of the testimony alluded to, it is supposed, furnishes conclusive evidence that the testator possessed the requisite qualifications implied by the language of the statute.

The statute further requires that it shall appear, upon the proof taken, that the testator, at the time of executing the will, was not under restraint.

The restraint which shall invalidate a will is not merely—indeed, is scarcely ever—physical or bodily compulsion or control.<sup>(h)</sup> The term comprises all kinds of undue influence, fraud, or artifice, and all attempts to practice upon, or to take advantage of the want or failure of memory, the ignorance, or the weaknesses of the testator, his evil habits, passions, or propensities, or the defects or infirmities of his faculties.

What shall constitute undue influence, and what degree of it requires to be exercised, in order to have the act, improperly procured, judicially avoided, depends more upon the peculiar circumstances of each individual case, than upon any abstract theoretical reasoning. It need not amount to actual duress, in order to be unlawful. "The stronger will," says Mr. Surrogate Bradford,<sup>(i)</sup> "frequently acquires an extraordinary power over the weaker, not by mere dint of importunity, by threat, or force, but by that steady persistence, that unrelenting pursuit of its purpose, which wears away less stubborn determinations; or, again, by artfully taking advantage of the play of emotions and passions, appealing to prejudices, flattering weaknesses, and fomenting quarrels." This cannot be called duress; but dominion thus acquired, if employed to effect a testamentary act, may be just as potent, distinct, and positive in its results as if coercion had been used, and will be viewed in the same light, and receive the same treatment at the hands of the court, as palpable duress.<sup>(j)</sup>

Suggestion, however, is allowable, where it is not carried to the degree of importunity, and provided the testator be in the full possession of his faculties. There is nothing unlawful in mere suggestion, when it offers ideas to a sound mind, for reception or rejection, according to its free volition.<sup>(k)</sup>

(h) *Darley v. Darley*, 3 Bradf. Surr. Rep. 481, 507. Control, as distinguished from undue influence, is connected with duress, or fear. *Stals v. Schaeffle*, 16 Jur. 909; 18 Eng. L. & Eq. 676.

(i) In his very able and eloquent judgment in *Darley v. Darley*, 3 Bradf. Surr. Rep. 481, 507.

(j) *Darley v. Darley*, 3 Bradf. 481. See, also, *Newhouse v. Godwin*, 17 Barb. S. C. R. 236.

(k) *Tunison v. Tunison*, 4 Bradf. 138. See, also, *Cresly v. Ostrander*, 3 id. 107; *O'Neil v. Murray*, 4 id. 311-19. Importunity, to have legal effect, must be in such a degree as to take away free agency from the testator. *Stals v. Schaeffle*, 16 Jur. 909; 18 Eng. L. & Eq. 576.

And the mere fact that the mind of the testator has been influenced by the arguments or persuasions of the person principally benefited, however indecorous, indelicate, or improper they may be, will not, ordinarily, in the absence of fraud, vitiate a will: still, it must be the will of the testator, however induced. If it be the will of another, to which the testator assented from mere habit, it cannot be considered the will of the testator, and ought not to be sustained.<sup>(l)</sup>

The exertion of influence, to the extent of destroying free agency, will never be presumed merely from opportunity and interest, where there are *indicia* of volition, spontaneousness, and consonance of the testamentary provisions with the state of the affections of the testator. And with respect to instructions, although instructions proceeding from a testator are not always proof of volition, because the instructions themselves may have been procured by influence; still, to do away with the usual presumption of spontaneous action derived from instructions, there must be something from which reasonably to infer control or influence—either some sign that the actor was played upon—some indication of an effort to affect his testamentary dispositions, to modify his affections or take advantage of them; some cognizance of the testamentary act; some trace of fraud, complicity, or clandestinity. In the absence of circumstances of this character, the intendment of the law is in favor of the voluntary origination and performance of the act.<sup>(m)</sup>

Generally speaking, where there is proof of due execution, everything else is implied till the contrary is proved; and evidence of the will having been read over to the testator, or of instructions having been given, is not necessary:<sup>(n)</sup> for when an instrument has been executed by a competent person, it must be presumed that the party so executing knew the contents and the effect of the instrument, and that he intended to give that effect to it.<sup>(o)</sup> But there are some cases of peculiar circumstance, where a more rigid mode of proof is enforced.

Thus, although the rule of the Roman law, that “*Qui se scripsit hæredem*” could take no benefit under a will, does not prevail in the law of this state, yet, where the person who prepares the instrument, or conducts its execution, is himself benefited by its dispositions, that is a circumstance which ought generally to excite the suspicion of the court, and calls on it to be vigilant and jealous in examining the evidence in support of the instrument, in favor of which it ought not to pronounce, unless the suspicion is removed, and it is judicially satisfied that the paper does express the true will of the deceased.<sup>(p)</sup>

(l) See *Newhouse v. Godwin*, 17 Barb. Sup. Ct. Rep. 236.

(m) *Carroll v. Norton*, 3 Bradf. Surr. Rep. 291, 321. See, also, *Wightman v. Stoddard*, Id. 393.

(n) *Billinghurst v. Vickers*, 1 Phillim. 191; *Rodd v. Lewis*, 2 Cas. Temp. Lee, 176; *Goose v. Brown*, 1 Curt. 707.

(o) *Fawcett v. Jones*, 3 Phillim. 476; *Wheeler v. Alderson*, 3 Hagg. 587. See, also, *Brush v. Holland*, 3 Bradf. Surr. Rep. 461. Approbation will have the effect of prior instructions; *Forfar v. Heastie*, 2 Cas. Temp. Lee, 310; *Durnell v. Corfield*, 1 Robert. 56.

(p) See *ante*, p. 122-3; *Croft v. Day*, 1 Curt. 784; *S. C., nomine*; *Dufour v. Croft*, 3 Moore P. O. C. 136; *Durnell v. Corfield*, 1 Robert. 51; *Greville v. Tylee*, 7 E. F. Mo. 320; *Burger v. Hill*, 1 Bradf. Surr. Rep. 360; *Vreeland v. McClelland*, Id. 393; *Weir v. Fitzgerald*, 2 Bradf. Surr. Rep. 42; *Crispell v. Dubois*, 4 Barb. Sup. Ct. Rep. 393; Taylor on Evidence, 108, *seq.*

A will by a client in favor of his attorney is not absolutely invalid. The mere existence of that confidential relation does not annul the act. In such a case, there is no testamentary incapacity; but, still, the circumstances call for the largest degree of circumspection and vigilance to see that the act was in consonance with the views and wishes of the testator, and was not the result of influence exercised through the medium of the existing confidential relation. There should be very clear evidence of mental capacity, and satisfactory proof, independently of the mere *factum*, that the mind, free, unbiased, and uninfluenced, accompanied the act. Courts very justly look with great suspicion and jealousy upon all such wills, and are bound to scrutinize the acts and motives of the parties in the closest manner. Proof of formal execution alone is not enough to force a conclusion; and, even after the *factum* is formally established, the burden remains on the proponent to show, by additional testimony, spontaneousness and volition.(q)

In *Newhouse v. Godwin*,(r) the testator, who had a wife and sister whom he left comparatively destitute, devised the bulk of his property to his counsel. The influence of the counsel over the testator was very great, and extended beyond professional matters. The counsel drew the will, and there was no evidence to show that it was in accordance with previous directions, or that any directions were given, or that the testator had previously designed to give anything to him. The counsel was present when the will was signed, and held the same, and gave the pen to the testator to make his mark, and asked him whether it was his will, and whether he requested the witnesses to sign their names as such, and told the testator to repeat the words, who did so; and it was proved that the testator, in consequence of illness, was in a state of mind in which he readily yielded or assented to the suggestions of others, and it was held that the will was invalid, as having been obtained by undue influence, and a decree of the surrogate, refusing to admit the same to probate, was affirmed.

Where the testator is blind, it must be proved that the contents of the will were known to the deceased; for his execution, or other acknowledgment of the will, is not sufficient.(s) And the same where, from want of education, or from bodily affliction, he is unable to read.(t)

By the civil law, a blind man could not make a testament in writing, unless it was read to him, and acknowledged by him to be his will before the witnesses.(u) This rule has not, however, prevailed in England, nor been incorporated in any of the statutes relative to wills. The object of requiring the will to be read to the blind man was doubtless to prevent fraud, the substitution of one instrument

105; Wms. on Exrs. 96, 311; *Mowry v. Silber*, 2 Bradf. Surr. Rep. 133; *Leaycraft v. Simmons*, 3 Bradf. Surr. Rep. 35.

(q) *Wilson v. Moran*, 3 Bradf. Surr. Rep. 172; *Newhouse v. Godwin*, 17 Barb. Sup. Ct. Rep. 236.

(r) 17 Barb. Sup. Ct. Rep. 236.

(s) *Ante*, pp. 57, 61, 123; *Barton v. Robins*, 3 Phillim. 455, note b: but as to a will of lands see *Longchamp v. Fish*, 2 New R. 415; *Weir v. Fitzgerald*, 2 Bradf. Surr. Rep. 42.

(t) 4 Burn. E. L. (8th ed.) 61; *Barton v. Robins*, 3 Phillim. 455, note b.

(u) Cod. Lib. 6, tit. 22, sec. 8; Inst. Lib. 2, tit. 12, sec. 3, 4; Dig. Lib. 37, tit. 3.



for another, and to secure evidence, beyond the mere *factum* of the will, of the knowledge of the contents of the identical will by the testator. It has not been made a formal ceremonial by the statute of this state, in any case, that the will should be read to the testator in the presence of the witnesses, though it is eminently proper so to do where the testator is blind or cannot read. The statute is satisfied by the subscription of the testator, at the end of the will, in the presence of two witnesses, or the acknowledgment of such subscription; the testamentary declaration of the testator; and the signature by the witnesses, of their names at the end of the will, at the request of the testator. These forms are necessary, but, even when satisfied by the evidence, do not always entitle the will to be admitted to proof. Something more is necessary to establish the validity of the will, in cases where, from the infirmities of the testator, his impaired capacity, or the circumstances attending the transaction, the usual inference cannot be drawn from the mere formal execution. Additional evidence is, therefore, required, that the testator's mind accompanied the will, that he knew what he was executing, and was cognizant of the provisions of the will. That is all that ought to be required in the proof of the will of a blind person.(v)

So, it is an established rule that, where the capacity of the testator is doubtful at the time of execution, there must be proof of instruction, or of reading over.(w) But this rule only applies, or at least only applies with any stringency, where the instrument is inofficious, i. e., not consonant to the testator's natural affections and moral duties, or where it is obtained by a party materially benefited.(x)

In *Van Pelt v. Van Pelt*,(y) however, although instructions were proved, but the main provision of the will was regarded as "at variance with every feeling of nature in the testator," and the case was not free from circumstances which strongly indicated undue influence, it was laid down as a general rule, that where the circumstances which attended the case are found—the age, the ignorance, the inability to read or write, the exposure to influence on the part of the testator, the conceded fact that he was, and had for many years been, wholly swayed by passion and prejudice—there, the mere compliance with the statutory formalities of execution is not sufficient. The burden of proof is shifted. The party propounding the will is bound to show, not only that the formal acts required by the statute, in all cases, were performed, but that the testator's mind accompanied the will; that he knew what he was executing, and was cognizant of the provisions of the testament.

In determining, therefore, whether a will has been procured to be made by undue influence, it is proper to examine whether the alleged testamentary provisions are in harmony with the decedent's dispositions

(v) *Weir v. Fitzgerald*, 2 Bradf. Surr. Rep. 68, 69.

(w) *Billingham v. Vickers*, 1 Phillim. 193; *Ingram v. Wyatt*, 1 Hagg. 382; *Dodge v. Meach*, 1 Hagg. 620. See *Barry v. Butlin*, ante, p. 123; *Durnell v. Corfield*, 1 Robert. 51; *Burritt v. Sulliman*, 16 Barb. Sup. Ct. Rep. 198. See, also, *Morrison v. Smith*, 3 Bradf. Surr. Rep. 209; *Van Pelt v. Van Pelt*, 30 Barb. Sup. Ct. Rep. 134.

(x) *Brogden v. Brown*, 2 Add. 449. See, also, *Sankey v. Lilley*, 1 Curt. 401; *Harwood v. Baker*, 3 Moore P. C. C. 282; *Croft v. Day*, 1 Curt. 784; *S. C., nomine*; *Dufour v. Croft*, 3 Moore P. C. C. 136.

(y) 30 Barb. Sup. Ct. Rep. 134.

and affections.(z) Declarations made by the testator from time to time previously to the execution of the will, in relation to his intended disposition of his property by will, are received in evidence, where there is room for doubting the strength of the testator's capacity, and for suspecting improper influences brought to bear on his volition ;(a) but they diminish in importance as the grade of capacity increases, and have no value whatever where the mind is sound and vigorous.(b)

Evidence of this nature is not, however, to be received without reference to the remoteness, in point of time, of the declarations from the execution of the will. The object of the evidence is to show the mental state of the testator at the time when the will was executed. It is admissible, therefore, only where it has a legitimate bearing upon that question ; and of this the court must judge, as in every other case, where the relevancy of testimony is to be decided. If the judge can see that the evidence offered cannot justly be supposed to reflect any light upon the mental condition of the testator, at the time of making the will, he has an undoubted right to exclude it.(c)

It may properly be added, that declarations of a testator, made either before or after the execution of the will, are not competent evidence to impeach its validity on the ground of fraud, duress, imposition, or other like cause.(d)

That the party to be benefited by the will was not present at its execution, though not conclusive against improper interference, for influence may be so great as to govern its subject when withdrawn from its immediate action, is still a circumstance of importance in this inquiry. Another important circumstance is the fact, if such was the case, that the health of the decedent was good at the time of the execution, as it follows that the will could not have been procured in the immediate prospect of death. And where a long period has elapsed between the time of the execution, and the death of the decedent, and there is no evidence of an effort, on his part, to repudiate the transaction, the circumstance goes far to repel the impeachment of undue influence.(e)

Subsequent recognitions of the will by the decedent when in health, and in the undoubted full possession of his faculties, are material facts in its favor. And whether a condition of weakened capacity of the testator existed at the time of the execution of the will, and whether the will was made and procured by the artifice, influence, or control of others, is the subject of affirmative proof, and not of surmise and suspicion.(f)

Although the *onus* of satisfying the court that the forms prescribed by the statute for the execution of wills were complied with, lies with

(z) *Allen v. The Public Administrator*, 1 Bradf. Surr. Rep. 378. See, also, *Wightman v. Stoddard*, 3 Bradf. Surr. Rep. 393; *Brush v. Holland*, Id. 461; *Wilson v. Moran*, Id. 172; *O'Neil v. Murray*, 4 Bradf. Surr. Rep. 311.

(a) *Wilson v. Moran*, 3 Bradf. Surr. Rep. 172; *Tunison v. Tunison*, 4 Bradf. Surr. Rep. 138, 147; *Waterman agst. Whitney*, 1 Kernan, 157.

(b) *Tunison v. Tunison*, 4 Bradf. Surr. Rep. 138, 147. See, also, *O'Neil v. Murray*, 4 id. 311.

(c) *Waterman agst. Whitney*, 1 Kernan, 157.

(d) Id.

(e) See *Wilson v. Moran*, 3 Bradf. Surr. Rep. 172.

(f) *Allen v. The Public Administrator*, 1 Bradf. Surr. Rep. 378.

the party seeking to establish the will, the fact of such compliance may be proved by other evidence, or inferred from circumstances, where the subscribing witnesses are dead, or absent, or otherwise incapacitated to give testimony, or where, from lapse of time or otherwise, they are unable to recollect whether the requisite formalities were observed at the time when they witnessed the execution of the instrument.(g) So, in resisting the probate of an instrument propounded as the last will and testament of a decedent, his heirs and next of kin have the right to introduce any testimony which will be sufficient to satisfy the surrogate that the instrument propounded was not in force, as a valid will, at the death of the testator named therein. Proof, therefore, that the will in question was revoked by a subsequent will of the testator, and that such subsequent will has been fraudulently destroyed; or that it was destroyed by the testator when his mind had become so impaired that he was incompetent to perform a testamentary act, is admissible.(h) So where, in an action of ejectment, the plaintiff, on the question of request to the attesting witnesses, offered to show, by a person other than an attesting witness, that when the will was attested the testator was silent, it was held that the testimony was admissible, and that, although there might have been a constructive request, the plaintiff had the right to establish the fact that there was no personal request made by the testator after a certain person came into the room, so that it should depend wholly upon the constructive request, and then to have had the question submitted to the jury.(i) So, where the capacity or condition of the testator, or the circumstances attending the execution of the will, are such as to excite the suspicion and awaken the vigilance of the court, and additional evidence is required to remove the suspicion and satisfy the court that the instrument propounded does express the true will of the deceased, it is not essential that such evidence should be furnished by the subscribing witnesses. It may be supplied *aliunde*. As subscribing witnesses, all that it is necessary they should prove is, that ceremony which they witnessed, and which the statute requires. This satisfies the statute; and the additional evidence, which has been referred to as proper in certain cases, may be afforded by other persons.(j)

In the Court of Construction, when the *factum* of the instrument has been previously established in the Court of Probate, the inquiry is almost closely restricted to the contents of the instrument itself, in order to ascertain the intentions of the testator. But in the Court of Probate, the inquiry is not so limited; for there the intentions of the deceased, as to what shall operate as, and compose his will, are to be collected from all the circumstances of the case taken together.(k)

Where the Court of Probate has any doubt on a point of construction, it will so decide as will best enable the parties concerned to have recourse to the tribunals whose more special duty it is to put a con-

(g) *Chaffee v. Bap. Mis. Conv.*, 10 Paige, 91.

(h) *Nelson v. McGiffert*, 3 Barb. Oh. Rep. 158, 164.

(i) *Rutherford v. Rutherford*, 1 Denio, 33.

(j) *Weir v. Fitzgerald*, 2 Bradf. Surr. Rep. 69.

(k) *Greenough v. Martin*, 2 Add. 243, *Methuen v. Methuen*, 2 Phillim. 426.

struction on testamentary papers.<sup>(l)</sup> And where the will is conditional, if the words of the will do not clearly express that the entire instrument is to take effect or to fail upon a particular event, the court will give sentence of probate, so as to leave the determination of its conditional character open as a question of construction.<sup>(m)</sup>

The surrogate's decree as to the validity of a will of personal estate being conclusive, he must determine upon questions of error or mistake, as well as of fraud or incapacity, when they relate to the *factum* of the instrument. The general rule is, that mistakes and variances between the will as prepared, and the instructions given for preparing it, can only be reformed by the Court of Probate.<sup>(n)</sup> It seems well settled, that a will cannot be set aside in equity on the ground of fraud,<sup>(o)</sup> and the rule must, of course, be more stringent in a case of alleged mistake, or dispute as to the testator's intention.<sup>(p)</sup>

Therefore, if there is an ambiguity upon the *factum* of the instrument, parol evidence may be admitted, under some circumstances, in the Court of Probate, to explain the intention of the testator. By ambiguity upon the *factum*, is meant, not an ambiguity upon the construction, as whether a particular clause shall have a particular effect; but an ambiguity as to the foundation itself of the instrument, or a particular part of it: As, whether the testator meant a particular clause to be part of the instrument, or whether it was introduced without his knowledge; whether a codicil was meant to republish a former or a subsequent will;<sup>(q)</sup> whether the residuary clause, or any other passage, was accidentally omitted.<sup>(r)</sup> These are all matters of ambiguity upon the *factum* of the instrument.<sup>(s)</sup>

But it has been considered as a rule, that, in order to justify the admission of parol evidence to explain the ambiguity upon the *factum* of an instrument, *the ambiguity must be upon the face of the paper*; and, further, the facts alleged and to be proved must *completely* remove that ambiguity.<sup>(t)</sup> When no ambiguity whatever appears upon the face of the instrument, the court will not admit parol evidence.<sup>(u)</sup>

(l) *Enobin and others v. Wylie*, 1 Swabey and Tristram, 118.

(m) *Ex parte Lindsey*, 2 Bradf. Surr. Rep. 204; *Thompson v. Connor*, 3 Bradf. Surr. Rep. 366.

(n) Story's Eq. Jur., sec. 179; *Burger v. Hill*, 1 Bradf. Surr. Rep. 372, and cases cited; *Hill agst. Burger, Eor., &c., and another*, 10 How. Prac. Rep. 264.

(o) See 1 Bradf. Surr. Rep. 373.

(p) *Id.*

(q) *Lord St. Helens v. Lady Exeter*, 3 Phillim. 461, *note g*. There the testator left a will, dated 13th Dec., 1800, and a codicil, all in his own handwriting, beginning, "This is a codicil to my last will and testament of the 10th Jan., 1798, and I do hereby ratify and confirm my said will." On the part of the executors, it was alleged that, at the time of the execution of the codicil, the deceased was at Burghley, and copied this from a form which he had procured from his solicitor, and inadvertently copied the date from a former will, which it was to be presumed had been destroyed, as it could not be found. Parol evidence was admitted to prove this allegation, and show the mistake; and the codicil was pronounced a codicil to the will of December, 1800.

(r) *Blackwood v. Damer*, 3 Phillim. 458, *note d*; *Travers v. Miller*, 3 Add. 226; *Bayldon v. Bayldon*, 3 Add. 239; *Shadbolt v. Waugh*, 3 Hagg. 570. But see *Castell v. Tagg*, 1 Curt. 289.

(s) 3 Phillim. 479.

(t) *Fawcett v. Jones*, 3 Phillim. 434; *Draper v. Hitch*, 1 Hagg. 678; *Harrison v. Stone*, 2 Hagg. 550; *Shadbolt v. Waugh*, 3 Hagg. 570; and see *Sandford v. Vaughan*, 1 Phillim. 128; *In the Goods of Robert Davy*, 1 Swabey and Tristram, 262.

(u) *Fawcett v. Jones*, 3 Phillim. 434; *In the Goods of Robert Davy*, 1 Swabey and Tristram, 262. With respect to what shall be an apparent ambiguity, such as to satisfy this rule, see *Wma. on Exrs* 313, 314, and cases cited.

As to undue insertions or omissions in wills, it seems that if a clause is inserted in a will by mistake, parol evidence may be received for the purpose of that clause being expunged, by showing it never was intended that it should form part of the will. So the court may receive parol evidence to explain a word in a will, but not to substitute one word for another.<sup>(v)</sup>

With respect to omissions, it is not competent for the Probate Court to supply omissions by inserting words in the will. That must stand as it is, or else the entire instrument must fall. The jurisdiction of the surrogate, in respect to the correction of mistakes, is, by the necessary operation of the Statute of Wills, merely negative, and limited to refusing probate to a will or part of a will.<sup>(w)</sup> But part of a will may be established and part refused probate, if incapacity, fraud, or imposition, at the time of the execution of the latter part, be shown. And where it is necessary to correct an error, to meet the intention of the decedent, probate may be limited as to particular assets.<sup>(x)</sup> Thus, in *Burger v. Hill*,<sup>(y)</sup> before the surrogate of the county of New York, the testator, by his will, gave and devised to his mother and sisters all his real estate, in equal proportions, share and share alike. He then gave and bequeathed unto Elizabeth Parker the use and annual income of all his personal property during her life, with remainder to her daughter Florence, forever. The decedent, at the time of his death, possessed an estate consisting of his store in Greenwich street, which was leasehold property, his stock in trade, and other personalty, amounting altogether in value to about \$20,000, and some real estate in Williamsburgh, valued at \$3,000 or \$4,000. The will was drawn by Judge Boekes, and, at the time of its preparation and execution, the following circumstances transpired: The testator informed the judge that he wished him to draw his will; and, on being asked how it should be drawn, he said he wished to give Elizabeth Parker and her child his personal estate, the use of it to her for life, and then to the child. He then said that he wished his real estate to go to his mother and sisters. To ascertain whether he understood the force of the terms he used, the judge inquired whether he had any real estate. He said the store, where he carried on business in New York, he owned. "I understood from the decedent," said the judge, "that the store in New York, where he did business, would pass to his mother and sisters, under the term 'real estate,' as used in the will. I asked him if he had any real estate. My object was to see if he understood the force of the term, 'real estate,' he used. He said he owned the store where he did business in New York, and I believe he said that was real estate which he wanted to give to his mother and sisters." The surrogate decreed that the will be admitted to probate as a valid will of the real and personal estate of the testator, except as to the legacies of the testator's "personal estate," therein mentioned, to Elizabeth Parker and her child Florence,

<sup>(v)</sup> *In the Goods of Chapman*, 1 Robert. 4. *In the Goods of Robert Dwy*, 1 Swabey and Tristram, 262.

<sup>(w)</sup> *Burger v. Hill*, Bradf. Surr. Rep. 374; *Creech v. Ostrander*, 3 id. 107.

<sup>(x)</sup> *Burger v. Hill*, 1 Bradf. Surr. Rep. 360. See, also, Wms. on Exrs. 311.

<sup>(y)</sup> 1 Bradf. Surr. Rep. 360.



which said legacies of said "personal estate" are admitted to probate as a part of said will, except as to the leasehold lot and premises of the said testator, known as number two hundred and eight Greenwich street, in the city of New York, which premises are hereby reserved from the probate of so much of said will as relates to said legacies of said "personal estate." "I am frank to say," observed the surrogate, in closing his opinion upon the case, "this disposition has not been arrived at without a full sense of the difficulties which entangle the subject; but I believe it to be right in itself, and in consonance with a just and liberal interpretation of the principles by which testamentary courts have been accustomed to be guided." (z)

It may be observed that a mistake in the name of a legatee, where the person intended is plainly enough indicated, will not be regarded where the proof is otherwise satisfactory; (a) and it is quite obvious that an instrument which, so far as it goes, is conformable to the decedent's wishes, cannot be defeated because there may be grounds for suspecting a minor casual omission—such as the omission of the name of a relative from a provision apparently designed to include all the relatives of the deceased of the same nearness of kin to her with the one omitted; (b) and the circumstance that the bequests made by the testator, by his will, exceed the amount of his personal property, is entitled to no weight where the soundness of the mind or memory of the decedent is not impeached. (c)

Again: it is not sufficient ground for refusing probate that error, as to a matter of fact, has been made by the testator, unless the mistake has been of such a character as to affect his testamentary intentions. Where there is no evidence to raise a doubt of the testator's intention to name a particular amount at the precise sum mentioned in the will, the instrument must be admitted to probate in the same words as written at the time of its execution, and the mistake, if any, be left for correction upon the settlement of the executor's account, or otherwise in a court of construction. (d)

The proof must be clear and satisfactory that the insertion or omission was contrary to the intention of the testator, in order to require or justify the court to pronounce for the will, not in its actual state, but with such error first reformed or corrected. (e)

In respect to revocations, it is a settled rule not to give effect to a part of the testator's intention, when effect cannot be given to the

(z) And in an action subsequently brought by the legatee in the Supreme Court to compel the executor to assign the lease to her, it was held that it was within the jurisdiction of the surrogate to reject so much of the will as was rejected by him, and that the claim made by the plaintiff had been passed upon already by the surrogate, and that his decree, in such a case, could not be reviewed collaterally. *Hill agat. Burger, Exr., and another*, 10 How. Pr. Rep. 264.

(a) *Wightman v. Stoddard*, 3 Bradf. Surr. Rep. 393.

(b) *Creech and others v. Ostrander*, 3 Bradf. Surr. Rep. 107.

(c) *Tunison v. Tunison*, 4 Bradf. Surr. Rep. 138.

(d) *Buell v. Schwartz*, 4 Bradf. Surr. Rep. 12.

(e) See *Wms. on Exrs.* 317. In the case of the Goods of *Elizabeth Stone James*, (1 Swabey and Tristram, 238,) the testatrix, after the execution of her will, erased certain parts thereof, substituting in their place other words. Probate was granted of the will with those parts erased in blank, the original words not being discernible on the face of the paper, and there being no evidence to show what they were.

whole of it. Thus, where the testator, after duly executing his will, passed a pen through portions of a devise to his daughter—giving, in a note at the foot of the page, bad treatment as a reason—although it was considered that there may be a partial revocation of a will by obliteration, yet, where, in connection with the attempt to revoke the devises to his daughter, the testator designed to give the same property over to his two sons, by altering the residuary clause, striking out the words “my children,” and inserting “my two sons,” such insertion being inoperative for want of re-execution and attestation, and the intent failing as to the substitution intended, it was held that the devises to the daughter were not revoked, and that the will should be admitted to probate as it originally stood.(f)

[For forms of depositions on proving a will, embodying all the requirements of the statutes, see Appendix, Nos. 14 and 15.]

The will may be either admitted to probate and record or not, according as the surrogate may determine upon the evidence.

It may here be observed that it is the province of the surrogate to determine upon the credibility of the witnesses, and the competency and sufficiency of the evidence. Upon an appeal, the court of review may reverse his judgment, where he has erred in his decision upon a question of law; and, although the appellate court is not concluded by the judgment of the surrogate upon the credibility of the evidence, such court will not undertake to reverse his decree on the score of his misjudgment in that particular, unless his error has been undoubted and palpable.(g)

It may be added, that where the surrogate has admitted a will to probate, and the appellate court, as a determination on a question of law, has reversed his decree on appeal, on the ground that the evidence before him was insufficient to prove the valid execution of the will by the testator, the surrogate has jurisdiction to try the question of the valid execution of the will a second time. The will stands as though it had never been proved, and the case falls directly within the jurisdiction of the surrogate to hear proof, and determine in regard to the valid execution of will.(h)

#### OF THE ENTRIES TO BE MADE BY THE SURROGATE IN HIS MINUTES.

Sec. 21. The surrogate shall enter in his minutes the decision which he may make concerning the sufficiency of the proof or validity of any will which may be offered for probate; and in case he shall decide against the sufficiency of the proof, or the validity of any such will, he shall, without fee or charge, state the ground upon which the decision is made, if required by either party.(i)

[For form of the entry in the surrogate's minutes, where the will is established, see Appendix, No. 17.]

An order admitting the will to probate, reciting a brief summary of

(f) *McPherson v. Clark*, 3 Bradf. Surr. Rep. 92.

(g) *Mead v. Mead*, 18 Barb. Sup. Ct. Rep. 578.

(h) *Mead v. Mead*, 18 Barb. Sup. Ct. Rep. 578.

(i) S. L. 1837, 528; 3 R. S. (5th ed.) 150, sec. 69.

the proceedings, is also entered in the minutes. [For form, see Appendix, No. 17.]

If the surrogate decide against the sufficiency of the proof, or the validity of the will, an order must be entered rejecting the same. [For a form of such order, see Appendix, No. 21.]

The will having been established, it must be recorded. By the above section, numbered 14, the will, and the proofs and examinations taken, are to be entered upon the record. The 10th section of the act of 1837, as has been seen,<sup>(j)</sup> requires proof of the service of the citation to be taken and reduced to writing, as well as the examinations of the witnesses; and the present 14th section, construed with reference to that section, and the 18th section of the same act, was formerly held, in the court of the surrogate of the county of New York, to require that the whole should be recorded; so that all the proceedings, from the issuing of the citation, were made a part of the record. But now the practice is to enter upon the record, at its commencement, simply a brief summary of the preliminary proceedings, reciting the making of the requisite inquiry respecting the property to which the will relates, and respecting the names and residences, and other facts directed to be ascertained relative to the widow, heirs, and next of kin; the appointment of a special guardian, if such has been the case; the issuing, service, and return of the citation; the appearances of the parties on the day appointed for taking the proof, and the decision of the surrogate upon the sufficiency of the proof and the validity of the will. The record then proceeds with the will, and the proofs and examinations mentioned in the above 14th section. [For form of the complete record of a will, including the surrogate's certificate, required by the 14th section, see Appendix, No. 18.]

#### OF THE RECORD AND PROBATE OF THE WILL AND THE EFFECT THEREOF, AND OF RECORDING THE PROCEEDINGS.

The following section of the statute was originally enacted with reference to wills of real property and the proof of them.

Sec. 15. Every will so proved shall have a certificate of such proof indorsed thereon, signed by the surrogate, and attested by his seal of office, and may be read in evidence without further proof thereof. The record of such will, made as aforesaid, and the exemplification of such record by the surrogate in whose custody the same may be, shall be received in evidence, and shall be as effectual in all cases as the original will would be if produced and proved, and may in like manner be repelled by contrary proof.<sup>(k)</sup>

In all cases, the admitting the will by the surrogate to be recorded renders the original will, or the record of the proof thereof, *prima facie* evidence of the due execution of such will; but subject to be rebutted by contrary proof.<sup>(l)</sup>

By the 18th section of the act of 1837,<sup>(m)</sup> this 15th section is made

<sup>(j)</sup> *Ante*, p. 157.

<sup>(k)</sup> 2 R. S. 58; 3 R. S. (5th ed.) 140, sec. 11.

<sup>(l)</sup> See *Jauncey v. Thorne*, 2 Barb. Ch. Rep. 51-2.

<sup>(m)</sup> S. L. 1837, 528; 3 R. S. (5th ed.) 150, sec. 67.

applicable to wills of both real and personal estate, or either. [For form of the certificate to be annexed to the will, see Appendix, No. 19.]

Sec. 19. When any will shall be recorded as a will of real estate, it shall not be necessary to record the same as a will of personal estate.<sup>(n)</sup>

This section simply renders it unnecessary to record a will anew, on proving it as to the personal estate, after it has been proved and recorded as a will of real estate; or, on proving a will as to both real and personal estate, to record the same separately as to each. It does not, as some have been known to suppose, authorize probate of a will, merely on proving it as a will of real estate. To prove a will of real estate, it is necessary to cite the heirs only; but on proving a will of personal estate, the widow and next of kin must be cited. There are other distinctions, also; but this renders the meaning of this section sufficiently apparent.

A copy of the will, with a certificate of the correctness of the same, and that the will has been duly proved, and a further certificate setting forth the qualification of the executor and the issuing of the letters testamentary, is, in the county of New York, termed the probate. [For form of the probate, see Appendix, No. 20.]

Sec. 29. The probate of any will of personal property, taken by a surrogate having jurisdiction, shall be conclusive evidence of the validity of such will, until such probate be reversed on appeal, or revoked by the surrogate, as herein directed,<sup>(o)</sup> or the will be declared void by a competent tribunal.<sup>(p)</sup>

The judgment of the surrogate, in admitting a will of personal property to probate, is the decision of a court of competent and exclusive jurisdiction, which cannot be impeached collaterally.<sup>(q)</sup> The above-quoted 29th section of the statute, declaring the probate of wills of personal property conclusive, does not conflict with the above 18th section of the law of 1837, which makes the above 15th section of the Revised Statutes, in relation to wills of real estate, applicable to wills of personal property. The object of the 15th section was to make the certificate of the surrogate, and the record of the will, or an exemplification, *prima facie* evidence. The legislature have, accordingly, declared that such shall be their effect; and have placed them upon the same footing as the records and exemplifications of deeds.<sup>(r)</sup> But the probate of a will, by which is meant the evidence, jurisdictional and otherwise, presented to the court, together with the judicial determination of the surrogate upon that evidence, is, as to personal property, conclusive notwithstanding. It is in the nature of a proceeding *in rem*, to which all persons having an interest in the subject of litigation may make themselves parties, and are consequently bound by the

<sup>(n)</sup> S. L. 1837, 528; 3 R. S. (5th ed.) 143, sec. 34.

<sup>(o)</sup> That is, after proceedings on allegations of the next of kin; as to which, see 2 R. S. 61-2; 3 R. S. (5th ed.) 142-3. *Post*, ch. 14.

<sup>(p)</sup> 2 R. S. 61; 3 R. S. (6th ed.) 142.

<sup>(q)</sup> *Jackson v. Edwards*, 7 Paige, 386, 397; *Muir v. Trustees of Leake and Watts Orphan House*, 3 Barb. Ch. Rep. 477, 481.

<sup>(r)</sup> 3 Johns. Cas. 236; Reviser's notes to sec. 15, 3 R. and or. S. (2d ed.) App. 629.

decree.(s) Thus, where the surrogate had admitted to probate a will to which there was but one subscribing witness, it was determined that his decree was conclusive, and that the probate could not be impeached in a collateral action.(t)

The probate is conclusive as to the validity of the will, except, it should however be understood, in a proceeding which has for its very object, directly or indirectly, to revoke or modify the probate. The statute has provided the means of enabling the next of kin of a testator, within a year after the probate, on filing allegations against the validity of the will or the competency of its proof, to compel the executors to prove the will anew; and, after hearing the proofs, if the surrogate decide the will to be invalid, or not sufficiently proved, he may annul and revoke the probate thereof.(u) But there is not any provision expressly authorizing the surrogate to revoke a probate in any other case. And even where a subsequent will has been discovered and proved, the jurisdiction is nowhere expressly conferred upon the surrogate to revoke the previous probate. And yet such a case may often occur after proof of the earlier will, and the parties in interest, under the last will, be deprived of their rights, without notice, and without fault or negligence, unless a remedy exists beyond the express provisions of the statute. If the Surrogate's Court possessed the power to revoke, open, or alter its orders, it is self-evident that the order itself cannot be set up as a bar to the exercise of an authority which pre-supposes the existence of some decree or order on which to act. The question in these cases, therefore, is whether, after a will is admitted to proof, the surrogate has control over his own decree of probate? "There is nothing," says the surrogate, in *Campbell v. Logan*,(v) "in the law forbidding the exercise of such a power (the power to revoke a previous probate on the discovery of a subsequent will); but, on the contrary, there is a very fair implication in its favor in the very section which declares the probate to be conclusive evidence of the will until reversed on appeal, or revoked by the surrogate on allegations filed within a year by the next of kin, '*or the will be declared void by a competent tribunal.*'" This section was proposed by the revisers as a rule of evidence declaratory of the existing law,(w) and was not designed to restrict the power of the surrogate. Indeed, it distinctly recognizes the competency of some tribunal to declare the will void; and, if that does not lie within the jurisdiction of the surrogate, I do not know where the power resides.(x) No other court possesses jurisdiction in respect to the proof of wills of personal estate; and, if the surrogate has no authority to open a decree for the purpose of correcting a mistake, or to let in the proof of a revocation, or a later will—if, the moment a decree of probate is passed, the door is closed, and the act is irrevocable, notwithstanding the discovery of circumstances showing the probate to be erroneous—then it is evident that justice may be sacrificed to the forms of proceeding. This power has always been exer-

(s) *Bogardus v. Clark*, 4 Paige, 623.

(t) *Vanderpool agt. Van Valkenburgh*, 2 Selden, 190, 198.

(u) 2 R. S. 61; 3 R. S. (5th ed.) 142.

(w) 3 R. and or. S. (2d ed.) Appendix, 630.

(v) 2 Bradf. Surr. Rep. 90, 92.

(x) "Wma. on Exra. 450, 457."



cised in the English Ecclesiastical Courts. Wentworth says, 'If there be falsehood in the proof, were it *communi forma*—that is, without witnesses, or by examination of witnesses, (that is, in solemn form)—yet it may, in the spiritual court, be *undone*, if disproof can be made, or proof of revocation of that will was once made, *or of the making of a later.*'(y)

"I consider the power now under discussion as essential to the administration of justice, and as a necessary incident to the exclusive jurisdiction of the surrogate over the subject matter of the probate of wills. In this connection, my attention has been drawn to the authority exercised by the chancellor, acting under the special power conferred on him by the English bankrupt law. Without any provision in the statute for that purpose, he has constantly exercised jurisdiction in recalling certificates and superseding commissions, on the principle that the power is incidental to the jurisdiction, and necessary for the ends of justice."(z)

But the spiritual courts have always exercised this power over their own decrees; and the Surrogate's Court, though of inferior jurisdiction, being a tribunal proceeding according to the course of the common law, and recognized by the common law, proceeds in all matters relating to the probate of testaments and the administration of the estates of deceased persons, in conformity with prescription and established usage, except as modified from time to time by statutory regulations. It is true, the Revised Statutes define the jurisdiction of the surrogate, and direct its exercise "in the cases and in the manner prescribed by the statutes of the state;"(a) but the power to take the proof of wills being given generally, the mode of its exercise in a case not provided for by statute, must be regulated by the court, in the exercise of a sound discretion, according to the peculiar circumstances of each particular case. For example, there can be no doubt that a legatee or party interested in a later will, discovered after a previous will has been admitted to proof, has a right to have the last will proved, and letters testamentary issued thereon. But there cannot be two last wills, and two sets of letters at the same time. It is incidental, therefore, to the exercise of jurisdiction in taking probate of the last will, and the consequent grant of letters, to revoke the first probate, and the first letters testamentary.(b)

(y) "Wentworth, Off. Ex. 111, 112. See 1 Hagg. 241, 645; 3 Hagg. 243; 1 Phillim. 83; 3 Phillim. 33, 56; 1 Add. 219, 365, 1 Curt. 691; 3 T. R. 125; 4 Serg. and Raw. 201."

(z) "Eden on Bankrupt Law, 412, 431."

(a) 2 R. S. (3d ed.) p. 318, sec. 1; 3 R. S. (5th ed.) 362, sec. 1. See *ante*, pp. 5, 24.

(b) In *Heyer v. Burger*, (1 Hoffman's Ch. Rep. 1, 11,) the assistant vice-chancellor, after quoting the provisions of the statutes relative to the revocation of a probate on allegations, and those regulating appeals from the decrees of surrogates on wills, (2 R. S. 62, sec. 38; Id. 66, sec. 55; Id. 67, sec. 59,) with reference to the last clause of this section, says: "From an examination of these various provisions, I am unable to fix any meaning to the clause used in the 29th section of the statute, viz., 'or the will be declared void by a competent tribunal,' unless it refers to cases in which executors or trustees come to establish a will, or carry it into execution, and its validity is contested." As to the exclusive or concurrent jurisdiction of surrogates in respect to wills of personal property, see same case. See, also, on the question of jurisdiction, and the effect of the proof of wills in the Surrogate's Court, as to the real and personal estate respectively, *Rogers v. Rogers*, per Savage, Ch. J., 3 Wend. 514; *Bogurdus v. Clarke*, 1 Edwards' Chanc. Rep. 266; 4 Paige, 623; *Matter of Atkinson's Will*, 2 Paige, 216; *Matter of Hornby's Will*, Id. 430.

The following section of the statute is partly a repetition of what has already been quoted with respect to the recording of the will:

Sec. 58. Each surrogate shall record in his books, to be provided by him, all wills proved before him, and all letters testamentary or of administration, and all letters appointing a collector, with all things concerning the same. The records of such wills and letters, and the transcripts thereof, duly certified by the surrogate having the custody of such records, under his seal of office, shall be evidence in all courts, so far as respects any personal estate, in the same manner as if the originals were produced and proved.<sup>(c)</sup>

By the following section, the testimony on the proof of a will is to be reduced to writing, and recorded in all cases, whether the will be established or not:

Sec. 57. The testimony taken by any surrogate, in relation to the proof of any written or unwritten will, and in any controversy relating to the granting of letters testamentary or of administration, or the revoking of the same, shall be reduced to writing, and shall be entered by him in a proper book to be provided, and preserved as part of the books of his office; if taken by any first judge or district attorney, the same shall be filed in the office of the clerk of the county.<sup>(d)</sup>

It may be observed that, under this provision, if the will be not established, only the testimony need be recorded. Neither the supposed will nor the preliminary proceedings are to be entered on the record. The proper record, in such case, in the court of the surrogate of the county of New York, is usually made in the book of the surrogate's daily minutes.

#### OF THE DISPOSAL OF THE WILL AFTER PROOF.

Sec. 54. All wills, whenever proved according to law, except such as are required to be deposited, shall, after being recorded, be returned upon demand to the person who delivered the same; or, in case of his death, insanity, or removal from the state, to any devisee named in such will, or to the heirs or assigns of such devisee; or, if the same relate to personal estate only, to any acting executor of such will, or administrator with the will annexed, or to a legatee named therein.<sup>(e)</sup>

#### OF PROVING THE WILLS OF NON-INHABITANTS.

The subject of the proving and recording of wills under the first subdivision of the statute, (S. L. 1837, 524, sec. 1,) where the testator, at or immediately previous to his death, was an inhabitant of the county of the surrogate, in whatever place such death may have happened, is thus concluded. The cases of wills of inhabitants of the county are, of course, far more numerous than those coming under the other subdivisions of the statute, and constitute the largest separate branch of business in the Surrogate's Court.

(c) 2 R. S. 80; 3 R. S. (5th ed.) 167. See *ante*, pp. 48, 146.

(d) 2 R. S. 80; 3 R. S. (5th ed.) 167; and see S. L. 1837, ch. 465, p. 543.

(e) 2 R. S. 66; 3 R. S. (5th ed.) 150.

The other cases in which the surrogate has jurisdiction, are where the testator was not an inhabitant of this state, and died in the county of the surrogate, leaving assets therein; or died out of the state, leaving assets in the county of the surrogate; or died out of the state, not leaving assets therein, but assets of such testator have thereafter come into the county of the surrogate: or, lastly, where no surrogate has gained jurisdiction by reason of either of these provisions, and any real estate devised by the testator shall be situated in the county of the surrogate.(f) It may be observed, that the jurisdiction in these cases uniformly follows the personal property, and it is only where there are no assets within this state, that the situation of real estate of the testator within the county of the surrogate can give him jurisdiction.

And if it does not appear that the decedent, being a non-inhabitant, and leaving assets in the county of the surrogate, or that since his death assets have come within the county, the surrogate has not jurisdiction to take the proof of the will as a will of personal property. But if "any real estate devised by the testator shall be situated within" the county of the surrogate, and no other surrogate has gained jurisdiction to take the proof of the will, the surrogate has jurisdiction to take the proof of the will as a will of real estate.

A question has arisen as to what shall be requisite to give the surrogate jurisdiction under the provision of the statute last above quoted. It is a matter of doubt, where a devise is general, without particular specification or description, whether it will become necessary for the surrogate to ascertain that the subject of the devise lies within his county, and if that is controverted, to hear testimony and determine the fact before admitting the will to record. But where a will on its face purports to devise real estate in the county, it would seem that the surrogate is not bound to try an issue as to the testator's title, as a preliminary to the proof of the will. This is not required by the letter of the statute. The jurisdiction, it is said, is made to depend upon the situation of real estate "*devised*" by the testator. This cannot mean *a valid devise*, for the very object of the proceeding is to ascertain that fact, and it could not, therefore, be required as a prerequisite to jurisdiction; nor does the statute put the jurisdiction upon the situation within the county of real estate *owned* by the testator. The power to take proof of the will is not based on the *title* of the testator, but on the *devise* he is claimed to have made; and the requisitions of the statute, it is considered, are fully met by a will purporting, on its face, to devise real estate situated in the county.(g)

The surrogate has power, also, as has been seen,(h) to take the proof of any will relating to real estate situated within his county, when the testator in such will shall have died out of this state, not being an inhabitant thereof, and not leaving any assets therein.

All wills relating to real estate within this state, by whomsoever made, whether by inhabitants or non-inhabitants, in order to be recognized and recorded here as valid wills of real estate, must be executed

(f) See S. L. 1837, 524; 3 R. S. (5th ed.) 362, sec. 2; *Ante*, p. 147.

(g) *Vreeland v. McClelland*, 1 Bradf. Surr. Rep. 393, 415-16.

(h) *Ante*, p. 24; 2 R. S. 220; (4th ed.) 418, sec. 1.

and attested; and, except in those cases in which the Court of Chancery (Supreme Court) has jurisdiction, must be proved in accordance with the provisions of the statutes cited in the preceding pages, and the directions there given. It is settled in the law of all civilized countries that real property, as to its tenure, mode of enjoyment, transfer, and descent, is to be regulated by the *lex loci rei sitæ*.<sup>(i)</sup>

The preliminary proceedings, on proving wills of non-inhabitants, of the Surrogate's Court, are similar to those already described in the case of a will of an inhabitant of the county. The petition for the proof will, in nearly every particular, follow the same form prescribed for the proof of the wills of inhabitants. It should state the place of the domicil of the testator and the place of his death, and should aver jurisdiction in the surrogate, under one or the other of the last four clauses of the first section of the statute of 1837.<sup>(j)</sup> If the will relate to real estate, only the names of the heirs of the decedent, according to the Statute of Descents (1 R. S., p. 750), should be given, together with the other particulars respecting them, required in the case of the will of an inhabitant. If the decedent left no heir at law, or if all his relatives or heirs were aliens, and incapable of inheriting lands within this state, that fact should be stated in the petition, so that notice of the proceedings to prove the will may be given to the attorney-general of the state. Where the will relates to personal estate, and it is proposed to take out probate of the same, if the decedent was not domiciled in this state at the time of his death, or, if the will was executed out of the state, the domicil of the decedent should be particularly stated in the petition, and the names and residences of the next of kin, or the other persons entitled to the succession in case of intestacy, according to the *lex domicilii*, with the facts as to the minority of any of them, required on proving wills of inhabitants, should also be stated as far as the petitioner is able to ascertain the same. And where the alleged will has been executed in any other state or country, by a decedent who was not a citizen and inhabitant of this state, the petitioner must show, by his petition, that the instrument propounded has been duly executed, as a good and valid testamentary disposition of the decedent's personal property, according to the laws of the state or country where he was domiciled, and where such alleged will was made, or it cannot be admitted to probate here.<sup>(k)</sup> The prayer of the petition will be for a citation pursuant to the seventh section of the act of 1837.<sup>(l)</sup>

The petition having been filed, an order for the issuing of the citation must be entered, and the citation must be made out, served, and returned as in the usual case.

(i) 2 Kent's Comm. 429; 4 id. 513; Story's Conf. of Laws, 300, 358, *et seq.* *Mills v. Fogal*, 4 Edw. Ch. Rep. 559; *In the Matter of Stewart*, 11 Paige, 398; *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322. See, also, *McLoskey v. Reid*, 4 id. 334. On the principle stated, it has been held that it is no objection to the proof of a will devising land in one state, that it had been declared void in the state where the testator resided. *Rice v. Jones*, 4 Call, 89. See, also, *Ives v. Allen*, 12 Verm. 589; *Bloomer v. Bloomer*, 2 Bradf. Surr. Rep. 339.

(j) See *ante*, p. 147.

(k) See 3 R. S., App. 634, sec. 63 to 69; Story's Conf. of Laws, 394, sec. 468; *In the Matter of Easton's Will*, 6 Paige, 187.

(l) See *ante*, p. 149.

The suggestions and directions herein-before given, on proving the will of an inhabitant, where all the parties interested in opposing the proof, voluntarily join in presenting the matter before the surrogate, apply, with variations to suit the circumstances of the case, to the proving of a will of a non-inhabitant.

The examination of witnesses, and the taking of the testimony in the matter, are to be brought on and conducted pursuant to the statutory provisions, and the directions which are to be observed in the cases of wills of inhabitants.

With respect to personal property, the *situs* of the property regulates jurisdiction as to the administration of the estate, which must be in the country in which possession is taken of it.<sup>(m)</sup> No will of personalty can be recognized, except such as has been or may be admitted to probate by the proper tribunals of this state.<sup>(n)</sup> If a will be made, and proved in a foreign country, disposing of personal property here, it must be proved where the assets are also <sup>(o)</sup> As to the question what shall be considered a valid will of a non-inhabitant, it is now a clearly established rule of the courts of both England and the United States, that the law of the state or country in which the deceased was domiciled at the time of his death, not only decides the course of distribution or succession as to personalty, but regulates the decision as to what constitutes the last will of the testator, without regard to the place either of birth or death, or the situation of the property at that time.<sup>(p)</sup>

The law of the testator's domicile, at the time of his decease, governs as respects his testamentary capacity,<sup>(q)</sup> in which is included not only the general capacity to make a will, but also the disposable power over the estate.<sup>(r)</sup> But, with regard to the solemnities and forms requisite to the due execution of a will of personalty, if the solemnities and forms pursued in the execution of the will agree both with the law of the domicile at the time of execution, and the law of the place where the act is performed, the act is valid, though there be a subsequent

<sup>(m)</sup> *Preston v. Lord Melville*, 8 Cl. and F. 1. See, also, *Chapman v. Fish*, 6 Hill, 554.

<sup>(n)</sup> *Price v. Dewhurst*, 4 My. and Cr. 80; *Bond v. Graham*, 1 Hare, 484; *Logan v. Fairlie*, 2 Sim. and Stu. 284.

<sup>(o)</sup> *Taunton v. Flower*, 3 P. Wms. 369. See *Isham v. Gibbons*, 1 Bradf. Surr. Rep. 69.

<sup>(p)</sup> *The Countess de Zichy Ferraris v. Lord Hertford*, 3 Curt. 468, 486; *Craigie v. Lewin*, 3 Curt. 435; *In the Goods of Maravet*, 1 Hagg. 498; *Moore v. Budd*, 4 Hagg. 346; *Collier v. Rivaz*, 2 Curt. 855; *Ex parte McCormick*, 1 Bradf. Surr. Rep. 169; *In the Goods of Thomas Osborne*, 1 Deane, 4; *Bremer v. Freeman and Bremer*, 1 Deane, 192; *Enobin and others v. Wylie*, 1 Swabey and Tristram, 118. A question is put in Story's Conflict of Laws, (ch. 11, sec. 473,) as to what will be the effect of a change of domicile after the will is made, if it was valid by the law of the place where the testator was domiciled when it was made, and not valid by the law of his domicile at the time of his death; and that eminent writer expresses his opinion that the will in such a case is void; for that it is the law of the actual domicile of the testator at the time of his death, and not the law of his domicile at the time of making his will, which is to govern. Mr. Justice Williams appends "*sed quære*" to his note of this opinion of Judge Story,—see Wms. on Exrs. 324. It is added, in Story's Conflict of Laws, (sec. 473,) "If, however, the testator should afterwards return and resume his domicile where his first will or testament was made, its original validity will revive also." See *Ex parte McCormick*, 2 Bradf. Surr. Rep. 169; *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322.

<sup>(q)</sup> *Ex parte McCormick*, 2 Bradf. Surr. Rep. 169; *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322; *Schultz v. Dambmann*, 3 id. 379.

<sup>(r)</sup> *Schultz v. Dambmann*, 3 Bradf. Surr. Rep. 379.



change of domicil, and, by the law of the new domicil, different forms are required.<sup>(s)</sup> And, in such a case, the change of domicil does not, by the law of this state, work a revocation of the will.<sup>(t)</sup>

It is deemed proper to insert, in this place, the sections of the statutes authorizing the proving of wills in the Court of Chancery (now the Supreme Court), and the recording of wills so proved by the surrogate, and the issuing of letters thereon, and also certain sections making provisions with respect to wills of non-residents. They are as follows:

Sec. 63. A will duly executed according to the laws of this state, where the witnesses to the same reside without the jurisdiction of this state, or a duly exemplified or authenticated copy thereof, where the original will is in the possession of a court or tribunal of justice in another country or state, whence the same cannot be obtained, may be proved in the Court of Chancery [now the Supreme Court], upon a commission to be issued for that purpose, on application to the chancellor.<sup>(u)</sup>

Sec. 64. Such commission may be issued upon the petition or bill of any person interested in the establishment of the said will; and such notice shall be given to the parties interested, to oppose the validity thereof, as the chancellor shall direct; or such notice may be dispensed with, where, from the circumstances of the case, it shall be deemed unnecessary.

Sec. 65. If the facts necessary to establish the validity of the said will, shall appear on the proof so taken, the chancellor shall direct the said will or copy, and the proofs or examinations, to be recorded in the office of the register of that court.

Sec. 66. Every will or copy so proved shall have a certificate of such proof indorsed thereon, signed by the register, and attested by the seal of the Court of Chancery, and may then be read in evidence without further proof thereof; and every record so made, or an exemplification thereof, shall be received in evidence, and shall be as effectual in all cases as the original will would be if produced and proved, and may in like manner be repelled by contrary proof.

Sec. 67. The provisions contained in the preceding four sections shall extend to wills of personal as well as of real property, and to wills already executed as well as to such as shall be hereafter executed; and where there shall be assets of the testator within this state, and due notice shall have been given to the parties interested to oppose the will, the chancellor may, by decree, establish the same as a will of personal estate; and in such case shall transmit such decree to be recorded in the office of the surrogate having jurisdiction, with directions to such surrogate to issue letters testamentary or of administration with the will annexed thereon, in the same manner as upon wills duly proved before him.

Sec. 68. Wills of personal estate duly executed by persons residing out of this state according to the laws of the state or country in which

<sup>(s)</sup> *Ex parte McCormick*, 2 Bradf. Surr. Rep. 169; *Hunt v. Mootrie*, 3 Bradf. Surr. Rep. 322.

<sup>(t)</sup> *Hunt v. Mootrie*, *supra*.

<sup>(u)</sup> 2 R. S. 67; 3 R. S. (5th ed.) 151.

the same were made, may be proved under a commission to be issued by the chancellor; and when so proved may be established and transmitted to the surrogate having jurisdiction, as provided in the last preceding section; and where a will so executed shall have been duly admitted to probate in such state or country, letters testamentary or of administration with the will annexed, may also be issued thereon by the surrogate having jurisdiction, upon the production of a duly exemplified or authenticated copy of such will, under the seal of the court in which the same shall have been proved.

Sec. 69. But no will of personal estate made out of this state by a person not being a citizen of this state, shall be admitted to probate under either of the preceding provisions, unless such will shall have been executed according to the laws of the state or country in which the same was made.

By the second section of the act of the 14th May, 1840, where a will of personal estate, duly executed in this state by a person not a resident of this state, shall, in the first instance, have been duly admitted to probate in a court of a foreign state or country, letters testamentary, or of administration with the will annexed, may be issued thereon by any surrogate having jurisdiction, upon the production of a duly exemplified or authenticated copy of such will, under the seal of the court in which the same shall have been proved.<sup>(v)</sup> The language of this provision in enabling the surrogate to issue letters testamentary, or of administration, is equivalent to an authority to admit the will to probate.

The 77th section of the act of 1837 provides, as has been seen,<sup>(w)</sup> that, on any proceedings or matter in controversy before a surrogate, when the testimony of a witness in any other state or territory of the United States, or any foreign place, is required by any party to such proceedings or controversy, the surrogate may issue a commission to take such testimony in the same manner as by law the same may be done in any court of record.

Under this section, the examination of the witnesses to wills executed abroad, and the witnesses to which resided abroad, has been taken, in repeated instances, under commissions issued out of the Surrogate's Court. The usual and proper proceedings to prove the will having been instituted before the surrogate, he clearly acquired jurisdiction to issue the commission, when it was found that the witnesses resided abroad, and their testimony was required by any party to the proceedings or controversy. The commission to examine such witnesses accordingly duly issued.

The language of the section is general, extending to "any proceedings or matter in controversy before a surrogate," and would seem to cover every case embraced in the foregoing sections of the Revised Statutes, authorizing the issuing of a commission by the chancellor (now the Supreme Court). Even the proving, under a commission issued out of the Surrogate's Court, of a duly exemplified or authenticated copy of a will,—where the original will may be in the possession

<sup>(v)</sup> S. L. 1840, sec. 2; 3 R. S. (5th ed.) 147, sec. 54.

<sup>(w)</sup> *Ante*, p. 30; S. L. 1837, 537; 3 R. S. (5th ed.) 364, sec. 11.

of a court or tribunal of justice in another country or state, whence the same cannot be obtained,—and the proving of wills of personal estate, duly executed by persons residing out of this state, according to the laws of the state or country in which the same were made, may, if the preliminary proceedings show jurisdiction in the surrogate by reason of the inhabitancy of the decedent within his county, or his death, leaving assets therein, or his having left personal or real estate therein, be deemed to be authorized by the words of this section. The Revised Statutes do not confer exclusive jurisdiction upon the chancellor to issue the commission in these latter cases, any more than in the cases where the witnesses simply reside abroad. As yet, however, wills in the possession of a foreign tribunal, whence the same could not be obtained, and wills executed according to the laws of a foreign state or country, have invariably been proved under a commission issued out of the Court of Chancery, or, since the new system went into operation, the Supreme Court. The practice in the Surrogate's Court, on taking out a commission to prove a will, has been fully explained at a previous page.(x)

The law respecting the proving and validity of wills of personal property made by persons domiciled abroad, or executed out of this state by persons not citizens of this state, is fully and clearly stated by the chancellor in his opinion "*In the Matter of Catherine Roberts' Will.*"(y) He says:

"There appears to be some difference of opinion among foreign jurists, whether a will of personal estate, or movable property as it is called by the civilians, in which the testator has complied with the forms and solemnities required by the *lex loci actus*, is a valid testamentary disposition of such property, although, in the form of its execution, such will does not conform to the requirements of the law of the testator's domicile. The better opinion, however, appears to be that, so far as regards the mere formal execution of the testament, it is sufficient if it conforms to the law of the country where the will is made—in accordance with the maxim, *locus regit actum*. (See 17 *Guyot's Repert, De Juris, art. Testament*, 186; 4 *Burge's Col. and Foreign Law*, 583; *Civil Code of Louis*, art. 1589.) Probably the testament may also be valid, if made and executed in conformity to the law of the testator's domicile, although it does not conform, in all respects, to the *lex loci actus*. (See Target's opinion in the case of *the Duchess of Kingston's Will*, 1 *Collect. Jur.* 324; *Story's Conf. of Laws*, 391; 4 *Burge's Col. and For. Laws*, 582, 584, 590.) And it appears to be the generally received doctrine at the present day, that the *status* or capacity of the testator to dispose of his personal estate by will, depends upon the law of his domicile.

"Our Revised Statutes seem to contemplate the validity of a will of personal property by a citizen of this state, if made in conformity to the requirements of our law, although executed out of this state, and

(x) *Ante*, pp. 168, 171. For directions as to the petition and proceedings on taking out a commission to prove a will in the Court of Chancery, see *In the Matter of F. Atkinson's Will*, 2 *Paige*, 214; *In the Matter of Easton's Will*, 6 *Paige*, 183.

(y) 8 *Paige*, 524.

in a place where the local laws require the adoption of a different form. This appears to be a distinct recognition of the principle that the will may be valid, if made and executed in conformity with the law of the testator's domicil. (2 R. S., 2d ed., p. 12, sec. 69.) The statute also, in express terms, authorizes a will of personal property, executed out of the state by a person not domiciled here, to be admitted to probate, provided it is duly executed according to the laws of the state or country where the same was made; and prohibits all other foreign wills from being admitted to probate under the special provisions incorporated into the statutes by the amendments of April, 1830. As those provisions refer to the mode of establishing foreign wills under a commission to be issued by this court, or upon the production of the foreign probate alone to the surrogate, without further proof, they do not necessarily exclude the idea that a will of personal property, made by a testator domiciled abroad, may be valid if executed according to the law of his domicil, although the form of its execution is not in accordance with the law of the place where he actually executed it, during a temporary absence from his place of residence. But the mode of proving such a will here, if it is valid, as I think it would be, must be different from that which is prescribed by these special provisions of the Revised Statutes relative to foreign wills; and this court has no jurisdiction in such a case."

The omission in the statute, adverted to by the chancellor, to provide for the case of a will executed according to the law of the domicil of the testator, but not according to the law of the place where the will was made, was considered in the recent case of *Isham v. Gibbons*,<sup>(z)</sup> before the surrogate of the county of New York, and the surrogate came to the conclusion that the 77th section of the act of 1837,<sup>(a)</sup> authorizing the surrogate to issue commissions for the examination of witnesses abroad, enables foreign wills to be proved before him in all cases, without resorting to the aid of the Court of Chancery or the Supreme Court, under the amendments of 1830. A will of personalty, valid according to the law of the domicil of the testator, but not valid according to the law of the place where it was made, may now, therefore, be proved before the surrogate, under a commission issued for the examination of foreign witnesses. "I think," says the surrogate, "this completes the power of this court in relation to the probate of foreign wills, in all cases whatever, without reference to any special statutory provisions. There is here both jurisdiction to take the proof, and the means of taking it."

It would without doubt be a correct and the safest rule, on a will of a person not a citizen of this state—and who, at the time of his death, was domiciled abroad, and did not die in this state—being propounded before the surrogate, to pursue the practice prescribed in the preceding pages, and to require, in the preliminary proceedings, that a citation should be served on the next of kin, or other persons entitled to the succession in case of intestacy, according to the *lex domicilii* of the de-

(z) 1 Bradf. Surr. Rep. 69, 79.

(a) S. L. 1837, 537; 3 R. S. (5th ed.) 364, sec. 11. *Ante*, pp. 30, 196.

cedent; and, on proving its execution, that the competency of the decedent to execute a will according to the same law, and its execution according to the law of the place where it was executed, should be established. If, in addition to this, the persons cited in the preliminary proceedings should be those demanded by the laws of this state, and the will should be proved to have been executed in conformity with those laws, the whole ground will be covered.(b)

Similar entries and orders are to be made, and entered in the books of the surrogate, upon the sufficiency of the proof of the will of a non-inhabitant, to those on the proof of a will of an inhabitant of the county; and, if the will be established, the record of the will and proceedings will be in all respects like those in the latter case. The certificate on the will, and the probate, also, will be the same in form. If the will be not established, an order for its rejection must be entered, and the testimony must be recorded, as in the cases arising under the first subdivision of the statute.

Upon the ground that the law of the domicil of the testator regulates the decision as to what constitutes his last will, it has been the practice in England, upon the production of an exemplified copy of the probate granted by the proper court in the country where the deceased died domiciled, for the Prerogative Court to follow the grant, upon the application of the executor, in decreeing its own probate.(c) The same practice is authorized, by the above-quoted 68th section of the statute, in respect to wills of personal estate duly executed, by persons residing out of this state, according to the laws of the state or country in which the same were made, and, by the second section of the act of 1840, where such will shall have been duly executed in this state by a person not a resident of this state. The foreign decree, of course, does not govern *proprio vigore*, but is received as evidence, and is admitted not only *ex comitate*, but for reasons of convenience, as in general affording the most ready, safe, and certain mode of ascertaining that law which is to decide the validity of the instrument.(d) Under the provisions of the statutes referred to, it has been the practice, in the court of the surrogate of the county of New York, to admit and record the exemplification of a will proved abroad, upon the production of a copy of the same under the seal of the foreign court or tribunal. The evidence of the authority of the officer of the foreign court to certify the instrument and affix to it the seal of the court, and of the authenticity of the seal and the genuineness of the signature of the officer affixing it, usually consists of a certificate of a notary public setting forth the facts; and the evidence of the authenticity of his certificate consists of a further certificate of the consul of the United States residing at the place of

(b) The most convenient, and the strictly correct course to be pursued in respect to a will of a non-inhabitant, if it be important to take out probate here, is to obtain such probate on the production of a duly exemplified copy of the will, from under the seal of the proper court of the place of which the deceased was an inhabitant. This would be conclusive of the validity and due execution of the will, according to the law of the domicil of the decedent. If the will relate to real estate as well as personal property within this state, as to the real estate it must be proved according to the law of this state, and the record on such proof will be the only one necessary, although the probate be granted on the exemplification.

(c) Wms. on Exrs. 327.

(d) 1 Bradf. Surr. Rep. 75.



the foreign tribunal.(e) Where the will has been proved in another state of the United States, the copy must be exemplified under the acts of congress prescribing the mode in which the records and judicial proceedings in each state must be authenticated, so as to take effect in every other state.(f) The will, and the certificates of genuineness and proof, are recorded at length. [For the usual form of the record, see Appendix, No. 22.]

In the case of the will of a non-inhabitant, executed according to the law of the domicil, but not according to the law of the place of execution, the same practice, it seems, is to prevail. The surrogate has, by express provision of the statute, exclusive jurisdiction to take the proof of the last will and testament of any deceased person, not an inhabitant of this state, dying in the county, and leaving assets therein. On the return of the citation to the widow and next of kin, instead of an original will being produced and witnesses examined, should an exemplification of the probate of a will by a court of probate of a state or country where the deceased was domiciled, be offered in evidence before the surrogate, he would doubtless be bound to receive it as the highest evidence of a valid will. Once having acquired jurisdiction of the subject matter, it seems clear that he should be governed by those universal rules which, in the application of the *lex domicilii* to testamentary cases, have been recognized by sound and enlightened jurists, and have been received with approbation by courts of justice.(g)

The mandate from the Court of Chancery (now the Supreme Court) for the recording of a will proved there, by the surrogate of the county having jurisdiction, is, pursuant to the above section, numbered 67, entered upon the record entire.(h)

(e) For the statute prescribing the manner in which the records of foreign courts shall be certified, to entitle them to be admitted in evidence, see 2 R. S. 396; 3 R. S. (5th ed.) 678.

(f) Laws of U. S. 1790, ch. 11; 1 U. S. Stats. at Large, 122; Laws of 1804, ch. 56; 2 U. S. Stats. at Large, 208; Revisers' note, 2 R. S. 397; 3 R. S. (5th ed.) 680.

(g) *Isham v. Gibbons*, 1 Bradf. Surr. Rep. 75.

(h) This record, in the office of the surrogate of the county of New York, will be found in the book of surrogate's daily minutes.

The proving of lost and destroyed wills is provided for and regulated by the following sections of the statutes. Although not necessarily embraced within the design of this work, they are here inserted for the purpose of presenting a complete view of the subject:

Sec. 70. [Sec. 63.] Whenever any will of real or personal estate shall be lost or destroyed by accident or design, the Court of Chancery [now the Supreme Court] shall have the same power to take proof of the execution and validity of such will, and to establish the same, as in the case of lost deeds. 2 R. S. 67.

Sec. 71. [Sec. 64.] Upon such will being established by the decree of a competent court, such decree shall be recorded by the surrogate before whom the will might have been proved, if not lost or destroyed, and letters testamentary or of administration with the will annexed shall be issued thereon by him, in the same manner as upon wills duly proved before him. 2 R. S. 67; 3 R. S. (5th ed.) 153.

By the 73d section, (2 R. S. 68; 3 R. S., 5th ed., 153,) it is declared that these two sections shall extend to wills of real and personal property executed at the time the act took effect.

By the 74th section, it is declared that no will of any testator who died after the sixth chapter of the second part of the Revised Statutes took effect as a law, shall be allowed to be proved as a lost or destroyed will, unless the same shall be proved to have been in existence at the time of the death of the testator, or be shown to have been fraudulently destroyed in the lifetime of the testator; nor unless its provisions shall be clearly and distinctly proved by at least two credible witnesses,—a correct copy or draft being deemed equivalent to one witness.

In *Knapp* agt. *Knapp*, (6 Seld. 276,) where it appeared that the testator duly executed

OF CERTAIN EXEMPLIFICATIONS, COPIES, AND RECORDS OF WILLS.

An exemplification of the record of a will merely, without the proofs, cannot be received in evidence. The whole record (including the proofs) must be certified.<sup>(i)</sup> The record of a will is only *prima facie* evidence of its authenticity, and may be repelled by contrary proof.<sup>(j)</sup>

Sec. 20. The exemplification of the record of any last will and testament, proved before the judge of the former Court of Probates, and recorded in his office before the first day of January, one thousand seven hundred and eighty-five, certified under the seal of the officer in whose custody such record shall be, shall be received in evidence in all cases, after it shall have been made to appear that diligent and fruitless search has been made for the original will.<sup>(k)</sup>

Sec. 1. The exemplification of the record of any last will and testament, proved before the surrogate of any county in this state, before the first day of January, one thousand eight hundred and thirty, certified under the seal of the officer having the custody of such record, shall be received in evidence with the like effect, as if the original will had been produced.<sup>(l)</sup>

Sec. 68. The clerks of the Supreme Court, and the surrogates of the several counties, may make exemplified copies of any last will which shall have been proved in their respective courts as a will of real estate, together with all the notices, citations, and proofs relating to the same; and such exemplified copies may be recorded in the book kept for recording wills of real estate by the surrogate of any county in which any lands of the testator shall be situated. The fees for such copies, and recording the same, shall be paid by the person on whose application the services shall be rendered.<sup>(m)</sup>

The act of the 11th May, 1846, provides as follows :

Sec. 1. Any will of real estate, which shall have been duly proved in the Supreme Court, or Court of Chancery, or before the surrogate of any county in this state, with the proofs taken on the proof thereof, and the certificate of proof annexed thereto, or indorsed thereon, may be recorded in the clerk's office of any county in this state, in the same manner that conveyances of real estate are now authorized to be recorded. Any exemplification of the record of any such will from the office of the clerk of the Supreme Court, register, assistant register, or clerk in chancery, or surrogate, where the same may be recorded, or from any other office where the same hereafter by law be recorded,

his will devising certain property to the defendant, and took the will into his own possession, and on several occasions, within a short period of his death, spoke of the will, mentioning its contents and naming the place in which it would be found after his death; and that the testator was very old, and lived with his daughter, whose interest was adverse to the will; and that, upon a search for the will three days after the testator's death, it could not be found; it was held that there was not sufficient evidence of the existence of the will at the testator's death, or of a fraudulent destruction of the will in his lifetime, to authorize the admission of parol proof of its contents. See, also, *Nelson v. McGiffert*, 3 Barb. Ch. R. 158; *Ante*, pp. 159, 160.

<sup>(i)</sup> *Morris v. Keyes*, 1 Hill, 540.

<sup>(j)</sup> 2 R. S. 58, sec. 15; 3 R. S. (5th ed.) 140.

<sup>(k)</sup> 2 R. S. 59; 3 R. S. (5th ed.) 140.

<sup>(l)</sup> S. L. 1850, ch. 94, p. 143. As amended by S. L. 1852, ch. 175, p. 236; 3 R. S. (5th ed.) 687, sec. 81.

<sup>(m)</sup> S. L. 1837, 536; 3 R. S. (5th ed.) 140, sec. 17.

may in like manner be recorded in the clerk's office of any county. The record of such will or exemplification so made as aforesaid, and the exemplifications of such record shall be received in evidence, and shall be as effectual in all cases as the original will would be, if produced and proved, and may in like manner be repelled by contrary proof.<sup>(n)</sup>

Sec. 3. On recording any such will or exemplification, the clerk shall index the same in the indices of deeds, substantially as such clerks are now required to index deeds recorded in their offices.

Sec. 4. Such clerks shall receive for such recording, the same fees which shall be, from time to time, allowed them for the recording of conveyances of real estate; and any executor of a will, or administrator with the will annexed, who shall procure such will to be recorded in the clerk's office of any county in which the lands devised thereby may be situated, shall be allowed the fees paid by him for such recording in the settlement of his accounts.<sup>(o)</sup>

By the act of June 24, 1851,<sup>(p)</sup> the provisions of the act of 1846, so far as they relate to the clerks of the several counties of this state, are declared to apply to the register of the city and county of New York with the like effect as to the clerks of the other counties of this state, and as if such register had been specifically named in said act.<sup>(q)</sup>

#### OF PROVING NUNCUPATIVE WILLS.

Sec. 22. No nuncupative or unwritten will, bequeathing personal estate, shall be valid, unless made by a soldier while in actual military service, or by a mariner while at sea.<sup>(r)</sup>

Applications for the proof of nuncupative wills are unfrequent. In the court of the surrogate of the county of New York, but few have been proved for the last several years.

<sup>(n)</sup> S. L. 1846, ch. 182, p. 204; 3 R. S. (5th ed.) 56, sec. 54.

<sup>(o)</sup> S. L. 1846, ch. 182, p. 204; 3 R. S. (5th ed.) 57.

<sup>(p)</sup> S. L. 1851, ch. 277, p. 555; 3 R. S. (5th ed.) 57.

<sup>(q)</sup> Sec. 89. [Sec. 67.] The clerk of every county in this state, the register of deeds in the city and county of New York, and the surrogate of every county, upon being paid the fees allowed therefor by law, shall receive and deposit in their offices respectively, any last will or testament which any person shall deliver to them for that purpose, and shall give a written receipt therefor to the person depositing the same. 2 R. S. 404; 3 R. S. (5th ed.) 688.

Sec. 90. [Sec. 68.] Such will shall be inclosed in a sealed wrapper, so that the contents thereof cannot be read, and shall have indorsed thereon the name of the testator, his place of residence, and the day, month, and year when delivered, and shall not, on any pretext whatever, be opened, read or examined, until delivered to a person intitled to the same, as hereinafter directed. Id.

Sec. 91. [Sec. 69.] Such will shall be delivered only:

1. To the testator in person; or,
2. Upon his written order, duly proved by the oath of a subscribing witness; or,
3. After his death, to the persons named in the indorsement on the wrapper of such will, if any such indorsement be made thereon; or,
4. If there be no such indorsement, and if the same shall have been deposited with any other officer than a surrogate, then to the surrogate of the county. Id.

Sec. 92. [Sec. 70.] If such will shall have been deposited with a surrogate, or shall have been delivered to him as above prescribed, such surrogate, after the death of the testator, shall publicly open and examine the same, and make known the contents thereof, and shall file the same in his office, there to remain until it shall have been duly proved, if capable of proof, and then to be delivered to the person entitled to the custody thereof, or until required by the authority of some competent court to produce the same in such court. Id.

<sup>(r)</sup> 2 R. S. 60; 3 R. S. (5th ed.) 141. See *ante*, pp. 65, 124 to 127.

The preliminary proceedings for obtaining probate must be similar to those on proving written wills. The petition should properly set forth the expressions of the decedent which are proposed to be established as testamentary. The citation to the next of kin ought to state the will as an unwritten one.

In respect to evidence, all the court demands is to be satisfied by sufficient evidence as to the substance of the last testamentary request, or declaration, of the deceased. This ascertained, the law holds it sacred, and carries it into effect with as much favor and regard as would be paid to the most formal instrument, executed with every legal solemnity. Accordingly, where there was but one witness to the testamentary declaration, a nuncupative will seems to have been established upon his testimony alone.<sup>(s)</sup> The will itself will be embodied in the testimony of the witness or witnesses, and the probate will be made up from his or their statements.<sup>(t)</sup>

OF PROCEEDINGS WHERE ALL THE SUBSCRIBING WITNESSES TO A WILL ARE DEAD, INSANE, OR NON-RESIDENTS.

Sec. 16. If it shall appear to the satisfaction of the surrogate that all the subscribing witnesses to any will devising real estate, are dead, insane, or reside out of the state, the surrogate shall take and receive such proof of the handwriting of the testator, and of either or all the subscribing witnesses to the will, and of such other facts and circumstances as would be proper to prove such will on a trial at law.<sup>(u)</sup>

Sec. 17. The proofs and examinations taken under the last preceding section, shall be signed, certified and recorded by the surrogate as hereinbefore provided, and the will shall be deposited with him.

Sec. 18. The record of the proofs and examinations taken pursuant to the provisions of the two last preceding sections, and the exemplifications of such record, by the surrogate in whose custody it may be, shall be received as evidence upon any trial or controversy concerning the same will, after it shall have been proved in such trial or controversy, that the lands in question therein have been uninterruptedly held under such will, for the space of twenty years before the commencement of the suit in which such trial or controversy shall be had; and shall be of the same force and effect as if taken in open court, upon such trial, or in such controversy.<sup>(v)</sup>

The preliminary proceedings under these sections must be like those in the ordinary case of proving devises of real estate. The same practice was expressly required in both cases by the Statute of 1813,<sup>(w)</sup> from which these provisions are taken, and it is presumed that it was not intended to alter the rule under the Revised Statutes. The proofs and examinations are to be signed, certified, and recorded by the surrogate,

<sup>(s)</sup> *Ex parte Thompson*, 4 Bradf. Surr. Rep. 154.

<sup>(t)</sup> See *Hubbard v. Hubbard*, 12 Barb. Sup. Ct. Rep. 148; *S. C.*, on appeal, 4 Seld. 196; *Prince v. Hazelton*, 20 Johns. 502; *Ante*, pp. 124 to 127; *Herbert v. Herbert*, 1 Deane, 10, and cases cited. A will in writing, not executed with the solemnities prescribed by the statute for the execution of wills generally, may, when made by a soldier in actual service, or a sailor at sea, be entitled to probate as a nuncupative will. See *Herbert v. Herbert*, 1 Deane, 10.

<sup>(u)</sup> 2 R. S. 59; 3 R. S. (5th ed.) 140.

<sup>(v)</sup> See *In the Matter of Hornby's Will*, 2 Paige, 429.

<sup>(w)</sup> R. L. 1813, 365, secs. 6 to 9.

and the will is to be deposited with him, but not to be recorded. There should be a certificate at the end of the record, stating that it had been made to appear, to the satisfaction of the surrogate, that all the subscribing witnesses to the will are dead, insane, or reside out of the state, and that such record had been made pursuant to these sections of the statute.

It will occur to the reader that the will may be admitted to probate and recorded, as to the personal estate, under the 20th section of the law of 1837,(x) and that the same record will be sufficient as to the testimony under the sections in question, if the certificate above described be annexed, and the will be deposited with the surrogate.

#### OF COMPELLING THE PRODUCTION OF A WILL BEFORE THE SURROGATE FOR PROOF.

The 10th and 11th sections of the first title of the sixth chapter of the second part of the Revised Statutes contain the only existing provisions for compelling the production of a will before the surrogate.(y)

Under the Revised Statutes, previous to the law of 1837, the preliminary proceedings on proving wills of real estate, as was shown in a note at a previous page,(z) were by notice of the intention to prove the will given to the heirs of the testator.

The above-mentioned 10th section provides that witnesses may be summoned, by subpoenas to be issued by the surrogate, at any time before the day specified in such notice, which may be served as in cases of personal actions; and a clause may be added to any such subpoena, commanding any person having the custody of, or power over any such will, to produce the same before the said surrogate for the purpose of being proved.

By the 11th section, disobedience to any such subpoena shall be proceeded against and punished, as in other cases of proceeding before surrogates. If any person be committed for not producing any will, he may be discharged, on producing the same to the surrogate who committed him, by an order for that purpose.

By the 18th section of the act of 1837,(a) these provisions are made applicable to wills of both real and personal estate, or either; and it is directed that the said 10th section shall apply to proceedings by citation under the said act, in the same manner that it formerly applied to proceedings on notice under the Revised Statutes.

It will be observed, then, that a person having the possession of a will cannot be obliged to produce the same before the surrogate, unless in obedience to a *subpoena duces tecum* directed to him for that purpose. This, by the words of the section, may be issued before the day specified in the notice (citation), which implies that the proper preliminary proceeding for proving the will should be first taken. The subpoena may be issued whilst the citation is running, and may be made returnable on the return-day of the citation.

Subpoenas under these sections will be similar to that at Appendix No. 9, adding the *duces tecum* clause. The minute of issuing the same,

(x) See *ante*, p. 161.

(z) *Ante*, pp. 150 note j, 155 n. w, 157 n. y.

(y) 2 R. S. 58; 3 R. S. (5th ed.) 139.

(a) See *ante*, p. 158.



to be made to the surrogate, should describe the subpoena as a *subpoena duces tecum*.

Sec. 75. [Sec. 68.] The provisions of the first title of the 6th chapter of the second part of the Revised Statutes, in relation to the proof and probate of wills, and the jurisdiction of the surrogate, and his proceedings thereon, apply as well to wills made previous, as to those made subsequent to the time when the said chapter took effect. (\*)

Sec. 77. [Sec. 70.] The provisions of the said title are not to be construed to impair the validity of the execution of any will made before the said chapter took effect, or to affect the construction of any such will. (\*)

Sec. 78. [Sec. 71.] The term "will," as used in the said chapter, includes all codicils, as well as wills. (\*)

Appeals from decrees of surrogates, either establishing or rejecting a will, will be considered under the general head of appeals from the orders and decrees of surrogates.

### CHAPTER III.

#### OF THE APPOINTMENT OF EXECUTORS, THE GRANTING OF LETTERS TESTAMENTARY, AND THE ACCEPTANCE OR REFUSAL OF THE OFFICE OF EXECUTOR.

AN executor, as the term is at present accepted, may be defined to be the person to whom the execution of a last will and testament of personal estate is, by the testator's appointment, confided. (a) "To appoint an executor," says Swinburne, (b) "is to place one in the stead of the testator, who may enter to the testator's goods and chattels, and who hath action against the testator's debtors, and who may dispose of the same goods and chattels towards the payment of the testator's debts, and performance of his will."

The bare nomination of an executor, without giving any legacy, or appointing anything to be done by him, is sufficient to make it a will, and as a will it is to be proved. (c)

#### WHO IS CAPABLE OF BEING AN EXECUTOR.

Generally speaking, all persons who are capable of making wills, and some others besides, are capable of being made executors. (d) From the earliest time it has been a rule, that every person may be an executor, saving such as are expressly forbidden. (e)

The statute expressly declares what persons are forbidden to become executors. They are, generally, such as are incapable in law of making a contract, under the age of twenty-one years, aliens not inhabitants of

(\*) 2 R. S. 68; 3 R. S. (5th ed.) 153.

(a) 2 Black. Comm. 503; *Farrington v. Knightly*, 1 P. Wms. 548, 549; Toller, 30; Wms. on Exrs. 197. See *Conklin v. Egerton's Administrator*, 21 Wend. 430, 436.

(b) Swinb., pt. 4, sec. 2, pl. 2.

(c) Godolph., pt. 2, ch. 5, sec. 1. See *Campbell v. Logan*, 2 Bradf. Surr. Rep. 90, 94.

(d) 2 Black. Comm. 503.

(e) Swinb., pt. 5, sec. 1, pl. 1.

this state, those convicted of infamous crimes, or who are incompetent to execute the duties of the trust by reason of drunkenness, improvidence, or want of understanding.(f) The precise terms of the statutory provision will be particularly considered, and more conveniently, in connection with the subsequent branch of the present chapter of the granting of letters testamentary.

OF THE APPOINTMENT OF EXECUTORS.—BY WHAT WORDS EXECUTORS MAY BE APPOINTED.

An executor can derive his office from a testamentary appointment only.(g)

"His appointment may either be express or constructive, in which case he is usually called executor *according to the tenor*; for, although no executor be expressly nominated in the will by the word executor, yet, if, by any word or circumlocution, the testator recommend, or commit to one or more the charge and office, or other rights which appertain to an executor, it amounts to as much as the ordaining or constituting him or them to be executors.(h)

"As, if he declare by his will that A. B. shall have his goods after his death to 'pay his debts, and otherwise to dispose at his pleasure,' or to that effect, by this A. B. is made executor.(i) So, if the testator say 'I commit all my goods to the administration of A. B.,'(j) or 'to the disposition of A. B.;(k) in this case he is made executor. And where certain persons were directed by the will to pay debts, funeral charges, and the expenses of proving the will, they were held to be clearly executors according to the tenor.(l) So, where the testator, in a codicil, said 'I appoint my nephew my residuary legatee, to discharge all lawful demands against my will,' the nephew was admitted executor.(m) So, if the testator say 'I make A. B. lord of all my goods,'(n) or 'I make my wife lady of all my goods,'(o) or 'I leave all my goods to A. B.,'(p) or 'I leave A. B. legatary of all my goods,'(q) or 'I leave the residue of all my goods to A. B.,'(r) it will amount to the appointment of such persons, respectively, as executors according to the tenor.'"(s)

(f) See 2 R. S. 69; 3 R. S. (5th ed.) 154.

(g) Wms. on Exrs. 209.

(h) Swinb., pt. 4, sec. 4, pl. 3; Godolph., pt. 2, ch. 5, sec. 2; Wentw. Off. Ex. (14th ed.) 20.

(i) Id. So, where one said on his death-bed, to his wife, that she *should pay all and take all*, by this she was executrix. *Brightman v. Keighley*, Cro. Eliz. 43.

(j) Godolph., pt. 2, ch. 5, sec. 3; Bro. Executors, pl. 73.

(k) *Pemberton v. Cony*, Cro. Eliz. 164; Godolph., pt. 2, ch. 5, sec. 3. So, if he says "I will that A. B. shall dispose of my goods which are in his custody," he is thereby made executor of those parcels of goods. Id.

(l) *In the Goods of Fry*, 1 Hagg. 80.

(m) *Grant v. Leslie*, 3 Phillim. 116

(n) Godolph., pt. 2, ch. 5, sec. 3; Swinb., pt. 4, sec. 4, pl. 3.

(o) Swinb., pt. 4, sec. 4, pl. 3.

(p) Godolph., pt. 2, ch. 5, sec. 3; Swinb., pt. 4, sec. 4, pl. 3.

(q) Id.

(r) Id. "I devise all my personal goods to my two daughters and my wife, whom I make executrix:" this was holden to appoint them all three executrices. *Foxwith v. Tremaine*, Ventr. 102.

(s) Wms. on Exrs. 209–10. In *Androvin v. Poilblanc*, (3 Atk. 301,) Lord Hardwicke said a person named "universal heir" in a will, would have a right to go to the Ecclesiastical Court for the probate. See, also, *Fleming v. Boling*, 3 Call. 75; Wentw. Off. Ex. (14th ed.) 20; Swinb., pt. 4, sec. 4, pl. 7; *Pickering v. Towers*, 2 Cas. Temp. Lee, 401; S. C., Ambl. 364.

For instances where a party was held not to be executor according to the tenor, see *Fris-*

So, in the case of the *Will of Robert Bogardus, deceased*, before the surrogate of the county of New York, 4th December, 1841, the words of the will were, "I do hereby give, devise, and bequeath unto my loving wife, Maria Sabina, all my estate, both real and personal, of what kind or nature soever the same may be, to have and to hold the same to her, my said wife, her heirs and assigns, to the only proper use, benefit, and behoof of my said wife, her heirs and assigns forever, with full power to grant, bargain, sell, alien, and dispose of the same;" and there was no express appointment of an executor: it was held that Mrs. Bogardus was entitled to letters testamentary, and the same were granted to her.

Again, in *Ex parte McDonnell*,<sup>(t)</sup> where the testator bequeathed as follows: "After all my just debts being paid, I wish my brother, Edward McDonnell, to invest my property, consisting of a farm near Dubuque, about six hundred dollars in the Greenwich Savings' Bank, one hundred and fifty dollars owing to me by my said brother, E. McD., and one hundred dollars lent by me to J. McD., my stock of liquors and chattel property, when converted into cash—the whole amount to be invested in some safe bank in the city of New York, and the interest accruing thereupon to be transmitted, semi-annually, to my father; and the capital, at my father's death, to be divided, share and share alike, among my three brothers:" it was held, that the brother was appointed executor according to the tenor. "It is very manifest," said the surrogate, "that the testator has here committed the administration of all his estate to his brother Edward. The words, 'after all my just debts being paid,' if they were followed by a mere gift, would throw a doubt over such a construction. But they are in immediate connection with the bestowal of powers identical with those of an executor, such as the collection, sale, and conversion of his estate into cash, its investment, the payment of the interest, and the distribution of the principal. It could not have been designed to entrust these important duties to his brother, and commit to an administrator the payment of his debts; on the contrary, the most sensible reading seems to be, that the payment of debts, as well as the other executory powers mentioned in immediate connection, were all intended to be committed to the charge of the same person, instead of being broken and separated. It thus appearing that the testator designated his brother as the person to *execute* his will, and the use of the word "executor" not being essential to the appointment, letters testamentary may issue to the applicant."

So, where there was a mutual will executed by a husband and wife at the island of St. Croix, where the parties were then resident, accord-

*well v. Moore*, 3 Phillim. 138; *Hillam v. Walker*, 1 Hagg. 71; *In the Goods of Davis*, 3 Curt. 748-49; *In the Goods of W. F. Stevenson*, 16 Jur. 714; 18 Eng. L. and Eq. 575; *In the Goods of J. Bray*, 16 Jur. 802; 18 Eng. L. and Eq. 602. In the last named case, the parties claiming to be executors according to the tenor, were named as trustees in the will, and a trust, it was considered, was committed to them; and for this reason, as it would seem, it was held that they were not entitled to probate as executors according to the tenor. If they had been called trustees, and no trust imposed upon them, they would have been executors according to the tenor. See, also, *Conklin v. Egerton's Administrator*, 21 Wend. 430, 436.

(t) 2 Bradf. Surr. Rep. 32.

ing to the Dutch law, and the will declared that the survivor, during his or her natural life, should "remain in full and undivided possession of all the estate, real or personal, landed property or movable, leaving whatsoever excepted, without any interference of the Dealing Court or any other authority." This being a common, if not usual, provision in the wills made according to the course of the civil law, and its effect being to devolve upon the survivor the whole administration of the estate, it was held that this was a constructive appointment of the survivor to be executor—an authority implied from the general bequest of the entire estate "in full and undivided possession"—and that the widow, who was the survivor, might therefore qualify as executrix according to the tenor of it.

But where a testator, being entitled to many shares in the Sun Fire office, and in the mines of Scotland, and a lease for years of a coal master's place, gave the same, by a will containing no appointment of an executor, to trustees in trust for his daughter; and, after several contingencies, gave the remainder thereof to his son; and, if he should die in his minority without issue, gave the remainder thereof to the trustees for their own use, and gave all the residue of his estate to the said trustees, to pay one moiety to his daughter, and the other moiety to his son; it was held, that there were no words in this will that made the trustees executors, inasmuch as they had only power to pay, what was vested in them as trustees, to the particular persons for whose use they held it, but had not a general power to receive and pay what was due to and from the estate, which is the office of an executor.<sup>(v)</sup>

"An executor may be appointed by necessary implication: as, where the testator says 'I will that A. B. be my executor, if C. D. will not;' in this case C. D. may be admitted, if he please, into the executorship.<sup>(w)</sup> So, where the testator gave a legacy to A. B., and several legacies to other persons; among the rest to his daughter in law, C. D.; immediately after which legacies followed these words: 'But should the within-named C. D. be not living, I do constitute and appoint A. B. my whole and sole executrix of this my last will and testament, and give her the residue;' probate was decreed to C. D., as executrix by implication, according to the tenor of the will.<sup>(x)</sup> Or, if the testator, supposing his child, his brother, or his kinsman, to be dead, say, in his will, 'Forasmuch as my child, my brother, &c., is dead, I make A. B. my executor;' in this case, if the person whom the testator thought dead be alive, he shall be executor.<sup>(y)</sup> So, where a man made his last will, and did will thereby that none should have any dealings with his goods, until his son came to the age of eighteen years, except J. S.,

(u) *Ex parte McCormick*, 2 Bradf. Surr. Rep. 169.

(v) *Bodicott v. Dalzell*, 2 Cas. Temp. Lee, 294. See, also, *Faukeney v. Jordan*, Id. 327; *Wms. on Exrs.* 211. See, also, *In the Goods of J. Bray*, 16 Jur. 802; 18 Eng. L. and Eq. 602; *Conklin v. Egerton's Administrator*, 21 Wend. 430, 436.

(w) *Godolph.*, pt. 2, ch. 5, sec. 3; *Swinb.*, pt. 4, sec. 4, pl. 6. If the testator makes A. B. or C. D. his executors, in this case they shall both be executors; for "or" shall be construed "and." *Godolph.*, pt. 2, ch. 5, sec. 3, ch. 3, sec. 1.

(x) *Naylor v. Stainsby*, 2 Cas. Temp. Lee, 54.

(y) *Godolph.*, pt. 2, ch. 5, sec. 3; *Swinb.*, pt. 4, sec. 4, pl. 6.

by this J. S. was held to be made executor during the minority of the son.<sup>(z)</sup> Where the testator named his wife his executrix, and A. B. to assist her, it was held that A. B. might be executor according to the tenor.<sup>(a)</sup>

"Although, when there is an express appointment of an executor, it is less probable that there should be an indirect appointment to the same office, yet there is no objection, either in principle or practice, to admit an executor according to the tenor to probate, jointly with an executor expressly nominated. Thus, in *Powell v. Stratford*,<sup>(b)</sup> the testator's wife was expressly named as executrix, and Lord H. was to assist her, but he was not called executor—the court said he might be so according to the tenor.<sup>(c)</sup>

Applications, however, for letters testamentary, by persons appointed executors by construction or implication, or otherwise than expressly, are not favored by the courts; and if there be any dispute or uncertainty in the case, the party must take administration with the will annexed.

An executor may be appointed solely, or in conjunction with others; but, in the latter case, they are all considered in law in the light of an individual person.<sup>(d)</sup> Likewise, a testator may appoint several persons as executors in several degrees—as where he makes his wife executrix, but if she will not, or cannot be executrix, then he makes his son executor; and if his son will not or cannot be executor, then he makes his brother, and so on:<sup>(e)</sup> in which case, the wife is said to be *instituted* executor in the first degree, B. is said to be *substituted* in the second degree, C. to be *substituted* in the third degree, and so on.<sup>(f)</sup> It must be observed, that if an instituted executor once accepts the office, and afterwards dies intestate, the substitutes, in what degrees soever, are all excluded; because the condition of law (if he will not, or cannot be executor) was once accomplished by such acceptance of the instituted executor.<sup>(g)</sup> But where a testator appoints an executor, and provides that, *in case of his death*, another should be substituted; on the death of the original executor, although he has proved the will, the executor so substituted may be admitted to the office, if it appear to have been the testator's intention that the substitution should take place on the death of the original executor, whether happening in the testator's lifetime, or afterwards.<sup>(h)</sup>

<sup>(z)</sup> *Brightman v. Keighley*, Cro. Eliz. 43. However, in Godolph. (pt. 3, ch. 3, sec. 5,) it is laid down that, if the testator say, "If my son, A. B., marry with C. D., let him not be my executor," or "one of my executors," this would not hold; because an "executor may not be instituted, nor the office of executor inferred, only by conjecturals." See Wms. on Exrs. 211–12.

<sup>(a)</sup> *Powell v. Stratford*, cited 3 Phillim. 118.

<sup>(b)</sup> Prerog. 1803; 3 Phillim. 118. See, also, *Collard v. Smith*, Prerog. 1799; Id. 117.

<sup>(c)</sup> Wms. on Exrs. 213. See, also, *Grant v. Leslie*, 3 Phillim. 116. If a man makes J. N. his executor, and devises goods to him, and to W. S., to devise for his soul, W. S. is executor of these goods by these words, as well as J. N. is. Bro. Executors, pl. 98. See, also, *Lynch v. Bellew*, 3 Phillim. 424; *In the Goods of Aird*, 1 Hagg. 336; *In the Goods of Cringan*, 1 Hagg. 548.

<sup>(d)</sup> Toller, 37. See *post*.

<sup>(e)</sup> Swinb., pt. 4, sec. 19, pl. 1; Godolph., pt. 2, ch. 4, sec. 1.

<sup>(f)</sup> The substituted executor cannot propound the will, till the person first named executor has been cited to accept or refuse the office. *Smith v. Crofts*, 2 Cas. Temp. Lee, 557.

<sup>(g)</sup> Swinb., pt. 4, sec. 19, pl. 10; Godolph., pt. 2, ch. 4, sec. 2.

<sup>(h)</sup> *In the Goods of Lighton*, 1 Hagg. 235; Wms. on Exrs. 201–2.



## IN WHAT WAYS THE APPOINTMENT OF EXECUTOR MAY BE QUALIFIED.

The appointment of an executor may be either absolute or qualified. It may be absolute when he is constituted certainly, immediately, and without any restriction in regard to the testator's effects, or limitation in point of time.<sup>(i)</sup> It may be qualified, by limitations as to the time or place wherein, or the subject matters whereon, the office is to be exercised; or the creation of the office may be conditional.<sup>(j)</sup>

But qualified appointments of executors are extremely uncommon in this state, and the subject is not deemed one warranting extended comment in these pages.

## OF THE TRANSMISSION OF THE APPOINTMENT OF EXECUTOR.

Although the executor cannot assign the executorship,<sup>(k)</sup> yet it was formerly the law that the interest vested in him by the will of the deceased might, generally speaking, be continued and kept alive by the will of the executor; so that, if there was a *sole* executor of A., the executor of such executor was, to all intents and purposes, the executor and representative of the first testator.<sup>(l)</sup> But this is now expressly abolished by statute, the Revised Statutes providing on the subject as follows:

Sec. 17. No executor of an executor shall, as such, be authorized to administer on the estate of the first testator; but, on the death of the sole or surviving executor of any last will, letters of administration with the will annexed, of the assets of the first testator left unadministered, shall be issued in the manner and with the authority hereinafter provided.<sup>(m)</sup>

Sec. 11. An executor of an executor shall have no authority to commence or maintain any action or proceeding relating to the estate, effects or rights of the testator of the first executor, or to take any charge or control thereof, as such executor.<sup>(n)</sup>

## OF AN EXECUTOR DE SON TORT.

Formerly, if one, who was neither executor nor administrator, intermeddled with the goods of the deceased, or did any other act characteristic of the office of executor, he thereby made himself what was called, in the law, an executor of his own wrong, or, more usually, an executor *de son tort*.<sup>(o)</sup>

(i) Toller, 36; Swinb., pt. 4, sec. 17, pl. 1; Wentw. Off. Ex. (14th ed.) 22.

(j) Wms. on Exrs. 217.

(k) *Bedell v. Constable*, Vaugh. 182.

(l) See Wms. on Exrs. 222-3, and authorities cited.

(m) 2 R. S. 71; 3 R. S. (5th ed.) 156. For the manner of issuing letters of administration with the will annexed, see *post*, ch. 4.

(n) 2 R. S. 448; 3 R. S. (5th ed.) 747.

(o) "The definition of an executor *de son tort*, by Swinburne, Godolphin and Wentworth, is in the same words, viz., "He who takes upon himself the office of executor by intrusion, not being so constituted by the deceased, nor, for want of such constitution, substituted by the (Ecclesiastical) Court to administer." Swinb., pt. 4, sec. 23, pl. 1; Godolph., pt. 2, ch. 8, sec. 1; Wentw. Off. Ex. (14th ed.) ch. 14, p. 320. But the term is, in the older books, sometimes applied to a lawful executor, who mal-administers; as, by the Lord Dyer, in *Stokes v. Porter*, Dyer, 167 a." Wms. on Exrs. 225. See *Givers v. Higgins*, 4 McCord, 286.

When a man had so acted as to become, in law, an executor *de son tort*, he thereby rendered himself liable, not only to an action by the rightful executor or administrator, but also to be sued as executor by a creditor of the deceased, or by a legatee; for an executor *de son tort* had all the liabilities, though none of the privileges, that belong to the character of executor.(p)

But now, an executor *de son tort*, in the sense of the old law, is probably not known to the law of this state. By the Revised Statutes, it was enacted as follows:

Sec. 78. [Sec. 60.] Every person who shall take into his possession any of the assets of any testator or intestate, without being thereto duly authorized as executor, administrator or collector, or without authority from the executor, administrator or collector, shall be liable to account for the full value of such assets to every person entitled thereto, and shall not be allowed to retain or deduct from such assets for any debt due to him.(q)

Sec. 17. No person shall be liable to an action as executor of his own wrong, for having received, taken, or interfered with, the property or effects of a deceased person; but shall be responsible as a wrong-doer in the proper action to the executors, or general or special administrators of such deceased person, for the value of any property or effects so taken or received, and for all damages caused by his acts to the estate of the deceased.(r)

Under these provisions of the statutes, no one can be liable to an action, or to account to the next of kin, as an executor of his own wrong. Where persons have received and disposed of the property of a testator, without having been duly appointed his executors, or duly authorized to act as such, they are liable to his personal representatives, whenever such representatives shall have been appointed; but not to persons claiming to be next of kin of the decedent merely. The proper course for the next of kin, in such a case, is to procure the appointment of an administrator, and have a suit instituted in his name, to recover the property from any person into whose hands it may have come, and who has converted it to his own use.(s) And where a person named as one of the executors of a will, but who omitted to qualify and was not named as an executor in the letters testamentary, signed the notice of appraisement of the estate, and subscribed and swore to the affidavit annexed to the inventory; it was held, that he was not estopped from denying that he was executor, and that the surrogate could not make or enforce an order to compel him to account.(t)

In *Thorpe v. Amos*,(u) one of the defendants, alleging a *donatio mortis causa*, had obtained possession of some \$30,000, money of the testator. There being no evidence to sustain the gift, the person who had obtained possession of the money was held responsible as a *wrong-doer*,

(p) *Carmichael v. Carmichael*, 1 Phillim. C. C. 103, per Lord Cottenham. See Wms. on Exrs. 232.

(q) 2 R. S. 81; 3 R. S. (5th ed.) 168.

(r) Id. 449; 3 R. S. (5th ed.) 747.

(s) *Muir v. Trustees of the Leake and Watts Orphan House and others*, 3 Barb. Ch. Rep. 477. See, also, *Brown v. Brown*, 1 Barb. Sup. Ct. Rep. 195, per McCown, V. C.

(t) *Wever v. Marvin*, 14 Barb. Sup. Ct. Rep. 376.

(u) 1 Sandf. Ch. Rep. 26.



sonal property,(a) the only court in which the validity of wills of personalty, or of any testamentary paper whatever relating to personalty, can be established or disputed.(b) Equity, indeed, considers an executor as trustee for the legatees in respect to their legacies, and, in certain cases, as trustee for the next of kin of the undisposed surplus; and as all trusts are the peculiar objects of equitable cognizance, courts of equity will compel the executor to perform these, his testamentary trusts, with propriety. Hence, although, in those courts, as well as in courts of law, the seal of the Surrogate's Court is conclusive evidence of the *factum* of a will of personal property,(c) an equitable jurisdiction has arisen of *construing* the will, in order to enforce a proper performance of the trusts of the executor. The courts of equity are, accordingly, sometimes called courts of construction, in contradistinction to the Surrogate's Court; which, although they also are courts of construction, are the only courts of probate.(d)

The consequence of this exclusive jurisdiction in the Surrogate's Court is, that an executor cannot assert or rely on his right in any other court, without showing that he has previously established it in the Surrogate's Court:(e) the usual proof of which is, the production of the letters testamentary or of the probate. In other words, nothing but the probate, or letters testamentary, (or letters of administration with the will annexed, when no executor is therein appointed, or the appointment of executor fails,) or other proof tantamount thereto, of the admission of the will in the Surrogate's Court, is legal evidence of the will in any question respecting personalty.(f)

It may be added, that a person named in a will as an executor, who omits to qualify, and is not named in the letters testamentary, is superseded thereby, and has no power or authority whatever as executor.(g)

#### OF THE GRANTING OF THE LETTERS TESTAMENTARY.

The probate, or letters testamentary, is, however, merely operative as the authenticated evidence, and not at all as the foundation of the executor's title, for he derives all his interest from the will itself, and the property of the deceased vests in him from the moment of the testator's death. Hence, the probate, when produced, is said to have relation to the time of the testator's death.(h)

The present purpose is to treat of the granting of the letters testamentary. Those terms are, in this chapter, to be understood in the

(a) See *ante*, pp. 194-5.

(b) See Fonblanq. Treat. on Eq., pt. 2, ch. 1, sec. 1, *note a*; Bac. Abr., Exrs. (E.) 1; *Gascoyne v. Chandler*, 2 Cas. Temp. Lee, 241; *Vanderpoel agst. Van Valkenburgh*, 2 Seld. 190.

(c) See *ante*, p. 188.

(d) See Wms. on Exrs. 253, 254; *Ante*, pp. 24, 188.

(e) See *Hensloe's case*, 9 Co. 38 a; Wentw. Off. Ex. (14th ed.) 83. Treat. on Eq., book 4, pt. 2, ch. 1, sec. 2; *Chaunter v. Chaunter*, 11 Vin. Abr. 205.

(f) *Rex v. Netherseal*, 4 T. R. 260. If a will be made in a foreign country, and proved there, disposing of goods in England, the executor cannot have action on such probate, but must prove the will there, (*Lee v. Moore*, Palm. 165; *Tburton v. Flower*, 3 P. Wms. 370; Wms. on Exrs. 239;) and the law is the same here. *Lawrence v. Lawrence*, 3 Barb. Ch. Rep. 71; *Smith v. Webb*, 1 Barb. Sup. Ct. Rep. 230. See, also, *Broton v. Brown*, 1 Barb. Ch. R. 189.

(g) See 2 R. S. 71, sec. 15; 3 R. S. (5th ed.) 156.

(h) Wms. on Exrs. 255, and cases cited.

peculiar and limited sense adopted and applied in the Revised Statutes. In other places, as the words probate and letters testamentary are convertible terms, they will be used indifferently.

#### OF THE SURROGATE WHO MUST ISSUE THE LETTERS TESTAMENTARY.

Besides the provision of the statute already recited, *(i)* specifying the surrogate who shall have jurisdiction to issue the letters testamentary, the Revised Statutes further provide as follows:

Sec. 1. When any will of personal estate shall have been duly admitted to probate, the surrogate who took such proof shall issue letters testamentary thereon, to the persons named therein as executors, who are competent by law to serve as such, and who shall appear and qualify. *(j)*

#### OF THE PERSONS WHO ARE NOT COMPETENT BY LAW TO SERVE AS EXECUTORS.

The general rule is, as has already been stated, *(k)* that every person may be an executor; saving such as are expressly forbidden. Those persons who are forbidden are declared by the statute as follows:

Sec. 3. No person shall be deemed competent to serve as an executor, who, at the time the will is proved, shall be—

1. Incapable in law of making a contract, (except married women;)
2. Under the age of twenty-one years;
3. An alien, not being an inhabitant of this state;
4. Who shall have been convicted of an infamous crime;
5. Who, upon proof, shall be adjudged incompetent by the surrogate to execute the duties of such trust, by reason of drunkenness, improvidence, or want of understanding.

If any such person be named as the sole executor in any will, or if all the persons named therein as executors be incompetent, letters of administration with the will annexed, shall be issued, as hereinafter provided, in the case of all the executors renouncing. *(l)*

Sec. 4. No married woman shall be entitled to letters testamentary unless her husband consent thereto, by a writing to be filed with the surrogate; and, by giving such consent, he shall be deemed responsible for her acts jointly with her. *(m)*

The disqualifications specified in the first three subdivisions of the statute, do not admit of any comment. With respect to the fourth subdivision, it should be observed that no degree of legal or moral guilt or delinquency is sufficient to exclude a person from the executorship, unless such person has been actually convicted of an infamous crime. And the conviction intended by the statute must be upon an indictment, or other criminal proceeding. *(n)* And if the applicant has been

*(i)* *Ante*, p. 212; 2 R. S. 61; 3 R. S. (5th ed.) 141, sec. 22 [sec. 28].

*(j)* 2 R. S. 69; 3 R. S. (5th ed.) 154.

*(l)* 2 R. S. 69; 3 R. S. (5th ed.) 154.

*(n)* *Coops v. Lowerre*, per Chan. Walworth, 1 Barb. Ch. Rep. 47. See, also, *Harrison v. McMahon*, 1 Bradf. Surr. Rep. 289; *S. C.*, on appeal, 10 Barb. Sup. Ct. Rep. 659; 2 Selden, 443.

*(k)* *Ante*, p. 205.

*(m)* *Id.*



guilty of an infamous crime, the surrogate cannot hold it a bar, unless the record of his conviction be produced.(o)

The improvidence specified as a disqualification by the fourth subdivision, is that want of care or foresight in the management of property which would be likely to render the estate and effects of the testator unsafe, and liable to be lost or diminished in value by improvidence, in case administration thereof should be committed to such improvident person. The principle of exclusion, in this part of the statute, is based upon the well known fact that a man who is careless and improvident, or who is wanting in ordinary care and forecast in the acquisition and preservation of property for himself, cannot, with safety, be entrusted with the management and preservation of the property of others.(p) The fact that a man is dishonest, and seeks to obtain the possession of the property of others by theft, robbery, or fraud, is not evidence of his providence or of his improvidence. The surrogate has nothing to do with the immorality of the applicant's occupation, nor can vice or moral delinquency of themselves disqualify a person to act as executor. All departures in conduct from the principles of rectitude, including all abuses of trust, are unwise and inexpedient, and, therefore, in a certain sense, improvident; but they do not constitute the kind of improvidence which the legislature had in view in this enactment. A very careful, shrewd, and money-making person may be guilty of negligence or abuse in a fiduciary capacity, but such a person is not improvident in the sense of the statute.(q)

There are, of necessity, degrees of improvidence, from the extreme of habitual and thoughtless waste and extravagance, to occasional or rare improvidencies. The degree requisite to sustain the exclusion of a man who seeks to be an executor, must be such as to render him "*incompetent* to execute the duties of the trust." A man grossly negligent in the management of his property and affairs, and in the contracting of debts by indorsing for strangers, or for men without visible means of payment, may nevertheless not be improvident to such a degree as to render him incompetent to discharge the duties of an executor.(r) The term "improvidence" refers to habits of mind and conduct which become a part of the man, and render him generally, and under all ordinary circumstances, unfit for the trust or employment in question.(s)

Insolvency does not, under the statute, incapacitate a person from being appointed an executor. It is not always evidence of improvidence, or want of prudence. Misfortune will often overtake and ruin the most provident and prudent.(t) Nor will indebtedness to the estate render the person named as executor incompetent.(u) But a man who follows gambling as a profession, is, from the very nature of his occupation, disqualified by reason of improvidence.(v)

(o) 1 Bradf. Surr. Rep. 289.

(p) *Coope v. Lowerre*, 1 Barb. Ch. Rep. 47; *Emerson v. Bowers*, 14 Barb. Sup. Ct. Rep. 658.

(q) *Emerson agst. Bowers*, 4 Kernan, 449, 454, per Comstock, J.

(r) See 1 Barb. Ch. Rep. 45.

(s) *Emerson agst. Bowers*, 4 Kern. 449, 454.

(t) See *In the Matter of the estate of William Post, deceased*, Law of Surrogates, &c., (1st ed.,) Appendix 1.

(u) See *Churchill v. Prescott*, 2 Bradf. Surr. Rep. 304.

(v) See *McMahon v. Harrison*, 10 Barb. Sup. Ct. Rep. 659; *S. C.*, on appeal, 2 Seld. 443.

A married woman is entitled to letters testamentary only on filing the written consent of her husband thereto, and then he becomes responsible for her acts jointly with her.

[For form of consent of the husband to the issuing of letters testamentary to his wife, see Appendix, No. 23.]

If, after letters testamentary issued to her, the executrix marry, it is not necessary for her husband to file a written consent with the surrogate, to render him liable for her acts as such executrix. The above provision only applies to cases where the executrix is a *feme covert*, when she applies for letters testamentary.(w)

The power of the husband of a married woman (executrix) is substantially that of an executor. His wife cannot act without his concurrence, and he has the power of disposition over the estate. In all proceedings relative to the estate, either the party moving, or the executrix, may set up the joint liability of the executrix and her husband; and the husband will then be cited jointly with his wife, to abide the orders of the court in the due administration of the estate.(x) And where the husband of a married woman (executrix) was the surviving partner of the testator, it was *held* that a statement of the partnership affairs was incidentally necessary to the settlement of the accounts of the estate, and that the husband of the executrix must render such co-partnership account.(y)

#### OF THE REMOVAL OF THE DISABILITIES IN CERTAIN CASES.

Sec. 5. If the disability of a person under age, or being an alien, or a married woman, named as executor in any will, shall be removed before the execution of such will is completed, such person shall be entitled, on application, to supplementary letters testamentary, to be issued in the same manner as the original letters, and shall thereupon be authorized to join in the execution of such will, with the persons previously appointed.(z)

When there are two or more executors named in the will, they may severally qualify at different times, from choice; and although no disability exist in the case, and the proving of the will, on the application of one of the executors, enures to the benefit of all. If two or more executors qualify at different times, the second and subsequent letters must recite the issuing of the first or previous letters, and contain a clause committing the administration, &c., to the one newly qualified, in conjunction with him or them to whom letters have already been issued. The new executor takes out a duplicate of the probate.

#### OF THE TIME WHEN LETTERS TESTAMENTARY MAY BE GRANTED—OF OBJECTIONS TO GRANTING THE SAME, AND OF THE DISPOSITION OF SUCH OBJECTIONS.

Sec. 22. Letters testamentary may be granted at any time after the will shall be proved, unless an affidavit shall be made by a widow, legatee, next of kin, or creditor of the testator, setting forth that such

(w) *Bunce v. Vandergrift*, 8 Paige, 37; *Woodruff v. Cox*, 2 Bradf. Surr. Rep. 153.

(x) *Woodruff v. Cox*, 2 Bradf. Surr. Rep. 153.

(y) *Marre v. Ginochia*, 2 Bradf. Surr. Rep. 165.

(z) 2 R. S. 70; 3 R. S. (5th ed.) 155.

person intends to file objections against the granting of such letters testamentary, and that he is advised and believes that there are just and substantial objections to the granting of such letters to the executors named in the will, or some one or more of them. And upon filing such affidavit with the surrogate, he shall stay the granting of letters testamentary for at least thirty days, unless the matter shall be sooner disposed of.<sup>(a)</sup>

Sec. 6. If objections be made by any creditor of the testator, or any legatee, relative, or other person interested in his estate, against granting letters testamentary to one or more of the persons named in the will as executors, the surrogate shall inquire into such objections; and if it appear that the circumstances of any person named as such executor, are such that, in the opinion of the surrogate, they would not afford adequate security to the creditors, legatees and relatives of the deceased, for the due administration of the estate, he may refuse letters testamentary to any such person, until he shall give the like bond as is required by law of administrators in cases of intestacy.<sup>(b)</sup>

Under these provisions of the statutes, if the circumstances of the executor are such as not to afford adequate security for the faithful discharge of his trust, and the objection is made by a person interested in the estate, the surrogate is bound to require security from the executor; and it is not material to inquire whether the testator was aware of the want of responsibility in the executor at the time of making the will: for, if the testator has been so improvident as to commit the administration of his estate to one of insufficient security for the due discharge of his trust, the court must interfere for the protection of the estate against the effects of such improvidence. And where it is proved or admitted that the person named as executor is about to remove from the state, the surrogate must require security from him, although the directions of the will are that the executor remove, with the property bequeathed, into another state.<sup>(c)</sup>

The affidavit of intention to file objections should set forth that the party is widow, next of kin, or creditor of the deceased, or a legatee under his will, as the case may be, and that he or she is advised and believes that there are just and substantial objections to the granting of letters testamentary to the executor or executors named in the will. Thirty days are allowed, after having filed the affidavit, within which to file the objections and dispose of the matter; and within that period, until the objections are filed, all proceedings are stayed. If the objections be not filed within the thirty days, the letters testamentary issue, of course. And if, when filed within the thirty days, the matter is not disposed of at the expiration of that period, if the objecting party prosecute the matter with diligence, the surrogate will stay the issuing of the letters.

The objections should distinctly state every ground of complaint which the objector may have against the granting of the letters to the person applying therefor. They may include any disability

(a) S. L. 1837, 528; 3 R. S. (5th ed.) 154, sec. 2.

(b) 2 R. S. 70; 3 R. S. (5th ed.) 155. For form of the bond of administrators, see *post*, ch. 4.

(c) *Wood v. Wood*, 4 Paige, 299.

comprehended in the above-quoted section, numbered 3, and, upon any such disability being established, letters must be refused. The above-quoted section, numbered 6, simply provides for a bond being taken in the single case of the inadequate security of the person named as executor. And if the objection be on that ground, such particulars as to the situation and value of the estate of the decedent, and the pecuniary circumstances of the executor or executors should be stated as *prima facie*, to render it probable that the estate of the testator will not be safe in their hands.(d)

The statute authorizes a creditor to file an affidavit of intention to oppose the grant of letters; but whether the objector is a creditor, is the subject of proof not regulated by the statute, and not determined conclusively by the affidavit. If the party state, on oath, in the first instance, that he is a creditor, that will, *prima facie*, justify staying the grant of letters. But if the executor come in and allege ignorance of the debt, or deny it and ask that the interest or claim of the objector be more particularly stated, the objector will be compelled to set forth sufficient to show the nature and basis of the demand. It will therefore be the better course to indicate, in his affidavit, in the first instance, the grounds on which he claims to be a creditor.(e)

And with respect to other parties objecting, a positive allegation of interest is required; and if facts are stated on oath, sufficient, if uncontroverted, to show a legal interest, the merits of the claim will not be tried before entertaining the application. But when the right of the party to intervene is denied, he must show distinctly how his interest arises, so that, on the facts as he alleges them, the surrogate may see whether, on his own showing, he possesses a sufficient legal interest.(f)

Upon filing the objections, the surrogate proceeds to inquire into the same, and if duly prosecuted, they operate as a *caveat*, and will stay the issuing of letters until they are removed or dismissed. The practice on the investigation is not pointed out by the statute. It may be *ex parte*, as the statute does not prescribe otherwise; but, unless both parties voluntarily appear before the surrogate, the course is for either to obtain an order, requiring the other to appear before the surrogate within a reasonable time, and proceed with the inquiry.

The statute directs that, on filing the affidavit of intention to object, the surrogate "shall stay the granting of letters testamentary for at least thirty days, unless the matter shall be sooner disposed of."(g) It is clear, therefore, that the matter may be disposed of before the expiration of thirty days; and, no specific time being allowed to file the objections, the whole proceeding is under the control of the surrogate. If the executor comes in and demands the exhibition of the objections, and there appears no reasonable ground for delay, it is competent to order the objections to be filed, to hear the allegations of the parties, and determine the case within thirty days.(h)

The parties having appeared, witnesses are examined, the same as

(d) See *Colegrove v. Horton*, 11 Paige, 263.

(e) *Burwell v. Shaw*, 2 Bradf. Surr. Rep. 322.

(g) Laws 1837, ch. 460, sec. 22; 3 R. S. (5th ed.) 154, sec. 2; *Ante*, p. 217.

(h) See *Burwell v. Shaw*, 2 Bradf. Surr. Rep. 322.

(f) *Id.*

in other cases. If the objector fail to appear, the objections will be dismissed, and the letters testamentary will issue. If the person named as executor make default, the surrogate will then inquire into the objections *ex parte*, and decide upon the merits of the same. A decree must be entered in conformity with the surrogate's decision.

[For forms of affidavit, objections, orders to appear, and decree, see Appendix, No. 24.]

#### OF GRANTING LETTERS TESTAMENTARY TO NON-RESIDENTS.

Sec. 7. If any person, applying for letters testamentary, shall be a non-resident of the state, such letters shall not be granted until the applicant shall give the bond as is required by law of administrators in cases of intestacy.<sup>(i)</sup>

[For form of the bond, see Appendix, No. 33.]

#### OF THE EXECUTOR'S OATH.

Sec. 13. Before any letters testamentary shall issue to any executor, he shall take and subscribe an oath or affirmation before the surrogate, or, in cases of sickness or other inability to attend the surrogate, before any officer authorized to administer oaths, that he will faithfully and honestly discharge the duties of an executor; which oath shall be filed in the office of the surrogate.<sup>(j)</sup>

By the 59th section of the Act of 1837,<sup>(k)</sup> the oath of office of executors may be administered by the surrogate, or by any commissioner of deeds, or judge of county courts. And, by chapter 173 of the Laws of 1849,<sup>(l)</sup> the clerk or clerks of the surrogate of the county of Kings, appointed in pursuance of that act; and, by chapter 201 of the Laws of 1850, the assistants appointed by the surrogate of the county of New York, have authority to administer this oath.<sup>(m)</sup>

This oath is not usually taken until after the will has been proved. As it is prospective in its terms, and as the probate relates to the time of the death of the testator, there would seem to be nothing improper in its being taken, whether the will has been proved or not. The qualification would probably be equally valid after the will had been admitted to probate, although the executor had been sworn in previously. [For form of the executor's oath, see Appendix, No. 27.]

The letters testamentary issue in the name of the people of this state, are tested in the name of the surrogate, and signed by him, and sealed with his seal of office, and are recorded in a book provided for that purpose.<sup>(n)</sup> A certificate of the record is annexed to the letters.

[For the form of the letters, with the certificate of record, see Appendix, No. 28.]

(i) 2 R. S. 70; 3 R. S. (5th ed.) 155. For form of the bond, see *post*, ch. 4.

(j) 2 R. S. 71; 3 R. S. (5th ed.) 156. By chapter 223 of the Laws of 1833, p. 306, this oath, in Suffolk county, may be taken before any officer authorized to administer oaths.

(k) S. L. 1837, 534; 3 R. S. (5th ed.) 171, sec. 18.

(l) S. L. 1849, 235; 1 R. S. (5th ed.) 881, sec. 190.

(m) S. L. 1850, p. 384; 1 R. S. (5th ed.) 894, sec. 281. See *ante*, pp. 17, 18.

(n) 2 R. S. 80, secs. 55, 58; 3 R. S. (5th ed.) 167; 2 R. S. 222, sec. 7; 3 R. S. (5th ed.) 365. See *ante*, pp. 27, 31, 32.



The following section of the statute may here be adverted to:

Sec. 15. Every person named in a will as executor, and not named as such in the letters testamentary, or in letters of administration with the will annexed, shall be deemed to be superseded thereby, and shall have no power or authority whatever, as such executor, until he shall appear and qualify.(o)

Limited probates are almost entirely unknown in practice in this state. The court may grant a limited probate, where the testator has limited the executor. And it is laid down, that if a man makes and appoints an executor for one particular thing only, as touching such a statute or bond, and no more, and makes no other executor, he dies intestate as to the residue of his estate, and as to this specialty only shall have an executor, and must have a will proved; but in case he makes another will for the residue of his estate, there must be two wills proved. However, where there is an executor appointed without any limitation, the court can only pronounce for the will, or for an absolute intestacy. It cannot pronounce the deceased to be dead intestate as to the residue, though the executor may eventually be considered only as a trustee for the next of kin.(p)

The probate of a will of a *feme covert* should not be general, but limited to the property over which she has a disposing power; and her husband will be entitled to have a grant of administration *cæterorum*.(q)

So, in general cases, if the will be limited to any specific effects of the testator, the probate shall also be so limited, and an *administratio cæterorum* granted.(r)

#### OF THE EXECUTOR'S REFUSAL OR ACCEPTANCE OF THE OFFICE, AND OF RENUNCIATION BY A PERSON NAMED AS EXECUTOR.

The office of executor being a private one of trust named by the testator, and not by the law, the person nominated may refuse, though he cannot assign, the office;(s) and, even if in the lifetime of the testator he has agreed to accept the office, it is still in his power to recede.(t) But, though the executor cannot be compelled to accept the executorship whether he will or not, yet provision is made by the Revised Statutes for summoning the person named as executor before the surrogate, to the intent that he may qualify as executor, or be deemed to have renounced the appointment. The following are the sections of the statute relating to this subject:

Sec. 9. If any person named as executor shall not appear to qualify and take upon himself the execution of a will, within thirty days after

(o) 2 R. S. 71; 3 R. S. (5th ed.) 156.

(p) See Wms. on Exrs. 334, and cases cited. *Burger v. Hill*, 1 Bradf. Surr. Rep. 360, 374.

(q) Wms. on Exrs. 336, and cases cited. But letters testamentary issued by the surrogate of the county of New York, of the wills of married women, made under a power, have not heretofore been in anywise different from those issued of the wills of other persons.

(r) Wms. on Exrs. 337; Toller, 68. See, also, as to limited appointment of an executor, *Breath and wife v. Brent*, 3 Dana, 130.

(s) Bac. Abr., Exrs. (E.) 9. See *Douglas v. Forrest*, 4 Bingh. 704, in the judgment of Best, C. J.

(t) *Doyle v. Blake*, 2 Scho. and Lef. 239; Wms. on Exrs. 241. A person named as executor may delay taking out letters at his pleasure, and, unless he has renounced, or objections be taken against him, he will be entitled to them at any time, on duly qualifying.

the same was proved, and shall not have renounced, the surrogate shall, on application of any other executor, or of the widow, or any of the next of kin, or any legatee, or creditor of the testator, issue a summons, directed to such executor, requiring him to appear and qualify within a certain time therein to be limited, or that, in default thereof, he will be deemed to have renounced the said appointment.(u)

Sec. 10. If the person to whom such summons is directed, reside within this state, it shall be served personally on him, at least fourteen days before the time limited therein for him to appear. And if he reside or be out of the state, or his residence be unknown, such summons may be served by publishing it in the state paper, for at least six weeks before the time therein specified for such person to appear.

Sec. 11. In case sickness or other accident, or any reasonable cause exist, to prevent the attendance of such person, upon the same being proved to the surrogate, he may, in his discretion, allow a further time for such person to appear and qualify.(v)

Sec. 12. If any person so notified shall not appear according to the tenor of such summons, or within the time allowed by the order of the surrogate, and qualify as an executor, by taking an oath and giving a bond, if one shall have been required, he shall be deemed to have renounced the appointment of executor, and the surrogate shall thereupon enter an order, reciting the said summons, the proof of the service thereof, and such subsequent order, allowing time, if any was made, and the neglect of such person to appear and qualify, and declaring and decreeing that such person has renounced his appointment as such executor.(w)

A party having filed objections to the granting of letters to a person named as executor, may, under these provisions, after the expiration of thirty days from the proving of the will, compel the person named as executor to appear before the surrogate, and thus determine his qualifications for the duties of executor, or obtain a decree that he has renounced the appointment.

[For forms of application and proceedings under these sections, see Appendix, No. 26.]

Where a legatee, or other person interested in the personal property under a will, desires to realize such legacy or interest, and the executor neglects or refuses to prove the will or qualify, he must prove the will before the proper surrogate, according to the provisions of the statutes which were considered in the last preceding chapter,(x) and then obtain a summons, and take proceedings under these sections. If the executor still fails to qualify, he will procure the decree declaring that the executor has renounced his appointment. He will then make an application for the appointment of an administrator with the will annexed.(y)

(u) 2 R. S. 70 ; 3 R. S. (5th ed.) 155.

(v) Id. 71 ; 3 R. S. (5th ed.) 156.

(w) Id.

(x) *Ante*, pp. 147, 149; S. L. 1837, ch. 460, secs. 4 and 5; 3 R. S. (5th ed.) 146, secs. 49, 50.

(y) This is the meaning of the remark of the chancellor in *Brown v. Brown*, (1 Barh. Ch. Rep. 189, 214,) where certain stocks were held in this state by a citizen of another state at the time of his death, and who died in that state, leaving a will executed there which the executors had not proved here, and the chancellor said, "The only remedy of the complainant, as one of the residuary legatees, if he wished to obtain the proceeds of such stocks, and the dividends accrued thereon, after the debts and general legacies of the testator had been

A person named as executor may also decline the office by express renunciation. The statute provides as follows :

Sec. 8. Any person named as executor in a will, may renounce such appointment, by an instrument in writing, under his hand, attested by two witnesses ; and, on the same being proved to the satisfaction of the surrogate who took proof of the will, it shall be filed and recorded by him.(z)

[For form of renunciation, see Appendix, No. 25.] Renunciations and their proofs are recorded by the surrogate in a book by themselves.

An executor cannot in part refuse. He must refuse entirely, or not at all.(a)

#### THE CONSEQUENCES OF RENUNCIATION BY AN EXECUTOR.

An executor who has renounced, may, at any time before the issuing of administration with the will annexed, retract his renunciation.(b) And in England, even an executor who has renounced in order to become a witness in a suit commenced touching the validity of the will, may, at the termination of such suit, retract his renunciation, and take probate of the will ; but this is not done without the consent of all parties in court.(c)

Where all the executors renounce, and administration with the will annexed has been actually granted, it is too late to retract the renunciation, at least during the life of the administrator.(d) And, in a recent case in England, it was held by the Prerogative Court of Canterbury, that where such administration had been granted, upon a renunciation by the executors, they could not retract such renunciation, even after the death of the administrator ; as it might interfere with the rights of other parties to revive a chain of executorships, which had once been broken by the renunciation of the executors who formed one of the links in such chain ;(e) the law in England still giving authority to an executor of an executor, as such, to administer to the estate of the first testator.(f) The law in this state formerly conferred upon an executor of an executor the same authority ; but, as has already been noticed,(g) it was expressly taken away by the Revised Statutes, and, the ground of the objection being thus removed, no inconvenience of the kind mentioned can here be alleged in the case in question.(h) However, it has been expressly determined in this state, that where a sole executor renounces, or all of several executors renounce, and administration with the will annexed is issued, the renunciation is peremptory, and cannot be retracted ; and the executor or executors named in the will cannot,

paid, *was to cite the executors to prove the will*, and take out letters testamentary thereon in this state ; and if they should neglect to do so, to have himself or some other person appointed administrator with the will annexed."

(z) *Id.* 2 R. S. 70 ; 3 R. S. (5th ed.) 155.

(a) *Wms. on Exrs.* 248, and cases cited.

(b) *Robertson v. McGeogh*, 11 Paige, 640. See, also, *Casey v. Gardiner*, 4 Bradf. Surr. Rep. 13.

(c) *Wms. on Exrs.* 248, and cases cited.

(d) "Toller's Law of Executors, 422 ; *Rob. on Wills*, 171."

(e) *In the Goods of Thornton*, 3 Add. 273.

(f) *Wms. on Exrs.* 248-9.

(g) *Ante*, p. 210.

(h) See *Robertson v. McGeogh*, 11 Paige, 640, 642.

after the death or removal of the administrator with the will annexed, retract his or their renunciation, and become entitled to letters testamentary of the will.<sup>(i)</sup>

When there are several executors, and some renounce, and one or more take out probate, the renunciation is not peremptory, and such as renounce may, after the death of their co-executors, upon retracting, take out probate.<sup>(j)</sup>

The remaining executor may retract his renunciation at any time before the grant of letters testamentary to his co-executors, or, in case all have renounced, of administration with the will annexed. But after such grant he cannot retract, so as to entitle himself to letters testamentary, during the lives of the qualifying executors, or of the administrator with the will annexed; and, although, after the death of the qualifying executors, the surviving executor is entitled to come in and retract his renunciation, if he thinks proper so to do, at any time before the granting of administration *de bonis non*; yet, if he has not retracted, and his renunciation still remains recorded against him, it is not requisite that he should renounce a second time, or that he should be cited, before a good and valid grant of administration *de bonis non* to another can be made. And the executor, after such a grant has been made, cannot procure it to be revoked and obtain a grant of probate to himself, on a retraction of his renunciation, made subsequently to the actual grant of administration *de bonis non*.<sup>(k)</sup>

A decree made by the surrogate, on proceedings before him, pursuant to the statute,<sup>(l)</sup> that a person named as executor has renounced his appointment, is probably of no greater force to prevent his retracting the renunciation and subsequently taking out letters testamentary, than a voluntary renunciation.

#### OF LETTERS TESTAMENTARY OF THE WILLS OF FOREIGNERS.

It remains to submit some remarks with respect to the granting of letters testamentary of the wills of foreigners and non-residents.

If the testator died without leaving any personal property in this state, generally speaking, his will need not be proved in any Surrogate's Court here.

<sup>(i)</sup> *Boyd v. Davis*, M. S., decided in the Supreme Court, at general term, 1855—not reported. See, also, *Thornton v. Winston*, 4 Leigh, 152.

<sup>(j)</sup> *Judson v. Gibbons*, 5 Wend. 227, per Savage, C. J.; *Robertson v. McGeogh*, 11 Paige, 640. See, also, *Bodle v. Hulse*, 5 Wend. 313. Justice Williams, in his treatise, (last edition, p. 250,) says, "Such as refuse, however formally, may, at a subsequent time, come in and administer; and although they have never acted during the lives, they may assume the executorship after the death of their co-executors." In previous editions of the same work, the expression was, (following Sir Samuel Toller's treatise, p. 45,) "at any subsequent time." On the strength of which—as was stated in *Law of Surrogates*, (1st ed., p. 53,) in a case before the surrogate of the county of New York, where there were two executors named, and one renounced, and the other took out letters testamentary, and the former, a short time afterwards, and in the lifetime of the other, applied for letters—the application was granted, and the letters issued. But such a practice, probably, is not warranted by the authorities. Chief Justice Savage, in *Judson v. Gibbons*, (5 Wend. 227,) says that should the executor renounce, "though he cannot in that case take letters testamentary during the life of such as prove the will, yet, should he survive them, he is entitled to administration of the estate, and to letters testamentary."

<sup>(k)</sup> *Harrison v. Harrison*, 4 Notes of Cases, 434; Robert. 406. See Wms. on Exrs. 250, 251.

<sup>(l)</sup> *Ante*, pp. 220, 221.

But if a foreign executor should find it necessary to institute a suit here, to recover a debt due to his testator, a personal representative must be constituted by the proper Surrogate's Court here, to administer.(m) And where there are assets of a deceased non-inhabitant in this state, and an administrator has been appointed here, the executor or administrator of the deceased appointed in the state of his domicile cannot give a valid release of a debt due to the deceased from a debtor residing in this state, nor interfere with a suit commenced to recover such debt by the domestic administrator.(n)

Letters testamentary, issued in another state of the United States, will not sustain a suit, brought by the executors, in the courts of this state.(o) And where two executors are named in a will, and one of them has taken out letters testamentary in this state, and the other has not, the one who has obtained letters here, may sue in his own name alone, without naming the others as a party.(p) But where the plaintiffs, though executors appointed in another state, are also the owners, for example, of a bond and mortgage, on which a suit is brought in a court of this state as residuary legatees, and by purchase of the interest of their co legatees, the title having thus passed by delivery, though without any written assignment, they may recover in the court of this state, without taking out letters testamentary here.(q) And it seems that the foreign executor or administrator of a mortgagee, the mortgage being upon land in this state, and containing a power of sale authorizing the mortgagee, his executors, administrators, or assigns, to sell the mortgaged premises in case of default in performing the conditions of the mortgage, may execute the power and foreclose the mortgage by advertisement, pursuant to the statute, although he has not taken out letters testamentary or of administration in this state.(r) And further, it has been considered that where an executor, having proved the will of his testator in one state, assigns a chose in action of his testator, a suit may be brought thereon by the assignee in his own name, in another state, without any probate of the will therein, if the law of the latter state permits the assignee of a chose in action to sue in his own name.(s)

Likewise, if a will be made in a foreign country, and proved there, disposing of personal property in this state, the executor must prove the will here also.(t)

(m) See Wms. on Exrs. 320, and cases cited, and note to last American edition. *Morrell v. Dickey*, 1 John. Ch. Rep. 156; *Williams v. Storrs*, 6 id. 353; *Doolittle v. Lewis*, 7 id. 47; *Shultz v. Pulver*, 3 Paige, 182; *S. C.*, on appeal, 11 Wend. 572; *McNamara v. Dwyer*, 7 Paige, 239; *Vroom v. Van Horne*, 10 id. 556; *Campbell v. Tousey*, 7 Cowen, 64; *Robinson v. Crandall*, 9 Wend. 425; *Story's Conf. of Laws*, 421, sec. 512; *Lawrence v. Lawrence*, 3 Barb. Ch. Rep. 71; *Smith v. Webb*, 1 Barb. Sup. Ct. Rep. 230; *Sedgwick v. Ashburner*, 1 Bradf. Surr. Rep. 115. See, also, *Brown v. Brown*, 1 Barb. Ch. Rep. 189.

(n) *Chapman v. Fish*, 6 Hill, 554.

(o) 1 Barb. Sup. Ct. Rep. 230. This, perhaps, is not the rule in all the states of this country, although it prevails generally. In North Carolina, probate and letters testamentary issued in South Carolina, authorize executors to sue in the former state. *Stephens v. Smart*, 1 Law Rep. 471. See, also, cases cited in notes to Wms. on Exrs. (5th Am. ed.) 320, 321.

(p) 3 Barb. Ch. Rep. 71.

(q) 1 Barb. Sup. Ct. Rep. 230.

(r) *Averill agst. Taylor*, 5 How. Prac. Rep. 476; *Doolittle v. Lewis*, 7 Johns. Chanc. Rep. 45.

(s) *Harper v. Butler*, 2 Peters' S. C. (U. S.) Rep. 239.

(t) See Wms. on Exrs. 322, and cases cited.



All personal property follows the person, and the rights of a person, constituted in this state the representative of a party deceased, *domiciled in this state*, are not limited to the personal property in this state, but extend to such property wherever locally situate.<sup>(u)</sup>

However, the rights of the executor of a testator domiciled in this state, in respect to property belonging to him in another state or country, can only be enforced, generally, by the procurement of probate or administration in such other state or foreign country.

It has been seen<sup>(v)</sup> that wills of personal estate duly executed, by persons residing out of this state, according to the laws of the state or country in which the same were made, may be proved under a commission, to be issued by the Supreme Court; and, when so proved, may be established and transmitted to the surrogate having jurisdiction, with directions to such surrogate to issue letters testamentary, or of administration with the will annexed, thereon, in the same manner as upon wills duly proved before him; and that, where a will so executed shall have been duly admitted to probate in such state or country, letters testamentary, or of administration with the will annexed, may also be issued thereon, by the surrogate having jurisdiction, upon the production of a duly exemplified or authenticated copy of such will, under the seal of the court in which the same shall have been proved. It has further already appeared, that, where a will of personal estate, duly executed in this state by a person not a resident of this state, shall in the first instance have been duly admitted to probate in a court of a foreign state or country, letters testamentary, or of administration with the will annexed, may be issued thereon, by any surrogate having jurisdiction, upon the production of a duly exemplified or authenticated copy of such will, under the seal of the court in which the same shall have been proved.<sup>(w)</sup> The statute, relative to the granting of letters of administration, provides as follows:

Sec. 31. In all cases where persons, not inhabitants of this state, shall die, leaving assets in this state, if no application for letters of administration be made by a relative entitled thereto, and legally competent, and it shall appear that letters of administration on the same estate, or letters testamentary, have been granted by competent authority, in any other state of the United States, then the person so appointed, on producing such letters, shall be entitled to letters of administration in preference to creditors, or any other persons, except the public administrator in the city of New York.<sup>(x)</sup>

Under these provisions it has been the practice, upon the production of an exemplified copy of the probate granted by the proper court of the place where the deceased died domiciled, for the Surrogate's Court

(u) Wms. on Exrs. 322.

(v) *Ante*, p. 195; 2 R. S. 67; 3 R. S. (5th ed.) 152.

(w) S. L. 1840, 326; 3 R. S. (5th ed.) 147. See *ante*, p. 196. Letters testamentary, or of administration with the will annexed, are issued under this section, and the will is admitted to probate and record, the same as in cases under sec. 68 (2 R. S. 67), upon the production of a copy of the will, under the seal of the foreign court or tribunal, without any evidence, further than the seal and the papers themselves, of their authenticity.

(x) See *post*, ch. 4, pp. 230, 239-40, 250; 2 R. S. 75; 3 R. S. (5th ed.) 159.

here to follow the grant, upon the application of the executor, in decreeing its own probate and letters testamentary; and this, whether the exemplification produced came from a court of another state of the United States, or from a court of a foreign country.<sup>(y)</sup> The provisions of the 31st section of the act relative to granting letters of administration, above-quoted, seemingly giving a preference to the relatives of the deceased over the executor appointed by a foreign court, are probably to be understood as really applying only to cases of intestacy; and so much of that section as gives a preference to the public administrator in the city of New York over "creditors or any other persons," is to be construed also with reference to cases of intestacy alone, and to the provisions of the 27th section of the same act concerning the granting of administration, which gives a preference, in cases of intestacy, to the public administrator in the city of New York, "after the next of kin" of the intestate, "over creditors and all other persons."<sup>(z)</sup>

The rules of law for ascertaining the domicile are considered in a subsequent part of this work, conjointly with the rule of law as to the distribution of the effects of deceased persons who have died domiciled in a foreign country.<sup>(a)</sup>

If the executor named in the will of a non-inhabitant reside in this state, letters testamentary issue to him upon proof of the will in the Surrogate's Court, or upon the production of the decree of the Supreme Court, where the will has been proved in that court, or of the exemplification of the probate of the will, under the seal of a competent court of another state or of a foreign country, the same as in cases of wills proved originally before the surrogate. If the executor do not reside in this state, he must give security the same as in other cases of non-resident executors.

<sup>(y)</sup> See *Isham v. Gibbons*, 1 Bradf. Surr. Rep. 69; *In the Matter of the Estate of Jacinto Trexidor*, 2 Bradf. Surr. Rep. 105. In *Larpent v. Sindrey*, (1 Hagg. 382,) however, Sir J. Nicholl said that the question, how far other courts of probate were to be governed by the decision of the Court of Probate where the deceased was domiciled, had never been expressly determined. And on a subsequent occasion, in a case where the deceased had died domiciled in India, and probate of the will had been granted at Madras to his widow, as "universal legatee and constructive executrix," the same learned judge pointed out the inconvenience of the practice, and again expressed his doubt how far the court was bound to follow the Indian probate; and he ultimately refused to grant probate to the widow "as constructive executrix" (in which character she would have been exempted from giving any security), but allowed administration with the will annexed to pass to her "as relict and principal legatee," upon her giving security. See *Wms. on Exrs.* 327, 328; *Enobin and others v. Wylie*, 1 Swabey and Tristram, 118.

<sup>(z)</sup> The right of the public administrator to administer was formerly expressly limited to cases of intestacy, (1 R. L. 1813, 449, sec. 17,) when the chamberlain of the city officiated as public administrator; S. L. 1815, 161; S. L. 1821, 187. And now, the statute (2 R. S. 118; 3 R. S., 5th ed., 205) relative to that officer applies, in terms, to cases of intestacy only.

<sup>(a)</sup> *Post*, ch. 12.

## CHAPTER IV.

## OF THE APPOINTMENT OF ADMINISTRATORS.

- |  |  |  |
|--|--|--|
| 1. In cases of intestacy.                          |  | 3. Special administrators or collectors. |
| 2. With the will annexed and <i>de bonis non</i> . |  |  |

IN case a party make no testamentary disposition of his personal property, he is said to die intestate.(a) The custody, management, and distribution of the personal property of the intestate is by law committed to an officer called the administrator, who is selected and appointed in the manner now to be shown, and in accordance with the provisions of the statutes presently to be quoted.

IN WHAT SURROGATE'S COURT THE LETTERS OF ADMINISTRATION  
SHALL BE OBTAINED.

The statutes provide, in respect to the jurisdiction of surrogates to grant administration, as follows :

Sec. 23. The surrogate of each county shall have sole and exclusive power, within the county for which he may be appointed, to grant letters of administration of the goods, chattels, and credits of persons dying intestate, in the following cases :

1. Where an intestate, at or immediately previous to his death, was an inhabitant of the county of such surrogate, in whatever place such death may have happened ;

2. Where an intestate, not being an inhabitant of this state, shall die in the county of such surrogate, leaving assets therein ;

3. Where an intestate, not being an inhabitant of this state, shall die out of the state, leaving assets in the county of such surrogate, and in no other county ;

4. Where an intestate, not being an inhabitant of this state, shall die out of the state, not leaving assets therein, but assets of such intestate shall thereafter come into the county of such surrogate.(b)

Sec. 24. Whenever an intestate, not being an inhabitant of this state, shall die out of the state, leaving assets in several counties, or assets of such intestate shall after his death come into several counties, the surrogate of any county in which such assets shall be, shall have power to grant letters of administration on the estate of such intestate ; but the surrogate who shall first grant letters of administration on such estate, shall be deemed thereby to have acquired sole and exclusive jurisdiction over such estate, and shall be vested with all the powers incidental thereto.(c)

(a) 2 Black. Comm. 494.

(b) 2 R. S. 73 ; 3 R. S. (5th ed.) 158. See, also, S. L. 1843, 236, sec. 4 ; 3 R. S. (5th ed.) 167, sec. 72 ; *Ante*, pp. 24, 192.

(c) The 25th section of the statute provides as follows : "The persons appointed administrators, by the surrogate who shall have first granted letters of administration, in the cases specified in the last section, shall have sole and exclusive authority as such, and shall be entitled to demand and recover, from every person subsequently appointed administrator of the same estate, the assets of the deceased in his hands. But all acts in good faith, of such subsequent administrator, done before notice of such previous letters, shall be valid ; and all suits commenced by him, may be continued by and in the name of the first administrators. 2 R. S. 74 ; 3 R. S. (5th ed.) 158.

The case of a person, not an inhabitant of this state, *dying in the county* of the surrogate, and leaving no assets there, but leaving assets in another county; and that of a person, not an inhabitant, *dying in the county*, leaving no assets, but assets coming into the county after his death, are both unprovided for in terms by these sections of the statute; though, if a non-inhabitant die *out* of the state under these circumstances, the grant of administration is regulated. There cannot, in the nature of things, it has been considered,<sup>(d)</sup> be any reason why the administration of the assets of a non-inhabitant dying *in* the county, should not belong to the surrogate, as well as that of a non-inhabitant dying *out* of the state. On the contrary, the argument for the power in the former instance is stronger than in the latter and the only solution of the difficulty is, that the particular exigencies referred to were not contemplated. Accordingly, it was held<sup>(e)</sup> that this could not be regarded as anything more than an omission to regulate the power of the several surrogates, which does not in anywise restrict or limit their general authority to grant administration prescribed and conferred by statute.<sup>(f)</sup> And that, provided the fact be established that assets of the deceased have come into the county of the surrogate since his death, or were here at that time, the surrogate has jurisdiction to grant the administration.

With respect to what shall constitute assets of the intestate, it has been held that the loan of an article of little or no value, and its temporary situation on bailment in the county of the surrogate, could not form the subject of a grant of administration. It is the rule, also, that debts due to the intestate constitute assets where the debtor resides; and rents of the intestate's real estate in another state, accruing after his death, though collected by a person in the county of the surrogate, as they go to the heirs, and an administrator would have nothing to do with them, will not form the basis of an administration anywhere.<sup>(g)</sup> Where the intestate has left real estate, but no personal estate, within this state, and no assets have subsequently to his death come into this state, no surrogate has jurisdiction to grant administration.<sup>(h)</sup>

The private property of the Seneca Indians, it should be further observed, is not within the jurisdiction of the laws of this state respecting administration. It is expressly declared by statute, that no person shall sue or maintain any action on any bond, bill, note, promise or other contract, against any of this and other tribes of Indians within this state.<sup>(i)</sup> And if the laws have no jurisdiction over their property, the surrogates have no power to grant letters of administration upon it. In respect to the disposition of the property of a deceased Indian, the customs of the nation regulating the distribution of his property among his relatives prevails, and such distribution, according to such customs, passes a good title, which the courts of this state will not disturb.<sup>(j)</sup>

(d) *Kohler v. Knapp*, 1 Bradf. Surr. Rep. 241, 246.

(e) *Id.*

(f) 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1; *Ante*, pp. 24, 227.

(g) *Kohler v. Knapp*, 1 Bradf. Surr. Rep. 241, 247-8.

(h) *Hollister agst. Hollister*, 10 How. Prac. Rep. 532; *Roe v. Sweetey*, 10 Barb. Sup. Ct. Rep. 247. See, also, *Hart v. Coltrain*, 19 Wend. 378.

(i) 2 R. L. 1813, 153, sec. 2.

(j) *Dole, admr., &c. v. Irish*, 2 Barb. Sup. Ct. Rep. 639.

## TO WHOM GENERAL ADMINISTRATION IS TO BE GRANTED, AND OF THE PERSONS INCOMPETENT TO BECOME ADMINISTRATORS.

The statutes on these subjects provide as follows :

Sec. 27. Administration, in cases of intestacy, shall be granted to the relatives of the deceased, who would be entitled to succeed to his personal estate, if they or any of them will accept the same, in the following order : First, to the widow ; second, to the children ; third, to the father ; fourth, to the brothers ; fifth, to the sisters ; sixth, to the grand-children ; seventh, to any other of the next of kin who would be entitled to share in the distribution of the estate. If any of the persons so entitled be minors, administration shall be granted to their guardians ; if none of the said relatives or guardians will accept the same, then to the creditors of the deceased,—and the creditor first applying, if otherwise competent, shall be entitled to a preference ; if no creditor apply, then to any other person or persons legally competent. But in the city of New York, the public administrator shall have preference after the next of kin, over creditors and all other persons ; and in the other counties of this state, the county treasurer shall have preference next after creditors, over all other persons. And in the case of a married woman dying intestate, her husband shall be entitled to administration in preference to any other person, as hereinafter provided.(k)

If the deceased shall have been an illegitimate, and have left a mother, and no child, or descendent, or widow ; such mother shall be entitled to letters of administration in exclusion of all other persons, in pursuance of the above provisions of the sixth chapter of the second part of the Revised Statutes. And if the mother of such deceased shall be dead, the relatives of the deceased on the part of the mother shall be entitled to letters of administration in the same order as if the deceased had been legitimate.(l)

Sec. 28. When there shall be several persons of the same degree of kindred to the intestate, entitled to administration, they shall be preferred in the following order : First, males to females ; second, relatives of the whole blood to those of the half blood ; third, unmarried women to such as are married ; and when there are several persons equally entitled to administration, the surrogate may, in his discretion, grant letters to one or more of such persons.(m)

Sec. 29. A husband, as such, if otherwise competent according to law, shall be solely entitled to administration on the estate of his wife, and shall give bonds as other persons, but shall be liable, as administrator, for the debts of his wife, only to the extent of the assets received by him. If he shall not take out letters of administration on her estate, he shall be presumed to have assets in his hands sufficient to satisfy her debts, and shall be liable therefor ; and if he shall die, leaving any assets of his wife unadministered, they shall pass to his executors or

(k) 2 R. S. 74 ; 3 R. S. (5th ed.) 158-9.

(l) S. L. 1845, ch. 236, p. 257 ; 3 R. S. (5th ed.) 183-4, sec. 82 [sec. 75], subdv. 8. For this act entire, see *post*, ch. 12.

(m) 2 R. S. 74 ; 3 R. S. (5th ed.) 159.



administrators, as part of his personal estate, but shall be liable for her debts to her creditors, in preference to the creditors of the husband.(n)

Sec. 31. In all cases, where persons, not inhabitants of this state, shall die, leaving assets in this state, if no application for letters of administration be made by a relative entitled thereto and legally competent, and it shall appear that letters of administration on the same estate, or letters testamentary have been granted by competent authority, in any other state of the United States, then the person so appointed, on producing such letters, shall be entitled to letters of administration in preference to creditors, or any other person, except the public administrator in the city of New York.(o)

Sec. 32. No letters of administration shall be granted to a person convicted of an infamous crime, nor to any one incapable by law of making a contract, nor to a person not a citizen of the United States, (unless such person reside within this state,) nor to any one who is under twenty-one years of age, nor to any person who shall be judged incompetent by the surrogate to execute the duties of such trust by reason of drunkenness, improvidence, or want of understanding, nor to any married woman; but where a married woman is entitled to administration, the same may be granted to her husband, in her right and behalf.(p)

Sec. 33. If any person, who would otherwise be entitled to letters of administration as next of kin, or to letters of administration with the will annexed, as residuary or specific legatee, shall be a minor, such letters shall be granted to his guardian, being in all respects competent, in preference to creditors or other persons.(q)

Sec. 34. Administration may be granted to one or more competent persons, although not entitled to the same, with the consent of the person entitled to be joined with such person; which consent shall be in writing, and be filed in the office of the surrogate.(r)

In case of a contest for preference as to administration between relatives whose priority is not settled by the statute, the single point to be ascertained is, who will be entitled to the surplus of the personal estate—"who will be entitled to share in the distribution of the estate." The Statute of Distributions,(s) in specifying the mode in which the surplus shall be distributed, provides, that "in case there be no widow, no children and no representatives of a child, then the whole surplus shall be distributed to *the next of kin*, in equal degree to the deceased, and their legal representatives." It seems to be fully established, that the construction of the Statute of Distribution, as to the proximity of degrees of kindred, at least, must be according to the rules of the civil law.(t)

Consanguinity, or kindred, is defined by the writers on these subjects to be "*vinculum personarum ab eodem stipite descendendum*," the

(n) 2 R. S. 75; 3 R. S. (5th ed.) 159.

(o) 2 R. S. 75; 3 R. S. (5th ed.) 159.

(p) 2 R. S. 75; 3 R. S. (5th ed.) 159. Letters of administration to the husband, in right of his wife, should be so expressed in the grant.

(q) 2 R. S. 75; 3 R. S. (5th ed.) 160.

(r) Id. 76; 3 R. S. (5th ed.) 160.

(s) 2 R. S. 96-7; 3 R. S. (5th ed.) 183-4; *Post*, ch. 12.

(t) *Wms. on Exra.* 365, and cases cited; *Sweeney v. Willis*, 1 Bradf. Surr. Rep. 495.

connection or relation of persons descended from the same stock or common ancestor. This consanguinity is either lineal or collateral.(u)

Lineal consanguinity is that which subsists between persons of whom one is descended in a direct line from the other, as between any given person and his father, grandfather, great-grandfather, and so upwards in the direct ascending line; or between such given person and his son, grandson, great-grandson, and so downwards in a direct descending line. Every generation in this lineal direct consanguinity constitutes a different degree, reckoning either upwards or downwards: the father of such person is related to him in the first degree, and so likewise is his son; his grandsire and grandson in the second; his great-grandsire and great-grandson in the third. This is the only natural way of reckoning the degrees in the direct line; and, therefore universally obtains, as well in the civil and canon, as in the common law. This lineal consanguinity, it may be observed, falls strictly within the definition of *vinculum personarum ab eodem stipite descendit*: since lineal relations are such as descend one from the other, and both, of course, from the same common ancestor.(v)

Collateral kindred answers to the same description: collateral relations agreeing with the lineal in this, that they descend from the same stock or ancestor; but differing in this, that they do not descend one from the other. Collateral kinsmen are such, then, as lineally spring from one and the same ancestor who is the *stirps*, or root, the *stipes*, trunk, or common stock, from whence these relations are branched out. As if John Stiles has two sons, who each have a numerous issue; both these issues are lineally descended from John Stiles as their common ancestor; and they are collateral kinsmen to each other, because they are all descended from this common ancestor, and all have a portion of his blood in their veins, which denominates them *consanguineos*.(w)

It must be carefully remembered, that the very being of collateral consanguinity consists in this descent from one and the same common ancestor. Thus, Titius and his brother are related; why? because both are derived from one father: Titius and his first cousin are related; why? because both are descended from the same grandfather: and his second cousin's claim to consanguinity is this; that they are both derived from one and the same great-grandfather. In short, as many ancestors as a man has, so many common stocks he has, from which collateral kinsmen may be derived.(x)

The mode of calculating the degrees in the collateral line, for the purpose of ascertaining who are the next of kin, so as to be entitled in distribution, conforms, as it has been above observed, to that of the civil law; and is as follows: to count upwards from either of the parties related to the common stock, and then downwards again to the other, reckoning a degree for each person, both ascending and descending;(y) or, in other words, to take the sum of the degrees in both lines to the common ancestor.(z)

(u) 2 Black. Comm. 203; Wms. on Exrs. 365.

(w) 2 Black. Comm. 204.

(y) 2 Black. Comm. 207; *Mentney v. Petty*, Prec. Chan. 593; Toller, 88.

(z) Id., and Mr. Christian's note to 2 Black. 207. According to the canon law, the mode

(v) 2 Black. Comm. 203; Id.

(x) 2 Black. Comm. 205.

In the annexed table of consanguinity, the degrees are computed as far as the sixth. It may be useful to apply some examples from it to the rule of calculation above laid down. The *propositus* and his cousin-german will be found designated in the table as related in the fourth degree—because, following the rule of computation, from the *propositus*, ascending to his father, is one degree; from him to the common ancestor, the grandfather, two; then, descending from the grandfather to the uncle, three; and from the uncle to the cousin-german, four. Again, the second cousin of the *propositus* will be found described in the table, as related in the sixth degree; because, from the *propositus*, ascending to his father, is one degree; from his father to his grandfather, two; from his father to his great-grandfather, the common ancestor, three: then, descending, from the great-grandfather to the great-uncle of the *propositus*, four; from the great-uncle, to the great-uncle's son, five; from his great-uncle's son to his second cousin, six. It will be observed, that kindred are found distant from the *propositus* by an equal number of degrees, although they are relations to him of very different denominations. Thus, a granddaughter of the sister, and a daughter of the intestate's aunt, (i.e., a great-niece and a first cousin,) are in equal degree, being each four degrees removed.(a)

In the further consideration of the statute, and of this mode of computing proximity of kindred, and the rights to administration derived from it, several remarkable distinctions may be observed, with reference to the corresponding rules of the common law, respecting succession to inheritances.

1st. Relations by the father's side and the mother's side are in equal degree of kindred; and, therefore, equally entitled to administration: for, in this respect, dignity of blood gives no preference.(b) Hence, it may happen that relations are distant from the intestate by an equal number of degrees, and equally entitled to the administration of his effects, who are no relations at all to each other.

2d. The half-blood is admitted to administration as well as the whole, for they are kindred of the intestate; but, as between the two, by the

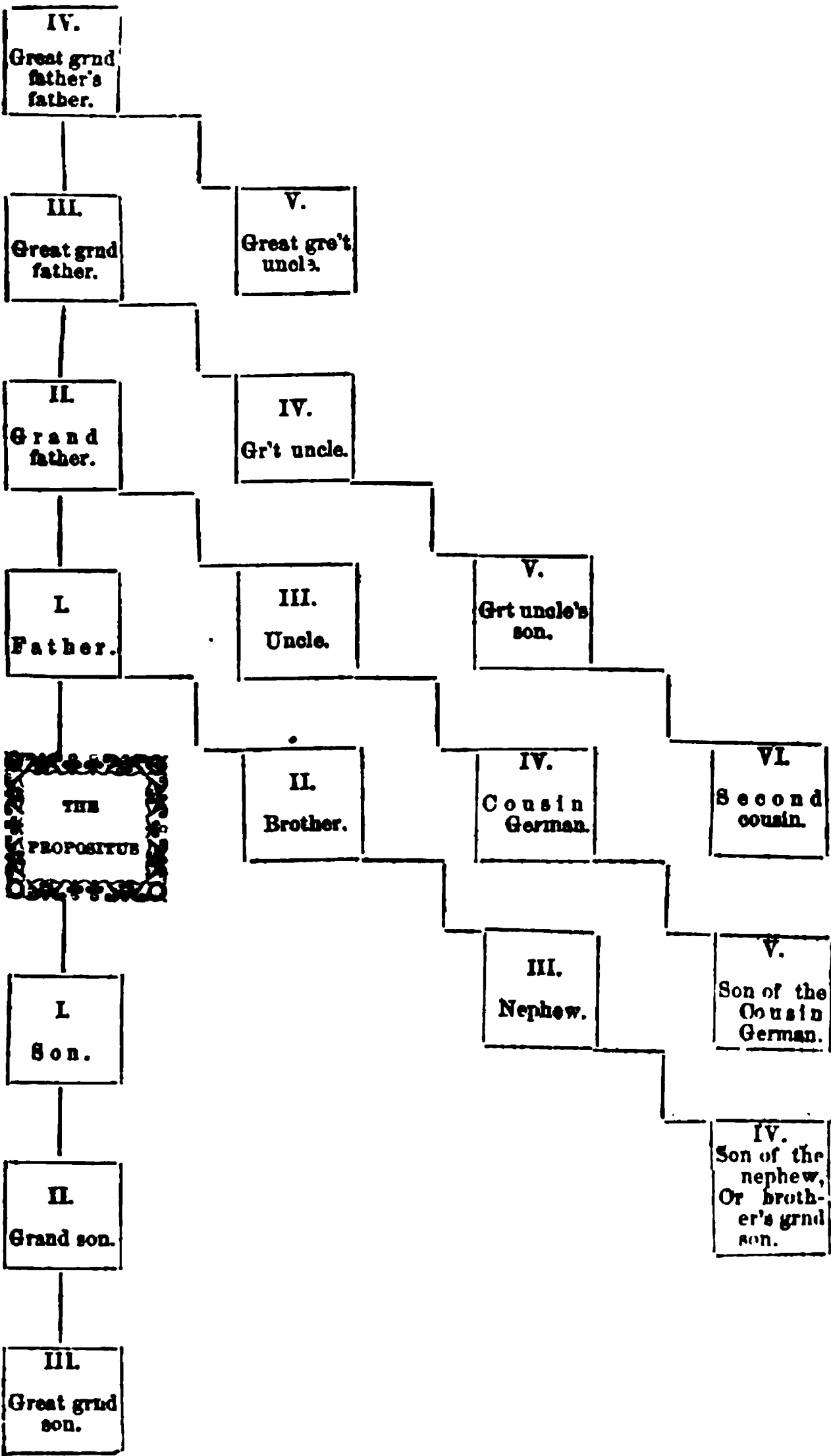
of computation is to begin at the common ancestor, and reckon downwards, and in whatever degree the two persons, or the more remote of them, is distant from the common ancestor, that is the degree in which they are related to each other. It is obvious, that the degrees by this calculation are fewer than by the mode of the civilians; and Sir J. Jekyl, in Prec. Chanc. 593, and Lord Hardwicke, in 1 Ves. sen. 335, attribute the establishment of the mode of canonists to this circumstance—inasmuch as the nearer they brought the relation, the greater was their trade of dispensations of marriage.[\*]

(a) *Thomas v. Kettriche*, 1 Ves. sen. 333; *Thirt v. Robinson*, cited Ambl. 192. So, a first cousin twice removed is in the same degree as a second cousin, for they are both in the sixth degree of consanguinity. *Silcox v. Bell*, 1 Sim. and Stu. 301; *Lock v. Lake*, 2 Cas. Temp. Lee, 421. See Wms. on Exrs. 367 to 370.

(b) *Moor v. Barham*, cited in *Blackborough v. Davis*, 1 P. Wms. 53.

[\*] The distribution of personal property of intestates, in these United States, has undergone considerable modification. In many of them, the English Statute of Distribution, as to personal property, is pretty closely followed. In a majority of the states, the descent of real and personal property is to the same persons, and in the same proportions, and the regulation is the same in substance, as the English Statute of Distributions, with the exception of the widow, as to the real estate, who takes one-third for life only, as dower. The half-blood take equally with the whole blood, as they do under the English Statute of Distributions. Such a uniform rule, in the descent of real and personal property, gives simplicity and symmetry to the whole doctrine of descent. The English Statute of Distributions, being founded in justice, and on the wisdom of ages, and fully and profoundly illustrated by a series of judicial decisions, was well selected, as the most suitable and judicious basis on which to establish our American law of descent and distribution. 2 Kent's Comm. 425; 3d, 8th and 9th eds., text and notes.

TABLE OF CONSANGUINITY.



statute, it has been observed, the whole blood is to be preferred. However, the brother of the half-blood shall exclude the uncle of the whole blood.(c)

3d. The right to administration, as prescribed by the statute, will follow the proximity of kindred, though ascendant; and, therefore, when a child dies intestate, without wife or child, leaving a father, the father is entitled to the administration of the personal effects of the intestate, as next of kin, exclusive of all others.(d) So, with respect to the mother, if a child dies intestate without a wife, child or father, it is laid down that the mother is entitled to administration:(e) and before the Statute of 1 Jac. II, ch. 17, she could claim, as next of kin, the whole personal estate; and although now, by the Statute of Distribution,(f) every brother and sister has an equal share with her, yet, being nearer of kin to the intestate by one degree, she is, without doubt, entitled to the preference in administration. Again, if a man dies intestate, leaving no nearer relations than a grandfather or grandmother, and an uncle or aunt, the grandfather or grandmother, being in the second degree, though ascendant, will be entitled to administration to the exclusion of the uncle or aunt, who are related only in the third degree.(g) So, a great-grandmother is equally entitled as an aunt.(h)

However, though the law of this state acknowledges the rights of ascendants generally, yet it does not recognize them to the extent of the civil law, according to which, ascendants, of whatever degree, shall be preferred before all collaterals, except in the case of brothers and sisters. But the law here prefers the next of kin, though collateral, before one who, though lineal, is more remote.(i)

It remains to notice certain exceptions to the rule of computation, above stated, of the proximity of kindred and consequent right to administration.

1st. The parents of an intestate are as near akin to him as his children, for they are both in the first degree; but the statute, following and declaring the previous law, expressly gives children the preference. And, although the statute subsequently, in terms, gives the father, brothers and sisters the preference over grandchildren, yet, as it has previously provided that administration "shall be granted to the relatives of the deceased, who would be entitled to succeed to his per-

(c) *Collingwood v. Pace*, 1 Ventr. 424.

(d) See *Ratcliff's case*, 3 Co. 40 a; *Collingwood v. Pace*, 1 Ventr. 414; *Blackborough v. Davis*, 1 P. Wms. 51.

(e) *Ratcliff's case*, 3 Co. 40 a, where the well known case of *the Duchess of Suffolk*, Bro. Admor. pl. 47, *contra*, was denied: *S. P. Collingwood v. Pace*, 1 Ventr. 424, by Hale, C. B. See Wms. on Exrs. 370.

(f) 2 R. S. 97; 3 R. S. (5th ed.) 183; *Post*, ch. 12.

(g) *Mentney v. Petty*, Prec. Chanc. 593; *Blackborough v. Davis*, 1 P. Wms. 41; *S. C.*, 1 Lord Raym. 686; *Woodroff v. Winkworth*, Prec. Chanc. 527; *Swezey v. Willis*, 1 Bradf. Surr. Rep. 495. See, also, *Bogert v. Furman*, 10 Paige, 496-500.

(h) *Burton v. Sharp*, cited in 1 Lord Raym. 686; 1 P. Wms. 45; *S. C.*, (but differently reported as to the facts,) Lutw. 1055. *Lloyd v. Tench*, 2 Ves. sen. 215, by Strange, M. R. See, also, Wms. on Exrs. 349.

(i) 1 P. Wms. 51, by Lord Holt; *Stanley v. Stanley*, 1 Atk. 458, by Lord Hardwicke; *Swezey v. Willis*, 1 Bradf. Surr. Rep. 498, by Bradford, Surr.



sonal estate,"(*j*) and as the father, brothers and sisters are utterly excluded from any share in distribution where there are children, or the representatives or descendants of children, (*k*) grandchildren, notwithstanding the order of preference, so in terms prescribed, are entitled to the administration next after children. The revisers, in their note to the legislature accompanying their recommendation of this provision, cite the previous law, and say, "much simplified, according to the practice and the law, as now understood, and many occasions for contest respecting preferences removed."(*l*) By the law, as then understood, children and their lineal descendants, to the remotest degree, were entitled to the administration in preference to the father, brother, sister, or any other relative of the intestate. (*m*) Such, clearly, is the construction to be given to the present statute. (*n*)

2d. Where the nearest relations, according to the above computation, are a grandfather or grandmother, and brothers or sisters of the intestate, although these are all related in the second degree, yet the latter are entitled to the administration to the exclusion of the former. (*o*)

To recapitulate, in the first place, the widow, then the children, and their lineal descendants to the remotest degree; and on failure of children, the parents of the deceased are entitled to the administration: then follow brothers and sisters, then grandfathers and grandmothers, then uncles or nephews, great-grandfathers and great-grandmothers, and lastly, cousins. (*p*)

A more particular discussion of some parts of the present subject, will be found in a subsequent part of this treatise, where the rights of the next of kin of an intestate, under the Statute of Distributions, are considered. (*q*)

Under the statute, it is not every relative of the deceased who is entitled to administration of his estate; but, as has been seen, administration goes, as a matter of right, "to the relatives of the deceased *who would be entitled to succeed to his personal estate*"—or, as the language is in another portion of the section, the next of kin *who would be entitled to share in the distribution of the estate*. Interest is a necessary qualification; and, among those who have an interest, the statute establishes a certain order. If there be not any such relatives or next of kin so entitled, then creditors and the public administrator in the city of New York have the right to the administration. A relative who has not any interest in the estate, is not only *quoad hoc*, a stranger, but, for purposes of administration, he has no more title to administer than one who is not a relative. After the next of kin who have an interest, the statute brings in the public administrator in the city of New York.

(*j*) See *The Public Administrator v. Peters*, 1 Bradf. Surr. Rep. 100, 101.

(*k*) 2 R. S. 97; 3 R. S. (5th ed.) 183.

(*l*) See 3 R. and or. S. (2d ed.) App. 636.

(*m*) *Carter v. Crawley*, Sir T. Raym. 500; *Evelyn v. Evelyn*, Ambl. 504.

(*n*) It might be a matter of curious inquiry, whether the revisers did not report, or intend to report, the word "grandparents," instead of the word "grandchildren," in this connection, in the section. This would have made the section, as they intimate they intended it should be, "according to the practice and the law, as now understood." See the enumeration of the order of preference of administration, 2 Kent's Comm. 413; 7th ed. 505.

(*o*) *Evelyn v. Evelyn*, 3 Atk. 762; *S. C.*, Ambl. 191; *Winchelsea v. Norcliff*, Freem. Chanc. Cas. 95; *S. C.*, 1 Vern. 403; 2 Chanc. Rep. 374, 376; *Blackborough v. Davis*, 1 P. Wms. 45.

(*p*) See 2 Black. Comm. 505; Wms. on Exra. 372.

(*q*) *Post*, ch. 12.

Thus, where the intestate left her father and her half-brothers her only next of kin, and a half-brother cited her father under the statute,<sup>(r)</sup> and he did not appear, and the letters were then issued to the half-brother; it was held that, as the father was, by the Statute of Distributions, entitled to the whole surplus after the payment of the debts, he was therefore the only relative or next of kin entitled to administration; that his refusal did not give the half-brother any right to the administration, as he had not any interest in the estate of the intestate, and never could have directly in his own right; and that the letters (the case arising in the county of New York) ought to have been issued to the public administrator; and, he not having been cited, that the previous letters should be revoked, and the administration committed to him.<sup>(s)</sup> It was further held, in the same case, that the relatives who have an interest, as such, are those living at the period of the intestate's death, and having at that time a title to a distributive share; and if a relative so entitled happen to die, the right to administer dies with him. His legal representatives will receive his distributive share, not as next of kin of the first intestate, but by right of administration on the estate of the second intestate. Again, by the law of the 13th May, 1845,<sup>(ss)</sup> amending the Revised Statute, the mother of an illegitimate intestate, where there is no child, descendant, or widow, takes the whole of his personal property; and she is expressly declared to be entitled to letters of administration of his estate, in exclusion of all other persons. By the Act of the 18th April, 1855,<sup>(t)</sup> it is provided that illegitimate children, in default of lawful issue, may inherit real and personal property from their mother, as if legitimate. As the administration must go to a party in interest, such illegitimate children will be entitled to administration of the goods, &c., of their mother.

Where there are several persons equally entitled to administration, the surrogate, by the above 28th section of the statute, has the right of election; but this discretion is to be exercised in subservience to some rule. Where there is no material objection on the one hand, or reasons for preference on the other, the court puts the administration into the hands of that person amongst those of the same degree of kindred, with whom the majority of parties interested are desirous of entrusting the estate.<sup>(u)</sup> And where, between two or more individuals of the same class, an objection lies against one on the ground of moral fitness, the surrogate may properly take that into consideration, in determining to whom the administration shall be committed.<sup>(u)</sup>

The several provisions of the statute above recited, giving the right of administration of the personal property of a married woman, dying intestate, to her husband, are not repealed or affected by the recent acts of the legislature "For the more effectual protection of the prop-

(r) 2 R. S. 76; 3 R. S. (5th ed.) 160; *Infra*.

(s) *The Public Administrator v. Peters*, 1 Bradf. Surr. Rep. 100. See, also, *McCook v. Golden*, Id. 64-5.

(ss) S. L. 1845, 257; 3 R. S. (5th ed.) 183-4; *Ante*, p. 229.

(t) S. L. 1855, 1046; 3 R. S. (5th ed.) 43.

(u) *Peters v. The Public Administrator*, 1 Bradf. Surr. Rep. 200, 207.

(u) *Coope v. Lowerre*, 1 Barb. Ch. Rep. 45, per Walworth, Ch.

erty of married women.”(v) Besides these provisions, expressly authorizing the husband to administer upon the estate of his deceased wife intestate, the statute further provides, that if the husband shall die, “leaving any assets of his wife unadministered, they shall pass to his executors or administrators as part of his personal estate;”(w) and “if letters of administration on the estate of a married woman shall be granted to any other person than her husband, by reason of his neglect, refusal or incompetency to take the same, such administrator shall account for and pay over the assets remaining in his hands, after the payment of debts, to such husband or his personal representatives.”(x) And, by the statute of Distribution, it is enacted that the “provisions respecting the distribution of estates, shall not apply to the personal estates of married women; but their husbands may demand, recover and enjoy the same as they are entitled by the rules of the common law.”(y) By the original act “For the more effectual protection of the property of married women,”(z) it was provided, that the real and personal property of any female who may hereafter marry, and which she shall own at the time of her marriage, and the rents, issues, and profits thereof, shall not be subject to the disposal of her husband, nor be liable for his debts, and shall continue her sole and separate property, as if she were a single female; and that the real and personal property, and the rents, issues, and profits thereof, of any female now married, shall not be subject to the disposal of her husband, but shall be her sole and separate property, as if she were a single female, except so far as the same may be liable for the debts of her husband heretofore contracted. And by that act, as amended,(a) “any married female may take by inheritance or by gift, grant, devise or bequest, from any person other than her husband, and hold to her sole and separate use, and convey and devise real and personal property, and any interest of estate therein, and the rents, issues, and profits thereof, in the same manner and with like effect as if she were unmarried, and the same shall not be subject to the disposal of her husband, nor be liable for his debts.”

These acts do not undertake to disturb the law in regard to the estates of married women dying intestate. They are authorized to take, hold, convey and devise, but, in default of a will, the estate is transmitted after death, precisely as it was before these acts were passed. A married woman may sell or bequeath her personal estate; but, if she dies intestate, the law declares who shall take it. Now, as before, if she dies intestate, the husband may demand administration; or, if a stranger administer, he is entitled to the residue after payment of debts—and, as to him, the Statute of Distributions is a nullity. That statute does not apply to the case of a *feme covert* dying intestate. It has been determined in several cases in England, that where separate property of the wife,(b) or property held under marriage articles for

(v) S. L. 1848, ch. 200, p. 307; S. L. 1849, ch. 375, p. 528; 3 R. S. (5th ed.) 239. See Act concerning the rights and liabilities of husband and wife, S. L. 1860, ch. 90, p. 157.

(w) 2 R. S. 75; 3 R. S. (5th ed.) 159, sec. 29. (x) Id. sec. 30.

(y) 2 R. S. 98; 3 R. S. (5th ed.) 185, sec. 86 [sec. 79].

(z) S. L. 1848, 307; 3 R. S. (5th ed.) 239.

(a) S. L. 1849, 528; 3 R. S. (5th ed.) 239.

(b) *Moloney v. Kennedy*, 10 Simon, 254.

her sole and separate use, as if she were unmarried,(c) was left undisposed of, by will or otherwise, by the wife at her death; the quality of separate property ceased at her death; and the husband was entitled *jure mariti*, and as her administrator, and not as her next of kin. A settlement of property to the use of a *feme covert*, with the same power and control as if she were sole and unmarried, is an approximation by marriage, as nearly as may be, to the state of her personalty, as settled by the acts of 1848 and 1849; and these English decisions are therefore clearly in point. Accordingly these acts, which have enabled a married woman to have the sole control and absolute ownership of her property during her life, with power to sell and convey, and also to regulate its disposition after her death, have not at all altered the law as to the administration of her personalty in case of intestacy. Where the wife has failed to exercise the privilege conferred upon her by these new statutes, and dies intestate, possessed of personalty, her husband has still the sole right to administer, and, as administrator, to retain the residue of the estate, after the payment of debts, to his own use. The right of representation, unless he be incompetent, and the right of succession to the property, are still exclusively vested in him, to be defeated only by a valid will.(d) The husband, therefore, is still entitled, in the first instance, to administration of the goods, &c., of his deceased wife intestate. If the husband intermeddles with the deceased wife's estate, without taking out letters of administration, the above 29th section of the statute makes him liable for her debts, upon the presumption that he has assets belonging to her estate to pay them.(e)

If the husband survives the wife, and dies either before or after taking out letters of administration of her estate, leaving any assets of his wife unadministered, the above 29th section directs that they shall pass to his executors or administrators, "but shall be liable for her debts to her creditors in preference to the creditors of the husband." The object of this provision was not that, where the husband survived the wife, her property, which unquestionably belonged to the representatives of the husband, should go to such representatives after paying her debts, provided they, or some other person, administered on her estate specially; the last clause, giving her creditors a preference, is wholly senseless in any such view of the section. Without doubt the legislature intended, in such a case, that the personal representatives of the surviving husband, in their character of such representatives, should acquire the right to her whole property unadministered, and should have power to sue for, and recover it, as such representatives of the surviving husband. But, after the assets have been recovered by them, they must so administer them as to give her creditors a preference in payment out of that part of the estate, although it comes to them in the character of the personal representatives of the husband. There were two questions of some doubt existing before the Revised Statutes:—1. Whether the assets of the wife were liable for her debts,

(c) *Proudley v. Fielder*, 2 M. and K. 57. See, also, *Salmon v. Hays*, 4 Hagg. 386.

(d) Per Bradf., Surr., in *McCosker v. Golden*, 1 Bradf. Surr. Rep. 64, 68, 69. See, also, *Shumway v. Cooper*, 16 Barb. Sup. Ct. Rep. 556.

(e) See *Lockwood v. Stockholm*, 11 Paige, 92.

where her husband survived her, or were to belong absolutely to the husband's representatives, as a part of his personal estate; 2. Whether his representatives, or the next of kin of the wife, were to administer on her estate. And both questions were put at rest by giving the property directly to his representatives, as a part of his personal estate; but chargeable in their hands with her debts, to the extent of that part of the fund.(f)

The rule, it seems, is, that where the husband and wife are drowned in the same ship, they must be presumed to have perished at the same moment, unless proof can be obtained as to the exact time of the death of either.(g) Hence, in such cases, in order to entitle the husband to the wife's property, it must be proved that he survived her, and consequently, the administration thereof must be granted to her next of kin, if his representatives cannot give any such proof.(h)

In the case of a foreigner dying intestate in this state, it should seem, according to English authority, that if no question is raised, the court will grant administration to the person entitled to the effects of the deceased, according to the law of his own country.(i) If the legal title be disputed, the question will depend on the fact, whether the deceased was domiciled within this state, or only a temporary resident there.

If the intestate was domiciled in a foreign country, or in another state, and left assets in this state, administration must be taken out here, as well as in the country or state of domicil.(j) And there has already been occasion to observe(k) that where there are assets of a deceased non-inhabitant in this state, and an administrator has been appointed here, the executor or administrator of the goods of the deceased appointed in the state of his domicil cannot give a valid release of a debt due to the deceased from a debtor residing in this state, nor interfere with a suit commenced to recover such debt by the domestic administrator.(l)

The above section, numbered 31, gives the right of administration of the goods, &c., of a deceased non-inhabitant, in the first instance, to his relatives. Since the distribution of the personal property of the intestate in such cases is to be regulated according to the law of the country or state in which he was a domiciled inhabitant at the time of his death,(m) it appears to be a necessary consequence that the grant should be made to a relative entitled to the effects of the deceased according to the law of that country or state. If the person applying be

(f) *Lockwood v. Stockholm*, 11 Paige, 87, 91, 92; *Renwick v. Renwick*, 10 Paige, 415, 420. See, also, 2 Kent's Comm. (7th ed.) 116, where it seems to be considered that an administration of the estate of the wife, on the part of the representatives of the husband, is required by the statute.

Where the husband has survived the wife and died intestate without administering to her estate, his next of kin must constitute themselves his legal personal representatives before they have any claim to administer to the wife's estate. *In the Goods of Jane Elizabeth Crause*, 1 Swabey and Tristram, 146. See, also, 3 Hagg. Eccl. Rep. 770.

(g) *In the Goods of Selwyn*, 3 Hagg. 748; 1 Curt. 705; 2 Kent's Comm. 434, 435; 7th ed. 538, and cases cited; Wms. on Exrs. 361, 405, 1084, and cases cited.

(h) *Satterthwaite v. Powell*, 1 Curt. 705; Wms. on Exrs. 361.

(i) Wms. on Exrs. 376, and cases cited. The regular course seems to be, that the ambassador should certify the law of the country he represents. *Id. note.*

(j) See *ante*, pp. 194, 195, and cases cited; Wms. 376.

(k) *Ante*, p. 224.

(l) *Chapman v. Fish*, 6 Hill, 554.

(m) See *post*, ch. 12.



a relative, and prove a grant of administration to himself by the proper authority of the domicile of the deceased, he will have the strongest claim to the administration here. In case no relative apply for the administration on the estate of the deceased non-inhabitant, and it appears that letters of administration on the same estate, or letters testamentary, have been granted, by competent authority, in another state of the United States, then the person so appointed, on producing such letters, is entitled to letters of administration in preference to creditors or any other persons, except the public administrator in the city of New York. It will be observed that this provision expressly entitles the administrator or executor appointed in another state of the United States only to administration here, and gives the public administrator in the city of New York the preference over such administrator or executor in that case only. If the deceased was a domiciled inhabitant of a foreign country, and no relative apply for the administration, if the party applying for administration here has already obtained a grant in the proper court of the country where the domicile was, it is presumed that the court here, generally speaking, would follow that grant.<sup>(n)</sup> It is proper to remark, that in the office of the surrogate of the county of New York, a practice has long existed of granting administration of the goods, &c., of deceased non-inhabitants, leaving no relatives in this state, to the personal representatives, or the agent or attorney in fact of the personal representatives of the deceased, appointed in another state, or in a foreign country,<sup>(o)</sup> as well as of issuing letters testamentary of the wills of non-inhabitants to the executors named in such wills.

#### WHO ARE INCAPABLE OF BEING ADMINISTRATORS.

A widow, or next of kin, who would otherwise be entitled, may be incapable of the office of administrator on account of some legal disqualification.

The incapacities of an administrator are enumerated in the above thirty-second section of the statute. Under the twenty-seventh section of the statute, already considered, which prescribes the order in which administration shall be granted to the relatives of the deceased, if they or any of them will accept the same; and under this thirty-second section, which provides that letters of administration shall not be granted to a person *convicted* of an infamous crime, nor to any one incapable by law of making a contract, nor to a non-resident alien, nor to a minor, nor to any one who shall be adjudged incompetent, by the surrogate, to execute the duties of such trust by reason of drunkenness, *improvidence*, or want of understanding, nor to a married woman; the

(n) See Wms. on Exrs. 376. The court will not, however, follow the grant of the country of domicile, when it would, by so doing, be acting in contradiction to the law of this country. An application for a grant of letters of administration to a minor, assisted by his uncle, his curator, lawfully appointed according to the law of the domicile, refused. *In the Goods of Her R. H. the Duchess d'Orleans*, 1 Swabey and Tristram, 253.

(o) *St. Jurjo v. Dunscomb and Beckwith*, 2 Bradf. Surr. Rep. 105. Where a party applied for administration, as the agent of a foreigner resident abroad, and entitled to administration, the application cannot be supported, without exhibiting to the court a proper authority from the person so entitled. See Wms. on Exrs. 376, *note*.

surrogate has no discretion to exclude a person declared by the statute to be entitled to a preference, except for some of the causes specified in this section. No degree of legal or moral guilt or delinquency is sufficient to exclude a person from the administration, as the next of kin, in the cases of preference given by the statute, unless such person has been actually convicted of an infamous crime. And the conviction intended by the statute is upon an indictment, or other criminal proceeding.<sup>(p)</sup> The improvidence contemplated by the statute, as a ground of exclusion, is that want of care or foresight in the management of property, which would be likely to render the estate and effects of the intestate unsafe, and liable to be lost or diminished in value by improvidence, in case administration thereof should be committed to the person charged as improvident. Accordingly, where the parties applying for the administration, were a son of the intestate and the husband of a daughter in right of his wife, and the latter resisted the claim of the former on the ground that he was disqualified, on account of his vices and his improvidence, and there was proof against the son of divers offences against the laws of society, and of a large recovery against him in an action of *crim. con.*, it was held that such evidence was not relevant under the statute, and ought to have been excluded; and although there was evidence derived from his own examination, when he was applying for the benefit of the insolvent act, tending to show improvidence in the acquisition and preservation of property, and proving that he was grossly negligent in the management of his property and affairs, and in the contracting of debts by indorsing for strangers, or for men without visible means of payment; yet, after all, it was concluded that he was not improvident to such a degree as to render him incompetent to discharge the duty of an administrator.<sup>(q)</sup>

The insolvency of the applicant is not a sufficient reason to adjudge him incompetent to be an administrator. Insolvency is not always evidence of improvidence or want of prudence. Misfortune will often overtake and ruin the most provident and prudent; and especially is insolvency not a reason for exclusion where the application is for a joint administration with one or more conceded to be competent.<sup>(r)</sup> Nor is the fact that the party applying is indebted to the estate, a ground of exclusion.<sup>(s)</sup>

But a professional gambler is disqualified to act as administrator by reason of improvidence.<sup>(t)</sup>

(p) *Coope v. Lowerre*, 1 Barb. Ch. Rep. 45; *Harrison v. McMahon*, 1 Bradf. Surr. Rep. 283; *McMahon v. Harrison*, 10 Barb. Sup. Ct. Rep. 659.

(q) *Coope v. Lowerre*, 1 Barb. Ch. Rep. 45, 49. See, also, *Emerson v. Bowers*, 14 Barb. Sup. Ct. Rep. 658; *S. C.*, on appeal, 4 Kernan, 449. See, also, *ante*, p. 215.

(r) *In the Matter of the estate of William Post, deceased*, Law of Surrogates, &c., (1st ed.,) Appendix, p. i. It is stated in that decision, that in a case of joint administration, "it is necessary for the administrators to join in every act, and no one joint administrator has the control of the property of the intestate," which probably is not strictly correct.

(s) *In the Matter of the estate of William Post, deceased*, *supra*; *Churchill v. Prescott*, 2 Bradf. Surr. Rep. 304.

(t) *McMahon v. Harrison*, 10 Barb. Sup. Ct. Rep. 659. Affirmed in the Court of Appeals, 2 Selden, 443. See *ante*, p. 215.

## OF THE PROCEEDINGS ON TAKING OUT LETTERS OF ADMINISTRATION.

The statutory directions are as follows:

Sec. 26. Before any letters of administration shall be granted on the estate of any person who shall have died intestate, the fact of such person's dying intestate shall be proved to the satisfaction of the surrogate; who shall examine the persons applying for such letters, on oath, touching the time, place and manner of the death, and whether or not the party dying left any will; and he may also, in like manner, examine any other person, and may compel such person to attend as a witness for that purpose.(u)

Sec. 35. When any person shall apply for administration, either with the will annexed or in case of intestacy, and there shall be any other person having prior right to such administration, the applicant shall produce, prove and file with the surrogate a written renunciation of the persons having such prior right. If he fail to do so before any such letters shall be granted, a citation shall be issued to all persons having such prior right, to show cause, at a day therein to be specified, why administration should not be granted to such applicant.(v)

Sec. 36. If any person to whom such citation shall be directed, shall reside within the county of such surrogate, such citation shall be served personally, or by leaving a copy at the residence of such person, at least six days before the return-day thereof. If any such person reside out of such county, but within the state, and such residence can be ascertained, service shall be made in the same manner, at least forty days before the return-day of the citation. If any such person reside out of the state, or his residence cannot be ascertained, such citation may be personally served without the state forty days before its return, or may be published once in each week, for six weeks successively, in the state paper.

Sec. 37. In all cases of application for letters of administration in cases of intestacy, a citation to show cause as aforesaid, shall be issued to, and served on, the attorney-general, at least twenty days before the return-day thereof, previous to granting such letters, unless it shall be shown to the surrogate, by the affidavit of the applicant or other written proof, that the intestate left kindred entitled to his estate, specifying the names of such kindred and their places of residence, as far as the same can be ascertained.

Sec. 42. Every person appointed administrator shall, before receiving letters, execute a bond to the people of this state, with two or more competent sureties, to be approved by the surrogate, and to be jointly and severally bound. The penalty in such bond shall not be less than twice the value of the personal estate of which the deceased died possessed, which value shall be ascertained by the surrogate, by the examination on oath of the party applying, and of every other person he may think proper to examine. The bond shall be conditioned, that such administrator shall faithfully execute the trust reposed in him as such, and also that he shall obey all orders of such surrogate touching the administration of the estate committed to him.(w)

(u) 2 R. S. 74; 3 R. S. (5th ed.) 158.

(v) 2 R. S. 76; 3 R. S. (5th ed.) 160.

(w) 2 R. S. 77; 3 R. S. (5th ed.) 161.

The proceeding on taking out letters of administration, is by petition of the person desiring to administer. The above-quoted sections, numbered 26 and 42, require the surrogate to examine the person applying for the letters, on oath, touching the fact of intestacy,<sup>(x)</sup> and the time, place, and manner of the death, and the value of the personal property of the intestate; but it is deemed a sufficient compliance with these sections, to embody in the petition the facts and circumstances directed by them to be inquired into.

The petition should also show jurisdiction in the surrogate, under one or the other of the clauses of the 23d section of the statute already considered, declaring to what surrogate the power of granting the administration belongs. It should, in addition, set forth that the intestate left kindred entitled to his estate, specifying the names of such kindred and their places of residence, as, in default of proof as to these, by the above section, numbered 37, a citation must issue to the attorney-general to show cause. The surrogate must take proof as to the names and residence of the kindred, in order to ascertain, also, whether the person applying is first entitled to the letters, or whether a citation must not issue. And, accordingly, the degree of relationship of such kindred should be stated in the petition, and if any of them be minors it should be so set forth, and whether such minors have a general guardian; because the guardian of one of the next of kin, a minor, by the 33d section of the statute,<sup>(y)</sup> is entitled to the administration, where the minor is otherwise entitled. Besides, there may be other persons having an equal right with the petitioner to the letters, and the surrogate is entitled to have this information respecting the next of kin, in order properly, in such a case, to exercise his discretion to whom he shall grant the letters.<sup>(z)</sup> And in such a case a majority in interest, if practicable, ought to join in the petition, or give their consent to its prayer. If the intestate has left a widow, her name and residence, and whether she is of full age or a minor, should be set forth.<sup>(a)</sup> If he has not left a widow, that fact should be stated. The prayer of the petition should be, that letters of administration of the goods, chattels, and credits of the deceased be granted to the petitioner. If there are persons having a prior right to the petitioner to letters, who have not renounced, a proper prayer for a citation ought to be included. If it be proposed to join another person with the petitioner in the administration, the prayer will be that letters may be granted to the petitioner and such person, and this will be a sufficient consent that such person be joined in the administration, to be filed with the surrogate under the above section, numbered 34. If a married woman be entitled to letters, the same are to be granted to her husband in her right and behalf, and the husband and wife ought both to join in the petition, that the evidence of the necessary facts may be more complete than it would be if the husband, a stranger, perhaps, to the deceased and to the estate, alone petitioned.

The petition must be under oath, and must be sworn to before the

<sup>(x)</sup> See *Bulkeley v. Redmond*, 2 Bradf. Surr. Rep. 281.

<sup>(y)</sup> *Supra*, p. 230.

<sup>(z)</sup> See *Taylor v. Delancey*, Caines' Cases, 149.

<sup>(a)</sup> See *Carow v. Mowatt*, 2 Edw. Ch. Rep. 57.

surrogate. [For forms of petitions, both where the petitioner is first entitled, and when there are others having a prior right, see Appendix, No. 29.] If it be necessary to take out a citation, the proper order for issuing the citation must be entered. [For form of the order and of the citation, see Appendix, No. 30.]

The person entitled to the administration may, under the 34th section of the statute heretofore recited, (b) on filing the proper consent, have another person, although the latter is not entitled, joined with him in the administration, and the privilege is not confined to him first entitled. If several having a prior right renounce, or fail to appear, on the return of the citation, to show cause, the person having the next right may doubtless, upon filing the proper consent, have a person not entitled joined with him in the administration. [For form of the consent, see Appendix, No. 31.] But a person not entitled to administration cannot be joined as administrator with one who is entitled, on the suggestion of the surrogate, and without or against the consent of the party entitled. (c) And there is no provision authorizing the surrogate to appoint a person sole administrator who is not entitled, except on the renunciation or refusal of all those having the prior right. Thus, when there are children, it is not regular to appoint a stranger sole administrator on the petition of the widow to that effect, even if the children be minors, although this has not unfrequently been done. The widow and another person may, with her consent, be joined in the administration; but her petition that such other person be alone appointed, will not authorize the granting of letters.

Where a person remotely entitled applies for letters of administration, he must produce, prove, and file with the surrogate, the written renunciation of all persons having the prior right, or, in default thereof, must cause them to be cited to show cause. [For form of renunciation and proof, see Appendix, No. 32.] It seems that, where a party entitled to the grant of administration has renounced, such renunciation may be retracted before the letters of administration have finally issued. (d) This is not a privilege, but, it seems, is rather a matter of right than discretionary. (e) Frequently a clause is inserted in the renunciation, stating that the party renounces in favor of another person, and praying the surrogate to appoint such person administrator. If such person be next entitled to the administration, the letters will, of course, issue to him; but if this be not so, he must procure the renunciation of all having the prior right. If the widow renounce or refuse, the children and next of kin, according to the twenty-seventh section of the statute, are entitled. If the widow and all the next of kin renounce or refuse, then, in the city of New York, the public administrator, and in the other parts of the state, the creditor, becomes entitled. If they all renounce, then a stranger, or, out of the city of New York, the county treasurer, may be appointed. And the relatives or next of kin who must renounce, are those only who would be entitled to succeed to the

(b) *Supra*, p. 230.

(c) *Peters v. The Public Administrator*, 1 Bradf. Surr. Rep. 200.

(d) See Wms. on Exrs. 394, and cases cited.

(e) *Casey v. Gardiner*, 4 Bradf. Surr. Rep. 13.



personal estate. Thus, if the intestate leave no widow or children, but leave a father, and brothers and sisters, the father's renunciation alone will be sufficient to let in creditors or the public administrator; because, in such a case, the father is solely entitled to the personal property of his deceased child.<sup>(e)</sup> But when the intestate leaves a widow and children, or other next of kin, the renunciation of the widow, although accompanied with a prayer that J. K., a stranger, be appointed, does not give J. K. any right to the administration. Even if the widow and all the next of kin renounce, and pray the appointment of a stranger, he does not become entitled; because the section above referred to expressly declares, that if none of the relatives or their guardians will accept the administration, then the same shall be granted to the public administrator, or to creditors, or to the county treasurer, as the case may be. It would seem obvious that the right of the public administrator, or the creditors, or the county treasurer, to be appointed administrator of the goods, &c., of the intestate, cannot be superseded by the nomination of another person to the office, by those having the prior right and refusing the trust. The rule, however, has been different, and very often administration has been granted to a stranger, or the widow alone, or the widow and the next of kin, or where there may have been no widow, the next of kin renouncing, as it is termed, in his favor, without regard to the claims of the public administrator or of creditors. It is clear, notwithstanding, that such appointments have been as irregular as though, in a case where there were a widow and children, all competent, a child had been appointed administrator without the renunciation or consent of the widow.<sup>(f)</sup>

If the person having the right to the administration be a minor, his guardian, by the thirty-third section of the statute, is entitled to the letters; and if the person applying for the letters be remotely entitled, and there are minors having no guardians possessing the prior right, such minors should be duly served with a citation to show cause; and, on the hearing of the matter, their interests should be taken care of by a special guardian, and the proceedings in respect to them should be similar to those for the protection of the rights of the minors, on an accounting by an executor or administrator before a surrogate, or on the revocation of a probate: as to which the reader is referred to the appropriate chapters of this work.<sup>(g)</sup> If the minor have a guardian, the citation must be duly served upon him. Where the appointment of a person as guardian is invalid, his subsequent appointment as administrator of his ward's father will also be erroneous, if his claim to be appointed administrator rests upon the fact that he has been appointed guardian, and is entitled to administer in the right of his ward; and letters have been issued to him, without any citation or notice to relatives having a prior right to the administration.<sup>(h)</sup>

As has been seen, a creditor cannot obtain letters of administration from the surrogate of the county of New York, without producing the

<sup>(e)</sup> See Statute of Distribution, 2 R. S. 96; 3 R. S. (5th ed.) 183; *Post*, ch. 12; *Public Administrator v. Peters*, 1 Bradf. Surr. Rep. 100.

<sup>(f)</sup> See 1 Bradf. Surr. Rep. 100.

<sup>(g)</sup> See *post*, chaps. 12 and 14.

<sup>(h)</sup> *White v. Pomeroy*, 7 Barb. Sup. Ct. Rep. 640.

renunciation not only of the widow and next of kin, but of the public administrator also, or causing them all to be cited to show cause.

The obtaining of letters of administration is not often attended with litigation. Where the character in which the petitioner applies for letters is clear or is conceded—and such is almost universally the case,—there is nothing left for investigation or dispute except the sufficiency of the proposed sureties of the administrator. The question, however, sometimes arises, as in the cases cited in the note<sup>(i)</sup> hereto, whether the petitioner actually sustains that relationship to the intestate upon which he or she bases her claim to the administration. This question although often serious and difficult, always turns upon the facts appearing in each particular case, and no principle is involved which it is within the scope of this work to consider.

The fact that the decedent died intestate must in all cases be proved before letters of administration issue; and that is ordinarily shown by establishing that no will can be found.<sup>(ii)</sup> The principal object to be attained, is the faithful and honest administration of the property which is intended to be secured by the official bond of the administrator; and those interested in the estate usually take little further concern in the matter, than to see to the ability and good standing of the sureties. The bond must be acknowledged or proved by the parties executing the same. The statutory provision is as follows:

Sec. 3. All bonds given by any executor or administrator, or any other person, which, by law, are required to be filed with the surrogate, or in the surrogate's office, of any county, shall be proved or acknowledged by the parties executing the same, as deeds are now required by law to be proved or acknowledged, before the same shall be received by the surrogate or person performing the duties of surrogate.<sup>(j)</sup>

[For form of an administrator's bond, see Appendix, No. 33.]

Where there are several administrators, the statute requiring the surrogate, upon the appointment of administrators, to take from every administrator a bond with surety, &c., is complied with by taking one joint and several bond from all the administrators, with surety.<sup>(k)</sup>

If there be contending claims for the administration, the surrogate proceeds to hear the testimony of the parties as in other cases, and thereon decides upon their relative titles to the letters. If the person seeking the administration labor under any of the disabilities specified in the 32d section of the statute above considered, his application must be denied. Whether the decision of the surrogate on the administration would be final on the distribution, if no other parties appeared than those who were parties to the proceeding for the granting of the administration, is not clear.<sup>(l)</sup> The decision of the surrogate, however, in refusing letters of administration to a relative on the ground of unfitness, and appointing another person administrator, is conclusive, unless appealed from, and cannot be examined into in a collateral pro-

(i) *Ferrie v. The Public Administrator*, 3 Bradf. Surr. Rep. 151, 249; 4 id. 28; *Tummally v. Tummally*, 3 id. 369; *Grotgen v. Grotgen*, id. 373; *Cunningham v. Burdell*, 4 id. 343.

(ii) *Bulkley v. Redmond*, 2 Bradf. Surr. Rep. 281.

(j) S. L. 1851, 332; 3 R. S. (5th ed.) 368.

(k) See *Kirby v. Turner*, Hopk. 309.

(l) *Ferrie v. The Public Administrator*, 3 Bradf. Surr. Rep. 151, 171.

ceeding.(*m*) The pecuniary responsibility of the persons offered as sureties, may be inquired into by an examination before the surrogate, at the instance of any party interested in the estate; and this is quite frequently a subject of investigation on granting administration. The surrogate, before he will approve of the bond, will, in nearly all cases, require each of the sureties to justify in the amount of its penalty.

An administrator, like an executor,(*n*) is a sworn officer. The Revised Statutes provide as follows:

Sec. 41. Before any letters of administration, with the will annexed, or in case of intestacy, shall be issued to any administrator or collector, he shall take and subscribe an oath or affirmation before the surrogate, or in case of sickness or other inability to attend the surrogate, before any officer authorized to administer oaths, that he will well, honestly, and faithfully discharge the duty of administrator or collector, as the case may be, according to law.(*o*)

By the 59th section of the law of 1837,(*p*) the oath of office of executors and administrators may be administered by the surrogate, or by any commissioner of deeds or judge of county courts.

And by chapter 173 of the Laws of 1849,(*q*) the clerk or clerks of the surrogate of the county of Kings, appointed in pursuance of that act; and by chapter 201 of the Laws of 1850,(*r*) the assistants appointed by the surrogate of the county of New York, have authority to administer this oath.(*s*)

The oath is annexed to the proceedings, and filed with the surrogate. [For form of the oath see, Appendix, No. 34.] An order for the issuing of the letters must be entered; for form of which, see Appendix, No. 35. [For form of the letters, see Appendix, No. 36.]

The letters of administration issue in the name of the people of this state, are tested in the name of the surrogate, signed by him, and sealed with his seal of office, and are recorded in a book provided for that purpose.(*t*) There is not any law or practice in this state limiting the time within which letters of administration of the goods, &c., of an intestate must be taken out after his death.

#### OF GRANTING ADMINISTRATION WITH THE WILL ANNEXED, AND ADMINISTRATION *DE BONIS NON*.

The granting of administration with the will annexed has been incidentally adverted to in the preceding pages, whilst considering the subject of administration in cases of intestacy.

The Revised Statutes provide as follows:

Sec. 14. If all the persons named in a will as executors, shall renounce, or, after summons issued and served as aforesaid,(*u*) shall neg-

(*m*) *Flinn v. Chase*, 34 Denio, 84.

(*n*) See *ante*, p. 219.

(*o*) 2 R. S. 77; 3 R. S. (5th ed.) 161. In Suffolk county, the oath may be taken before any officer authorized to administer oaths. S. L. 1833, ch. 223, p. 306.

(*p*) S. L. 1837, 534; 3 R. S. (5th ed.) 171, sec. 18.

(*q*) S. L. 1849, 235; 1 R. S. (5th ed.) 880, sec. 84; *Ante*, p. 18.

(*r*) S. L. 1850, ch. 201, p. 384; 1 R. S. (5th ed.) 894, sec. 184. (*s*) See *ante*, p. 18.

(*t*) 2 R. S. 80, secs. 55, 58; 3 R. S. (5th ed.) 167; *Ante*, pp. 27, 32; 2 R. S. 222, sec. 7; 3 R. S. (5th ed.) 365; *Ante*, pp. 27, 31, 32.

(*u*) Pursuant to secs. 9, 10, 11, 12, 2 R. S. 70, 71, (3 R. S., 5th ed., 156,) heretofore fully considered, (*ante*, pp. 220, 221,) providing that where an executor fails to qualify for thirty

lect to qualify, or shall be legally incompetent, then letters testamentary shall issue, and administration with the will annexed be granted, as if no executors were named in such will, to the residuary legatees, or some or one of them, if there be any; if there be none that will accept, then to any principal or specific legatee, if there be any; if there be none that will accept, then to the widow and next of kin of the testator, or to any creditor of the testator in the same manner, and under the like regulations and restrictions, as letters of administration in case of intestacy.(v)

The 21st section of the statute provides that if, after proceedings(w) to supersede an executor to whom letters testamentary have been granted, on the ground that he has become incompetent, or that his circumstances are precarious, such person shall neglect to give the bond required by the statute—or, if it appear that he is legally incompetent to serve as executor—the surrogate shall, by order, supersede the letters testamentary so issued to such person, whose authority and rights as an executor shall thereupon cease; and if there be no acting executor of such will, the surrogate shall grant letters of administration with the will annexed of the assets of the deceased left unadministered, as provided by the statute.(x)

In case a sole executor or administrator, or all of several executors or administrators, to whom letters testamentary or of administration shall have been granted, shall die, become lunatic, convict of an infamous offence, or otherwise become incapable of executing the trust reposed in him or them, or in case his or their power and authority shall be revoked or annulled according to law, the surrogate having authority to grant letters originally, issues letters of administration upon the goods, chattels, credits and effects of the deceased left unadministered, with the will annexed or otherwise, as the case may be, to the widow or next of kin, or creditors of the deceased, or others, in the same manner as directed in relation to original letters of administration—which administrator must give bonds in the like penalty, with like sureties and conditions as required of administrators, and has the like power and authority. And such letters supersede all former and other letters testamentary and of administration upon the same estate.(y)

The 19th section of the article of the Revised Statutes, relative to the duties of executors and administrators in taking and returning inventories,(z) provides that, if the summons to appear and return an inventory cannot be served personally, by reason of the executor or administrator absconding or concealing himself, or if, after having been committed to prison for default in not returning an inventory, such executor or administrator shall neglect for thirty days to make and return such inventory, the surrogate may thereupon issue, under his seal

days after proof of the will, he may be summoned, and, on default, be decreed by the surrogate to have renounced.

(v) 2 R. S. 71, sec. 14; 3 R. S. (5th ed.) 156.

(w) Under secs. 18, 19, 20, 2 R. S. 72, (3 R. S., 5th ed., 156–7,) hereinafter fully considered. See ch. 14.

(x) See 2 R. S. 72; 3 R. S. (5th ed.) 157, sec. 21.

(y) 2 R. S. 78; 3 R. S. (5th ed.) 162, secs. 44, 45.

(z) 2 R. S. 81; 3 R. S. (5th ed.) 168, 172; *Post*, ch. 7.

of office, a revocation of the letters testamentary, or letters of administration before granted to such executor or administrator, reciting therein the cause of such revocation; and shall grant letters of administration of the goods, chattels, and effects of the deceased unadministered, to the person entitled thereto, (other than such executor or administrator,) in the same manner as original letters of administration or letters testamentary.

Sec. 20. Such letters of administration or letters testamentary shall supersede all former letters, and shall deprive the former executor or administrator of all power, authority and control over the personal estate of the deceased; and shall entitle the person appointed by such letters, to take, demand and receive the goods and effects of the deceased, wherever the same may be found.<sup>(a)</sup>

The proceedings on obtaining letters of administration with the will annexed, or letters of administration of the goods, &c., left unadministered by a former executor or administrator, as appears by these provisions of the statute, must be similar to those on obtaining original letters of administration. The petition in the case of an application for letters of administration of the goods, &c., left unadministered by a former administrator, should contain the like statements as to jurisdiction, the death of the intestate, the value of the personal property, and the names, residences and majority or minority of the widow and next of kin, as are required in the petition for administration in the first instance, and in addition should set forth the death or removal from office of the former administrator. In the case of an application for letters of administration with the will annexed, the petition should properly aver jurisdiction, and should set forth the will, or distinctly and fully refer to the same. Where administration with the will annexed of the goods left unadministered by a former executor is applied for, *prima facie* evidence of the fact that there are such assets left unadministered, is sufficient. The general allegation in the petition for letters, that the executors named in the will died, "leaving certain property and assets" of their testator "still unadministered," followed by a statement of the value thereof, is sufficient to confer jurisdiction upon the surrogate to issue letters to the applicant.<sup>(b)</sup> The petition in a proper case should state, also, the renunciation or neglect to qualify of the persons named as executors, or the death or removal from office of the executors. If the applicant be the sole residuary legatee, it is not necessary to give any facts as to the other legatee, or as to the relatives; but if there be several residuary legatees, or the applicant be a specific or general legatee, the names and residences of the other legatees, and the other necessary facts respecting them, ought to be given; and, in general, on an application for letters of administration *de bonis non*, or with the will annexed, the names and residences, and the fact of the majority or minority of all those having an equal or prior right, and the value of the personal property ought to be set forth. [For form of petition, see Appendix, No. 37.] Those having a prior right to the administration with the will annexed, must renounce or be cited, and

(a) 2 R. S. 85; 3 R. S. (5th ed.) 171.

(b) *Pumpelly v. Tinkham*, 23 Barb. 321.



a stranger may be joined in the administration, with the consent of the person entitled, in the same manner as in cases of granting original letters of administration.

It is no objection to the appointment of an administrator with the will annexed, that, owing to the lapse of time since the deceased executors took out letters testamentary, and the operation of the statute of limitations, such appointment would confer only a barren office upon the person appointed. The surrogate, upon such an application, has no right to determine the question whether the applicant, if appointed administrator, could recover any of the alleged unadministered assets of the deceased testator, or would have any legal or equitable cause of action against the representatives or heirs, or next of kin of the deceased executors.(c)

Before letters of administration with the will annexed can be issued, the person entitled and applying must give a bond, with sureties, in the same manner as an administrator in case of intestacy. Such bond is required, as well of a residuary principal or specific legatee, as of the widow or next of kin, or creditor. The 43d section of the statute, above considered, provides that "every person appointed administrator shall, before receiving letters, execute a bond to the people of this state, with two or more competent sureties, to be approved by the surrogate," &c. The word administrator, here used, is sufficiently broad and comprehensive to embrace every kind of administrator; so that the direction requiring "every person appointed administrator" to execute a bond, of necessity includes an administrator with the will annexed.(d) And the 45th section of the statute, above-quoted, expressly requiring such bond and surety in the cases therein specified, would seem to leave no doubt on the point. Investigations relative to contending claims, to disabilities, and to the competency of the sureties, are to be conducted in the same manner as on granting original letters. A similar oath must be taken by the administrator, and a similar order for the issuing of the letters must be entered.

In the case of a foreign will, it is the usage to grant administration with the will annexed to the attorney in fact of the foreign executor. If there be no one authorized to apply as such attorney, letters issue, according to the statute, to the legatees, widow, and next of kin. The grant of administration is regulated by the law of the place where the assets are situated.(e)

The letters of administration with the will annexed, issue in the name of the people of this state, and are tested, sealed, signed and recorded the same as letters testamentary or of administration in the first instance. [For form of the letters, see Appendix, No. 38.]

Sec. 22. In all cases where letters of administration with the will annexed shall be granted, the will of the deceased shall be observed and performed; and the administrators, with such will, shall have the rights and powers, and be subject to the same duties, as if they had been named executors in such will.(f)

(c) *Pumpelly v. Tinkham*, 23 Barb. S. C. R. 321.

(d) *Ex parte Brown*, 2 Bradf. Surr. 22.

(e) *St. Jurjo v. Dunscomb and Beckwith*, 2 Bradf. Surr. Rep. 105.

(f) 2 R. S. 72; 3 R. S. (5th ed.) 157.

Letters of administration with the will annexed of the goods, &c., left unadministered by the executor, or of administration of the goods, &c., left unadministered by a former administrator, are in all respects the same as original letters in either case, except that they commit to the administrators with the will annexed, or to the new administrator, the administration of all and singular the goods, chattels and credits of the deceased left unadministered by such executor or former administrator, and recite also his death or removal from office.

In general, the term "administrator," in the statutes relative to the estates of deceased persons, includes "administrators with the will annexed;" and the latter are subject to all the provisions applicable to administrators generally, except so far as the distribution of the estate is directed by the will.(g)

## OF GRANTING LETTERS OF COLLECTION.

The statutory provision is as follows:

Sec. 38. In case of a contest relative to the proof of a will, or relative to granting letters testamentary or of administration with the will annexed, or of administration in case of intestacy, or when, by reason of absence from this state of any executor named in a will, or for any other cause, a delay is necessarily produced in granting such letters, the surrogate authorized to grant the same may, in his discretion, issue special letters of administration, authorizing the preservation and collection of the goods of the deceased.(h)

Letters of collection are seldom issued, except where there is a necessary delay in proving a will. The surrogate has unrestricted discretion, both as to issuing the letters and to whom the same shall be granted. If there be a will, they are usually granted to one or more of the executors named therein. Where there is a litigation as to the will, it is not proper or customary to appoint either of the parties litigating collector—an indifferent person will be selected.(i) The petition should set forth the cause of the delay in proving the will, and the facts which render it necessary that special letters should be issued.

The question as to whether letters of collection shall be issued, is entirely within the discretion of the surrogate, and such discretion is ordinarily exercised in authorizing the collectorship whenever a long delay appears probable in respect to the grant of administration in chief. Where an appeal is pending from a decree of a surrogate admitting a will to probate, and the issuing of letters testamentary is stayed where the necessities of the estate require it, the surrogate will appoint a collector.(j)

If, from due cause, a delay is necessarily produced in granting letters of administration, the surrogate will, on a proper application setting forth such cause and the necessity for special administration, appoint a collector. In the county of New York, in such cases, the public administrator has commonly been selected.

Before the letters are granted, the collector takes an oath or affirmation before the surrogate, or, in case of sickness or other inability to

(g) *Ex parte Brown*, 2 Bradf. Surr. Rep. 22.

(i) *Mootrie v. Hunt*, 4 Bradf. Surr. R. 173.

(h) S. L. 1837, 528; 3 R. S. (5th ed.) 160.

(j) *Mootrie v. Hunt*, 4 Bradf. Surr. R. 173.

attend the surrogate, before any officer authorized to administer oaths, that he will well, honestly, and faithfully discharge the duty of collector, according to law.<sup>(k)</sup> The clerk of the surrogate of the county of Kings, and the assistants appointed by the surrogate of the county of New York, also have authority to administer this oath.<sup>(l)</sup> The oath is filed with the surrogate.

The collector must give security, the same as an administrator. The statute provides as follows:

Sec. 43. Every collector appointed by special letters, shall execute a bond with sureties, to be approved by the surrogate, in the same penalty as in the case of an administrator, and the same proceedings shall be had to ascertain such penalty. The condition of such bond shall be, that he will make a true and perfect inventory of such of the assets of the deceased as shall come to his possession or knowledge, and return the same, within three months, to the office of the surrogate granting such letters; that he will faithfully and truly account for all property, money, and things in action, received by him as such collector, whenever required by the said surrogate, or any other court of competent authority, and will faithfully deliver up the same to the person or persons who shall be appointed executors or administrators of the deceased, or to such other person as shall be authorized to receive the same by the surrogate.<sup>(m)</sup>

An order for issuing the letters, reciting that the necessity for issuing them has been proved, should be entered. [For forms of petition, order, and collector's bond, see Appendix, No. 39.]

The letters issue in the name of the people of this state, and are tested, sealed, signed and recorded the same as letters testamentary or of administration. [For form, see Appendix, No. 40.]

Special administrations issued in pursuance of the above quoted sections of the statutes, are the only limited or temporary administrations distinctly recognized by the existing law. Administrators *durante minore ætate*, *pendente lite*, or *durante absentia*, can be appointed under these provisions only. If the person otherwise entitled to letters of administration, or to letters of administration with the will annexed, be a minor, his guardian, as has appeared, is entitled to the letters, and there is no provision limiting the trust of such an administrator to the minority of the person in whose right he has been appointed. If, therefore, the person entitled come of age before the completion of the administration, it may be doubted whether that fact alone would be a sufficient reason for removing the party from his trust. In *Curow v. Mowatt*,<sup>(u)</sup> the court say, "Whenever the right to administration devolves upon an infant, the proper course is, to grant administration to his guardian, or to some other person, *durante minore ætate*." But this case seems to have arisen before the Revised Statutes.

Administration limited to any particular object, as in respect to a bond or certain funds, or for any particular purpose, as to bring or defend a suit, is nowhere expressly authorized. An administrator

<sup>(k)</sup> 2 R. S. 77; 3 R. S. (5th ed.) 161, sec. 41. See *ante*, p. 247.

<sup>(l)</sup> S. L. 1849, 235; 1 R. S. (5th ed.) 880; S. L. 1850, 384; 1 R. S. (5th ed.) 880; *Ante*, p. 18.

<sup>(m)</sup> 2 R. S. 77; 3 R. S. (5th ed.) 161.

<sup>(n)</sup> 2 Edw. Ch. Rep. 57, 60.

once appointed, is entitled to the entire administration, and is accountable for the same. If the executor named be under age or be absent, letters of collection must be taken out, and not letters of administration with the will annexed; and in a case where the person solely entitled to the administration is a minor, letters of collection, which might be continued *durante minore ætate*, instead of proper letters of administration, may, it is presumed, be granted.

## CHAPTER V.

### OF THE CONTROL OVER THE ESTATE BEFORE PROBATE, OR ADMINISTRATION GRANTED.

THE interest of an executor in the estate of the deceased is derived exclusively from the will, and it vests in the executor from the moment of the testator's death. The probate is merely operative as the authenticated evidence, and not at all as the foundation of the executor's title. And as the executor derives all his interest from the will itself, and that interest is completely vested at the moment of the testator's death, the probate, when produced, is said to have relation to the time of the testator's death.(a)

And formerly, upon these principles, it was held that the executor, before he proved the will, might do almost all the acts which are incident to the office, except only some of those which relate to suits; and he might maintain a suit in his representative character if, when he declared, he was prepared to make profert of the letters testamentary.(b)

But now, by statute, the authority of the executor before probate is expressly limited. The Revised Statutes provide as follows:

Sec. 16. No executor named in a will shall, before letters testamentary are granted, have any power to dispose of any part of the estate of the testator, except to pay funeral charges, nor to interfere with such estate in any manner, further than is necessary for its preservation.(c)

Under this provision the executor may, if necessary, before probate, defray the funeral expenses of the deceased,(d) and cause his goods to be stored, or take any other proper measures for their safety; and his reasonable disbursements made for these purposes will be a just claim against the estate.

But the right to interfere for the purpose of preserving the estate, does not authorize suits to recover debts due to the testator. The statute provides for the case of delay in obtaining letters testamentary, and allows the appointment of a collector, who may maintain suits as administrator.(e) If the goods of the testator are taken from the execu-

(a) Wms. on Exrs. 255, and cases cited.

(b) Id. 256; Toller on Exrs. 44; *Vroom v. Van Horne*, 10 Paige, 549.

(c) 2 R. S. 71; 3 R. S. (5th ed.) 156.

(d) With respect to the payment of funeral charges, see *post*, ch. 10.

(e) See *ante*, p. 231.

tor before probate of the will, he may maintain trespass, trover, or replevin, on his own possession; and, in such a case, he is not obliged to make proof of the letters testamentary.<sup>(f)</sup> The will alone does not give the executors the right to sue—they can only acquire it by proving the will. If they are not executors at the time the suit is commenced, letters subsequently obtained will not aid them by relation. The statute has introduced a new rule by taking away the common law right to sue before probate.<sup>(g)</sup> So, a person named as executor is not authorized to sue out, and will not be protected in suing out an execution upon a judgment recovered by the testator in his lifetime against the judgment debtor, and selling the property of the debtor under such execution prior to his receiving letters testamentary.<sup>(h)</sup> Nor may the executor pay a legacy, or make advances on account of a legacy, before probate; and if he do, and afterwards take probate, and the probate be annulled, such payments or advancements will not be allowed on the settlement of his accounts.<sup>(i)</sup>

A payment of a debt due the testator to a person named as executor, who afterwards takes probate, is doubtless good. The probate would be held to relate back, and the executor, under its authority, would not be permitted to recover what he had once collected without such authority. But if a party indebted to the testator pay to one of two or more persons named as executors, and such person afterwards refuses to qualify, or is declared incompetent, the debtor would probably still remain liable for the debt to the executor or executors who might qualify. There would be great risk that a payment under such circumstances would not be protected.

Where, however, a person named as executor, before qualifying and receiving letters testamentary, collects money due the testator, his authority, after qualifying as executor, relates back, and legalizes the payment which had been previously made to him; and the money so collected is a debt against such person as executor, and such debt is, under the provisions of the statute relating to the assignment of the estates of non-resident, absconding, insolvent, or imprisoned debtors,<sup>(j)</sup> entitled to a priority of payment, by the trustees of the estates of such debtors appointed pursuant to that statute, over all other debts owing by the executor.<sup>(k)</sup> And although it has been held, as has been seen, that letters testamentary, when issued, relate back to the death of the testator, and legalize all intermediate acts of the executor, yet, this must be understood to cover those acts only which might have been done, had he been executor at the time. The rule which legalizes, by relation, the acts of a person afterwards appointed executor or administrator, applies to those cases only where money is obtained without any coercive proceedings, and where the property of the estate has been wrongfully appropriated, and not to illegal coercive acts against third persons and their property.<sup>(l)</sup>

<sup>(f)</sup> See *Valentine v. Jackson*, 9 Wend. 302.

<sup>(g)</sup> *Thomas v. Cameron*, 16 Wend. 580, 581.

<sup>(h)</sup> *Bellenger v. Ford*, 21 Barb. 312.

<sup>(i)</sup> *In the Matter of the estate of Dorothea Brinckerhoff, deceased*, Law of Surr. (1st ed.) Appendix, 3.

<sup>(j)</sup> 2 C. S. 247; 3 R. S. (5th ed.) 78, 120.

<sup>(k)</sup> *Matter of Faulkner*, 7 Hill, 81.

<sup>(l)</sup> *Bellenger v. Ford*, 21 Barb. Sup. Ct. Rep. 311.



With respect to an administrator, the rule is, that a party entitled to administration can do nothing, as administrator, before letters of administration are granted to him; inasmuch as he derives his authority, not, like an executor, from the will, but entirely from the appointment of the surrogate. He acquires his title to the property of the deceased wholly from such appointment: he has none until the letters of administration are granted, and the property of the deceased vests in him only from the time of the grant.<sup>(m)</sup>

But this proposition respecting the vesting of the administrator's interest, must be taken with some qualification, for it seems clear that for particular purposes, as well to secure the estate of the deceased, as for the protection of persons dealing with parties entitled to the administration, who afterwards assume such administration, the letters relate back to the time of the death of the intestate, and not to the time of granting them. Thus, an administrator may have an action of trespass or trover for the goods of the intestate, taken by one before the letters granted unto him, otherwise there would be no remedy for this wrong done.<sup>(n)</sup> On the other hand, if a party entitled to the administration receive payment of a debt due to the intestate, and afterwards administer, although in conjunction with another person, the letters of administration relate back, and legalize the payment;<sup>(o)</sup> and, in such a case, the administrator and his sureties would be held responsible for all the property of the intestate which might come into his hands, whether he received the same before or after the grant of the administration.

And in the case of a special administrator or collector, as in the case of an ordinary administrator, if money or property of the decedent come into his hands previously to his appointment, his obligations as collector relate back, and he will be held accountable for such moneys as collector, and in case of default his sureties will be held bound to make good such moneys to the estate, as well as moneys which come into the collector's hands subsequently to his appointment. Thus, in *Gottsberger et al., administrators v. Taylor et al.*,<sup>(p)</sup> where one collector of the estate of the decedent had been appointed, and during his collectorship the person afterwards appointed collector in his place had acted as his agent in the management of the business, and had collected moneys of the estate for him, and, at the time of his appointment as collector, on the removal of the first collector, had in his hands a considerable sum of money belonging to the estate which he had received as agent of the first collector, it was determined that his sureties in his bond, given on his appointment as collector, were liable to the personal representatives of the decedent subsequently appointed for such moneys.

The provision of the statute authorizing the surrogate to issue letters of collection, furnishes every facility for all proper interference with the estate of the deceased, before the granting of letters testamentary or of administration; and persons who were indebted to the deceased,

(m) See Wms. on Exrs. 353, 556; *Valentine v. Jackson*, 9 Wend. 302.

(n) Wms. on Exrs. 557; *Babcock v. Booth*, 2 Hill, 181; *Valentine v. Jackson*, 9 Wend. 302.

(o) *Priest v. Watkins*, 2 Hill, 225; *Vroom v. Van Horne*, 10 Paige, 549. And see *Gottsberger v. Smith*, 2 Bradf. Surr. Rep. 86.

(p) 19 N. Y. Rep. 150.

cannot exercise too much caution, before dealing with a party claiming to be his personal representative, in satisfying themselves of his authority. Although the party have the strongest presumptive title to the executorship or the administration, a debtor to the deceased is not justified in paying his debt to him. Unless such party afterwards obtain probate or administration, the debtor will not be discharged, and the executor or administrator actually appointed may compel a repayment. The rule may sometimes work an apparent hardship, but its justice is unquestionable and well settled.

If, however, the decedent was a non-resident, the courts of this state appear to have considered the probate of the will, or the grant of letters testamentary, or of administration, in the proper tribunal of the decedent's domicile, as sufficient to authorize the executor or administrator to take charge of the property here, or to receive debts due to the decedent in this state, when there is no conflicting grant here, and where it can be done without suit.<sup>(q)</sup> Although such foreign personal representative cannot, in his representative character, maintain an action in the courts of this state, yet he may discharge a debt on a voluntary payment. An executor or administrator of a creditor dying in another state, and becoming lawfully possessed, as a part of his assets, of a bond given and secured by a mortgage upon lands in this state, is competent, according to a pretty decided opinion expressed by Chancellor Kent, to receive payment, and give an acquittance for the debt, without first clothing himself with the office of an executor or administrator, under the judicial authority of this state.<sup>(r)</sup> But the adoption of such a principle is not without its difficulties, particularly in view of the claims of creditors in this state.

And where there are assets of a deceased non-inhabitant in this state, and an administrator has been appointed here, a release by an administrator appointed in the state of the domicile of the decedent of a debt due to the decedent, by a debtor residing in this state, executed subsequently to the appointment of the administrator here, will not affect the right of the latter to recover the debt in the courts of this state. And the fact that the foreign administrator made an inventory of the note in the state in which he was appointed is of no importance, unless it appears that the debt was *bona notabilia* in that state.<sup>(s)</sup>

OF THE RECOVERY OF PROPERTY OF THE DECEASED TAKEN WITHOUT AUTHORITY, AND HEREWITH OF THE RIGHT OF THE EXECUTOR OR ADMINISTRATOR TO DISAFFIRM FRAUDULENT SALES OR CONTRACTS MADE BY HIS TESTATOR OR INTESTATE.

The Revised Statutes make the following provisions respecting the recovery of the property of deceased persons, taken without authority:

Sec. 78. [Sec. 60.] Every person who shall take into his possession any of the assets of any testator, or intestate, without being thereto duly authorized as executor, administrator or collector, or without au-

(q) *Vroom v. Van Horne*, 10 Paige, 549, 556-7, and cases cited. See, also, *Brown v. Brown*, 1 Barb. Ch. Rep. 189.

(r) *Doolittle v. Lewis*, 7 John. Ch. Rep. 49. See, also, *Williams v. Storrs*, 6 John. Ch. Rep. 353; *Vroom v. Van Horne*, 10 Paige, 549; *Lawrence v. Lawrence*, 3 Barb. Ch. Rep. 71; *Stevens v. Gaylord*, 11 Mass. Rep. 256.

(s) *Chapman v. Fish*, 6 Hill, 554, and cases cited.

thority from the executor, administrator or collector, shall be liable to account for the full value of such assets to every person entitled thereto, and shall not be allowed to retain or deduct from such assets for any debt due to him.(t)

Sec. 17. No person shall be liable to an action as executor, of his own wrong, for having received, taken, or interfered with the property or effects of a deceased person; but shall be responsible as a wrongdoer in the proper action to the executors, or general or special administrators, of such deceased person, for the value of any property or effects so taken or received, and for all damages caused by his acts to the estate of the deceased.(u)

With respect to the right of an executor or administrator to disaffirm a fraudulent sale or transfer of his property, or a fraudulent contract made by his testator or intestate, it was formerly the law in this state, where the decedent had made a sale or other transfer of his goods with intent to defraud his creditors, that the personal representatives of the vendor could not set up the fraud to avoid the sale, but the remedy of the creditor was by charging the fraudulent vendee as executor *de son tort*. The above section of the Revised Statutes, numbered 17, altered the law in this particular. This statute took away the remedy which the creditor before had against the fraudulent vendee, and transferred the action to the personal representative of the vendor. The executor or administrator of the vendor could sue, or controvert the validity of the sale in any other legal form, where that course was necessary for the payment of the debts of the testator or intestate.(v) Whatever difficulty there might formerly have been in allowing an executor or administrator to set up the fraud of his testator or intestate when viewed as the mere representative of that testator or intestate, there could be none, it was considered, when he was regarded, as he was to be regarded under the Revised Statutes, in the further capacity of a trustee for creditors, and was necessarily acting for their benefit.(w)

Accordingly, in *Babcock v. Booth*,(x) under the Revised Statutes, where one having sold personal property to defraud his creditors, died in possession of it, leaving debts unpaid to the full extent of his estate, including the property thus sold, and subsequently the vendee took and converted the property to his own use; it was held that though, as between the immediate parties, the sale was valid, the administrator of the vendor might, in behalf of creditors, treat it as absolutely void, and recover against the vendee in trover. And it was considered that the same principle applied, and that the right of the administrator in this respect would have been the same, had the vendee taken and held possession during the vendor's life. But whether an administrator could avoid such sale where the property was not needed to pay debts, but was sought merely for distribution among the next of kin, was not decided.

(t) 2 R. S. 81; 3 R. S. (5th ed.) 168. An executor or administrator appointed in another state, administering the assets of his testator or intestate in this state, cannot be sued as executor *de son tort* in the courts of this state. *Vermilya v. Beatty*, 6 Barb. Sup. Ct. Rep. 429.

(u) *Id.* 449; 3 R. S. (5th ed.) 747.

(v) See *Babcock v. Booth*, per Bronson, J., 2 Hill, 185-6.

(w) See *Dox v. Backenstose*, 12 Wend. 543.

(x) *Supra*.

In 1858 the right and authority of the executor or administrator, in the case at present under consideration, became the subject of express legislative enactment. By the "Act to declare and extend the powers of executors, assignees, receivers, and other trustees, and to protect the rights of creditors and others against frauds, and for other purposes," passed April 17th, 1858,<sup>(y)</sup> it is enacted as follows :

Sec. 1. That any executor, administrator, receiver, assignee, or other trustee of an estate, or the property and effects of an insolvent estate, corporation, association, partnership or individual, may, for the benefit of creditors or others interested in the estate or property so held in trust, disaffirm, treat as void, and resist all acts done, transfers and agreements made, in fraud of the rights of any creditor, including themselves and others, interested in any estate or property held by or of right belonging to any such trustee or estate.

Sec. 2. That every person who shall, in fraud of the rights of creditors and others, have received, taken, or in any manner interfered with, the estate, property or effects of any deceased person, or insolvent corporation, association, partnership or individual, shall be liable in the proper action to the executors, administrators, receivers, or other trustees of such estate or property, for the same, or the value of any property or effects so received or taken, and for all damages caused by such acts to any such trust estate.<sup>(z)</sup>

It was without doubt the intention of the legislature by this statute to declare and extend the power and right of an executor or administrator to disaffirm, set aside, or be relieved from the fraudulent sales or transfers of his property, or the fraudulent contracts for the transfer of his property made by his testator or intestate. In view of the beneficial purpose manifestly designed to be accomplished by these sections of this act, although obscure and not truly grammatical in their language, they probably should not be the subject of comment until a case shall have arisen calling for a construction of their provisions. It is, however, proper at this time to observe, with respect to the rights and authority of an executor or administrator under this act, that his right, and the power of the court on his application, to set aside, for the benefit of creditors of the estate, the fraudulent sale, transfer, or contract of his testator or intestate, was fully established previously to the act; that therefore, so far as this act is declaratory of that right of the executor or administrator, and that power of the court, the act is essentially needless; that the act contains no provision enlarging that right or that power; and, finally, that if the act, by the use of the permissive language "may for the benefit of creditors or others interested in the estate or property so held in trust," is to be understood to enable an executor or administrator to maintain proceedings on behalf of legatees or next of kin, to disaffirm, treat as void, and resist a sale, transfer, or contract made by his testator or intestate, on the ground that it was fraudulent as to his creditors, the act is at variance with a wise and sound policy, and tends to the subversion of rules of law and equity, and principles of justice which have long been established and regarded as fundamental.

<sup>(y)</sup> S. L. 1858, ch. 314, p. 506.

<sup>(z)</sup> 3 R. S. (5th ed.) 226.

## CHAPTER VI.

OF THE INTEREST OF THE EXECUTOR OR ADMINISTRATOR IN THE ESTATE OF THE DECEASED, INCLUDING THE SUBJECT OF THE INTEREST AND RIGHTS OF THE *DONEE MORTIS CAUSA*.

THE interest which an executor or administrator has in the goods of the deceased, is very different from the absolute, proper and ordinary interest which every one has in his own proper goods: for an executor or administrator has estate as such *in autre droit* merely, viz., as the minister or dispenser of the goods of the dead.(a)

Upon this principle, in England, if the executor or administrator becomes bankrupt, with any property in his possession belonging to the testator or intestate, distinguishable from the general mass of his own property, it is not distributable under the commission of bankruptcy. The assignees cannot seize even money which specifically can be distinguished and ascertained to belong to the deceased, and not to the bankrupt himself.(b) The same rule, doubtless, applies to assignments made under bankrupt or insolvent laws in force in this state.

Again, the goods of a testator in the hands of his executor cannot be seized in execution of a judgment against the executor in his own right. So, if an executor dies indebted, leaving to his executor goods which he had as executor, these are not assets liable to the payment of his debts, but only in the hands of an executor or administrator for the payment of the first testator's. And, since no man can bequeath anything but what he has to his own use, an executor cannot, by his will, dispose of any of the goods which he had as executor to a legatee, and an administrator cannot transmit any interest in the property of the intestate to his own personal representative.(c)

But, generally speaking, an executor or administrator, in his own lifetime, may dispose of and alien the assets of the testator. He has absolute power over them for that purpose, and they cannot be followed by the creditors of the deceased.(d) This rule, however, is subject to some qualifications, which will be hereafter, in part at least, considered in the course of the remarks in this work relative to the power of executors and administrators.

With reference to the possession in *auter droit*, it has been held, that if an executor or administrator grant *omnia bona sua*, the goods of the deceased will not pass unless the grantor have no goods but as executor or administrator. So, if an executor releases all actions, suits and demands whatsoever, which he had for any cause whatever, this extends only to such as he has in his own right, and not to such as he hath as executor.(e)

After the administration is granted, the interest of the administrator in the property of the deceased is equal to, and with, the interest of an executor. Executors and administrators differ in little else than in the manner of their constitution.(f)

(a) Wms. on Exrs. 562.  
(d) Id. 569-70.

(b) Wms. on Exrs. 563.  
(e) Id. 570.

(c) Wms. on Exrs. 565, 569.  
(f) Id. 576.



The general rule is, that all goods and chattels, real and personal, go to the executor or administrator.<sup>(g)</sup> "By the laws of this realm," says Swinburne, "as the heir hath not to deal with the goods and chattels of the deceased, no more hath the executor to do with the lands, tenements and hereditaments." In other words, it may be stated that, both at law and equity, the whole personal estate of the deceased vests in the executor or administrator.<sup>(h)</sup> Executors and administrators, by statute, in this state, may obtain authority, and may be compelled to mortgage, lease, or sell the real estate of their testator or intestate, for the payment of his debts; but this is an innovation on the old law, and is not to be considered as altering or extending the established functions of the executor or administrator, except as expressly prescribed by the statute; and, of this, more fully hereafter.<sup>(i)</sup>

The personal property in which the deceased had but a joint estate or possession, will survive to his companion, and his executor or administrator will not be entitled to a moiety of it, for a survivorship holds place regularly, as well between joint tenants of goods and chattels in possession, or in right, as between joint tenants of inheritance or freehold. But the wares, merchandise, debts, or duties which joint merchants have, as joint merchants or partners, shall not survive, but shall go to the executors of the deceased; and this is *per legem mercatorum*, which is part of the laws of this state, for the advancement or continuance of commerce and trade, which is *pro bono publico*; for the rule is, that *jus accrescendi inter mercatories pro beneficio commercii locum non habet*. And this part of the *lex mercatoria* has been extended to all traders, and, as it should seem, to all persons engaged in joint undertakings in the nature of trade.<sup>(j)</sup>

The property generally which shall be deemed assets, and go to the executor or administrator, in this state, is particularly specified and described by statute. In view of the design and purposes of this work, the subject has reference, in the first instance, to the making and filing of the inventory, and the duty of the executor or administrator in respect to the same, and it is accordingly postponed until the inventory comes to be treated of. And there are various particulars relative to the estate of the executor or administrator, its quality and quantity, which would fall within the scope of a professedly more extended treatise on the law of executors and administrators, but which cannot be discussed in these pages, except incidentally to the statutory regulations governing those officers.

If there be several executors or administrators, they are regarded in the light of an individual person. They have a joint and entire interest in the effects of the testator or intestate, including chattels real, which is incapable of being divided; and in case of death, such interest shall vest in the survivor, without any new grant by the court.<sup>(k)</sup> Consequently, if one of two executors or administrators grant or release

(g) See *Patchen v. Wilson*, 4 Hill, 57.

(h) Wms. on Exrs. 576.

(i) See *post*, ch. 13.

(j) See Wms. on Exrs. 576; *Egberts v. Wood*, 3 Paige, 517, 525; *Wilder v. Keeler*, 3 Paige, 166, 172.

(k) See 2 R. S. 78, sec. 44; 3 R. S. (5th ed.) 162.

his interest in the testator's or intestate's estate to the other, nothing shall pass; because each was possessed of the whole before. So, if one of several executors release but his part of his debt, it has been held that the whole is discharged.<sup>(l)</sup>

If a man appoint several executors, they are esteemed in law but as one person representing the testator; and acts done by any one of them, which relate to the delivery, gift, sale or release of the testator's goods, are deemed the acts of all. Thus, a term of years passes by the assignment of one; and if one release a debt, it is good, and binds the rest. And thus, it was held, that one of two executors might pledge or assign a note as collateral security, for the purpose of being collected and applied to the satisfaction of a judgment obtained against the estate of the testator.<sup>(m)</sup> And even securities taken by executors, as such, may be sold or disposed of by one of them, without the others uniting in the act of transfer. Thus, where there was a power of sale to two executors, "for the more equal and easy division of the estate of the testator," and the executors sold certain real estate of the testator, and took back a bond and mortgage, for a part of the purchase money, by their names and descriptions as executors of the last will and testament of the testator; and, subsequently, one of the executors, without the assent or knowledge of his co-executor, sold and assigned the bond and mortgage, and there was no proof of fraud or collusion between the assignee and the executor—it was held, overruling a judgment of the chancellor, that the assignee acquired a valid title to the bond and mortgage.<sup>(n)</sup>

A distinction between the rights and powers of executors, where they are several, and the relative rights and powers of several administrators, is found in some books. *Comyn* does not notice it, and if it ever was established, it is now exploded. It was denied in the case of *Jacomb v. Harwood*,<sup>(o)</sup> and the Supreme Court of this state has passed upon the question, and said that executors and administrators stand on the same ground—their liabilities and responsibilities, and their rights, interests, and authority over the estate of the deceased, are the same.<sup>(oo)</sup>

Upon the principle just stated, a release of a debt by two administrators, without the concurrence of a third administrator, is good, and the dissent of the third forms no objection to its validity. And such a release of a debt, on a compromise with the debtor, executed by the administrators, though contrary to the wishes of one-third of those entitled to distribution, will not be set aside where there is no fraud or collusion shown between the debtor and the administrators.<sup>(p)</sup>

Since several executors have a joint and entire interest in all the goods of their testator, including chattels real, it follows that the act of one, in possessing himself of the effects, is the act of the others, so

(l) Wms. on Exrs. 818, and cases cited.

(m) *Wheeler v. Wheeler*, 9 Cowen, 34. See, also, *Saunders' heirs v. Saunders' executors*, 2 Litt. 315.

(n) *Bogart v. Hertell*, 4 Hill, 492; *S. C.*, 9 Paige, 52.

(o) 2 Ves. sen. 265.

(oo) *Douglass v. Satterlee*, 11 Johns. 16; *Murray v. Blatchford*, 1 Wend. 583; *Jackson v. Robinson*, 4 Wend. 436.

(p) *Murray v. Blatchford*, 1 Wend. 583.

as to entitle them to a joint interest in possession, and a joint right of action if they are afterwards taken away.(q)

Again, since several executors or administrators have a joint and entire interest in the estate in action of the deceased, it follows that they cannot maintain an action in right of the deceased, upon a contract made by the defendant jointly with one of themselves. Therefore, to an action of assumpsit by several executors, it was held a good plea in bar, that the promises were made by the defendant jointly with one of the plaintiffs; and Mr. Justice Buller said, "the promise was made jointly with one of the plaintiffs: how can he sue himself in a court of law? it is impossible to say a man can sue himself."(r)

It may here be added that all of the provisions of the Revised Statutes relative to the rights and liabilities of executors and administrators, or contained in any of the statutes of this state relative to executors and administrators, apply and extend to a single executor or administrator, or to an executrix or administratrix.(s)

An administrator *de bonis non* is entitled to all the goods and personal estate, such as terms for years, household goods, &c., which remain in specie, and where not administered by the first executor or administrator. Also, it is holden, that if an executor receives money in right of his testator, and lays it up by itself, and dies intestate, this money shall go to the administrator *de bonis non*, being as easily distinguished to be part of the testator's effects as goods in specie.(t)

If the original executor or administrator has fraudulently aliened the assets for his own use, in collusion with the vendee, such assets will be considered, at all events in equity, as unadministered, and will consequently pass as such to the administrator *de bonis non*; who, in that character, may apply to a court of equity to have the sale set aside, and to have the legal estate conveyed to him.(u)

And there are other particulars concerning the estates and interests of executors, administrators and administrators *de bonis non*, which will be treated of hereafter, in connection with the other subjects more directly within the scope and limits of this work, but which cannot, consistently with its general design, be separately considered.

It is deemed proper in this place to direct attention to the species of interest in the property of the deceased, which is created by a donation *mortis causa*.

"A *donatio mortis causa* is thus defined in the civil law, from which both the doctrine and the denomination are borrowed: '*Mortis causa donatio est, quæ propter mortis fit suspicionem; cum quis ita donat ut si quid humanitus ei contigisset, haberet is qui accepit; sin autem supervixisset is qui donavit, receperet; vel si eum donationis pœnituisset; aut prior decesserit is, cui donatum sit.*'(v)

(q) Wms. on Exrs. 819.

(r) Wms. on Exrs. 819; *Moffat v. Van Millingen*, 2 Bos. and Pull. 124, note c; *S. C.*, 2 Chitt. 539; *Fitzgerald v. Boehm*, 6 B. Moore, 332. See, also, *Rose v. Poulton*, 2 B. and Adol. 822.

(s) See 2 R. S. 117; 3 R. S. (5th ed.) 205, sec. 14 [sec. 23].

(t) Wms. on Exrs. 822.

(u) Wms. on Exrs. 824.

(v) Wms. on Exrs. 686; Inst., lib. 10, tit. 7; *Tate v. Hilbert*, 2 Ves. jun. 119; *Hedges v. Hedges*, Prec. Chanc. 269.

"From this definition it results that, to constitute a *donatio mortis causa*, there must be two attributes: 1. The gift must be with a view to the donor's death. 2. It must be conditioned to take effect only on the death of the donor, by his existing disorder. A third essential quality is required by our law, which, according to some authorities, was not necessary according to the Roman and civil law, viz.: 3. There must be a delivery of the subject of the donation.(w)

"1. The gift must be made with a view to the donor's death. If a gift be not made by the donor in peril of death, *i. e.*, with relation to his decease, by illness affecting him at the time of the gift, it cannot be supported as a donation *mortis causa*. Where it appears that the donation was made whilst the donor was ill, and only a few days or weeks before his death, it will be presumed that the gift was made in contemplation of death, and in the donor's last illness.(x)

"2. The gift must be conditioned to take effect only on the death of the donor by his existing disorder. But, although it is an essential incident to a donation *mortis causa*, that it be subject to a condition, that if the donor live the thing shall be restored to him, yet it is not necessary that the donor should expressly declare that the gift is to be accompanied by such a condition; for, if a gift be made during the donor's last illness, the law infers the condition, that the donee is to hold the donation only in case the donor die of that indisposition.(y)

"3. There must be a delivery of the subject of the donation. The general rule upon this head is, that, to substantiate the gift, there must be an *actual* tradition or delivery of the thing to the donee himself, or to some one else, for the donee's use. The possession of it must be transferred in point of fact. The purse, the ring, the jewel, or the watch, must be given into the hands of the donee, either by the donor himself, or by his order. Thus, in *Bunn v. Markham*,(z) Sir G. Clifton had written upon the parcels, containing the property in question, the names of the parties for whom they were intended, and had requested his natural son to see the property delivered to the donees. It was, therefore, manifestly his intention that it should pass to them; yet, as there was no actual delivery, the Court of Common Pleas held that it was not a valid gift.

"So, where the testator, in his last illness, executed and delivered to his sister a deed of a farm on which she and her husband resided, and at the same time said, as one witness testified, 'he had some farming tools and other personal property on the premises that was of no use to him, and never would be, and he would give it to her,' and named over some of the property; or, as another witness testified, 'there is some property on these premises that may be of some service to you, but never will be to me, as I know of; I will give it to you:' and this took place at the residence of the testator, fourteen or fifteen miles from the farm conveyed by the deed and the property in question—most of the latter being on the farm where a portion of it had been for

(w) Wms. on Exrs. 686.

(y) Id. 687.

(x) Wms. on Exrs. 687.

(z) 7 Taunt. 231; S. C., 2 Marsh. 532.

some years: it was held that the testator's sister did not acquire any title to the personal property on the farm, and that, in an action by the executors of the testator to recover possession of such personal property, they were entitled to recover.(a)

"A further requisite to give effect to the donation is, that the deceased should, at the time of the delivery, not only part with the possession, but also with the dominion over the subject of the gift.(b)

"But it is no objection that the gift was not made to the donee free from incumbrance, but charged with the performance of a particular purpose. Accordingly, it was held, in a late case,(c) that a gift may be good as a *donatio mortis causa*, although it be coupled with a trust that the donee shall provide for the funeral of the donor.

"Again, though a delivery to a third party for the donee's use may be good, yet a mere delivery to an agent, in the character of an agent *for the giver*, is not sufficient.(d)

"But there are cases where the nature of the thing will not admit of a corporeal delivery; and then, it should seem, that a delivery of the *means* of coming at the possession, or making use of the thing given, will be sufficient. Thus, the delivery of the key of a trunk has been decided to amount to the delivery of a trunk and its contents. So, the delivery of a key of a warehouse, or other place, in which goods of bulk were deposited, has been determined to be a valid delivery of the goods, for the purpose of a *donatio mortis causa*. But in these cases, it is to be observed, that the key is not to be considered in the light of a symbol in the name of the thing itself; but the delivery of it has been allowed as the delivery of the possession, because it is the *way* of coming at the possession, or to make use of the thing.(e)

"So, a bond may be a subject of a *donatio mortis causa*, because the property is considered to pass by the delivery. The same has been decided with respect to bank notes, because the property is transferred by the delivery. And, on the same principle, it should seem that all negotiable instruments which require nothing more than delivery to pass to the donee the money secured by them, may be the subjects of donations *mortis causa*. For, since it has been so adjudged of bank notes, there appears no reason why exchequer notes, or promissory notes payable to the bearer, or bills of exchange, or exchequer bills indorsed in blank, should not have the same capability: for in all those cases the property passes to the donee by delivery.(f)

"So, where the testator, in his last illness, expressed a wish to give a check for £1,000 to his wife, lest she might be in want of money before his executors could wind up his affairs, and a check for that amount was accordingly drawn and signed by the testator payable to his wife or bearer, and the testator handed the check to his wife, saying, 'I give you this for your sole use;' and she afterwards, by the desire of the testator, exchanged this check for the check for the same amount of another person, who actually drew the money on the tes-

(a) *Huntington v. Gilmore*, 16 Barb. Sup. Ct. Rep. 243.

(c) *Hills v. Hills*, 8 M. and W. 401.

(e) Wms. on Exrs. 655.

(f) Id. 655-6. See *Coutant v. Schuyler*, 1 Paige, 316.

(b) Wms. on Exrs. 690.

(d) Wms. on Exrs. 691.



tator's check in the testator's lifetime; but the wife did not receive the money on the check taken in exchange until after the testator's death: it was held that the gift to the wife was complete, and that the £1,000 did not form a part of the testator's estate.(g)

"It has been a matter of considerable discussion whether a mortgage can be the subject of a *donatio mortis causa* by delivery of the mortgage deeds; but the question may now be regarded as settled in the affirmative.(h)

"But where no property is transferred to the donee by delivery of the subject, there can be no valid *donatio mortis causa*. Thus, in *Ward v. Turner*,(i) Lord Hardwicke held that the delivery of *receipts* for South Sea annuities, was not such a delivery of annuities themselves as to support the gift of them as a *donatio mortis causa*; but he intimated that an actual transfer of the stock would have been sufficient to effectuate the intended donation. On the same ground, bills of exchange and promissory notes, *not payable to the bearer*, are incapable of being the subjects of a *donatio mortis causa*. A promissory note made by a man in his last illness, cannot operate as a *donatio mortis causa* to the payee; for it has not that reference to the death of the donor, which is essential to such a gift. The same has been decided as to a check on a banker; which is an order for the payment of money, that may take effect immediately, and in the lifetime of the donor; so that it is altogether inconsistent with the nature of a donation *mortis causa*."

But in respect to a promissory note, the Supreme Court of this state held, in *Wright v. Wright*,(j) that a promissory note, executed by a testator in his last sickness, and delivered to the payee, without consideration, but in expectation of dissolution, and intended as a gift, is valid as a *donatio causa mortis*; and that an action would lie thereon, at the suit of the payee, against the executors of the maker.

This case has since, however, been expressly overruled; and it is now the law of this state, that the executory promise of the donor, whether his promissory note or his own draft upon a third party, not accepted in favor of the donee, intended as a *donatio mortis causa*, is not valid, and the donor cannot maintain an action upon it against the donor's representatives. And it seems to be further settled, that, although any instrument, executed by the donor to the donee, operating as an assignment or transfer of the donor's funds, or of a special fund of the donor in the hands of a third person, constitutes a sufficient delivery to uphold a gift *mortis causa*; yet, a draft of the donor, not accepted, for a specific sum, upon a third party, who has in his possession funds of the donor generally, does not operate as an assignment or appropriation to the donee of the sum mentioned in the draft, and therefore is not valid as a gift *mortis causa*.(k)

Claims founded upon gifts *mortis causa* are, however, to be admitted

(g) *Brels v. Ellis*, 22 Law J. Rep. (N. S.) Chanc. 716; 17 Jur. 405, 587; 21 Eng. L. and Eq. Rep. 337.

(h) *Wms. on Exrs.* 656.

(i) 2 *Ves. sen.* 431.

(j) 1 *Cowen*, 598.

(k) *Harris v. Clark*, 3 *Comstock*, 93. See, also, *Harris v. Clark*, 2 *Barb. Sup. Ct. Rep.* 94; *Craig v. Craig*, 3 *Barb. Ch. Rep.* 106; *Wilson v. Baptist Education Society*, 10 *Barb. Sup. Ct. Rep.* 315, per *Brown, J.*; *Huntington v. Gilmore*, 16 *Barb. Sup. Ct. Rep.* 243.

with great caution; and where some doubt was thrown upon a transaction of this description, in a case in Chancery, a feigned issue was awarded.<sup>(l)</sup> The policy of the law is against the encouragement of gifts of this nature. "They are," says Mr. Justice Ruggles in *Harris v. Clark*,<sup>(m)</sup> "essentially testamentary; they are to take effect only in case of the testator's death, and they are revocable in his life. The same considerations of prudence and caution which induced the legislature to require wills of personal estate to be executed, published and attested with great formality, would seem to forbid these informal dispositions of property in expectation of death. The temptation to fraud and imposition, in regard to these gifts, is as powerful and as dangerous as in the case of wills, and yet has been left unchecked and unregulated by statute. And they ought not to be tolerated by the courts, unless they are attended by all the requisites which the common law prescribes, to give them validity."<sup>(n)</sup>

A *donatio mortis causa* differs from a legacy in these respects:—1. It need not be proved in the Surrogate's Court; for such a gift takes effect from delivery. So, the donee claims the subject of it as a gift from the donor in his lifetime, and not under a testamentary act. 2. For the reason just given, no assent, or other act on the part of the executor or administrator, is necessary to perfect the title of the donee.<sup>(o)</sup>

"A *donatio mortis causa* differs from a gift *inter vivos* in these respects, in which it resembles a legacy:—1. It is ambulatory, incomplete, and revocable during the testator's life. The revocation may either be effected by the recovery of the donor from his disorder, or by resumption of the possession of the subject. But he cannot revoke the donation by a subsequent will; for, on the death of the donor, the title of the donee becomes, by relation, complete and absolute from the time of delivery. It may, however, be satisfied by a legacy given to the donee. 2. It may be made to the wife of the donor. 3. It is liable to the debts of the testator upon deficiency of assets.<sup>(p)</sup>

"Before leaving this subject, it may be proper to observe, that, since it is a rule that no one can give evidence for himself, in general a gift *mortis causa* must be established, in equity as well as at law, by other evidence than that of the donee.<sup>(q)</sup> But where the donee is also executor or administrator, it is in some sort an exception; for, as a person in the character of an executor or administrator is examined upon oath to charge himself with receipt of assets, he may so frame his examination as to make it operate in his discharge, which necessarily enables him to prove the manner of his becoming possessed of the property delivered to him as a *donatio mortis causa*.<sup>(r)</sup>

(l) *Coutant v. Schuyler*, 1 Paige, 316.

(m) 3 Comstock, 121.

(n) See, also, *Kenney v. The Public Administrator*, 2 Bradf. Surr. Rep. 319.

(o) See Wms. on Exrs. 659.

(p) Wms. on Exrs. 659, and cases cited. See, also, *Bloomer v. Bloomer*, 2 Bradf. Surr. Rep. 340; *Merchant v. Merchant*, Id. 432; *Huntington v. Gilmore*, 16 Barb. Sup. Ct. Rep. 243. In the last named case a doubt is intimated whether a subsequent bequest of the same property to a third person does not revoke the gift *mortis causa*. But the true doctrine probably is as laid down in the text, and by the surrogate in *Merchant v. Merchant*, who declares in that case that a will does not revoke a gift *causa mortis*, because the will does not speak till the testator's death—the moment the donation, by its terms, has become absolute.

(q) See *Thorp v. Amos*, 1 Sandf. Ch. Rep. 26.

(r) Wms. on Exrs. 661.

## CHAPTER VII.

## OF THE DUTIES OF THE EXECUTOR OR ADMINISTRATOR IN RESPECT TO THE INVENTORY OF THE ASSETS WHICH GO TO THE EXECUTOR OR ADMINISTRATOR, AND OF COMPELLING THE RETURN OF AN INVENTORY.

THE proving of wills, and the appointment of executors, administrators, and special administrators, the control over the estate before the grant of probate or administration, and the interest of the executor or administrator in the goods, &c., of the deceased, having been treated of, the law prescribing the duties and powers, and regulating the conduct of executors, administrators, and collectors, in the discharge of their trusts, comes now to be considered.

It may properly be observed in this place, and may here be observed once for all, that the surrogate of the county in which letters testamentary or of administration shall have been granted, is entitled to act under the provisions of the statutes prescribing the duties of executors and administrators in taking and returning inventories, in the payment of debts and legacies, in accounting and in making distribution to next of kin, and the powers and duties of executors and administrators in relation to the sale and disposition of the real estate of their testator or intestate, in all matters relating to the estate upon which such letters were granted.(a)

The first duty of an executor, administrator, or special administrator,(b) after receiving his letters, is to make and file an inventory of the personal property of the deceased. The ancient ecclesiastical law was very strict with respect to the making of inventories, and the consequences of neglecting to make one seems to have been to prevent the executor from relying on want of assets. Even the temporal courts formerly considered the neglect of this duty in a light unfavorable to the party, especially where there was a deficiency of assets; and although not conclusive on him, yet exposing him to imputation.(c)

If the executor or administrator neglect this duty, he will render himself liable, personally, for all costs and expenses of compelling its performance. It is, besides, the part of a prudent person who sustains such an office, in every case to see that the effects are carefully appraised, and reduced into an inventory, not only because he may be summoned to produce it, but also because a distinct and accurate

(a) 2 R. S. 117; 3 R. S. (5th ed.) 205, sec. 25 [sec. 24].

(b) The special administrator or collector is expressly required to give surety that he will make and return an inventory to the surrogate within three months. See 2 R. S. 77; 3 R. S. (5th ed.) 161-2, sec. 43; *Ante*, p. 252.

(c) Wms. on Exrs. 877, and note. In *Schneider v. McFarland*, (4 Barb. Sup. Ct. Rep. 139,) which was a case arising upon a sale of real estate for the payment of debts under an order of a surrogate under the Revised Laws of 1813, Mr. Justice Wells says: "It is undoubtedly the duty of the administrator to make and file an inventory; and I think the surrogate should have refused to order the sale of the real estate, or to have instituted any proceedings for that purpose, until the administrator had done it."

knowledge of the fund is necessary, as will appear from the sequel, to direct him in the safe execution of the trust.(d)

The law requires that the inventory shall be made by the executor or administrator, with the aid of appraisers duly appointed for that purpose by the surrogate.

#### OF THE APPOINTMENT OF APPRAISERS.

The article of the Revised Statutes relating to the duties of executors and administrators, in taking and returning inventories, provides as follows:

Sec. 1. Upon the application of any executor or administrator, the surrogate who granted letters testamentary or of administration, shall, by writing, appoint two disinterested appraisers, as often as occasion may require, to estimate and appraise the property of a deceased person; and such appraisers shall be entitled to receive a reasonable compensation for their services, to be allowed by the surrogate.(e)

A verbal application for the appointment of appraisers is sufficient—it need not be in writing. They are appointed by the surrogate, and an order for their appointment is made and entered in his minutes. [For form of the order, see Appendix, No. 41.] The surrogate has the selection of the appraisers, and the reason of the statute would seem to require that he should designate these officers, in order to insure as well competency as impartiality in the discharge of their duties. The practice has been for the surrogate to appoint such competent persons as the executor or administrator might nominate.(f)

#### OF THE NOTICE OF THE APPRAISEMENT, AND THE QUALIFICATION OF THE APPRAISERS.

The statute directs as follows:

Sec. 2. The executors or administrators of any testator or intestate, within a reasonable time after qualifying, and after giving the notice in the next section required, with the aid of appraisers so appointed by the surrogate, shall make a true and perfect inventory of all the goods, chattels and credits of such testator or intestate; and, where the same shall be in different and distant places, two or more such inventories, as may be necessary.

Sec. 3. A notice of such appraisement shall be served five days previous thereto, on the legatees and next of kin, residing in the county where such property shall be; and it shall also be posted in three of the most public places of the town. In every such notice, the time and place at which such appraisement will be made shall be specified.

Sec. 4. Before proceeding to the execution of their duty, the appraisers shall take and subscribe an oath, to be inserted in the inventory made by them, before any officer authorized to administer oaths, that they will truly, honestly, and impartially appraise the personal property which shall be exhibited to them, according to the best of their knowledge and ability.(g)

(d) Toller on Exrs. 250.

(e) 2 R. S. 82; 3 R. S. (5th ed.) 168.

(f) In the court of the surrogate of the county of New York, the surrogate, in most cases, names one of the appraisers, and the executor or administrator the other.

(g) 2 R. S. 82; 3 R. S. (5th ed.) 169; S. L. 1837, 534, sec. 59; 3 R. S. (5th ed.) 171, sec. 18; S. L. 1849, 235; 1 R. S. (5th ed.) 880; S. L. 1850, ch. 201; 1 R. S. (5th ed.) 894. See *ante*, 18.

It will be observed, that the statute requires executors and administrators to exhibit inventories, as part of their duty, without any proceeding to call upon them to do so. The above second section provides that the executor or administrator shall make the inventory within a reasonable time after qualifying; and the statute afterwards, as will presently appear, requires that the inventory shall be returned by the executor or administrator to the surrogate, within three months from the date of his letters.

[For form of the notice under the above section, numbered 3, see Appendix, No. 42.]

Whether the service of this notice on the legatees and next of kin must be personal, or how otherwise, is not stated. Probably such service as is required of a citation on proving a will, is sufficient. The effect of an omission to serve or post a notice at all is not declared; and there is nothing directing the surrogate to exact any observance of this section.<sup>(h)</sup>

[For form of the appraiser's oaths, according to the above section, numbered 4, see Appendix, No. 43.]

**OF THE MANNER OF TAKING THE INVENTORY, AND OF THE PROPERTY TO BE INCLUDED THEREIN, AND TO BE DEEMED ASSETS; AND OF THE PROPERTY EXEMPT FROM APPRAISEMENT, AND TO REMAIN IN THE POSSESSION OF THE WIDOW.**

The statutes provide as follows:

Sec. 5. The appraisers shall, in the presence of such of the next of kin, legatees, or creditors of the testator or intestate as shall attend, proceed to estimate and appraise the property which shall be exhibited to them; and shall set down each article separately, with the value thereof in dollars and cents distinctly, in figures, opposite to the articles respectively.

Sec. 6. The following property shall be deemed assets, and shall go to the executors or administrators, to be applied and distributed as

<sup>(h)</sup> It is submitted that it would be multiplying formalities and expenses to very little purpose for the surrogate to appoint, as it has been suggested in a recent work on executors (Willard on Executors, p. 250) he should appoint, special guardians for infant legatees, or next of kin, to attend to their interests on the taking of the inventory. The taking of the inventory is a mere ministerial, and not a judicial proceeding—concluding no one. (See 2 R. S. 449; 3 R. S. (5th ed.) 747, secs. 14, 15; *Ames v. Downing*, 1 Bradford's Surrogate Reports, 321; *Applegate v. Cameron*, 2 Bradford's Surrogate Reports, 119; *Montgomery v. Dunning*, Id. 220.) It is in no respect like proving a will, or settling the accounts of an executor or administrator, or selling the real estate of the decedent for the payment of his debts. A guardian *ad litem* for the infants, if this designation can properly be applied where there is no suit, litigation, or hostile proceeding, would be, like the adult legatees and next of kin, a mere spectator of the performances of the executor, administrator, and appraisers. So far as any official character is concerned, his presence or absence would be immaterial. Under the public notice, any friend of the infant has the right to be present at the appraisement, and to watch the proceeding; and this is all a guardian *ad litem* for the infants, or the legatees, or next of kin, whether of full age or infants, could do in the matter.

Neither minors, nor any other person in interest living out of the county of the property, is entitled to notice of the appraisement. Whilst the statute makes express provision for the appointment of special administrators for infants in interest in other cases, any such provision is omitted in this case. The honesty and accuracy of the inventory are to be chiefly secured by the appointment of fair, impartial, and intelligent appraisers; and the notice to all the legatees or next of kin, and the public notice given, were probably deemed sufficient provisions for watchfulness over their proceedings to enable the parties in interest to inform themselves as to their integrity and correctness in the discharge of their duties.



part of the personal estate of their testator or intestate, and shall be included in the inventory thereof:

1. Leases for years; lands held by the deceased from year to year; and estates held by him for the life of another person;

2. The interest which may remain in the deceased at the time of his death, in a term for years, after the expiration of any estate for years therein, granted by him or any other person;

3. The interest in lands devised to an executor for a term of years, for the payment of debts;

4. Things annexed to the freehold, or to any building for the purpose of trade or manufacture, and not fixed into the wall of a house, so as to be essential to its support;

5. The crops growing on the land of the deceased at the time of his death;

6. Every kind of produce raised annually by labor and cultivation, excepting grass growing and fruit not gathered;

7. Rent reserved to the deceased, which had accrued at the time of his death;

8. Debts secured by mortgages, bonds, notes or bills; accounts, money and bank bills, or other circulating medium, things in action, and stock in any company, whether incorporated or not;

9. Goods, wares, merchandize, utensils, furniture, cattle, provisions, and every other species of personal property and effects, not hereinafter excepted.

Sec. 7. Things annexed to the freehold or to any building, shall not go to the executor, but shall descend with the freehold to the heirs or devisees, except such fixtures as are mentioned in the fourth subdivision of the last section.(i)

Sec. 8. The right of an heir to any property not enumerated in the preceding sixth section, which, by the common law, would descend to him, shall not be impaired by the general terms of that section.

Sec. 9. Where a man having a family shall die, leaving a widow or a minor child or children, the following articles shall not be deemed assets, but shall be included and stated in the inventory of the estate, without being appraised:

1. All spinning wheels, weaving looms, and stoves put up or kept for use by his family;

2. The family Bible, family pictures and school books used by or in the family of such deceased person; and books, not exceeding in value fifty dollars, which were kept and used as part of the family library, before the decease of such person;

3. All sheep, to the number of ten, with their fleeces, and the yarn and cloth manufactured from the same; one cow, two swine, and the pork of such swine;

4. All necessary wearing apparel, beds, bedsteads and bedding; necessary cooking utensils; the clothing of the family; the clothes of a widow, and her ornaments proper for her station; one table, six chairs, six knives and forks, six plates, six tea cups and saucers, one sugar dish, one milk pot, one tea pot, and six spoons.(j)

(i) 2 R. S. 83; 3 R. S. (5th ed.) 169.

(j) 2 R. S. 83; 3 R. S. (5th ed.) 170.

Sec. 10. The said articles shall remain in the possession of the widow, if there be one, during the time she shall live with, and provide for, such minor child or children. When she shall cease so to do, she shall be allowed to retain, as her own, her wearing apparel, her ornaments, and one bed, bedstead and the bedding for the same; and the other articles so exempted, shall then belong to such minor child or children. If there be a widow, and no such minor child, then the said articles shall belong to such widow.

Sec. 2. When a man having a family shall die, leaving a widow or minor child or children, there shall be inventoried by the appraisers, and set apart for the use of such widow, or for the use of such widow and child or children, or for the use of such child or children, in the manner now prescribed by the ninth section of title third, chapter six, of part second of the Revised Statutes, necessary household furniture, provisions or other personal property in the discretion of said appraisers, to the value of not exceeding one hundred and fifty dollars, in addition to the articles of personal property now exempt from appraisal by said section.<sup>(k)</sup>

Sec. 12. [Sec. 11.] The inventory shall contain a particular statement of all bonds, mortgages, notes and other securities for the payment of money belonging to the deceased, which are known to such executor or administrator, specifying the name of the debtor in each security; the date, the sum originally payable, the indorsements thereon, if any, with their dates, and the sum which, in the judgment of the appraisers, may be collectable on each security.<sup>(l)</sup>

Sec. 13. [Sec. 12.] The inventory shall also contain an account of all moneys, whether in specie or bank bills, or other circulating medium belonging to the deceased, which shall have come to the hands of the executor or administrator; and, if none shall have come to his hands, the fact shall be so stated in such inventory.

Sec. 14. [Sec. 13.] The naming of any person executor in a will shall not operate as a discharge or bequest of any just claim which the testator had against such executor; but such claim shall be included among the credits and effects of the deceased, in the inventory, and such executor shall be liable for the same as for so much money in his hands, at the time such debt or demand becomes due; and he shall apply and distribute the same in the payment of debts and legacies, and among the next of kin, as part of the personal estate of the deceased.

Sec. 15. [Sec. 14.] The discharge or bequest in a will, of any debt or demand of the testator against any executor named in his will, or against any other person, shall not be valid as against the creditors of the deceased; but shall be construed only as a specific bequest of such debt or demand; and the amount thereof shall be included in the inventory of the credits and effects of the deceased, and shall, if necessary, be applied in the payment of his debts; and, if not necessary for that purpose, shall be paid in the same manner and proportion as other specific legacies.<sup>(m)</sup>

<sup>(k)</sup> S. L. 1842, 194; 3 R. S. (5th ed.) 170, sec. 11.

<sup>(l)</sup> 2 R. S. 84; 3 R. S. (5th ed.) 170.

<sup>(m)</sup> 2 R. S. 84; 3 R. S. (5th ed.) 171.

The appraisers having been sworn, the executor or administrator, with their aid, on the day and at the place specified in the notice, in the presence of such of the parties as choose to attend, proceeds to make out the inventory of the property, according to the appraisers' estimate and appraisement.

The inventory exhibited by an executor or administrator ought to contain a full, true, and perfect description and estimate of all the chattels, real and personal, in possession and in action, to which the executor or administrator is entitled in that character, as distinguished from the heir, the widow, and the donee *mortis causa* of the testator or intestate. It must also distinguish such debts as are sperate from those which are doubtful or desperate;(n) and by the above section, numbered 11, the inventory must state the sum which, in the judgment of the appraisers, may be collectable on each security.

The above section, numbered 6, specifies with great particularity the property which is to be deemed assets, and go to the executor or administrator, and be included in the inventory. The meaning and objects of this section are thus explained by the revisers, in a note to their original report to the legislature. They say:—

“It seemed important to give information to executors, administrators and appraisers, of the description of property which was committed to their charge. The decisions of the courts have fluctuated in respect to some of the articles enumerated, and it is desirable that the law relating to them should be made as permanent as it can be by legislative authority.

“It has been supposed that the same legal character should be given to an article, without reference to the parties in controversy, and that, therefore, certain fixtures which are deemed chattels as between landlord and tenant, should be considered in the same light as between executor and heir. A reference to Toller's Treatise, at p. 199, and to 6 Cowen's Rep. 655, will exhibit the uncertainty of the law on this point. It ought, all events, to be definitely settled.

“For these reasons, this section has been drawn with great care, so as to enumerate those articles which are likely to occasion doubt, to settle some disputed cases, and yet to include everything which ought to be included by the use of general terms, and, at the same time, to protect the heir.”(o)

The fourth subdivision of the sixth section specifies the things which are to be considered personal property, as distinguished from fixtures.

Taken literally, this provision would strip the heir of the wheels, gearing, and all the other machinery fixed in the ordinary way to a mill or manufactory inherited by him. It is certainly contrary to the ancient common law,(p) and seems to derive very questionable countenance from more modern authority.(q) And in *House v. House*,(r) it

(n) Wms. on Exrs. 883.

(o) 3 R. and or. S. (2d. ed.) App. 639.

(p) “See 11 Vin. 167, Executor (Z), pl. 6; Amos. and Fer. on Fixt. 133, and cases there cited, on to p. 138.”

(q) *Walker v. Sherman*, 20 Wend. 636. *Squier v. Mayer* (a short note of which is given in 2 Freem. 246), goes the farthest towards this statute rule; but how very doubtful this and some other modern cases of the like tendency are, may be seen by Amos and Ferrar on Fixtures, ch. 4, sec. 2, p. 151, and cases there cited. See, also, Gibbons on Fixtures, 11, 12; 20 Wend. 654.

(r) 10 Paige, 158.

was expressly held that the water wheels, mill stones, running gear, and bolting apparatus, of a grist and flouring mill, and other fixtures of the same character, are constituent parts of the mill, and descend to the heirs at law as real property, and do not pass to the executors or administrators of the deceased owner of the mill as a part of his personal estate.(rr)

A pump and pipe, balances and scales, and a beer pump, are *prima facie* personal property, and can only descend to the heir, in consequence of being annexed to the freehold in such a manner, and under such circumstance, as to come within the above seventh section of the statute.(s)

By the 5th and 6th subdivisions of the section, although crops growing on the land of the deceased at the time of his death, and every kind of produce raised annually by labor and cultivation, are assets, and must be included in the inventory, grass growing and fruit not gathered are not assets, but descend with the land to the heir.(t)

The property in a pew in a church being indeterminate as to its duration, that is, not limited by any definite space of time, and also issuing out of realty, it is such an incorporeal hereditament as passes upon the death of the owner to his heir at law, and not to his personal representatives, and is not to be included in the inventory of his estate.(u) The estate of the tenant under a lease of land "for the term of one year and an indefinite period thereafter," passes, on his decease, to the personal representatives of the tenant, and will therefore form a part of the inventory.(v)

With respect to the above section, numbered 8, the revisers, in their note, say that it was inserted from abundant caution.(w)

In stating, in the inventory, the articles exempt from appraisement by the above 9th section, care should be taken so to describe them that they may be easily identified and separated from the other personal property. The articles set apart, pursuant to the second section of the Law of 1842 above-quoted, ought to be distinguished from the other reserved articles, and stated to be set apart by the appraisers, in the exercise of their discretion, pursuant to that statute.

The terms of this second section of the Law of 1842 do not give the appraisers a discretion, in the cases mentioned, as to whether they will or will not set apart household furniture, provisions, or other personal property to the value of not exceeding one hundred and fifty dollars, for the use of the widow and minor child or children, or widow or minor child or children of the deceased, or the amount in value of such articles or property, but merely as to the particular articles or property which they will set apart. Where the deceased had a family, and his personal property exceeds one hundred and fifty dollars over and above the property exempt under the provisions of the Revised Statutes, it is the duty of the appraisers to set apart household furniture, provisions, or other personal property fully to the value of one hundred and fifty dollars for the use of the widow, child, or children,

(rr) See, also, *Buckley v. Buckley*, 11 Barb. 43.

(s) *Hovey v. Smith*, 1 Barb. Sup. Ct. Rep. 372.

(u) See *McNabb v. Pond*, 4 Bradf. Surr. Rep. 7.

(w) 3 R. and or. S. (2d ed.) App. 639.

(t) *Kain agst. Fisher*, 2 Selden 597.

(v) See *Pugsley agst. Aikin*, 4 Kern. 494.

as the case may be, of the decedent. If they omit this duty, the surrogate may require the executor or administrator to make good to the widow, or minor child or children, or either, the property, or its value, of which she or they have thus been deprived.<sup>(x)</sup> And as the appraisers may set apart any personal property, they may in their discretion set apart the whole in money, or a portion in furniture or other articles, and a portion in money.

The act of 1842 is entitled "An act to extend the exemption of household furniture and working tools from distress for rent, and sale under execution;"<sup>(y)</sup> and the first section provides that, in addition to the articles now exempt by law from distress for rent, or levy and sale under execution, there shall be exempted from such distress, and levy and sale, necessary household furniture and working tools, and team owned by any person being a householder, or having a family for which he provides, *to the value of not exceeding one hundred and fifty dollars*. The right of the debtor, under this provision, to the exemption to the full amount of one hundred and fifty dollars, is perfectly clear. The second section then provides for a case in which property might be taken from the family of a deceased person, under circumstances of the same hardship as those for which a remedy is afforded by the first section; and property, in the discretion of the appraisers, is exempted to the value of not exceeding one hundred and fifty dollars. The same reason for the exemption, to the full amount of one hundred and fifty dollars, exists in both case; and the grammatical construction and punctuation of the section confirm this view of its meaning. There could not be any reason for vesting in the appraisers a discretion as to the amount to which the exemption should be extended. Even supposing that the exemption applies only as against creditors, the appraisers, at such an early stage of the administration, could not have the means of judging whether it ought to be allowed or not. To prevent disputes between the widow or minor children, and the executor or administrator, as to what particular articles or property should be reserved, and to protect the widow and children from imposition or fraud, the appraisers are very properly given a discretion to make the selection. Another purpose of their discretion, it may be presumed, was intended to be, that, in setting apart the exempt articles or property, no single article should be reserved which, being taken away, would render an entire lot or set, or combination, of greatly and more than proportionably diminished value: as, for instance, if the widow or children should be allowed to take one of a span of horses, or a yoke of oxen, or a single volume of a number constituting an entire work, or a fragment of a piece of furniture or machinery. But to give them a discretion to what amount, not exceeding one hundred and fifty dollars, the exemption should extend, and, consequently, whether the widow and children should be allowed anything whatever, most clearly was not the intention of the legislature in enacting this provision.

(x) See *Bliss v. Sheldon*, 7 Barb. Sup. Ct. Rep. 150; *Sheldon v. Bliss*, 4 Selden, 31; *Applegate v. Cameron*, 2 Bradf. Surr. Rep. 121.

(y) S. L. 1842, ch. 157, p. 193.



The ninth section of the Revised Statutes, as well as the second section of the Laws of 1842, provide the exemption in the case of "a man having a family," dying, leaving a widow, &c. With respect to the state of facts which shall satisfy this description, so as to authorize the exemption, it was held, where the household of the deceased consisted of his wife, a female relation whom he supported, and some five domestics, and there were no children, that he left a family within the meaning of the section of the Revised Statutes.<sup>(z)</sup> And, in a case before the surrogate of the county of New York, it was considered that a widow was entitled to the benefit of these exemptions, although at the time of her husband's death he was living separate from her and there were no children. Again, the widow and minor children of the decedent, being of his family, are entitled to the benefit of these exemptions, whether the widow be the mother or the stepmother of the children.<sup>(a)</sup>

The benefit of the exemptions provided by the statutes extend to the widow and minor children of a non-resident decedent, as well as to those of one who has died an inhabitant of this state. And the rule that the assets are to be distributed according to the *lex domicilii*, does not affect the question. That rule applies to the assets remaining after the payment of the debts. And the statute declares that the exempted articles and property shall not be assets. They do not belong to the executor or administrator, nor go into the estate to be administered, but are taken out of the estate and given to the widow and minor children. They are exempted from distribution, and the foreign law of distribution does not reach them any more than the domestic law does. Thus, where the intestate died on his passage to this country, leaving a widow and minor children in Germany, where he had been previously domiciled, and he had never gained a residence in this state, but the assets left on board of the vessel in which he died came into the possession of the public administrator of the city of New York, it was held that the statutory exemptions should be reserved out of the property for the benefit of the widow and minor children of the intestate, and, the inventory having been made and filed without setting apart the exempt articles and property, a reform of the inventory in this particular was decreed.<sup>(b)</sup>

The tenth section provides for the disposition of the exempt articles.

The articles so exempted by these sections of the statute, in favor of the family of a man who dies leaving a widow and minor children, are to remain in the widow's possession so long as she is able and willing to keep up the family circle, and provide suitably for the minor children. If the children leave the widow during their minority, contrary to her wishes, and without any fault or omission on her part, she is still entitled to the possession of the property until they arrive at full age, though they are provided for by another. It is otherwise, if it appear that they left in consequence of improper treatment or misconduct on the part of the widow. It is not enough for her to say to the children "Here is a shelter, and there is bread;" if, at the same time,

<sup>(z)</sup> *Kain v. Fisher*, 2 Selden, 597.

<sup>(a)</sup> *Scofield v. Scofield*, 6 Hill, 642.

<sup>(b)</sup> *Kapp v. The Public Administrator*, 2 Bradf. Surr. Rep. 258.

she makes them wretched by her misconduct. That is not *providing* for the children within the meaning of the statute, and they may well go out from her, and demand their portion of the property.(c)

Under the above ninth section of the Revised Statutes, and under the second section of the act of 1842, the executor or administrator is entitled to take the articles designated as exempt into his possession or custody on the death of the owner, in order that he may inventory them as directed by the statute; and the widow cannot maintain trespass against the executor for so taking such articles into his possession. And, in respect to the property given to the widow by the act of 1842, she has no right to any specific chattel under that act, until it has been inventoried and set apart for her by the appraisers. But if the executor should keep the articles from the widow for an undue time, or otherwise abuse his right, he would become a trespasser *ab initio*.(d)

If the executor or administrator file an inventory of the personal estate of the decedent, without setting off any part of the property to the widow, as exempt articles under the provisions of the Revised Statutes, or of the act of 1842, and convert into money all the articles contained in the inventory, the surrogate has the power to order them to pay to the widow a sum of money, in lieu of what she was entitled to receive under the exemption laws.(e)

A testamentary provision in favor of the wife, even where there are no creditors, will not probably bar her right to the articles and property which she is entitled to have reserved for her under these statutes. And it may be doubted whether a provision in her favor in the will of her husband, expressly declared to be in lieu of all her rights and interest in his estate, would, as in the case of a provision declared to be in lieu of dower, put her to her election, because the provision made by the exemption laws is not for the benefit of the widow alone, but is for the benefit of the minor children of the decedent and his family, as well as for the benefit of his widow.

And where(f) there was an ante-nuptial agreement, by which the proposed husband covenanted, and agreed to and with his intended wife, that if the marriage should take effect, in the event of his death before hers, he would, by his last will and testament, in writing or otherwise, give and assure unto her a certain sum yearly during her life, and also the use and occupation of certain property; and the wife agreed that such grant was to be received in lieu of dower, or any other portion of the husband's property after his decease; but he died without making such settlement or provision; it was held, that the widow was not barred of any rights which she might have asserted if no such agreement had been executed, and that she might therefore claim the exempt articles of personal property given to a widow by the statute.

The duty assigned by the statute to the appraisers is not vested in

(c) *Scofield v. Scofield*, 6 Hill, 642.

(d) *Voelckner v. Hudson*, 1 Sandf. Superior Ct. Rep. 215.

(e) *Bliss v. Sheldon*, 7 Barb. Sup. Ct. Rep. 152; *S. C.*, 4 Seld. 31. See, also, *Kapp v. The Public Administrator*, 2 Bradf. Surr. Rep. 258.

(f) 7 Barb. Sup. Ct. Rep. 152. See, also, 4 Seld. 31.

them absolutely. The discretion given to the appraisers relates to the particular property set apart—that is, they may appropriate household furniture, provisions, or other personal property, in their discretion; but, so far from having any power beyond this, they are expressly restrained by the limitation that the property so assigned shall not exceed one hundred and fifty dollars in value. They are to estimate the value, but their action is not judicial. They are officers appointed by the surrogate to estimate and appraise the property; but the estimate and appraisal, when made, are not conclusive. By the first section above-quoted from the Revised Statutes, if the appraisers should neglect to set apart any property for the widow or minor children, or if, in discharging their duty, they commit error, the surrogate has such a supervision of their proceedings that he may correct any irregularity, mistake or improper valuation—otherwise there would be no remedy; and if appraisers should be allowed to set apart property of any value, without their valuation being subject to review, it would open a wide door for fraud. Accordingly, where articles were set apart by the appraisers, valued at a sum exceeding one hundred and fifty dollars, it was held that the act was, on its face, a violation of the statute, and invalid.(g)

The above section, numbered 11, specifies certain particulars of the securities belonging to the decedent, which must be stated in the inventory. The revisers, in their note to this section, say, that it was inserted as well to insure a faithful inventory, as to protect executors, who are not generally aware that they are responsible for debts, unless returned desperate, or proved by them to be so. It will also, they add, preserve evidence of the contents of the papers, in case of their loss.(h)

Stocks, bonds, mortgages, notes, and other securities should be so described as that the appraisal shall show, on its face, that they are correctly valued, or that any discrepancy or error in the valuation may easily be perceived, and afford no ground for an imputation of anything improper or dishonest.

It may here be observed that, by the foreclosure of a mortgage, and the sale of the mortgaged premises, the interest of the owner of the equity of redemption is converted into personal estate; and if the owner subsequently die, his interest in the surplus moneys should be stated in the inventory as part of the assets of his estate.(i)

The interest of the deceased in the stock in trade, effects and credits of a firm in which he was a partner, must be included. It may be given as one item, and should be stated with all practicable accuracy. Its value may be ascertained from the books and accounts of the partnership; and the executor or administrator is entitled to all necessary information, in respect to such interest, from the surviving partner, and from all other sources.

It is only the interest of the deceased partner in the surplus, after the payment of the partnership debts, which is assets in the hands of his executor or administrator. It is not usual, therefore, to make a

(g) *Applegate v. Cameron*, 2 Bradf. Surr. Rep. 119.

(h) 3 R. and or. S. (2d ed.) App. 640.

(i) See *Bogert v. Furman*, 10 Paige, 496; *Sweeney v. Willis*, 1 Bradf. Surr. Rep. 495.

specific inventory of co-partnership assets; but it is deemed sufficient to state, generally, the co-partnership interest as an interest in an unascertained balance—the balance, when found, being the only thing in which the administrator has any individual right of property for the exclusive benefit of the estate of his intestate.(j) A qualified statement in the inventory, as to a partnership interest, is proper, and is generally the only one which can be made with due prudence.

All the personal property of the decedent should be stated in the inventory, without reference to the situation or the future destination of any of the same, or to any directions in regard thereto, made by the testator. In a case where a testatrix directed her executors to deliver certain parcels, sealed up and directed to certain persons, which were in a small iron chest, to the persons to whom they were directed, unopened, and desired those persons would not tell one another what was contained in their respective papers—the court was of opinion that if the executors should be called to an inventory, they could not give one on oath, without knowing what was contained in those parcels, and that they could not safely deliver them unopened.(k)

The court can only require that all the deceased died possessed of should be included in the inventory; it cannot call for an account of the subsequent profits in his business. Again, it is not competent for the court to require an inventory of personal estate situate in another state, or in a foreign country; for foreign estates are out of the jurisdiction and cognizance of the surrogate.(l) And further, moneys arising from the sale of real property in another state, and which the executor is under bonds to the court in that state to dispose of pursuant to the laws of that state, are not assets in the hands of the executor here.(m) But if personal property belonging to the estate situate abroad, or the avails thereof, afterwards come into this state, the executor or administrator should then file a further inventory, or account for the same.

The above 12th section requires a particular statement as to moneys left by the deceased, and the 13th and 14th sections, in this connection, relate to the insertion in the inventory of debts due to the testator by the executor, and of debts discharged by the will.

[For a form of an inventory complete, see Appendix, No. 43.]

#### OF RETURNING THE INVENTORY, AND OF THE EXECUTOR'S OR ADMINISTRATOR'S OATH TO THE SAME.

The statutory provisions are as follows:

Sec. 16. [Sec. 15.] Upon the completion of the inventory, duplicates thereof shall be made and signed by the appraisers; one of which shall be retained by the executor or administrator, and the other shall be returned to the surrogate within three months from the date of such letters.

(j) *Thomson v. Thomson*, 1 Bradf. Surr. Rep. 24, 35; *Montgomery v. Dunning*, 2 Bradf. Surr. Rep. 220.

(k) "*Pelham v. Newton*, 2 Cas. Temp. Lee, 46;" Wms. on Exrs. 344, 1298.

(l) See Wms. on Exrs. 884. See also *Sedgwick v. Ashburner*, 1 Bradf. Surr. Rep. 106.

(m) *Peck v. Mead*, 2 Wend. 470.

Sec. 17. [Sec. 16.] Upon returning such inventory, the executor or administrator shall take and subscribe an oath, before the surrogate; or if such surrogate be absent from the county, or incapable, from sickness or otherwise, of transacting business, or his office be vacant, then before a judge of county courts of such county; stating that such inventory is, in all respects, just and true—that it contains a true statement of all the personal property of the deceased which has come to the knowledge of such executor or administrator, and particularly of all money, bank bills, and other circulating medium belonging to the deceased, and of all just claims of the deceased against such executor or administrator, according to the best of his knowledge. Such oath shall be indorsed upon, or annexed to, the inventory.<sup>(n)</sup>

By the 59th section of the act of 1837, this oath may be administered by the surrogate, or by any commissioner of deeds, or judge of county courts.<sup>(o)</sup> And the clerk or clerks of the surrogate of the county of Kings,<sup>(p)</sup> and the assistants appointed by the surrogate of the county of New York,<sup>(q)</sup> also, have authority to administer this oath.

The duplicate inventory is for the convenience of the executor or administrator. It provides him with an authentic statement of all the property which has come into his hands, and is a reliable foundation for his future proceedings in the administration. The time within which the inventory must be returned is expressly limited, by the above 15th section, to three months from the date of the letters. This period, as will presently appear, may, however, for good cause, be extended by the surrogate.

The inventory must be returned under oath.

[For form of the affidavit to be indorsed upon or annexed to the papers, pursuant to the above section, numbered 16, see Appendix, No. 44.]

The appraisers sometimes annex to the inventory and duplicate a certificate, setting forth that they have duly appraised the personal estate, which they subscribe, but that is unnecessary—all that is required, and strictly all that is proper, is, that they should sign the papers.

By the above section, numbered 1, heretofore considered,<sup>(r)</sup> the appraisers are entitled to a reasonable compensation for their services, to be allowed by the surrogate. The executor or administrator usually pays them without obtaining the approval of the surrogate in the first instance, and has the bill allowed on the settlement of the estate; but he may ask the surrogate's sanction before making the payment, and this is undoubtedly the more prudent course.

#### OF THE PROCEEDINGS TO COMPEL AN EXECUTOR OR ADMINISTRATOR TO RETURN AN INVENTORY.

The following sections of the statute provide for the case of an executor or administrator neglecting or refusing to return an inventory

<sup>(n)</sup> 2 R. S. 85; 3 R. S. (5th ed.) 171.

<sup>(o)</sup> S. L. 1837, 534; 3 R. S. (5th ed.) 171. In Suffolk county, the oath may be taken before any officer authorized to administer oaths. S. L. 1833, ch. 233, p. 306.

<sup>(p)</sup> S. L. 1849, 235; 1 R. S. (5th ed.) 880; *Ante*, p. 18.

<sup>(q)</sup> S. L. 1850, ch. 201; 1 R. S. (5th ed.) 894; *Ante*, p. 18.

<sup>(r)</sup> See *ante*, p. 268.



## **256 PROCEEDINGS TO COMPEL EXECUTOR TO RETURN INVENTORY.**

within the time months above limited and prescribe the measures to be taken for compelling him to return the same and the remedy for his non-performance of this duty.

Sec. 17. If any executor or administrator shall neglect or refuse to return such inventory, within the time aforesaid, or within such further time, not exceeding four months, as the surrogate shall for reasonable cause allow; the surrogate shall issue a summons, requiring such executor or administrator, at a short day therein to be appointed, to appear before him, and return an inventory according to law, or show cause why an attachment should not be issued against him.(s)

Sec. 18. If, after personal service of such summons, such executor or administrator shall not, by the day appointed, return such inventory on oath, or obtain further time to return the same, the surrogate shall issue an attachment against him, and commit him to the common jail of the county, there to remain until he shall return such inventory.

Sec. 19. If such summons cannot be served personally, by reason of such executor or administrator absconding or concealing himself, or if, after being committed to prison, such executor or administrator shall neglect for thirty days to make and return such inventory, the surrogate may thereupon issue, under his seal of office, a revocation of the letters testamentary, or letters of administration before granted to such executor or administrator, reciting therein the cause of such revocation; and shall grant letters of administration of the goods, chattels, and effects of the deceased unadministered, to the person entitled thereto, (other than such executor or administrator,) in the same manner as original letters of administration or letters testamentary.

Sec. 20. Such letters of administration or letters testamentary shall supersede all former letters, and shall deprive the former executor or administrator of all power, authority and control over the personal estate of the deceased; and shall entitle the person appointed by such letters, to take, demand and receive the goods and effects of the deceased, wherever the same may be found.(t)

Sec. 21. In every such case of revocation, and whenever directed by the surrogate, the bond given by such former executor or administrator shall be prosecuted, and a recovery shall be had thereon to the full extent of any injury sustained by the estate of the deceased, by the acts or omissions of such executor or administrator, and to the full value of all the property of the deceased received, and not duly administered, by such executor or administrator; and the moneys collected thereon shall be deemed assets in the hands of the person to whom such subsequent letters shall have been issued.

Sec. 22. Every executor or administrator committed to prison as aforesaid, may be discharged by the surrogate or a justice of the Supreme Court, or a circuit judge, on his delivering, upon oath, all the property of the deceased under his control to such person as shall be authorized by the surrogate to receive the same.(u)

Under the above section, numbered 17, if the executor or adminis-

(s) 2 R. S. 85; 3 R. S. (5th ed.) 172.

(u) 2 R. S. 86; 3 R. S. (5th ed.) 172.

(t) 2 R. S. 85; 3 R. S. (5th ed.) 172.

trator find it impracticable to return an inventory within three months after the date of his letters, the surrogate may allow him further time, not exceeding four months, on his showing reasonable cause therefor. The application for further time must be made before the three months have expired. It is *ex parte*, and is made on a petition under oath, or an affidavit setting forth the cause. The surrogate extends the time at his discretion within the four months; and if the time be extended, an order for that purpose should be entered. [For forms of the proceedings, see Appendix, No. 45.]

The same 17th section provides for the issuing of a summons to compel a delinquent executor or administrator to return an inventory. It gives the surrogate authority to take proceedings against him of his own motion. But the exercise of this authority, in case the executor or administrator should prove contumacious, and the proceedings should extend to a revocation of his letters, would be likely to lead to a series of difficulties in settling the administration, and might, perhaps, render the surrogate liable to trouble and responsibilities which probably the duties of his office do not strictly call upon him to incur. The surrogate, therefore, never *ex officio* calls upon an executor or administrator for an inventory.(v) The delinquency must be brought to the notice of the court by third persons duly interested in the estate of the decedent.

Any person having an interest in the personal property of the deceased, may compel the executor or administrator to return an inventory. The proceeding is by petition to the surrogate. Such petition should allege the interest of the party in the personal property of the testator or intestate, and should set forth the time of the granting of the letters testamentary or of administration, and the expiration of the three months, and of any extended time which may have been granted, and should pray the issuing of a summons pursuant to the above 17th section.

In the ecclesiastical courts in England, an executor or administrator is compellable to exhibit an inventory at the prayer of any person having an interest, or even the *appearance* of an interest. Thus, the personal representative of the residuary legatee of him who was the residuary legatee of the original testator, has sufficient interest for the purpose of calling on his personal representative to exhibit an inventory. Again, it has been laid down in a variety of cases, that a probable or contingent interest will justify a party in calling for an inventory.(w)

Thus, if a creditor swears to certain sums due from the deceased to him, it is enough to entitle him to an inventory, though the debt be contested. So, where the assignees of a bankrupt make an affidavit of a debt due from the deceased to the bankrupt, the administrator was assigned to exhibit an inventory, notwithstanding the Statute of Limitations had run out since the administration was granted.(x)

So, in England, the court will compel an executor to bring in an inventory, &c., at the suit of a creditor, by a bond of the testator, not-

(v) See *Thomson v. Thomson*, 1 Bradf. Surr. Rep. 24.

(w) *Wms. on Exrs.* 878-9, and cases cited.

(x) *Id.* 879, and cases cited.

wiſtanding its alleged invalidity; and though a ſuit is actually commenced on the bond, and then depending at common law; and the court will not notice the effect of any releaſe which a legatee may have given. Nor will a court of equity reſtrain the next of kin from compelling an administrator to exhibit an inventory, on the ground that he has an equitable demand againſt the perſonal eſtate of his in-teſtate. Likewise, an executor, who is alſo reſiduary legatee, may call on his co-executor for an inventory; and ſo he may, perhaps, without any ſuch ſpecial intereſt.(y)

And in the court of the ſurrogate of the county of New York, a mere appearance of an intereſt is ſufficient to entitle a party to a ſummons for an inventory. And where the executor or administrator con-teſts the claim of the applicant, ſtill, if the petition be properly verified, the ſurrogate will require the inventory without trying the iſſue between the parties.(z)

The petition ſhould properly be under oath; and, unleſs the party complaining ſwear to the truth of the allegation of intereſt, the ſurrogate will, perhaps, reſuſe to iſſue the ſummons; becauſe, although it is the duty of the executor or administrator to return an inventory, yet he may be very honeſtly carrying on the adminiſtration without performing that duty, and the court ought not to permit him to be haraſſed by prosecutions undertaken by perſons in no way concerned in or prejudiced by his conduct. Beſides, if the petition be ſworn to, the burden of diſproving it will lie on the executor or administrator, in caſe he diſpute its allegations. [For form of the petition, ſee Appendix, No. 46.]

On filing the petition, a ſummons iſſues, an order for which muſt be duly entered. [For form of the order and ſummons, ſee Appendix, No. 47.]

Sufficient time ſhould be allowed between the iſſuing and the return day of the ſummons, to enable the executor or administrator to have appraiſers appointed, and to give the requiſite notice, and make out and complete the inventory. It ſhould be ſerved about ſeven days before the day fixed for complying with its exigency; and if ſuch has been the caſe, the ſurrogate will require very ſubſtantial reaſons for granting any further time. And he probably will not, in any caſe, extend the time, without affording the petitioner an opportunity to be heard. If the executor or administrator file an inventory, the ſame proceedings are to be had on his part, in reſpect to the appointment of appraiſers and the ſervice and poſting of notices, as in the caſe of the voluntary return of an inventory, and the inventory is to be made out in ſimilar form.

The executor or administrator may appear on the return day of the ſummons, and conteſt the petitioner's allegation of intereſt. It has been ſeen that, if the petitioner have an intereſt, or even the *appearance* of an intereſt, it is ſufficient to ſuſtain the petition. But if the executor or administrator totally refute the petitioner's allegation of intereſt, or ſhow his demand of an inventory to be vexatious, the pe-

(y) Wms. on Exrs. 879, and caſes cited.

(z) *Thomson v. Thomson*, 1 Bradf. Surr. Rep. 24.

tion will be dismissed. As, if the petitioner claim as a creditor of the of the decedent, and the Statute of Limitations has run against his claim, the surrogate may entertain the plea of the statute, and will reject the application.(a) So, if an administrator disprove kindred in a party claiming as next of kin, the surrogate will not decree an inventory. And in England, in *Boon's case*,(b) where a legacy was to be paid at three several payments, and the executor, having made two and tendered the third, was cited by the legatee to bring in an inventory, it was holden by the delegates, and also on a commission of review, that there was no need of an inventory at his instance. So, in *Fleet v. Holmes*,(c) in a suit for the recovery of a legacy, Sir G. Lee refused to decree an inventory, thinking it useless; because the executrix had, in her answers, confessed assets sufficient to cover the legacy, and the interest claimed thereon, and the costs of the suit. Again, in *Leighton v. Leighton*,(d) where an executrix, being cited to exhibit an inventory, gave in a declaration *loco inventarii*, in which she declared that the deceased, by a bill of sale in consideration of a debt due to her, granted to her by a bill of sale all the personal estate of which he should die possessed; Sir G. Lee held that the declaration was sufficient, and refused to compel an inventory.(e)

And although no statute, or rule of positive law, has fixed any time certain within which an inventory or account must be sued, still, reason and justice prescribe some limitation. Thus, in England, in a modern case,(f) it was held that the lapse of forty-five years, in conjunction with circumstances, afforded a reasonable presumption of the estate's having been fully administered; and that, therefore, the inventory and account might be dispensed with. So, where, twenty-four years after the death of the intestate, eleven years after the youngest child attained twenty-one, and seven years after his insolvency, his provisional assignee sued the administratrix for an inventory and account; and it appeared that, shortly after the intestate's death, a valuation and inventory had been made, and facts were shown from which it might be fairly presumed that the insolvent had received more than his share; the court refused the application.(g) So, in a modern case,(h) a party having, after the lapse of thirty-five years, called for an inventory and account of an insolvent estate, the executor, who appeared under protest, was dismissed with costs. And on another occasion,(i) in a case of inventory and account brought by a legatee, a declaration, (instead of an inventory,) setting forth desperate debts due to, and large debts due from the estate, but annexing no vouchers nor accounts, was held sufficient after a lapse of seventeen years: and Sir John Nicholl laid down that, in such a suit, the court cannot decide whether debts alleged to be due from the estate are a legal set-off.(j)

So, in *Thomson v. Thomson*,(k) where thirty years had elapsed since

(a) *Thomson v. Thomson*, 1 Bradf. Surr. R. 24.

(c) "2 Cas. Temp. Lee, 101."

(e) Wms. on Exrs. 880.

(g) "*Pitt v. Woodham*, 1 Hagg. 247."

(i) "*Higgins v. Higgins*, 4 Hagg. 242."

(j) Wms. on Exrs. 881, 882, and cases cited.

(b) "Sir T. Raym. 470."

(d) "2 Cas. Temp. Lee, 356."

(f) "*Ritchie v. Rees*, 1 Add. 144."

(h) "*Bowles v. Harvey*, 4 Hagg. 241."

(k) 1 Bradf. Surr. Rep. 24.

## 284 PROCEEDINGS TO COMPEL EXECUTOR TO RETURN INVENTORY.

the issuing of the letters of administration, an application for an inventory was denied, on the presumption that the estate had been properly administered.<sup>(l)</sup>

If the executor or administrator show the petitioner to be not entitled to demand an inventory, or that his suit is brought in bad faith, the court will charge the costs of the proceeding on the petitioner, because, notwithstanding the law required the inventory, it was not for him to volunteer to compel its observance. When an executor or administrator violates his duty, the court will presume that there are enough of those interested in the matter to call him to account, and will not encourage a mere intruder in asserting his liability.

If the executor or administrator fail to appear on the day appointed by the summons, and file the inventory, or obtain further time for that purpose, by the above section, numbered 18, the surrogate must, upon proof of the personal service of the summons upon him, issue an attachment against him.

By the 67th section of the act of 1837,<sup>(m)</sup> the tenth, twelfth and thirteenth sections, and sections sixteen to thirty-second, title thirteenth of chapter eighth of the third part of the Revised Statutes, inclusive, apply to attachments issued by surrogates. These sections will be found at length at a preceding page of this work, in connection with the subject of the jurisdiction and powers of surrogates. From the slightest examination of them, it is apparent that their provisions are incompatible with the 18th section, at present under consideration. The attachment against an executor or administrator for not returning an inventory, must command the sheriff to commit the delinquent to the common jail of the county, there to remain until he shall return such inventory. He is not to be brought before the court to answer, as prescribed by the above enumerated sections from the Revised Statutes, but is to be committed to jail in the first instance. He cannot be set at liberty on bail, as provided for by those sections, but must be kept in custody until he file the inventory, or obtain his discharge under the above section, numbered 22. The reason of this may be, that his not returning an inventory is a palpable violation of the law and of his duty, evident upon the records of the court, and not susceptible of explanation or excuse. Therefore, after having been formally and distinctly called upon to discharge this duty, upon his neglect or refusal there is a complete contempt, and imprisonment immediately follows. An objection which occurs to this construction, is, that the executor or administrator has no opportunity to dispute the service of the summons upon him, which is an essential preliminary to the granting of the attachment, before he is committed to jail. But the positive injunction of the statute cannot be got rid of on this ground, and the force of the objection can only apply to cause the surrogate to exact the most positive and certain proof of the due and personal service of the summons, before granting the attachment. If the surrogate have reason to believe that the executor or administrator is prevented from

<sup>(l)</sup> See, also, *Le Roy v. Bayard*, 3 Bradf. Surr. Rep. 228.

<sup>(m)</sup> S. L. 1837, 535; 3 R. S. (5th ed.) 366, sec. 20; *Ann.*, p. 34.



returning the inventory or answering the summons by sickness or accident, he may delay the issuing of the process; but on satisfactory proof being furnished of the service of the summons, in the absence of all excuses, the attachment must issue, and the delinquent must be committed to custody.<sup>(n)</sup> And there is not in the statute anything which requires or authorizes any further or other preliminary proceeding before issuing the attachment.<sup>(o)</sup>

By statute,<sup>(p)</sup> the attachment is to be in form similar to that used by the Court of Chancery, now, it is presumed, the Supreme Court in equity cases. An order for issuing the same must be entered. [For forms of the order and attachment, see Appendix, No. 48.]

The costs of the petitioner, including the fees of the surrogate, must be paid by the executor or administrator, and he is liable for the same personally, and they ought not to be allowed against the estate. And if he return an inventory, in compliance with the requisition of a summons, without further proceedings, the surrogate will grant an attachment against him if he refuses to pay the petitioner's costs.<sup>(q)</sup> The provisions of the statute expressly impose upon the executor or administrator the duty of making and returning an inventory, and the consequences of his disobedience to those provisions should charge him personally, and they ought not to be visited upon innocent parties interested in the property which he has undertaken faithfully to administer.

By the 19th section, if the executor or administrator, after being

(n) If, however, it should be considered that the sections of the Revised Statutes, enumerated in the 67th section of the Law of 1837, are paramount to the provisions of the 18th section under consideration, or that the attachment must be issued conformably to their requirements, then the attachment will command the sheriff to bring the delinquent before the surrogate to answer, and the surrogate must direct the penalty in which he shall give bond for his appearance, and on his appearance, interrogatories must be filed; and in case the offending party be adjudged guilty of the misconduct alleged, a fine must be imposed; and, inasmuch as the misconduct complained of will necessarily consist of the omission to perform an act or duty yet in his power to perform, by the 23d section, (2 R. S. 538; *Ante*, p. 9,) he must be imprisoned only until he shall have performed such act or duty, and paid the fine, and the costs and expenses of the proceedings. In this view of these provisions, if the delinquent, after such commitment, shall remain in prison for thirty days without filing the inventory, it is supposed that then the 19th and the subsequent sections of the 2d R. S. p. 85, will apply, and that thereupon his letters may be revoked.

(o) It is said, in a recent work on executors, (Willard on Executors, 264), that "it is still obvious that the defaulting executor or administrator is entitled to show cause against the order that an attachment issue." "He may show," continues the learned author, "that the party on whose motion the attachment is issued has no interest in the estate, either as creditor, legatee, or next of kin. If the estate has been settled to the satisfaction of the parties interested in it, the court will not order an inventory to gratify the idle curiosity of anybody. So, lapse of time, sufficient to raise the presumption that the estate has been fully administered, will be a sufficient answer to the application." All these things, it is submitted, the executor or administrator has the opportunity to show on the return of the summons. That process requires him to return the inventory, or show cause why an attachment should not issue against him. And the objections suggested, as they would, if established, furnish sufficient reason for not requiring from the executor or administrator an inventory, so they would constitute a complete cause for not issuing an attachment against him. A further order or notice, to enable him to set up these or any other objections, is clearly useless, and at variance with the positive and very distinctly expressed directions of the statute.

(p) 2 R. S. 222, sec. 6, subdiv. 4; 3 R. S. (5th ed.) 364; *Ante*, p. 28.

(q) The proceedings upon such an attachment will, of course, be governed by the sections of the Revised Statutes above referred to. See *ante*, p. 28. The practitioner will find no difficulty in following their directions.

## 286 PROCEEDINGS TO COMPEL EXECUTOR TO RETURN INVENTORY.

committed to prison, neglect for thirty days to return the inventory, the surrogate may thereupon issue, under his seal of office, a revocation of his letters, reciting therein the cause of such revocation. An order for the issuing of the revocation must be entered, which should set forth concisely the prior proceedings against the executor or administrator, and direct the revocation to issue. [For forms of the order and of the revocation, see Appendix, No. 49.]

By the same 19th section, if the summons cannot be served personally, by reason of the executor or administrator absconding or concealing himself, the surrogate may issue, in like manner, a revocation of the letters testamentary or of administration. The most conclusive proof should be required that the summons to return an inventory could not be served, because of the executor or administrator absconding or concealing himself, before issuing a revocation of his letters on this ground; and, although the statute does not prescribe any notice by advertisement to be given, it is submitted that the surrogate, in a case of doubt, may direct a notice to the delinquent, to be published in a newspaper, that unless he appear and return an inventory at a certain short day, his letters will be revoked. The order for issuing the revocation, and the revocation, in a case where the executor or administrator has absconded or conceals himself, should contain the proper recital of that fact. The letters having been revoked, the same section provides for the granting of letters *de bonis non*.

The 20th section prescribes the effect of the new letters of administration, or letters testamentary.

The 21st section provides, in every such case of revocation, for the prosecution of the bond given by the former executor or administrator, whenever directed by the surrogate. The surrogate's direction for the prosecution of the bond, is to be obtained on the application of the new executor or administrator. The proceeding is *ex parte*; but at least presumptive evidence should be furnished by the application, that the estate had suffered by reason of the misconduct of the former executor or administrator. There may be cases in which the filing of an inventory may be of great importance; but where the acts or omissions of the executor or administrator have not occasioned any injury to the estate, in such cases the prosecution of the bond merely for nominal damages ought not to be permitted; and this provision of the statute, requiring the surrogate's direction before prosecuting, seems to have been inserted to prevent unnecessary suits on these bonds. The surrogate's direction is given in the form of an order.

By the 22d section, an executor or administrator committed on an attachment for not returning an inventory, may be discharged, on his delivering upon oath all the property of the deceased under his control, to a person authorized by the surrogate to receive the same. This section does not require that the executor or administrator should pay the costs and expenses of the proceedings against him, in order to be discharged pursuant to its provision. The appointment of a person to receive the assets, of course supersedes the delinquent, and he is entitled to be discharged from imprisonment on simply delivering over the property. He is, notwithstanding, still liable otherwise for such costs

and expenses. Where an executor or administrator returns an inventory, after compulsory proceedings against him, and continues to retain his office, the payment of the costs and expenses may be enforced, as has been seen, by attachment; but where he allows himself to be superseded, he cannot be held in custody after he has duly given up the property of the deceased under his control.

#### OF THE EFFECT OF ONE OR MORE OF SEVERAL EXECUTORS RETURNING AN INVENTORY.

The article of the Revised Statutes relating to inventories, the different sections of which have formed the principal topics of consideration in this chapter, provides on this subject as follows:

Sec. 23. Any one or more of the executors or administrators named in any letters, on the neglect of the others, may return an inventory; and those so neglecting, shall not thereafter interfere with the administration, or have any power over the personal estate of the deceased; but the executor or administrator so returning an inventory, shall have the whole administration until the delinquent return and verify an inventory, agreeably to the provisions of this article.<sup>(r)</sup>

#### OF RETURNING FURTHER INVENTORIES.

The following section of the statute provides for the returning of further inventories:—

Sec. 24. Whenever personal property, or assets of any kind not mentioned in any inventory that shall have been made, shall come to the possession or knowledge of an executor or administrator, he shall cause the same to be appraised in manner aforesaid, and an inventory thereof to be returned within two months after the discovery thereof; and the making of such inventory and return may be enforced in the same manner as in the case of the first inventory.<sup>(s)</sup>

The proceedings on making and returning a further inventory, and on compelling the return of such an inventory, must be similar to those in respect to a first inventory, and similar forms must be used.

How far the inventory filed by the executor or administrator is evidence for or against him, will be considered at a subsequent page of this work, in connection with the payment of the debts of the deceased.<sup>(t)</sup>

In conclusion of the present subject, it is deemed proper to observe, that in the ecclesiastical courts in England, the parties who may be cited to exhibit an inventory and account, are not confined to the executor or administrator himself, or even to those who, upon the death of the executor or administrator, succeed to the representation of the original testator or intestate. Thus, in *Ritchie v. Rees*,<sup>(u)</sup> Sir John Nicholl held, that the representatives of a deceased administrator *cum testamento annexo*, although not at the same time those of the first testator, were liable to be called on for an inventory and account, upon a

<sup>(r)</sup> 2 R. S. 86; 3 R. S. (5th ed.) 173.

<sup>(s)</sup> 2 R. S. 86; 3 R. S. (5th ed.) 173.

<sup>(t)</sup> See chap. 10; *Ames v. Downing*, 1 Bradf. Surr. Rep. 321; *Montgomery v. Dunning*, 2 Bradf. Surr. Rep. 220.

<sup>(u)</sup> "1 Add. 158."

reasonable presumption being raised that any part of the effects of the first testator had travelled into their hands: (v) the learned judge was further of opinion, that a party having an interest in the effects was entitled to call upon such representatives for the inventory, without first taking a *de bonis non* grant of the effects of the first testator. So, the executors of a deceased executor, though not the personal representatives of the original testator, (there being an executor of the original testator still surviving,) are compellable to bring in an inventory of the effects of the original testator. (w)

Whether the jurisdiction of the Surrogates' Courts in this state is co-extensive with that of the ecclesiastical courts thus noticed, no case has as yet arisen to determine. It is reasonable to believe, however, that the English rule would be adopted and sustained here in a proper case.

## CHAPTER VIII.

### OF THE DUTIES AND LIABILITIES OF THE EXECUTOR OR ADMINISTRATOR IN RESPECT TO THE COLLECTION OF THE PERSONAL ESTATE OF THE DECEASED.

THE next duty of the executor or administrator is to collect all the goods and chattels of the deceased. For that purpose, the law invests him with large powers and authority. As representative of the deceased, it has been seen, he has the same property in the effects as the principal had when living; he has also the same remedies to recover them. (a) Within a convenient time after the testator's death, or the grant of administration, he has a right to enter the house descended to the heir, in order to remove the goods, (b) provided he do so without violence; as, if the door be open, or at least the key be in the door; and, although the door of entrance into the hall or parlor be open, he cannot, therefore, justify forcing the door of any chamber to take the goods contained in it; but is empowered to take those only which are in such rooms as are unlocked, or in the door of which he shall find a key. He has also a right to take deeds, and other writings relative to the personal estate, out of a chest in the house, if it be unlocked, or the key be in it; but he has no right to break open even a chest. If he cannot take possession of the effects without force, he must desist, and resort to his action. (c) On the other hand, if the executor or administrator on his part be remiss in removing the goods within a reasonable time, the heir may distrain them as damage feasant. (d)

(v) "See *Holland v. Prior*, 1 M. & K. 245, 246, 247."

(w) "*Gale v. Luttrell*, 2 Add. 234." See Wms. on Exrs. 840.

(a) "2 Black. Comm. 510."

(b) "Vid. Harg. Co. Litt. 56 b."

(c) "Off. Ex. 92, 93; 11 Vin. Abr. 267; Shep. Touch. 470."

(d) See Toller on Exrs. 250, and note.

"With respect to such personal actions as are founded upon any obligation, contract, debt, covenant, or other *duty*, the general rule has been established from the earliest times, that the right of action on which the testator or intestate might have sued in his lifetime survives his death, and is transmitted to his executor or administrator.(e) Therefore, it is clear that an executor or administrator shall have actions to recover debts of every description due to the deceased, either debts of record, as judgments, statutes or recognizances, or debts due on special contracts, as for rent; or on bonds, covenants and the like, under seal; or debts on simple contracts, as notes unsealed, and promises not in writing, either express or implied.(f)

"The executor or administrator is the only representative of a deceased that the law will regard in respect of his personalties, and no words introduced into a contract or obligation can transfer to another his exclusive rights derived from such representation. By the law, all personalties and rights to the personalties are given to the executors or administrators, as all realties and rights to realties are given to the heir, the executors or administrators being representatives of a man in respect of his personalties, in like manner as the heir in respect of the realties; therefore, if a man enters into an obligation to pay to another, or his heirs, a sum of money, his executors or administrators, and not his heirs, shall have it.(g)

"The representation of the deceased in matters of contract by his executor or administrator is so complete that, generally speaking, it is not necessary, in order to transmit to the executor or administrator a right of enforcing a contract, that he should be named in the terms of it. Thus, if money be payable to B., without naming his executor, yet his executor or administrator shall have an action for it.(h) So, if money be payable to A. or *his assigns*, his executor shall take it; for he is assignee in law.(i) But if an annuity be given to B., without saying to his executors and administrators, during the life of the testator's wife, upon condition that he be civil to the wife, and B. dies before the wife, his executor shall not have it; for it was personal to B."(k)

By the second section of the act concerning "the rights and liabilities of executors and administrators,"(l) actions of account and all other actions upon contract may be maintained by executors, in all cases in which the same might have been maintained by their testators.

By the third section of the same act, administrators shall have actions to demand and recover the debts due to their intestate, and the personal property and effects of their intestate, in the same manner as executors.

(e) "1 Saund. 216 a, n. (1) to *Wheatley v. Lane*. The right of executors to sue is extended to administrators by Stat. 31 Edw. III, sec. 1, ch. 11.

(f) "Wentw. Off. Ex. (14th ed.) 159; Com. Dig., Administration, (B,) 13; Toller, 157." Wms. on Exrs. 700, 701.

(g) *Devon v. Pawlett*, 11 Vin. Abr. 133, p. 627. See Wms. on Exrs. 665-6.

(h) "Com. Dig., Admor. (B,) 13.

(i) "*Pease v. Mead*, Hob. 9; Wentw. Off. Ex. (14th ed.) 215. See, also, *Iremonger v. Newsam*, Latch. 261; 1 Roll. Abr. 915, Executors, (X,) pl. 1.

(k) "*Neal v. Hambury*, Prec. Chan. 173. See, also, *Barford v. Stuckey*, 1 Bing. 225." Wms. on Exrs. 704.

(l) 2 R. S. 113; 3 R. S. (5th ed.) 201.



"But it was a principle of the common law, that if an injury was done either to the person or property of another, for which damages only can be recovered in satisfaction, the action died with the person to whom, or by whom, the wrong was done. Thus, where the action was founded on any nuisance or misfeasance, was a tort, or arose *ex delicto*, such as trespass for taking goods, &c., trover, false imprisonment, assault and battery, slander, deceit, diverting a water-course, obstructing lights, escape, and many other cases of the like kind, where the *declaration* imputes a tort done either to the person or the property of another, and the *plea* must be not guilty, the rule was, *actio personalis moritur cum persona*."(*m*) But this rule has received considerable alteration, and now, by statute, it is provided that executors and administrators shall have actions of trespass against any person who shall have wasted, destroyed, taken or carried away, or converted to his own use, the goods of their testator or intestate in his lifetime. They may also maintain actions for trespass committed on the real estate of the deceased in his lifetime.(*n*)

And further, that any person, or his personal representatives, shall have actions of trespass against the executor or administrator of any testator or intestate who, in his lifetime, shall have wasted, destroyed, taken or carried away, or converted to his own use, the goods or chattels of any such person, or committed any trespass on the real estate of any such person.(*o*)

By the act of the legislature, known as the Code of Procedure, passed 12th April, 1848, the forms of all actions and suits existing before the act took effect, were abolished.(*p*)

The article of the Revised Statutes relative to "suits by and against executors and administrators,"(*q*) further provides as follows:—

Sec. 1. For wrongs done to the property, rights or interest of another, for which an action might be maintained against the wrongdoer, such action may be brought by the person injured, or, after his death, by his executors or administrators, against such wrongdoer; and, after his death, against his executors or administrators, in the same manner, and with the like effect in all respects, as actions founded upon contracts.

Sec. 2. But the preceding section shall not extend to actions for slander, for libel, or to actions of assault and battery, or false imprisonment, nor to actions on the case for injuries to the person of the plaintiff, or to the person of the testator or intestate of any executor or administrator.(*r*)

So, a right of action for damages caused by a false and fraudulent

(*m*) "From a misconception or misapplication of this principle, it was formerly doubted whether *assumpsit* would lie either for or against an executor; because the action, it was said, was in form *trespass* on the case, and therefore supposed a *wrong*, and in substance was to recover damages only in satisfaction of the wrong. *Norwood v. Read*, Plowd. 180; *Pinchon's case*, 9 Co. 86 b, 89 a; *S. C.*, Cro. Jac. 294, (nom. *Legate v. Pinchion*;) *Slade v. Morley*, Yelv. 20; *Berwick v. Andrews*, 2 Ld. Raym. 974, by Powell, J.; 1 Saund. 216 a, note (1)." Wms. on Exrs. 704-5.

(*n*) 2 R. S. 114; 3 R. S. (5th ed.) 202, sec. 4.

(*o*) Id., sec. 5.

(*p*) Code, sec. 69; Howard's Code, p. 113.

(*q*) 2 R. S. 447; 3 R. S. (5th ed.) 745.

(*r*) 2 R. S. 448; 3 R. S. (5th ed.) 745.

representation of the solvency of the vendee of merchandize, will not survive to the personal representatives of the party defrauded. And an action, founded on an express or implied promise to a person, does not survive to his personal representatives, when the damages consist entirely of the personal sufferings of the deceased, whether mental or corporeal.<sup>(s)</sup>

With respect, however, to actions for injuries to the person of the testator or intestate, the "Act requiring compensation for causing death by wrongful act, neglect, or default," passed December 13, 1847,<sup>(t)</sup> as amended by the act of the 7th April, 1849,<sup>(u)</sup> provides as follows:

Sec. 1. Whenever the death of a person shall be caused by wrongful act, neglect, or default, and the act, neglect, or default is such as would (if death had not ensued) have entitled the party injured to maintain an action and recover damages in respect thereof; then, and in every such case, the person who, or the corporation which, would have been liable, if death had not ensued, shall be liable to an action for damages, notwithstanding the death of the person injured; and although the death shall have been caused under such circumstances as amount in law to felony.<sup>(v)</sup>

Sec. 2. Every such action shall be brought by and in the names of the personal representatives of such deceased person, and the amount recovered in every such action shall be for the exclusive benefit of the widow and next of kin of such deceased person, and shall be distributed to such widow and next of kin in the proportion provided by law in relation to the distribution of personal property left by persons dying intestate; and, in every such action, the jury may give such damages as they shall deem a fair and just compensation, not exceeding five thousand dollars, with reference to the pecuniary injuries resulting from such death to the wife and next of kin of such deceased person, provided that every such action shall be commenced within two years after the death of such person; but nothing herein contained shall affect any suit or proceeding heretofore commenced, and now pending in any of the courts of this state.<sup>(w)</sup>

The "Act in relation to suits brought by and against executors," passed April 2d, 1838,<sup>(x)</sup> provides as follows:—

"In actions brought by executors, it shall not be necessary to join those as parties to whom letters testamentary shall not have been issued, and who have not qualified."

By sec. 21 of the act concerning "estates for years," &c.,<sup>(y)</sup> the executors or administrators of every person to whom any rent shall have been due and unpaid at the time of his death, may have the same remedy by action or by distress, for the recovery of all such arrears, that their testator or intestate might have had if living.

By sec. 22 of the same act, when a tenant for life, who shall have

(s) *Zabriskie and others agst. Smith*, 3 Kern. 322.

(t) 2 S. L. 1847, p. 575.

(v) 3 R. S. (5th ed.) 589.

(x) S. L. 1838, ch. 149, p. 103; 3 R. S. (5th ed.) 201, sec. 2.

(y) 1 R. S. 747; 3 R. S. (5th ed.) 36, sec. 15 [sec. 21].

(u) S. L. 1849, 388.

(w) *Id.*

demised any lands, shall die on or after the day when any rent became due and payable, his executors or administrators may recover from the under-tenant the whole rent due; if he die before the day when any rent is to become due, they may recover the proportion of rent which accrued before his death.

By sec. 23 of the same act, as modified by chapter 274 of the Laws of 1846, the grantees of any demised lands, tenements, rents or hereditaments, or of the reversion thereof, the assignees of the lessor of any demise, and the heirs and personal representatives of the lessor, grantee or assignee, shall have the same remedies by entry, action, or otherwise, for the non-performance of any agreement contained in the lease so assigned, or for the recovery of any rent, or for the doing of any waste or other cause of forfeiture, as their grantor or lessor had, or might have had, if such reversion had remained in such lessor or grantor.

By sec. 24, the lessees of any lands, their assigns or personal representatives, shall have the same remedy, by action or otherwise, against the lessor, his grantees, assignees, or his or their representatives, for the breach of any covenant or agreement in such lease contained, as such lessee might have had against his immediate lessor, except covenants against incumbrances, or relating to the title or possession of the premises demised.

And, by sec. 25, the provisions of the two last sections extend as well to grants or leases in fee, reserving rents, as to leases for life and for years.

As a general rule, an executor or administrator can maintain actions on such claims only as his testator or intestate might have asserted successfully, if living; but there are cases in which he has the right, and it is his duty, to take proceedings on behalf of the estate of which he is the representative for the benefit of creditors of the decedent, which his testator or intestate, if living, would have been precluded from taking. The cases alluded to are chiefly those in which the decedent has in his lifetime made a sale or transfer of his property to hinder, delay, or defraud his creditors, or has made a contract for some disposition of his property in fraud of his creditors. In these cases, although, as between the immediate parties, the sale, transfer, or contract is valid, the executor or administrator of the decedent may, on behalf of creditors, where there has been a fraudulent sale or transfer of property, treat the sale or transfer as absolutely void, and recover against the vendee or person in possession in an action in the nature of a former action of trover, and this though the fraudulent vendee or possessor had taken and held possession during the life of the decedent;<sup>(s)</sup> and, where there has been a fraudulent contract for the disposition of his property by the decedent, such executor or administrator may repudiate the contract, and successfully resist any claim to the property, or liability under the same. So, where a person has, by means of a fraudulent assignment made by the decedent, obtained the possession of assets of his estate, it is the right and the duty of the executor or administrator, in behalf of the creditors of the deceased, to assail the assignment,

(s) *Babcock v. Booth*, 2 Hill, 181.

to contest the title of the assignee to those assets, and to take such proceedings as may be necessary to establish his right to them as the personal representative of the decedent. And if the executor or administrator collude with the fraudulent assignee, or, on being applied to for the purpose by a creditor, refuse to take proceedings to set aside the fraudulent assignment, a creditor may maintain an action against the fraudulent assignee, and the executor or administrator of the decedent, to have the assignment set aside.<sup>(zz)</sup> And the act of the Legislature of April 17, 1858,<sup>(a)</sup> entitled "An act to declare and extend the powers of executors, assignees, receivers, and other trustees, and to protect the rights of creditors and others against frauds, and for other purposes," enacting that any executor, administrator, receiver, assignee, or other trustee of an estate, or the property and effects of an insolvent estate, corporation, association, partnership or individual, may, for the benefit of creditors or others interested in the estate or property so held in trust, disaffirm, treat as void, and resist all acts done, transfers and agreements made, in fraud of the rights of any creditor, including themselves and others, interested in any estate or property held by, or of right belonging to, any such trustee or estate; and that every person who shall, in fraud of the rights of creditors and others, have received, taken, or in any manner interfered with the estate, property, or effects of any deceased person, or insolvent corporation, association, partnership, or individual, shall be liable in the proper action to the executors, administrators, receivers or other trustees of such estate or property, for the same, or the value of any property or effects so received or taken, and for all damages caused by such acts to any such trust estate, was probably intended to go farther, and to enable an executor or administrator to maintain proceedings to set aside a sale or transfer by the decedent of his property made to defraud his creditors, or to disaffirm a contract for the disposition by the decedent of his property fraudulent as to his creditors, though there are no creditors whose interests are affected by the fraud, and the proceedings will result to the advantage only of legatees or next of kin of the decedent, who stand in the shoes of the decedent himself.<sup>(b)</sup>

Where the deceased, having in his lifetime contracted for the purchase of goods, dies before the time of delivery, his executor or administrator may enforce the performance of the contract and the delivery of the goods, and he may receive the goods which, at the time of the death, are in transit to the purchaser, and the right of stoppage *in transitu* does not attach on the death of the purchaser, if his estate was solvent.<sup>(bb)</sup>

It has heretofore appeared that a foreign executor or administrator cannot sue in the courts of this state, without a grant of administration here. It may be observed in this place that, where there are assets of a deceased non-inhabitant in this state, an executor or administrator appointed in the state of the domicil of the decedent cannot, after the appointment of an administrator in this state, release a debt due to the decedent from a debtor residing in this state, or interfere with a suit

<sup>(zz)</sup> *Bate v. Graham*, 1 Kern. 237.

<sup>(b)</sup> And see *ante*, p. 257.

<sup>(a)</sup> S. L. 1858, ch. 314, p. 506; 3 R. S. (5th ed.) 225.

<sup>(bb)</sup> *Mactier v. Frith*, 6 Wend. 124-5.

commenced in a court of this state to recover such debt by the domestic administrator.(c)

Suits at law may be maintained by executors or administrators, as such, on promissory notes, &c., made to them in their representative capacity, where the fund sought to be recovered will be assets; and counts on such notes may, it seems, be joined with counts on promises to the testator or intestate.(d)

Administrators may sue in their own right for causes of action accruing to them after the death of their intestate.(e) Goods and chattels, on the death of the owner, vest in his personal representative; and, if they be afterwards tortiously taken or wrongfully converted, he may sue for them in his own name, without describing himself as executor or administrator. But it is otherwise where the executor or administrator sues on a contract made with the testator or intestate. In such case, unless the contract be a promissory note payable to bearer, the action must be prosecuted by the representative, as such; and this though the time for payment or performance had not arrived when the testator or intestate died.(f)

When a contract is made with an executor or administrator personally, after the death of the testator or intestate, or where money is received by the person sued, after such death, the executor or administrator may sue either in his own name, or as executor or administrator.(g)

Under the Code, where the plaintiff sues as executor or administrator, it is not necessary to make proof of letters testamentary or of administration. The allegation of the fact, that the plaintiff is such executor or administrator, is sufficient.(h)

The authority thus vested in the executor or administrator is for the benefit of the estate; and it is incumbent on him to avail himself of his powers, with reasonable diligence, in the discovery and collection of the effects of the deceased. Therefore if, by unduly delaying to bring an action, the executor or administrator has enabled a creditor of the deceased to avail himself of the Statute of Limitations, the executor or administrator will be personally liable.(i)

In *Schultz v. Pulver*,(j) it was held that, if debts collectable are not collected within a reasonable time after the granting of letters testamentary or of administration, the executor or administrator is person-

(c) *Chapman v. Fish*, 6 Hill, 554.

(d) *Fry v. Evans*, 8 Wend. 530; *Bogert v. Hertell*, 4 Hill, 492.

(e) *Mercein v. Smith*, 2 Hill, 210. The child or next of kin of the intestate is not a party for whose immediate benefit the action is prosecuted within the meaning of section 309 of the Code of Procedure, and is therefore a competent witness for the administrator in an action brought by the latter to recover a demand claimed to be due the estate. *Butler agst. Patterson*, 3 Kernan, 292.

(f) *Patchen v. Wilson*, 4 Hill, 57.

(g) *Merritt v. Seaman*, 6 Barb. Sup. Ct. Rep. 330.

(h) *Welles, executor, &c., agst. Webster*, 9 Howard's Prac. Rep. 251. With respect to the defence that the plaintiff is not administrator, see *Flinn v. Chase*, 4 Denio, 85. An averment that a court of another state had power to revoke letters of administration granted in this, and did so revoke them, is one which the law pronounces false. *Chapman v. Fish*, 6 Hill, 554.

(i) "*Hayward v. Kinsey*, 12 Mod. 573;" Wms. on Exrs. 889. See, also, *Darel v. Eden*, 3 Dessaus. 245.

(j) 3 Paige's Ch. Rep. 182; *S. C.*, on appeal, 11 Wend. 361.



ally responsible for the amount of such debts to creditors, or to those entitled to the proceeds of the estate, in the order of distribution, although the debts have not been lost by the delay, and no improper motives are imputable to the executor or administrator; and that an administrator here is bound to take measures for the collection of a demand due the estate he represents, from a debtor residing in another state, either by obtaining himself, or employing an agent there to obtain letters of administration, and instituting proceedings by virtue thereof.

That case was an appeal from a decree of a surrogate, and the circumstances were as follows:—In December, 1828, the appellant was cited to account before the surrogate of Columbia, as the administrator of the estate of his father, who died about the first of January, 1823.

He appeared and accounted. The only matter in controversy related to two sealed notes given to the decedent by one A. Feltz, a son-in-law of the decedent, for which the administrator contended he was not liable to account. One note was for \$600, payable on demand, with interest from the 27th February, 1819; the other for \$50, payable in like manner, with interest from the 11th June, 1821. These notes were not specified in the inventory of the decedent's estate, which was taken the 9th June, 1823; but, at the foot thereof, the administrator made and signed a memorandum to the effect that the decedent held a note against A. Feltz, as he understood and believed, for \$650, which he had not been able to find. The widow of the decedent survived him. She died the 28th March, 1826, and after her death the notes in question were found in a trunk which had belonged to her. Feltz resided in Pennsylvania, where he had a farm of three hundred acres, stocked with sheep, &c., and it was conceded before the surrogate that he was abundantly able to pay the notes in question. He and his wife attended the funeral of his mother-in-law, from her late residence in this state, and remained in the neighborhood for several days. The notes remained unpaid, and the administrator contended before the surrogate that he was under no obligation to follow Feltz into Pennsylvania to collect the notes, and that common decency forbade a prosecution against him upon the occasion of his being in this state. He also offered the notes to the respondents, who refused to accept them. The surrogate held the administrator responsible for the amount of the notes, with the interest thereof, and accordingly made a decree, directing him to pay to the respondents their several distributive shares, and ordered him to pay the costs of the proceeding.

The administrator appealed to the chancellor, who affirmed the decree of the surrogate, except as to the costs. Whereupon the administrator appealed to the Court of Errors, where the decree of the chancellor was affirmed; and Mr. Justice Nelson, in delivering the opinion of the majority of the court, urges the duty and liability of executors and administrators, in respect to the collection of the assets, in terms of great strictness and severity. After showing that it was clearly practicable for the administrator to have sued and collected the notes in question in the state of Pennsylvania, he says:—

“The notes are not negotiable, being sealed instruments, and there was a subscribing witness to their execution; and, though lost, there

could have been no great embarrassment in enforcing the collection.<sup>(k)</sup> But yielding, for the sake of argument, that the administrator was not bound to take any steps in the matter during the three years, and until the notes were found; after that, he should have immediately attended to the collection. Two years and a-half more elapsed prior to the institution of this proceeding against him; during all which time he took no measures on the subject. The utter remissness of duty, and disregard of the interest of the estate, in this particular, are calculated to excite a suspicion of collusion between him and Feltz. No prudent man would have thus neglected his own concerns, and less diligence and attention can in no instance be indulged in an administrator; the course of the decisions would seem to exact a greater activity and devotion in the execution of their trust.<sup>(l)</sup>

"The general rule is, that all debts in the inventory, not designated as *desperate*, shall be accounted assets in the hands of the executor or administrator; and, in order to escape such accountability, he must show that they are desperate, or at least must show a demand and refusal.<sup>(m)</sup> The notes in this case are inventoried as a part of the assets, with the remark that they could not be found. That explanation, after March, 1826, was no longer applicable to them. In the case of *Lawson v. Copeland*,<sup>(n)</sup> an executor was charged with a bond debt, for neglecting to take legal steps to collect it; in consequence of which, it was lost. So, in *Powell v. Evans*,<sup>(o)</sup> he was charged for neglecting to call in money lent out by the testator on personal security, and the debtor became insolvent. In *Caffrey v. Darley*,<sup>(p)</sup> trustees were charged with a loss occasioned by their negligence in not collecting £800, payable to them by annual instalments, for their *cestui que trust*. In this case, it was conceded by the counsel and court that the trustees were not influenced by any impure motives. The Master of the Rolls says, it would be very dangerous, though no fraud could be imputed to the trustees, and no kind of interest or benefit to themselves was looked to, to lay down this principle; that trustees might, without any responsibility, act as these did: in eight years, within which time the whole money ought to have been paid, receiving only £250, and taking no steps as to the remainder. It would be an encouragement to bad motives, and they cannot always be detected. Now, if in all these cases the executor or trustee was chargeable for debts through negligence of duty in the collection of them, and in cases, too, where there was no hope of reimbursement, as the debtors were insolvent, it seems to me we should not hesitate as to the conclusion in this case, marked at least with equal neglect of duty; and where reimbursement is certain, we should hold the appellant personally responsible for the debt, and thereby add, to the motive of duty as administrator, that of personal interest in his movements hereafter on the subject. Though I should regret to charge an administrator who has acted in good faith, and intended fairly and fully to discharge his duty—nor will the law authorize us to do so, if these intentions have been directed by a rea-

(k) 3 T. R. 153, and n. c.; 3 Cow. 303.

(m) 1 Salk. 296; Bul. N. P. 140; 3 Bac. 47.

(o) 5 Vesey, 839.

(l) 4 Johns Ch. R. 284, and cases cited.

(n) Brown's C. C. 156.

(p) 6 Ves. 487.

sonable judgment in the matter—yet, it should be known that, if the property is wasted through their carelessness and want of proper attention, or if the debts are not collected within a reasonable time after letters testamentary or of administration, either by personal application or suit, which by such means may have been collected, whether the debts have been lost by such delay or not, or whether their motives may have been pure or not, the law holds them personally responsible to the creditors and distributees. There is nothing hard or unjust in this principle. It is only exacting of these representatives that diligence and attention to the business of others, voluntarily assumed upon themselves, which they should, and which every discreet man would, bestow upon his own.”

OF CONTINUING ACTIONS BROUGHT BY THE DECEASED IN HIS LIFETIME,  
AND OF THE LIMITATION OF ACTIONS IN FAVOR OF THE ESTATES  
OF DECEASED PERSONS.

The Code of Procedure provides as follows:—

Sec. 102. If a person entitled to bring an action die before the expiration of the time limited for the commencement thereof, and the cause of action survive, an action may be commenced by his representatives, after the expiration of that time, and within one year from his death.

Sec. 104. If an action shall be commenced within the time prescribed therefor, and a judgment therein for the plaintiff be reversed on appeal, the plaintiff, or, if he die and the cause of action survive, his heirs or representatives may commence a new action within one year after the reversal.(q)

Sec. 121. No action shall abate by the death, marriage, or other disability of a party, or by the transfer of any interest therein, if the cause of action survive or continue. In case of death, marriage, or other disability of a party, the court, on motion, at any time within one year thereafter, or afterwards on a supplemental complaint, may allow the action to be continued by or against his representative or successor in interest.(r)

The administrator of a deceased plaintiff may have leave to continue the action, if the pleadings show a cause of action which survives, without reference to any matters of defence set up by the defendant. Thus, where the defendant read affidavits, showing that the original plaintiff had assigned the demand before the commencement of the action, it was considered that the facts stated in the affidavits went to the foundation of the action, but were not proper to be considered on the motion; and, as the pleadings showed a cause of action which survived, the administrator was allowed to continue the action, with leave to the defendant to amend his answer by setting up the facts alleged in his affidavits.(s)

The article of the Revised Statutes concerning “suits by and against executors and administrators,” provides as follows:—

Sec. 9. The time which shall have elapsed between the death of any

(q) Howard's Code, p. 129.

(r) Id., p. 154.

(s) *Wing v. Ketcham*, 3 How. Prac. Rep. 385; 2 Code Reporter 7.

person, and the granting of letters testamentary or of administration on his estate, not exceeding six months, and the period of six months after the granting of such letters, shall not be deemed any part of the time limited by any law for the commencement of actions by executors or administrators.(t)

In an action by an executor or administrator, before the Code, where twelve months had elapsed since the death of the testator or intestate, and the Statute of Limitations was pleaded, it seems that it was not necessary for the plaintiff to reply *specially* the time allowed by law for the bringing of the suit, but he might reply generally.(u) The above section, numbered 9, provides that, in actions by executors or administrators, a certain time, not exceeding twelve months from the death, shall not be deemed any part of the time limited by any law for the commencement of actions.(v) In other words, the time shall be the same, viz., six years; but this shall be reckoned exclusively of certain time which the legislature thought ought not to be counted. "The plea," it was said, "is to be read, in effect, as if it said six years, &c., exclusive of such and such time. It is as if the statute had said such a plea shall be so read or construed." In *Huntington v. Brinkerhoff*,(w) a special replication was spoken of as proper, under section 9, upon the analogy to the practice of replying disability. The point was not before the court, and the analogy is incomplete. While a general and direct issue seems to be admissible on principle, and conformable to the peculiar language of the Revised Statutes, it is more desirable on account of its simplicity.(x)

Under the system of pleading prescribed by the Code, the allegation of new matter, as of the Statute of Limitations, in the answer, which corresponds with the plea in the case quoted, is to be deemed controverted by the adverse party, as upon a direct denial or avoidance, as the case may require.(y) A reply is not, except as presently to be stated, provided for. Indeed, until the amendments of the Code enacted by the legislature in 1860, a reply was not proper in any case, unless the answer set up, as a defence, a "counter claim," as defined by section 150 of the Code.(z) And, by the amendment of 1860, it is only provided that in other cases, where an answer contains new matter, constituting a defence by way of avoidance, the court may, in its discretion, on the defendant's motion, require a reply to such new matter; and in that case, the reply shall be subject to the same rules as a reply to a counter claim.(a)

No exception to the Statute of Limitations can be claimed, unless it is expressly mentioned in the statute. But the statute begins to operate only from the time a right to demand the thing in question vests in some one. A cause of action cannot be said to "accrue," within the terms of the statute, until there is some person in existence capable of suing, or at least some person to whom, or against whom, it may

(t) 2 R. S. 448; 3 R. S. (5th ed.) 747.

(u) *Howell v. Babcock's ex'r*, 24 Wend. 488.

(v) See *Bucklin v. Ford*, 5 Barb. Sup. Ct. Rep. 893, 397.

(w) 10 Wend. 284.

(x) 24 Wend. 490, per Cowen J.

(y) Code, sec. 168; Howard's Code, p. 305.

(z) *Williams agst. Upton*, 8 How. Prac. Rep. 205; *Simpson agst. Loft and others*, Id. 234.

(a) S. L. 1860, ch. 459, p. 783; sec. 5, p. 785; Howard's Code, p. 270.

accrue. When the act, which gives the cause of action, happens after the death of the person to whom the cause of action is intended to be given, until a representative of the deceased is appointed, the cause of action does not accrue, or, in fact, exist.<sup>(b)</sup> Accordingly, in *Bucklin v. Ford*,<sup>(c)</sup> which was an action of assumpsit brought by the plaintiff, as an administrator of John Bucklin, junior, deceased, against the defendant, as executor of John Bucklin, senior, deceased, for taking and converting goods, chattels and things in action, bills, bonds, &c., belonging to the plaintiff's intestate, of the value of \$2,000—the declaration alleging a promise to pay the amount, and the defence was the Statute of Limitations as a bar to the plaintiff's action; and it appeared from the evidence, on the hearing before the referee, that the plaintiff's intestate died in January, 1828, and that, soon afterwards, the property for which the action was brought came into the hands of John Bucklin, senior, the defendant's testator; that John Bucklin, senior, died in December, 1838; that letters of administration upon the estate of John Bucklin, junior, were granted to the plaintiff on the 4th of November, 1836, and that the suit was commenced in April, 1842. The Supreme Court held that the Statute of Limitations was no bar to the action; that the statute commenced running only from the granting of the letters of administration, and not from the receipt of the property; and that it was sufficient if the action was brought within six years after the granting of letters of administration. And the court confirmed the report of the referee for \$1,760 in favor of the plaintiff.

OF THE ENFORCEMENT OF JUDGMENTS OBTAINED BY THE DECEASED IN HIS LIFETIME.

The second title of the ninth chapter of the third part of the Revised Statutes, contains the following provisions:—

Sec. 2. Writs of *scire facias* shall be issued in the cases not otherwise provided by law, to revive a judgment in favor of the personal representatives of any deceased plaintiff, or to continue a suit by or against the representatives of either party, who shall have died in the progress thereof.<sup>(d)</sup>

Sec. 22. No declaration shall be required to be filed upon the *scire facias*; but where executors or administrators are plaintiffs in any such writ, they shall make profert of their letters testamentary or of administration, in the *scire facias*, in the same manner as now practiced in the declaration. And the defendant shall plead to such writ in the same manner as to a declaration.<sup>(e)</sup>

<sup>(b)</sup> The statute in relation to executors and administrators does not interfere with this principle. The section providing that the term of eighteen months after the death of any testator or intestate shall not be deemed any part of the time limited by law for the commencement of actions against his executors or administrators, (2 R. S. 448; 3 R. S., 5th ed., 746, sec. 8,) and the above ninth section of the statute, are only applicable to cases where the statute has commenced running before the death of the testator or intestate. The year and a-half in the one case, and the year in the other, after his death, are not to be taken into the account in the six years in which the action is required to be brought, thereby extending the statute in the latter case to seven years, and in the former to seven and a-half years. But it does not touch the question as to what shall be deemed, in cases of this kind, the accruing of the cause of action.

<sup>(c)</sup> 5 Barb. Sup. Ct. Rep. 393.

<sup>(d)</sup> 2 R. S. 576.

<sup>(e)</sup> 2 R. S. 580.



These provisions are regarded as repealed by the Code of Procedure; not, indeed, in express terms, but by strong and conclusive implication.(f) By section 69 of the Code, the forms of all actions and suits theretofore existing were abolished, and one form of action for the enforcement or protection of private rights, and the redress of private wrongs, denominated a civil action, was substituted. By section 468, all statutory provisions inconsistent with the Code are repealed; and it is declared that all rights of action given or secured by existing laws, may be prosecuted in the manner provided by the act. The writ of *scire facias*, in all cases, is in the nature of an action, because the defendant may plead to it; for, whenever the defendant may plead to any writ, whether original or judicial, it is laid down it is in law an action; and though, to revive a judgment, it is a judicial writ to continue the effect of, and "have execution" of, the former judgment, yet it is in the nature of an action, because the defendant may plead any matter in bar of the execution upon the judgment.(g) The definition of an action given in the Code,(h) it is considered, is sufficiently comprehensive to include the proceeding by *scire facias* to have execution, &c. It is a proceeding in a court of justice, by which the plaintiff prosecuted the defendant for the *enforcement of a right*. If the judgment has not been satisfied, it is the right of the representatives of the deceased judgment creditor to have satisfaction thereof by execution. A *scire facias* to revive a judgment, or rather warning the defendant to show cause why the plaintiff should not have execution thereof, being regarded as an action, the 69th section of the Code above-quoted, and the portion above quoted of the 468th section, are applicable to the case; and the remedy by a writ of *scire facias*, provided by the above sections of the Revised Statutes, no longer exists.(i)

(f) The learned compilers of the last (5th, as well as of the 4th,) edition of the Revised Statutes seem to consider these sections of the Revised Statutes as expressly repealed by the 428th section of the Code. (See 3 R. S., 5th ed., 859.) The 428th section of the Code is contained in the second chapter of the 13th title of the second part of the Code. That chapter is entitled of "actions in place of *scire facias*, *quo warranto*, and of informations in the nature of *quo warranto*," and declares that the writ of *scire facias*, the writ of *quo warranto*, &c., are abolished, and that the remedies heretofore attainable in those forms, may be obtained by civil actions under the provisions of *this chapter*; and proceeds to make provisions for actions in the place of the former *scire facias*, to vacate a charter of incorporation for fraud in obtaining such charter, or to vacate letters patent for fraud, mistake, &c., but does not anywhere make provisions applicable to the former remedy, by *scire facias*, to revive a judgment after the death of the plaintiff. The 428th section is, therefore, probably to be understood as abolishing the writ of *scire facias* in those cases only in which a substitute is provided by that chapter. If the above recited sections of the Revised Statutes are repealed, such appeal is effected by construction and the operation of other provisions of the Code. Those sections might, therefore, well have been retained in the 4th & 5th editions of the Revised Statutes, under their appropriate head, as sections which secured remedies, under proceedings by *scire facias*, which are not specifically provided for in the Code of Procedure.

In *The Citibank Bank agt. Sanford* (4 Howard's Prac. Rep. 100, 101), it was considered that the 428th section of the Code, of itself, abolished the writ of *scire facias* in all cases.

(g) *Foster's Writ of Scire Facias*, p. 13.

(h) Sec. 2.

(i) See *Cameron and McKay agt. Young*, 6 Howard's Prac. Rep. 372, and cases cited. In *Bellinger v. Ford*, (21 Barb. Sup. Ct. Rep. 312,) in which an execution had been issued against a defendant upon a judgment recovered by the deceased in his lifetime, it is said "until the suit should be revived—which formerly was done by *scire facias*, now the same result is attained by motion—no execution could issue. Until then, no one had any right to issue it." There is some confusion of ideas and misapprehension of the provisions of the Code manifested in these expressions. A judgment having been recovered in the lifetime

There would seem to be no difficulty in resorting to the general remedy by action, given by the Code, to obtain the relief provided by these sections of the Revised Statutes. The summons may be in the usual form, and the complaint, in stating the facts constituting the cause of action, will state the same facts, substantially, which were formerly stated in the writ of *scire facias*. The proper relief will then be demanded, viz., that the plaintiff have execution of the judgment.<sup>(j)</sup> The defendant, by answer, can make any defence which he was allowed to make by plea to the writ, or the declaration contained in the writ. The judgment, in effect, will be the same as under the superseded practice, and the lien upon land will be preserved. There is nothing in the system of the Code conflicting with this practice. The 71st section, prohibiting an action upon a judgment rendered in certain courts between the same parties, has not any application. The parties to the action, to have execution issued upon the judgment, will not be the same parties as those to the judgment.<sup>(k)</sup>

OF CONTINUING SUITS COMMENCED, AND OF ENFORCING JUDGMENTS OBTAINED BY A FORMER EXECUTOR OR ADMINISTRATOR.

The act relative to "the rights and liabilities of executors and administrators," provides as follows:—

Sec. 14. No suit that may have been commenced by any executors or administrators who shall die, be removed or superseded, or who shall become incapable of acting, shall be abated thereby, but may be continued by the co-executor or administrator, if there be any; and if there be none, by and in the name of the person who shall succeed the executor or administrator so dying, removed, superseded or becoming incapable, in the administration of the same estate.<sup>(l)</sup>

Though one who succeeds another in the administration of an estate *may* continue a suit commenced by his predecessor, he has an election, and cannot be compelled to do so against his will.<sup>(m)</sup>

The third title of the eighth chapter of the third part of the Revised Statutes, entitled "of suits by and against executors and administrators, and against heirs, devisees and legatees," contains the following provisions:—

Sec. 13. Any subsequent executors or administrators shall have execution upon any judgment that may have been recovered by any person who preceded them in the administration of the same estate, within one year from the time of the docketing of such judgment, without reviving

of the plaintiff, there was not any suit to be revived. Writs of *scire facias* were formerly issued to revive judgments, and to continue suits. Now, by section 121 of the Code, an action pending at the death of the plaintiff may be continued, on motion, by his personal representatives; but no proceeding on a judgment already recovered is within the language of the provision. Probably, notwithstanding the intimation adverted to, the correct practice in the case under consideration is suggested in the judgment of the court in *Cameron and McKay* agst. *Young*, 9 Howard's Prac. Rep. 372, quoted in the text. See, also, *Alden* agst. *Clark, Tift, and Bradley*, 11 Howard's Prac. Rep. 209; *Jay v. Martine*, 2 Duer, 654.

<sup>(j)</sup> See *Jay v. Martine*, 2 Duer, 654.

<sup>(k)</sup> See 6 How. Prac. Rep. 375.

<sup>(l)</sup> 2 R. S. 115; 3 R. S. (5th ed.) 203. Where, after the report of a referee in favor of the defendant, and before judgment is entered thereon, the plaintiff in the action dies, judgment may be entered on the report without making the plaintiff's representatives parties to the suit. *Scranton v. Baxter*, 1 Code Rep. (N. S.) 88.

<sup>(m)</sup> *Bain, adm'r, &c., v. Pine*, 1 Hill, 615. See, also, *Campbell v. Bourne*, 5 Paige, 34.

the same by *scire facias*, and without any other proceeding to give notice to the defendant in such judgment.(n)

By Sec. 471 of the Code, the chapter mentioned of the Revised Statutes is not affected by that statute.(o)

#### OF SET-OFFS IN ACTIONS BY EXECUTORS OR ADMINISTRATORS.

The act relative to "pleadings and set-off," provides as follows:—

Sec. 37. [Sec. 23.] In suits brought by executors and administrators, demands existing against their testators or intestates, and belonging to the defendant at the time of their death, may be set off by the defendant in the same manner as if the action had been brought by and in the name of the deceased.

In a suit by an administrator, upon a cause of action which arose after the death of the intestate, the defendant cannot set off a debt due to him from the intestate,(p) and this even though such debt existed at the time of the death of the intestate.(q)

Where, in an action by an administratrix, the defendant sought to set off the amount of a note made by the intestate, and indorsed for his accommodation by the defendant, which the defendant had paid after the intestate's death; it was held not a demand existing against the intestate *at the time of his death*, within the above provision of the statute, and therefore not a proper subject of set-off.(q)

It may here be observed, that there are some cases where an executor or administrator, although he has an interest in a chose in action, is not entitled to the remedy: Thus, where one of two *joint* obligees, covenantees, or partners, dies, the action on the contract must be brought in the name of the survivor; and the executor or administrator of the deceased cannot be joined, nor can he sue separately:—For example, two joint-merchants appoint a person to be their factor; one dies, leaving an executor; this executor and the survivor cannot join in an action against the factor; for the remedy survives, though not the duty; and therefore, on the recovery, the survivor must be accountable to the executor for that; and the general rule is now settled, that though the right of a deceased partner devolves on his executor, yet the *remedy* survives to his companion, who alone must enforce the right by action, and will be liable, on recovery, to account to the executor or administrator for the share of the deceased.(r)

For certain purposes the partnership continues, and the surviving partner, in virtue of the confidence originally reposed in him by his co-partner, retains the right, as the sole acting manager of the joint concern, to collect the moneys due, and convert the property of the firm into money, and pay the debts.(s) And the representatives of the deceased partner cannot take the closing up of the affairs out of the hands of the survivor, if he is perfectly responsible, and proceeds with fairness and due diligence in the discharge of that duty.(t)

(n) 2 R. S. 449; 3 R. S. (5th ed.) 747.

(o) See Howard's Code, p. 708.

(p) *Fry v. Evans*, 8 Wend. 530.

(q) *Mercein v. Smith's adm.'r*, 2 Hill, 201. See, also, *Hills v. Tallman's adm.'r*, 21 Wend. 674; *Merritt v. Seaman*, 6 Barb. Sup. Ct. Rep. 330.

(r) *Wms. on Exrs.* 1689–90, and cases cited; *Thomson v. Thomson*, 1 Bradf. Surr. Rep. 24, 34; *Hutchinson v. Smith*, 7 Paige, 35.

(s) *Phillips v. Atkinson*, 2 Bro. Ch. 272; 3 Kent's Comm. 57.

(t) 7 Paige, 35.

Nor will a court of equity appoint a receiver of the assets and effects of the firm, and thus deprive the surviving partner of his right to close up its affairs, if such surviving partner is responsible and acts in good faith.(u)

Upon the dissolution of a partnership by the death of one of the co-partners, the personal representatives of the deceased member of the firm are, however, entitled to have the stock on hand sold and converted into money, so that the share of the co-partnership funds belonging to them may be realized without any unnecessary delay.(v)

Again, where two have the legal interest in the performance of a contract, though the benefit be only to one of them, upon the death of the latter the remedy survives, and the executor or administrator of the deceased cannot be made a party or sue separately.(w)

It follows, that where a contract is made jointly with several persons, and they all die, the executor or administrator of the survivor alone can sue, and the personal representative of those who died before him cannot be joined.(w)

But if the interest of the covenantees is several, and one of them dies, his executor may maintain a separate action on the covenant, notwithstanding the other covenantee be living; and if the *interest* be several, it shall make no difference that the *language* of the covenant is joint.

On the other hand, wherever the interest of the covenantees is joint, the rule of survivorship above stated will be enforced, although the covenant be in terms joint and several.

The rule is the same with respect to remedies, under the system previous to the Code, in form *ex delicto*, as those in form *ex contractu*; therefore, if one or more of several parties, jointly interested in property at the time an injury was committed, is dead, the action must be in the name of the survivor, and the executor or administrator of the deceased cannot be joined, nor can he sue separately.(x)

The Code of Procedure provides that every action must be prosecuted in the name of the real party in interest; except that an executor or administrator, a trustee of an express trust, or a person expressly authorized by statute, may sue without joining with him the person for whose benefit the action is prosecuted.(y) But this provision has not varied the rules of law above laid down, in respect to the collection of claims in which the decedent was interested with other persons, survivors. Where the interest is joint, especially in the case of partners, the survivor alone is entitled to maintain actions for debts due the firm. Such debts are a part of the assets of the partnership, and when collected are to be applied, in the first instance, to the payment of the debts of the partnership.(z) The interest of the executor or ad-

(u) *Evans v. Evans*, 9 Paige, 178.

(v) *Evans v. Evans*, *supra*. "The executrix," says the chancellor, "if she deems it for her interest, has a right to insist that the stock on hand shall at once be sold for cash, so that the remaining debts of the firm may be paid off, and the business brought to a close as soon as possible."

(w) *Wms. on Exrs.* 1690, and cases cited.

(x) *Wms. on Exrs.* 1691, and cases cited.

(y) Code, secs. 111, 113; Howard's Code, pp. 132, 138.

(z) *Hutchinson v. Smith*, 7 Paige, 26, and cases cited.

administrator of the deceased partner is indirect, remote and contingent, depending upon whether the assets of the firm are sufficient for the payment of its debts. The balance of the partnership assets, after all the debts have been discharged, is the only thing in which the executor or administrator has any individual right of property for the exclusive benefit of the estate of his testator or intestate. If he should be joined as a party plaintiff with the surviving partner, in a suit on a chose in action due the partnership, the money, when collected, could not go into his hands, nor could it be divided between him and the surviving partner,—it must all be paid to the surviving partner. The executor or administrator of a deceased partner, therefore, is not a real party in interest in actions on claims due the partnership.

And with respect to joint obligees, covenantees, or parties jointly interested in the recovery of damages for injuries to property, the same rule of survivorship still holds. The interest in the obligation or covenant, or in the damages sued for, passes, for the purposes of collection, to the survivor; and when he has collected the judgment, he is accountable to the executor or administrator of the deceased for his proportional share. In equitable actions under the Code, as before the Code, all the parties in interest must be joined as plaintiffs.

In actions at law, where there were several executors or administrators, the practice formerly was to bring the suit, in the first place, in the name of all; and, if either of them was unwilling to have the suit prosecuted in his name afterwards, the one who had instituted the suit might then, upon a summons, have a judgment of severance, and continue the suit in his own name only.<sup>(a)</sup> And, in the Court of Chancery, if one of the executors or administrators, who was a necessary party, refused to join in the suit as a co-complainant, the proper course was to make him a party defendant—stating in the bill the fact that he would not consent to be a complainant in the suit.<sup>(b)</sup> And now, by the Code “of the parties to the action, those who are united in interest must be joined as plaintiffs or defendants; but, if the consent of any one who should have been joined as plaintiff cannot be obtained, he may be made a defendant—the reason thereof being stated in the complaint.”<sup>(c)</sup>

#### OF THE COLLECTION OF INTEREST AND DIVIDENDS ON STOCKS OF THE DECEASED, AND THE TRANSFER OF SUCH STOCKS, AND THE COLLECTION OF MONEY IN BANK.

If the deceased died possessed of public or corporate funds or stocks, the executor or administrator is entitled to collect the interest or dividends upon the same, and to have them transferred to himself, or to such person as he shall appoint, on presenting to the government officer, or to the bank or other company, a certificate of the surrogate that letters have been granted to him. And the public officer, or the bank or other company, has no right to inquire into the disposition to be made of the property, but is bound to pay the interest or dividends, or to transfer the stock on the mere production of the proper evidence of the due ap-

(a) *Wall on Parties*, 1530; *Wentw. Off. Ex.* 212; 10 *Paige*, 289.

(b) *Thompson v. Graham*, 1 *Paige*, 384; *Tooker v. Oakley*, 10 *Paige*, 282, and cases cited.

(c) *Code of Procedure*, sec. 119.



pointment and qualification of the executor or administrator. If there be a refusal, the official functionary, or the institution, will become liable to the executor or administrator for damages.<sup>(d)</sup> [For form of the certificate of the surrogate, see Appendix, No. 50.] Money of the deceased, on deposit in a bank, is to be collected in the same manner.

It may here be mentioned, that, by the death of a master, his servant is discharged; and, therefore, the executors or administrators of the former can bring no action to enforce the contract of service after his death.<sup>(e)</sup> Nor has the executor or administrator any interest in an apprentice bound to the deceased.<sup>(f)</sup> The apprenticeship is essentially dissolved, for the end and design of it, as a personal trust, cease; but the assets in the hands of the personal representatives of the master are chargeable with the necessary maintenance of the infant apprenticed.<sup>(g)</sup>

A special administrator or collector<sup>(h)</sup> has authority to collect the goods, chattels, personal estate and debts of the deceased, and to secure the same at such reasonable expense as the surrogate shall allow; and, for those purposes, he may maintain suits as administrator.

The Revised Statutes provide as follows:—

Sec. 40. Upon letters testamentary, or of administration, being granted, the power and authority of such collector shall cease; but any suit brought by him may be continued by the executor or administrator in the name of such collector, which he shall not have power to discontinue or release. And such collector shall, on demand, deliver to the executor or administrator all the property and money of the deceased in his hands, and shall render an account, on oath, to the surrogate, of all his proceedings, upon being cited for that purpose, or without such citation. Such delivery and account may be enforced by an order of the surrogate, and by attachment to be issued by him, as in other cases of administrators.<sup>(i)</sup>

The proceedings referred to, against administrators, will be found considered in a subsequent chapter of this work, in connection with the subject of accounting by executors or administrators.<sup>(j)</sup>

## CHAPTER IX.

### OF THE DISPOSAL OF THE ESTATE OF THE DECEASED BY THE EXECUTOR OR ADMINISTRATOR.

THE following sections of the statute relate to the disposal of the estate by the executor or administrator:—

Sec. 25. If any executor or administrator shall discover that the debts against any deceased person, and the legacies bequeathed by him, cannot be paid and satisfied without a sale of the personal property of the deceased, the same, so far as may be necessary for the pay-

<sup>(d)</sup> See Toller on Exrs. 254.

<sup>(e)</sup> Wentw. Off. Ex. (14th ed.) 141. But see *Jackson v. Bridge*, 12 Mod. 650.

<sup>(f)</sup> *Baxter v. Burfield*, 1 Bott. P. L. (6th ed.) pl. 696; *S. C.*, 2 Stra. 1266; Wms. Exrs. 690.

<sup>(g)</sup> 2 Kent's Comm. 266.

<sup>(h)</sup> S. L. 1837, p. 529; 3 R. S. (5th ed.) 161.

<sup>(i)</sup> 2 R. S. 77; 3 R. S. (5th ed.) 161.

<sup>(j)</sup> *Post*, ch. 12.

ment of such debts and legacies, shall be sold. The sale may be public or private, and, except in the city of New York, may be on credit, not exceeding one year, with approved security. Such executor or administrator shall not be responsible for any loss happening by such sale, when made in good faith and with ordinary prudence.(a)

Sec. 26. In making such sales, such articles as are not necessary for the support and subsistence of the family of the deceased, or as are not specifically bequeathed, shall be first sold, and articles so bequeathed shall not be sold until the residue of the personal estate has been applied to the payment of debts.(b)

The executor or administrator's duty is thus distinctly pointed out. He is not to sell the personal property of the estate, unless he has ascertained that a sale will be necessary to enable him to pay the debts and discharge the legacies. He is then to sell only so much of the property as will be requisite for those purposes; and the 26th section prescribes the order in which certain articles are to be sold, which should be strictly followed. If he discover that a sale will be necessary to pay debts and legacies, it is his duty to sell the personal property; he may do so immediately.(c)

The reviser's original note to the 25th section is in these words:—"Pursuant to practice, although no express authority; credit is essential, to have the property bring near its value;" and to the 26th section, "conformable to law and practice."(d)

The value of the articles determined by the appraisers will be presumed to be the actual value of such articles; and, if the executor or administrator sell them for less, he may be called upon to show that the sale was fairly conducted, and that the price brought was the highest which could be obtained. If the executor or administrator sell at the appraised value, it is still competent for the parties in interest to show that the articles were of greater value, and that a higher price could have been obtained for the same. The appraised value is only *prima facie* evidence of the value of the property. For these reasons, the safest and most convenient course is for the executor or administrator to make the sale by public auction, and after reasonable public notice, and notice to parties in interest, at least, in the neighborhood.

All sales of the effects of the deceased in the city of New York must be for cash. In the other counties of the state, the credit cannot exceed one year. In case of sales on credit, the executor or administrator must exercise the same prudence, with regard to the purchaser to whom credit shall be given, as a discreet and careful person uses in making sales of his own property. He must, besides, demand security from the purchaser for the payment, within as brief a period as may be, not exceeding a year, of the amount of his purchase. The approved security required by the statute, may be the name of some responsible person as guarantor for the payment of the bill, or as indorser of the note of the purchaser for the amount of his purchase. And probably neither good faith, nor any other degree of prudence, will protect an

(a) 2 R. S. 87; 3 R. S. (5th ed.) 173.

(b) 2 R. S. 87; 3 R. S. (5th ed.) 173.

(c) *Nichols v. Chapman*, 9 Wend. 452, per Savage, C. J.

(d) 3 R. and or. Stat. (2d ed.) App. 641.

executor or administrator against a loss occasioned by a sale of the goods of the estate on credit, if he omit the precaution of insisting upon the security demanded by the statute.

Sec. 59. [Sec. 47.] All sales made in good faith, and all lawful acts done, either by administrators before notice of a will, or by executors or administrators who may be removed or superseded, or who may become incapable shall remain valid, and shall not be impeached, on any will afterwards appearing, or by any subsequent revocation or superseding of the authority of such executors or administrators.(e)

It is a general rule of law and equity, that an executor or administrator has an absolute power of disposal over the whole personal effects of his testator or intestate; and that they cannot be followed by creditors, much less by legatees, either general or specific, into the hands of the alienee. The principle is, that the executor or administrator, in many instances, *must* sell, in order to perform his duty in paying debts, &c.; and no one would deal with an executor or administrator, if liable afterwards to be called to account. The power of the executors to dispose of a chattel specifically bequeathed, seems to have been formerly questioned; but succeeding cases appear to have established it beyond dispute.(f)

And a person proposing to purchase any personal property belonging to the estate, probably need not inquire whether the debts, &c., cannot be paid without a sale, or whether the article be specifically bequeathed; and, if so, whether the residue of the personal property has been before sold. The above-quoted sections of the statute are for the government of the executor or administrator; and a purchaser may, it is apprehended, assume that the party with whom he is dealing is acting in the business according to their directions and provisions.

It may here be remarked, that a power of sale of lands, given to an executor in the will, does not depend, for its validity and exercise, upon the probate of the will in this state. And, therefore, where such power was given in respect to land in another state, upon condition that the executor should qualify, it was held that, having qualified, the executor acted, in conveying the land in such other state, as the devisee of a power created by the owner of the estate, and not under an authority created by the surrogate.(g)

In general, one of two or more executors may make a valid sale of the personal assets of the estate, without the others uniting in the act of transfer. This rule applies as well to notes and other securities given to executors as such, after the death of their testator, as to those given to him in his lifetime, provided the money, when recovered, would be assets.(h)

As an executor may absolutely dispose of the testator's assets for the general purposes of the will, there seems no good reason why, in the

(e) 2 R. S. 79; 3 R. S. (5th ed.) 165.

(f) Wms. on Exrs. 838, and cases cited; *Knight v. Yarborough*, 4 Randolph, 566; *McAlister v. Montgomery*, 3 Haywood, 94.

(g) *Newton agst. Bronson*, 3 Kernan, 587, 593. See, also, *Conklin v. Egerton's adm'r*, 21 Wend. 430, 436.

(h) *Bogert v. Hertell*, 4 Hill, 492.

exercise of a sound discretion, and presuming the language of the will does not peremptorily require an absolute sale, the executor may not raise the money required, by a partial sale or mortgage of the assets; and, accordingly, the power of an executor or administrator to mortgage the assets has been recognized by high authorities on several occasions. The mortgage may be either of legal or equitable assets, or of mere choses in action; and may be by actual assignment, or by deposit.<sup>(i)</sup>

Although the statute does not expressly authorize a pledge of any of the personal property, but only a sale, there can be little doubt, on general principles, that a pledge would be supported where no fraud or collusion appeared in the transaction.

Again, it is not incumbent on the purchaser or mortgagee of the assets to see the money properly applied, although he knew he was dealing with an executor. "It is of great consequence," said Lord Thurlow in *Scott v. Tyler*,<sup>(k)</sup> "that no rule should be laid down here which may impede executors in their administration, or render their disposition of the testator's effects unsafe or uncertain to a purchaser; his title is complete by sale and delivery: *what becomes of the price is of no concern to him*. This observation applies equally to mortgages or pledges, and even to the present instances, where assignable bonds were merely pledged without assignment."<sup>(l)</sup>

Exceptions to the general power of the executor or administrator to dispose of the estate of the testator or intestate, will be found in those cases only where *collusion* exists between the purchaser, or mortgagee, and the personal representatives. That an executor may waste the money, is not alone sufficient to invalidate the sale or mortgage; it must further appear that the purchaser or mortgagee participated in the *devastavit* or breach of duty in the executor.<sup>(m)</sup>

Fraud and covin will vitiate any transaction, and turn it to a mere color. If, therefore, a man concert with an executor, by obtaining the testator's effects at a nominal price, or at a fraudulent undervalue, or by applying the real value to the purchase of other subjects for his own behoof, or in any other manner contrary to the duty of the office of executor, such concert will involve the seeming purchaser or pawnee, and make him liable to the full value.<sup>(n)</sup>

Thus, where the person to whom the executor collusively passes the property, knows that the executor is acting in violation of his trust, and in fraud of the persons interested in the due administration of the assets, the fraud vitiates the transaction, and the attempt to transfer the property is ineffectual and void.<sup>(o)</sup>

(i) See Wms on Exrs. 840, 841, and cases cited.

(k) "2 Dick. 725."

(l) See Wms. on Exrs. 840. See, also, 2 Story's Eq. Jur., sec. 1124, *et seq.* By the article of the Revised Statutes, "of uses and trusts," relating to real estate, sec. 66, (1 R. S. 730; 3 R. S., 5th ed., p. 22,) no person who shall actually and in good faith pay a sum of money to a trustee, which the trustee, as such, is authorized to receive, shall be responsible for the proper application of such money, according to the trust; nor shall any right or title, derived by him from such trustee, in consideration of such payment, be impeached or called in question in consequence of any misapplication by the trustee of the moneys paid. See *White v. Carpenter*, 2 Paige, 217.

(m) Wms. on Exrs. 840, 841.

(n) Wms. on Exrs. 841.

(o) Id.

The court will follow a note of hand as the property of an estate, if really taken for assets of the estate sold by the administrator, though the note be taken in the private name of the administrator; and will enforce this by injunction against the private creditors of the administrator.(p)

Any person receiving from an executor the assets of his testator, knowing that such disposition of them is a violation of the executor's duty, is to be adjudged conniving with the executor to work a *devastavit*, and is accountable to the person injured by such disposition directly, on a bill filed by him. Thus, in *Colt v. Lasnier*,(q) where the executor, being one of a trading firm, with the knowledge of the firm, mixed the funds of his testator's estate with those of the firm, and they were thus employed in trade; it was held that the firm were liable for these funds to a legatee of the testator: and this, even admitting that the funds had been carried to the account of the executor, and the account as to these closed on the partnership's books.

Whether, in the instance of the executor or administrator aliening the property of the deceased to pay his own debt to the alienee, that circumstance in itself shall be considered conclusive as to the collusion, is a point upon which the decisions of law and equity must, perhaps, be considered at variance. At law, (although it is allowed that if there be any *contrivance* between an executor or administrator and his own creditor, to enable the former to commit a *devastavit*, that fact excepts the case out of the general power of the executor or administrator to dispose of the estate,) it has been laid down that the executor may make a valid sale of the effects in satisfaction of his own private debt, although the purchaser knew the goods sold were the goods of the testator or intestate. But in equity it seems to be now established (in contradiction, as it should appear, to some former cases,) that the executor or administrator can make no valid sale or pledge of the assets as a security for, or in payment of his own debt, on the principle that the transaction itself gives the purchaser or mortgagee notice of the misapplication, and necessarily involves his participation in the breach of duty.(r)

And the court will compel a person, purchasing assets of the estate from an executor or administrator, to refund or make good such assets to the parties in interest in the estate, where it appears that the circumstances of the estate did not require a sale of the assets, that the property was sold at a price manifestly less than its actual value, that the purchaser knew that he was dealing with an executor, and knew that the avails of the sale were to be applied by the executor to his private purposes, although the transaction was not a transfer of the property to the purchasers for an antecedent debt of the testator or of the executor individually, but the sale was made for an actual payment in money of the price of the property.(s)

(p) *Glass v. Baxter*, 4 Dessau. 153.

(q) 9 Cowen, 320.

(r) Wms. on Exrs. 842, and cases cited. See, also, *Sutherland v. Brush*, 7 Johns. Oh. Rep. 21; *Field v. Scheffelin*, Id. 153.

(s) *Sacia v. Berthoud*, 17 Barb. 15. In the judgment of Mr. Justice C. L. Allen in this case, many of the decisions upon fraudulent or collusive sales, by executors or administrators, of the assets of the estates which have come into their hands, are collected and very ably reviewed.



Where there exists such collusion as to render the dealing invalid, not only a creditor, but a legatee, whether general or specific, is entitled to follow the assets; but they must enforce their right within a reasonable time, or it will be barred by their acquiescence.(t)

An executor cannot be allowed, either immediately or by means of a trustee, to be the purchaser from himself of any part of the assets, but shall be considered a trustee for the persons interested in the estate, and shall account for the utmost extent of advantage made by him of the subject so purchased.(u)

A promissory note or bill of exchange, made payable to the deceased or his order, may be indorsed by his executor or administrator; and, generally speaking, there is no difference between an indorsement of a note by the deceased, and one by his personal representative.(v)

By section 3 of the act relative to "voluntary assignments made pursuant to the application of an insolvent and his creditors,"(w) executors and administrators may become petitioning creditors for the discharge of an insolvent, under the order of the surrogate to whom they may be liable to account, or of the chancellor, or any equity judge having jurisdiction (now of a justice of the Supreme Court having jurisdiction); and shall be chargeable only for such sum as they shall actually receive on the dividend of the insolvent's estate.

It may also be properly noticed in this place, that it is provided by statute that, upon the death of any master to whom any person may have been bound to service as clerk, apprentice, or otherwise, by the county superintendents of the poor, or by the overseers of the poor, the executors or administrators of such master may, with the consent of the person bound to service, signified in writing, and acknowledged before a justice of the peace, assign the contract of such service to any other person, which assignment shall vest in such assignee all the rights of the original master, and render him subject to all his obligations.(x)

If the person so bound to service refuse to give such consent, such assignment may be made under the sanction of an order of the Court of General Sessions of the Peace, (now the Court of Sessions,) after fourteen days' notice of an application to that effect, served on the apprentice, his parent, or guardian, if there be any in the county; and, when so made, such assignment shall be as valid and effectual as if such consent had been given in manner aforesaid.(y)

Whenever a power is given, if a personal trust and confidence be thereby reposed in the donee, to exercise his own judgment and discretion, he cannot refer the power to the execution of another, for *delegatus non potest delegare*; therefore, where a power of sale is given to executors, they cannot sell by attorney.(z)

(t) Wms. on Exrs. 843, and cases cited.

(u) Wms. on Exrs. 843, and cases cited; *Van Epps v. Van Epps*, 7 Paige, 237; *Campbell v. Johnston*, 1 Sandf. Ch. Rep. 148; 4 Kent's Comm. 438; 4th ed. 475; *Ames v. Downing*, 1 Bradf. Surr. Rep. 321; *Rogers v. Rogers*, 3 Wend. 503.

(v) Wms. on Exrs. 848; 1 Parsons on Contracts, 205, and cases cited.

(w) 2 R. S. 15, 16; 3 R. S. (5th ed.) 91; 2 S. L. 1847, ch. 280, sec. 16, p. 323.

(x) 2 R. S. 160; 3 R. S. (5th ed.) 254.

(y) Id. 161; 3 R. S. (5th ed.) 254-5.

(z) Wms. on Exrs. 849; *Newton v. Bronson*, 3 Kernan, 587.

The authority of a special administrator or collector to sell or alienate the personal property of the deceased, is expressly limited by the terms of his appointment.

By section 24 of the Law of 1837,<sup>(a)</sup> under the direction of the surrogate, he may sell such of the goods of the deceased as shall be deemed necessary for the preservation and benefit of the estate, after the same shall have been appraised.

This provision is a restraint upon the collector's power of sale, and a sale by him of personal property belonging to the estate of the deceased would not be valid, except in pursuance of its terms. A purchaser of such property from a collector would not be safe in taking a conveyance, without seeing that the surrogate's direction for the sale had been first obtained.

In order to procure the surrogate's direction for a sale, the collector should present to the surrogate a written application, under oath, setting forth a description of the goods, a sale of which is deemed necessary for the preservation and benefit of the estate, and the cause of such necessity, and praying his direction for a sale. The application is *ex parte*; but the surrogate may, in his discretion, order it to stand over, and require notice to be given to the parties in interest, that they may have an opportunity to be heard before granting its prayer. He may also, of his own motion, demand other evidence, besides the oath of the collector, of the necessity of the sale. The direction is given in the form of an order, which must be entered in the surrogate's minutes.

---

## CHAPTER X.

### FUNERAL CHARGES, AND PAYMENT OF DEBTS.

OF THE DUTIES OF THE EXECUTOR OR ADMINISTRATOR IN RESPECT TO THE DISCHARGE OF THE FUNERAL EXPENSES, AND THE PAYMENT OF THE DEBTS OF THE DECEASED; THE LIABILITY OF THE EXECUTOR OR ADMINISTRATOR TO SUITS FOR DEBTS OWING BY THE DECEASED; THE LIABILITY OF THE ASSETS TO EXECUTIONS UPON JUDGMENTS AGAINST THE EXECUTOR OR ADMINISTRATOR, AND OF ENFORCING THE PAYMENT OF THE DEBTS, BY THE EXECUTOR OR ADMINISTRATOR, BY PROCEEDINGS IN THE SURROGATE'S COURT.

IN treating of this branch of the subject, this work proposes to consider the liability of the executor or administrator for the funeral charges of the deceased, the statutory directions for the payment of the debts of the deceased, and the provisions declaring the liability of the estate, and governing the prosecution and limitation of actions against executors and administrators, as well as those regulating proceedings in the Surrogate's Court to compel payment.

(a) S. L. 1837, 529; 3 R. S. (5th ed.) 161, sec. 39.

## OF THE ORDER OF THE PAYMENT OF THE DEBTS, AND HEREWITH OF THE FUNERAL.

The following sections of the statutes prescribe the duty of the executor or administrator in respect to the payment of the debts of the deceased, and the order in which such debts are to be paid:—

Sec. 27. Every executor and administrator shall proceed with diligence to pay the debts of the deceased, and shall pay the same according to the following order of classes:—

1. Debts entitled to a preference, under the laws of the United States;

2. Taxes assessed upon the estate of the deceased, previous to his death;

3. Judgments docketed and decrees enrolled against the deceased, according to the priority thereof respectively;

4. All recognizances, bonds, sealed instruments, notes, bills and unliquidated demands and accounts.(a)

Sec. 28. No preference shall be given in the payment of any debt over other debts of the same class, except those specified in the third class, nor shall a debt due and payable, be entitled to preference over debts not due; nor shall the commencement of a suit for the recovery of any debt, or the obtaining a judgment thereon against the executor or administrator, entitle such debt to any preference over others of the same class.(a)

Sec. 29. Debts not due, may be paid by an executor or administrator according to the class to which they may belong, after deducting a rebate of legal interest upon the sum paid for the time unexpired.

Sec. 30. Preference may be given by the surrogate, to rents due or accruing upon leases held by the testator or intestate at the time of his death, over debts of the fourth class, whenever it shall be made to appear, to his satisfaction, that such preference will benefit the estate of such testator or intestate.(a)

Sec. 7. In all cases in which a record of judgment shall be filed and docketed within one year after the death of the party against whom such judgment was obtained, a suggestion of such death, if it happened before judgment rendered, shall be entered on the record, and if after judgment rendered, the fact shall be certified on the back of such record by the attorney filing the same. Such judgment shall not bind the real estate which such party shall have had at the time of his death, but shall be considered as a debt to be paid in the usual course of administration.(b)

Sec. 8. If a verdict has been rendered before the death of such party, upon which proceedings shall be stayed by a bill of exceptions, or by any order of the court, or any officer thereof, the court may authorize the filing and docketing a record of judgment within one year after the death of such party, subject to the power of the court to vacate the same.(b)

(a) 2 R. S. 87; 3 R. S. (5th ed.) 174, secs. 31-2-3-4.

(b) 2 R. S. 359, 360; 3 R. S. (5th ed.) 638, secs. 8, 9.

OF THE EXPENSES OF THE FUNERAL OF THE DECEASED, AND THEIR PAYMENT,

The statute, it will be observed, does not make provision for any allowance for the funeral expenses of the deceased. The reasonable funeral expenses are, however, to be paid in full, in preference to all other claims against the estate. The payment of the funeral charges may be made by an executor, even before probate; for, as will be remembered,(c) it is expressly excepted from the statute prohibiting an executor from disposing of, or interfering with, the estate of the deceased before letters testamentary are granted. And, on an accounting of an executor or administrator, as will hereafter appear,(d) he is both permitted and required to produce vouchers for all funeral charges.

The executor or administrator must *bury* the deceased in a manner suitable to the estate he leaves behind him.(e) "Funeral expenses," says Lord Coke,(f) "according to the degree and quality of the deceased, are to be allowed of the goods of the deceased before any debt or duty whatsoever. But the executor or administrator is not justified in incurring such as are extravagant, even as it respects legatees or next of kin entitled in distribution.(g) Nor, as against creditors, shall he be warranted in more than are absolutely necessary."(h)

If there be any reason to suspect that the estate will ultimately prove insolvent, then any unnecessary funeral expenses will be at the personal risk of those who authorized it.(i) The rule appears to be, that the executor is entitled to be allowed the reasonable expenses of the funeral, according to the testator's condition in life; and if he exceeds those, he is to take the chance of the estate turning out insolvent. No precise sum can be fixed to govern executors in all cases. It must obviously vary in every instance, not only with the station in life of each particular testator, but also with the price of the requisite articles at the particular place.(j)

As against persons other than creditors, such expenses may be incurred as will bury the deceased according to the station he occupied in life.(k) In *Wood v. Vandenburg*,(l) Chancellor Walworth held, that the amount paid for the erection of a suitable headstone at the decedent's grave, may properly be considered as a part of his funeral expenses, in a case where the rights of creditors cannot be defeated thereby.

With respect to the liability of the executor or administrator to the expenses of the funeral of the deceased, it appears to be clear that, if

(c) See *ante*, p. 233; 2 R. S. 71; 3 R. S. (5th ed.) 156, sec. 16.

(d) See *post*, ch. 12; 2 R. S. 92; 3 R. S. (5th ed.) 179, sec. 60 [sec. 54].

(e) 2 Black. Comm. 508.

(f) 3 Inst. 202.

(g) See *Stackpole v. Stackpole*, 4 Dow. P. C. 227.

(h) See *Shelley's case*, 1 Salk. 296; 4 Burns' E. L. (8th ed.) 348; Comberb. 342; Toll. on Exrs. 245; Wms. on Exrs. 871; 11 Serg. and Rawl. 204; 14 Id. 64.

(i) *Hancock v. Podmore*, 1 Barn. and Adol. 260.

(j) "*Edwards v. Edwards*, 2 Crompt. and M. 612; *S. O.*, 4 Tyrwh. 438. See, also, *Reeves v. Ward*, 2 Scott, 395." Wms. on Exrs. 873.

(k) Matthews on Exrs. 69; Wms. on Exrs. (4th ed.) 874, and cases cited; *Appeal of McGlinsey*, 14 Serg. and Rawl. 64; *Flintham's Appeal*, 11 Serg. and Rawl. 16.

(l) 6 Paige, 277, 285.

an executor or administrator gives orders for the funeral, or ratifies or adopts the acts of another party who has given such orders, he makes himself liable individually, and not in his representative character, for the reasonable expenses. And notwithstanding that, generally speaking, an administrator is not bound, as such, by his acts done before the letters of administration were obtained, yet it should seem that if, before taking out letters, he gives orders, or sanctions the orders which another person has given, for the funeral of the deceased, he will be thereby bound, after he has become administrator, to satisfy the charges incurred under such orders.<sup>(m)</sup> But in all cases where the executor or administrator has rendered himself personally liable for the funeral expenses, to the extent at least that they are reasonable, they are still a charge against the estate.

Where the executor or administrator has neither given nor adopted any directions for the burial, but he is sought to be charged on an implied contract arising out of his situation, with reference to his character and the estate of the deceased, it seems that, if he has assets, he is liable upon an implied promise to either the undertaker, or the person giving the order and paying for the funeral, to pay the reasonable expenses of the burial, suitable to the decedent's degree and circumstances;<sup>(n)</sup> and that the law implies a contract, in such a case, on the part of the executor who has assets, *personally*, and not in his *representative character*.<sup>(o)</sup>

#### DEBTS DUE TO THE UNITED STATES

With respect to debts included in the first of the above order of classes, the laws of Congress provide<sup>(p)</sup> that, where the estate of any deceased debtor, in the hands of executors or administrators, shall be insufficient to pay all the debts due from the deceased, the debt due to the United States shall be first satisfied; and further,<sup>(q)</sup> that where any estate, in the hands of executors or administrators, shall be insufficient to pay all the debts due from the deceased, the debt or debts due to the United States, on any bond or bonds for the payment of duties, shall be first satisfied. And any executor or administrator who shall pay any debt due by the person or estate from whom, or for which, they are acting, previous to the debt or debts due to the United States from such person or estate being first duly satisfied and paid, shall become answerable, in his own person or estate, for the debt or debts so due to the United States, or so much thereof as may remain due and unpaid; and actions or suits at law may be commenced against him for the recovery of the said debt or debts, or so much thereof as may remain due and unpaid, in the proper court having cognizance thereof: Provided, that if the principal in any bond, which shall be given to the United States for duties on goods, wares, or merchandise imported, or other penalty, either by himself, or his factor, agent, or other person for him, shall be insolvent; or if, such principal being deceased, his or

<sup>(m)</sup> Wms. on Exrs. 1621, and cases cited.

<sup>(n)</sup> See Wms. on Exrs. 1621-2-3-4; Matthews on Exrs. 68.

<sup>(o)</sup> See *Tugwell v. Heyman*, 3 Camp. 298; *Rogers v. Price*, 3 Younge and Jerv. 28; *Hayler v. Moat*, 2 Mees. and Wels. 56; Wms. on Exrs. 1622-3-4.

<sup>(p)</sup> 2 Laws of U. S. 694, sec. 5.

<sup>(q)</sup> 3 Laws of U. S. 136, sec. 65.



her estate and effects, which shall come to the hands of his or her executors or administrators, shall be insufficient to pay his or her debts; and if, in either of the said cases, any surety on the said bond or bonds, or the executors or administrators of such surety, shall pay the United States the money due upon said bond or bonds, such surety, his or her executors or administrators, shall have and enjoy the like advantage, priority, or preference, for the recovery and receipt of the said moneys out of the estate and effects of such insolvent or deceased principal, as are reserved and secured to the United States; and shall and may bring and maintain a suit or suits on the said bond or bonds, in law or equity, in his, her, or their own name or names, for the moneys paid thereon.

Under these provisions of the acts of Congress, the priority of the United States extends as well to debts by bonds which are payable after the decease of the obligor, as to those actually due or payable at the period thereof.<sup>(r)</sup>

#### TAXES, AND HEREWITH OF ASSESSMENTS.

By the second subdivision of the statute, taxes assessed upon the estate of the deceased previous to his death, are entitled to a priority in payment out of his personal property next after debts due the United States. In the common case, such taxes are a lien upon the real estate of the deceased, and upon that only. The personal property of the deceased is thus made applicable to the relief of his real estate. And so, with assessments levied by a municipal corporation upon the real estate of the deceased, such assessments, confirmed previous to his death, although a lien upon the land, are payable out of the personal property of the decedent. But in the latter case the assessment ranks, among the simple contract debts of the testator, in the fourth class in the order of payment, and is not entitled to any preference.<sup>(s)</sup>

#### JUDGMENTS AND DECREES.

Debts by judgment, or decree, by the statute ordered to be paid in the third class, are entitled to preference in payment out of the personal estate of the deceased debtor, according to the priority in point of time of docketing the judgment, or of enrolling the decree; and without reference to any supposed lien of the judgment or decree upon the real estate of the decedent.<sup>(t)</sup> That this is so, is evident from the fact that a prior decree, under the practice previous to the Code, if enrolled, although it was not docketed so as to make it a lien upon real estate, was to be paid in preference to a younger judgment which was docketed, and which might, therefore, be a lien on the decedent's real estate, if he had any within the jurisdiction of the court. A judgment which had been docketed, or a decree which had been enrolled, more than ten years before the death of the decedent, was, therefore, entitled to be paid out of his personal estate, in preference to a junior judgment or decree which had been obtained within the ten years.

<sup>(r)</sup> *The United States v. The State Bank of North Carolina*, 6 Peter's Rep. 29.

<sup>(s)</sup> See *Seabury v. Bowen*, 3 Bradf. Surr. Rep. 207.

<sup>(t)</sup> *Ainslie v. Radcliff*, 7 Paige, 439.

Thus, in *Ainslie v. Radcliff*,<sup>(u)</sup> where the decedent died insolvent and intestate, leaving several outstanding and unsatisfied judgments against him, two of which were docketed more than ten years previous to his death, and the others within that period; it was held, that the owners of the judgments were to be paid out of the personal assets of the intestate in the hands of his administrator, according to the priorities in the times of docketing their respective judgments, without regard to the fact of some of the judgments having been docketed more, and some less, than ten years.

By the common law, one judgment against the decedent had no preference over another judgment, in payment out of his personal estate, provided both were docketed at the time of his death. And his personal representative had the right to retain for a junior judgment due to himself, or to give a preference to one judgment creditor over another, without regard to any priority, in point of time, of the docketing of their several judgments; unless some proceedings had taken place, subsequent to the death of the decedent, by which one judgment creditor had obtained a preference over others of the same class, and the preference which the common law gave to judgment debts over debts of speciality, or debts by simple contract, was not founded upon any supposed lien of the judgment upon the real estate of the decedent: for the preference extended to the judgments of all the inferior courts of record in England, and to decrees in chancery, which were not liens upon real estate.<sup>(v)</sup> But the rule as to preferences in payment was founded upon the common law principle that a specialty debt was a debt of a higher nature than a debt by simple contract, and that a debt of record was of a higher nature than either.<sup>(w)</sup>

Under the provision of the statute allowing preference to judgments against the deceased, it is not necessary, to entitle a judgment against a deceased person to priority, that it should be a judgment of a court of record. A judgment against the deceased in a Justice's Court, a Justice's Court of a city, or in the Marine or in one of the District Courts of the city of New York, if a transcript of the judgment has been filed, and the judgment docketed with the county clerk pursuant to the provisions of the Code,<sup>(x)</sup> in the lifetime of the deceased, is without doubt within this provision of the statute, and entitled to a preference. The statute gives the preference to all "judgments,"<sup>(y)</sup> provided they are docketed; but they must be docketed in the lifetime of the deceased, in order to entitle them to precedence over other debts. If a judgment in a Justice's Court against the deceased has not been docketed in his lifetime, it is not entitled to any preference; but the claim must take its place among the debts included in the fourth class in the order of payment.<sup>(z)</sup>

It seems that it is a matter of question, whether judgments recovered in the Circuit and District Courts of the United States in this state

(u) 7 Paige, 439.

(w) 7 Paige, 447; *Stevenson v. Weiss*, 1 Bradf. Surr. Rep. 343.

(x) Code, secs. 63 and 68.

(z) *Stevenson v. Weiss*, 1 Bradf. Surr. Rep. 343.

(v) Wms. on Exrs. 856, 862.

(y) 1 Binney, 221.

See, also, *Conway agst. Hitchins*, 9 Barb. 378.

come within this provision of the statute.(a) Such judgments are a lien upon the lands of the judgment debtor within the respective districts,(b) and executions issue thereon with the same effect as on judgments obtained in the courts of this state; and otherwise, generally speaking, they have the same incidents as the latter judgments. It is hazarding little to presume that such judgments recovered in the lifetime of the deceased are entitled, under the statute, to priority of payment.

A judgment against a surety on a stipulation in admiralty, recovered after the death of the stipulator, is not, however, entitled to priority of payment out of his assets. The above provision of the statute authorizing judgments, in certain cases, to be entered against the deceased after his death, and declaring that such judgments shall not bind the real estate, but be considered as debts payable in the usual course of administration, relates to judgments in the courts of this state only.(c)

The provision of the statute under consideration does not refer to foreign judgments, nor to judgments recovered in the courts of the other states of the United States. A judgment recovered in another state has no greater force, in respect to the distribution of the assets of a deceased person, than a foreign judgment. Neither at common law, nor under the statutes of this state, have judgments recovered in another state any title to priority of payment over simple contract debts. Creditors claiming on such judgments, must come in with the creditors of the deceased described in the fourth class of this section of the statute.(d)

It should be observed that the executor or administrator is bound, as far as he has assets, to satisfy all judgments recovered against the testator or intestate, according to the priority thereof respectively, without regard to the circumstance whether a judgment was founded on a cause of action which would not have survived his death. Thus, although an executor is not liable to be sued for an escape permitted by his testator;(e) yet, if judgment was recovered for such escape against him in his lifetime, his executor is liable upon the judgment.(f)

The executor or administrator is bound to ascertain the claims against the deceased entitled to a priority of payment under the statute, and will not be justified in depending upon the presentation to him of such preferred claims under the notice for the exhibition of claims provided for by law, and presently to be treated of. And if he pay debts of an inferior class whilst debts of a prior class remain unpaid, or if he pay a junior judgment against the deceased and prior judgments are unsatisfied, or if he apply the assets to the payment of debts of the fourth class, leaving unpaid any claim belonging to either of the first three classes, he commits what is termed a *devastavit*, and will become personally liable for any deficiency of the assets to satisfy the claims entitled to such preference in payment.

(a) See *Bernes v. Weisser*, 2 Bradf. Surr. Rep. 212.

(b) See *Manhattan Company v. Everton*, 6 Paige, 457.

(c) See *Bernes v. Weisser*, 2 Bradf. Surr. Rep. 212.

(d) *Brown v. The Public Administrator*, 2 Bradf. Surr. Rep. 103.

(e) See *post*, p. 320.

(f) *Whitacres v. Onsley*, Dyer, 322 a, b.; Wms. on Exrs. 1480.

## OF THE PREFERENCE TO BE GIVEN TO THE PAYMENT OF RENT ON LEASES.

By the above section, numbered 30, (2 R. S. 87,) the surrogate may give preference to the payment of rent on leases held by the testator or intestate over debts of the fourth class, upon its appearing to his satisfaction that such preference will benefit the estate. If the deceased owned any leases, and the executor or administrator be of opinion that it will benefit the estate to hold on to them, and doubt the sufficiency of the assets to pay all the debts, he should apply to the surrogate for an order giving preference to the payment of the rents on such leases according to this section. The application should be in writing. It should set forth, to the best of the applicant's knowledge, the situation of the estate of the deceased in respect to its sufficiency for the payment of the debts, the terms and conditions, and the estimated value of the leases, and the necessity which may exist for granting an order for preference, such as the danger of proceedings on the part of the lessor, or whatever else may constitute the immediate cause for the application. The surrogate will doubtless require the application to be under oath, and may deem other evidence necessary before allowing the preference. The proceeding, however, is entirely *ex parte*, as it would be impracticable to give notice of it to every person interested in the estate. If it be made to appear, to the satisfaction of the surrogate, that the preference asked for will benefit the estate, an order allowing the same must be entered in the minutes.

The surrogate, upon an accounting by administrators before him, on the application of creditors, is authorized, under this section of the statute, to give a preference to a charge made by the administrators for rent paid on a lease of premises held by the intestate, if it appears to his satisfaction that such preference will benefit the estate.<sup>(g)</sup> Rent due from the testator upon a church pew is not, however, a preferred debt under this provision of the statutes, unless it is rent due upon a term of years in such pew, which belongs to the executors or administrators as a part of the personal estate of the testator or intestate.<sup>(h)</sup>

## AS TO WHAT CLAIMS UPON THE DECEASED SURVIVE AGAINST THE EXECUTOR OR ADMINISTRATOR, AND OF THE LIABILITY OF THE EXECUTOR OR ADMINISTRATOR UPON THE ACTS OF THE DECEASED.

The general rule has been established from very early times, with respect to such personal claims as are founded upon any obligation, contract, debt, covenant, or other *duty*, that the right of action, on which the testator or intestate might have been sued in his lifetime, survives his death, and is enforceable against his executor or administrator.<sup>(i)</sup> Therefore, it is clear that the executors or administrators are answerable, as far as they have assets, for debts of every description due from the deceased, either debts of record, as judgments or recognizances; or debts due on special contract, as for rent, or on bonds,

(g) *Hovey v. Smith*, 1 Barb. Sup. Ct. Rep. 372.

(h) *Johnson v. Corbett*, 11 Paige, 265.

(i) Touches. 482; 1 Saund. 216 a, note (1) to *Wheatley v. Lane*. It was said by Willes, C. J., in *Sollers v. Lawrence*, (Willes, 421,) that "actions on the case for all sorts of debts and duties are now daily brought against executors, though this was formerly doubted. But the law has been now so settled at least 150 years."

covenants and the like, under seal; or debts on simple contract, as notes unsealed, and promises, not in writing, either expressed or implied.(j)

The Revised Statutes expressly provide that actions of account, and all other actions upon contract, may be maintained against executors in all cases in which the same might have been maintained against their respective testators. And that administrators shall answer and be accountable to others to whom the intestate was holden or bound, in the same manner as executors.(k)

"The executors or administrators so completely represent their testator or intestate, with respect to the liabilities above mentioned, that every bond, or covenant, or contract of the deceased includes them, although they are not named in the terms of it;(l) for the executors or administrators of every person are implied in himself.(m)

"It is clear, also, that in many cases a liability may accrue against the executor or administrator, after the death of the testator or intestate, upon a contract made in his lifetime, although the executor or administrator be not named therein. Thus, the executor is liable upon a bond which becomes due, or a note payable subsequently to the death of the testator.(n) So, if A. is bound to build a house for B. before such a time, and A. dies before the time, his executors are bound to perform this contract."(o)

"The proposition, however, that executors or administrators are liable upon every contract of the deceased, although they be not named, must be understood as not extending to cases where the contract is *personal* to the testator or intestate; for, in such instances, no liability attaches upon the executors or administrators, unless a breach was incurred in the lifetime of the deceased.(p) Thus, if an author undertakes to compose a work, and dies before completing it, his executors are discharged from this contract; for the undertaking is merely personal in its nature, and, by the intervention of the contractor's death, has become impossible to be performed.(q) So, a covenant by a master for the instruction of his apprentices is personal to the master, and his executors are not liable upon it.(r)

(j) "Bac. Abr. Exors. (P.); 1 Com. Dig. Admor. (B.) 14." Wms. on Exrs. 1557.

(k) 2 R. S. 113; 3 R. S. (5th ed.) 201, secs 2, 3.

(l) "Wentw. Off. Ex. (14th ed.) ch. 11, p. 239, 243."

(m) "By Lord Macclesfield in *Hyde v. Skinner*, 2 P. Wms. 197; *Harwood v. Hilliard*, 2 Mod. 265." Wms. on Exrs. 1559. (n) Toller, 463.

(o) "*Quick v. Ludborrow*, 3 Bulstr. 30, by Coke, C. J. In the recent case of *Gordon v. Calvert*, (2 Sim. 253; 4 Russ. Chan. Cas. 581,) A., on taking B. as a clerk, took a bond from him and a surety, to secure his duly accounting for his receipts. No time was fixed for the continuance of the service, but it was to be determinable at the option of either party. The surety died. His executrix gave notice to A. that she should no longer consider herself liable on the bond. A. read the notice to B. and required him to execute a new bond, with another surety, which was done. Then B. died, and deficiencies were found in his accounts, subsequent to the notice. And it was held, that the executrix of the surety had no equity to support an injunction to restrain an action on the bond." Wms. on Exrs. 1560. See, also, *Mactier v. Frith*, 6 Wend. 103.

(p) "*Hyde v. The Dean of Windsor*, Cro. Eliz. 553. See the remark of Parke, B., in *Siboni v. Kirkman*, 1 Mees. and Wels. 423."

(q) "*Marshall v. Boadhurst*, 1 Tyrwh. 349, by Lord Lyndhurst."

(r) "*Baxter v. Burfield*, Bott. P. L. (6th ed.) pl. 696; S. C., 2 Stra. 1266." Wms. on Exrs. 1560.



"With regard to the liability of an executor in respect of the tortious acts of the deceased, it was a principle of the common law, that if an injury was done either to the person or property of another, for which *damages* only could be recovered in satisfaction, the action died with the person by whom the wrong was committed.<sup>(s)</sup> And at this day, (unless the case falls within the provisions of the statutes presently to be mentioned,)<sup>(t)</sup> where the cause of action is founded upon any *malfasance* or *misfeasance*, is a tort, or arises *ex delicto*, such as false imprisonment, assault and battery, slander, deceit, and in many other cases of the like kind, where the statement of the cause of action imputes a tort done either to the person or property of another, and the defence must be not guilty, the rule is, *actio personalis moritur cum persona*; and if the person by whom the injury was committed dies, no action of that kind can be brought against his executor or administrator.<sup>(u)</sup>

"Accordingly, no action lies against an executor or administrator on a penal statute.<sup>(v)</sup> So, if a man served with a *subpoena*, and having had his expenses tendered to him, neglects to appear as a witness, and dies, no action lies against his executor or administrator.<sup>(w)</sup>

"Again, if a sheriff, jailer, or keeper of a prison, suffer one in execution for debt or damages to escape, though hereby the party, at whose suit the execution was, be entitled not only to an action upon the case against such officer by the common law, but also to an action of debt by statute,<sup>(x)</sup> or of trespass on the case, also by statute;<sup>(y)</sup> yet if the officer die, no action lies against his executor for the same, because the suffering the escape was a wrong of the nature of a trespass.<sup>(z)</sup> So where, by statute, it was provided that a sheriff who neglected to return a particular warrant, should be liable to pay to the people of the state, to be recovered with costs of suit in an action for so much money received to their use, the whole sum directed to be levied by such warrant,<sup>(a)</sup> and the warrant had been delivered to a deputy of the sheriff, and had not been returned; and, after the death of the sheriff, an action of assumpsit was brought against his executors for a balance of the sum directed to be levied remaining unaccounted for; it was held, that the action would not lie against the executors of the sheriff for the default of his deputy in returning the process, although the action was given by the statute for the neglect to return; and that, although an action be given by statute in form *ex contractu*, yet, where the cause of action arises *ex delicto*, an action cannot be sustained

(s) "1 Saund. 216 a, note (1) to *Wheatley v. Lane*."

(t) *Post*, p. 321.

(u) "1 Saund. 216 a, note (1)." *Wms. on Exrs.* 1564.

(v) "Wentw. Off. Ex. (14th ed.) 255."

(w) "Wentw. Off. Ex. (14th ed.) 255."

(x) See St. Westm. 2; 13 Edw. I, ch. 11; 1 Rich. II, ch. 12; 5 Ann, ch. 9; 1 R. L. 1813, 425, sec. 19; 2 R. S. 437; 3 R. S. (5th ed.) 736.

(y) 2 R. S. 437; 3 R. S. (5th ed.) 736.

(z) *Anon.*, Dyer, 271 a; *Whitacres v. Onsley*, Dyer, 322 a; *Perkinson v. Gilford*, Cro. Car. 540; Bro. Escape, 28; Exors. 100; Execution, 86; Parliament, 80; Wentw. Off. Ex. (14th ed.) 254; *Berwick v. Andrews*, Lord Raym. 973, by Lord Holt; *Hambly v. Trott*, 1 Cowp. 375; 1 Saund. 216 a, note (1); *Martin v. Bradley*, 1 Caines, 124. See, also, *Franklin v. Lou*, 1 Johns. R. 402. But debt lies against the executors of a sheriff, &c., upon a judgment obtained against the testator for an escape.

(a) 2 R. L. 1813, 514, sec. 13. For the corresponding provision of the Revised Statutes, see 1 R. S. 401; 5th ed. 923.

against the representatives of the party who would have been liable if living, unless the estate of such party was benefited by the act complained of—as where property was tortiously taken and sold, or remains in specie in the hands of the executor or administrator.(b)

The statutory provisions which have been adverted to, giving a remedy against the executor or administrator for wrongs done by the deceased in his lifetime, are as follows:

Sec. 5. Any person, or his personal representatives, shall have actions of trespass against the executor or administrator of any testator or intestate, who in his lifetime shall have wasted, destroyed, taken or carried away, or converted to his own use, the goods or chattels of any such person, or committed any trespass on the real estate of any such person.(c)

Sec. 6. The executors and administrators of every person who, as executor, either of right or in his own wrong, or as administrator, shall have wasted or converted to his own use, any goods, chattels, or estate, of any deceased person, shall be chargeable in the same manner as their testator or intestate would have been, if living.(d)

Sec. 1. For wrongs done to the property, rights or interest of another for which an action might be maintained against the wrongdoer such action may be brought by the person injured, or, after his death, by his executors or administrators, against such wrongdoer, and after his death against his executors or administrators, in the same manner and with the like effect, in all respects, as actions founded upon contracts.(e)

Sec. 2. But the preceding section shall not extend to actions for slander, for libel, or to actions of assault and battery, or false imprisonment, nor to actions on the case for injuries to the person of the plaintiff, or to the person of the testator or intestate of any executor or administrator.(f)

With respect to these provisions, it may be observed that the words "wrongs done," in the first section of the statute above-quoted, extend to a mere non-feasance, and it is considered that the legislature, by the statute, intended to give an action against the executor for every injury by the testator, whether by force or negligence, to the property of another. Thus, an action will lie under the statute against the executor or administrator of an attorney for damages for negligence in the professional employment of the attorney, although, at common law, such an action would have died with the person.(g)

Some particular instances where the executor or administrator is liable with respect to the acts of the deceased, may here be adverted to.

It was the law, previous to the adoption in this state of the Code

(b) *The People v. Gibbs and another, executors, &c., of Gibbs, late sheriff, &c., deceased*, 9 Wend. 29. See, also, *McEvers v. Pitkin, late sheriff*, 1 Root, 216.

(c) 2 R. S. 114; 3 R. S. (5th ed.) 202.

(d) Id.

(e) 2 R. S. 447; 3 R. S. (5th ed.) 746. See *Campbell v. Renwick*, 2 Bradf. Surr. Rep. 84.

(f) 2 R. S. 448; 3 R. S. (5th ed.) 746. See reviser's notes, 3 R. and or. St. (2d ed.) Appendix, 781. It has already appeared (*ante*, p. 291; see S. L. 1847, ch. 450, sec. 1; S. L. 1849, ch. 256, sec. 1; 3 R. S., 5th ed., 589,) that an action may be maintained by the executor or administrator of a deceased person, for his death caused by wrongful act, neglect, or default.

(g) *Elder v. Bogardus*, Lator's Supplement, 116.

of Procedure, in the case of a joint contract, where several contracted on the same part, if one of the parties died, his executor or administrator was at law discharged from all liability, and the survivor or survivors alone could be sued.<sup>(h)</sup> And, if all the parties were dead, the executor of the last survivor was alone liable.<sup>(i)</sup> But if the contract was several, or joint and several, the executor of the deceased contractor might be sued at law in a separate action,<sup>(j)</sup> but he could not be sued jointly with the survivor—because one was to be charged *de bonis testatoris*, the other *de bonis propriis*.<sup>(k)</sup>

With regard to the liability in equity of the deceased joint contractor, it was completely settled that, in the case of a partnership debt, although at law, upon the death of a partner, the remedy against his executors was extinguished (inasmuch as a partnership contract was joint), yet they might be sued in equity.<sup>(l)</sup> But though it had long ceased to be disputed, that if the surviving partner proved to be unable to pay the whole debt, the joint creditor might then obtain full satisfaction in equity from the assets of the deceased partner, yet it was regarded as an unsettled point, whether the creditor had any right to resort to the representatives of the deceased partner, so long as there was a surviving solvent partner, or so long as the insolvency of the surviving partner was not established.

On the one hand, it has been asserted, that the principle on which the creditor is entitled to relief against the assets of the deceased partner, is merely through the medium of the equities subsisting between the partners themselves, and these equities, in respect of creditors, are, that joint debts shall be satisfied out of the joint estate, and that the separate estates of the partners shall not be liable to the demands of the creditors until the insufficiency or insolvency of the joint estate is established. And, therefore, it has been said, the joint creditor must pursue the surviving partner in the first instance, and shall not be permitted to resort to the assets of the deceased partner, until it is established that full satisfaction cannot be obtained from the surviving partner. On the other hand, it was contended that, in the consideration of a court of equity, a partnership debt is several as well as joint, and therefore that the joint creditor may, in the first instance, resort to the assets of the deceased partner, leaving it to the personal representatives of the deceased partner to take proper measures for recovering what, if anything, shall appear upon the partnership accounts to be due from the surviving partner to the estate of the deceased partner.

Whatever may have been the conflicting opinions upon this important subject, it is now, it seems, in England, established beyond controversy, that, in the consideration of courts of equity, a partnership

(h) "*Godson v. Good*, 2 Marsh. 300, by Gibbs, Ch. J.; S. C., 6 Taunt. 594." Colly on Partn., secs. 576, 723; *Murray v. Mumford*, 6 Cow. 441; *Grant v. Shurter*, 1 Wend. 148; 3 Kent's Comm. 63; *Gere v. Clarke*, 6 Hill, 350.

(i) Wms. on Exrs. 1481; Colly on Partn., sec. 723; *Gere v. Clarke*, 6 Hill, 350.

(j) "*May v. Woodward*, 1 Freem. 248." 1 Chitty, 37; *Engs. v. Donnithorne*, 2 Burr. 1190; *Grant v. Shurter*, 1 Wend. 148, 150.

(k) "*Hall v. Huffam*, 2 Lev. 228; Wms. on Exrs. 1576-7."

(l) "3 Meriv. 619; 4 Mylne and Cr. 109." 3 Kent's Comm. 64; Colly on Partn., book 3, ch. 3, sec. 4.

debt is several as well as joint,<sup>(m)</sup> and that, upon the death of a partner, the joint creditor has a right in equity to proceed immediately against the representative of the deceased partner for payment out of his separate estate, without reference to the question whether the joint estate is solvent or insolvent, or to the state of accounts amongst the partners.<sup>(n)</sup> And it has been laid down, that this principle extends to every joint contract for a loan of money, giving to the creditor the benefit of the security of several persons, without any distinction, that the debt must be a mercantile debt incurred by joint traders.<sup>(o)</sup>

"The true doctrine," it was said, "on the subject of obtaining relief in equity, by considering joint contracts as several, appears to be, that, wherever a court of equity sees that, in a contract joint in form, the real intention of the parties was that it should be joint and several, it will give effect to such intention. Accordingly, in certain cases, a joint bond has, in equity, been considered as several.<sup>(p)</sup> But it is not a rule, that every joint contract shall be considered as several in a court of equity; for a joint contract cannot be extended beyond its legal operation, unless the party seeking so to extend it shows some previous equity entitling him to demand a several contract from each of the joint contractors, or unless there is some ground on which to infer mistake in the nature of the instrument.<sup>(q)</sup> In the case of a partnership debt, all the partners have had a benefit from the money advanced, or the credit given, and the obligation to pay exists, independently of any instrument by which the debt may have been secured.<sup>(r)</sup> So, where a joint bond has, in equity, been considered as several, there has been a credit previously given to the different persons who have entered into the obligation, and it was not the bond which first created the liability to pay. But where the obligation exists only by virtue of a joint covenant or bond, the extent of its operation can be measured only by the words in which it is conceived; and a court of equity cannot give the instrument any other than its legal effect."<sup>(s)</sup>

"With respect to the right of a surviving co-contractor to enforce contribution from the personal representatives of his deceased companion," it was added, "although it cannot be stated as a universal proposition that, in all cases, where two or more jointly employ a third person, there is an implied undertaking in all to contribute ratably

<sup>(m)</sup> See *Brown v. Gordon*, 16 Beav. 310; *Ridgway v. Clare*, 19 Beav. 111.

<sup>(n)</sup> *Devaynes v. Noble*, 1 Meriv. 530; *S. C.*, on appeal, 2 Russ and M. 495; *Sleech's case*, 1 Meriv. 539; *Wilkinson v. Henderson*, 1 M. and K. 582. See, also, *Brown v. Weatherby*, 12 Sim. 6; *Way v. Bassett*, 5 Hare, 68; *Colly on Partn.*, sec. 580, and cases cited; *Story's Eq. Jur.*, sec. 676; *Story on Partn.*, sec. 362; 3 Kent's Comm. 64. Justice Williams, in the last edition of his learned work on the law of executors, &c., p. 1577, intimates that this still continues to be regarded as an unsettled point in England.

<sup>(o)</sup> *Thorpe v. Jackson*, 2 Younge and Coll. 533. But see *Slater v. Wheeler*, 9 Sim. 157; *Wms. on Exrs.* 1579.

<sup>(p)</sup> "*Primrose v. Bromley*, 1 Atk. 90; *Bishop v. Church*, 2 Ves. sen. 100, 371; *Hoare v. Contencin*, 1 Bro. Chan. Cas. 27; *Thomas v. Frazer*, 3 Ves. 399; *Burn v. Burn*, 3 Ves. 573; *Ex parte Kendall*, 17 Ves. 525."

<sup>(q)</sup> "In case of such a mistake, it seems that equity will relieve as well against a surety as a principal. *Rawstone v. Parr*, 3 Russ. 424, 539."

<sup>(r)</sup> "2 Meriv. 37."

<sup>(s)</sup> "*Sumner v. Powell*, 2 Meriv. 30; *S. C.*, affirmed, 1 Turn. and R. 423; *Rawstone v. Parr*, 3 Russ. 424, 539; *Richardson v. Horton*, 6 Beav. 185." *Wms. on Exrs.* 1580-81.

under the Code of Procedure, if a deceased co-contractor: yet, if several persons jointly contract for a house to be made or procured for the common use of all—in answer to the building of a ship or the furnishing of a house—and one of them or the survivors of any party, dying before the work is completed, are by agreement to remain in the place of the party dying, in such a case, against the legal remedy of the party contracting, there is equity against the survivors, yet the law would certainly admit a contract on the part of the deceased co-contractor, and the executor of his estate is responsible for the price of the article to be furnished.

The doctrine that, in the case of the death of a partner, resort may primarily be had in equity for a debt due by the firm to the assets of the deceased partner, without averring and proving the bankruptcy or insolvency of the surviving partner, and without reference to the state of the accounts between the partners has never been adopted by the courts of this state. On the contrary, in *Lawrence v. The Trustees of the Leuke and Watts Orphan House*,<sup>(i)</sup> a case turning upon this very point, this doctrine was expressly disavowed by the court of last resort, and, in direct conflict with the English decisions which have been referred to, the rule was laid down, that a creditor of a co-partnership, one member of which had died, could not sustain a suit in chancery, against the personal representatives of the deceased partner, without averring and proving that the surviving members were insolvent. And further, it was held that, as the remedy at law survived, the creditor was bound to resort to his legal remedy against the surviving debtor, unless he could show a necessity for coming into a court of equity for relief against the estate of the deceased debtor, notwithstanding the recent decisions to the contrary in the English courts. This was on the ground that such debt was joint, and not joint and several. In the case of joint and several debtors, the rule was otherwise. And, therefore, it was concluded there never was any right to relief against the executors of the deceased partner, for the recovery of a debt due by the partnership, either at law or in equity, until the occurrence of the insolvency of the surviving member of the firm.<sup>(v)</sup>

And under the Code of Procedure, although at one time a different doctrine gained some foothold,<sup>(w)</sup> the law is now established in this state, in conformity with the judgment in the case of *Lawrence v. The Trustees of the Leuke and Watts Orphan House*, that in the case of a partnership contract where one of the partners dies, the executors or administrators of the deceased partner are liable in equity only, and they cannot be joined as defendants with the surviving partners, in an action at law to recover upon the contract. And whether the insolvency of the surviving partners or of the partnership estate would justify a joint action against the survivors, and the representatives of the deceased partner, is undetermined; but it is settled that no liability

(i) "*Prior v. Hambrow*, 8 M. and W. 873. See, also, *Balard v. Hawes*, 2 E. and B. 287, 298." Wms. on Exrs. 1582-3.

(u) 2 Denio, 577.

(v) See, also, *Slatter v. Carroll*, 2 Sandf. Ch. Rep. 573; *Hamersley v. Lambert*, 2 Johns. Ch. Rep. 608.

(w) See *Richart v. Townsend*, 6 How. Prac. Rep. 460.



upon the contract exists on the part of the executors or administrators of the deceased partner, except upon an averment by the claimant that the debt cannot be collected from the surviving members of the partnership.<sup>(x)</sup> And in the Superior Court of the city of New York, this doctrine has been applied to the case of a joint contract. Thus, where there were several joint promissory notes, and one of the makers died, it was held that an action at law upon the notes could not be maintained against the surviving makers of the note and the executors of the deceased joint makers, and that no liability of the executors of the deceased joint maker to the holder of the notes attached until he had exhausted his remedy against the surviving joint maker of the notes.<sup>(y)</sup>

"In every case where the testator is bound by a covenant, the executor shall be bound by it, if it be not determined by the death of the testator:<sup>(z)</sup> that is, unless it is such a covenant as was to be performed by the person of the testator."<sup>(a)</sup>

"The executor is not only liable upon all covenants by the testator which have been broken in his lifetime,<sup>(b)</sup> but, moreover, he is answerable for all breaches in his own time, as far as he has assets; for the privity of contract of the testator is not determined by his death."<sup>(c)</sup>

Thus, damages for breach of a covenant for quiet enjoyment, accruing both before and after the death of the covenantor, it has been held, may be recovered in one and the same action against his executor or administrator.<sup>(d)</sup>

"Again, although a covenant in a lease should be of a nature such as to run with the land, so as to make the assignee of the term liable for a breach of it after the assignment, yet this shall not discharge the executor of the original lessee from a concurrent liability on the covenant, as far as he has assets, even although the lessor shall have accepted the assignee as his tenant.

"Therefore, where the lessee has assigned the term in his lifetime, the lessor may still maintain an action, in the nature of the former action of covenant, against the executor of the lessee, upon an express covenant for payment of rent, even although the lessor has accepted the assignee for his tenant. And so may the assignee of the reversion, by virtue of the stat. 2 R. S., part 2, ch. 1, title 4, taken from 1 R. L. 1813, ch. 31; 32 H. VIII, ch. 34.<sup>(e)</sup>

<sup>(x)</sup> *Voorhis v. Childs' executor*, 17 N. Y. Rep. 354; *S. C.*, *Voorhis v. Baxter*, 18 Barb. Sup. Ct. Rep. 592; 1 Abbott's Prac. Rep. 43. See, also, *Bloodgood agst. Bruen*, 4 Seld. 362.

<sup>(y)</sup> M. S. See this subject considered at some length in the second edition of this work, pp. 295-6-7-8-9.

<sup>(z)</sup> "Bro. Covenant, pl. 12; Com. Dig., Covenant, ch. 1."

<sup>(a)</sup> "*Hyde v. Dean of Windsor*, Cro. Eliz. 553; *Bally v. Wells*, 3 Wils. 29. See, also, *Thursden v. Warthen*, 2 Bulstr. 158; *Macartney v. Blundell*, 2 Ridgw. P. C. 113." Wms. on Exrs. 1583.

<sup>(b)</sup> "Wentw. Off. Ex. (14th ed.) 251."

<sup>(c)</sup> "*Coghill v. Freelove*, 3 Mod. 326." Wms. on Exrs. 1584. See, also, F. N. B. 145, (E) note (a).

<sup>(d)</sup> *Hovey v. Newton*, 11 Pickering, 421.

<sup>(e)</sup> "*Brett v. Cumberland*, Cro. Jac. 521-2; 1 Saund. 241 a, note (5) to *Thursby v. Plant*. But although the executor of the *original lessee* will be liable for breaches of covenant, incurred after an assignment by the testator or by himself, it is otherwise where the testator was the *assignee of the lessee*; for no action will lie against him, except in respect of breaches in his own time; and, therefore, all future liability may be discharged by assignment over,

"So, if the executor himself assigns the term, the lessor may afterwards bring covenant against the executor, notwithstanding an acceptance of the assignee as tenant. And so also may the assignee of the reversion.(f)

"It must be observed, however, that there is a distinction, with respect to this liability, between an express covenant and a mere covenant *in law*; for no action lies against an executor or administrator upon a covenant in law, which is not broken till after the death of the testator.(g)

"With respect to the liability of the executor of the lessee to an action, in the nature of the former action of *debt*, for rent accrued after the death of the testator, it is fully established, that the executor will be liable as long as the lease continues, and as far as he has assets, as well in the form of an action of debt as in covenant, notwithstanding the lessee assigned the term before his death, or the executor has done so since.(h) But if the lessor has *accepted the assignee as his tenant*, then no action of debt will lie against the executor for rent accrued since the assignment, although, as it just appeared, an action in covenant may be maintained on an express covenant for its payment during the continuance of the lease.(i)

"This may be the proper place to consider the personal responsibility of the executor for the rent incurred under a demise to his testator.

even to a pauper. *Taylor v. Shum*, 1 Bos. and Pull. 21. And since such a course is quite justifiable, morally as well as legally, after an offer to surrender the lease to the landlord, the executor may be guilty of a *devastavit* in neglecting to adopt it. *Rowley v. Adams*, 4 M. and Cr. 534." See Wms on Exrs. 1585.

The provisions of the statute are as follows:—

Sec. 17. [Sec. 23.] The grantees of any demised lands, tenements or other hereditaments, or of the reversion thereof, the assignee of the lessor, of any demise, and the heirs and personal representatives of the lessor, grantee or assignee, shall have the same remedies by entry, action, or otherwise, for the non-performance of any agreement contained in the lease so assigned, or for the recovery of any rent or for the doing of any waste, or other cause of forfeiture, as their grantor or lessor had, or might have had, if such reversion had remained in such lessor or grantor.

Sec. 18. [Sec. 24.] The lessees of any lands, their assigns or personal representatives, shall have the same remedy by action or otherwise, against the lessor, his grantees, assignees, or his or their representatives, for the breach of any covenant or agreement in such lease contained, as such lessee might have had against his immediate lessor, except covenants against incumbrances, or relating to the title or possession of the premises demised.

Sec. 19. [Sec. 25.] The provisions of the two last sections shall extend as well to grants or leases in fee, reserving rents, as to leases for life and for years. 3 R. S. (5th ed.) 37.

(f) "*Hellier v. Casbard*, 1 Sid. 266; *S. C.*, 1 Lev. 127; *Coghill v. Freelove*, 3 Mod. 325. It should seem, that if the lessor proceeds against the executor, and recovers damages for a breach of the covenant after assignment, the executor may have an action on the case against the assignee, for having neglected to perform the covenant, whereby the executor sustained damage. *Burnett v. Lynch*, 5 B. and C. 589." See Wms. on Exrs. 1585.

(g) "*Swan v. Stransham*, Dyer, 257 a; *Bragg v. Wiseman*, 1 Brownl. 22; *Proctor v. Johnson*, 2 Brownl. 214; *Newton v. Osborn*, Style, 387; *Porter v. Swetnam*, Style, 407; *Netherton v. Jessup*, Holt, 412; *Andrew v. Pearce*, 1 New Rep. 158; Touchst. 160; Com. Dig., Covenant (C,) 1. See, also, *Adams v. Gibney*, 6 Bing. 656." Wms. on Exrs. 1586.

(h) "It is true that Lord Coke, in *Walker's case*, 3 Co. 24 a, says that 'it was adjudged in *Overton v. Sydhall*, that if the executor of a lessee for years assigns over his interest, an action of debt does not lie against him for rent due after the assignment; and that, if lessee for years assigns over his interest and dies, the executor shall not be charged for rent due after his death; for, by the death of the lessee, the personal privity of contract as to the action of debt in both cases was determined.' But this is contrary to all the subsequent authorities. See *Coghill v. Freelove*, 3 Mod. 325; *Pitcher v. Tovey*, 4 Mod. 76; 1 Saund. 241 b, note (5)." Wms. on Exrs. 1587.

(i) Wms. on Exrs. 1587.

"If the whole rent incurs in the lifetime of the testator, the action to recover it from the executor must be brought against him in his representative character.(j)

"But in an action, in the nature of the former action of debt, for rent incurred after the death of the lessee, *if the executor or administrator enters* upon the demised premises, or, which is the same thing, receives the rents and profits, the lessor has his election either to sue him as executor or administrator, or to charge him personally as assignee in respect of the perception of the profits.(k) Therefore, if the action be brought in debt, the lessor may either sue the defendant as executor in the detinet,(l) or in the debet and detinet,(m) as assignee of the term.(n) So, in covenant, the lessor has his election either to charge the executor as executor,(o) or as assignee, without naming him executor, stating generally, in stating the cause of action, that the estate of the lessee in the premises lawfully came to the defendant."(p)

If the executor renew the lease, the new lease becomes assets; but if he purchase the reversion in fee, the acquisition, being of another right and interest, does not enure to the benefit of the estate.(q)

Ordinarily, the executor, in the absence of special directions, should dispose of the term. By so doing, he can escape personal responsibility as assignee, and at the same time he discharges the duty the law imposes upon him of converting the assets into money, ready for distribution.(r)

(j) *Wms. on Exrs.* 1587; "1 Roll. Abr. 603 (S.) pl. 9; *Fruen v. Porter*, 1 Sid. 379."

(k) "*Boulton v. Canon*, Freem. 337; *S. C.*, Pollexf. 125; 1 Saund. 1, note (1) to *Jevyns v. Harridge*."

(l) "*Royston v. Cordrye*, Aleyn. 42; *Hope v. Bague*, 3 East, 2."

(m) "*Hargrave's case*, 5 Co. 31; *Rich v. Frank*, Cro. Jac. 238; *Calyn v. Joslyn*, Aleyn. 34; 1 Saund. 1, note 1. So, if the executor enters, he may be charged in the debet and detinet for the current half-year's rent which commenced before the testator died. *The Bailiffs of Ipswich v. Martin*, Cro. Jac. 411; *Jevens v. Harridge*, 1 Saund. 1. But if one sum of money is due for arrears of rent which became due in the lifetime of the testator, and another sum for arrears due in the executor's own time, the lessor cannot in one action charge the executor in the detinet for the one part, and in the debet and detinet for the other; for then two different judgments would be necessary. *Salter v. Codbold*, 3 Lev. 74. But one action may be brought for both sums in the detinet only. *Aylmer v. Hide*, 13 Geo. II; B. R. M. S. Selw. N. P. (6th ed.) 610. If the lessor in such case will not waive his right of demanding satisfaction out of the estate of the executor, he must bring two actions."

(n) "In such cases, it appears to have been the practice to name the defendant executor, and to state in the declaration, in the debet and detinet, the demise to the deceased, his death, the grant of administration to the defendant, his entry into the demised premises, and the subsequent accruing of rent. See the entry in *Jevens v. Harridge*, 1 Saund. 1, and the case of *Calyn v. Joslyn*, Aleyn. 34. But it should seem sufficient to charge the defendant in the debet and detinet as assignee generally, without naming him executor. See *Lyddall v. Dunlapp*, 1 Wils. 4, 5." See, also, *In the matter of Galloway*, 21 Wend. 32.

(o) "*Buckley v. Pirk*, 1 Salk. 317."

(p) *Tilney v. Norris*, 1 Lord Raym. 553; *S. C.*, 1 Salk. 309; Carth. 519; *Buckley v. Pirk*, 1 Salk. 317; 1 Saund. 1, note (1)." *Wms. on Exrs.* 1587-8. Terms for years vest in the executor, who becomes personally responsible for the subsequent rent to the extent only of the profits of the land, which he is bound to apply to that purpose, and for such proportion of the rent as shall exceed the profits if chargeable merely in the capacity of executor. *Toll. on Exrs.* 143, 279, 280. See *Fisher v. Fisher*, 1 Bradf. Surr. Rep. 335, 337.

(q) See *Fisher v. Fisher*, 1 Bradf. Surr. Rep. 337-8, and authorities and cases cited.

(r) Per Bradford, Surr., in *Fisher v. Fisher*, *supra*. With respect to the question how far executors will become personally liable on the usual covenants to pay rent in a renewed lease, if those covenants are framed so as to be obligatory on them only as executors, see the references contained in the opinion of the learned surrogate.

Where the executor or administrator has no assets, or the land is in truth not worth the sum due, he may show those facts in defence: *Prima facie*, however, the land is deemed worth more than the sum demanded.<sup>(s)</sup> And, being personally liable, the executor or administrator, in a proper case, may be proceeded against by attachment, under the Act relative to absconding, concealed, and non-resident debtors.<sup>(s)</sup>

If the executor or administrator do not enter upon the demised premises, the claim of the lessor comes among the debts of the fourth class prescribed by the statute,<sup>(t)</sup> and is to be paid in full, or *pro rata* with other specialty or simple contract debts, according to the sufficiency of the assets.

If the executor does not enter,<sup>(u)</sup> he is still chargeable, it is said, as executor in the detinet, because he cannot so waive the term as not to be liable for the rent as far as he has assets.<sup>(v)</sup>

"If the purchaser of a real estate dies without having paid the purchase money, his heir at law, or the devisee of the land purchased, will be entitled to have the estate paid for by the executor or administrator.<sup>(w)</sup> And if the personal estate cannot be got in, and the heir or devisee pays for the land out of his own pocket, he may afterwards call upon the personal representatives to reimburse him.<sup>(x)</sup> So, if the personal estate is insufficient to perform the contract, and the agreement is on that account rescinded, yet the heir or devisee will, it should seem, be entitled to the personalty so far as it goes. And it has been decided that if, by reason of the complication of the testator's affairs, the purchase money cannot be immediately paid, and the vendor for that reason rescinds the contract, yet, on the coming in of the assets, the devisee of the estate contracted for, may compel the executor to lay out the purchase money in the purchase of other estates for his benefit."<sup>(y)</sup>

Where, however, the balance remaining unpaid on a purchase of real estate is secured by a mortgage of such real estate, this rule is probably abrogated by the Revised Statutes. The fifth title of the first chapter of the second part of the Revised Statutes provides as follows:—

Sec. 4. Whenever any real estate, subject to a mortgage executed by any ancestor or testator, shall descend to an heir or pass to a de-

<sup>(s)</sup> *In the Matter of Galloway*, 21 Wend. 32.

<sup>(t)</sup> See *ante*, p. 312.

<sup>(u)</sup> "There seems to be some doubt whether this distinction as to the entry of the executor has not, in a great measure, ceased to exist since the decision of *Williams v. Bosanquet*, 1 Brod. and B. 238. That case decided (overruling *Eaton v. Jacques*, Dougl. 455,) that the assignee in fact of a lease may be charged as assignee on a covenant contained in it for the payment of rent, though he has never occupied, or actually become possessed. And it does not appear altogether clear whether it is not a consequence that an executor may likewise be charged as assignee in law, without entry. See the observation of Parke, B., at the conclusion of his judgment in *Nation v. Tbzzer*, 1 Crompt. M. and R. 176; 4 Tyrwh. 565." See, also, Wms. on Exrs. 1588-9, and notes.

<sup>(v)</sup> *Howse v. Webster*, Yelv. 103; *Helier v. Casebert*, 1 Lev. 127. And see Wms. on Exrs. 1493; *Wollaston v. Hakewill*, 3 M. and Gr. 297; *S. C.*, 3 Scott N. R. 593; *Green v. Lord Listowell*, 2 Irish Law Rep. 384; *Ackland v. Pring*, 2 M. and Gr. 937; Wms. on Exrs. 1493, note (b).

<sup>(w)</sup> "*Milner v. Mills*, Mosely, 123; *Broome v. Monck*, 10 Ves. 597."

<sup>(x)</sup> "10 Ves. 614-15; 1 Sugd. V. and P. (9th ed.) 180. See *Lord v. Lord*, 1 Sim. 505."

<sup>(y)</sup> "*Whittaker v. Whittaker*, 4 Bro. Chanc. Cas. 31; *Broome v. Monck*, 10 Ves. 597; 1 Sugd. V. and P. (9th ed.) 180." Wms. on Exrs. 1596.

visée, such heir or devisee shall satisfy and discharge such mortgage out of his own property, without resorting to the executor or administrator of his ancestor, unless there be an express direction in the will of such testator that such mortgage be otherwise paid.(z)

This provision applies to cases of absolute intestacy, as well as to those cases in which the decedent has disposed of his property, or a part of it, by will;(a) and it is never disturbed unless by some clear and express direction in the will. Thus, where the will contained, on this subject, nothing more than a general provision that "all the debts and sums of money" which the testator should owe at the time of his death were to be paid by the executors, it was held that this was merely the usual direction as to the payment of debts, and showed no signs of an intention to modify the statutory rule.(b)

And where, upon the purchase of certain real estate, the testator, as a part of the consideration, assumed the payment of a mortgage already upon the premises, and upon other property, upon a question between the creditor and the executors of the testator, it was held that, under this provision of the statute, the premises included in the mortgage were the primary fund for the satisfaction of the mortgage debt, and that the creditor could not resort to the personal property for the payment of the mortgage debt, until the deficiency, if any, for which the personal estate might be liable, was ascertained by the result of a suit for the foreclosure of the mortgage.(c)

But where there is simply a contract for the purchase of land, left incomplete at the death of the decedent, the rule above laid down will prevail, and the personal property will be held liable to complete the purchase for the benefit of the heir or devisee. And, upon a contract by the decedent for the purchase of land, where the land has not been conveyed to him, nor the purchase money paid, the land contracted for is, in equity, considered as real estate, and as belonging to the heirs of the decedent; and the unpaid purchase money is primarily chargeable upon his personal estate, and is to be paid by his executors or administrators, for the benefit of such heirs.(d)

"But if a title cannot be made, or there was not a perfect contract, or the court should think the contract ought not to be executed, in all these cases there is no conversion of real estate into personal, in consideration of the court, upon which the right of the executor on the one hand, and of the heir or devisee on the other, depends. And, therefore, if the vendor dies, the estate will go to the heir at law of the vendor, in the same manner as if no contract had been entered into;(e) and the heir or devisee of the purchaser will not be entitled to the money agreed to be paid for the lands, or to have any other estate bought for him.(f) The court cannot speculate upon what the

(z) 1 R. S. 749; 3 R. S. (5th ed.) 38.

(a) *House v. House*, 10 Paige, 158, 164. See, also, *Johnson v. Corbett*, 11 Paige, 265.

(b) *Taylor v. Wendel*, 4 Bradf. Surr. Rep. 324.

(c) *Halsey v. Reed*, 9 Paige, 446. See, also, *Mollan v. Griffith*, 3 Paige, 402.

(d) *Johnson v. Corbett*, 11 Paige, 265.

(e) "*Lacon v. Mertins*, 3 Atk. 1; *Attorney-General v. Day*, 1 Ves. sen. 218; *Buckmaster v. Harrop*, 7 Ves. 341. See, also, *Johnson v. Le Gard*, 1 Turn. and Russ. 281."

(f) "*Green v. Smith*, 1 Atk. 573; *Broome v. Monck*, 10 Ves. 597."



deceased party would or would not have done; but in these cases the inquiry must be, whether at his death a contract existed by which he was bound, and which he would be compelled to perform. That alone can give to the heir of the purchaser a right to call for the personal estate to be applied, or to the personal representative of the vendor a right to call upon his heir.(g)

"Where a specific legacy is pledged or charged by the testator, the specific legatee is entitled to have his legacy redeemed or exonerated by the executor; and if the executor fails to perform that duty, the specific legatee is entitled to compensation to the amount of his legacy out of the general assets of the testator.(h)

"Therefore, if a legacy be of a silver cup or a jewel, and it be in pledge at the testator's death, the legatee has a right to call upon the executor to redeem it, and to deliver it to him."(i)

There has already been occasion to show that, on the death of the master, the agreement for service on the part of the apprentice is at an end.(j) And it seems equally well established, that the executors of the master are discharged from all agreements and covenants *for the instruction* of the apprentice; for these are considered as personal to the testator, and determined by his death.(k) But the covenant on the part of the master *for maintenance* of the apprentice still continues in force;(l) and, therefore, the executor is liable in an action of covenant, as far as he has assets, if he neglects to maintain him.(m)

With respect to debts which a wife contracted while single, and which remained due at the time of the marriage, it was formerly the law that the husband was liable as long as both parties were alive. But this liability, which originated in the marriage, ceased with it; and, therefore, upon the death of the husband before the wife, and before payment, the debts survived against her, and the executor of the husband was discharged from them.(n)

And if the husband survived the wife, he was not individually responsible for her debts contracted before marriage, however large a fortune he might have received with her.(o) Nevertheless, as her administrator, he was liable to answer for them to the extent of her assets.(p)

If the husband did not administer, however, he was presumed to have assets in his hands sufficient to satisfy her debts, and was liable therefor.

(g) "1 Sugd. V. and P. (9th ed.) 189." Wms. on Exra. 1597.

(h) "*Knight v. Davis*, 3 M. and K. 358."

(i) "Swinb., part 7, sec. 20, pl. 18." See, also, *Stewart v. Denton*, 4 Dougl. 219; S. C., 2 Chitt. Rep. 456; *Marshall v. Holloway*, 5 Sim. 196; Wms. on Exra. 1597.

(j) *Ante*, pp. 305, 310.

(k) *R. v. Peck*, 1 Salk. 66; *Baxter v. Burfield*, 1 Bott. P. L. (6th ed.) pl. 696; S. C., 2 Stra. 1266; *Wadsworth v. Guy*, 1 Keb. 820; S. C., 1 Sid. 216. The decision in *Walker v. Hull*, 1 Lev. 177, was *contra*; but the court denied this case in *Baxter v. Burfield*, 2 Stra. 1267.

(l) "*R. v. Peck*, 1 Salk. 66; S. C., *nomine R. v. Pett*, 1 Show. 405; *Baxter v. Burfield*, 1 Bott. P. L. (6th ed.) pl. 696; S. C., 2 Stra. 1266; *Soam v. Bowden*, Finch. Rep. 396."

(m) Wms. on Exra. 1501.

(n) "*Woodman v. Chapman*, 1 Cambp. 189." Wms. on Exra. 1601; *Chapline v. Moore*, 7 Monr. Rep. 175; *Mallory v. Vanderheyden*, 3 Barb. Ch. Rep. 9.

(o) Wentw. Off. Ex. (14th ed.) 369.

(p) "Id. 370; *Heard v. Stanford*, Cas. Temp. Talb. 173; S. C., 3 P. Wms. 409." Wms. on Exra. 1601.

This was expressly declared by statute,<sup>(q)</sup> which further provides that, if the husband shall die, leaving any assets of his wife unadministered, they shall pass to his executors or administrators as part of his personal estate, but shall be liable for the wife's debts to her creditors, in preference to the creditors of the husband.

However, by the act of the Legislature of the 18th of July, 1853, entitled "An Act relating to debts contracted by women before marriage,"<sup>(r)</sup> it is now provided as follows:

Sec. 1. An action may be maintained against the husband and wife, jointly, for any debt of the wife contracted before marriage, but the execution on any judgment in such action shall issue against, and such judgment shall bind the separate estate and property of the wife only, and not that of the husband.

Sec 2. Any husband who may hereafter acquire the separate property of his wife, or any portion thereof by any ante-nuptial contract, or otherwise, shall be liable for the debts of his wife contracted before marriage, to the extent only of the property so acquired, as if this act had not been passed.

It may be further observed that the separate estate of the wife in the hands of her personal representatives, whoever they may be, is liable for the debts which she contracted before her marriage.<sup>(s)</sup>

"With respect to debts contracted by a wife after marriage, as far as a supply of necessaries, it shall be presumed, as long as he lives, that she had the authority of the husband, as his agent, to procure them for her own use. He may, consequently, be compelled to pay for them, and so may his executors, if he has assets. But the authority will be revoked by the death of the husband; and, therefore, his executor is not liable for necessaries supplied to the wife after the decease of the husband, although the fact of his being dead were unknown at the time the necessaries were provided."<sup>(t)</sup>

And now it is expressly provided by statute that no bargain or contract made by any married woman, in respect to her sole and separate property, or any property which may hereafter come to her by descent, devise, bequest or gift of any person except her husband, and no bargain or contract entered into by any married woman in or about the carrying on of any trade or business under the statutes of this state, shall be binding upon her husband, or render him or his property in any way liable therefor.<sup>(u)</sup>

"It may be observed that, if a man performs services for the testator, as if a stockbroker transacts all the money concerns of the deceased, without any view to a reward, but in the expectation of a legacy, he cannot set up any demand for such services against the executor or administrator.<sup>(v)</sup> Where, however, a surgeon forbore to send in his bill for medicines and attendance to a deceased patient in her lifetime,

(q) 2 R. S. 75; 3 R. S. (5th ed.) 259, sec. 29; recited at length, *ante*, pp. 229-30.

(r) S. L. 1853, ch. 576, p. 1057; 3 R. S. (5th ed.) 241.

(s) *McKay v. Allen*, 6 Yerg. Rep. 44; *Mallory v. Vanderheyden*, 3 Barb. Ch. Rep. 9, 23.

(t) "*Blades v. Free*, 9 B. and C. 167." Wms. on Exrs. 1503-4.

(u) "Act concerning the rights and liabilities of husband and wife," passed March 20, 1860. S. L. 1860, p. 157, ch. 90, sec. 8.

(v) "*Osborn v. Guy's Hospital*, 2 Stra. 728; *Le Sage v. Coussemaker*, 1 Esp. 188."

under the expectation of a legacy; and, on her death, finding she had left him nothing, he made a claim on her executors; it was held that he was entitled to recover, no proof having been given of any *understanding* between the parties that he was to be paid only by a legacy."(*w*)

And, as a rule, it is to be gathered from the cases, that where a party renders services for another in the hope of a legacy, and in sole reliance upon the testator's generosity, *without any contract, express or implied*, that compensation shall be provided for him by will, and the party for whom the services were rendered, dies without making such provision, no action lies; but where, from the circumstances of the case, it is manifest that it was understood by both parties that compensation should be made by will, and none is made, an action lies to recover the value of such services.(*x*)

But where one has served another in expectation of a testamentary provision, if the understanding between the parties was that the person rendering the services should be compensated for his services by a provision in the testator's will, then, where the testator's devise to him a portion of his property, whether adequate to the value of the services rendered or not seems to be of no consequence, a claim to recover the value of the services rendered cannot be supported. In the absence, however, of any such understanding, the devise will not be regarded as a satisfaction of the claim for services, unless it is so expressed in the will, or the intention of the testator to make it such satisfaction is fairly to be inferred from its provisions.(*y*)

"As a court of equity will not, *inter vivos*, compel a party to complete his gift, so it will not compel the executor to complete the gift of the testator. Therefore, an act of bounty, which has not been perfected by the testator, is of no avail against his executor.(*z*)

"If a man enters into a continuing guaranty and dies, his executor, it seems, is not liable upon it for advances made after the testator's death, which operates as a revocation."(*a*)

If, when a bill of exchange becomes due and is dishonored, the drawer or indorser is dead, notice of the dishonor ought to be given to his personal representative.(*b*) Where the drawee, acceptor, or maker, is dead, the bill or note must be presented to his executors or administrators,(*c*) unless where the bill is made payable and is presented at a particular place, in which case it is not necessary to present it also at the house of the executor or administrator.(*d*) In case there is no representative, the holder should demand payment at the house of the

(*w*) "*Baxter v. Gray*, 3 M. and Gr. 771." Wms. on Exrs. 1004.

(*x*) "*Martin v. Wright's Administrators*, 13 Wend. 460, and cases cited. See, also, *Eaton v. Benton*, 2 Hill, 576.

(*y*) *Eaton v. Benton*, 2 Hill, 576.

(*z*) "*Hooper v. Goodwin*, 1 Swanst. 485; *Cotteen v. Missing*, 1 Madd. 176; *Meek v. Kettlewell*, 1 Phill. Ch. C. 342; *Callaghan v. Callaghan* 8 Cl. and F. 374; *Searle v. Laws*, 15 Sim. 95; *Dillon v. Coppin*, 4 M. and Cr. 647; *Ward v. Audland*, 8 Beav. 201. An executor may be compelled to execute an agreement by the testator to grant an annuity. *Nield v. Smith*, 14 Ves. 491." Wms. on Exrs. 100.

(*a*) "Smith's Comm. L. (4th ed.) 425." Wms. on Exrs.

(*b*) Chitty on Bills (8th ed.) 369; Roscoe on Bills, 199; Byles on Bills (5th ed.) 216. See, also, *Oriental Bank v. Blake, adm'r*, 22 Pickering, 206.

(*c*) Roscoe on Bills, 147.

(*d*) *Philpott v. Bryant*, 3 Carr. and P. 244.

deceased.(e) So, where the drawer or indorser of a bill of exchange, or indorser of a promissory note, is dead, notice of the dishonor or non-payment of the bill or note must be given to the executor or administrator of the drawer or indorser. Where, however, a note fell due on the 22d of December, and the indorser, being absent on a voyage for the recovery of his health, died at sea on the 12th of December, but his death was not known to the holders until March following, and the will was not proved, nor letters testamentary granted until April following, it was held that, notice of the non-payment having been left, at the time, at the dwelling-house of the indorser, his last place of residence in New York, and also sent by post to his family, who had shortly before removed into the country, it was sufficient to support an action against the executors of the indorser, without showing any notice to them of the non-payment.(f) But where the plaintiffs, a bank, not only had notice of the death of the indorser, but that he had made a will which was on record in the village in which the bank was, and where the cashier to whom the notice was given resided, and the notice of the demand and non-payment of the note was directed to the deceased, and no notice was sent to the executors of his will, it was held that notice of a will, and that it had been proved, was entirely equivalent to direct information that the estate was duly represented, either by executors, or by an administrator with the will annexed, and that, under these circumstances, the omission to inquire and give direct notice to the executors was inexcusable, and that the executors were discharged from liability upon the indorsement. And further, although it appeared that two out of the three executors of the decedent had subsequently promised to pay the note, it was held that the promise formed no ground for presuming a regular notice; and this, even had there been no proof of the irregularity of the notice: for a promise by two out of three executors is not receivable in such case to affect the estate.(g)

If an executor take up a note made by his testator, the relation of executor which he holds to the estate will, in the absence of proof of a different intention, raise the presumption that he meant to pay the note, not to take it as a purchaser, and the indorser will thereby be discharged.(h)

#### OF THE LIABILITY OF AN EXECUTOR OR ADMINISTRATOR ON HIS OWN CONTRACTS.

It is now proposed to investigate the personal responsibility of an executor or administrator, arising from his own contracts.

A promise by an executor or administrator to pay a debt of the testator, or to answer damages, will not make him personally liable, unless there be a sufficient consideration to support the promise. For a bare promise by the executor does not make him liable out of his

(e) Roscoe on Bills, 147; Wms. on Exrs. 1714-15.

(f) *Merchant's Bank v. Birch*, 17 Johns. Rep. 25. See, also, Bayley (Ames' ed.) 418; Roscoe on Bills, note 44. See, also, *Stewart v. Eden*, 2 Caines' Rep. 121.

(g) *The Cayuga County Bank v. Bennett*, 5 Hill, 236. See, also, *Willis v. Green*, Id. 232.

(h) *Borst v. Bovee*, 5 Hill, 219.

own estate, but he is still chargeable only as executor, and to the extent of the assets in his hands, in the same manner as he would have been had no such promise been made.<sup>(i)</sup> And, by the Statute of Frauds, re-enacted substantially in this state,<sup>(j)</sup> the executor or administrator will not be liable, unless the promise is in writing. It is clear, however, that although the promise be in writing, it is of no more effect since the statute than before, unless it be by deed, or there be a good consideration for it.<sup>(k)</sup> Hence, since the statute, there are two things necessary for the validity of the promise of the executor or administrator to pay the debt of the testator, or answer damages, out of his own estate: 1st. The common law requires that there should be a sufficient consideration to support the promise; 2d. The statute adds a still further requisite, that the promise should be in writing.<sup>(l)</sup> It is therefore expedient to examine, in the first place, what is a valid consideration for a promise by an executor or administrator, to charge him *de bonis propriis*; and then to inquire what is a reduction of the promise into writing, sufficient to satisfy the Statute of Frauds.<sup>(m)</sup>

1st. What is a valid consideration? If a creditor, at the request of an executor, *forbears* to sue him, that was formerly considered a sufficient consideration to charge him *de bonis propriis*, whether he had assets or not at the time of the promise; and, therefore, it was not necessary to aver in the declaration that he had assets: As if A., to whom the testator was indebted, came to the executor, and said that he intended to sue him for the debt, whereupon the executor promised, in consideration that the plaintiff would forbear him for a reasonable time, to pay him, and A. accordingly forbore to sue him for a reasonable time, that was a good consideration to charge the defendant, in an action upon the case, out of his own estate, without assets; for by this promise it was intended as well to forbear to sue the executor, as to forbear the debt; and a forbearance of a suit, it was held, was a good consideration, without assets at the time of the promise.<sup>(n)</sup>

So, if an executor was indebted to J. S. in 100*l.*, who demanded the money, the executor was chargeable only in respect of assets, and not otherwise; but if he promised to pay the debt *at a future day*, it became *his own debt*, and to be satisfied out of *his own estate*.<sup>(o)</sup> So, B. having

(i) "*Reech v. Kennegal*, 1 Vea. sen. 126."

(j) See *post*, p. 336.

(k) "The statute has made no alteration in the pleading, and, consequently, it does not appear upon the declaration whether there was a promise in writing or not. It is a matter of evidence only. *Anon.*, 2 Salk. 519; *Williams v. Leper*, 3 Burr. 1290, by Yates, J."

(l) "*Rann v. Hughes*, 4 Bro. P. C. (Toml. ed.) 27; *S. C.*, 7 T. R. 350, note (a); *Hawkes v. Saunders*, Cowp. 289; *Philpot v. Briant*, 4 Bing. 717; *S. C.*, 1 M. and P. 754. But see *Herbert v. Powis*, 1 Bro. P. C. (Toml. ed.) 355."

(m) See Wms. on Exrs. 1512.

(n) *Johnson v. Whitchcott*, 1 Roll. Abr. 24, tit. Action sur Case (V,) pl. 33, upon a demurrer, where the defendant pleaded that he had no assets when the promise was made. It is said in *Bane's case*, (9 Co. 94 a,) that if there be no assets, *it shall be given in evidence*; but this opinion has been overruled since. See, also, *Gardener v. Fenner*, 1 Roll. Abr. 15, tit. Action sur Case (S,) pl. 3; *Chambers v. Leversage*, Cro. Eliz. 644; *Hawes v. Smith*, 2 Lev. 122; *Fish v. Richardson*, Yelv. 55-6; *S. C.*, Cro. Jac. 47; *Davis v. Reynier*, 2 Lev. 3; *S. C.*, *nomine Davis v. Wright*, 1 Vent. 120; 2 Keb. 758; *Deeks v. Strutt*, 5 T. R. 690. See *infra*, pt. 5, bk. 2, ch. 1. See 2 Saund. 137, c note to *Barber v. Fox*; *Scott v. Stevens*, 1 Sid. 89. See Wms. on Exrs. 1513-14.

(o) *Goring v. Goring*, Yelv. 11. See *Reech v. Kennegal*, 1 Vea. sen. 126.



died indebted to G. for work and labor done, his executors signed the following memorandum on the back of G.'s account: "Mr. G. having consented to wait for the payment of the within account, we, as the executors of B., engage to pay Mr. G. interest for the same, at 5% per cent., until the same is settled;" and it was held, that the executors were personally liable to pay the debt and interest.<sup>(p)</sup> Accordingly, in a modern case,<sup>(q)</sup> two executors gave a promissory note to the plaintiff in the following words: "*As executors to the late T. T., we severally and jointly promise to pay to N. C. the sum of 200£, on demand, with lawful interest for the same;*" and the Court of C. B. held that they were personally liable upon the instrument, upon the ground that the promise, from the circumstance of interest being added, necessarily imported a payment at a future day; and an executor, promising to pay a debt at a future day, makes the debt his own.<sup>(r)</sup>

In the case of *The Bank of Troy v. Topping*,<sup>(s)</sup> however, it was held that forbearance as the consideration of a promissory note given by administrators must be affirmatively shown, and that the mere fact that the note was payable at a future day—sixty days after date—would not authorize an inference that forbearance was the consideration, nor be sufficient of itself to charge the administrators personally with the debt. In that case, the intestate, at the time of his death, was indebted to the Bank of Troy on a promissory note made by him, and indorsed by another person for his accommodation, for \$5,000. When that note fell due, the defendants paid \$1,000, and gave a new note, with the same indorser, payable sixty days after date, for the balance, and signed as follows, "Margaret Topping, *administratrix*; John Holme, *administrator* to the estate of John Topping, deceased." It was on a renewal note of this description that the action was brought, and the court seem to have arrived at the following conclusions: That the promise of an executor or administrator, like every other valid promise, requires a sufficient consideration to support it; that the promise of an executor or administrator to pay the debt of his testator or intestate, in order to bind him personally, must be in writing, and founded upon a good consideration; that assets in the hands of an executor or administrator constitute a sufficient consideration to support the promise; that forbearance to sue is also a good and sufficient consideration, but that such consideration must be shown, and the court could not infer it from the fact that the note was payable sixty days after date. This was upon the ground that a promissory note given in this state, for a simple contract debt, does not absolutely discharge such debt—the creditor may still prosecute upon the original consideration, and may recover upon producing and cancelling the note. In the case under consideration the plaintiffs lost nothing by taking the defendants' notes for the note of their intestate; they might at any time have prosecuted

(p) *Bradly v. Heath*, 3 Sim. 543.

(q) *Childs v. Monins*, 2 Brod. and Bing. 460; *S. C.*, 5 Moore, 281.

(r) See, also, *Ridout v. Bristow*, 1 Cr. and J. 231; *Ten Eyck v. Vanderpoel*, 8 Johns. R. 120; *Bowerbank v. Monterio*, 4 Taunt. 844. Where a bill is indorsed to certain persons as executors, and they again indorse it, they become personally liable: *per* Buller, J., *King v. Thom*, 1 T. R. 489. See *Wms. on Exrs.* 1516.

(s) 9 Wend. 273; 13 Wend. 557.

the defendants as administrators for the money lent to their intestate, and recover judgment, and thus have obtained any preference which the law would then have given them. And with respect to assets as a consideration, as a promissory note *imports a consideration*, and assets constituted a good consideration, the note in question was *prima facie* evidence of assets in the hands of the defendants sufficient to pay it; but that it was competent for them to rebut that presumption, by showing affirmatively that they had no assets, and of course that there was not any consideration to support the note; and that, in such case, the *onus probandi* rests upon the defendant. And as to the evidence to establish a deficiency of assets, the court held that proof of proceedings had before a surrogate, under the statute for the sale of *all the real estate* of the testator or intestate, for the payment of his debts, a sale had in pursuance thereof, and a distribution of the proceeds among the creditors, is not competent evidence to establish the fact that the executor or administrator had *fully administered*, and had no assets belonging to the estate.

It remains to consider, secondly, What is a sufficient reduction into writing of the promise of an executor or administrator. The fourth section of the Statute of Frauds (29 Car. II, c. 3) enacts (*inter alia*) "that no action should be brought, whereby to charge any executor or administrator, upon any special promise to answer damages out of his own estate, or whereby to charge the defendant, upon any special promise to answer for the debt, default, or miscarriage of another person, &c., &c., unless the *agreement* upon which such action shall be brought, or some memorandum or note thereof, shall be *in writing*, and *signed* by the party to be charged therewith, or some other person thereunto by him lawfully authorized."

The statute of this state, which, in this particular, substantially follows the fourth section of the Statute of Frauds, enacts as follows:

Sec. 1. No executor or administrator shall be chargeable upon any special promise to answer damages, or to pay the debts of the testator or intestate out of his own estate, unless the agreement for that purpose, or some memorandum or note thereof be in writing, and signed by such executor or administrator, or by some other person by him thereunto specially authorized.(t)

The word "agreement," used in this section, means the *consideration* of the promise;(u) and therefore it was held, in the case of *Wain v. Warlters*,(v) that the *consideration* of the promise, as well as the promise itself, must be in writing, otherwise it is void. This doctrine was very much doubted in several subsequent cases, but has been fully established by the more recent decisions.(w) It is, however, sufficient if the consideration can be gathered from the whole tenor of the writing; and it is not necessary that it should be stated on the face of it in express terms.(x)

(t) 2 R. S. 113; 3 R. S. (5th ed.) 298.

(u) "1 Saund. 211, note (2)."

(v) 5 East, 10. See Smith's Leading Cases.

(w) *Saunders v. Wakefield*, 4 Barn. and Ald. 595; *Jenkins v. Reynolds*, 3 Brod. and Bingh. 14; *Morley v. Boothby*, 3 Bingh. 107

(x) *Stadt v. Lill*, 9 East, 348; *S. C.*, 1 Camp. 242; *Bateman v. Phillips*, 15 East. 227;

With respect to the liability of an executor or administrator, upon a submission to arbitration of a claim upon him as the representative of the deceased, it has been held that a note, given by executors by way of submission to arbitration, is not binding, unless there were assets in their hands. When a submission has been made by *bond*, the executor is liable, not only because a seal imports a consideration,—for a promissory note imports a consideration also,—but also because, when a person has executed an instrument under seal, he shall not be permitted to disprove the consideration. Both the bond and note import assets, and of course a sufficient consideration: the consideration of the bond cannot be explained; that of the note may, as between the original parties and all parties having notice of the consideration.(y)

It may properly be suggested, that the principles and rules of law which have thus been stated, with respect to the liability of an executor or administrator on promises to pay the debts of his testator or intestate, have probably not any, or but little, application under the system of administration prescribed by the Revised Statutes, and now in force in this state. They grew out of, and were adapted, and were essential to, the system of preferential administration which formerly prevailed, and which was superseded by the revision of the laws of 1830. Under that system, if one of several creditors of equal degree sued the executor or administrator, and obtained judgment against him, such creditor must have been satisfied before the rest; and if one creditor of the deceased commenced an action against the executor or administrator, of which he had notice, he was restrained from making a voluntary payment to any other creditor of equal degree.(z) If, therefore, the executor or administrator, having assets, by any promise to or agreement with the creditor delayed his proceedings, and thereby enabled other creditors to obtain a precedence in payment out of the assets, by judgment and execution, the executor or administrator was held to have assumed the debt, and to have become personally liable for its payment; and the Statute of Frauds defined some of the requisites to establish such liability. The Revised Statutes having abolished all preferences, except in favor of debts due the United States, taxes and judgments, and provided for the payment of specialty and simple contract debts *pro rata* out of the assets, in case of deficiency the creditor cannot, by any promise or agreement of the executor or administrator, be prejudiced. Neither assets nor forbearance would now, it is presumed, be sufficient to sustain an action on a promise of the executor or administrator, because the plaintiff's share of the assets would be the same either with or without the promise; and as to forbearance, where the claim has once been presented, the creditor's share could not be affected by that, nor would it, as will presently appear, delay the period of payment.

*Morris v. Stacey*, Holt. N. P. C. 153; *Russell v. Mosely*, 3 Brod. and Bing. 211; *S. C.*, 6 Moore, 521; *Stead v. Liddard*, 1 Bing. 196; *S. C.*, 8 Moore, 2; 1 Saund. 211, note (d). *Wms. on Exrs.* 1617.

(y) *Ten Eyck v. Vanderpoel*, 8 Johns Rep. 120; *Schoonmaker v. De Witt*, 17 id. 304; *Bank of Troy v. Topping*, 9 Wend. 273. See *Wms. on Exrs.* 1618. A submission to arbitration, or an agreement to submit made by the decedent, is revoked by his death before the award is delivered. It is a naked power which either party may revoke before it is executed, and which is terminated, of course, by the death of either party. *McIntire v. Morris' adm.'rs*, 14 Wend. 90, 95, and cases and authorities cited.

(z) See *Wms. on Exrs.* 926, 930.

"With respect to the liability of an executor or administrator carrying on the trade of the deceased, the general principle is that a trade is not transmissible, but is put an end to by the death of the trader. Executors, therefore, have no authority in law to carry on the trade of their testator, and if they do so, unless under the protection of a court of equity, they run great risk, even although the will contains a direction that they should continue the business of the deceased.(a) The case of an executor or administrator, in this respect, is very hard: For, if the trade be beneficial, the profits are applicable to the purposes of the trust, and the executor or administrator derives no personal benefit from the success: If, on the contrary, the trade prove a losing concern, the executor, on failure of assets, will be personally responsible for the debts contracted in the business since the testator's death, to the extent of all his own property; also, in his person; and he may be proceeded against as a bankrupt, though he is but a trustee.(b.) Accordingly, in a case(c) where the executors of a deceased partner continued his share of the partnership property in trade for the benefit of his infant daughter, the Court of K. B. held that they were liable upon a bill drawn for the accommodation of the partnership, and paid in discharge of a partnership debt, although their names were not added to the firm, but the trade was carried on by the other partners under the same firm as before, and the executors, when they divided the profits and loss of the trade, carried the same to the account of the infant, and took no part of the profits themselves."(d)

"It must be observed, that when the law speaks of executors not carrying on the business of their testator, it means that they are not to buy and sell. There are many cases when executors not only may, but are bound to continue the business to a certain extent. Thus, if a party contracts for himself and his executors to build a house, and dies, the executors must go on, or they will be liable in damages for not completing the work.(e) So, if a party engages to build a house, and dies after having procured all the necessary materials, it should seem that his executors ought to complete the work, and not dispose of the materials at a loss to the estate.(f) Again, if a bookseller undertakes to publish a work in parts, and, before the completion, he dies, a subscriber has a claim upon the estate to complete the work; for otherwise those parts which he has purchased, upon the faith of the work being completed, are useless: So, if a man makes half a wheelbarrow, or half a pair of shoes, and dies, the executors may complete them, and they are not bound to sacrifice the property of their testator by selling articles in an imperfect state.(g) So, if the deceased died possessed of a manufactory, his executors, it should seem, would be justified in continuing the works for a reasonable time, if this should be requisite for

(a) "*Barker v. Barker*, 1 T. R. 295; *Ex parte Garland*, 10 Ves. 119."

(b) *Ex parte Garland*, 10 Ves. 119; *Ex parte Richardson*, 1 Buck, 209." See, also, *Case v. Abeel*, 1 Paige, 393; *Alsop v. Mather*, 8 Conn. 584.

(c) "*Wightman v. Townroe*, 1 M. and S. 412. See *Lucy v. Watrond*, 3 Bing. N. C. 841."

(d) *Wms. on Exrs.* 1624-5.

(e) *Marshall v. Broadhurst*, 1 Crompt. and Jerv. 405; *S. C.*, 1 Tyrw. 350. *Ante*, p. 319.

(f) 1 Crompt. and Jerv. 405. See, also, *Edwards v. Grace*, 2 Mees. and Wells. 190.

(g) 1 Crompt. and Jerv. 405-6. See *Dakin v. Cope*, 2 Russ. Chanc. Cas. 170.

the purpose of selling the machinery and premises to advantage; and they will not, at least in equity, be charged with any loss sustained in employing the assets in so continuing the trade, if they act *bona fide*, and according to the best of their judgment.<sup>(h)</sup>

It is proper in this place to refer to the duties and liabilities of an executor or administrator in respect to the payment of the taxes imposed upon the property of the deceased in his hands. And it should be understood that the individual property of an executor or administrator may be taken for a tax imposed upon him in his representative character, when no property of the testator or intestate can be found upon which to levy the tax. By the laws imposing and regulating taxes,<sup>(i)</sup> the assessment of a tax upon the assets of the deceased in the hands of an executor or administrator, is a personal tax in his special character as such executor or administrator. He is considered as the beneficial owner of the trust property. The tax is imposed upon him in the same manner as it would have been imposed upon the testator, had he been living. It is kept distinct and separate from his individual tax, but this is not because the mode of collecting it is different, but to enable him to correct the assessment if the valuation is erroneous, and to give him incontestible evidence of the amount paid for taxes on the trust property, in the settlement of his account with the trust estate. This rule does not impose any hardship upon executors or administrators, or subject them to any peril. The statute provides that the assessors shall, on or before the first day of August in each year, deposit with one of their number a copy of the assessment roll, and shall give public notice that the same may be seen and examined at the place of deposit, by any person interested, until the third Tuesday of August,<sup>(j)</sup> and that the assessors will then meet to review their assessments; and that whenever any person, on his own behalf, or on behalf of those whom he may represent, shall apply to the assessors of any town or ward to reduce the value of his real and personal estate as set down in the assessment roll, it shall be the duty of such assessors to examine such person, under oath, touching the value of his or their said real or personal estate; and, after such examination, and such other supplementary evidence under oath as shall be presented by the party or person aggrieved, they shall fix the value thereof at such sum as they may deem just under the rule prescribed by the statute. If an individual, therefore, is assessed as executor, when in fact he is not executor, or when he has no trust property in his possession or under his control, or if such property is over valued, he has only to make oath, or produce other satisfactory evidence of the facts, and the assessment will be either entirely taken off, or reduced according to the truth and justice of the case. If he do not object to the assessment in the manner prescribed by the statute, he is concluded from questioning it in any other manner. He thereby admits assets, and subjects himself to personal liability as for a *devastavit*.<sup>(k)</sup>

(h) *Garrett v. Noble*, 6 Sim. 504; Wms. on Exrs. 1627-8.

(i) 1 R. S. 387, 389; 5th ed. 905, 908.

(j) This is the general rule for the state at large. The date and period for the examination of the assessment roll vary in several of the cities of the state. See 1 R. S. (5th ed.) 966 *et seq.*

(k) See *Williams v. Holden*, 4 Wend. 223.



In all cases, taxes due at the time of the death of the deceased person are payable out of his personal estate, and taxes accruing subsequently are chargeable upon the land. And there is no ratable division or apportionment; but the entire tax becomes due and must be paid according to the rule just stated.(k)

It may here properly be mentioned that the trustees of any absconding, concealed, or non-resident debtor, or of any insolvent debtor, appointed under any of the provisions of the first title of the fifth chapter of the second part of the Revised Statutes, are required, in making distribution of the estate of the debtor, to pay, in the first place, and in preference to all others, all debts which may be owing by the debtor as guardian, executor, administrator, or trustee; and if there be not sufficient to pay all debts of the character above specified, then a distribution is required to be made among them, in proportion to their amounts respectively.(l)

The debt due from an executor for moneys of the estate received by him before he qualified, is entitled to a preference under this provision. The authority of the executor, when duly perfected, relates back, and legalizes payments made to him before he qualified,(m) and the moneys received thus become a debt owing by him as executor within the meaning of this statute.(n)

OF THE COLLECTION OF JUDGMENTS AGAINST THE DECEASED IN HIS LIFETIME; OF SUITS PENDING AGAINST THE DECEASED AT THE TIME OF HIS DEATH; OF THE LIMITATION OF ACTIONS AGAINST EXECUTORS AND ADMINISTRATORS—OF SUITS AND JUDGMENTS AGAINST THEM; OF THE PUBLICATION OF NOTICE FOR THE PRESENTATION OF CLAIMS, AND THE EXHIBITION OF CLAIMS AGAINST THE DECEASED, TO THE EXECUTOR OR ADMINISTRATOR—THEIR REFERENCE AND PAYMENT; AND OF PROCEEDINGS IN THE SURROGATES' COURTS TO ENFORCE THE PAYMENT OF THE DEBTS AGAINST THE PERSONAL PROPERTY OF THE DECEASED.

1. With respect to the collection of judgments against the deceased in his lifetime, it has always appeared(o) that it is provided by the Revised Statutes, that in all cases in which a record of judgment shall be filed and docketed within one year after the death of the party against whom such judgment was obtained, a suggestion of such death, if it happen before judgment rendered, shall be entered on the record; and if after judgment rendered, the fact shall be certified on the back of such record, by the attorney filing the same. Such judgment, it is further provided, shall not bind the real estate which such party shall have had at the time of his death, but shall be considered as a debt to be paid in the usual course of administration.(p)

The Revised Statutes further provide as follows:

Sec. 27. If any party die after judgment rendered against him, but before execution issued thereon, the remedy on such judgments shall not be suspended by reason of the non-age of any heir of such party; but no

(k) *Griswold v. Griswold*, 4 Bradf. Surr. Rep. 216.

(l) 2 R. S. 47, sec. 39 [sec. 34]; 3 R. S. (5th ed.) 120.

(m) See *ante*, p. 254. See, also, *Parsons v. Lyman*, 4 Bradf. Surr. Rep. 268.

(n) *Matter of Faulkner*, 7 Hill, 180.

(o) *Ante*, p. 312. See 2 R. S. 359, 360; 3 R. S. (5th ed.) 638, secs. 8, 9.

(p) The next section of the statute, as appeared at page 312, provided for the case of a verdict rendered before the death of the party, and proceedings stayed by bill of exceptions or order of the court.

execution shall issue on any such judgment, until the expiration of one year after the death of the party against whom the same was rendered.(q)

Both these provisions may have had special reference to real estate, but they are equally applicable to personal property—the same considerations applying to each species of property.(r) Where the judgment against the defendant was entered on a bond, and a warrant of attorney to confess judgment within one year after the death of the defendant, as of the term in which he died, it was held that such judgment did not bind the real estate of the deceased; but that it was merely a debt having a preference, to be paid in the usual course of administration; and that an execution could not issue upon such judgment, nor can an execution issue upon any other judgment, where the defendant dies after judgment and before execution, until one year after the death of the defendant.(s)

The following, which is the next section of the statute to that last above recited, relates to the present subject:

Sec. 28. If any person taken in execution against his body, shall die while so charged, new executions may be issued against the goods, chattels, lands and tenements of the deceased, in the same manner as if he had never been charged in execution.(t)

Judgments against the deceased in his lifetime could, under the Revised Statutes, be revived against his personal representatives by *scire facias*. After providing for the issuing of writs of *scire facias quare executionem non*, whenever an execution had not been issued within the time allowed by law, after the filing of the record of judgment, or recovery by the next section of the statute, it was enacted as follows:

Sec. 2. Writs of *scire facias* shall be issued, in the cases not otherwise provided by law, to revive a judgment against the personal representatives of any deceased defendant, or to continue a suit by or against the representatives of either party who shall have died in the progress thereof. But such writs against the personal representatives of any party, shall be issued within one year after the cause for issuing the same shall arise.(u)

By section 376 of the Code of Procedure, it is provided that in case of the death of a judgment debtor after judgment, his personal representatives may, at any time within one year after their appointment, be summoned to show cause why the judgment should not be enforced against the estate of the judgment debtor in their hands.

By section 377, the summons is to be subscribed by the judgment

(q) 2 R. S. 368; 3 R. S. (5th ed.) 649.

(r) *Nichols v. Chapman*, (per Savage, Ch. J.) 9 Wend. 452, 455.

(s) *Nichols v. Chapman*, 9 Wend. 452. See, also, *The People v. The Judges of the Albany Mayor's Court*, 9 Wend. 486.

(t) 2 R. S. 386; 3 R. S. (5th ed.) 649.

(u) 2 R. S. 576; 3d ed. 671. The compilers of the 4th and 5th editions of the Revised Statutes have regarded these provisions as repealed by sec. 428, ch. 2, tit. 13 of the Code. That section does not, of itself, probably abolish the writ of *scire facias* in any other cases than those for which a remedy is substituted by the provisions of the chapter in which it is contained, among which the collection of a judgment after the time for issuing execution has expired, or after the death of the judgment debtor, is not to be found. The provisions of the Revised Statutes for these purposes are, however, as will presently appear, superseded by other sections of the Code, (*Cameron agst. Young*, 6 How. Prac. Rep. 372,) although this was not so considered in the anonymous case, 1 Code Reporter, 118.

creditor, his representatives or attorney, shall describe the judgment, and require the person summoned to show cause within twenty days after the service of the summons; and shall be served in like manner as an original summons.

Sec. 378. The summons shall be accompanied by an affidavit of the person subscribing it, that the judgment has not been satisfied, to his knowledge or information and belief, and shall specify the amount due thereon.

Sec. 379. Upon such summons, the party summoned may answer within the time specified therein, denying the judgment, or setting up any defence which may have arisen subsequently.(v)

Sec. 380. The party issuing the summons may demur or reply to the answer, and the party summoned may demur to the reply, and the issues may be tried and judgment may be given in the same manner as in an action, and enforced by execution, or the application of the property charged to the payment of the judgment may be compelled by attachment, if necessary.

Sec. 381. The answer and reply shall be verified in the like cases and manner, and be subject to the same rules, as the answer and reply in an action.

The act "to provide for the enforcement of judgment liens against the real estate and chattels real of deceased judgment debtors," passed April 10, 1850, provides as follows:

Sec. 1. Notwithstanding the death of a party after judgment, execution thereon against any property, lands, tenements, real estate, or chattels real, upon which such judgment shall be a lien, either at law or in equity, may be issued and executed in the same manner, and with the same effect as if he were still living, except that such execution cannot be issued within a year after the death of the defendant, nor in any case unless upon permission granted by the surrogate of the county, who has jurisdiction to grant administration or letters testamentary on the estate of the deceased judgment debtor, which surrogate may, on sufficient cause shown, make an order granting permission to issue such execution as aforesaid.(w)

The above-quoted provisions of the Code probably supersede the above second section of the Revised Statutes. The writ of *scire facias*, it should be remarked, however, is not abolished in the case under consideration by the 428th section of the Code, which applies, it is supposed, only to the cases specified in the chapter of which it is the first section. Nor is the provision of the Revised Statutes repealed by the 468th section of the Code, which declares only that "all statutory provisions inconsistent with this act are repealed,"—the proceeding by *scire facias* to revive a judgment against the personal representatives of a deceased debtor, not being inconsistent with any of the provisions of the Code. The subsequent clause of the same section, providing that "all rights of action given or secured by existing laws, may be prosecuted in the manner provided by this act," does not, in terms, prohibit or prevent the issuing of a *scire facias* as a concurrent remedy with that

See *MacFarland v. Irwin*, 8 Johns. 78.

S. L. 1850, ch. 295, p. 639; 3 R. S. (5th ed.) 642.

provided by the Code. But a proceeding by *scire facias*, it is laid down, is to be regarded as an action.<sup>(x)</sup> And the 69th section of the Code provides, that there shall be but one form of action for the enforcement or protection of private rights and the redress of private wrongs, which shall be denominated a civil action. And the 127th section provides, that civil actions in courts of record shall be commenced by the service of a summons. An action, therefore, cannot be commenced by *scire facias*, nor otherwise than by the service of a summons. The proceeding by *scire facias* being an action, consequently it is considered as superseded, and the section of the Revised Statutes in question is by implication repealed.<sup>(y)</sup>

The above second section of the Revised Statutes provided, it will be remembered, that writs of *scire facias* to revive a judgment against the personal representatives of any deceased defendant, should be issued within one year after the cause for issuing the same should arise. "The cause," said Chief Justice Nelson,<sup>(z)</sup> speaking of this provision, "is the death of the defendant in the judgment—and that makes the revival by this proceeding necessary. But until the executor qualifies, the plaintiff should not be bound to commence proceedings—the year should then begin to run. The object of this provision is apparent, namely, to enable the executor or administrator to close the settlement of the estate within the eighteen months prescribed by statute;<sup>(a)</sup> after which time he is bound to render and adjust his accounts before the surrogate. Though the limit of the year is short, I do not perceive any particular hardship in the case: it is desirable the estate should be settled within a reasonable time." This view seems to have been adopted in framing the above sections of the Code, which, it will be observed, provide that the personal representatives of the deceased judgment debtor may be summoned to show cause why the judgment should not be enforced at any time within one year after their appointment.

The proceeding, under the 376th section of the Code above-quoted, by summons against an executor or administrator, to show cause why judgment should not be enforced against the estate of a deceased judgment debtor, is not to be treated as an action. It is a proceeding in court with most of the forms of an action, but for only one specific object, namely, to enforce the original judgment against the estate of the deceased judgment debtor in the hands of the executor or administrator. Costs may be given, because the judgment may be given in the same manner as in an action; and in certain cases, costs may be given against executors in an action. Judgment will not be given against the executor or administrator, personally, but will, in the usual case, direct that the plaintiff recover of the executor or administrator the amount of the judgment against the deceased, and interest, together with the costs of the proceeding and the allowance therein, if any—to be levied, in due course of administration, out of the goods and chattels which were of the deceased at the time of his death, or which since have, or

(x) *Cameron & McKay agst. Young*, 6 How. Prac. Rep. 372, and cases cited.

(y) *Cameron & McKay agst. Young*, 6 How. Prac. Rep. 372; *Alden agst. Clark, Tift & Bradley*, 11 How. Prac. Rep. 209. But see *Anon.*, 1 Code Reporter, 118.

(z) In *Clark v. Sexton's executors*, 23 Wend. 477, 479.

(a) 2 R. S. 92; 3 R. S. (5th ed.) 277. See *post*, ch. 12.

thereafter may, come into the hands of the executor or administrator to be administered; and that on the plaintiff's obtaining the proper order of the surrogate pursuant to the provisions of the Revised Statutes presently to be quoted, (b) to enforce payment of the same by execution, with liberty to move for an attachment to compel the application of any property charged with the payment of the judgment. (c)

The 376th section of the Code, above-quoted, requires that letters testamentary or of administration of the deceased, shall issue before any steps can be taken by the judgment creditor for the collection of his judgment. The legislature, it would seem, was not satisfied with its provisions, and, by the act of the 10th April, 1850, above recited, undertook to provide, for the creditors of deceased judgment debtors whose judgments were liens upon real property of the deceased, a more simple and expeditious mode of obtaining satisfaction of their judgments.

By that act, the creditor is not required to wait until letters are granted upon the deceased debtor's estate, as in section 376 of the Code; but, one year after the death of the judgment debtor, upon permission granted by a surrogate having jurisdiction to grant administration, &c., on the estate, execution is permitted to issue in the same manner, and with the same effect, as if the judgment debtor were still living.

This act is very brief, and does not, in direct terms, provide for notice to any person or party. But as the plaintiff's remedy is to be reached by the process of the courts, and as the courts have at all times control of process issued therefrom, such process, it seems, will not be issued without proper notice to all those interested, that they may have an opportunity to show cause. In no case, it is considered, should execution be permitted to issue under that act, without leave of the court. The fair inference, from the language of the act, is, that application to the court for leave was contemplated.

That application should be upon affidavit setting forth all the facts, together with the surrogate's permission. On presenting such papers to the court, an order will be made for all persons interested in the estate to show cause, at a special term, why execution on such judgment should not issue, and also directing how, and on whom, such order shall be served. (d)

2. With respect to suits pending against the testator or intestate at the time of his death, the Code of Procedure (section 121) provides that no action shall abate by the death of a party, if the cause of action survive or continue. In case of death of a party, the court, on motion, at any time within one year thereafter, or afterwards on a supplemental complaint, may allow the action to be continued by or against his representative in interest. (e)

(b) 2 R. S. 88; 3 R. S. (5th ed.) 174, sec. 36 [sec. 32]. *Post*.

(c) *Mills agst. Thursby*, 12 How. Prac. Rep. 385.

(d) Code, sec. 284. See *Alden agst. Clark, Tift & Bradley*, 11 How. Pr. R. 209, per James, J.

(e) The corresponding provision of the Revised Statutes, relating to the present subject, was as follows: If any action shall have been commenced within the time prescribed by law for the commencement of such action, and the defendant in such suit die before judgment, and if the right of action be such as survives against the representatives of the defendant, the plaintiff may commence a new action against the heirs, executors, or administrators of such defendant, as the case may require, within one year after such death; or, if no executors or administrators be appointed within that time, then within one year after letters testamentary or of administration shall have been granted to them. 2 R. S. 298; 3d ed. 396.



It seems that after the death or other disability of a party, the suit cannot be continued by a supplemental complaint, without the leave of the court first obtained; and if a supplemental complaint is served without the leave of the court first obtained, the complaint and the proceedings thereon will be set aside on motion.(f) The language of the provision is, that the court may allow the action to be continued, which implies an application to the court.

3. With respect to the limitation of actions against executors and administrators, the Code of Procedure (section 102) provides as follows:

If a person against whom an action may be brought, die before the expiration of the time limited for the commencement thereof, and the cause of action survives, an action may be commenced against his executors or administrator, after the expiration of that time, and within one year after the issuing of letters testamentary or of administration.

The article of the Revised Statutes relative to "suits by and against executors and administrators," provides as follows:

Sec. 8. The term of eighteen months, after the death of any testator or intestate, shall not be deemed any part of the time limited by law for the commencement of actions against his executors or administrators.(g)

Under this section of the Revised Statutes it was held, previous to the Code, that where the Statute of Limitations had not run against the demand at the time of the death of the debtor, the creditor had eighteen months after such death within which to bring his action, although the limitation of the statute may have expired before the expiration of the eighteen months.(h) Thus, where the action was upon two promissory notes made by the intestate, and the limitation of six years expired on the 4th September, 1832—the intestate having died on the 10th July, 1832, and the administration of his estate having been issued on the 5th October, 1832, and the action was commenced on the 26th September, 1833, one year and twenty-two days after the statute attached—the Supreme Court determined that the Statute of Limitations was not a bar to the action.(h)

It is a well settled proposition, that no exception to the Statute of Limitations can be claimed unless it is expressly mentioned in such statute.(i) The exception provided by the above eighth section of the Revised Statutes was eighteen months after the death of the testator or intestate, within which to commence a suit against an executor or administrator for a valid claim existing against the testator or intestate in his lifetime. The statute seems to have proceeded upon the assumption, that in all cases an executor or administrator would be appointed against whom a suit could be brought before the lapse of eighteen months from the decedent's death. Instances, however, are not unfrequent, where a longer period than eighteen months intervenes between the death and the appointment of an executor or administrator. In

(f) Howard's Code, 155, 158.

(g) 2 R. S. 448; 3 R. S. (5th ed.) 746.

(h) *Wenman v. The Mohawk Insurance Company*, 13 Wend. 267.

(i) *Bucklin v. Ford*, 5 Barb. Sup. Ct. Rep. 393; *Reynolds v. Collins*, 3 Hill, 36; *Wenman v. The Mohawk Insurance Company*, 13 Wend. 267; Bacon's Abr. 395, tit. Lim. of Actions, (E,) 5 note; Willes, 27.

such cases, where the limitation expired during that period, the statute was a bar to an action, and the claimant was without relief.(j) This defect in the former law, as it must be supposed it was considered, it was probably the object of the above-quoted section of the Code to remedy. The claimant is now allowed one year after the issuing of the letters testamentary or of administration, within which to bring his action. If the provision of the Code is to be understood as superseding the above eighth section of the Revised Statutes, this will, in many cases, abridge the time formerly allowed: As, where the letters are issued, as is common, within a few days or weeks after the death of the testator or intestate. There is not, however, it is plain, anything inconsistent in the provisions of the two sections, and upon their mere language they may very well stand together. By the Code, the action is to be brought within a year after the issuing of the letters; and by the Revised Statutes, the term of eighteen months after the death of the testator or intestate is not to be deemed any part of the time limited for the commencement of the action. This gives in all cases a period of two years and six months after the death of the testator or intestate, within which to bring an action against his executor or administrator, upon a claim not barred by the Statute of Limitations at the time of the death of the decedent.(k)

(j) Some of the remarks of Mr. Justice Nelson, in his opinion in *Wenman v. The Mohawk Insurance Company*, (13 Wend. 268,) would seem to favor the idea that the eighteen months' limitation provided by the eighth section of the Revised Statutes, was eighteen months from the granting of the letters testamentary or of administration. He says: "An executor or administrator, after the expiration of eighteen months from the time of his appointment, may be required to render an account of his proceedings, &c.; and he may, after the expiration of the same time, voluntarily render a final account, and compel attendance of all persons interested." 2 R. S. 92, sec. 52; 3 R. S. (5th ed.) 178; Id. 95, sec. 70; 3 R. S. (5th ed.) 182. Suits against executors and administrators are greatly discouraged by numerous provisions in the Revised Statutes, as may be seen by reference to various sections. 2 R. S. 88, sec. 31 to 41 inclusive; 9 Wend. 452. No execution can issue upon a judgment against an executor or administrator, until an account of his administration shall have been rendered and settled, unless by order of the surrogate, (sec. 32;) and no costs can be recovered against executors or administrators, except in particular cases, (sec. 41.) Such being the discouraging and fruitless effect of suits in all cases against them, it was just that a delay of eighteen months, which time they have to settle the estate, should not operate to bar the rights of creditors by lapse of time; and for this reason sec. 8 was passed. It is a part of the system established "for the regulation of the powers and duties of surrogates, and of executors and administrators in the settlement of estates of deceased persons." The delay of eighteen months which the executor or administrator has for the settlement of the estate and the rendering of his account, it is expressly declared, is "from the time of his appointment." See 2 R. S. 92, sec. 52; 3 R. S. (5th ed.) 178; *Post*, ch. 12. The term of eighteen months allowed for the commencement of actions against executors or administrators, is "after the death of the testator or intestate." There may be a considerable interval between the expiration of the two periods. The preceding portion of the learned justice's opinion very conclusively negatives the notion that, on the death of the intestate, the running of the statute ceased until administration was granted, and the whole opinion, taken together, may be considered as determining the true construction of this section to be according to its veriest words, and that, under its provisions, the limitation prescribed would expire at the end of eighteen months from the time of the death of the testator or intestate, without reference to the time when letters testamentary or of administration may have been granted.

(k) In *Wenman v. The Mohawk Insurance Company*, (13 Wend. 267, 269,) it was considered by Mr. Justice Nelson, that the above eighth section of the Revised Statutes did not apply to enlarge the time, prescribed by sec. 34 (2 R. S. 298), for bringing a new action against an executor or administrator, where the decedent died *pendente lite*. (See *ante*, p. 345, n.) Still less would that section apply to the provision of the Code, (sec. 121,) corresponding to the 34th section of the Revised Statutes referred to, which, as has appeared above, provides for

It may here properly be observed, that there are cases where the cause of action accrued *after* the death of the testator or intestate, in which it has been repeatedly held, that the statute did not begin to run until probate, or letters of administration; and this for the plain reason that the cause of action, which must exist before the statute can run, is not complete until there exists a party to sue and be sued.<sup>(l)</sup> The above eighth section of the Revised Statutes is only applicable to cases where the statute has commenced running before the death of the testator or intestate.<sup>(m)</sup>

The Revised Statutes, as will presently appear more at length, provide for a notice to be published by the executor or administrator six months after the granting of his letters, calling upon creditors to exhibit their claims, to be published for six months, and for a reference of disputed claims, presented at the option of the executor or administrator, and exempt the defendant from the payment of costs where a suit is brought on a claim against the deceased not presented, or on one presented and disputed, unless it appear that payment was unreasonably resisted or neglected, or the executor or administrator refused to refer. The same statutes further provide that, where the executor or administrator has thus advertised for claims, and he disputes or rejects a claim presented under the notice, and such claim shall not have been referred, the claimant shall, within six months after such dispute or rejection, if the debt, or any part thereof, be then due, or within six months after some part thereof shall have become due, commence a suit for the recovery thereof, or be forever barred from maintaining any action thereon.<sup>(n)</sup>

The statute does not, however, prohibit the creditor from suing, and he may do so whether his claim has been presented or not. And if he does present his claim, that will not stop the running of the Statute of Limitations. If the six years have run before the death of the testator, the action is gone. "If the time has not run," it was said in a case<sup>(o)</sup> before the Code, "then the creditor has, in the whole, seven years and a half to bring his suit, after the right of action accrued; and he must take care to have the matter adjusted, or commence his action within that period, or he will be too late."

The provisions of the statute thus adverted to will be more particularly examined hereafter, when the subject of the publication of the notice mentioned comes to be considered.<sup>(p)</sup>

It was regarded as an unsettled point, in cases previous to the Revised Statutes, whether the admission of the personal representatives of the deceased, or of one of several personal representatives, was sufficient

the continuance of the action pending against the decedent at the time of his death. Nor will it apply to enlarge the time prescribed by the 376th section of the Code, already cited, (*ante*, pp. 341-4,) for taking proceedings to enforce, against the personal representatives of the deceased, a judgment recovered against him in his lifetime. If the 8th section is still in force, the only case to which it can apply is that provided for by the 102d section of the Code, as above suggested.

(l) *Wenman v. The Mohawk Insurance Company*, 13 Wend. 267, 269, per Nelson, J., and cases cited; *Bucklin v. Ford*, 5 Barb. Sup. Ct. Rep. 393, 397.

(m) 5 Barb. Sup. Ct. Rep. 337.

(n) 2 R. S. 88-9; 3 R. S. (5th ed.) 174-5.

(o) *Reynolds v. Collins*, 3 Hill, 37.

(p) *Infra*.

to take a demand held against the estate out of the Statute of Limitations.(q) It was said, also, previous to the Revised Statutes, that an executor is not bound to plead the Statute of Limitations to an action commenced against him by a creditor of the testator.(r) The relations of an executor or administrator to the estate and to the creditors of the deceased, have been so altered by the Revised Statutes from what they were formerly, that it is believed prudent to advise, under the present system, that, where the rights of creditors are concerned, an admission of an executor or administrator would not be allowed to take a claim out of the statute, and that, again, as respects creditors, an executor will render himself personally liable, if he omit to set up the Statute of Limitations in a case where that defence may be successfully interposed.(rr)

OF THE BRINGING AND CONDUCTING SUITS, THE OBTAINING AND ENFORCING JUDGMENTS, AND THE PROSECUTION AND COLLECTION OF CLAIMS GENERALLY, AGAINST EXECUTORS AND ADMINISTRATORS; AND HEREWITH OF THE ASCERTAINING THE CLAIMS AGAINST THE ESTATE BY THE PUBLICATION OF A NOTICE, AND THE REFERENCE OF CLAIMS PRESENTED, AND THE DISPOSITION OF CLAIMS REFERRED.

With respect to suits and judgments against executors and administrators, the design and scope of this work will admit only of an examination of the statutory provisions regulating the practice, pleadings, and proceedings in such suits, and the manner of enforcing the judgment.

As to the necessary defendants, and their liability to arrest, the statutory provisions are as follows:

Sec. 1. In actions brought by or against executors, it shall not be necessary to join those as parties to whom letters testamentary shall not have been issued, and who have not qualified.(s)

(q) This question is ably and fully discussed by way of illustration, and the cases are mostly collected, in the learned opinion of Mr. Justice Cowen in *The Cayuga County Bank v. Bennett*, 5 Hill, 238, 241. See, also, *Forsyth v. Gauson*, 5 Wend. 558; *McIntire v. Morris' administrators*, 14 Wend. 90.

(r) Toll. on Exrs. 343, 429; Kirtland's Surr. 186. Justice Williams thus states the law on this subject: "But an executor may pay a debt proved to be justly due by his testator, although barred by the Statute of Limitations (*Norton v. Frecker*, 1 Atk. 526, by Lord Hardwicke; *Stalschmidt v. Lett*, 1 Sm. and G. 415). Again, it has been held that he is not bound to plead the statute to an action commenced against him by a creditor of the testator: (*Norton v. Frecker*, 1 Atk. 526. See, also, Acc. per Lord Lyndhurst, C. B., in *Williamson v. Naylor*, 3 Younge and Coll. 211, note (a). But see *contra per* Bayley, J., in *McCullough v. Dawes*, 9 Dowl. and Ryl. 43.) Thus, if the surplus of the personal estate, after the payment of the debts and legacies, be bequeathed to a residuary legatee, and several creditors, although barred by the Statute of Limitations, commence actions against the executor, equity will not, on his refusal to plead the statute, compel him to plead in favor of the residuary legatee. (*Castleton v. Fanshaw*, Prec. Chan. 100; *S. C.*, 1 Eq. Cas. Abr. 305; 2 id. 254, pl. 1, 259, pl. 1.) So, in the administration of assets under a creditor's bill, it was held that executors were not bound to plead the statute; and if they did not, the creditor filing the bill would have a decree on behalf of himself and all other creditors, and would be paid. (*Ex parte Dewdney*, 15 Ves. 498.) But in *Shewen v. Vandenhorst*, (1 Russ. and M. 347; 2 Russ. and M. 75,) under the common decree in an administration suit, a creditor applied to prove a debt which was barred by lapse of time; and, the executor refusing to interfere, the plaintiff, a residuary legatee, insisted upon setting up the objection of the statute: Sir John Leach, M. R., held, that it was competent for the plaintiff, or any other person interested in the fund, to take advantage of the statute before the master, notwithstanding the refusal of the executor. And this decision was confirmed by Lord Brougham on appeal." Wms. on Exrs. 1635. And Toller (343) is substantially to the same effect.

(rr) See some hesitation expressed as to the correctness of this opinion by Balcom, J., in *Wilcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 335.

(s) S. L. 1838, ch. 149, p. 103; 2 R. S. (3d ed.) 113, sec. 3; 3 R. S. (5th ed.) 201, sec. 2.



Sec. 3. No executors or administrators shall be held to bail in any action against them, in their representative character, unless such action be brought to charge them with waste.<sup>(t)</sup>

Sec. 4. Nor shall they be held to bail in such action, unless upon an order of a judge of the court in which such action shall be brought, founded upon an affidavit of the facts and circumstances to support such charge.<sup>(t)</sup>

Where there are several defendants, the statute provides as follows:

Sec. 5. In actions against several executors or administrators, they shall all be considered as one person, representing their testator or intestate; and such of them as shall be first served with process, or as shall first appear in the action, shall answer the plaintiff. Judgment shall be rendered; and in the cases where execution may be issued against the property of the testator or intestate, it shall be awarded against such as shall have appeared, and the others named in the first process, in the same manner as if they had all appeared.

Sec. 6. But no judgment rendered in such action, by default or otherwise, shall be deemed evidence of any admission of assets in the hands of any executor or administrator who was not served with process in such action, or who did not actually appear therein.

Sec. 7. The preceding section shall not deprive any plaintiff of the usual remedies, to bring into court all the executors or administrators against whom the action is brought.<sup>(u)</sup>

Where the power of an executor or administrator is revoked, or he is superseded, or dies whilst a suit is pending against him, the proceedings are regulated by the statute as follows:

Sec. 15. If an executor or administrator be defendant in a suit, pending at the time of the revocation or superseding of his power as such, the plaintiff in such suit may proceed therein against such executor or administrator, in order to charge him personally; but no judgment recovered therein, after such revocation or superseding, shall be binding, or be of any force as against the estate of the testator or intestate, or any person succeeding to the administration of the same estate.

Sec. 16. If the plaintiff shall not elect so to proceed against such executor or administrator, the court in which such suit may be pending, on the application of the plaintiff therein, and after reasonable notice to the person succeeding to the administration of the same estate, may, by rule of court, substitute the person so succeeding as defendant in such suit, and such suit shall thereafter proceed to final judgment, in like manner as if it had been originally commenced against the person so substituted.

Sec. 17. In case of the death of an executor or administrator during the pendency of a suit against him, the court may, in like manner, substitute the person succeeding to the administration of the same estate, as defendant in such suit, with the like effect.<sup>(v)</sup>

The following section of the Code of Procedure, although not probably so intended, applies to the cases thus provided for:

Sec. 121. No action shall abate by the death, marriage, or other dis-

<sup>(t)</sup> 2 R. S. 448; 3 R. S. (5th ed.) 746.

<sup>(v)</sup> 2 R. S. 115; 3 R. S. (5th ed.) 203.

<sup>(u)</sup> 2 R. S. 448; 3 R. S. (5th ed.) 746.



ability of a party, or by the transfer of any interest therein, if the cause of action survive or continue. In case of death, marriage, or other disability of a party, the court, on motion, at any time within one year thereafter, or afterwards on a supplemental complaint, may allow the action to be continued by or against his representative or successor in interest. In case of any other transfer of interest, the action shall be continued in the name of the original party; or the court may allow the person to whom the transfer is made to be substituted in the action.<sup>(w)</sup>

Where a sole defendant dies pending the action, his personal representatives cannot, under this section of the Code, require the plaintiff to continue the action. The court can allow the action to be continued only on the application of the plaintiff. But the court will order the action to be discontinued unless the plaintiff, within a specified number of days, consent to an order continuing the action.<sup>(ww)</sup>

The following sections of the statute relate to the effect of the inventory, when given in evidence in actions against executors or administrators:

Sec. 14. In any action against executors or administrators, in which the fact of their having administered the estate of their testator or intestate, or any part thereof, shall come in issue, and the inventory of the property of the deceased, made and filed by them, shall be given in evidence, the plaintiff or defendant may rebut the same by proof:

1. That any property or effects have been omitted in such inventory, or were not returned therein at their true value;

2. That such property has perished or been lost, without the fault of such executor or administrator, or that it has been fairly sold by them at private or public sale, at a less price than the value so returned; or that since the return of the inventory, such property has deteriorated or enhanced in value.

Sec. 15. In every such action, the defendants shall not be charged for any demands or rights in action specified in their inventory, unless it appear that such demands or rights have been collected, or might have been collected with due diligence.

Sec. 16. The two last sections shall not be construed to vary any rules of evidence in respect to any proof which an executor or administrator may now make by law.<sup>(x)</sup>

Where a judgment has been obtained against an heir or devisee, for a debt of the estate, the statute provides as follows:

Sec. 7. Every judgment against an heir or devisee shall be a bar to any subsequent suit against the executor or administrator of the ancestor or devisor, for the same debt or damages upon which such judgment shall have been obtained, unless the plaintiff shall show an execution against such heir or devisee, returned unsatisfied, or that no sufficient lands or tenement have descended or been devised to such heir or devisee.

Sec. 8. In all cases where a judgment shall be recovered against an

<sup>(w)</sup> See *ante*, p. 297.

<sup>(ww)</sup> Keene agt. *La Farge*, 16 How. Prac. Rep. 377.

<sup>(x)</sup> 2 R. S. 449; 3 R. S. (5th ed.) 747. See *Willoughby v. McClellan*, 2 Wend. 608.

heir or devisee for a debt or legacy expressly charged on the estate descended or devised, it shall be an absolute bar to any subsequent suit, against the executor or administrator, for the same debt or legacy.(y)

It may be observed, in this place, that an attachment does not lie against a non-resident executor or administrator to recover a debt due from his testator or intestate, under the provisions of the act(z) relating to attachments against absconding, concealed, and non-resident debtors.(a) And, although the language of the provisions of the Code(b) authorizing the issuing of attachments, is not the same as that of the statute referred; yet, without doubt, a non-resident executor or administrator is not, under the Code, any more liable to an attachment to recover a debt due by the deceased than he was under the former statute.

It may properly be added, that no justice of the peace has cognizance of an action against an executor or administrator as such.(c) And, in this particular, the jurisdiction of the Justices' Courts of the different cities of this state, and of the Justices' Courts and Marine Court of the city of New York, is the same as that of justices of the peace, and is in like manner restricted.(d)

It has been observed at a previous page, but may here very well be further added, that a judgment against the deceased in a Justice's Court, not having been docketed in his lifetime, has no priority of payment over other debts.(e)

The docketing of the judgment referred to by the statute is, however, the docketing by the clerk of the court in which the judgment is recovered. It is not necessary that the judgment should be so docketed as to become a lien upon lands, in order to be entitled to preference. Thus, the judgments of the Superior Court of the city of New York, of the Court of Common Pleas in and for the city and county of New York, and other similar courts of record, are entitled to preference in payment according to the priority thereof respectively, although they have not been docketed with the clerk of the county.(ee)

With respect to the pleadings and proceedings in actions against executors and administrators, it is necessary to consider together the statutory provisions relating particularly to those subjects, and those relating to the recovery of judgments, the issuing of executions, and the compelling accounts in the Surrogates' Courts for the enforcement of judgments and debts owing by the estate against executors and administrators, and the enforcement of debts owing by the estate against executors and administrators, by proceedings in the Surrogates' Courts generally. The ascertainment of the debts of the deceased by the publication of a notice for the exhibition of claims, the reference of claims, and the disposition of claims referred, being proceedings for the payment of debts, and the reference being in the nature of a suit, and being so joined in the statutes, will properly be also considered in the present

(y) 2 R. S. 114; 3 R. S. (5th ed.) 202.

(z) 2 R. S. 1; 3 R. S. (5th ed.) 78.

(a) *In the Matter of C. Hurd and H. Selden, administrator, &c.*, 9 Wend. 465.

(b) Part 2, tit. 7, ch. 4, Howard's Code, p. 361.

(c) Code, sec. 54.

(d) See Code, pt. 1, tit. 7, chaps. 1, 2, 3.

(e) *Stevenson v. Weisser*, 1 Bradf. Surr. Rep. 343. See *ante*, p. 316.

(ee) See *Nash v. Harned*, 4 Bradf. Surr. Rep. 213.

connection. This subdivision will, therefore, embrace not only the subjects of pleadings and proceedings in suits against executors and administrators, but nearly all the remaining topics proposed to be treated of in this chapter.

The following sections of the statute prescribe the regulations to be observed in the prosecution of suits against executors and administrators, and declare the conditions under which executions are to be issued against them :

Sec. 31. In any suit against an executor or administrator, the defendant may show, under a notice for that purpose, given with his plea, that there are debts of a prior class unsatisfied, or that there are unpaid debts of the same class with that on which the suit is brought, and judgment shall be rendered only for such part of the assets in his hands, as shall remain after satisfying the debts of the prior class, and as shall be a just proportion to the other debts of the same class, with that on which the suit is brought. But the plaintiff may, as in other cases, take judgment for the whole or part of his debt, to be levied of future assets.<sup>(f)</sup>

Sec. 32. No execution shall issue upon a judgment against an executor or administrator, until an account of his administration shall have been rendered and settled, or unless on an order of the surrogate who appointed him. And if an account has been rendered to the surrogate by such executor or administrator, execution shall issue only for the sum that shall have appeared on the settlement of such account, to have been a just proportion of the assets applicable to the judgment.<sup>(f)</sup>

Sec. 20. [Sec. 19.] Where a creditor shall have obtained a judgment against any executor or administrator, after a trial at law upon the merits, he may at any time thereafter apply to the surrogate having jurisdiction, for an order against such executor or administrator, to show cause why an execution on such judgment should not be issued.<sup>(g)</sup>

Sec. 21. [Sec. 20.] The surrogate to whom such application may be made shall issue a citation, requiring the executor or administrator complained of, at a certain time and place therein to be named, to appear and account before him; and if upon such accounting it shall appear that there are assets in the hands of such executor or administrator, properly applicable, under the provisions of this chapter,<sup>(h)</sup> to the payment, in whole or in part, of the judgment so obtained, the surrogate shall make an order, that execution be issued for the amount so applicable.<sup>(i)</sup>

Sec. 21. Every such order shall be conclusive evidence, that there are sufficient assets in the hands of such executor or administrator, to satisfy the amount for which the execution is directed to be levied; and no appeal shall be made from any such order, unless the person making the same shall execute to the plaintiff in such execution, a bond with sufficient sureties, to be approved of by the surrogate, conditioned for the payment of the full amount so directed to be levied, with in-

<sup>(f)</sup> 2 R. S. 88; 3 R. S. (5th ed.) 174.

<sup>(g)</sup> 2 R. S. 116; 3 R. S. (5th ed.) 204.

<sup>(h)</sup> Chap. six of the second part of the Revised Statutes.

<sup>(i)</sup> 2 R. S. 116; 3 R. S. (5th ed.) 204.

terest thereon, and the costs of defending the appeal, in case the order appealed from shall be affirmed.(i)

Sec. 22. If the whole sum for which a judgment may have been obtained, shall not be collected on the execution so directed to be issued, and assets shall thereafter come into the hands of such executor or administrator, the surrogate shall make a further order for issuing execution, upon the application of the creditor, his personal representatives or assignees, and shall proceed in the same manner, from time to time, whenever assets shall come to the hands of the executor or administrator, until such judgment be satisfied.(j)

The following section of the statute, relative to "pleadings and set-offs," provides for judgments for set-offs in suits brought by executors and administrators, and regulates the issuing of executions on such judgments:

Sec. 24. Whenever a set-off is established in a suit brought by executors or administrators, the judgment shall be against them in their representative character, and shall be evidence of a debt established, to be paid in the course of administration; but execution shall not issue thereon, until directed by the surrogate who granted letters testamentary or of administration.(k)

The following section of the same statute relates to the subject of set-offs in actions against executors and administrators:

Sec. 25. In actions against executors and administrators, and against trustees and others sued in their representative character, the defendants may set off demands belonging to their testators or intestates, or those whom they represent, in the same manner as the person so represented would have been entitled to set off the same, in an action against them.(l)

The ruling principle of the statute relative to the payment of the debts of deceased persons, is, in case of an insufficiency of assets, to effect a *pro rata* distribution among all the creditors of the deceased not expressly preferred.

The 27th section of the statute declaring the order for the payment of the debts of the deceased, already recited at length,(m) provides, as will be remembered, that the executor or administrator shall pay the debts according to the following order of classes:

1. Debts entitled to a preference, under the laws of the United States;
2. Taxes assessed upon the estate of the deceased, previous to his death;
3. Judgments docketed, and decrees enrolled, against the deceased, according to the priority thereof, respectively;
4. All recognizances, bonds, sealed instruments, notes, bills and unliquidated demands and accounts.

The 28th section, also already recited, it will likewise be remembered, forbids any preference in the payment of any debt, over other debts of the same class, except those specified in the third class. It follows, from these provisions, that all debts not included in the first

(j) 2 R. S. 117; 3 R. S. (5th ed.) 204-5.

(l) 2 R. S. 355; 3 R. S. (5th ed.) 636.

(k) 2 R. S. 355; 3 R. S. (5th ed.) 636.

(m) *Ante*, p. 312.

three classes of section 27, are to be paid ratably, in case of deficiency of assets, and without any preferences, excepting such preference as is allowed by the section numbered 30, before recited,<sup>(n)</sup> in respect to rents due or accruing upon leases. Such being the principle of the statute, it became necessary that means should be provided for enabling the executor or administrator to ascertain all the debts existing against the estate, in order that he might determine as to the sufficiency of the assets, and, in case of deficiency, might apportion to each claimant the amount to which he was entitled. The publication of a notice for the presentation of claims was the expedient devised for this purpose, and such publication is provided for by the following sections of the statute. The same sections also prescribe regulations in respect to vouchers, and for the reference and enforcement of claims against the estate :

Sec. 34. Any executor or administrator, at any time, at least six months after the granting of the letters testamentary or of administration, may insert a notice once in each week for six months, in a newspaper printed in the county, and in so many other newspapers, as the surrogate may deem most likely to give notice to the creditors of the deceased, requiring all persons having claims against the deceased, to exhibit the same with the vouchers thereof, to such executor or administrator, at the place of his residence or transaction of business, to be specified in such notice, at or before the day therein named, which shall be at least six months from the day of the first publication of such notice.<sup>(o)</sup>

Sec. 35. Upon any claim being presented against the estate of any deceased person, the executor or administrator may require satisfactory vouchers in support thereof, and also the affidavit of the claimant that such claim is justly due, that no payments have been made thereon, and that there are no off-sets against the same to the knowledge of the claimant; which oath may be taken before any justice of the peace, or other officer authorized to administer oaths.<sup>(o)</sup>

Sec. 36. If the executor or administrator doubt the justice of any claim so presented, he may enter into an agreement in writing, with the claimant, to refer the matter in controversy to three disinterested persons, or to a disinterested person to be approved by the surrogate; and upon filing such agreement and approval of the surrogate in the office of the clerk of the Supreme Court in the county in which the parties, or either of them, reside, a rule shall be entered by such clerk, either in vacation or term, referring the matter in controversy to the person or persons so selected.<sup>(p)</sup>

Sec. 37. The referees shall thereupon proceed to hear and determine the matter, and make their report thereon to the court in which the rule for their appointment shall have been entered. The same proceedings shall be had in all respects, the referees shall have the same powers, be entitled to the same compensation, and subject to the same control, as if the reference had been made in an action in which such court might by law direct a reference; and the court may set aside the report

<sup>(n)</sup> *Ante*, p. 312.

<sup>(o)</sup> 2 R. S. 88; 3 R. S. (5th ed.) 175.

<sup>(p)</sup> 2 R. S. 88; 3 R. S. (5th ed.) 175, as amended by chap. 261 of the Laws of 1859; S. L. 1859, p. 569.



of the referees, or appoint others in their places, and may confirm such report, and adjudge costs, as in actions against executors; and the judgment of the court thereupon shall be valid and effectual in all respects as if the same had been rendered in a suit commenced by the ordinary process.<sup>(q)</sup>

The following section provides for the case of a claim exhibited to the executor or administrator, and disputed or rejected by him, and not referred :

Sec. 38. If a claim against the estate of any deceased person be exhibited to the executor or administrator, and be disputed or rejected by him, and the same shall not have been referred, the claimant shall, within six months after such dispute or rejection, if the debt or any part thereof be then due, or within six months after some part thereof shall have become due, commence a suit for the recovery thereof, or be forever barred from maintaining any action thereon; and no action shall be maintained thereon, after the said period, by any other person deriving title thereto from such claimant; and any executor or administrator may, on the trial of any action founded upon such demand, give in evidence, in bar thereof, under a notice annexed to the general issue, the facts of such refusal and neglect to commence a suit.<sup>(r)</sup>

The following sections of the statute contain provisions governing the prosecution of claims not presented under the notice authorized by the preceding 34th section, and regulate the recovery of costs in suits against executors and administrators :

Sec. 39. In case any suit shall be brought upon a claim which shall not have been presented to the executor or administrator of a deceased person, within six months from the first publication of such notice as hereinbefore directed, such executor or administrator shall not be chargeable for any assets or moneys that he may have paid in satisfaction of any claims of an inferior degree, or of any legacies, or in making distribution to the next of kin, before such suit was commenced, but may prove such notice published by him as aforesaid, and such payment and distribution, in support of his plea of having administered the estate of the deceased.<sup>(r)</sup>

Sec. 40. In such action the plaintiff shall be entitled to recover only to the amount of such assets as shall have been in the hands of such executor or administrator at the time of the commencement of the suit; or he may take judgment for the amount of his claim, or any part thereof, to be levied and collected of assets which shall thereafter come into the hands of such executor or administrator.<sup>(r)</sup>

Sec. 41. In such suit no costs shall be recovered against the defendants; nor shall any costs be recovered in any suit at law, against any executors or administrators, to be levied of their property, or of the property of the deceased, unless it appear that the demand on which the action was founded was presented within the time aforesaid, and its payment was unreasonably resisted or neglected, or that the defendant refused to refer the same pursuant to the preceding provisions; in which cases the court may direct such costs to be levied of the property of the defendants or of the deceased as shall be just, having refer-

(q) 2 R. S. 89; 3 R. S. (5th ed.) 175-6.

(r) 2 R. S. 89; 3 R. S. (5th ed.) 176.

ence to the facts that appeared on the trial. If the action be brought in the Supreme Court, such facts shall be certified by the judge before whom the trial shall have been had.(t)

Sec. 42. But any creditor who may have neglected to present his claims as aforesaid, may notwithstanding recover the same in the manner prescribed by law of the next of kin and legatees of the deceased, to whom any assets shall have been paid or distributed.(u)

The enforcement of claims against executors and administrators, by proceedings in the Surrogates' Courts, is provided for as follows:

By section 18 of the title of the Revised Statutes concerning "the rights and liabilities of executors and administrators,"(v) the surrogate having jurisdiction has power to decree the payment of debts against the executor or administrator of a deceased person, upon the application of a creditor. The payment of any debt, or a proportional part thereof, may be so decreed at any time after six months shall have elapsed from the granting of the letters testamentary or of administration.

By the article of the Revised Statutes concerning "the duties of executors and administrators in rendering an account, and in making distribution to the next of kin,"(w) an executor or administrator, after the expiration of eighteen months from the time of his appointment, may be required to render an account of his proceedings by an order of the surrogate, to be granted upon application from some person having a demand against the personal estate of the deceased, either as creditor, legatee, or next of kin; or of some person in behalf of any minor having such claim; or without such application; or the executor or administrator may voluntarily render such account, and be decreed to pay and distribute any part of the estate remaining in his hands to and among the creditors and other persons interested, according to their respective rights.

By section 76 of the law of 1837,(x) the order to account thus authorized, must be served upon the executor or administrator thirty days at least before the time of hearing; or, where the executor or administrator resides out of this state, must be published for three months in the state paper, and in a paper of the county or of an adjoining county, unless it be served upon some non-resident executor or administrator at least sixty days before the return day thereof.

The statutory declarations and provisions relating to the subject now under examination, have been collected in this chapter, as well because it is believed that the connected form in which they are thus given will be found generally useful to the reader, and prove conducive to the proper discussion and understanding of the present subject, as to facilitate the necessary references to them in the following pages. It will

(t) 2 R. S. 90; 3 R. S. (5th ed.) 176-7.

(u) Id. For the statutory provisions relative to the recovery, from next of kin and legatees, of debts due by the deceased, see art. 2, tit. 3, ch. 8, pt. 3 of the Revised Statutes, 2d vol., p. 450; 3 R. S. (5th ed.) 748. See, also, on the same subject, *Stuart v. Kissam*, 2 Barb. Sup. Ct. Rep. 493, 512; *Hollister agst. Hollister*, 10 How. Prac. Rep. 532; *Roe v. Swezey*, 10 Barb. Sup. Ct. Rep. 247; *Gere v. Clarke*, 6 Hill, 350.

(v) 2 R. S. 116; 3 R. S. (5th ed.) 204.

(w) Art. 3, tit. 3, ch. 6, pt. 2, 2 R. S. 92; 3 R. S. (5th ed.) 178. See section 52 amended, S. L. 1859, p. 569.

(x) S. L. 1837, 537; 3 R. S. (5th ed.) 178.

also serve, it is hoped, to exhibit more distinctly the harmonious relation which exists in their respective enactments, touching the liability of the estates of deceased persons, and the prosecution of claims and actions against executors and administrators.

In the course of the following remarks, several of the decisions which have been made respecting the construction and operation of some of these sections will be cited at considerable length. This plan has been adopted, and has been the more freely pursued, not only for the sake of accuracy, but because it will be found that those decisions, in many instances, besides determining the particular points directly in issue in the cause, embody important directions and principles to be regarded by the executor or administrator in the due discharge of the duties of his trust.

In order to comprehend more distinctly the existing law regulating the payment of the debts of a deceased person by his executors or administrators, and prescribing the manner of obtaining and enforcing judgments against executors and administrators upon debts owing by their testators or intestates, it will be useful to state with proper brevity the former law on these subjects, to point out the changes which have been made by the present statutes, and to explain, and, as nearly as may be, do away with any incongruities which may linger in the system now in operation. Previously to the Revised Statutes, debts due to the United States were, conformably to the acts of congress, entitled to a priority of payment out of the assets of the deceased, as they are, under the system introduced by those statutes, in preference to all other claims against the estate of the deceased. Judgments against the testator or intestate in courts of record, were in a precedent degree to all debts, whether by specialty or simple contract, and were required to be preferred by the executor or administrator. Next in precedence in the order of payment were debts by special contract; as on bonds, covenants, and other instruments under the seal of the party: all these, it was the rule, were to be paid by an executor or administrator before debts by simple contract. In case of a deficiency of assets to pay all the debts against the deceased entitled to priority, or all the debts of any class so entitled, or all the preferred and all the simple contract debts, that creditor gained a preference over all others of the same class, or over all other simple contract creditors who first obtained a judgment for, or commenced an action against, the executor or administrator to recover his claim. The executor or administrator had the privilege that, among creditors of equal degree, he might pay one in preference to another. And although, if one of several creditors of equal degree sued the executor or administrator, and obtained judgment against him, such creditor was entitled to be satisfied before the rest, and thus the preference of the executor or administrator was altogether precluded, and if one creditor of the deceased *commenced* an action against the executor or administrator of which he had notice, he was restrained from making a voluntary payment to any other creditor of equal degree; yet, if another creditor of equal degree commenced a subsequent action, and first recovered judgment, he was to be first satisfied. Hence, an executor or administrator, even after action com-

menced, had it in his election to give a preference by confessing judgment to another creditor of equal degree, thus giving to an executor the power of selecting his favorite creditor, who was to be paid at the expense of all the others. In his character of personal representative of the decedent, he was entitled to indulge even the caprices which his testator or intestate might have indulged if living. And as an executor or administrator, among creditors of equal degree, might pay one in preference to another, so it was another of his privileges that he had a right to retain for his own debt due to him from the deceased, in preference to all other creditors of equal degree. And every action brought against the executor or administrator, where a defence was not made on the merits of the claim, looked into the accounts of the executor or administrator, and the state of the assets in his hands. An executor or administrator was bound to plead a debt of higher nature in bar of an action brought against him for a debt of an inferior nature, and *riens ultra* if he had no assets for both; otherwise it was an admission of assets to satisfy both debts. And in the general case, if the executor or administrator had not assets to satisfy the debt upon which an action was brought against him, he was required, to protect himself, to plead *plene administravit* or *plene administravit prae-ter*, &c.: For a judgment against an executor or administrator, whether by default or on demurrer, or upon verdict upon any plea pleaded by the executor or administrator, except *plene administravit*, or admitting assets to such a sum and *riens ultra* was conclusive upon him that he had assets to satisfy such judgment. If the executor pleaded either a general or special *plene administravit*, however, he was liable only to the amount, and a judgment against an executor, on a verdict upon *plene administravit*, was only an admission of assets to the extent, of assets proved to be in his hands. And, the executor or administrator having the right to retain a debt due to him from the deceased, to the disappointment of all others of the same degree, it was optional in him either to plead a retainer for such a debt, or to give it in evidence under a plea of *plene administravit*. And so, he might either plead, or show in evidence under that plea, that he retained assets to a certain amount for the expenses of the funeral, or of taking out administration, and for other necessary expenses and proper payments.

This system of preferential administration it was proposed by the Revised Statutes entirely to abolish, except with reference to claims against the deceased for debts due the United States for taxes, and upon judgments, and, in particular cases, for rents due on leases. All judgments against the personal representatives of the deceased, and recognizances, bonds, sealed instruments, and demands on simple contract against the deceased, under the Revised Statutes, come in for equal dignity.<sup>(y)</sup> As to all these, after the debtor's decease, no preference can be created by the acts of the parties, nor in any other way, except as to rents, in respect to which a preference may be given at the discretion of the surrogate.<sup>(z)</sup> To the surrogate the whole administration is committed, in so far that no execution can, in general, go

(y) 2 R. S. 87; 3 R. S. (5th ed.) 124, sec. 31 [sec. 27]; *Ante*, p. 312.

(z) 2 R. S. 87; 3 R. S. (5th ed.) 174, sec. 32 [sec. 28], sec. 34 [sec. 30]; *Ante*, p. 312.

upon a judgment obtained after the death, except on a full accounting before the surrogate on an order made by him. The common law courts are reduced to little more than mere instruments of liquidation.(a) Ample provision is made for proceeding to a general account and payment of judgments obtained after the death of the testator or intestate, and of the bonds, &c., of the deceased, as claims of the same degree, and in dividends *pro rata*.(b)

All the provisions of the statutes above-quoted sustain this view of their general scope and design except, perhaps, the section numbered 31,(c) which would seem to contemplate an investigation of the condition of the assets of the estate in a common law action, brought by a creditor against the executor or administrator, to recover a debt due by his testator or intestate, and a judgment founded upon such investigation. That section allows a defence on notice with the plea, adapted to the present order of preference and *pro rata* distribution, but it is quite obvious that a common law verdict and judgment cannot be made to look into the concerns of the whole estate, and fix the rate of recovery. A *pro rata* distribution of the assets among all the creditors, after the payment of the few preferred claims, having been established as the principle of the new system, in cases of deficiency; and all preferences in payment of any debt, over other debts of the same class, except those specified in the third class, having been prohibited, the inquiry is not, as it formerly was, whether the executor or administrator has applied all the assets of the deceased, which have come into his hands, to the payment of the debts of the deceased; but now the matter to be determined, before any particular debt can be paid, is whether the assets of the deceased are sufficient to pay all his debts, and, where such assets are not sufficient, the proportional share to which each creditor, the one bringing the action as well as all others, is entitled. The sufficiency of the assets cannot be ascertained, or, in case of a deficiency, the proportional share to which each creditor is entitled, cannot be fixed until all the creditors, and the amounts of their claims, have been discovered; and this information manifestly cannot be arrived at in a common law action.

Again, looking at the various powers of the surrogate in the premises, execution must in all cases go upon his order, not only in the first instance, but to reach assets which shall have subsequently come into the hands of the executor or administrator. However formal, full, and specific the verdict and judgment may be under section 31, in respect to present or future assets, in view of the plain and broad words giving power to the surrogate, it is apparent that they cannot be received to conclude or in any way control him in marshaling the assets. Abundant provision has been made for the enforcement of the fundamental principle of the statute—a *pro rata* distribution of the assets among the

(a) 2 R. S. 87; 3 R. S. (5th ed.) 174, sec. 36 [sec. 32]; *Ante*, p. 352; *Winne v. Van Schaick*, 9 Wend. 448; *The People v. The Albany Mayor's Court*, Id. 486; 2 R. S. 116; 3 R. S. (5th ed.) sec. 20 [sec. 19], *et seq.*; *Ante*, p. 352.

(b) 2 R. S. 88; 3 R. S. (5th ed.) 175, sec. 39 [sec. 34], *et seq.*; 2 R. S. 116; 3 R. S. (5th ed.) 204, sec. 20 [sec. 19], *et seq.*; *Ante*, p. 352. See *Parker's ex'rs v. Gainer's adm'rs*, 17 Wend. 559.

(c) 2 R. S. 88; 3 R. S. (5th ed.) 174, sec. 35 [sec. 31]; *Ante*, p. 351.



creditors of the fourth class, in case of a deficit in the assets. The whole fund is brought under the control of the *surrogate*, and not a dollar can be touched without his assent. Executors and administrators are but trustees to settle the estate under his direction and control, agreeably to the principles of the statute. Nothing is gained, by obtaining a judgment against them, beyond the liquidation of the debt. The creditor gets no costs, except at the discretion of the court, and only his *pro rata* share on the judgment. The result is the same, whether the suit be defended or not.(d)

The act is positive that no execution shall issue until an account and settlement takes place, or on the order of the surrogate; and then only for the plaintiff's *proportion of the assets* thus ascertained.(e)

The litigation in a suit at law, of any of the questions involved in the marshaling of the assets, and the settling of the estate, which the surrogate has jurisdiction, and it is his province and duty to determine, would clearly be idle.(f)

There is a further difficulty, in proceeding under the section in question. An executor or administrator is liable to suits for debts owing by his testator or intestate, which, by the other provisions of the statutes, are to be paid in full, or ratably, according to the sufficiency of the assets and the total amount of the debts, at any time after his appointment; but, by the succeeding section, numbered 34, providing for the publication, by the executor or administrator, of a notice to all persons having claims, for the purpose of ascertaining the whole amount of debts to which the assets in his hands shall be liable, he cannot discover all the claims against him until the expiration of a year from the granting of his letters. He consequently does not know, until the year has elapsed, whether the assets will be sufficient to pay all the debts in full or not. In suits, then, against him, in the meantime, especially for debts included in the fourth class of the 27th section, it will be absolutely impossible to ascertain the proportion of the assets to which any claimant may be entitled, so that judgment may be rendered for exactly the proper amount under the section. And other difficulties would present themselves in any attempt to defend an action, according to the provisions of this 31st section of the statute, but enough has been said to show that the section is unavailable in practice, if not absolutely nugatory.

The 39th section of the statute above-quoted(g) applies to the case of a suit, brought against the executor or administrator, to recover a debt not presented under the notice published by him for the exhibition of claims.(h) If the executor or administrator has disposed of the assets, either in the payment of debts or in the discharge of legacies, or in distribution among the next of kin, before the commencement of such suit, he is protected, and may set up, in his answer in such suit, his publication of the notice to creditors, and such payment of the debts,

(d) *Butler v. Hempstead's adm.'rs*, 18 Wend. 666.

(e) *Dox v. Backenstose*, 12 Wend. 542.

(f) See, upon this subject, *Parker's ex.'rs v. Gainer's adm.'rs*, 17 Wend. 559; *Butler v. Hempstead's adm.'rs*, 18 id. 666; *Allen and Wife v. Bishop's ex.'rs*, 25 id. 414.

(g) *Ante*, p. 355.

(h) *Ante*, p. 354.

discharge of legacies, or distribution, and will be liable to the plaintiff in the action only to the extent of the assets remaining in his hands at the time of the commencement of the suit. The section presents no difficulties in practice. It provides for a case very nearly analogous to that in which the plea of *plene administravit* was the proper defence under the former system, and it would seem to be the only section under which, under the present system, the executor or administrator can to any purpose set up his administration of the assets of the deceased as a defence to an action at law brought against him.

In an action against an administrator, the plaintiff cannot join a count on a promise by the intestate, with counts on promises by the administrator for causes of action accruing since the death of the intestate. A promise by the administrator, on an account stated of moneys due from the intestate in his lifetime, may be joined with a count on a promise by the intestate, but not a promise by the administrator on an account stated of moneys due from himself.<sup>(i)</sup> So, where, in an action against executors, the declaration shows a contract made by the testator only, and not by the executors, a promise by the testator may be joined with a promise by the executors, as such, in the same or different counts. But if the declaration sets up a contract made by the testator, it cannot be joined with a contract made by the executor. And a count against executors, upon an indebtedness of the testator to the plaintiff, alleging a promise by one of the executors, "who was then and there the only executor who had qualified;" and that, afterwards, letters testamentary were granted to the other executor, whereby an action had accrued against the defendants as executors, is good.<sup>(j)</sup>

Where a party sued in this state claims to retain money in his hands as the administrator of a deceased person, he must distinctly allege the granting to him of letters of administration, and the name of the officer granting the same. It is not enough to aver that he was duly appointed administrator of the goods, &c., of the decedent.<sup>(k)</sup>

In an action against a married woman, executrix, the husband must be joined as a defendant. And they must both plead—otherwise it will be a discontinuance. If a *feme covert* and a stranger are executors, the action must be against the stranger, executor, and the husband and wife, executrix.<sup>(l)</sup>

With respect to the defendant's pleas in proceedings by *scire facias* against executors or administrators, it was held, in *Dox v. Backenstose, administratrix, &c.*,<sup>(m)</sup> that it is a good plea to a declaration, on a *scire facias quare executionem non* issued against an administratrix upon a judgment against her, that she had not rendered an account of her administration to the surrogate.

"The Revised Statutes," says Chief Justice Savage in that case, "have materially altered the law and the practice in relation to executors and administrators. Formerly, it was often important to obtain a judgment

(i) *Gillett v. Hutchinson's adm.'rs*, 24 Wend. 184; *Reynolds v. Reynolds' adm.'rs, &c.*, 3 id. 244.

(j) *Benjamin v. Taylor*, 12 Barb. Sup. Ct. Rep. 328.

(k) *Beach v. King*, 17 Wend. 197.

(m) 12 Wend. 542.

(l) Wms. on Exrs. 1751, and cases cited.

as early as possible against an executor or administrator; for the first judgment was entitled to priority in payment. But now, neither the commencement of a suit, nor the obtaining a judgment against an executor or administrator, entitles such debt to a preference over others of the same class.<sup>(n)</sup>

"The jurisdiction of the surrogate has been extended and enlarged in relation to this class of persons. Executors and administrators themselves have a new character, and stand in a different relation from what they formerly did, to the creditors of the deceased persons with whose estates they are entrusted. They are not now the mere representatives of their testator or intestate—they are constituted trustees; and the property in their hands is a fund, to be disposed of in the best manner for the benefit of the creditors, and not liable, as it once was, to be dissipated in bills of cost, created by the anxiety of creditors to obtain the first judgment, and thus secure the payment of their debts to the prejudice, perhaps, of others. Now, a more equitable rule prevails. No preference is given among debts of the same class."

And after stating that the above section, number 32,<sup>(o)</sup> prohibiting the issuing of an execution upon a judgment against an executor or administrator until an account of his administration shall have been rendered and settled, or unless on an order of the surrogate who appointed him, is positive, the learned chief justice proceeds: "Until the statute has been complied with, the plaintiff is not in a situation to issue an execution. The defendant here is called on to show cause why execution should not issue. She does show cause; and that cause is, that until the plaintiff calls her into another court, and gives her an opportunity to show, before the appropriate tribunal, the true state of the fund in her hands, he is not in a situation to ask this court for an execution upon the judgment. If eighteen months have expired since her appointment, the plaintiff may obtain an order from the surrogate requiring her to render an account of her proceedings. On the rendering of such account, the surrogate is invested with equitable powers. The statutory provisions on this subject are ample: to the surrogate the plaintiff must resort, and there have the matter examined, and the amount, if any due him, liquidated, and an order obtained, specifying the amount for which execution may issue. All this is good cause for the plaintiff to show why this court ought not to award an execution before an accounting in the Surrogate's Court.<sup>(p)</sup>

"Whether the former practice of proceeding, by *scire facias*, to issue execution against executors and administrators has not been superseded by the statute transferring to the surrogate authority to order the issuing of an execution, is a question not now necessary to be decided; but if it is not, it seems to me the intention of the legislature may be frustrated. I doubt very much whether this court would be justified in looking into the equities, which are properly inquirable into before the surrogate; if it would not, it is evident that the whole subject is under the supervision and control of the surrogate. I see no good reason for

(n) "2 R. S. 87, sec. 28;" 3 R. S. (5th ed.) 174; *Ante*, p. 312.

(o) 2 R. S. 88; 3 R. S. (5th ed.) 174-5; *Ante*, p. 352.

(p) See *Olmsted agst. Vredenburg*, 10 How. Prac. Rep. 215.

preventing a plaintiff, who has the surrogate's order, from instituting proceedings in this court; but on this point no definite opinion is given. It is enough that, until the order is obtained, no execution can issue."

But, to a *scire facias quare executionem non* issued against executors to revive a judgment against the testator, a plea that the executors have not accounted to the surrogate is not good; and so it was held in *Clark v. Suxton's executors*.<sup>(q)</sup> And the court say that "in *Dox v. Backenstose, administratrix*, a judgment had been rendered against the administratrix, and the *scire facias* issued to obtain execution, after the year. The plea was sustained because, by the statute,<sup>(r)</sup> no execution could issue on a judgment against an executor or administrator, until an account of administration had been taken and settled, or by order of the surrogate; and a pretty strong intimation was given that it might be issued by such order without any revival. But here the judgment is against the testator, and the object of the proceeding is to charge the executors on that judgment. The case is not within the statute, nor does it come within it until the judgment of revival is entered. After that, being a judgment against the executors, it may stand on the footing of *Dox v. Backenstose*. I am inclined to think the intimation in that case, that the order of the surrogate is sufficient to authorize the issuing of the execution without a revival, should be adopted. That officer, as there remarked, possesses ample power to adjust any defence that may exist against the judgment; indeed, the reason given for refusing the writ of *scire facias* is that, under the act, the estate must first be settled, so as to ascertain the amount for which execution may issue: after this the proceeding would be the merest form. This view, if sound, affords an additional consideration for the proceeding here; for, until judgment of revival is obtained against the executors, the surrogate has no control over the execution."

The writ of *scire facias* having been, in substance and effect, abolished by the Code of Procedure,<sup>(s)</sup> there is not now any proceeding similar to that formerly provided by *scire facias quare executionem non* against an administrator, upon a judgment against him. The Code prohibits an action upon a judgment between the same parties, without leave of the court, for good cause shown, on notice to the adverse party.<sup>(t)</sup> In case of an application for leave to bring a new action upon the judgment, the defence set up in the plea in *Dox v. Backenstose, administratrix, &c.*, that the defendant had not rendered and settled the accounts of the administration, would probably be admitted to prevent the leave of the court being given.

With respect to the enforcement, against an executor or administrator, of judgments against the deceased in his lifetime, it is provided by the Code, as will be remembered,<sup>(u)</sup> that in case of the death of a judgment debtor after judgment, his personal representatives may be summoned, at any time within one year after their appointment, to show cause why the judgment should not be enforced against the estate of the judgment debtor in their hands; that, upon such summons, the party

(q) 23 Wend. 477.

(r) 2 R. S. 88, sec. 32; 3 R. S. (5th ed.) 174-5; *Ante*, p. 352.

(s) See *ante*, pp. 299, 300; *Cameron & McKay v. Young*, 6 How. Prac. Rep. 372.

(t) Code, sec. 71.

(u) See *ante*, p. 313; Code, sec. 376 to 382.

summoned may answer, denying the judgment, or setting up any defence which may have arisen subsequently, and that a judgment may be given in the same manner as in an action, and enforced by execution, or the application of the property charged to the payment of the judgment, may be compelled by attachment if necessary. The construction of these provisions of the Code, so far as relates to executors and administrators, is without doubt to be ascertained by reference to the law, as it stood previous to their adoption. They were enacted, it may be presumed, to supply the place of the former proceedings by *scire facias* to revive a judgment against personal representatives, which at the same time was superseded. Under that proceeding an execution, as has just appeared, could not be issued upon the revived judgment against the executor or administrator, until an account of his administration had been rendered and settled before the surrogate. Under the new provisions, therefore, although they speak of enforcing the judgment by execution, &c., yet the issuing of such execution, it is reasonable to suppose, would be regulated by the court by the provisions of the Revised Statutes which have been referred to, and, in accordance with the cases cited, would not be allowed until an account of the administration of the executor or administrator had been rendered and settled.(v)

With respect to the defence of the Statute of Limitations, the eighth section of the act relative to suits by and against executors and administrators,(w) provides, it will be remembered, that the term of eighteen months after the death of any testator or intestate shall not be deemed any part of the time limited by law for the commencement of actions against his executors or administrators. Under the system of pleading previous to the Code, where a testator was indebted and died within six years after the accruing of a cause of action against him, and within eighteen months after his death a suit was brought against his executor, who pleaded the Statute of Limitations, the proper course for the plaintiff was to reply that the cause of action did accrue within six years, and not to plead the facts specially that the cause of action accrued within six years before the death of the testator, and that the suit was commenced within eighteen months after that period. On such general replication in the computation of time the eighteen months were excluded.(x)

Where the time, intermediate the cause of action accrued and the commencement of the suit, was seven years and six months, or more, the defendant, it was determined, should plead the common plea.(y)

(v) It should be noted that the sections of the Code referred to provide for cases of judgments against joint debtors, where one of the defendants has not been served with the summons, and for the enforcement of judgments against deceased judgment debtors, against their heirs, devisees or legatees, or the tenants of their real property, as well as to the enforcement of judgments against deceased judgment debtors, against their personal representatives; and it is submitted that the provision authorizing the issuing of the execution or attachment, may well be understood as having its full and literal application only in some of the former and not in the latter cases, leaving the latter to be governed by the existing rules prescribed the Revised Statutes for the issuing of executions against executors and administrators.

As to the enforcement, against the real estate of the deceased, of judgments obtained against him in his lifetime, see *ante*, pp. 342, 344.

(w) 2 R. S. 448; 3 *id.* (5th ed.) 746; *Ante*, p. 345. (x) *Howell v. Babcock's exr*, 24 Wend. 488.

(y) "*Vid. Huntington v. Brinckerhoff*, 10 Wend. 278, 283-4."



And if the plaintiff meant to contest the time, he should reply that the cause of action did accrue within the six years. The statute (section 8) above-quoted then came in and directed the mode of computation. It declares that the eighteen months shall not be deemed a part of the six years pleaded: in other words, the six years were not complete in the special case, unless the whole time was seven years and a half. "The statute," it was said, "is one of evidence or computation. It is not like the statute, creating an exception in cases of infancy or coverture," &c. These exceptions, it is admitted, must be replied, as was done in *Chandler v. Vilett*.<sup>(z)</sup> A direct issue on the time, keeping the disability out of view, would admit evidence of time only. The disability, or cause of exception, could not appear upon the record without being specially replied. So, where the defendant is beyond sea.<sup>(a)</sup> But in an action against an executor or administrator, a possible case for the statute appears on the face of the declaration. The bar is still one of six years, but it is that time exclusive of eighteen months. "This shall not," says the statute, "be deemed a part of the time limited." In order to satisfy the plea in such a case, the party must make out six years, intermediate the day when the cause of action accrued and the day when the suit was commenced, beside the eighteen months running immediately after the death of the debtor. That is the time intended by the words of the plea, six years, &c., and in such a particular case.<sup>(b)</sup>

The Code, as amended in 1852, in prescribing the contents of the defendant's answer, provides (section 149) for "A statement of any new matter constituting a defence or counter-claim, in ordinary and concise language, without repetition." By section 153, when the answer contains new matter constituting a counter-claim, the plaintiff may, within twenty days, reply to such new matter, denying generally or specifically each allegation controverted by him, or any knowledge or information thereof sufficient to form a belief; and he may allege, in ordinary and concise language, without repetition, any new matter not inconsistent with the complaint, constituting a defence to such new matter in the answer; or he may demur to the same for insufficiency, stating in his demurrer the grounds thereof; and the plaintiff may demur to one or more of several counter-claims set up in the answer, and reply to the residue.

A defence of the Statute of Limitations, whether founded upon the above-quoted eighth section of the Revised Statutes, or upon the clause of the 102d section of the Code before recited,<sup>(c)</sup> providing that, where the statute has not run previous to the death, a new action may be commenced, after the expiration of the statute, within one year after the issuing of the letters, will come within the description of "new matter," provided for in the answer by the 149th section. A reply, however, to new matter is expressly required only in case such new matter constitutes a counter-claim, which the defence of the Statute of Limitations probably is not. An issue upon the Statute of Limitations, although that may be the principal issue to be tried, will not, therefore,

(z) "2 Saund. 117, c. 120."

(a) "Vid. 10 Wend. 284."

(b) *Howell v. Babcock's executor*, 24 Wend. 488, 490. See, also, *Benjamin v. De Groot*, 1 Denio, 151.

(c) *Ante*, p. 345.

under the new system, appear upon the record. The 168th section of the Code, however, provides that the allegation of new matter in the answer, not relating to a counter-claim, is to be deemed controverted by the adverse party, as upon a direct denial or avoidance, as the case may require.<sup>(d)</sup> And now, by the amendment of 1860 to the 153d section of the Code,<sup>(e)</sup> it is provided that, in cases other than those in which the answer contains new matter constituting a counter-claim, when an answer contains new matter constituting a defence by way of avoidance, the court may, in its discretion, on the defendant's motion, require a reply to such new matter; and, in that case, the reply shall be subject to the same rules as a reply to a counter-claim.

With respect to the defendant's set-offs, in suits brought by executors or administrators, the 23d section of the statute relative to pleadings and set-offs, as was seen in a previous chapter,<sup>(f)</sup> provides that, in such suits, demands existing against their testators or intestates, and belonging to the defendant at the time of their deaths, may be set off by the defendant in the same manner as if the action had been brought by and in the name of the deceased. By the above 24th section of the same statute,<sup>(g)</sup> whenever a set-off is established in a suit brought by executors or administrators, the judgment shall be against them in their representative character, and shall be evidence of a debt established, to be paid in the course of administration. The language of this latter provision extends to all suits brought by executors in which a set-off is established, including suits which might also have been instituted in the name of the executor personally. The rule, however, is well established, as was stated in a previous chapter,<sup>(h)</sup> that in a suit by an executor or administrator, upon a cause of action which arose after the death of the testator or intestate, the defendant cannot set off a demand against the testator or intestate, even though it existed at the time of his death.<sup>(i)</sup> The reason given is that, as the law existed previous to the Revised Statutes, a defendant, by such a set-off, might compel the payment of a simple contract debt in preference to a judgment or bond debt. And since the Revised Statutes, such a set-off might, if the estate should prove insolvent, prevent a *pro rata* distribution. Such would be its effect where a suit is brought by an executor, as such, to recover money received by the defendant since the death of his testator, and belonging to his estate. If, in such case, the defendant is at liberty to set off a debt due him from the testator at the time of his death, he might succeed in obtaining payment of all his demand, when there were not sufficient assets to pay all the creditors. The consequence would be the same if a defendant were allowed to set off his

<sup>(d)</sup> The following section of the Code is inconsistent with this provision in all cases except, at any rate, those in which a counter-claim is set up in the answer :

Sec. 154. "If the answer contain a statement of new matter constituting a defence, and the plaintiff fail to reply or demur thereto within the time prescribed by law, the defendant may move, on a notice of not less than ten days, for such judgment as he is entitled to upon such statement, and if the case require it, a writ of inquiry of damages may be issued." See Howard's Code, p. 273, and cases cited:

<sup>(e)</sup> S. L. 1860, ch. 459, pp. 783, 785; Howard's Code, p. 270.

<sup>(f)</sup> Chap. 8, *ante*, p. 302.

<sup>(g)</sup> *Ante*, p. 353.

<sup>(h)</sup> Chap. 8, *ante*, p. 302.

<sup>(i)</sup> *Dole v. Cook*, 4 Johns. Ch. Rep. 13; *Root v. Taylor*, 20 Johns. 13; *Fry v. Evans*, 8 Wend. 530; *Mercein v. Smith*, 8 Wend. 210; *Ante*, p. 302. See, also, *Dudley v. Griswold*, 2 Bradf. Surr. Rep. 24.

claim against the estate, in a suit brought against him to recover money belonging to the estate loaned to him by the executor. The 23d section of the statute above referred to, in its terms, seems to be broad enough to admit a set off in all suits brought by executors and administrators; but the construction which has been given to it by the courts is now too well settled to be questioned, and is clearly necessary, as well since as before the Revised Statutes, to give effect to the policy of the law in regard to the distribution of estates.

Thus, where the executor sued in his representative character on a promissory note made to him, describing him as executor, for a debt due to the testator in his lifetime, and by his notice, subjoined to his declaration, made the note in question his only cause of action; it was held that the plaintiff had a right to object to the allowance of a set-off of a claim against the testator in his lifetime, on the ground that the cause of action, in the form given to it by the consent of parties, arose after the death of the testator.(j)

The result of the statute and of the cases would seem to be, that, in an action by an executor or administrator for a cause of action which arose previous to the death of the decedent, the defendant may set off a demand existing against the decedent, and belonging to the defendant at the time of the death of the decedent. By the 24th section of the statute, if a balance be found due to the defendant, the judgment for such balance will come in among other debts, to be paid *pro rata* in case of a deficiency. But if the action be for a cause of action which arose after the death of the testator or intestate, a set off of a claim existing against him at the time of his death will not be allowed. The executor or administrator will be entitled to judgment for the whole of his demand, and the demand of the defendant will be a debt against the estate, to be paid in full or *pro rata*, according to the sufficiency of the assets. In the first case, the balance due by the decedent at the time of his death is the debt against the estate, to be paid along with the other debts, and in the same proportion. In the second, the debt owing by the deceased is the debt to be paid in the same manner, but the defendant is required to pay the demand which he owes to the executor or administrator.

With respect to set-offs in actions against executors and administrators, it may be remarked that an administrator, who has purchased a judgment against a plaintiff since the rendition of a judgment against him for a debt owing by the intestate, will not be allowed by the court, in the exercise of its equitable powers, to set off such judgment.(k)

#### OF ENFORCING A JUDGMENT OBTAINED AGAINST AN EXECUTOR OR ADMINISTRATOR, AFTER A TRIAL AT LAW UPON THE MERITS.

The above section, numbered 32,(l) prohibits the issuing of an execution upon a judgment against an executor or administrator, until an account of his administration shall have been rendered and settled, or

(j) *Merritt v. Seaman*, 6 Barb. Sup. Ct. Rep. 330.

(k) *Hills v. Tallman's adm.'r*, 21 Wend. 674; *Dudley v. Griswold*, 2 Bradf. Surr. Rep. 24, and cases cited.

(l) 2 R. S. 88; 3 R. S. (5th ed.) 174-5; *Ante*, p. 352.

unless on an order of the surrogate who appointed him. It further provides that, if an account has been rendered to the surrogate by such executor or administrator, execution shall issue only for the sum that shall have appeared, on the settlement of such account, to have been a just proportion of the assets applicable to such judgment.<sup>(m)</sup> By the above section, numbered 20 [sec. 19],<sup>(n)</sup> a creditor, who shall have obtained a judgment against an executor or administrator after a trial at law upon the merits, may at any time thereafter apply, to the surrogate having jurisdiction, for an order against such executor or administrator, to show cause why an execution on such judgment should not be issued. The judgment creditor to whom the order is to be granted, must have obtained his judgment against the executor or administrator *after a trial at law upon the merits*; a judgment obtained otherwise will not entitle the creditor to the order to show cause.<sup>(o)</sup> The next section provides for the issuing of a citation, requiring the executor or administrator complained of, at a certain time and place therein to be named, to appear and account before the surrogate; and directs that if, upon such accounting, it shall appear that there are assets in the hands of the executor or administrator properly applicable to the payment, in whole or in part, of the judgment so obtained, the surrogate shall make an order that execution shall be issued for the amount so applicable.

The proceeding to procure the order for the issuing of the execution is by petition of the creditor to the surrogate, setting forth that he has obtained a judgment against the executor or administrator, after a trial at law upon the merits, stating the amount and date of the judgment, and the court in which it was recovered, and praying an order that the executor or administrator show cause why an execution should not issue, and also a citation for him to account. On filing the petition, the order to show cause is made, and the citation issues. The order, and also an order for the issuing of the citation, must be entered in the surrogate's minutes. [For forms of the application, orders and citation, see Appendix, No. 51.]

The number of days' service of the order and citation which must be allowed, is not specified; but, as an account is required, and as the order to account is a thirty days' order, that number should probably be given. Disobedience to the order and citation may be punished by attachment.

On the return day, the parties having appeared, the executor or administrator must submit an account of his proceedings, as far as he has advanced in the administration. It does not seem to be expressly required that the account should be accompanied by vouchers. In analogy with the rule prescribed by the chancellor in *Williams v. Purdy*<sup>(p)</sup> and *Gardner v. Gardner*,<sup>(q)</sup> for the usual account rendered in the Surrogate's Court, the account should, however, doubtless be under oath. The justness and correctness of the account may be contested by

<sup>(m)</sup> This provision of the Revised Statutes is still operative. See Code, sec. 471; *Olmsted agst. Vredenburg and another*, 10 How. Prac. Rep. 217, *per* Harris, J.

<sup>(n)</sup> 2 R. S. 116; 3 R. S. (5th ed.) 204; *Ante*, p. 352.

<sup>(o)</sup> See *Davies v. Skidmore*, 3 Hill, 503, *per* Bronson, J.

<sup>(p)</sup> 6 Paige, 166.

<sup>(q)</sup> 7 *id.* 112.

the creditor, and witnesses may be examined as to the truth of any of the items or charges contained therein. The proceedings on the investigation will be governed by the same rules which apply to the final settlement of an executor or administrator's accounts. Such settlement forms, in part, the subject of a separate future chapter of this work; and, to avoid repetition, the reader is referred to that chapter for the directions necessary for the present purposes.<sup>(r)</sup>

It will be observed that the creditor, in the case under consideration, is entitled to apply for an order to account immediately after having obtained his judgment, and, consequently, that these proceedings may take place within a short time after the appointment of the executor or administrator, and before he can have had an opportunity to ascertain, by advertisement in the mode prescribed by law, all the claims against the estate.

It has been seen that the statute regulating the publication, by the executor or administrator, of the notice for creditors to exhibit their claims, does not authorize such publication until at least six months after the granting of the letters testamentary or of administration, and requires such publication to continue for the space of six months. One year, then, from the date of his appointment, is the shortest time within which the executor or administrator can discover the extent to which the assets in his hands may be liable to creditors. It will be remembered, also, that the obtaining of the judgment against the executor or administrator, does not entitle the debt to any preference over others of the same class. Although, therefore, the account may show a large amount of funds in the hands of the executor or administrator, if there be any uncertainty as to the amount of outstanding liabilities, the surrogate will, in most cases, entertain some scruples as to ordering an execution. It is not perceived how, at an early stage of the administration, the executor or administrator, or the surrogate, can possibly determine whether there are assets properly applicable to the payment in whole or in part of the judgment, or not. The mere suggestion of a doubt on the point, would probably be sufficient to deter the ordering of an execution. If the application for the order be made previous to the expiration of a year from the time of the appointment of the executor or administrator, the surrogate will require the most conclusive proof, on the part of the creditor, of the absolute sufficiency of the assets, to warrant an execution for either the whole or such part of the judgment as may be insisted upon, before granting the order.

If the year has elapsed, there would seem to be less difficulty in the case. The executor or administrator's account will then show what claims have been presented under his notice; and, the liabilities of the assets having been thereby ascertained, the amount applicable to the judgment can be determined with comparative accuracy. In fixing such amount, allowance will have to be made for contingencies and future expenses of the administration.

If, on being called upon, after the expiration of the year, to show cause why an execution should not issue upon a judgment obtained

<sup>(r)</sup> See post, ch. 12. The form of the account will also be there found described.



against him, the executor or administrator should allege that he had not yet advertised for claims, and that consequently the proportion of the assets applicable to the judgment could not be determined, the surrogate would at least throw the burthen of the proof upon him, and compel him to show that there were outstanding claims and insufficient assets; and if the omission to advertise should cause an adjournment of the matter, his authority ought to enable him to charge the executor or administrator personally with the expenses, as a penalty for such neglect of his proper duty.

If in any way it should be satisfactorily established by the proceedings that there are assets properly applicable to the payment in whole or in part of the judgment, the surrogate will grant an order for the issuing of an execution for the amount so applicable, after leaving a sufficient proportional sum in the executor or administrator's hands to meet contingencies and future expenses of the administration. Such order should contain a summary statement of the account, showing the basis upon which the amount applicable to the judgment has been calculated and fixed. [For form of the order, see Appendix, No. 52.]

Where the executor's accounts have been "rendered and settled" before the surrogate, it is unnecessary to procure an order from the surrogate to issue execution. The statute provides, in such case, "that the execution shall issue only for the sum that shall have appeared, on the settlement of such account, to have been a just proportion of the assets applicable to the judgment." Therefore, where the amount of assets is less than the amount of the judgment, it is irregular to issue execution for the whole amount of the debt.<sup>(s)</sup>

The execution will direct the money to be levied of the goods, &c., of the testator or intestate. The 289th section of the Code provides, with respect to the form of the execution, that, if it be against personal property in the hands of personal representatives, it shall require the officer to satisfy the judgment out of such property.<sup>(t)</sup> It will be governed by the same rules, as to the time at which it may be issued, and as to its return, as prevail in respect to other executions in the court in which the judgment may have been recovered.

The next section, number 22 [sec. 21], enacts, that the order for the issuing of the execution shall be conclusive evidence of the sufficiency of the assets to the extent allowed to be levied; and the 23d section [sec. 22] provides for the granting of further orders, and the issuing of further executions, in cases where the same may be necessary and proper.<sup>(u)</sup>

(s) *Olmsted agt. Vredenburg*, 10 How. Prac. Rep. 215.

(t) Howard's Code, 447-8. Where judgment is obtained against the personal property of a deceased debtor, and the execution is issued against his executors, describing them in their representative capacity, such description alone is insufficient to prevent the sheriff from levying upon the individual property of the executors.

Where, in such case, it is intended to collect the amount out of the assets in the hands of the executors, the execution, under sec. 289 of the Code of Procedure, should require the officer to satisfy the judgment out of the property which, according to the judgment, is liable for its payment. *Olmsted agt. Vredenburg and another, ex. vs, &c.*, 10 How. Prac. Rep. 215.

(u) The sections thus considered are taken from, and in a degree are conformable to, the rules which prevailed in courts of law previous to the Revised Statutes, relative to the prosecution of suits against executors and administrators. The plaintiff, if he recovered, obtained

Besides the proceedings under the sections thus considered, the creditor who has obtained a judgment against an executor or administrator, after a trial at law upon the merits, has a remedy for the collection of his judgment after six months shall have elapsed from the granting of the letters testamentary or of administration, under the above recited 18th section of the "Act relative to the rights and duties of executors and administrators,"(v) which will presently be more fully treated of. He may also, after the expiration of eighteen months from the granting of the letters, compel the executor or administrator to render an account and distribute the assets, under the provisions of the statutes regulating the rendering and settling of the accounts of executors and administrators, likewise above referred to, and which also will be hereafter discussed.

**OF ENFORCING A JUDGMENT OBTAINED AGAINST AN EXECUTOR OR ADMINISTRATOR, OTHERWISE THAN AFTER A TRIAL AT LAW UPON THE MERITS.**

The sections numbered 20, 21, 22 and 23, which have thus been considered, apply, as has been noticed, solely to judgments obtained against an executor or administrator after a trial at law upon the merits. The section numbered 32(w) provides for all judgments against executors or administrators, whether obtained after a trial upon the merits or otherwise. No execution shall issue until an account shall have been rendered and settled, or unless on an order of the surrogate.

There is an intimation in the case of *The People v. The Judges of the Albany Mayor's Court*,(x) that the power of the surrogate to permit an execution to issue by an order under the thirty-second section, is to be limited to the case of a *defended* suit, mentioned in the 19th section [sec. 20]. This was not so understood in the previous case of *Winne v. Van Shaick*,(y) and it would seem that the language of the statute is too broad and unqualified to fairly authorize such a restricted interpretation.(z)

The case in which the surrogate may grant the order, is that of a judgment obtained after a trial upon the merits. That portion of the section which speaks of the order, is to be understood, not as conferring a power to make an order in any case, but as referring to express provisions elsewhere enacted, for the issuing of an execution on the order of the surrogate, and without a settlement of the account, which are the provisions contained in the above four sections, numbered 20, 21, 22 and 23. In all cases, then, where a judgment shall have been obtained

judgment and had execution awarded for the whole amount of his debt, if the assets remaining in the hands of the executor or administrator were sufficient; and if the assets were insufficient, then provision was made for reaching future assets. The judgments against the executor or administrator had preference according to the priority of time in which they were respectively recovered, and the first might sweep away all the property. (See *Wms. on Exrs.*, book 2, chap. 1; 1 R. L. 1813, 315.) Although this system of preferential administration was essentially abolished by the plan proposed and adopted in the Revised Statutes, the revisers seem to have been unable entirely to divest the new provision of the accustomed machinery by which it was conducted, and remnants of it, inconsistent with the general scope and object of the reformed system, and which are to be found in the 31st section (2 R. S. 88) already treated of in these sections and in others, were permitted to linger in the rules which they introduced.

(v) 2 R. S. 116; 3 R. S. (5th ed.) 204; *Ante*, p. 356.

(w) 2 R. S. 88; 3 R. S. (5th ed.) 174; *Ante*, p. 352.

(x) 9 Wend. 489.

(y) *Id.* 448.

(z) *Buller v. Hempstead m.'rs*, 18 Wend. 669.

against an executor or administrator, otherwise than after a trial at law upon the merits, an account of the administration must be rendered and settled before execution can issue. This, also, is to be understood, not as conferring a power to compel the rendering and settling of the account whenever a judgment shall be obtained against the executor or administrator, but as referring to express provisions elsewhere prescribed for the rendering and settling of the accounts of executors and administrators, and which are above summarily quoted, and are to be found at length in the next article of the statutes.<sup>(a)</sup> Under those provisions, an account cannot be compelled until after the expiration of eighteen months from the time of the granting of the letters. Except in as far as the rendering of an account is required by the four sections which have been already considered, those provisions respecting the accounting at the expiration of the eighteen months, are the only ones regulating the rendering and settling of the accounts of executors and administrators, and they contemplate the final adjustment of the affairs of the administration. It follows that, before the remedy upon a judgment obtained against an executor or administrator, otherwise than after a trial upon the merits, can be consummated, the period of eighteen months must have elapsed from the granting of the letters testamentary or of administration, as, previous to the expiration of that period, the executor or administrator cannot be compelled to render and settle his account. After the accounting, clearly an execution may be ordered for the just proportion of the assets applicable to the judgment.

The last clause of the same thirty-second section enacts, that if an account has been rendered and settled, execution shall issue only for the sum that shall have appeared, on the settlement of such account, to have been a just proportion of the assets applicable to the judgment. This is a similar provision, as will be observed, to the one contained in the twenty-first [20th] section,<sup>(b)</sup> for fixing the amount for which execution shall issue on a judgment obtained after a trial at law upon the merits. But there is no provision, as in that case, for obtaining further orders and issuing further executions, from which it may be inferred that the rendering and settling of the account intended by the thirty-second section, is one to take place when the estate is in such a situation as that the entire and exact amount applicable to the judgment can be definitely determined.

A creditor, then, who has recovered a judgment at law against the executor or administrator by default, or otherwise than after a trial upon the merits, must await the expiration of the eighteen months before he can obtain execution thereon, and then he must call the executor or administrator to an accounting before the surrogate, if he do not voluntarily proceed to such accounting. And the surrogate, upon the adjustment and settlement of the account, may order an execution to issue upon the judgment, for the amount justly applicable thereto, if such order be demanded. Where a creditor recovered judgment by default against the defendant, sued as an administrator, and issued an execution without previous leave from the surrogate, and before the

(a) See *post*, ch. 12; 2 R. S. 91; 3 R. S. (5th ed.) 178.

(b) 2 R. S. 116; 3 R. S. (5th ed.) 204; *Ante*, p. 352.

settlement of the defendant's accounts as administrator, the court, on motion, set aside the execution.(c)

The proceedings on compelling an executor or administrator to account, and on the rendering and settlement of his account, will be treated of under a separate head, at a future page of this work;(d) and the issuing of executions, as well under the above four sections, numbered 20, 21, 22 and 23, as under this thirty-second section, will be recurred to, in connection with that branch of the subject. It will there appear that, on such settlement, the surrogate may decree payment of the judgment, or its just proportional share of the assets, and that such decree, with the subsequent proceedings thereon authorized by the statutes, affords, in nearly all instances, a more effectual remedy than is provided by the sections which have now been considered, and almost entirely supersedes the necessity of issuing an execution upon the original judgment at all. The eighteenth section of the "Act concerning the rights and duties of executors and administrators,"(e) above-quoted, which was before spoken of, and which will presently be considered, also provides a remedy in the case of a judgment obtained otherwise than after a trial upon the merits.

It may here be observed, that a judgment upon a bond or other security given by an administrator or executor, though in his representative character, does not bind the estate which he represents, nor can such estate be taken upon the execution issued thereon. The description of the defendants in the bond as executors or administrators, is surplusage; and they are chargeable upon such a bond and judgment only in their own right. It is their personal contract, and whatever their rights may be under such a bond or judgment, if paid by them in their settlement with the estate which they represent, it is not a legal debt or judgment against such estate, and cannot be pleaded as such. Accordingly, in a case previous to the Code,(f) it was held that a judgment against administrators, upon a bond and warrant of attorney executed by them, did not bind the estate of the intestate so that it could be taken upon an execution issued thereon.

It should be added that actions in which executors and administrators are sole plaintiffs or sole defendants, have a preference, in the Court of Appeals and in the Supreme Court, at the general term thereof, over all actions except in criminal cases, and may be moved out of their order on the calendar.(ff)

#### OF THE ENFORCEMENT OF DEBTS AGAINST THE ESTATE, WHETHER IN JUDGMENT OR NOT, BY PROCEEDINGS IN THE SURROGATES' COURTS.

By the 18th section of the Act concerning the rights and duties of executors and administrators(g) just alluded to and above-quoted, upon the application of a creditor, the surrogate may decree the payment of any debt, or a proportional part thereof, against the executor or administrator of a deceased person, at any time after six months shall have elapsed from the granting of the letters testamentary or of administration.

(c) *Winne v. Van Schaick, adm.'r*, 9 Wend. 448. (d) See post, ch. 12.

(e) 2 R. S. 116; 3 R. S. (5th ed.) 204; *Ante*, p. 356.

(f) *Pinney v. Adm.'r of Johnson*, 8 Wend. 500. (ff) S. L. 1860, ch. 167, sec. 1, p. 270.

(g) 2 R. S. 116; 3 R. S. (5th ed.) 204; *Ante*, p. 356.

The statute respecting the publication by the executor or administrator of a notice to creditors to exhibit their claims, as has been seen, does not allow of the completion of such publication within less than one year from the granting of his letters. At the expiration of that period, therefore, and not until then, can the executor or administrator be presumed to know the exact condition of the estate, and whether the assets in his hands will be sufficient to discharge all the claims for which they are liable. He is bound to pay the debts in the order and according to the classes prescribed by the statute, and if he pay a claim of an inferior degree whilst there are claims entitled to a priority remaining unsatisfied, and there should be a deficiency of assets to discharge the latter; or if he pay a debt of the fourth class in full or in part, and the assets should be inadequate to pay all the others of the same class in full, or in the same relative proportion with the one whereof he had already paid a part, he will be guilty of a *devastavit*, and will render himself personally accountable. After the year, he can estimate the share to which each claimant will be entitled, and proceed to make payments. If, then, the executor or administrator refuse to make payments while he cannot be in a situation for forming such estimate, clearly no blame can be attached to him. So, the circumstances of the case are seldom such that a decree for payment can properly be made until the year has elapsed, and the time has arrived when it may be presumed that the sufficiency or deficiency of the assets can be ascertained.

Chief Justice Nelson, in *Butler and others v. Hempstead's administrators*,<sup>(h)</sup> says, in regard to this section of the statute, "These provisions are useful where the estate is readily settled or clearly solvent;" and, speaking of the same section in the subsequent case of *Fitzpatrick v. Brady and others, executors, &c.*,<sup>(i)</sup> he says, "The statute prescribing and regulating the duties and obligations of executors and administrators, virtually allows them eighteen months after letters testamentary or of administration to settle the estate, for the purpose of securing more effectually the leading feature of the new system, to wit, a *pro rata* distribution of the assets among the creditors in case of a deficiency. This is the legal effect of the several sections in the 2d and 3d articles of the Revised Statutes relating to the payment of debts, &c., and the making of distribution by executors and administrators.<sup>(j)</sup> They cannot be compelled to render their accounts, nor can they voluntarily render them before the surrogate agreeably to these articles, until after the expiration of the period mentioned.<sup>(k)</sup> But power is conferred upon the surrogate to inquire into the condition of the assets short of this period, at the instance of a creditor; and if they are found sufficient and available, the surrogate may direct the payment of the debt, or a proportional part of it, as the state of the assets at the time shall seem to warrant. This was the object of the provision in question."<sup>(l)</sup>

If the executor or administrator be perfectly satisfied that the assets

<sup>(h)</sup> 18 Wend. 669.

<sup>(i)</sup> 6 Hill, 581.

<sup>(j)</sup> 2 R. S. 86; 3 R. S. (5th ed.) 173; 2 R. S. 91; 3 R. S. (5th ed.) 178.

<sup>(k)</sup> 2 R. S. 92, sec. 52; 3 R. S. (5th ed.) 178; 2 R. S. 95, sec. 70; 3 R. S. (5th ed.) 182.

<sup>(l)</sup> 2 R. S. 116, sec. 18; *Ante*, p. 356. See, also, secs. 20 to 23; 3 R. S. (5th ed.) 204; *Ante*, p. 352. See, also, "*In the Matter of the claim of David S. Mills, a creditor against the Estate of John Thursby, deceased*," 11 How. Prae. Rep. 126.



will prove ample, he will not refuse, even immediately after obtaining his letters, to meet a demand properly substantiated. But if there be reason to doubt the sufficiency of the assets, he is entitled to defer payment for the year at least.

Accordingly, this 18th section, so far as payments may be required under it before the expiration of the year, is of but little practical utility. If a creditor take proceedings under it before the year has expired, he will be obliged to establish absolutely the liability of the executor or administrator to make immediate payment of his demand.<sup>(m)</sup> He may be a judgment creditor of the deceased, and prove that there are no other judgments against him, and that all debts of a prior class are paid, and show by the inventory that there is a large balance remaining in the hands of the executor or administrator. But any reasonable doubt raised by the executor or administrator will be sufficient to defeat the application, or, at any rate, to cause the surrogate to require, as it is probably competent for him to do, that the party give a bond of indemnity before receiving payment—in analogy to the case of the discharge of a legacy before the expiration of the year.<sup>(n)</sup>

A similar difficulty to that here spoken of occurs, as will be remembered, in proceedings on an application, made before the expiration of the year, for an order to issue an execution upon a judgment recovered against the executor or administrator, after a trial at law upon the merits. These different provisions for ascertaining the amount of claims, for regulating and for compelling payments, do not seem to have been framed with very precise reference to each other, and are not easily reconcilable.

After the expiration of the year, when it may be assumed that the executor or administrator has advertised for and ascertained the claims against the estate, the difficulty thus pointed out, in obtaining a decree under this 18th section, can no longer exist. The mode of proceeding, however, to be adopted in seeking the remedy afforded by the provision is not prescribed, either by the section itself or in any other part of the statutes. In such proceedings before a surrogate, the parties ought to make statements of their claims in the nature of pleadings, in order that the parties and the court may be apprised of the questions in issue.<sup>(o)</sup> The ordinary practice is for the creditor to petition the surrogate for relief under the section.

The petition of the creditor should contain the proper averments to show jurisdiction, and should state the time of the appointment of the executor or administrator, and the nature and amount of the debt. It will hereafter appear, in connection with the subject of the accounting of executors and administrators in the Surrogates' Courts, that the surrogate has not jurisdiction to inquire into or decide upon the validity or amount of a debt against the estate. The petitioner will, therefore, do well to set forth in his petition that his claim has been presented to the executor or administrator and has been assented to by him, or that it has been established as valid, and its amount fixed by a judgment or other-

(m) See *Mahoney agst. Gunter*, 10 Abbott Prac. Rep. 431, 438.

(n) See *post*, ch. 11; 2 R. S. 90; 3 R. S. (5th ed.) 177.

(o) See *Van Vleck v. Burroughs*, 6 Barb. Sup. Ct. Rep. 341.

wise, as the case may have been. He should also aver that he has demanded payment of the claim from the executor or administrator since the expiration of a year from the granting of the letters; and unless such demand has been made and is alleged in the petition, or proved in the course of the proceedings, although payment may be decreed, the petitioner will not be entitled to the costs of the proceeding, because it would not appear but that such application for payment would have realized the claim, and rendered unnecessary the calling of the executor or administrator before the surrogate. The petitioner should also allege, if he have knowledge or information of the fact, that assets applicable to his claim, and sufficient for the payment thereof in whole or in part, have come into the hands of the executor or administrator. He should also, in his petition, demand of the executor or administrator an account of the property of the deceased which such executor or administrator has received, or that he admit a sufficiency of assets. He may also include in his petition any fact or circumstance, within his knowledge or information, respecting the situation of the estate, or the conduct of the executor or administrator, which may strengthen his application; and all the material statements in the petition, if the same be sworn to, will be held evidence for the party on the hearing of the matter, unless they are denied by the executor or administrator. The petition will conclude with a prayer for a decree for payment of the claim, pursuant to the section under consideration. [For form of the petition, see Appendix, No. 57.]

On filing the petition, the surrogate issues a citation to the executor or administrator, requiring him to appear before him and show cause why payment of the debt should not be decreed. The citation should be served at least four days before the days fixed for the hearing.<sup>(p)</sup> Directions for such service should be included in the order for the issuing of the same. [For forms of the order and citation, see Appendix, No. 58.]

On the day appointed, if the executor or administrator fail to appear, a decree for payment of the claim will go against him by default. It will be observed that the section under consideration says nothing of the citation to show cause. The decree, for ought appearing in the statutes, might be made *ex parte* on the application and proofs of the claimant only, and without notice to the executor or administrator. The preliminary citation is, therefore, in view of the words of the statute alone, strictly a favor to the executor or administrator, and he will not be entitled, on showing cause or in the course of the proceedings, to any further indulgence.

If the executor or administrator appear on the return of the citation, he may set up, in answer to the petition, unsettled demands, or suits pending against the estate, or any other matter which may show uncertainty as to the assets, or furnish a cause why the decree should not be made. But in order to maintain such a defence, it will usually be necessary for him to bring in an account, which he may do on the day

(p) It is submitted that it would be correct practice to serve a copy of the petition on the executor or administrator at the time of the service of the citation; but this has not been usual.

appointed for showing cause; or further time, not exceeding thirty days, in analogy to the statute relative to accounting, will be allowed him for the purpose. And if the executor or administrator, in answering the petition, do not render an account, or apply for further time to enable him to do so, or otherwise distinctly show a deficiency, it will be held an admission of sufficient assets in his hands applicable to the petitioner's claim. Aside from the question of the jurisdiction of the surrogate to determine a disputed claim, if the executor or administrator seek to avail himself of the Statute of Limitations, he must state such defence in time to enable the creditor to meet it by proof. It is too late to do so when the cause is submitted on written points, after the evidence is closed.<sup>(q)</sup> The answer should be put in under oath. From the account, if one be rendered, the adequacy of the assets and the proportional part to which the claim is entitled, may be adjudged.<sup>(r)</sup>

The justness and correctness of the account may be litigated, but, the statute not expressly requiring one to be filed, it probably need not be under oath, nor accompanied by vouchers. The executor or administrator, however, will be guided, with respect to these particulars, by the circumstances of the case, and the charges and proofs brought by the claimant. Witnesses may be examined as in other cases, touching any question arising on the petition of the creditor, or the answer or account of the executor or administrator. The proceedings, on contesting the account, will be similar to those hereafter to be described on an accounting by an executor or administrator, after the expiration of eighteen months from the time of his appointment. The decree will recite the substance of the petition, or of the proofs relative to the claim of the creditor, and the situation of the assets, as may have appeared from the answer or account of the executor or administrator, or the evidence adduced in respect thereto, and will order payment of the claim in whole or in part, according to the decision of the surrogate thereon. [For form of the decree, see Appendix, No. 59.]

The costs and expenses of obtaining the decree where there has been a litigation, if the proof showed a fair case for reasonable doubt as to the payment, would be chargeable upon the estate. If misconduct on the part of the executor or administrator has been proved, they should be charged on him personally. In other cases, they should be borne by the petitioner.

Whether, upon an application for a decree for payment of a debt under this section of the statute, the surrogate has jurisdiction to try the validity of the alleged claim, is a question in respect to which there has been some conflict of opinion. The consideration of this question involves an examination of provisions of the statutes not strictly among those embraced within the subject matter of the present chapter, but which will properly be included in the chapter of this work treating of the subject of the accounting of executors and administrators, and is therefore, for the present, deferred, to be inserted in that chapter. It should, however, be mentioned in this place, that the better opinion

(q) *Van Vleck v. Burroughs*, 6 Barb. Sup. Ct. Rep. 341.

(r) For form of the account, see *post*, ch. 12, and Appendix.

is that the surrogate has not jurisdiction to try or determine the validity of claims alleged against the estates of deceased persons.<sup>(s)</sup>

Though the creditor of a decedent apply to the surrogate for a decree directing the payment of a debt under this 18th section, and the surrogate, after citing the executors or administrators, decide against the validity of the debt, and deny the application on that ground, the decision will not conclude the creditor in an action afterwards brought against the executors or administrators to recover the same debt.<sup>(t)</sup>

If, on showing cause after the expiration of the year why a decree for payment of a claim not disputed should not be made, the executor or administrator allege that he has not advertised for claims against the estate pursuant to the statute, and that, therefore, the amount to which the creditor is entitled cannot be ascertained, it will rest in the discretion of the surrogate, governed by the facts of the case, whether a decree for payment shall be made against him or not. If the decree be refused in consequence of his neglect to advertise, he should certainly, at any rate, be charged personally with all the costs and expenses of the application.

A creditor who has recovered a judgment against the executor or administrator, whether after a trial upon the merits or otherwise, may, it is plain, resort for his remedy to the section which has thus been considered. His decree, however, it may be remarked, will be, not for the issuing of an execution, but for payment of the amount of the judgment, or the due proportional part thereof.

The decree of the surrogate for payment of the claim, may be enforced by proceedings under the 19th section of the same statute,<sup>(u)</sup> or the 63d, 64th and 65th sections of the law of 1837,<sup>(v)</sup> as amended by chap. 104 of the Laws of 1844.<sup>(w)</sup> Those sections may be more conveniently considered in connection with the subject of the surrogate's decree on an accounting, which is hereafter to be discussed. The reader is referred to that portion of this work in which such decree is treated of, for the practice under those sections in the present case.<sup>(x)</sup> The observations there made respecting their provisions, will be found applicable in every particular to a decree for the payment of money under the section which has now been considered.

An action at law on the decree may also be brought against the executor or administrator.

The provisions of the statutes for compelling the executor or admin-

<sup>(s)</sup> See, in support of the proposition that the surrogate has jurisdiction in the case under consideration, *Fitzpatrick v. Brady*, 6 Hill, 581; *Kidd v. Chapman*, 2 Barb. Ch. Rep. 414; *Flagg v. Ruden*, 1 Bradf. Surr. Rep. 192; *Campbell v. Bruen*, Id. 224; *Waydell v. Vekie*, Id. 277; *Hall v. Bruen*, Id. 435; *Jennings v. Phelps*, Id. 485; *Eitel v. Walter*, 2 id. 287; *Bowen v. Bowen*, Id. 336; *Babcock v. Lillis*, 4 id. 218. On the other side, *In the Matter of the estate of John Kent*, Dayton's Surrogate (1st ed.) Appendix vii; *In the matter of the accounting of Jones, executor, &c., of John Mason, deceased*, 5 N. Y. Legal Observer, 124; *Mages v. Vedder*, 6 Barb. Sup. Ct. Rep. 352; *Wilson v. The Baptist Education Society*, 10 Barb. Sup. Ct. Rep. 308; *Disosway v. Bank of Washington*, 24 Barb. 60; *Andrews agst. Wallege*, 17 How. Prac. Rep. 263; *S. C.*, 10 Abbott Prac. Rep. 425; *S. C., nomine Andrews v. Wallace*, 29 Barb. Sup. Ct. Rep. 350.

<sup>(t)</sup> *Fitzpatrick v. Brady*, 6 Hill, 581.

<sup>(u)</sup> 2 R. S. 116; 3 R. S. (5th ed.) 300.

<sup>(v)</sup> S. L. 1837, p. 531; 3 R. S. (5th ed.) 366.

<sup>(w)</sup> S. L. 1844, p. 91; 2 R. S. (3d ed.) 320.

<sup>(x)</sup> See post, Ch. 12.

istrator to render an account, and make payments after the expiration of eighteen months from the time of the granting of his letters, are above quoted, and reference has been several times made, in the remarks upon other sections, to proceedings on such an accounting. The remedy furnished by those provisions is more general and comprehensive than that afforded under any other sections of the statutes. It extends to all claims, whether of creditors, legatees, widow or next of kin. As the subjects of the discharge and payment of legacies, and of the distribution of the surplus, after the payment of the debts, have not yet been considered, and as the accounting in question takes place at a period in the administration when the conduct of the executor or administrator, in the discharge of his trust, may be finally examined into and passed upon, it is proposed to defer the consideration of those provisions until they can be treated of with reference to every species of claim which may be enforced under them, and all the previous duties of the executor or administrator have been in their due order discussed. The reader is therefore referred to that head of this work, where such accounting is considered, for the practice and forms of proceedings on compelling an executor or administrator to pay the debts of the deceased, under the provisions of the statutes regulating the rendering and settling of the accounts of executors and administrators.

**OF THE ASCERTAINMENT OF THE DEBTS OF THE DECEASED BY THE PUBLICATION BY THE EXECUTOR OR ADMINISTRATOR OF A NOTICE TO CLAIMANTS TO EXHIBIT THEIR CLAIMS, AND THE SUBSEQUENT PROCEEDINGS THEREON.**

The above sections, numbered 34 to 38, both inclusive,(y) provide for the publication, by the executor or administrator, once in each week for six months, of a notice to creditors to exhibit their claims, with the vouchers thereof, to such executor or administrator, and prescribe the duties and proceedings of the executor or administrator, and of the creditor, on the presentation of claims under such notice. The 39th and 40th sections provide for claims not presented under the notice, and the 41st section deprives the plaintiff of costs in suits brought for such claims; and further provides, that costs shall not be recovered in any suit at law against any executor or administrator, unless it appear that the demand on which the action was founded was presented within the time aforesaid, that its payment was unreasonably resisted or neglected, or that the defendant refused to refer the same, pursuant to the preceding provisions. The object of the publication of this notice is, as has been seen, to enable the executor or administrator to ascertain, within a convenient period, the total amount of the debts to which the assets in his hands are liable, so that he may correctly apportion such assets among the different claimants. Without some such contrivance, as all claims, after the comparatively few included in the first three classes of the 27th section,(z) share the assets *pro rata*, the executor or administrator could never know to how much each was entitled, and could not pay to any without danger of committing a *devastavit*.

(y) 2 R. S. 88-9; 3 R. S. (5th ed.) 175-6; *Ante*, pp. 354-5.

(z) 2 R. S. 87; 3 R. S. (5th ed.) 174; *Ante*, p. 312.



The terms of the 34th section are, that the executor or administrator at any time, at least six months after the granting of the letters testamentary or of administration, *may* publish the notice therein described; but he is not at liberty, because of this merely permissive language, to omit availing himself of the section. The legislature do not enjoin the publication of this notice absolutely as a duty, because it is intended entirely for the protection of executors and administrators. Besides, there may be cases in which it would be unnecessary, as where the creditors are all well known, and their demands admitted, or where the estate is abundant, and the executors or administrators the sole successors or distributees.

But the delay of the executor or administrator in publishing the notice, should not be allowed to prejudice any person having a claim against the estate. He will be inexcusable if, when the time for making payments has arrived, he have no other reason for refusing to meet the demands than his own negligence in not having advertised for claims, and will be held debarred from all the privileges and exemptions to which the due publication of the notice would have entitled him. In *Harvey v. Skillman's executors*,<sup>(a)</sup> where the executor had omitted to advertise for claims, and, after demand of the account and allowing a reasonable time for settlement, the plaintiff had brought a suit, and recovered a judgment against the executor, the estate of the testator was held liable for the plaintiff's general costs in the cause; and it was decided that, under those circumstances, the plaintiff is entitled to costs, as in other cases, without showing that the demand was unreasonably resisted or neglected, or that the defendant refused to refer the matter in controversy; and that it is not necessary in such case to produce the certificate of the circuit judge before whom the cause was tried, or other evidence, as in other cases, to enable the court to determine whether the costs shall be awarded against the property of the defendant or of the deceased. It is enough in such case, it was considered, to show that the notice to exhibit claims had not been published. And Mr. Justice Cowen, in delivering the decision of the court in that case, speaks of the neglect of advertising for claims on the part of an executor or administrator in terms of manifest censure. After reciting the provisions of the above sections of the statute, numbered 34 to 41, both inclusive,<sup>(b)</sup> particularly those of the 41st section, he says:

"I am of opinion, that in this case the defendant can claim no protection whatever from any of the provisions in 2 R. S. 88-9, to which I have adverted. These give to executors and administrators a right, if they think proper, to proceed summarily in liquidating the amounts of debts due from their testators or intestates, and attach certain privileges in the distribution of assets, if the creditors shall not follow them in these purposes; and then, after all this, comes the 41st section, entitling them also, as well as the estate which they represent, to an almost entire exemption from costs, especially when we consider the great favor and indulgence with which they have been regarded by the cases that have arisen and been decided under the statute." And after

(a) 22 Wend. 571.

(b) 2 R. S. 88-9; 3 R. S. (5th ed.) 175-6; *Ante*, pp. 354-5.

reviewing the numerous previous cases relating to costs against executors and administrators, hereafter to be noticed in this treatise in connection with the subject of costs generally, (c) the distinguished justice proceeds as follows:

"In all the cases I have cited where costs have been denied, though the fact is not always stated in the report, no doubt it must have appeared that the executor or administrator had given public notice, and complied in all respects with the statute prescribing his ulterior course. Then he may demand the oath of the party, and may require, and must not refuse to refer, and then he may claim to be excused costs, if he be finally sued. I will not again repeat the words of the 41st section. It is quite clear on reading it, with a view to the previous sections, that it never was intended for a *suit* against an executor or administrator, entirely in the abstract, not preceded by any efforts on his side to bring about a settlement such as he is, by the statute, authorized to make. If he have given no notice, how can the creditors know when or where to present their claims, and what chance have they to avoid a resort to the ordinary and more dilatory and expensive process of law? I admit that some of the words in the 41st section are quite general when taken by themselves; for instance, these:—'Nor shall any costs be recovered in *any suit at law* against *any executors or administrators*, to be levied of their property, or of the property of the deceased, unless it appear,' &c. But the words which immediately follow, show what predicament the defendant must belong to, in order to make the previous words apply. They are, unless the demand was presented to the defendant, pursuant to the notice which he had given, and was unreasonably resisted, or there was a refusal to refer, &c. Shall it be said that the executor may go about his own private business, or abroad on his travels, or entirely neglect to publish time and place, according to the 34th section? It was said, in *Swift v. Blair's executrix*, (d) that the plaintiff asking for costs must bring himself strictly within the statute—that is to say, after the executor has given him a chance, he must not be backward in trying to avoid protracted litigation; but I will add, that before he can be called on to join in the measure, the executor must show that, on his part, he has taken such steps as have afforded an opportunity to do it. In order to that, the executor or administrator must himself have strictly complied with the statute, by giving the notice and following it up. He must put the plaintiff in default; and if otherwise, while the executor, &c., cannot insist on his readiness to refer the matter, so he cannot put forward any other excuse against costs contained in the 41st section. If tried at the circuit, no certificate is necessary to entitle the plaintiff to costs. If referred, or there be a default or *cognovit*, no inquiry on affidavit as to which party was in fault can be allowed, farther than to see the omission to give notice, or other act of neglect on the side of the defendant, by which he has lost all protection from the statute." (e)

(c) See *post*, ch. 15.

(d) 12 Wend. 278.

(e) In *Bullock v. Bogardus*, (1 Denio, 276,) however, it was held that the omission of an executor or administrator to publish a notice requiring the creditors of the deceased to exhibit their claims, pursuant to 2 R. S. 88, sec. 34, does not subject them or the estate to

It will be observed, that the statute does not authorize the executor or administrator to commence the publication of the notice until at least six months after the granting of the letters testamentary or of administration, and that such publication must be continued for the period of six months, so that one year after his appointment is the shortest time within which the executor or administrator can complete the advertisement, and discover the total amount of the debts to which the assets in his hands are liable.

Before advertising, the executor or administrator applies to the surrogate to ascertain the newspapers which he may deem most likely to give notice to the creditors. The application need not be in writing, nor is there any particular formality to be gone through with on applying. The proceeding is not usually accompanied by any investigation, although, in order that the surrogate may judge in how many papers the notice ought to be published, so as to give notice to all the creditors, the situation of the business of the deceased at the time of his death, and the probable number and the residence of his creditors, might reasonably be inquired into. The executor or administrator should consider it a part of his duty, on applying to the surrogate to ascertain the papers he may deem most likely to give the proper notice, to state to the surrogate all the information he may possess as to the probable number and the residences of the creditors of the deceased. And the surrogate may require information from other persons, in order to determine the proper papers in which the notice shall be published. But the application to the surrogate is only that he may designate the papers—it is not for authority to publish the notice; nor would there seem to be any propriety, upon the words of the statute, in advising it as necessary, unless it should be expressly demanded by the surrogate, that the application or the partial investigation which may take place on the occasion, should be under oath. The statute directs the notice to be published in as many papers as the surrogate may deem most likely, &c., without expressly requiring him to specify the particular names of the number designated. The uniform practice, however, has been for the surrogate to name one newspaper. The executor or administrator may insert the notice in such paper as he thinks fit in the county. The surrogate may direct it to be published in newspapers out of the county, and sometimes, at the suggestion of the executor or administrator, such a direction is given; but those cases are not frequent. In by far the greater number of instances, both newspapers are of those published in the county. A memorandum of the application and of the names of the papers, is properly entered in the surrogate's minutes. It is in the form of an order, and is called an order to advertise. [For form of the memorandum or order, and also of the notice, see Appendix, No. 53.]

The publication of the notice in one newspaper in the county, it was the costs of a suit subsequently brought; and the case of *Harvey v. Skillman*, so far as it holds a contrary doctrine, was overruled. And the decision in *Bullock v. Bogardus*, has since been repeatedly sustained. See *Wallace v. Markham*, 1 Denio, 671, 673; *Russell v. Lane*, 1 Barb. Sup. Ct. Rep. 519, 523; *Van Vleck v. Burroughs*, 6 id. 341; *Fort v. Gooding*, 9 id. 388, 390; *Snyder v. Young*, 4 How. Prac. Rep. 217. But see *Whitmore v. Foote*, 1 Denio, 159.

determined in a recent case, (f) is sufficient, unless the surrogate directs a publication in some other paper or papers also. Application having been made to the surrogate on the subject; if he does not deem that a publication in more than a single paper, being one in the county, would aid the object of securing notice to the creditors, it need not, it was considered, be published in any other. And the surrogate's order, that notice be published in a specified paper in the county, without referring to a publication in any other, it was held was evidence that he did not deem that publication in another newspaper would be of service. "The legislature," say the court, "designed to leave it to the surrogate to determine, in view of the object to be accomplished, of service of notice to creditors, whether a publication in any paper beyond a single one in the county should be made."

By the above section, numbered 35, (g) the executor or administrator may require vouchers for every claim, and the affidavit of the party in support of the same. Such affidavit should follow the words of the statute. [For form, see Appendix, No. 54.]

By the common law, it is the business of the debtor to seek the creditor and offer payment, but by this statute the creditor is to seek the representative of the debtor, and produce the vouchers showing the validity of the claim which he presents. (h)

The object of requiring the affidavit of the creditor is not to prove the existence of the debt, as it is not evidence for that purpose. But it is to prevent the exhibition of fictitious claims against the estate of the decedent which have been discharged by him in his lifetime; and also to prevent the allowance of claims against which there existed a legal off-set, known only to the party presenting such claim, and which those who are interested in the estate of the decedent may be unable to establish by legal proof. (i)

Where the claim is on a promissory note, the payee of the note having made the usual affidavit of the justice of his demand against the estate of the maker, he is not bound to give the personal representatives of such maker a statement of the several items which formed the consideration of the note. (j)

By the 36th section, if the executor or administrator doubt the justice of any claim presented pursuant to the preceding two sections, he may enter into an agreement in writing for a reference. Such doubt must be distinctly signified, otherwise he will be deemed to have assented to the claim. He may take time to examine into the matter, but his final determination should be so plainly expressed to the claimant as to leave no room for any misunderstanding.

The exhibition of a claim to the legal adviser of the executor or administrator in settling claims against the estate, and his rejection of it, is not a compliance with the statute. (k)

But where an executor or administrator, in his notice, directs the

(f) *Dolbeer v. Casey*, 19 Barb. Sup. Ct. Rep. 149, 156.

(g) 2 R. S. 88; 3 R. S. (5th ed.) 175; *Ante*, p. 354.

(h) 7 Wend. 523.

(i) *Williams v. Purdy*, 6 Paige, 169. See, also, *Flagg v. Ruden*, 1 Bradf. Surr. Rep. 197.

(j) *Boughton v. Philips*, 6 Paige, 334.

(k) *Whitmore v. Foote, executor, &c.*, 1 Denio, 159.

claims to be exhibited to him at the office of his counsel, as is frequently done, the exhibition of claims to such counsel at such office, in the absence of the executor or administrator, ought to be held sufficient. The only ground on which such a notice could be sustained, would be, that the office of the counsel was, for this purpose, the residence or place of business of the executor or administrator. If he was not to be found there, the counsel would doubtless be considered his agent or representative for the purposes of the claims, and his dispute or rejection of such claims the same as a dispute or rejection thereof by the executor or administrator himself.

And where it appeared that nearly all the communication between the claimant and the administrators was through the plaintiff's attorney on the one side, and the legal adviser of the administrator on the other, who was also the counsel for the defendant in the suit subsequently brought for the claim, and the law partner of the defendant's attorney, and that previously to the commencement of the suit he had stated more than once to the plaintiff's attorney that the administrator refused to refer the claim, although the administrator himself denied such refusal, on a motion for costs, it was considered, that although perhaps counsel cannot, by virtue of his authority as such, consent or refuse to refer a claim presented against an estate; yet, where he is known and admitted to be the general legal adviser of the representatives, they should be deemed bound, on the question of costs at least, by any information he communicates to claimants respecting a consent or refusal to refer.<sup>(l)</sup>

Again, there is not in the statute anything which necessarily requires a personal interview between the claimant and the executor or administrator; nor that the evidences of the debt should be laid before the executor or administrator, or that the claimant should make oath of the justice of his claim, unless required to do so by the executor or administrator.<sup>(m)</sup>

Where the executor already understands the whole matter, and does not desire that the evidence should be submitted to his examination, it cannot be necessary for the creditor to do more than present his claim; and that may be done through an agent by a written communication, or in any other way which deals fairly with the executor and the interests which he represents. If the presentation is made by letter, the executor is bound to deal ingenuously with the creditor. If he wishes to see the evidences of the debt, or to have the oath of the creditor to the justice of the claim, he should say so, and not leave the claimant to suppose he has done everything that is wished, and afterwards object that there has not been a more formal presentation of the demand.<sup>(n)</sup>

The fact that the claim was presented to the executor or administrator previous to the publication of the notice to creditors, does not, it is held, render the presentation invalid. A creditor has a right to present his claim to the representatives of the deceased at any time, and is not

<sup>(l)</sup> *Russell v. Lane*, 1 Barb. Sup. Ct. Rep. 519, 526.

<sup>(m)</sup> *Gansevoort v. Nelson*, 6 Hill, 391; *Russell v. Lane*, 1 Barb. Sup. Ct. Rep. 519; *Fort v. Gooding*, 9 id. 392.

<sup>(n)</sup> *Gansevoort v. Nelson*, 6 Hill, 391.



confined to the six months prescribed for the publication of the notice.<sup>(o)</sup> And the executor or administrator may, it seems, require vouchers and the affidavit of the claimant, upon the presentation of the claim, whether he has published the notice or not. The 35th section is regarded as independent of, and having no reference to, the previous section. Its language is general: "Upon any claim being presented against the estate of any deceased person," and its provisions are deemed not restricted to claims exhibited under the notice published pursuant to the 34th section. So, the executor or administrator, it is considered, may enter into the agreement to refer provided for by the 36th section, whether the claim be exhibited under the notice to creditors or not. The terms of the last named section are: "If the executor or administrator doubt the justice of any claim so presented, he may enter into an agreement," &c. The words "so presented" relate to the presentation provided for by the preceding 35th section, which, as has been seen, is regarded as general, and having no reference to the publication of the notice. So, if the executor or administrator doubt the justice of a claim presented, he may, it seems, enter into an agreement to refer, although he has not demanded vouchers or an affidavit, pursuant to the 35th section. The requirement of vouchers and an affidavit is not a pre-requisite to authorize him to agree to a reference. The claims to be referred are those presented, and the justice of which the executor or administrator has doubted. It is not in express terms required that they should be those in respect to which the executor or administrator has called for the vouchers and affidavits. In *Russell v. Lane*,<sup>(p)</sup> Mr. Justice Hand says: "The power to refer is given by the statute, and perhaps it was intended that the executor or administrator should require the vouchers and affidavit, and then, if he still doubt the claim, he is warranted in consenting to a reference.<sup>(q)</sup> But such, it is believed, is not the construction given to the statute by our courts, or by the profession. The executor or administrator may from the first understand the nature of the claim, and be satisfied it cannot be adjusted without the intervention of third persons; and he is not compelled to require an affidavit in all cases." And although the executor or administrator not merely doubt but absolutely reject the claim, the reference may be had; for the 38th section, when it provides that "if a claim against the estate of any deceased person be exhibited to the executor or administrator, and be disputed or rejected by him, and the same shall not have been referred, the claimant shall, within six months after such dispute or rejection," commence a suit, &c., clearly contemplates a reference; as well of a rejected as of a disputed claim.

In *Fort agt. Gooding*,<sup>(r)</sup> Mr. Justice WILLARD held that an unqualified rejection of a claim, unaccompanied by an offer to refer, was equivalent to a refusal to refer. This construction is unsupported by any authority, and has not been followed by any other judge. The authorities seem to be all the other way.<sup>(s)</sup> The rejection of the claim and a

<sup>(o)</sup> *Russell v. Lane*, 1 Barb. Sup. Ct. Rep. 319.

<sup>(p)</sup> 1 Barb. 519, 524-5.

<sup>(q)</sup> "See the remarks of Bronson, J., 6 Hill, 392; and of Cowen, J., 22 Wend. 572."

<sup>(r)</sup> 9 Barb. Sup. Ct. Rep. 388.

<sup>(s)</sup> *Swift v. Blair's exec.*<sup>1</sup>, 12 Wend. 278; *Harvey v. Skillman's ex'r*, 22 Wend. 571; *Ste-*

refusal to refer are different acts, entirely independent, and the one cannot, by any logical construction, be deemed the equivalent of the other. Before a claim can be referred, and before there is any cause for its reference, it must have been disputed or rejected; and until this latter occurrence, the claimant is not in a condition to propose a reference. To say that a rejection of the claim is also to be deemed a refusal to refer, is to say what the executor may not by any means intend, and to involve the estate in the expenses of a litigation, which he may have every disposition to avoid.(t)

The 41st section of the statute above quoted,(u) relating to costs in actions against executors or administrators, exempts the executor or administrator from the payment of costs, among other cases, unless it appear that the demand on which the action was founded was presented within the time prescribed for the publication of the notice to creditors, and its payment was unreasonably resisted or neglected, "or that the defendant refused to refer the same," pursuant to the provisions above recited. A refusal to do an act, implies that there has been a previous request; and a refusal to refer a rejected claim to three disinterested persons, implies a previous request or offer to refer by the claimant. The proposition to refer must proceed from the claimant, after his claim has been rejected. The statute admits of no other construction.(v)

The provision of the statute authorizes the executor or administrator "to enter into an agreement in writing with the claimant to refer the matter in controversy to three disinterested persons, or to a disinterested person, to be approved by the surrogate." (w) The language of this provision is not very explicit, but it is considered that it was intended that the parties should mutually agree in writing to refer the claim, and, in case they should fail to select referees for themselves, that the selection should be made by the surrogate. At any rate, it is not a sufficient compliance with the statute for the executors to offer to refer the claim to three referees named by themselves. And where such a proposition was rejected, and it was proposed that the parties should appear before the surrogate for the purpose of having referees selected, it was held that it was the duty of the executors to have accepted the offer.(x)

The proceeding under the statute is not, however, an arbitration, and a refusal to arbitrate will not be deemed a refusal to refer under the provision under consideration.(y)

Claims in favor of the estate are not referable under this provision of the statute. Where a claim against the estate has been exhibited to the executor or administrator, and has been disputed by him, and has been referred pursuant to the statute, the executor or administrator, as

*phens* agt. *Clark*, 12 How. Prac. Rep. 282; *Proude* agt. *Whiton*, 15 How. Prac. Rep. 304. The last cited case was affirmed upon appeal to the general term.

(t) *Buckhout* agt. *Hunt*, 16 How. Prac. Rep. 407, 412.

(u) 2 R. S. 90; 3 R. S. (5th ed.) 176; *Ante*, p. 355.

(v) *Buckhout* agst. *Hunt*, 16 How. Prac. Rep. 407, 413.

(w) 2 R. S. 88-9; 3 R. S. (5th ed.) 175, sec. 41, [sec. 36], as amended by ch. 261, §. L. 1859, p. 569; *Ante*, p. 354.

(x) *Gorham* agst. *Ripley*, 16 How. Prac. Rep. 313.

(y) *Akely* agst. *Akely*, 17 How. Prac. Rep. 21; *Swift v. Blair's executrix*, 12 Wend. 278.

will presently appear, may set up, on the trial before the referee or referees, any off-set which may exist against the claim; but the statute does not contemplate that the executor or administrator shall occupy the position of plaintiff, and be the leading and moving party in the proceeding. The statute(z) treats the claimant as the *actor*, speaks of a claim presented *against* the estate, of the absence of any *off-sets* thereto, authorizes the court to award costs as in *actions against* executors, requires a suit to be brought for the recovery of the claim, if disputed or rejected and not referred, within six months thereafter, and provides that in such suits no costs shall be recovered against the defendants, nor in other actions at law against executors, except in certain specified cases. And the objection is regarded as not one of mere form. "It is," says Mr. Justice Hogeboom in *Akely* agt. *Akely*,(a) "an innovation upon the ordinary practice; and little or nothing should be taken by implication."

So, where a claim against an estate was presented to the administrator by a creditor of the intestate, and the administrator claimed an off-set, consisting of matters of account and matters of tort, upon which an agreement in writing was entered into to *submit* the matters in difference, set forth and recited in the agreement, to three persons named, to hear, determine, and *award* upon the same and every part thereof, under the direction and sanction of the county judge of the county of Greene, *pursuant to law*—judgment to be entered upon said award and determination, pursuant to law, in the Supreme Court, and the surrogate indorsed upon this agreement his approval of the persons named "as suitable and proper persons to whom to *submit* the within claims and demands," whereupon an order was entered in the Supreme Court referring the matters embraced in the agreement to the three persons mentioned "to hear, determine, and award the same," and the administrator was named and acted throughout the proceeding as plaintiff, and the creditor appeared as defendant, it was held that, whatever might have been the intention of the parties, the proceeding was not, in form or in substance, a reference under the statute as to claims against deceased persons.(b)

The object of the statute, it has been considered, was to allow a reference of all claims against an estate, whether of a legal or an equitable nature, which the executor or administrator was competent to settle and adjust, and thus save the expense and trouble of litigation. Accordingly, in *Francisco v. Fitch*,(c) it was held that the statute was broad enough to include unliquidated claims by a surviving partner against the estate of a deceased partner, growing out of the partnership. A part of the plaintiff's claim was for moneys paid by him upon partnership debts, after the death of the intestate, and it was a part of the subject matter referred. And it was the opinion of the court that it was in the power of the referees to hear and determine it, and that it was manifestly a claim which the defendant, as administrator, had the power to settle and adjust with the plaintiff.

(z) 2 R. S. 88-9, 90; 3 R. S. (5th ed.) 174-5-6-7; *Ante*, pp. 354-5.

(a) 17 How. Prac. Rep. 21, 27-8.

(b) *Akely* agt. *Akely*, 17 How. Prac. Rep. 21.

(c) 25 Barb. Sup. Ct. Rep. 139.

In *Akely* agt. *Akely*,<sup>(d)</sup> however, a doubt was expressed whether, under the statute in question, any matters are referable, except matters of account or causes of action arising on contract. "They must," it was said, "be such as are subject to off-set." And in *Sands* agt. *Craft*,<sup>(e)</sup> it was expressly laid down that if the claim is not the subject of a common law action, it is not a claim which the personal representatives of the deceased are bound to refer under the above 36th section of the statute. The provisions of the various sections of the statute requiring the payment of the debts of the decedent, and prescribing the order of payment,<sup>(f)</sup> and those authorizing the publication of notice to creditors and regulating the presentation of claims, and the reference of claims the justice of which is doubted by the executor or administrator,<sup>(g)</sup> it was considered cannot be applied to the trust moneys or property in the hands of a person who, at the time of his death, was himself an executor. Those provisions, it was said, "contemplate an ordinary debt, for which the deceased was liable in his lifetime, upon a promise express or implied,—a debt which may be supported by the oath of the creditor, which is justly due, and which may be the subject of an off-set. The claim must be of such a nature as was cognizable in the Supreme Court, or Court of Common Pleas, at the time the act took effect, and for which the common law courts, as distinguished from the equity courts, afforded an adequate and complete remedy to both parties. And so, in the case in question, where an executor died, having in his hands, at the time of his death, assets belonging to the estate which he represented, and the surviving executors of the deceased brought an action against the executors of the deceased executor to recover such assets, it was held that the defendants in the action were not only not bound to enter into an agreement to refer the claim against their testator in the action, pursuant to the 36th section of the statute, but it was their duty to refuse to enter into such a reference, because the plaintiffs' claim was not referable, and there may have been many reasons to think that, in such a proceeding, the rights and interests they represented could not be effectually protected.

The law does not require the offer to refer to be in writing. The practice has been both ways; but an offer to refer by parol is good.<sup>(h)</sup>

The 36th section under consideration, as amended, allows of one or three referees, who must be approved by the surrogate; and upon filing the agreement to refer, and the surrogate's approval, in the office of the clerk of the Supreme Court in the county in which the parties or either of them reside, the section provides that a rule shall be entered by such clerk either in vacation or in term, referring the matter in controversy to the person or persons so selected.

The 37th section provides for the proceedings before the referees, for confirming or setting aside their report, for the entering of judgment thereon, and for awarding costs; and prescribes the validity and effect of the judgment.

(d) 17 How. Prac. Rep. 21, 27.

(e) 18 How. Prac. Rep. 438; 10 Abbott's Prac. Rep. 216.

(f) 2 R. S. 87; 3 R. S. (5th ed.) 638; *Ante*, p. 312.

(g) 2 R. S. 88-9; 3 R. S. (5th ed.) 175; *Ante*, pp. 354-5.

(h) *Lanning* agt. *Swarts and others, adm.'rs, &c.*, 9 How. Prac. Rep. 434.

The statute makes no provision for pleadings in these cases of reference. The agreement to refer, is the commencement of the suit. It must present substantially the issue between the parties, stating the claim upon one side, and the denial of its justice on the other—it is a substitute for declaration and plea. Questions of some difficulty may yet arise from the construction and operation of this statute, but it is not necessary to anticipate them.<sup>(i)</sup> [For form of agreement to refer, and approval of the surrogate, see Appendix, No. 55.]

Matters of defence need not be, in these cases, set up in the agreement to refer. The whole proceeding is *sui generis*. The agreement to refer relates to a particular claim presented to the executor or administrator, the justice of which is disputed.<sup>(j)</sup> The agreement to refer recites this fact, and, on the approval thereof by the surrogate, and filing of the same in the office of a clerk of the Supreme Court, becomes operative as a voluntary appearance by the parties thereto in the Supreme Court, and a submission to its jurisdiction for the purpose of adjudicating upon the claim presented. The account presented is in effect the plaintiff's complaint; and, there being no pleadings and no provision in the statute for pleadings, the defendant is limited to no particular defence, and consequently any and every legal defence against the claim must necessarily be available.<sup>(k)</sup>

On the trial before the referees, the plaintiff must prove his claim, and satisfy the referees of its justice and validity; and every species of legal proof adapted to show the injustice of the claim, or its invalidity as a whole, or in degree or amount, must be admissible. Within this rule a set-off may be proved,<sup>(l)</sup> or a payment in whole or in part, or proof given to reduce the amount. And the defendants, standing upon their denial of the justice of the claim in the agreement to refer, must be at liberty to make any defence which their testator or intestate could himself make if alive, and the same were properly pleaded in an action upon such claim. Within this rule the executor or administrator, upon such a reference, is entitled to insist on the Statute of Limitations, although no intimation of such defence is contained in the agreement for the reference.<sup>(m)</sup>

It may be added that the proceeding on the reference is not an action, in the ordinary and accepted sense of the term. The 270th section of the Code of Procedure, authorizing a reference of any of the issues in an action, does not therefore apply to such a reference.<sup>(n)</sup>

There should be a record of the proceedings in the court in which the reference is prosecuted, as the same may be necessary for the security of the executor or administrator. The proceeding before the referees is substantially a suit—it is a legal proceeding in a court to ascertain the amount due, and to enforce its collection. No difference is perceived between the proceeding by way of reference and by way of suit, only that in the latter case process issues; in the former, the

(i) *Woodin v. Bagley*, 13 Wend. 455.

(j) 2 R. S. 88, sec. 36; 3 R. S. (5th ed.) 175; *Ante*, p. 355.

(k) See *Roberts v. Ditmas, adm'rs, &c.*, 7 Wend. 522; *Woodin v. Bagley*, 13 Wend. 455; *Tracy v. Suydam*, 30 Barb. Sup. Ct. Rep. 110.

(l) See *Akely agst. Akely*, 17 How. Prac. Rep. 21, 26.

(m) See *Tracy v. Suydam*, 30 Barb. Sup. Ct. Rep. 110.

(n) *Akely agst. Akely*, 17 How. Prac. Rep. 21, 27.



agreement of the parties supplies the place of process and of pleadings. The judgment is to be valid and effectual in all respects, as if rendered in a suit by the ordinary process—it will therefore authorize an execution.(o)

The issuing of the execution must be under an order of the surrogate, as in other cases of judgments against executors and administrators. The proceedings before the referees, however, is in effect a trial on the merits; and it is apprehended the plaintiff, in a judgment recovered after a trial before referees, would be entitled to an order from the surrogate for the issuing of an execution under the provisions of the statute authorizing such order upon a judgment obtained after a trial at law upon the merits.

Where a cause is referred on an agreement, according to the statute, between the claimant and the executor of a deceased person, and a report is made, and the claimant dies after the report and before judgment actually entered, the court, on motion at a special term, will grant leave to have judgment entered in the names of the original parties, at any time within two terms after the report—the rule for confirmation and judgment to be entered at a general term.(p)

By the 38th section, if the executor or administrator dispute or reject a claim presented, and no reference be had, the claimant must, within six months after such dispute or rejection, if the debt or any part thereof be then due, or within six months after some part thereof shall have become due, commence a suit for the recovery thereof, or be forever barred from maintaining any action thereon, &c.

This short bar of the statute applies only where the demand has been presented in pursuance of public notice to that effect, given by the executor or administrator, agreeably to the 34th section.(q) If, therefore, the executor or administrator omit to publish the notice, a claimant will not be limited to six months after presentation of his demand to the executor or administrator, and his dispute or rejection of the same, within which to commence his suit against him.

But a publication of the notice to creditors to exhibit their claims to the executor or administrator in a single newspaper printed in the county, is sufficient to raise the bar of the statute, unless the surrogate, upon the application to him to ascertain in how many newspapers the notice shall be published,(r) directs a publication in some other paper or papers, also. And the order of the surrogate that notice be published in a specified paper in the county, without mentioning a publication in any other, will be received as evidence that he did not deem a publication in other newspapers necessary.(s)

If the creditor, however, present his claim before the time for advertising has arrived, it seems the limitation does not attach.(t) In such

(o) See *Roberts v. Ditmas, adm.'rx, &c.*, 7 Wend. 525.

(p) *Burhans v. Burhans*, 10 Wend. 601.

(q) *Whitmore v. Foose, executrix, &c.*, 1 Denio, 159. It should not escape remark that the views taken of the several sections of this statute, from and including the 34th section, the reasoning upon them, and the principle of construction adopted, are substantially alike in this case, and in the case of *Harvey v. Skillman*, 22 Wend. 571,—which latter, as has been seen, has been overruled by numerous subsequent cases. See *ante*, pp. 381–2, and note.

(r) See *ante*, p. 382.

(s) *Dolbeer v. Casey*, 19 Barb. Sup. Ct. Rep. 149.

(t) *Flagg v. Ruden*, 1 Bradf. Surr. Rep. 192.

a case, if the executor or administrator afterwards advertise for claims, and the claim be not again presented under the notice, and a suit be not brought for it whilst the notice is running, the executor or administrator will doubtless be protected in paying debts, discharging legacies, or making distribution, after the expiration of the time limited in the notice, by the provisions of the 39th section of the statute.<sup>(u)</sup> The short bar of six months will not, however, defeat an action brought upon the claim, whether it be commenced before or during the publication of the notice, or afterwards.

When a claim against an estate is not presented to the executor or administrator within the six months prescribed by the above section of the statute, the only effect of the omission is to limit the recovery, in a subsequent suit by the creditor, to the amount of the assets in the hands of the executor or administrator at the time of the commencement of the suit, and to deprive the plaintiff of all right to recover costs. The right of action is barred only when the claim was presented, and, having been disputed or rejected, was neither referred nor prosecuted within six months thereafter.<sup>(v)</sup>

Again, this section, it will be perceived, applies only to cases in which the claim is *disputed* or *rejected* by the executor. It is a highly penal provision, and ought to be strictly construed. Where the witness who presented the claim to the administrator on the behalf of the plaintiff, testified that the administrator said that he did not know whether the demand was just or not, that he had not investigated the affairs of the estate, that he would consult counsel and let the witness know the determination of the defendants, and that he never did subsequently make any communication to the witness upon the subject, it was held not to have been a disputing or rejection of the claim. Even if the administrator had not undertaken to let the plaintiff's agent know his final determination, and had simply said he knew nothing about the justice of the demand, that he had not yet looked into the affairs of the estate, it would not have been a disputing of the account or a rejection of it, within the meaning of this act. The act should be such as fairly to apprise the claimant that his demand would be contested.<sup>(w)</sup> Where a suit has been brought upon a claim, the executor or administrator ought not to have the benefit of this short limitation prescribed by this 38th section, without giving decisive evidence of the rejection of the claim more than six months before the suit was commenced.<sup>(x)</sup>

If the executor or administrator seek to avail himself of the limitation prescribed by this provision, he must be prepared to show a refusal to refer, as well as the omission of the plaintiff to bring his action within the period limited. The section enacts, in the case of a claim exhibited to an executor or administrator, and disputed or rejected by him, and not referred, and upon which a suit shall not have been brought within six months after such dispute or rejection, and upon which, therefore, a suit is barred by this statute, that any executor or administrator may,

<sup>(u)</sup> *Ante*, p. 355.

<sup>(v)</sup> *Baggott v. Boulger*, 2 Duer, 160.

<sup>(w)</sup> *Elliot v. Cronk's adm.'rs*, 13 Wend. 39.

<sup>(x)</sup> *Reynolds, adm.'r, &c. v. Collins, ex.'r, &c.*, 3 Hill, 36.

on the trial of any action founded upon such demand, give in evidence, in bar thereof, under a notice annexed to the general issue, the facts of such refusal and neglect to commence a suit. This implies that the executor or administrator must propose the reference, when the account is rejected, or that such rejection amounts to a refusal to refer. If the account is admitted, there is then nothing to refer. If it is merely doubted, after vouchers have been exhibited, the 36th section makes provision for a reference. The statute does not say by whom the reference must be proposed. It is probable that either party may submit that proposition. But the *refusal* to refer, when delay in bringing the action is urged by the defendant as a bar, is a part of the defendant's proof. This refusal may be either by the rejection of the plaintiff's offer, or by some equivalent act on his part.(y)

A verbal rejection of the claim, and refusal to refer, is doubtless good; but it is wiser on the part of the executor or administrator, where he proposes to avail himself of this short bar of the statute, to give written notice of such rejection and refusal to the claimant. The fact of the rejection of the claim, and the refusal to refer, and the time at which the statute commenced running, will thus be definitely fixed.

The reference is optional with the executor or administrator. If he refuse to refer, however, and the claimant afterwards recover in a suit against him on the demand, by the above section numbered 41, the executor or administrator may render himself personally chargeable with the costs.(z)

The provision for a reference was made for the benefit of the creditor, as well as the representatives of the deceased. It may not be said that the executor or administrator is bound to refer under all possible circumstances; but, as a general rule, he ought not to decline that mode of testing the validity of a demand which he is not prepared to admit.(a)

By the above section, numbered 39,(b) the executor or administrator is protected, in case of a suit brought upon a claim not presented under the notice, against liability on account of payments, made before such suit commenced, of claims of an inferior (or, it is presumed, of the same) degree, or of legacies or distributive shares. By the 40th section, the plaintiff in such action can take judgment only for assets remaining in the hands of the executor or administrator, or for assets *in futuro*. But, by the 42nd section, the creditor in such case may recover for his claim, in the manner prescribed by law, against the legatees or next of kin of the deceased. Previous to the enactment of the Revised Statutes, an executor or administrator, although he was not liable for the payment of debts of an inferior degree when there were debts unpaid of a higher degree, of which he had not any notice, yet he was bound to take notice, at his peril, of debts of record.(c) It was intimated at a previous page,(d) that the executor or administrator is bound to ascertain the claims against the deceased entitled to a priority of payment under the statute,(e) and will not be justified in depending upon the presen-

(y) *Fort v. Gooding*, 9 Barb. Sup. Ct. Rep. 394, per Willard, J.

(z) *Robert v. Ditmas, adm.'rx, &c.*, 7 Wend. 527; *Swift v. Blair's ex.'rx*, 12 Wend. 278.

(a) See *Doan v. Hines' adm.'rs*, 22 Wend. 640.

(b) *Ante*, p. 355.

(c) See Wms. on Exrs. 927.

(d) *Ante*, p. 317.

(e) 2 R. S. 87; 3 R. S. (5th ed.) 174; *Ante*, p. 312.

tation to him of such preferred claims under the notice published by him pursuant to the statute for the exhibition of claims. And this is undoubtedly true with respect to claims embraced in the first two classes in the prescribed order for the payment of the debts of the deceased: 1. Debts entitled to a preference under the laws of the United States; 2. Taxes assessed upon the estate of the deceased previous to his death. And it may, perhaps, be doubted whether judgments against the deceased were in the minds of the legislature when speaking of claims upon which suits were to be brought against the executor or administrator. But the language of the new provisions is sufficiently general to include such judgments; and those provisions are regarded as requiring, that in order that the executor or administrator shall be held liable for the payment of judgments against the deceased, they shall be presented under the notice in the same manner as other claims against him.(f)

With respect to contingent liabilities of the deceased, such as upon covenants in leases not yet broken, but which may or may not be broken hereafter, or upon bonds by way of indemnity, or as surety, of which the conditions have not become forfeited, but which may hereafter become forfeit, if such claims be presented to the executor or administrator under the notice, it will be a question, probably to be determined upon the proceedings for the final settlement of his accounts as executor or administrator, whether any portion, and, if any, how much of the estate shall be reserved to meet such liability. If the claim be not presented under the notice, the executor or administrator proceeds with the payment of the debts, and the discharge and payment of legacies and distributive shares without reference to such claim; and when a liability actually accrues thereon, the holder has his remedy only against assets remaining in the hands of the executor or administrator at the time of the commencement of a suit for the same, or against assets *in futuro*, or against the legatees or next of kin of the deceased. Indeed, it may be stated, generally, that the executor or administrator, proceeding in due course of administration, is, to all intents and purposes, discharged of liability for all claims against the deceased, except those presented under the notice duly published for the exhibition of claims. And the holder of claims, whether actually due or contingent, not so presented, has his remedy, in the main, only against the legatees or distributees of the decedent.

The other clauses of the above sections, numbered 39 and 40, providing for the prosecution of suits on demands not presented under the notice, and declaring the liability of the executor or administrator for assets and for costs in such suits, do not, consistently with the plan and purposes of this work, furnish subjects for any further remarks. And the same may be said of the 42d section, declaring the liability of the next of kin and legatees of the deceased, for claims so unrepresented. The 41st section, declaring the rule as to costs in suits against executors and administrators, will hereafter be more particularly considered in connection with the subject of costs generally.(g)

(f) See *Trust v. Harned*, 4 Bradf. Surr. Rep. 213.

(g) *Post*, ch. 15.

OF THE ADJUSTMENT AND RETAINER OF DEBTS DUE BY THE DECEASED  
TO THE EXECUTOR OR ADMINISTRATOR.

Previous to the Revised Statutes, as an executor or administrator, among creditors of equal degree, might pay one in preference to another, so it was another of his privileges that he had a right to retain for his own debt due to him from the deceased, in preference to all other creditors of equal degree.<sup>(h)</sup>

The Revised Statutes having abolished all preferences in the payment of the debts of deceased persons, (except in respect to debts due the United States, taxes, and judgments,) also deprived the executor or administrator of this privilege. The following is the provision of the Revised Statutes on this subject:

Sec. 33. No part of the property of the deceased shall be retained by an executor or administrator in satisfaction of his own debt or claim, until it shall have been proved to, and allowed by, the surrogate; and such debt or claim shall not be entitled to any preference over others of the same class.<sup>(i)</sup>

The following section of the law of 1837 makes provision in relation to the proof in these cases:

Sec. 37. The proof of the debt or claim of any executor or administrator required by the above 33d section, may be made on the service and return of a citation for that purpose, directed to the proper persons, or on the final account of any such executor or administrator, pursuant to the third article of the third title of chapter six of the second part of the Revised Statutes.<sup>(j)</sup>

The revisers, in their note to the above 33d section of the Revised Statutes, say: "An early exhibition of the administrator's claims will tend to an adjustment of the estate; its allowance by the surrogate will enable other creditors to know its extent."<sup>(k)</sup> But executors or administrators seldom take proceedings for the proof and allowance of their own claims, until they come before the surrogate on a final accounting.

The Statute of Limitations, however, will run against a claim of an executor or administrator against the estate of the decedent, the same as against the claim of any other person.<sup>(l)</sup> In order to save the statute, therefore, it may be necessary for the executor or administrator to take proceedings for the proof of his claim at an earlier stage of the administration; and the 37th section of the law of 1837, above quoted, provides the requisite facilities for the purpose.

By that section, if the executor or administrator purpose to prove his debt or claim before the final accounting, he must take out a citation for that purpose, to be directed to the proper persons. The language of the section is vague, and it is difficult to determine upon any precise course of practice under it. The proper persons to whom the citation is to be directed, must be all persons interested in the estate. Neither

<sup>(h)</sup> *Woodward v. Lord Darcy* (Plowd. 184; Dyer, 2 a, in marg.) as to an executor; and *Warner v. Wainford* (Hob. 127), *Bond v. Green* (1 Brownl. 75), *S. C.* (Godb. 217, pl. 210), as to an administrator. Wms. on Exrs. 936.

<sup>(i)</sup> 2 R. S. 88; 3 R. S. (5th ed.) 175.

<sup>(j)</sup> S. L. 1837, ch. 460, sec. 37; 3 R. S. (5th ed.) 175.

<sup>(k)</sup> 3 R. and or. S. (2d ed.) App. 642.

<sup>(l)</sup> *Treat v. Fortune*, 2 Bradf. Surr. Rep. 116.



the number of days' service of the citation which must be given, nor the manner of the service, is prescribed. It is presumed that if it should appear that any of the proper persons reside out of this state, that would be a great obstacle in the way of proceedings under the section to prove a claim.

If the executor or administrator deem it advisable to apply separately for the proof of his alleged claim, he should present a written petition to the surrogate, stating the amount and circumstances of the claim proposed to be proved, and the correctness of the same, the names of all persons interested in the estate, their residences, and if any of them be minors, that fact, and whether such minors have or have not general guardians, and the name and residence of the general guardian of any minor, and praying a citation pursuant to this section. The surrogate will give the necessary directions relative to the service of the citation. They should be included in the order for issuing the same. [For forms of the application, order and citation, see Appendix, No. 56.] Before taking any testimony in the matter, the surrogate will require evidence of the due service of the citation on all the parties in interest. On the day named in the citation for the proof, on such evidence being produced, an examination respecting the claim may be gone into, and the same may thereupon be adjusted and allowed.

The occasion of the final settlement of the executor or administrator's account, when all the parties are before the surrogate, is the time best adapted for this investigation relative to the executor or administrator's debt or claim, and, as has been stated, it is usually deferred to that period. If there be a deficiency of assets to pay the debts, or any difficulty in the distribution, the executor or administrator will refuse to pay final dividends until on a decree of the surrogate after a settlement of his accounts. He will ascertain and pay dividends or legacies, or distributive shares, before such settlement, with reference to a proper amount to meet his own claim to be allowed on its adjustment, and can in few instances suffer any prejudice by the delay, nor can any other party be injured by such postponement of the proof and allowance of his debt. This subject will, of course, be again adverted to in connection with the settlement of the executor or administrator's account, and the reader is referred to that portion of this work where such settlement is treated of, for further particulars respecting the proof and allowance of the executor or administrator's claim.<sup>(m)</sup>

(m) See *post*, ch. 12.

## CHAPTER XI.

## OF LEGACIES, AND THE PAYMENT AND DISCHARGE THEREOF; OF ENFORCING THE PAYMENT OF LEGACIES, AND OF DISTRIBUTION IN CASES OF INTESTACY.

HAVING thus considered the office of an executor or administrator, in regard to the payment of debts according to the order prescribed by law, it now becomes necessary to treat of the duties which next demand his attention, viz., those which respect the payment of legacies.

A legacy is defined to be "some particular thing or things given or left, either by a testator in his testament, wherein an executor is appointed, to be paid or performed by his executor; or by an intestate in a codicil or last will, wherein no executor is appointed, to be paid or performed by an administrator."<sup>(a)</sup>

The duties and liabilities of an administrator with the will annexed, are therefore the same as those of an executor in respect to legacies. Indeed, by statute,<sup>(b)</sup> it is expressly provided that "in all cases where letters of administration with the will annexed shall be granted, the will of the deceased shall be observed and performed; and the administrators with such will, shall have the rights and powers, and be subject to the same duties as if they had been named executors in such will."

In treating, then, of the subject of legacies, whatever may be said of executors, applies to administrators with the will annexed also.

The provisions of the Revised Statutes relative to the "accumulations of personal property, and of expectant estates in such property," contained in the fourth title of the fourth chapter of the second part of the Revised Statutes,<sup>(c)</sup> as they have a bearing upon the subject of legacies, should properly be inserted in this place. They are as follows:

Sec. 1. The absolute ownership of personal property shall not be suspended by any limitation or condition whatever, for a longer period than during the continuance and until the termination of not more than two lives in being at the date of the instrument containing such limitation or condition; or if such instrument be a will, for not more than two lives in being at the death of the testator.

Sec. 2. In all other respects, limitations of future or contingent interests in personal property, shall be subject to the rules prescribed in the first chapter of this act in relation to future estates in lands.

Sec. 3. An accumulation of the interest of money, the produce of stock, or other income or profits arising from personal property, may be directed by any instrument sufficient in law to pass such personal property as follows:

1. If the accumulation be directed to commence from the date of the instrument, or from the death of the person executing the same, such accumulation must be directed to be made for the benefit of one or

(a) Godolph, pt. 3, ch. 1, sec. 1; Wms. on Exrs. 947.

(b) 2 R. S. 72, sec. 22; 3 R. S. (5th ed.) 157. See *ante*, p. 250.

(c) 1 R. S. 773; 3 R. S. (5th ed.) 75.

more minors then in being, or in being at such death, and to terminate at the expiration of their minority ;

2. If the accumulation be directed to commence at any period subsequent to the date of the instrument, or subsequent to the death of the person executing such instrument, it must be directed to commence within the time allowed in the first section of this title for the suspension of the absolute ownership of personal property, and at some time during the minority of the persons for whose benefit it is intended, and must terminate at the expiration of their minority.

Sec. 4. All directions for the accumulation of the interest, income or profit of personal property other than such as are herein allowed, shall be void ; but a direction for an accumulation in either of the cases specified in the last section, for a longer term than the minority of the persons intended to be benefitted thereby, shall be void only as respects the time beyond such minority.

Sec. 5. When any minor for whose benefit a valid accumulation of the interest or income of personal property shall have been directed, shall be destitute of other sufficient means of support or of education, the Supreme Court, upon the application of such minor or his guardian, may cause a suitable sum to be taken from the moneys accumulated or directed to be accumulated, and to be applied to the support or education of such minor.

Some of the rules prescribed in the first chapter of the act in relation to future estates in lands, referred to in the above second section of the statute, are as follows :

Sec. 10. A future estate, is an estate limited to commence in possession at a future day either without the intervention of a precedent estate, or on the determination, by lapse of time or otherwise, of a precedent estate, created at the same time.

Sec. 11. Where a future estate is dependent on a precedent estate, it may be termed a remainder, and may be created and transferred by that name.(d)

Sec. 12. A reversion is the residue of an estate left in the grantor or his heirs, or in the heirs of a testator, commencing in possession on the determination of a particular estate granted or devised.

Sec. 13. Future estates are either vested or contingent. They are vested, when there is a person in being, who would have an immediate right to the possession of the lands, upon the ceasing of the intermediate or precedent estate. They are contingent, whilst the person to whom, or the event upon which they are limited to take effect, remains uncertain.

Sec. 14. Every future estate shall be void in its creation which shall suspend the absolute power of alienation for a longer period than is prescribed in this article. Such power of alienation is suspended when there are no persons in being by whom an absolute fee in possession can be conveyed.

Sec. 15. The absolute power of alienation shall not be suspended by any limitation or condition whatever for a longer period than during

(d) 1 R. S. 723 ; 3 R. S. (5th ed.) 10.

the continuance of not more than two lives in being at the creation of the estate, except in the single case mentioned in the next section.

Sec. 16. A contingent remainder in fee may be created on a prior remainder in fee, to take effect in the event that the persons to whom the first remainder is limited shall die under the age of twenty-one years, or upon any other contingency by which the estate of such persons may be determined before they attain their full age.

Sec. 17. Successive estates for life shall not be limited unless to persons in being at the creation thereof; and where a remainder shall be limited on more than two successive estates for life, all the life estates subsequent to those of the two persons first entitled thereto shall be void, and upon the death of those persons the remainder shall take effect in the same manner as if no other life estates had been created.<sup>(e)</sup>

Sec. 25. Two or more future estates may also be created to take effect in the alternative, so that if the first in order shall fail to vest, the next in succession shall be substituted for it and take effect accordingly.

Sec. 26. No future estate otherwise valid shall be void on the ground of the probability or improbability of the contingency on which it is limited to take effect.<sup>(f)</sup>

Sec. 27. A remainder may be limited on a contingency which in case it should happen will operate to abridge or determine the precedent estate; and every such remainder shall be construed a conditional limitation, and shall have the same effect as such a limitation would have by law.

Sec. 30. Where a future estate shall be limited to heirs or issue or children, posthumous children shall be entitled to take, in the same manner as if living at the death of their parent.

Sec. 31. A future estate depending on the contingency of the death of any person without heirs or issue, or children, shall be defeated by the birth of a posthumous child of such person capable of taking by descent.<sup>(g)</sup>

The statute "Of uses and trusts" contains the following provisions:

Sec. 55. Express trusts may be created for any or either of the following purposes:

1. To sell lands for the benefit of creditors;
2. To sell, mortgage or lease lands, for the benefit of legatees, or for the purpose of satisfying any charge thereon;
3. To receive the rents and profits of lands, and apply them to the use of any person, during the life of such person, or for any shorter term, subject to the rules prescribed in the first article of this title;<sup>(h)</sup>
4. To receive the rents and profits of lands, and to accumulate the same, for the purposes and within the limits prescribed in the first article of this title.<sup>(i)</sup>

The Act "authorizing certain trusts," passed May 14, 1840, provides as follows:

Sec. 1. Real and personal property may be granted and conveyed to

<sup>(e)</sup> 1 R. S. 723; 3 R. S. (5th ed.) 11.

<sup>(g)</sup> 1 R. S. 725; 3 R. S. (5th ed.) 12.

<sup>(i)</sup> 1 R. S. 728; 3 R. S. (5th ed.) 16.

<sup>(f)</sup> 1 R. S. 724; 3 R. S. (5th ed.) 12.

<sup>(h)</sup> As amended 1830, ch. 320, sec. 10.

any incorporated college or other literary incorporated institution in this state, to be held in trust for either of the following purposes:

1. To establish and maintain an observatory ;
2. To found and maintain professorships and scholarships ;
3. To provide and keep in repair a place for the burial of the dead ; or
4. For any other specific purposes comprehended in the general objects authorized by their respective charters. The said trusts may be created, subject to such conditions and visitations as may be prescribed by the grantor or donor, and agreed to by said trustees, and all property which shall hereafter be granted to any incorporated college or other literary incorporated institution in trust for either of the aforesaid purposes, may be held by such college or institution upon such trusts, and subject to such conditions and visitations as may be prescribed and agreed to as aforesaid.(j)

Sec. 2. Real and personal estate may be granted and conveyed to the corporation of any city or village of this state, to be held in trust for any purpose of education, or the diffusion of knowledge, or for the relief of distress, or for parks, gardens or other ornamental grounds, or grounds for the purposes of military parades and exercise, or health and recreation, within or near such incorporated city or village, upon such conditions as may be prescribed by the grantor or donor, and agreed to by such corporation; and all real estate so granted or conveyed to such corporation may be held by the same, subject to such conditions as may be prescribed and agreed to as aforesaid.(k)

Sec. 3. Real and personal estate may be granted to [superintendents] of common schools of any town, and to trustees of any school district, in trust for the benefit of the common schools of such town, or for the benefit of the schools of such district.(l)

Sec. 4. The trusts authorized by this act may continue for such time as may be necessary to accomplish the purposes for which they may be created.(m)

#### WHO IS CAPABLE OF BEING A LEGATEE.

By statute,(n) as has already appeared, "If any person shall be a subscribing witness to the execution of any will, wherein any beneficial devise, legacy, interest, or appointment of any real or personal estate shall be made to such witness, and such will cannot be proved without the testimony of such witness, the said devise, legacy, interest, or appointment shall be void, so far only as concerns such witness, or any claiming under him." "But," by the next section, "if such witness would have been entitled to any share of the testator's estate, in case the will was not established, then so much of the share that would have descended, or have been distributed to such witness, shall be saved to him, as will not exceed the value of the devise or bequest made to him in the will." This seems to be the only distinct statutory provision disabling any person from being a legatee.

(j) S. L. 1840, 267; 3 R. S. (5th ed.) 16, sec. 56.

(k) S. L. 1840, 268; 3 R. S. (5th ed.) 16, sec. 57.

(l) S. L. 1840, 268; 3 R. S. (5th ed.) 16, sec. 58.

(m) S. L. 1840, 268; 3 R. S. (5th ed.) 16, sec. 59.

(n) 2 R. S. 65, sec. 50; *Ante*, p. 162.



The case of *Caw* agt. *Robertson*(*o*) was a decision upon this statute. In that case there were three subscribing witnesses, all of whom had legacies by the will. The surrogate, very properly it was considered, called the witnesses in the order in which their names were subscribed. The proof of the will was not contested, and the first two witnesses having testified to all the facts and circumstances requisite to the establishment of the will, the third was then sworn only "to testify as to the questions which should be put to him by the surrogate, touching the circumstances of the executing the said will, and how his name came to be attached to said will as a witness." His answers to the questions put, after having been thus sworn, were not such as to tend to the proof of the will, and the surrogate did not regard him as an attesting witness, and decided that he need not be sworn as such; and the certificate of the surrogate stated that the will was proved by the oaths of the other two witnesses. The will was admitted to probate by the surrogate, and the third witness claimed his legacy. The Court of Appeals—after adverting to the former provisions of the Revised Statutes relative to the number of witnesses requisite to be examined for the proof of the will, and after considering the tenth and eleventh sections of the law of 1837, providing that "two at least of the witnesses to such will, if so many are living in this state, and of sound mind, and are not disabled from age, sickness or infirmity, from attending, shall be produced and examined;" and that "in case the proof of any such will is contested, and any person having the right to contest the same shall, before probate made, file with the surrogate a request in writing that all the witnesses to such will shall be examined"—determined, that under these provisions of the statute, there is not any necessity for examining more than two witnesses who satisfactorily prove the will, unless the proof is contested by some one having the right to do so; and that, as the proof of the will in question was not contested, and as the evidence of the first two witnesses furnished the requisite satisfactory proof of the will and the time for appealing from the surrogate's decree establishing the will had expired, the person whose name was subscribed to the will as the third attesting witness, was entitled to the legacy bequeathed to him by the will.

Again, it has been held that the admission and examination of an executor and trustee, as a witness to prove the execution of a will, did not annul his appointment as executor, or the legacies to him as a trustee, where nothing was given to him, nor was any appointment conferred upon him, for his own personal use, but all was fiduciary and for the benefit of others.(*p*)

It is laid down in the English books that an alien friend may be a legatee of personal chattels; but any legacy to an alien enemy will be forfeited to the king.(*q*)

It may be observed, that although a man cannot make a grant to his wife, nor enter into a covenant with her, (for such a grant would be to suppose her separate existence, and to covenant with her would be to covenant with himself;) yet he may bequeath anything to her by will:

(*o*) 1 Seld. 125.

(*p*) *McDonough v. Loughlin*, 20 Barb. Sup. Ct. Rep. 238.

(*q*) *Wms. on Exrs.* 948.

since that cannot take effect till after the coverture is determined by death.(r)

## OF BEQUESTS TO CHARITABLE USES.

In England it is said all bequests to *superstitious* uses are illegal and void, but bequests to *charitable* uses are not only legal and valid, but are, in some measure, favored in our law, provided that they are of personal property in no way connected with land.(s)

The law of charitable uses in this state was very ably and elaborately examined by Mr. Justice Denio in the case of *Williams* agst. *Williams*,(t) recently decided in the Court of Appeals. In that case, it was determined that the law of charitable uses, as it existed in England at the time of the Revolution, and the jurisdiction of the Court of Chancery over those subjects, became the law of this state on the adoption of the Constitution of 1777; that the law has not been repealed, and that the provisions of the Revised Statutes, above recited,(u) relative to "the accumulations of personal property, and of expectant estates in such property," do not apply to bequests for charitable purposes; that, in the absence of a corporation, charitable gifts, from their nature, are excepted from the law against perpetuities, and that the existing courts of this state having equity jurisdiction are bound to administer the law of charitable uses.

In the case in question, the provision in the will of the testator was as follows: "With a desire to raise the standard of intellectual and moral improvement among the poor, I constitute and appoint Zophar B. Oakley, John Wood and Charles Sturges, of the village of Huntington, and their successors, to be appointed, in the manner hereinafter authorized, a board of trustees of a fund which I hereby constitute for the exclusive education of the children of the poor; and, in order to maintain the number of the said trustees in perpetuity, I hereby authorize the surviving or remaining trustees to fill up any vacancy as often as it shall occur by death, resignation, or removal from the village of any of the said trustees, by the choice of another, to be entered upon the minutes of their proceedings. I give and bequeath to the above-named trustees, and their successors, appointed as aforesaid, the sum of six thousand dollars, in trust, for a perpetual fund for the education of the children of the poor, who shall be educated in the academy in the village of Huntington; or, in case of the destruction of the academy

(r) 1 Black. Comm. 442; Co. Litt. 112; Wms. on Exrs. 908. The third section of the act "for the more effectual protection of the property of married women," as amended, (S. L. 1848, 308; 1849, 528; 2 R. S., 4th ed., 331,) provides that "any married female may take by inheritance, or by gift, grant, devise or bequest, from any person other than her husband, and hold to her sole and separate use, and convey and devise real and personal property, and any interest or estate therein, and the rents, issues and profits thereof, in the same manner, and with like effect as if she were unmarried, and the same shall not be subject to the disposal of her husband, nor be liable for his debts." By the strict legal as well as grammatical construction of this language, a married woman is prevented from taking from her husband by devise or bequest, as well as by gift or grant. But the case is like that stated in the text, when the devise or bequest from her husband takes effect, if the expression may be allowed, she is not a married female—the person from whom she takes the devise or bequest is not her husband.

(s) Wms. on Exrs. 950.

(t) 4 Selden, 525.

(u) 1 R. S. 773; 3 R. S. (5th ed.) 75; *Ante*, p. 396.

by fire or otherwise, then in the school next west of the academy until it shall be rebuilt. No part of this fund ever to be appropriated to the erection or repair of buildings. It is my will that this fund shall be managed in manner following, to wit: The principal to be loaned on bond, secured by mortgage of lands of twice the value of the sum loaned, and one-half of the interest annually accruing to be added to the principal until the whole fund amounts to the sum of ten thousand dollars. The other half of the interest to be appropriated and expended in the education of the children of the poor; and when the said fund, by the addition of the interest as aforesaid, shall amount to the said sum of ten thousand dollars, then it is my will that the whole interest of the said fund shall be annually appropriated and expended in the education of the children of the poor in the academy in the village of Huntington;" and the Court of Appeals, overruling a judgment of the Supreme Court, declared the bequest valid and effectual.

The law relative to bequests to religious corporations, was also a subject of discussion in the same case. At common law, a corporation could always take a bequest of such personal property as it could lawfully acquire by any other mode of purchase.<sup>(v)</sup> The Act "To provide for the incorporation of religious societies"<sup>(w)</sup> authorizes the trustees of every church, congregation, or society to take into their possession and custody all the temporalities belonging to such church, congregation, or society, whether the same consist of real or personal estate, and whether the same shall have been given, granted, or devised directly to such church, congregation or society, or to any other person for their use; \* \* \* and also to purchase and hold other real and personal estate, and to demise, lease, and improve the same for the use of such church, congregation or society, or other pious uses, so as the whole real and personal estate of any such church, corporation or society, with the exception of three churches in the city of New York and one in the city of Albany, shall not exceed the annual value or income of three thousand dollars. Under the provisions of this statute it was considered, in the case in question, that the right of a religious corporation to take and hold property, clearly extends to such as may be necessary, within the specified limit, for the purposes of the church, congregation, or society; and that a testator has a right to limit his bounty to a church, to a part of the objects to which the corporation may appropriate its general funds.<sup>(x)</sup> And the provisions of the Revised Statutes above quoted, relative to the accumulations of personal property, &c., prohibiting perpetuities of personal estate, it was held, do not apply to bequests for the benefit of religious corporations organized under the statute. "In my judgment," says Mr. Justice Denio, delivering the opinion of the court in the case referred to, "the provisions of the Revised Statutes respecting trusts, perpetuities, and the limitation of future estates, were devised to restrain the natural propensity of mankind to perpetuate their estates in their families and

(v) "Angel and Ames on Corp., p. 111, sec. 6; *Matter of Howe*, 1 Paige, 214; *McCartee v. Orphan Asylum Society*, 8 Cow. 437."

(w) Passed April 5, 1813, sec. 36, ch. 60; 2 R. S. (5th ed.) 604, 607.

(x) "*In the Matter of Howe*, 1 Paige R. 214."

among the descendants of themselves, and their relatives and friends—a propensity which the laws of the mother country have allowed, to an extent which could not be tolerated in a purely representative government, where a degree of equality in social condition is indispensable. I think these provisions were not designed to, and do not at all affect conveyances or testamentary gifts to charitable institutions.”

In the case in question the bequest was as follows:—“I give and bequeath the trustees of the Presbyterian church and congregation in the village of Huntington, and their successors, in trust for the support of a minister of the said church as now constituted, the sum of six thousand dollars, to be managed in manner following, to wit: the principal to be loaned on good landed security of twice the value of the sum loaned, and one-half of the interest annually accruing to be annually applied to the support of the gospel minister in said church, as now constituted.” It was provided that a pew, free of rent in the church, should be reserved for the use of that part of testator’s family which might reside in Huntington. No part of the fund was to be applied to building or repairing the church; and any diversion of the fund from the purposes for which it was given, was to operate as a forfeiture in favor of his residuary legatee.

With respect to this particular bequest it was held, that assuming that the provision in the title of the Revised Statutes, above recited, (y) forbidding accumulations of the interest of money or the income of personal property, except for the benefit of and during the minority of children, applied to the bequest under consideration, still this did not render the legacy wholly void. (z) A will may be void as to part, for some illegality or violation of law, and valid as to the residue. The language of the fourth section is, *that all directions for the accumulation of the interest, income or profits of personal property, other than such as are herein allowed, shall be void.* “It is the *direction* only,” says the court: “which is void. Being void, it would seem that it should be stricken out of the will; and if that were done in this case, the legacy and the general purposes for which it was given would remain.” And upon this part of the case it was held that the bequest to the church was valid, and the legacy was sustained.

In *Coggeshall v. Pelton*, (a) before Chancellor Kent, a legacy to the town of New Rochelle, for a particular purpose expressed in the will, it being a public charity, and the object declared, though there was no trustee named, yet the legacy was held to be valid; and the gift was carried into effect as a gift to a charitable use, in the exercise of the common law powers and jurisdiction of the court.

In *King v. Woodhull*, (b) the testatrix gave and bequeathed a residue, provided the same did not exceed one thousand dollars, “to the American Home Missionary Society, to be paid upon the receipt of the treasurer of the said society for the time being.” The society was unincorporated, but the vice-chancellor, not without some misgivings, however, decreed the validity of the legacy. In *Wright and others v.*

(y) *Ante*, p. 396; 1 R. S. 773-4; 3 R. S. (5th. ed.) 75.

(z) *Kane v. Gott*, 24 Wend. 641, 655.

(b) 3 Edw. Ch. R. 79.

(a) 7 John Ch. R. 292.

*Trustees of the Methodist Episcopal Church.*(c) one of the legatees of the residue was "the yearly meeting of Friends in New York," and was proven to be composed of members of the Society of Friends living in Vermont, New York, part of Massachusetts, and Upper Canada: it was held that the bequest was valid, and payment could be made to the treasurer or clerk in office at the time. And in *Banks v. Phelan*,(d) the court considered the legality of bequests for pious and charitable uses, for the benefit of unincorporated societies, well established in this state, and decreed the payment of a legacy of three thousand dollars "to the Roman Catholic Church of Petersburg in the state of Virginia," an unincorporated society.(e)

The right and power of devising and bequeathing to charitable and religious uses were greatly restrained by the legislature by the "Act relating to wills," passed April 13, 1860,(ee) already referred to in a previous chapter, in connection with the general subject of the power of devising and bequeathing.(f)

By that act, as was there shown, it is provided that no person having a husband, wife, child or parent, shall, by his or her last will and testament, devise or bequeath to any benevolent, charitable, literary, scientific, religious or missionary society, association or corporation, in trust or otherwise, more than one-half part of his or her estate, after the payment of his or her debts (and such devise or bequest shall be valid to the extent of one-half, and no more). And all laws and parts of laws inconsistent with the act are repealed.

#### OF THE GENERAL RULES OF CONSTRUCTION OF WILLS.

It is obviously not within the scope of this work to enter fully into the general doctrine of the construction of wills. It may, however, be useful to state briefly some of the most important rules which have been established upon this subject:

1. Technical words are not necessary to give effect to any species of disposition.(ff) Therefore, where the testator used the words "all my personal estates," and it was clear beyond all doubt, upon the face of the will, that the testator meant, by these words, not what is technically understood by them, but the *real* property over which he had an absolute personal power of disposition, it was holden that the freehold passed by this description.(g) So, on the other hand, if, on the whole will, it clearly appears that the testator's intention was to bequeath leasehold property, in which he had a chattel interest only, under the description of his *real* estate, such intention shall be carried into effect.(h)

(c) 1 Hoff. Ch. R. 205.

(d) 4 Barb. Sup. Ct. Rep. 80.

(e) See, also, *Potter v. Chapin*, 6 Paige, 639; *Hornbeck's ex.'rs v. American Bible Society*, 2 Sandf. Ch. Rep. 133; *Tucker agst. St. Clement's Church*, 4 Seld. 558, note; *Andrews agst. The General Theological Seminary*, Id. 559, note; *Owens agst. The Missionary Society of the Methodist Episcopal Church*, 4 Kern. 380; *Auburn Theological Seminary v. Kellogg*, 16 N. Y. Rep. 83.

(ee) S. L. 1860, ch. 360, p. 607.

(f) See *Ante*, p. 49.

(ff) By Lord Kenyon, in *Hay v. Coventry*, 3 T. R. 86.

(g) *Doe v. Tofield*, 11 East, 246. See, also, *Roe v. Pattison*, 16 East, 221; *Doe v. Hazlewood*, 6 A. and E. 167; *Doe v. Pratt*, Id. 180; *Davenport v. Colman*, 7 M. and W. 481.

(h) *Hobson v. Blackburn*, 1 Mylne and K. 571; *Goodman v. Edwards*, 2 Mylne and K. 759. See, also, *Read v. Backhouse*, 2 Russ. and M. 546; *Doe v. Cranstoun*, 7 M. and W. 1. But see *Hall v. Fisher*, 1 Coll. 47; *Stone v. Greening*, 13 Sim. 390; *Parks v. Parks*, 9 Paige, 107.



Again, where technical terms are employed in a will, in such a manner, that to give them their strict meaning would defeat the intention of the testator apparent from the will, a liberal or popular interpretation will be given them in order to carry into effect his intention. Thus, the term "*inherit*" will be held applicable to lands *devised* or *conveyed* by a parent or ancestor to a child or descendant.(i)

2. Nevertheless, if technical words are used by the testator, he will be presumed to employ them in their legal sense, unless the context contain a clear indication to the contrary.(j) "If words of art," said Lord Alvanley in *Thelluson v. Woodford*,(k) "are used, they are construed according to the technical sense, unless upon the whole will it is plain that the testator did not so intend." Courts, therefore, have no right or power to say that the testator did not understand the meaning of the words he has used, or to put a construction upon them different from what has been long received, or what is affixed to them by the law.(l) But where the intention of the testator is plain, it will be allowed to control the legal operation of words, however technical.(m)

The rule above stated has been carried so far, that, in some instances, the testator has been presumed to use words and forms of expression in the sense which they have acquired by decided cases, although such sense be different from their ordinary and natural meaning.(n)

It may be useful in this place to state the well known principle that, where there is a general intent, and a particular one, the particular is to be sacrificed to the general intent.(o) Which doctrine, perhaps, when rightly understood, amounts to no more than an example of the rule now under consideration, viz: that technical words, or words of known legal import, shall have their legal effect, unless, from subsequent inconsistent words, it is *very clear* that the testator meant otherwise.(p) In the construction of wills, the testator must be presumed to have used words in their natural, ordinary, or primary sense,(q) unless some obvious inconvenience or incongruity would result from so construing them;(r) or unless, from the situation of the testator's family,(s) or the context of the will, it appears that he must have intended to use them

(i) *De Kay v. Irving*, 5 Denio, 646.

(j) *Lane v. Lord Stanhope*, 6 T. R. 352, by Lord Kenyon; *Phillips v. Garth*, 3 Bro. C. C. 68, by Buller, J.; *Buck v. Norton*, 1 Bos. and Pull. 27, by Eyre, C. J.; *Jesson v. Wright*, 2 Bligh, 1; *Mouncey v. Blamire*, 4 Russ. Ch. C. 386-7.

(k) 4 Ves. 329.

(l) By Buller, J., in *Hodgson v. Ambrose*, Dougl. 341. See, also, *Milnes v. Slater*, 8 Ves. 306.

(m) *Vauchamp v. Bell*, Madd. and Geld. 343; 6 Cruise's Dig. (3d ed.) 148.

(n) *Baines v. Dixon*, 1 Ves. sen. 41; *Wilmot v. Wilmot*, 8 Ves. 10. But see *Crowder v. Stone*, 3 Russ. Ch. C. 223.

(o) "*Robinson v. Robinson*, 1 Burr. 38; *S. C.*, 3 Bro. P. C. (Toml. ed.) 180; *Doe v. Applin*, 4 T. R. 82; *Doe v. Smith*, 7 T. R. 531; *Doe v. Cooper*, 1 East, 229; *Pierson v. Vickers*, 6 East, 548; *Jesson v. Wright*, 2 Bligh, 49; *Doe v. Harvey*, 4 B. and C. 620." *Parks v. Parks*, 9 Paige, 107.

(p) By Lord Redesdale, in *Jesson v. Wright*, 2 Bligh, 56-7. S. P.; *Doe v. Gallini*, 5 B. and Adol. 621; *Lees v. Mosley*, 1 Y. and Coll. 589. See, also, 2 Bligh, 1; 1 B. and Adol. 944; 2 Sim. 33, 274; 1 Younge and Jerv. 512; 9 Bligh, 238; 2 Russ. and M. 566; 1 Beav. 59, 100.

(q) *Matter of Hallett*, 8 Paige, 375.

(r) *Roosevelt v. Thurman*, 1 Johns. Ch. Rep. 220.

(s) *Hone v. Van Schaick*, 3 Barb. Ch. Rep. 488.

in some other or secondary sense;(*t*) or where, by reference to extrinsic circumstances, which existed at the time of the making of the will, or which must necessarily exist in the event or at the time contemplated by him, the use of such words, in their ordinary or primary sense, would, under the provision of the will in reference to which such words were used, be senseless, absurd, or inoperative.(*u*)

3. The construction of the will is to be made upon the entire instrument, and not merely upon disjointed parts of it; and consequently, all its parts are to be construed with reference to each other.(*v*)

Hence, general words in one part of a will may be restrained, in cases where it can be collected, from any other part of the will, that the testator did not mean to use them in their general sense.(*w*)

Hence, also, generally speaking, if the same words occur in different parts of the same will, they must be taken to have been used everywhere in the same sense.(*x*) This rule, however, it is said, does not preclude the court from putting a different construction upon the same words, even though used only once in a will, when applied to different subject matters. In the case of *Atkinson v. Hutchinson*,(*y*) Lord Talbot decided that the words "without leaving any issue," must be intended to mean, without leaving issue at the time of the death of the first taker, and that it was not, therefore, in the nature of an estate tail. But, as to real estate, it appears to have been the settled law in England, long before the Revolution, that these words in a will implied an indefinite failure of issue, and, therefore, as to that part of the property, they created an estate tail which, by the statute of this state, is turned into a fee simple.(*z*) In *Forth v. Chapman*,(*a*) where the testator devised real and personal estate to A., and, if he should die and *leave no issue of his body*, then to B.; Lord Macclesfield said, that it might be reasonable enough to take the same words, as to the different estates of realty and personalty, in different senses, and as if repeated by two several clauses; and that the words "leave no issue," as applied to the personal estate, should be taken to mean leave no issue *at the time of his death*, but as applied to the freehold, to mean an *indefinite failure of issue*; and this case has been considered as an authority, in many subsequent instances, for a different construction of the same words in a will, as applied to different subjects.(*b*) It is not easy to reconcile these different rules with the principles of common sense, or to furnish any

(*t*) *Hone v. Van Schaick*, 3 Comst. 538.

(*u*) *Cromer v. Pinckney*, 3 Barb. Ch. Rep. 466.

(*v*) *Turpine v. Forreynier*, 1 Buls. 101; *Mirril v. Nicholls*, 2 Bulst. 178; *Gittins v. Steele*, 1 Swanst. 28; *Arcularius v. Geisenhainer*, 3 Bradf. Surr. Rep. 64. A codicil is to be taken as a component part of the will. See *ante*, p. 52.

(*w*) *Strong v. Teatt*, 2 Burr. 912; *Doe v. Read*, 8 T. R. 122; *Whitmore v. Trelawney*, 6 Ves. 130; *Crone v. Odell*, 1 Ball and Beat. 466; *S. C.*, 3 Dow. 61.

(*x*) *Whitmore v. Craven*, 2 Chan. Cas. 169; *Goodright v. Dunham*, Dougl. 268; *Dalzell v. Welsh*, 2 Sim. 319. But see *Winterton v. Crawford*, 1 Russ. and M. 407.

(*y*) 3 P. Wms. 258.

(*z*) 1 P. Wms. 667.

(*a*) *Forth v. Chapman*, 1 P. Wms. 667; 2 Ves. sen. 180, 616; Cowper, 410; 1 East, 229, 263; Comyns' Rep. 372.

(*b*) *Sheffield v. Lord Orrery*, 3 Atk. 288; *Lord Stafford v. Buckley*, 2 Ves. sen. 180; *Southby v. Stonehouse*, 2 Ves. sen. 616; *Doe v. Smith*, 5 M. and S. 131-2; *Doe v. Ewart*, 7 A. and E. 636, 659. See, also, *Carter v. Bentall*, 2 Beav. 551; *Byng v. Lord Stafford*, 5 Beav. 558; *Head v. Randall*, 2 Y. and Coll. Ch. C. 231; *Buckle v. Fawcett*, 4 Hare, 536, 542.

valid reasons for supposing that the testator meant one thing when he used these words in reference to the real estate, and that he meant something different when, in the same sentence, he used them in reference to his personal estate and chattels real. The legislature has seen the absurdity of these different constructions of the same words in a will, when applied to real or personal estate, and has applied the proper remedy, and have restored the term "dying without issue" to its natural and obvious meaning by declaring that, where a remainder shall be limited to take effect on the death of any person without heirs, or heirs of his body, or without issue, the words "heirs" or "issue" shall be construed to mean heirs or issue living at the death of the person named as ancestor,<sup>(c)</sup> and by providing, in this respect, that limitations of future or contingent interests in personal property, shall be subject to the rules prescribed in relation to future estates in lands.<sup>(d)</sup>

It must be further observed, that where there is no connection by grammatical construction, or direct words of reference, or by the declaration of some common purpose, between distinct bequests in a will, the rule now under consideration will not justify the drawing in aid the special terms of one bequest to the construction of another, although in its general terms and import similar, and applicable to persons standing in the same degree of relationship to the testator; and although there is no apparent reason, other than the different wording of the clauses, to presume that the testator had a different purpose in view.<sup>(e)</sup>

4. The court is bound to give effect to every word of the will, without change or rejection, provided an effect can be given to it not inconsistent with the general intent of the whole will taken together.<sup>(f)</sup>

Thus, if one devises land to A. B. in fee, and afterwards, in the same will, devises the same land to C. D. for life, both parts of the will shall stand; and, in the construction of the law, the devise to C. D. shall be first.<sup>(g)</sup> But where it is impossible to form one consistent whole, the separate parts being *absolutely* irreconcilable, the latter will prevail.<sup>(h)</sup>

It must not, however, be understood that because the testator uses, in one part of his will, words having a clear meaning in law, and in another part words inconsistent with the former, that the first words are to be cancelled or overthrown.<sup>(i)</sup> A contrary principle is now

(c) 1 R. S. 724, sec. 22; 3 R. S. (5th ed.) 12.

(d) 1 R. S. 773, sec. 2; 3 R. S. (5th ed.) 75. See *Rathbone v. Dyckman*, 3 Paige, 9, 30.

(e) *Right v. Compton*, 9 East, 267; *Chambers v. Brailsford*, 18 Ves. 368. But see *Lovday v. Hopkins*, Ambl. 273; *Gittins v. McDermott*, 2 M. and K. 69. See, also, *Sprit v. Bruce*, Cro. Car. 368; *Doe v. Wright*, 8 T. R. 64; *S. C.*, in C. P., *nomine Doe v. Child*, 1 New R. 335; *Doe v. Westley*, 4 Barn. and Cress. 667; *S. C.*, 7 Dow. and Ryl. 112. See further, on this subject, *Right v. Sidebotham*, Dougl. 759; *Goodright v. Barron*, 11 East, 220; *Paice v. Archbishop of Canterbury*, 14 Ves. 364; *Fenny v. Ewestace*, 4 M. and S. 58; *Doe v. Pearse*, 1 Price, 353; *Crawford v. Trotter*, 4 Madd. 361; *Oldman v. Slater*, 3 Sim. 84; Wms. on Exrs. 975.

(f) *Gray v. Minnethorp*, 3 Ves. 105; *Constantine v. Constantine*, 6 Ves. 102; *Doe v. Rawding*, 2 B. and A. 448.

(g) *Anon.*, Cro. Eliz. 9; *Doe v. Davies*, 4 M. and W. 599.

(h) *Constantine v. Constantine*, 6 Ves. 100; *Doe v. Biggs*, 2 Taunt. 109; *Sims v. Doughty*, 5 Ves. 243; *Wykham v. Wykham*, 18 Ves. 421; *Sherratt v. Bentley*, 2 Mylne and K. 149; *Morrull v. Sutton*, 1 Phill. Ch. C. 533. See, also, 4 Beav. 478; 5 Beav. 100; *Shipperdson v. Tower*, 1 V. and Coll. C. C. 441.

(i) By Lord Redesdale in *Jesson v. Wright*, 2 Bligh, 56.

fully established in the doctrine already considered, that the general intent, although first expressed, shall overrule the particular.(j)

Where any part of a will is ambiguous, the whole will is to be considered for the purpose of ascertaining the intention of the testator in that particular part; but where the intention is clear and certain, and no repugnancy appears between the different parts of the will, no such aid is necessary or proper.(k)

5. The will must be most favorably and benignly expounded, to pursue, if possible, the intention of the testator.(l)

It is a cardinal rule in the construction of wills, that the intention of the testator is to govern, if consistent with the rules of law, and that intention is to be ascertained from the whole will taken together, and not from the language of any particular provision or clause thereof, when taken by itself;(m) or from an examination of the whole will, in connection with the situation of the testator's property and family at the time it was made;(n) and, for the purpose of construction, a will and codicil may be considered together, and construed as different parts of the same instrument.(o)

To effectuate, therefore, the clear intention, as apparent upon the whole will, words and limitations may be transposed,(p) supplied,(q) or rejected.(r) But the rule is, that words in a will are not to be rejected, unless there cannot be any rational construction of the words as they stand.(s)

Words, however, in a will, which, if allowed to stand, would produce repugnant and inconsistent results, may be rejected.(t)

The clear, literal interpretation of words may be departed from, if they will bear another construction; and the strict grammatical sense may be neglected.(u)

Whether an adjective shall refer exclusively to the last preceding antecedent, or may refer also to one or more farther back, depends upon the intent of the testator.(v)

That which seems to be a contingency or condition, upon which a

(j) *Ante*, p. 405.

(k) *Jackson v. Sill*, 11 Johns. 201.

(l) *Touchs.* 434; 2 *Black. Comm.* 381.

(m) *Bradhurst v. Bradhurst*, 1 Paige, 331; *Covenhoven v. Shuler*, 2 Paige, 122; *Rathbone v. Dyckman*, 3 Paige, 9; *Crosby v. Wendell*, 6 Paige, 548; *Hone v. Van Schaick*, 3 Barb. Ch. Rep. 488; *S. C.*, on appeal, 3 Comst. 538. See, also, *Roman Catholic Orphan Asylum v. Emmons*, 3 Bradf. Surr. Rep. 144.

(n) *Irving v. De Kay*, 9 Paige, 521; *Wolfe v. Van Nostrand*, 2 Comst. 436.

(o) *Hone v. Van Schaick*, 3 Barb. Ch. Rep. 488; *Wescott v. Cady*, 5 Johns. Ch. Rep. 334.

(p) *Green v. Hayman*, 2 Ch. C. 10; *Spark v. Purnell*, Hob. 75; *East v. Cook*, 2 Ves. sen. 32; *Duke of Marlborough v. Godolphin*, 2 Ves. sen. 74; *Marshall v. Hopkins*, 15 East, 309; *Hudson v. Bryant*, 1 Coll. 681; *Rathbone v. Dyckman*, 3 Paige, 9; *Pond v. Bergh*, 10 Paige, 140; *Mason v. Jones*, 2 Barb. Sup. Ct. Rep. 229.

(q) *Doe v. Micklem*, 6 East, 486, 493-4; *Kirkpatrick v. Kirkpatrick*, 13 Ves. 476; *Montague v. Nucella*, 1 Russ. Ch. C. 171-2.

(r) *Boon v. Cornforth*, 2 Ves. sen. 276; *Sims v. Doughty*, 5 Ves. 243; *Doe v. Stenlake*, 12 East, 515; *Smith v. Pybus*, 9 Ves. 566; *Jesson v. Wright*, 2 Bligh, 1; *Sherratt v. Bentley*, 2 Mylne and K. 149; *Robinson v. Waddelow*, 8 Sim. 134; *Mason v. Jones*, 2 Barb. Sup. Ct. Rep. 229; *Bradley v. Amidon*, 10 Paige, 235.

(s) By Lord Eldon, in *Chambers v. Brailsford*, 19 Ves. 654; *S. C.*, 2 Meriv. 25.

(t) *Mason v. Jones*, 2 Barb. Sup. Ct. Rep. 229.

(u) *Bradhurst v. Bradhurst*, 1 Paige, 331; *Rathbone v. Dyckman*, 3 Paige, 9.

(v) *Van Allen v. Mooers*, 5 Barb. Sup. Ct. Rep. 110.

remainder is to vest in interest, will, in furtherance of the intention of the testator, be regarded as only an inaccuracy of expression, and as intended by the testator to denote the time when such remainder is to vest in possession.(w)

So, in order to advance the apparent intention of the testator, "or" may be construed "and,"(x) and *vice versa*,(y) in cases of legacies, as well as devises of real estate. So, "if" may be construed "when" for the same purpose.(z)

But a mistake in a will cannot be corrected, or an omission supplied, unless it clearly appears by fair inference from the whole will.(a) Hence, not only in cases of devises of real estate, but also of wills of personal property, courts of construction cannot, in their interpretation of the intention of the testator, pay the least regard to any variance between the will as it stands, and the instructions given for preparing it.(b) If, in point of fact, there are any undue omissions or insertions in a will of personalty, these may, under certain circumstances, be reformed by application to the Court of Probate.(c)

But parol evidence is inadmissible to supply or contradict, enlarge or vary the words of a will, or to explain the intention of the testator, except there be a latent ambiguity, arising *dehors* the will, as to the person or subject meant to be described, or to rebut a resulting trust.(d) A will may, however, be construed in connection with another instrument in writing to which it refers.(e)

Again, an express bequest cannot be controlled by the reason assigned: The assigned reason may aid in the construction of doubtful words, but cannot warrant the rejection of words that are clear.(f) Nor can an express disposition be varied, by inference or argument, from other parts of the will.(g) Much less shall the obvious construction of a will be controlled by the inconvenient or unmeritorious nature of the bequest.(h) On the contrary, the court is bound to correct every inaccuracy and impropriety of terms in advancement of the *manifest*

(w) *Brown v. Center*, L. Raym. Rep. 427; *S. C.*, 2 Show, 152; *Longford v. Cheeke*, 3 Lev. 125; *Crosby v. Wendell*, 6 Paige, 548, 552.

(x) *Richardson v. Spraag*, 1 P. Wms. 434; *Eccard v. Brooke*, 2 Cox, 213; *Read v. Snell*, 1 Atk. 643; *Weddell v. Mundy*, 6 Ves. 341; *Horridge v. Ferguson*, 1 Jac. 583; *Thackeray v. Hampson*, 2 Sim. and Stu. 214; *Monkhouse v. Monkhouse*, 3 Sim. 126; *Miles v. Dyer*, 5 Sim. 435; *Grimshaw v. Pickup*, 9 Sim. 581; *White v. Supple*, 2 Dr. and W. 471; *Parkin v. Knight*, 15 Sim. 83. The construction of "and" for "or" was not allowed in *Longmore v. Broom*, 7 Ves. 124; *Newman v. Nightingale*, 1 Cox, 341; *Gittings v. McDermott*, 2 M. and K. 69.

(y) *Maberly v. Strode*, 3 Ves. 450; *Bell v. Phyn*, 7 Ves. 459; *Stubbs v. Sargon*, 2 Keen, 255; 3 M. and Cr. 507; *White v. Supple*, 2 Dr. and W. 471; *Hetherington v. Oakman*, 2 K. and Coll. C. C. 229. "And" is never read "or," unless the context of the will favors it, and the general intention is thereby elucidated or promoted: *Armstrong v. Moran*, 1 Bradf. Surr. Rep. 314. This construction was refused in *Doe v. Cooke*, 7 East, 269; *Doe v. Rawding*, 2 B. and A. 441; *Girdlestone v. Doe*, 2 Sim. 225.

(z) *Smart v. Clark*, 3 Russ. Ch. C. 265. But see *Bartleman v. Murchison*, 2 Russ. and M. 136.

(a) *Phillips v. Chamberlayne*, 4 Ves. 57; *Dent v. Peyps*, Madd. and Geld. 351.

(b) *Murray v. Jones*, 2 V. and B. 318. See further on this point, as to wills of realty, *Newburgh v. Newburgh*, 5 Madd. 364; *Powell v. Mouchett*, Madd. and Geld. 216.

(c) See *ante*, p. 184.

(d) *Mann v. Mann*, 1 Johns. Ch. Rep. 231.

(e) *Jackson v. Babcock*, 12 Johns. 389.

(f) *Cole v. Wade*, 16 Ves. 46.

(g) *Collett v. Lawrence*, 1 Ves. jun. 369; *Jones v. Colbeck*, 8 Ves. 42.

(h) *Thelluson v. Woodford*, 4 Ves. 329; *Smith v. Streatfield*, 1 Meriv. 358; *Deffis v. Goldschmidt*, 1 Meriv. 419.



intention of the testator, however undeserving it may be of favor in a court of justice.(i) Where, indeed, the literal force of expressions differs in a will, it is a true rule to seek for the intention of the testator rather in a consistent and rational purpose, than in a purpose inconsistent and irrational.(j)

6. Where words are capable of a two-fold construction, the rule is, even in the case of a deed, and much more in the case of a will, to adopt such as tends to make it good.(k)

If two parts of a will are irreconcilable with each other, the last part is to be taken as evidence of a subsequent intention, and will prevail, unless other parts of the will forbid it.(l)

7. The intention of the testator is not to be set aside because it cannot take effect to the full extent, but is to work as far as it can.(m)

Where a will contains different trusts, some of which are valid, and others void or unauthorized by law; or where there are distinct and independent provisions as to different portions of the testator's property, or different estates or interests in the same portions of the property are created, some of which provisions, estates, or interests are valid, and others are invalid, the valid trusts, provisions, estates, or interests created by the will of the testator, will be preserved, unless those which are valid and those which are invalid are so dependent upon each other that they cannot be separated without defeating the general intent of the testator.(n)

But if valid and invalid provisions are so intermingled that they cannot be separated from each other, they must all fall together. And where a particular provision, which, if it stood alone, would be valid, forms a part of, or depends upon a general purpose of the testator, which is contrary to law, it is void.(o) Again, if the intention of the testator is illegal, or incapable of being carried into effect, the court cannot frame and decree a new legal intention, as near as practicable to his original intent.(p)

8. It is a settled rule that, in the construction of a will of personalty made by a testator domiciled in a foreign country, the *lex domicilii* must prevail, unless there is sufficient on the face of the will to show a different intention.(q)

(i) *Thelluson v. Woodford*, 4 Ves. 311, by Lawrence, J.

(j) *Jenkins v. Herries*, 4 Madd. 67.

(k) By Lord Talbot, in *Atkinson v. Hutchinson*, 3 P. Wms. 260; by Lawrence, J., in *Thelluson v. Woodford*, 4 Ves. 312; *Pond v. Bergh*, 10 Paige, 140; *Buller v. Buller*, 3 Barb. Ch. 304; *Mason v. Jones*, 2 Barb. Sup. Ct. Rep. 229.

(l) *Bradstreet v. Clark*, 12 Wend. 602; *Covenhoven v. Shuler*, 2 Paige, 122; *Gardner v. Gardner*, 6 Paige, 455; *Parks v. Parks*, 9 Paige, 107.

(m) *Thelluson v. Woodford*, 4 Ves. 326, by Buller, J.

(n) *Haxlin v. Corse*, 2 Barb. Ch. Rep. 506; *De Kay v. Irving*, 5 Denio, 646; *S. C.*, affirmed, 9 Paige Ch. Rep. 521; *Parks v. Parks*, 9 Paige, 107; *Williams v. Williams*, in Court of Appeals, Dec., 1853. See, also, *Hawley v. James*, 5 Paige, 318, 16 Wend. 61; *Lorillard v. Coster*, 5 Paige, 172, 14 Wend. 265.

(o) *Hawley v. James*, 5 Paige, 318, 16 Wend. 61. See, also, *Lorillard v. Coster*, 5 Paige, 172, 14 Wend. 265; *Root v. Stuyvesant*, 18 Wend. 257; *Salmon v. Stuyvesant*, 16 Wend. 321; *Hone v. Van Schaick*, 20 Wend. 564.

(p) *Lorillard v. Coster*, 5 Paige, 172, 14 Wend. 265.

(q) Story's Conflict of Laws, secs. 479a, 479m, 490, 491. Vide 2 Roper on Leg., ch. 21, sec. 9, p. 1459, 2d Am. ed., Phila., 1848.

## MODES OF DESCRIPTION OF A LEGATEE.

The present purpose is to consider briefly what persons are entitled to legacies under particular modes of description.

In general, no rule is better settled than that legatees must answer the description and character given of them in the will; but it will presently appear, from the cases, that there are many important exceptions to it.

## WHO ARE ENTITLED UNDER DESCRIPTION OF 1. "CHILDREN;" 2. "GRAND-CHILDREN;" 3. "WIFE;" 4. "NEPHEWS AND NIECES."

1. "Children." Generally speaking, every person who, *at the time of the testator's death*, falls within the described class of "children," will be entitled. But where it appears from express declaration, or clear inference upon the will, that the testator intended to confine his bequest to those only who answered the description *at the date of the instrument*, such intention must be carried into effect.<sup>(r)</sup> A court of equity, however, is always anxious to include all children in existence at the time of the death of the testator;<sup>(s)</sup> and, particularly when he stands in the relation of parent to the legatees, the court, presuming that he intended to do his duty in providing for all his children at his death, will lay hold of any general expression to give effect to this presumed intention, and will not permit such general expression to be narrowed by the context.<sup>(t)</sup>

The leading principle is, that where a bequest is immediate to "children" in a class, children in existence at the death of the testator, and these alone, are entitled.<sup>(u)</sup> And it will make no difference that the bequest is to children "begotten or to be begotten."<sup>(v)</sup>

And a child in *ventre sa mere* at the death of the testator, is considered as in *esse* if it is afterwards born alive; and such child is equally entitled with those who were born in the lifetime of the testator.<sup>(w)</sup>

<sup>(r)</sup> *Sherer v. Bishop*, 4 Bro. C. C. 55. See, also, *Crossly v. Clare*, Ambl. 397; *Viner v. Francis*, 2 Cox, 191-2.

<sup>(s)</sup> *Ringrose v. Bramham*, 2 Cox, 384.

<sup>(t)</sup> *Matchwick v. Cock*, 3 Ves. 609; *Freemantle v. Taylor*, 15 Ves. 363; *Roberts v. Higman*, 1 Bro. C. C. 532, *in notis*; *Viner v. Francis*, 2 Bro. C. C. 658; *S. C.*, 2 Cox, 190; *Crone v. Odell*, 1 Ball and Beat. 459; *Davidson v. Dallas*, 14 Ves. 576; *Scott v. Harwood*, 5 Madd. 332; *De Witte v. De Witte*, 11 Sim. 41; *Crower v. Pinckney*, 3 B. C. 466; *Doe v. Clarke*, 2 H. Bl. 399; *Rawlins v. Rawlins*, 2 Cox, 425; *Trower v. Butts*, 1 Sim. and Stu. 181; *Sprackling v. Ranier*, 1 Dick. 344; *Storrs v. Barlow*, 2 M. and K. 46; *Butler v. Lowe*, 10 Sim. 317; *Gilmore v. Severn*, 1 Bro. C. C. 582 (recognized per M. R. in *Ringrose v. Bramham*, 2 Cox, 385); *Hoste v. Pratt*, 3 Ves. 730; *Hughes v. Hughes*, 14 Ves. 256; *S. C.*, 3 Bro. C. C. 352, 434; *Curtis v. Curtis*, Madd. and Geld, 14; *Balm v. Balm*, 3 Sim. 492; *Tilcomb v. Butler*, 3 Sim. 417; *Blease v. Burgh*, 2 Beav. 221; *Gardener v. James*, 6 Beav. 170; *Clarke v. Clarke*, 8 Sim. 59.

<sup>(u)</sup> Wms. on Exrs. 981; *Collin v. Collin*, 1 Barb. Ch. Rep. 630. Mr. Surrogate Bradford considers that the authorities favor the doctrine that, generally, in case of a bequest to children as a class, only those *living at the date of the will* are entitled, notwithstanding a provision in favor of issue in case of death, unless an intention to the contrary can be deduced from other clauses or phrases. *Lawrence v. Hebbard*, 1 Bradf. Surr. Rep. 252; *Stires v. Van Rensselaer*, 2 id. 172.

<sup>(v)</sup> *Sprackling v. Ramer*, 1 Dick. 344; *Storrs v. Barlow*, 2 M. and K. 46; *Butler v. Low*, 10 Sim. 317; *Swift v. Duffield*, 5 Serg. and Rawle, 38.

<sup>(w)</sup> *Doe v. Clark*, 2 H. Black. Rep. 399; *Trower v. Butts*, 1 Sim. and Stu. 181; *Rawlins v. Rawlins*, 2 Cox's Cas. 425. See, also, *Marselis v. Thalheimer*, 2 Paige, 35; *Hone v. Van Schaick*, 3 Barb. Ch. Rep. 488.

Where, however, by the will of the testator, there is a postponement of the division of a legacy given to a class of individuals, until a period subsequent to his death, every one who answers the description, so as to come within that class at the time, which is fixed by the testator for the division, will be entitled to a share, although not in esse at the death of the testator, unless there is something in the will to show that the testator intended to limit his bounty to such of the class as would answer the description when the will took effect by his death.(x)

And where the language of the will indicates a present bequest of a fund which is to be distributed at a period subsequent to the death of the testator, those who are in esse at the time of his death will take vested interest in the fund, but subject to open and let in others who may come into being, so as to answer the description and belong to the class at the time appointed for the distribution. Where, however, a fund bequeathed to children or others as a class, to be divided equally among the persons composing the class when they arrive at the age of twenty-one or marriage, only those who shall have been born or begotten when the eldest arrives at the age of twenty-one, or when the first of the class is married, is entitled to share in the fund.(y)

The word "children" does not, in common parlance or in its proper signification, extend further than the immediate descendants of the persons named; and, consequently, grandchildren, or issue generally, are not ordinarily included in that term.(z) It may, however, include them where it appears there were no persons in existence who would answer to the description of children, in the primary sense of the word, at the time of making the will; or where there could not be any such at the time or in the event contemplated by the testator; or where the testator has clearly shown, by the use of other words, that he used the word children as synonymous with descendants, or issue, or to designate or include illegitimate offspring, grandchildren or step children.(a)

Natural children, having acquired the reputation of being the children of a particular person, prior to the date of the will, are capable of taking under the description of "children."(b)

The law, however, appears to be settled, that where there are legiti-

(x) *Gilmore v. Severn*, 1 Bro. C. C. 582; *Jenkins v. Freyer*, 4 Paige, 47, 53-4; *Mason v. Jones*, 2 Barb. Sup. Ct. Rep. 229; *Collin v. Collin*, 1 Barb. Ch. Rep. 630; Wms. on Exrs. 982-3, 988, and cases and authorities referred to in notes to the American edition. Where a trust of accumulation is created for the benefit of the children or issue of persons in being at the death of the testator, posthumous children of those persons will take as beneficiaries: because issue not already born to the persons named must be born, if ever, during their lifetimes, or within the period of gestation afterwards. And such posthumous children will be held to be issue, in the lifetime of their father, within the meaning of the statute which fixes a time beyond which accumulations cannot commence. *Mason v. Jones*, 2 Barb. S. C. R. 229.

(y) *Collin v. Collin*, 1 Barb. Ch. Rep. 630; *Andrews v. Partington*, 3 Bro. C. C. 401; *Prescott v. Long*, 2 Ves. jun. 689; *Haste v. Pratt*, 3 id. 730; *Whitehead v. Lord St. John*, 10 id. 152; *Gilbert v. Boorman*, 11 id. 238; *Stires v. Van Rensselaer*, 2 Bradf. Surr. Rep. 172.

(z) *Radcliff v. Buckley*, 10 Ves. 195; 4 Mylne and Cr. 60; *Moor v. Raisbeck*, 12 Sim. 123; *Dickinson v. Lee*, 4 Watts' R. 82; *Hallowell v. Phipps*, 2 Whart. R. 376; *Scott v. Nelson*, 3 Port. R. 452; *Mowatt v. Carrow*, 7 Paige, 328.

(a) *Radcliff v. Buckley*, 10 Ves. 195; *Earl of Orford v. Churchill*, 3 Ves. and Beame, 69; *Mowatt v. Carrow*, 7 Paige, 328. The word "children" includes only the immediate legitimate descendants, and not a step-child. *Lawrence v. Hibbard*, 1 Bradf. Surr. Rep. 252; *Stires v. Van Rensselaer*, 2 id. 172.

(b) *Wilkinson v. Adam*, 1 Ves. and Bea. 422, 454.

mate children in existence at the time of the making of the will, so as to satisfy the words of the devise or bequest in their primary sense, an illegitimate child cannot take under a general devise or bequest to children, as a class, unless there is something appearing upon the face of the will to show that the testator intended to include others besides legitimate children.(c)

Although, as a general rule, a devise to children, without any other description, means legitimate children; and if the testator has such children, parol evidence cannot be received to show that a different class of persons was intended; still, in these cases, as in all others, it is proper to look into circumstances *dehors* the will, to see whether there are any persons answering the description of the legatees in the legal sense of the term used; and if it appear that there are not any such persons, it is then allowable to prove the situation of the testator's family, to enable the court to ascertain who were intended by the testator as the objects of his bounty. Thus, in *Gardner v. Heyer*,(d) where the testator died a bachelor, but had for a long time lived and cohabited with M. Smith, by whom he had and left four children, a son and three daughters, who survived, and who had been by him placed at school and acknowledged as his children, and who were generally reputed as such by his friends; and by his will he gave to his son John \$10,000, to be paid to him when he arrived at the age of 24, the interest in the meantime to be applied to his maintenance and education; and he also gave to each of his daughters \$3,000, payable at the age of 21; and the interest in the meantime to be applied to their education and support; and he directed his executors and trustees to pay \$65 to M. Smith, the mother of the children, quarter-yearly, during her life, if she remained single and had no more children; and he devised and bequeathed all the residue of his real and personal estate to his executors and trustees, and the survivor of them, in fee, in trust to pay two-thirds of the income thereof to his son John, and one-third to his daughters, during their lives, with remainder to their issue; and he gave cross remainders to the survivors in case any of the children should die without issue; and he also appointed the executors and trustees, or the survivor of them, guardians of the children during their minority, and earnestly requested that the utmost care should be taken of their morals and education. The chancellor, upon the facts, declared that there was no doubt as to the legal and equitable rights of the children of Mrs. Smith, under the will.

2. "Grandchildren." The term "grandchildren" does not comprise great-grandchildren, unless, in analogy to the use of the term children, the testator has manifested his intention, in the will itself, to give the term such extended signification.(e)

(c) *Cartwright v. Vawdry*, 5 Ves. Rep. 530; *Harris v. Lloyd*, Turn. and Russ. Rep. 310; *Swaine v. Kennerly*, 1 Ves. and Bea. 469; *Collins v. Hoxie*, 9 Paige, 81.

(d) 2 Paige, 11.

(e) *Howe v. Van Schaick*, 3 Comst. 538; 2 Eden, 196; *S. C.*, Ambl. 603, *nomine Hussey v. Dillon*, 3 Ves. and Bea. 59; 1 Rep. Leg. (3d ed.) 69. See, accordingly, the judgment of Lord Cottenham in *Sanderson v. Bayley*, 4 Mylne and Cr. 60; and *Waring v. Lee*, 8 Beav. 247; *Hussey v. Berkley*. The testator bequeathed two thousand pounds "to the children and grandchildren of his brother I. P., deceased, excepting M. F. (who was a grandchild of I. P.)"

A grandchild *by marriage* is not entitled under the description of "grandchildren."<sup>(f)</sup>

Where, however, it appears that it was the intention of the testator to include the children of a step-child among his grandchildren, such children will take accordingly. Thus, in *Cutter v. Doughty*,<sup>(g)</sup> the words of the devise were as follows:—"I give to my grandchildren and their *heirs* my said farm as follows, to wit, to the children of my *step-daughter*, lot No. 1; to the children of my daughter, lot No. 2," &c. A subsequent clause provided that if any of the devisees died without issue, their share was to be divided among the survivors of the testator's children or *grandchildren*. It was held by the Supreme Court, and the Court for the Correction of Errors, that the testator had denominated the children of his step-daughter *grandchildren*, in the first clause of this will; and by the latter court, in opposition to the chancellor and the Supreme Court, that they were included under the same denomination in the last clause.

3. "Wife." A bequest by a husband to his "beloved wife," not mentioning her by name, applies exclusively to the individual who answers the description at the date of the will, and is not to be extended to an after-taken wife.<sup>(h)</sup>

4. "Nephews and nieces." The principles already stated with respect to the restriction and enlargement of the terms "children" and "grandchildren," apply to the words "nephews and nieces." Therefore, *great-nephews* and *great-nieces* are not ordinarily to be considered as comprehended in that description.<sup>(i)</sup>

Nor will the expression "grand nephews and nieces," include the children of grand nephews and nieces.<sup>(j)</sup>

But in this case also, the more enlarged sense will be attributed to the expression, where such is its meaning in view of the situation of the testator's family relations, or the context indicates the intention of the testator so to use it.<sup>(k)</sup> Thus, where the provisions of the will were as follows:—"Item third, I will and bequeath unto the children of my sister Catharine each five hundred dollars; Item fourth, I will and bequeath unto each of my nephews and nieces five hundred dollars, excepting John Cromer; Item tenth, I will and bequeath unto the children of my nephew, John Cromer, five hundred dollars;" and the testator left a niece who had three children, one nephew, several

and her children, she and they not needing it, to be equally divided among those of them who may be *then* living, (viz., at the death of the testator's widow,) saving that his cousin S. R. should have two shares thereof." Held, 1. That the great-grandchildren of I. P. took equally with children and grandchildren; 2. That all who were alive at the death of the testator's widow, whether born before or after the testator's death, were entitled to take. *Pemberton v. Purker*, 5 Binney, 601.

(f) *Hussey v. Berkley*, 2 Eden, 196; *S. C.*, Ambl. 603, *nomine Hussey v. Dillon*, 1 Rep. Leg. (3d ed.) 69. See, also, the judgment of Lord Cottenham in *Sanderson v. Bayley*, 4 Mylne and Cr. 60; and *Waring v. Lee*, 2 Beav. 247.

(g) 7 Hill, 305. See, also, *Hone v. Van Schaick*, 3 Comst. 538.

(h) *Garratt v. Niblock*, 1 Russ. and M. 629.

(i) *Falkner v. Butler*, Ambl. 514; *Shelley v. Bryer*, 1 Jacob, 207; 4 Mylne and Cr. 60; *Stires v. Van Rensselaer*, 2 Bradf. Surr. Rep. 172.

(j) *Waring v. Lee*, 8 Beav. 247.

(k) "*James v. Smith*, 14 Sim. 214." Wms. on Exrs. 993; *Cromer v. Pinckney*, 3 Barb. Ch. Rep. 466.



grandnephews and nieces, the grandchildren of a deceased sister of the testator, of whom John Cromer was one, and a great grandniece, who was the only child of a deceased daughter of a deceased nephew of the testator, it was held that the provisions above-quoted of the will showed that the testator used the words nephews and nieces in an enlarged sense, so as to include all the grandnephews and nieces of the testator whose parents were dead, and that the brothers and sisters of John Cromer, and the other grandnephews and nieces whose ancestors were dead at the time of the making of the will, were entitled to legacies. And further, that upon the ordinary rules of construction, parents and children could not both take under the description of the testator's nephews and nieces, but only the parents who were living, and those grandnephews and nieces whose parents were dead.<sup>(l)</sup>

#### OF MISTAKES IN THE NAMES OR DESCRIPTIONS OF LEGATEES.

The general rule upon this subject is, that where the name or description of a legatee is erroneous, and there is no reasonable doubt as to the person who was intended to be named or described, the mistake will not disappoint the bequest. The error may be rectified and the true intention of the testator ascertained, in two ways: 1. By the context of the will; 2. To a certain extent, by parol evidence.

1. The mistake may be rectified by the context. Thus, an error in the *name* of the legatee may be obviated by the accuracy of his *description*: as where a legacy is given to "my namesake *Thomas*, the *second* son of my brother," and the testator's brother has no son named *Thomas*, but his second son is named *William*, there is sufficient certainty in the description to entitle the second son.<sup>(m)</sup>

And again, where the testator bequeathed to his brother *Cormac Connolly*, and to his two sisters, *Mary* and *Ann*, a certain residue, and afterwards, by a codicil, bequeathed as follows: "To my nephew *Cormac Connolly*, the son of my brother *Cormac Connolly*, the sum of five hundred dollars, for his ecclesiastical education, which sum is to be taken from what I have bequeathed to my brother *Cormac*, and to my sisters *Mary* and *Ann*;" and it appeared that the testator never had a brother named *Cormac*, but that he had a nephew *Cormac*, who was the son of his only surviving brother *James*, who was pursuing classical studies in Ireland with a view to an ecclesiastical education, and who was the only nephew of that name; it was held that the legatee intended by the testator, by the name of his brother *Cormac*, was the father of his nephew *Cormac*, and that his brother *James* was the person entitled to share in the residuary estate.<sup>(n)</sup>

So, where there was a bequest of two thousand dollars in trust for "the Ladies of the Ursuline Order residing in Charleston, in the state

(l) *Cromer v. Pinckney*, 3 Barb. Ch. Rep. 466. See, also, *Ennis v. Pentz*, 3 Bradf. Surr. Rep. 382.

(m) *Stockdale v. Bushby*, 19 Ves. 381; *S. C.*, Coop. 229. See, also, *Dowset v. Sweet*, Amb. 174; *Smith v. Coney*, 6 Ves. 42; *Bradshaw v. Bradshaw*, 2 Y. and C. 72; *Bristow v. Bristow*, 5 Beav. 289; *Blundell v. Gladstone*, 1 Phill. Ch. C. 279; Wms. on Exrs. 1035-6.

(n) *Connolly v. Pardon*, 1 Paige, 291. In *Thomas v. Stevens* (4 John. Ch. R. 607) a legacy to *Cornelia Thompson* was held a good bequest to *Caroline Thompson*, it appearing *alunde* that she was the person intended.

of South Carolina," and it appeared by the testimony that, at the time when the will was executed, there was an institution in the city of Charleston, South Carolina, which had been incorporated by the name of "The Ladies Ursuline Community of the city of Charleston;" that it was founded by Bishop England, and was still in existence, and that it had been, and was known and spoken of invariably as "the Ladies of the Ursuline Convent" or "order," and that there had not been, and was not any similar society or institution in the state of South Carolina. These circumstances, it was held, rendered it certain beyond a question who was intended by the description contained in the will, and the legacy was decreed to the Ladies Ursuline Community of the city of Charleston.(o)

So, an error in the *description* may be obviated by the certainty of the *name*: as where a legacy was given to "Charles Millar Standen and Caroline Eliz. Standen, *legitimate* son and daughter of Charles Standen, now residing with a company of players;" and it appeared that they were *illegitimate* children, their claim was, nevertheless, supported.(p) So, where there was a bequest to *John Newbolt, second* son of William *Strangways* Newbolt, vicar of Somerton; and the vicar of Somerton was William *Robert* Newbolt, and his *second* son was Henry Robert, and his *third* son was John Pryce; it was held that John Pryce Newbolt was entitled to the legacy; for that the maxim applied, *Veritas nominis tollit errorem descriptionis*.(q) So, where the testator, being resident in India, bequeathed his residuary property to his "nearest relations in my native country, Ireland," sisters living in America were held entitled.(r) So, where the bequest was "To Mary Smith, wife of Nathaniel Smith, three hundred dollars," and it appeared that Mary Smith was the wife of Abraham Smith, and that the name of the wife of Nathaniel Smith was Sarah Smith, it was held, upon parol evidence, however, going to show the relations of the testatrix with the conflicting claimants for the legacy, that Mary Smith, the wife of Abraham Smith, was the legatee intended by the testatrix.(s)

2. The mistake may, to a certain extent, be rectified by parol evidence. It is obvious that the nature of this treatise will not allow of a full consideration of this wide and difficult subject. It may be sufficient in this place to mention the general principles established with respect to it.

It may, perhaps, be safely stated as a general proposition, that a court may inquire into every material fact relating to the person who claims to be interested under the will, and to the circumstances of the testator, and of his family and affairs, for the purpose of enabling the court to identify the person intended by the testator.(t)

(o) *Banks v. Phelan*, 4 Barb. Sup. Ct. Rep. 80. See, also, *Hornbeck's ex'r v. Amer. Bible Society*, 2 Sandf. Ch. Rep. 133, and cases cited; *Careless v. Careless*, 1 Meriv. 384; *S. C.*, 19 Ves. 601; *Beaumont v. Fell*, 2 P. Wms. 140; *Mann v. Executors of Mann*, 1 Johns. Ch. Rep. 234; *N. Y. Inst. for Blind v. How's ex'rs*, 6 Seld. 84.

(p) *Standen v. Standen*, 2 Ves. jun. 589; *S. C.*, 6 Bro. P. C. (Toml. ed.) 193.

(q) *Newbolt v. Price*, 14 Sim. 354.

(r) *Smith v. Campbell*, 19 Ves. 400.

(s) *Smith v. Smith*, 1 Edw. Ch. Rep. 189; *S. C.*, on appeal, 4 Paige, 271.

(t) This subject is discussed with much learning and ability by Vice-Chancellor Wigram, in his treatise on "The Application of Extrinsic Evidence to Interpretation of Wills." See, also, *Smith v. Smith*, 1 Edw. Ch. Rep. 189, 4 Paige, 271; *Banks v. Phelan*, 4 Barb. Sup. Ct.

Further, in certain special cases, extrinsic evidence of the intention of the testator (*e. g.* proof of his *declarations* at the time of making his *will*) is admissible to make certain the person intended, where the description in the will is insufficient for the purpose.<sup>(u)</sup>

"In all cases in which a difficulty arises in applying the words of a will to the person of the devisee, the difficulty or ambiguity which is introduced by the admission of extrinsic evidence, may be rebutted and removed by the production of further evidence upon the same subject, calculated to explain who was the person really intended to take under the will, according to the maxim, '*Ambiguitas verborum latens, verificatione suppletur.*'"<sup>(v)</sup>

"There is, however, but one class of cases in which evidence of the testator's *declarations* can properly be admitted; and that is, of cases of equivocation, viz., where an ambiguity arises, from the admission of extrinsic evidences, as to which of two or more things, or which of two or more persons, *each answering the description in the will*, the testator meant to express.<sup>(w)</sup>

"Accordingly, where a complete blank is left for the devisee's name in a will, no parol evidence, however strong, will be allowed to fill it up as intended by the testator. But where a blank was left for the christian name only, parol evidence was admitted to prove the individual intended.<sup>(x)</sup> So, in case of a devise 'to Mrs. C.,' Lord Loughborough referred it to the master to receive evidence to show the person intended."<sup>(y)</sup>

The two last cases, perhaps, are only reconcilable with the principles of law applicable to this subject, on the supposition that the evidence went to establish, in the one case, that the claimant of the legacy was a person whom the testator was in the habit of calling "Mrs. C.;" and in the other, that the claimant was a person whom the testator was in the habit of calling by the surname only.<sup>(z)</sup>

Where a testator has habitually called certain persons or things by peculiar names, and those names occur in his will, evidence of such habit seems receivable to explain the meanings of the will, in like manner as if his will had been written in cypher, or in a foreign language.<sup>(a)</sup>

Rep. 80; *Gardner v. Heyer*, 2 Paige, 11; *Mowatt v. Carrow*, 7 Paige, 328; *Cromer v. Pinckney*, 3 Barb. Ch. Rep. 466; *Hart v. Marks*, 4 Bradf. Surr. Rep. 161.

<sup>(u)</sup> Wigram (2d ed.) 78 *et seq.* Declarations of the testator cannot be received as evidence of what he intended by the terms nephews and nieces in his will. *Cromer v. Pinckney*, 3 Barb. Ch. Rep. 466. See the judgment of Tindal, C. J., in *Miller v. Travers*, 8 Bing. 244. See, also, *Bradshaw v. Bradshaw*, 2 Y. and Coll. 72; *Duke of Dorset v. Harwarden*, 3 Curt. 80; *Wilson v. Squire*, 1 Y. and Coll. Ch. C. 654; *Danberry v. Coghlan*, 12 Sim. 507; *Doe v. Allen*, 12 A. and E. 451.

<sup>(v)</sup> Wms. on Exrs. 1037.

<sup>(w)</sup> "*Miller v. Travers*, 8 Bing. 244; *Doe v. Hiscock*, 5 M. and W. 363; *Bradshaw v. Bradshaw*, 2 Y. and Coll. 72; *Duke of Dorset v. Harwarden*, 3 Curt. 80; *Wilson v. Squire*, 1 Y. and Coll. Ch. C. 654; *Danberry v. Coghlan*, 12 Sim. 507; *Doe v. Allen*, 12 A. and E. 451." Wms. on Exrs. 1037-8.

<sup>(x)</sup> *Baylis v. Att'y Gen.*, 2 Atk. 239; *Hunt v. Hort*, 3 Bro. C. C. 311; 8 Bing. 254; *Clayton v. Lord Nugent*, 13 M. and W. 200. See *Doe v. Westlake*, 4 B. and A. 57.

<sup>(y)</sup> *Price v. Page*, 4 Ves. 680; *Abbot v. Massie*, 3 Ves. 148.

<sup>(z)</sup> See the observations of Rolfe, B., 13 M. and W. 207. See, also, *Lee v. Pain*, 4 Hara, 251.

<sup>(a)</sup> 5 M. and W. 368.

## OF SPECIFIC LEGACIES.

Of legacies there are two kinds—a general legacy, and a specific legacy. A legacy is general when it is so given as not to amount to a bequest of a particular thing or money of the testator, distinguished from all others of the same kind; a legacy is specific when it is a bequest of a *specified* part of the testator's personal estate which is so distinguished.<sup>(b)</sup> Thus, for example, "I give a diamond ring" is a general legacy, which may be fulfilled by the delivery of any ring of that kind; while, "I give the diamond ring presented to me by A." is a specific legacy, which can only be satisfied by the delivery of the identical subject. Again, if the testator, having many brooches or horses, bequeath "a brooch" or "a horse" to B., in these cases the legacy is general. But a bequest of "such part of my stock of horses which A. shall select, to be fairly appraised, to the value of £800," or of "all the horses which I may have in my stable at the time of my death," is specific.<sup>(c)</sup>

The distinction between these two sorts of legacies is of the greatest importance; for, as has already been seen,<sup>(d)</sup> articles not specifically bequeathed, are to be first sold for the payment of debts; and articles so bequeathed, are not to be sold until the residue of the personal estate has been applied to such payment; and, as it will hereafter more fully appear, if there be a deficiency of assets, a specific legacy will not be liable to abate with the general legacies, while, on the other hand, if the specific legacy fail by the ademption or inadequacy of its subject, the legatee will not be entitled to any recompense or satisfaction out of the general personal estate. So that, though specific legacies have in some respect the advantage of those that are general, yet, in other respects, they are distinguished from them to their disadvantage.<sup>(e)</sup>

Again, if there be a specific bequest of a thing described as already in existence, and no such thing ever did exist among the testator's effects, the legacy fails. Thus, although a gift of "my grey horse" will pass a black horse, which is not strictly grey, if it be found to have been the testator's intention that it should pass by that description; yet, if the testator had no horse, the executor is not to buy a grey one; on the other hand, if the bequest is of "a horse," and no horse be found in the testator's possession at the time of his death, the executor is bound, provided the state of the assets will allow him, to procure a horse for the legatee.<sup>(f)</sup>

It seems to have been once considered as the criterion of a specific legacy, that it is liable to ademption.<sup>(g)</sup> But this has since been repeatedly denied. And it has even been held that a legacy may be specific, notwithstanding the testator expressly provides that it "shall not be deemed specific, so as to be capable of ademption."<sup>(h)</sup>

<sup>(b)</sup> *Tift agst. Porter*, 4 Seld. 516.

<sup>(c)</sup> *Wms. on Exrs.* 1041-2, and cases cited.

<sup>(d)</sup> *Ante*, p. 306; 2 R. S. 87; 3 R. S. (5th ed.) 173.

<sup>(e)</sup> *Wms. on Exrs.* 1042, and cases cited.

<sup>(f)</sup> *Wms. on Exrs.* 1042, and cases cited.

<sup>(g)</sup> *Jac. and Walk.* 601; *Post*, p. 434.

<sup>(h)</sup> *Jacques v. Chambers*, 2 Coll. 435; *Wms. on Exrs.* 1042-3.

A legacy of quantity is ordinarily a general legacy; but there are legacies of quantity *in the nature* of specific legacies, as of so much money, with reference to a particular fund for payment. This kind of legacy is called by the civilians a *demonstrative* legacy; and it is so far general, and differs so much in effect from one properly specific, that if the fund be called in or fail, the legatee will not be deprived of his legacy, but be permitted to receive it out of the general assets; yet the legacy is so far specific, that it will not be liable to abate with *general* legacies, upon a deficiency of assets.(i)

The courts, in general, are averse from construing legacies to be specific; and the intention of the testator, with reference to the thing bequeathed, must be clear.(j)

With respect to legacies of money, securities for money, debts, &c., "Under some circumstances, even pecuniary legacies are held to be specific, as of a certain sum of money in a certain bag or chest,(k) or in the hands of A.;(l) or of £200, the balance due to the testator from his partner on the last settlement between them, *if the testator did not draw such money out of the trade before he died.*(m) But a legacy of '£400 to be paid to A. in cash,' is a general legacy.(n) So, a legacy of money, to procure a specified object for the legatee—as of a sum to buy a ring,(o) or to purchase lands(p) or government securities(q) for the legatee, is a general legacy. So, a bequest of an annuity out of, or charged on, the personal estate, is a general legacy."(r)

"Stock or government securities, or shares in public companies, may be specifically bequeathed, where, to use the expression often applied, there is a clear reference to the '*corpus*' of the fund.(s) Thus, the word '*my*' preceding the word stock or annuities, has been several times adjudged sufficient to render the legacy specific: as where the bequest is of '*my*' capital stock of £1,000 in the India Company's stock;(t) or a legacy is given '*of my stock*,' or in '*my stock*,' or '*part of my stock.*'(u) So, where the testator, being possessed of £5,000 stock, bequeathed '*all the stock which I have in the three per cents., being about £5,000,*' Lord Thurlow held the legacy specific."(v) So, a bequest of all the testator's right, interest and property in thirty shares in the Bank of the United States of America, is a specific legacy.(w)

(i) Wms. on Exrs. 1043. See *Giddings v. Seward*, 16 N. Y. (2 Smith) Rep. 365.

(j) *Ellis v. Walker*, Amb. 310; *Kirby v. Potter*, 4 Ves. 748; *Innes v. Johnston*, 4 Ves. 568; *Webster v. Hale*, 8 Ves. 413.

(k) *Lawson v. Stitch*, 1 Atk. 508.

(l) *Hinton v. Pinke*, 1 P. Wms. 540, by Lord Chancellor Parker; *Crockett v. Crockett*, 2 P. Wms. 164; *Pulsford v. Hunter*, 3 Bro. C. C. 416.

(m) *Ellis v. Walker*, Amb. 310.

(n) *Richards v. Richards*, 9 Price, 226.

(o) *Apreece v. Apreece*, 1 Ves. and Bea. 364.

(p) *Hinton v. Pinke*, 1 P. Wms. 539.

(q) *Lawson v. Stitch*, 1 Atk. 507; *Gibbons v. Hills*, 1 Dick. 324.

(r) *Allon v. Medlicot*, cited in *Lewin v. Lewin*, 2 Ves. sen. 417; *Hume v. Edwards*, 3 Atk. 693. See, also, *Sadler v. Turner*, 8 Ves. 617; *Raymond v. Broadbelt*, 5 Ves. 199; *Kirkpatrick v. Kirkpatrick*, cited in *Roberts v. Pocock*, 4 Ves. 158; Wms. on Exrs. 1044.

(s) See *Sibley v. Perry*, 7 Ves. 529, 530.

(t) *Ashburner v. McGuire*, 2 Bro. C. C. 108; *Barton v. Cooke*, 5 Ves. 461; *Norris v. Harrison*, 2 Madd. 279, 280.

(u) *Kirby v. Potter*, 4 Ves. 750-1, by Lord Alvanley.

(v) *Humphreys v. Humphreys*, 2 Cox, 184; Wms. on Exrs. 1045.

(w) *Walton v. Walton*, 7 Johns. 258.



So, where the testator, at the time of his death, owned three hundred and sixty shares of the stock of the Cayuga County Bank, and his will contained, among others, the following bequests: "Second, I give, devise and bequeath unto my wife, U. T., 240 shares of bank stock in the Cayuga County Bank, at Auburn, also all my household furniture, books, wearing apparel, all of which property and bank stock above mentioned are to be delivered to her as soon as may be after letters testamentary shall have been granted and issued on this will, and are in lieu of dower; Third, I give, devise and bequeath to H. S. G. one hundred and twenty shares of stock in the Cayuga County Bank, at Auburn, to be transferred to her as soon as letters testamentary shall be issued and granted on this will;" it was held that the legacies were general, and not specific.(x)

With respect to bequests connected with the reality—every devise of land is specific;(y) and so, a bequest of a lease for years of a farm(z) is a specific legacy.

So, a bequest of a rent out of a term of years is specific: as, where the testator bequeathed £40 a year to A. for life, out of his chattel estate at Kenn, and £10 a year to B. for life, out of the same estate, which he gave to C.; these several bequests were held specific.(a) But if it be apparent that the testator's meaning is to give the legatee an *annuity at all events*, the legacy will be a general one, though it is directed to be paid *out of* an estate, or the rents of it; consequently, though the fund out of which the legacy is directed to be paid should fail, the legatee will be entitled to have his legacy made good out of the general personal estate.(b)

But though general legacies do not become specific because they are charged upon, or payable out of the proceeds of real estate, yet, if the testator direct his freehold or leasehold estate to be sold, and dispose of the proceeds in such a form as to evince an intention to bequeath them specifically, the legacy will be properly specific.(c) So, if a testator simply charges his real estate with a sum of money, and then bequeaths the money so charged, the real estate alone is liable to the payment.(d) Again, where a testator in his will charges a legacy on a particular estate, and declares that it is to be raised out of such estate and not otherwise, the general estate of the testator is not liable for the payment of the legacy, in the event of the particular estate being insufficient for that purpose.(e)

With respect to bequests contained in a residuary clause, the question whether such bequests are specific or general, may become important, where it is contended that the bequest is specific, so as to exonerate the personal estate, which is the subject of it, from debts and legacies, and charge the realty therewith; or where the personal estate, so bequeathed, comprises property which is wearing out rapidly, (such as leaseholds,) and it is given to one for life, remainder to another.

(x) *Tift agst. Porter*, 4 Seld. 516.

(y) *Forrester v. Leigh*, AmbL 173.

(z) *Long v. Short*, 1 P. Wms. 403.

(a) *Long v. Short*, 1 P. Wms. 403. And see the extract from Reg. Lib. in Cox's note.

(b) *Mann v. Copeland*, 2 Madd. 223; Wms. on Exrs. 1052.

(c) *Page v. Leapingwell*, 18 Ves. 463; 1 Rep. on Leg. (3d ed.) 175.

(d) *Dickin v. Edwards*, 4 Hare, 273, 276.

(e) *Powell v. Murray*, 10 Paige, 256.

The bequest of all a man's personal estate generally is not specific: the very terms of such a disposition demonstrate its generality.<sup>(f)</sup> And the circumstance of the bequest of the general personal estate being in the same sentence with that of the real, the devise of which is naturally specific, will not be sufficient to make it a specific legacy.<sup>(g)</sup>

But if a man, having personal property at A. and elsewhere, bequeath all his personal estate *at A.* to a particular person, the legacy is specific; and if there is a deficiency of assets to pay other legacies, such a legatee shall not be obliged to abate with the other legatees.<sup>(h)</sup> So, where the testator bequeaths the residue of all his personal estate *in the island of Jamaica*, this is a specific legacy;<sup>(i)</sup> and so is a bequest of all the testator's goods and chattels in a particular country.<sup>(j)</sup>

To what articles or property legatees are entitled, under particular modes of description of the things bequeathed, such as "goods," "chattels," "all my goods," "household goods," "household furniture," "stock on farm," "securities for money," "debts," &c., may, in some instances, become matter of question; and the executor should, by proper inquiry, satisfy himself of the interpretation to be given to the language of the will in these respects, before discharging or paying the legacies.

#### OF LEGACIES VESTED OR CONTINGENT.

It may be proper here to include some remarks relative to legacies as vested or contingent.

The cases establish the principle that contingent and executory interests, though they do not vest in possession, may vest in right, so as to be transmissible to the executors or administrators of the party dying before the contingency on which they depend takes effect; but where that contingency is the endurance of life of the party till a particular period, the interest will obviously be altogether extinguished by his death before that period.<sup>(k)</sup>

The present object is to ascertain the circumstances under which a legacy is to be regarded as a vested interest, or as contingent on the event of the endurance of the life of the legatee; or, in other words, in what cases the interest in a legacy will be so fixed as to be transmissible to the executor or administrator of the legatee, though he die before the time arrives for the payment of the money; and, on the other hand, in what cases the legacy will lapse by the death of the legatee. The general principle as to the lapse of legacies by the death of the legatee may be stated to be, that if the legatee die before the testator's decease, or before any other condition precedent to the vesting of the legacy is performed, the legacy lapses, and is not payable to the executors or administrators of the legatee.<sup>(l)</sup>

<sup>(f)</sup> 1 Rep. Leg. (3d. ed.) 215.

<sup>(g)</sup> 7 Ves. 138.

<sup>(h)</sup> Treat. Eq., bk. 4, pt. 1, ch. 2, sec. 5; *Sayer v. Sayer*, 2 Vern. 688; *S. C.*, Prec. Ch. 392.

<sup>(i)</sup> *Nisbett v. Murray*, 5 Ves. 150.

<sup>(j)</sup> *Moore v. Moore*, 1 Bro. C. C. 127. So, of all the goods in a particular room (*Green v. Symonds*, 1 Bro. C. C. 129, *in notis*); or of "all plate, linen and furniture in my house at A., or which shall be therein at the time of my decease:" *Gayre v. Gayre*, 2 Vern. 538; *Shaftsbury v. Shaftsbury*, Id. 747; *Land v. Devaynes*, 4 Bro. C. C. 537; Wms. on Exrs. 1054.

<sup>(k)</sup> Wms. on Exrs. 797, 1083, and cases cited.

<sup>(l)</sup> Wms. on Exrs. 1083. See *McLoskey v. Reid*, 4 Bradf. Surr. Rep. 334.

"It has been established from the earliest period, both in the ecclesiastical courts and in equity, that unless the legatee survive the testator, the legacy is extinguished; neither can the executors or administrators of the legatee demand the same. And Swinburn puts the case of the testator and legatee being drowned in the same ship, or both being struck to death by the fall of a house, in which case he lays it down that, as they both died at the same time, the legacy is not due, and consequently not transmissible to the executors or administrators of the legatee.(m)

"Not only in cases of bequests of money, or of other chattels in possession, but also of a debt due from the legatee to the testator, the legacy will lapse by his death before the testator, and the executor of the legatee must pay the money."(n)

Even in a case where a legacy is given to a man and his *executors, administrators and assigns*, or to a man and *his representatives*, if the legatee dies before the testator, though the executors are named, yet the legacy is lost; for the words "executors, administrators and assigns," &c., are considered as only descriptive of the interest bequeathed; and those who take by representation only, cannot be entitled to anything to which the person they represent never had any title.(o)

"Again, if a legacy be given to a man, and directed to be paid *to him or his executors*, or administrators, or personal representatives, or to his heirs, *at the end of a year after the testator's death*, and the legatee die before the testator, the legacy intended for him will lapse.(p)

"But this general rule may be controlled by the manifest intention of the testator, appearing upon the face of the will, that the legacy shall not lapse, and by his distinctly providing a substitute for the legatee dying in his lifetime. The authorities appear to have settled that a testator may, if he thinks fit, prevent a legacy from lapsing; though, in order to effect this object, he must declare, either expressly or in terms from which his intention can be with sufficient clearness collected, what person or persons he intends to substitute for the legatee dying in his lifetime."(q)

It is an established general proposition, that as to a devise or bequest to a designated individual, with a gift over in case of death, if the event happens in the testator's lifetime, a lapse does not necessarily ensue, but the ulterior gift takes effect on the testator's decease.(r)

Again, where the direction was on the expiration of the life estate to convert the property into money and distribute the proceeds, and one of the distributees, having survived the testator, died in the lifetime of the life tenant, it was held that the legatee in remainder took

(m) See Wms. on Exrs. 1084; 2 Kent's Comm. 434-5-6, and notes; *Moehring v. Mitchell*, 1 Barb. Ch. Rep. 264.

(n) Wms. on Exrs. 1085, and cases cited.

(o) Wms. on Exrs. 1085, and cases cited.

(p) Id. In *Dickinson v. Purvis*, (8 Serg. and Rawle, 71,) there was a bequest of £500 sterling to a niece and her heirs, and the niece died in the lifetime of the testator; and it was held that the legacy lapsed.

(q) Wms. on Exrs. 1086-7, and cases cited.

(r) 2 Jarman, 671; *Lawrence v. Hebbard*, 1 Bradf. Surr. Rep. 256; *Stires v. Van Rensselaer*, 2 Bradf. Surr. Rep. 172; *Goodall v. McLean*, 2 Bradf. Surr. Rep. 306.

a vested interest, and that her administrators were entitled to her share of the fund.<sup>(s)</sup>

And further, it appears to be now established, that where there is a bequest "to A. or his personal representatives," or "to A. or his heirs," the word "or," generally speaking, implies a substitution, so as to prevent a lapse.<sup>(t)</sup>

But where the testator gave his wife a life estate in his whole estate, and bequeathed a share of the remainder upon her death to his son "William and his legal representatives," and William died after the death of the testator, but in the lifetime of his widow, it was held that the legacy given to William vested in him absolutely on the testator's decease, and was not divested by William's death, but passed to his executor or administrator.<sup>(u)</sup>

With respect to the application of the general rule above stated to legacies given in joint tenancy or in tenancy in common, it may be remarked, that "if a legacy be given to two persons *jointly*, although one of them happen to die before the testator, such interest will not be considered lapsed or undisposed of, but will survive to the other legatee. But where legacies are given to legatees as tenants in common, as where an aggregate fund is to be divided among them, *nominatim*, in equal shares, if any of them die before the testator, what was intended for those legatees will lapse into the residue. The law is the same as to survivorship in cases of joint tenants, and lapse in cases of tenants in common, when the testator revokes the interest originally given to one of them. But it must be observed, that where a legacy is given to a class of persons in general terms, as tenants in common, as to the children of A., the death of one of them before the testator will not occasion a lapse of any part of the fund; but those of the described class who survive the testator will take the whole."<sup>(v)</sup>

By statute,<sup>(w)</sup> whenever any estate, real or personal, shall be devised or bequeathed to a child or other descendant of the testator, and such legatee or devisee shall die during the lifetime of the testator, leaving

<sup>(s)</sup> *Conklin v. Moore*, 2 Bradf. Surr. Rep. 179. The direction annexed to the legacy to the legatee in remainder, that her share in the fund should be paid "into her own hand, and upon her own personal receipt, and in small sums from time to time, at the discretion of the executors," it was held did not prevent the legacy from vesting. See, also, *Dominick v. Moore*, 2 Bradf. Surr. Rep. 201; *McEwing v. Bertine*, 3 Bradf. Surr. Rep. 194; *Arcularius v. Geisenhainer*, 3 Bradf. Surr. Rep. 64; *Terrill v. The Public Adm.'r*, 4 Bradf. Surr. Rep. 245.

<sup>(t)</sup> Wms. on Exrs. 1088 to 1091, and cases cited.

<sup>(u)</sup> *Phyfe v. Phyfe*, 3 Bradf. Surr. Rep. 45. By a subsequent provision of the will in this case, the testator directed a portion of his estate to be divided among his sons, "and the legal representatives of such of them as shall be living at the time when such distribution ought to be made, share and share alike,—the legal representatives or children to receive such part only as the parent would have been entitled to receive if living at the time when such distribution should have been made;" it was held that, the words children and parent being correlative terms, and children being used convertibly for legal representatives, the substitution here intended was that of the children, and not the next of kin of the deceased son. But, on the other hand, that the whole clause showed an intention to give to the sons who should be living, and the legal representatives of such as should not be living—meaning, by legal representatives, children,—and there being no children of William, that his share had either lapsed or devolved on the other legatees. See, also, *Barstow v. Goodwin*, 2 Bradf. Surr. Rep. 413, 417.

<sup>(v)</sup> Wms. on Exrs. 1093, and cases cited. And see *King v. Barker*, 3 Bradf. Surr. Rep. 126; *Hart v. Marks*, 4 id. 161.

<sup>(w)</sup> 2 R. S., pt. 2, ch. 6, tit. 1, sec. 52, p. 66; 3 R. S. (5th ed.) 146.

a child or other descendant who shall survive such testator, such devise or legacy shall not lapse, but the property so devised or bequeathed shall vest in the surviving child or other descendant of the legatee or devisee, as if such legatee or devisee had survived the testator and had died intestate. And this statute was held to apply where the will was executed previous to the Revised Statutes, and the testator survived his son, a devisee under his will, but both died subsequent to the Revised Statutes.(x)

By the word descendant in this statute is not meant any relative to whom, in some possible contingency, property of the testator might descend, but lineal descendants—issue of the body.(y) A legacy to a sister's child, therefore, is not a legacy to a descendant of the testator. Thus, where the testator bequeathed his personal estate, after several legacies, "to his brother James and his children, and the child of his sister Catherine, to be equally divided between them and their heirs and assigns forever," and the child of his sister Catherine died before the decease of the testator, it was held that her share lapsed, and, there being no gift of the residue, passed to the testator's widow and next of kin, as in case of intestacy; and further, that the issue of "the child of his sister Catharine" did not take the share intended for their deceased parent, by way of substitution, under the term "heirs" contained in the bequest; but the word "heirs" was there a term of "limitation," and not of purchase or substitution.(z)

In conclusion of this portion of the subject of lapse, it may be mentioned that, where a bequest is made to a man as trustee for another person, the legacy will not lapse by the death of the trustee in the testator's lifetime.(a)

#### OF LEGACIES LAPSED BY THE DEATH OF THE LEGATEE AFTER THE DEATH OF THE TESTATOR.

If a legacy be given generally, without specifying the time when it is to be paid, it is due on the day of the death of the testator, though, by statute,(b) not payable until after the expiration of one year from the time of granting letters testamentary or of administration. This delay is merely an allowance of time for the convenience of the executor, and does not prevent the interest vesting immediately on the testator's death. Hence, if the legatee happen to die within the year, his personal representative will be entitled to the legacy.(c)

But when a future time for the payment of the legacy is defined by the will, the legacy will be vested or contingent, according as, upon construing the will, it appears whether the testator meant to annex the time to the payment of the legacy or to the gift of it.

In ascertaining the intention of the testator in this respect, the courts of equity have established two positive rules of construction: 1st, That a bequest to a person *payable* or to *be paid* at or when he shall

(x) *Bishop v. Bishop*, 4 Hill, 138.

(y) *Armstrong v. Moran*, 1 Bradf. Surr. Rep. 314.

(z) *Armstrong v. Moran*, 1 Bradf. Surr. Rep. 314.

(a) *Wma. on Exra.* 1099.

(b) 2 R. S. 90, sec. 43; 3 R. S. (5th ed.) 177, sec. 48; *Infra*, p. 436.

(c) See *Wma. on Exra.* 1100.



attain twenty-one years of age, or at the end of any other certain determinate term, confers on him a vested interest immediately on the testator's death, as *debitum in præsentis solvendum in futuro*, and transmissible to his executors or administrators; for the words "payable" or "to be paid," are supposed to disannex the time from the gift of the legacy, so as to leave the gift immediate in the same manner, in respect to its vesting, as if the bequest stood singly, and contained no mention of time; 2d, That if the words "payable" or "to be paid" are omitted, and the legacies are given *at* twenty-one, or *if when, in case, or provided*, the legatees attain twenty-one or any other future definite period, these expressions annex the time to the substance of the legacy, and make the legatee's right to it depend on his being alive at the time fixed for its payment; consequently, if the legatee happens to die before that period arrives, his personal representative will not be entitled to the legacy.(d)

The application of these rules, and the exceptions to the same, are considered at some length in the treatise of Sir Edward Williams on the law of executors and administrators.(e) The doctrine is also fully discussed and maintained in the very able opinions delivered by Savage, C. J., and Edmonds, Senator, in the Court of Errors of this state, in the case of *Patterson v. Ellis*.(f) It was there held that, where the gift of a legacy is absolute, and the time of payment only postponed—as where the sum of \$1,000 is given to A., to be paid when he shall attain the age of 21—the *time*, not being of the substance of the gift, postpones the payment, but not the vesting of the legacy; and if the legatee die before the period specified, his representatives are entitled to the money. But where the legacy is given *when* the legatee shall attain, or *provided* he does attain, the age of 21, time is of the substance of the gift, and the legacy does not vest until the contingency happens. But even where the legacy is given *when* the legatee attains the age of 21, if the deviser directs the *interest* of the legacy to be applied in the meantime for the benefit of the legatee, there being an absolute gift of the *interest*, the principal will be deemed to have vested. So, the legacy will be deemed *vested*, if it be left to the discretion of a trustee to pay the legacy *sooner* than the time specified in the will; and it seems that the mere appointment of a *trustee* for the legatee during the minority, will have the same effect.(g)

#### OF THE LAPSE OF LEGACIES PAYABLE OUT OF THE REAL ESTATE.

The rule with respect to the sinking of legacies charged upon and payable out of real estate, is somewhat different; and there are cases where it has been held that a legacy, made up partly of personal estate and partly of money charged upon lands, and to be raised out of the same, has, so far as regarded the personal estate, vested, and lapsed as to the part which was to come out of the realty.(h)

The true rule with respect to the vesting of legacies payable out of

(d) Wms. on Exrs 1100-1, and cases cited.

(e) See Wms. on Exrs. 1101, *et seq.*

(f) 11 Wend. 259.

(g) See, also, *Van Wyck v. Bloodgood*, 1 Bradf. Surr. Rep. 154.

(h) 2 Edw. Ch. Rep. 163.

real estate, is this: where the gift is immediate, but the payment is postponed until the legatee, for instance, attains the age of 21 years or marries, there it is contingent, and will fail if the legatee dies before the time of payment arrives; but where the payment is postponed in regard to the convenience of the person and the circumstances of the estate charged with the legacy, and not on account of the age, condition or circumstances of the legatee—in such a case it will be vested, and must be paid, although the legatee should die before the time of payment.(i)

The rule in question is always liable to the operation of the more general and powerful rule, namely, that the intention of the testator, to be gathered from the words of the will, must prevail.(j)

It must be further observed, with respect to this general rule, that it may clearly be controlled by a direction in the will that the legacy should vest on the testator's death: thus, in a modern case,(k) the testator gave legacies charged on his real estate to his two daughters, "*the same to vest in them immediately on my death*, but to be paid on their attaining their ages of twenty-one years, and the interest thereof in the meantime to be applied in their maintenance and education." The daughters both died infants; and it was contended that the legacies, as against the real estate, must sink for the benefit of the devisee: but Sir John Leach, V. C., held that this was prevented by the express direction that the legacies should vest on the death of the testator; and, therefore, that the personal representatives of the daughters were entitled to the legacies.(l)

#### OF CONDITIONAL LEGACIES.

One sort of conditional legacy has thus been considered, viz., where the condition is that the legatee shall be alive at a particular period. It remains to notice this species of legacy generally.

A conditional legacy is defined to be a bequest whose existence depends upon the happening or not happening of some uncertain event, by which it is either to take place or to be defeated.(m)

No precise form of words is necessary, in order to create conditions in wills; but, whenever it clearly appears that it was the testator's intent to make a condition, that intent shall be carried into effect.(n)

In the case of *Tattersall v. Howell*,(o) a legacy was given provided the legatee changed his course of life, and gave up all low company and frequenting public houses; and Sir W. Grant held that this was a condition such as the court would carry into effect, and directed the master to inquire whether the legatee had discontinued to frequent public houses, keeping low company, &c.

So, in *Dustan v. Dustan*,(p) the executors were required by the will

(i) *Marsh v. Wheeler*, 2 Edw. Ch. Rep. 163; 1 Roper on Leg., ch. 9; *Birdsall v. Hewlett*, 1 Paige's Ch. Rep. 33; *Harris v. Fly*, 7 id. 429; *Sweet v. Chase*, 2 Comst. 73.

(j) *Brown v. Wooler*, 2 Y. and Coll. C. C. 134, 138.

(k) *Watkins v. Cheek*, 2 Sim. and Stu. 199.

(l) Wms. on Exrs. 1129.

(m) 1 Rop. Leg. (3d ed.) 645; Wms. on Exrs. 1132. See, also, *Terrill v. The Public Adm'r*, 4 Bradf. Surr. Rep. 245; *Caw v. Robinson*, 1 Seld. 125.

(n) Wms. on Exrs. 1132; *Barruso v. Madan*, 2 Johns. 145.

(o) 2 Meriv. 26.

(p) 1 Paige, 509.

of the testator to pay to the legatee annually \$200, and also one-fifth of the testator's estate, in case the legatee should refrain from vicious habits, and conduct himself with sobriety and good morals. About two years after the testator's death, the legatee filed his bill against the executors, insisting that he had reformed, and claiming the payment of his share of the estate. The defendants had refused to pay over to the complainant his one-fifth of the estate, not being satisfied of his complete reformation. The provision in the will was supported, and as the complete reformation of the legatee was not distinctly proved, and a sufficient time had not elapsed between the death of the testator and the filing of the bill to enable the executors to form a sound opinion as to the permanency of the legatee's good conduct, it was held that the executors were right in refusing to place the whole property in his hands at that time, and it was referred to a master to ascertain and report whether there had been such a permanent reformation in his character and habits as to entitle him to receive the whole amount bequeathed to him, at that time.

Conditions are subject to the well known division into conditions precedent and conditions subsequent. When a condition is of the former sort, the legatee has no vested interest till the condition is performed: when it is of the latter, the interest of the legatee vests in the first instance, subject to be divested by the non-performance or breach of the condition.(q)

## LEGACIES TO EXECUTORS.

Omitting all discussion of the subjects of the construction of conditional legacies; of conditions impossible, illegal or repugnant, or in restraint of the spending of the legacy; of the performance of conditions, whether precedent or subsequent; of conditions to prevent the contesting of the will, in restraint of marriage, &c., as tending to enlarge this work beyond its proper limits; it is still deemed expedient, in connection with the present topic, to advert to the rules laid down with respect to legacies given to executors.

"Where legacies are given to persons in the character of executors, and not as marks of personal regard only, such bequests are considered to be given upon an implied condition, viz: that the parties clothe themselves with the character, in respect to which the benefits were intended for them. 'Nothing is so clear,' said Lord Alvanley in *Harrison v. Rowley*,(r) 'as that if a legacy is given to a man as executor, whether expressed to be for care and pains or not, he must, in order to entitle himself to the legacy, clothe himself with the character of executor.'

"In order to make a proper application of this rule, two inquiries are necessary: First, when shall a legacy be regarded as given to a man *in the character of executor*? Secondly, what shall be a sufficient assumption of the character of executor to entitle the legatee, when a legacy is so given?

"First, when a legacy shall be regarded as given to a legatee in the character of executor, the presumption is, that a legacy to a person

(q) Wms. on Exrs. 1132.

(r) 4 Ves. 216.

appointed executor, is given to him in that character, and it is on him to show something in the nature of the legacy, or other circumstances arising on the will, to repel the presumption.

"But this presumption will be rebutted if it should appear, either from the language of the bequest, or from the fair construction of the whole will, that a bequest to a person who is named executor, is given to him independently of that character; and then the legatee will be entitled to receive the legacy, whether he accepts the office or not.

"Secondly, what shall be a sufficient assumption of the character of executor to entitle a legatee, when a legacy is given to him in that character? If the legatee prove the will, with an intention to act under it, that will be a sufficient performance of the condition; or, if he unequivocally manifest an intention to act in the executorship, as by giving directions about the funeral of the testator, and be prevented by death from further entering upon his office, that will also be a performance of the condition.<sup>(s)</sup>

"But the conduct of an executor after proving the will, may be such as to demonstrate that, instead of a *bona fide* intention to execute the trusts, he procured probate as a means of enabling him to violate, in the grossest manner, the confidence reposed in him by the testator. In such a case, the mere act of proving the will cannot entitle him to the legacy meant for him."<sup>(t)</sup>

*Morris v. Kent*<sup>(u)</sup> was a case under the present head, and was, in some respects, peculiar. There the testator appointed the defendant an executor of his will, and accompanied the appointment with a bequest in these words—"hereby giving my said executor ten thousand dollars for his care and trouble in executing that office." The widow of the testator, who was named an executrix, proved the will and took out letters testamentary. The defendant, from apprehensions of great trouble in executing the will, and also of the inadequacy of the estate to discharge the legacy to him, at first resolved not to undertake the trust. In consequence, expenses were incurred to the amount of \$4,000, in the employment of a professional person as agent to attend to the affairs of the estate; such assistance being absolutely necessary. Subsequently the widow, becoming dissatisfied with the agent, prevailed upon the defendant to act in the business, and he accordingly qualified as executor, and *entered upon* the active duties, taking charge of and managing the affairs of the estate. A balance of money which had remained in his hands he claimed a right to retain, towards payment of the legacy of \$10,000.

The bill was filed against him by the widow and son of the testator, who were the principal devisees under the will, for an account; and they insisted that the defendant had no right to retain for the whole of his legacy. On a reference to a master, he allowed \$6,000 to the defendant, being the balance of the legacy, after deducting the sum paid to the agent. To this report the defendant excepted, because the master had not allowed him the whole sum of \$10,000, and there was another exception for disallowance of interest.

<sup>(s)</sup> Wms. on Exrs. 1152-3, and cases cited.  
<sup>(u)</sup> 2 Edw. Chan. Rep. 175.

<sup>(t)</sup> Wms. on Exrs. 1157.

The question in the case was whether, under the circumstances, there should be a deduction from, or an apportionment of, the executor's legacy. In none of the previous cases had an abatement or apportionment, according to the services rendered, been made a question.

It was concluded that, where a legacy to an executor is given in express terms, for care and trouble in executing the office, and to one of several executors, and this one delays, and for a time refuses to act, whereby the estate is put to additional expense for services which the executor was expected to perform, and for which the legacy was given, a court of equity should interfere to lessen the amount of his legacy, by charging him with what may fairly be considered the additional expense brought upon the estate by such delay or refusal; and that the present defendant was chargeable with the value of the services performed by the agent, which were within the province of the executor, and could equally as well have been performed by the defendant in that capacity, but not for the value of the services rendered by the agent in his professional character, for legal advice, and consequently not within the scope of the executor's duties; and that, in ascertaining upon this principle the sum to be deducted from the defendant's legacy, regard should be had to the amount of the latter, and to the whole service which the executor was to perform, so that the allowance for the agent's services for the time he was employed, and the importance of his acts, might not appear disproportionate to the whole of the services which were expected of the executor for the compensation of ten thousand dollars.

In conclusion, it may be mentioned that, where personal property is bequeathed to executors as trustees, the probate of the will is an acceptance of the trust.(v)

#### OF CUMULATIVE LEGACIES.

The subject of cumulative legacies is entitled to a passing notice. Legacies are said to be cumulative, as contra-distinguished from such as are merely repeated. Where the testator has twice bequeathed a legacy to the same person, it becomes a question whether the legatee be entitled to both, or only one—i. e., whether the second legacy shall be regarded merely as a *repetition* of the prior bequest, or whether it shall be construed as an additional bounty, and *cumulative* to the former benefit. On this point, the intention of the testator is the rule of construction.(w)

The general rule on this subject, from a review of the numerous cases, appears to be that where the same specific time, or the same sum is repeated in the same writing, the legatee can claim the benefit of one legacy only. The latter bequest is held to be a substitution, and they are not taken cumulatively, unless there be some evident intention that they should be so considered; and it lays with the legatee to show that intention, and rebut the contrary presumption. Where two legacies of quantity of unequal amount are given to the same person, in *the same instrument*, the one is not merged in the other, but the latter

(v) *Mucklow v. Fuller*, Jacob, 198; *Wms. on Exrs.* 1158. (w) *Wms. on Exrs.* 1160.



shall be regarded as cumulative, and the legatee is entitled to both. And where two bequests are in different instruments, as by will in the one case, and by a codicil in the other, whether of equal or unequal amounts, the presumption is in favor of the legatee, and the burthen of contesting that presumption is cast upon the executor. The presumption either way—whether against the cumulation, because the legacy is repeated in the same instrument, or whether in favor of it, because the legacy is by different instruments—is liable to be controlled and repelled by internal evidence, and the circumstances of the case.(x)

#### OF THE SATISFACTION OF DEBTS BY LEGACIES.

It is a rule established in the courts of equity, that where a debtor bequeaths to his creditor a legacy equal to or exceeding the amount of his debt, it shall be presumed, in the absence of any intimation of a contrary intention, that the legacy was meant by the testator as a satisfaction of the debt. This rule, however, though it has long prevailed, has met with the censure of several eminent judges, and the courts have inclined to lay hold of any minute circumstances whereupon to ground an exception to it.

Thus, the presumption of satisfaction shall not be made, where the debt was not contracted till after the making of the will—for the testator could not have intended by the legacy to have satisfied a debt which did not then exist;(y) nor where the debt is due upon a current account—for the state of the account, and on whose side the balance lay, might be unknown to the testator;(z) nor where the debt was upon a bill of exchange, or other negotiable security—for the debt might have been transferred to a stranger by the legatee passing away the instrument.(a)

Again, an express devise for the payment of debts and legacies, the creation of a fund for the payment of debts, and a charge of the legacy upon that fund—if the legacy be uncertain, and made to depend on a contingency—if the payment of a legacy be postponed by the will to a time subsequent to that at which the debt is due and payable; or if the debt be due at the time of the testator's death, and the legacy be not made payable immediately, but at some future time, are each cases in which a legacy will not be considered as a satisfaction of the testa-

(x) *De Witt v. Yates*, 10 Johns. Rep. 156.

(y) Where A was bound by bond to pay B, during her lifetime, an annuity of \$1,000, and at the time of the decease of B, the sum due upon the bond was \$4,000, it was held that A was not entitled to recover an unsettled demand of \$1,500 against the estate of B, notwithstanding that the bond had been cancelled, in pursuance of a direction in the will of B that it should be so cancelled, on the payment by A. of \$1,600—the testamentary provisions in relation to the bond being considered not as a legacy, but as a proposition of a settlement of all claims and demands between A and B—and it was holden that A was not entitled to recover, even for demands arising *after* the making of the will. *Parol* proof of the intention of the testator may, in such cases, be resorted to, not to give a construction to the language of the will, but to prove circumstances whereon to found inferences or presumptions. *Williams v. Crary*, 4 Wend. 443-4.

(z) However, in *Williams v. Crary*, (8 Cowen, 246,) it was held that a general bequest of a sum of money to a debtor, equal to, or exceeding the debt due, though it stand in an unliquidated account, is a satisfaction if it appear, either on the face of the will or by evidence *aliunde*, to be so intended.

(a) *Wma. on Exrs.* 1167-8.

tor's debt;(b) and where the legacy is of less amount than the debt, it shall not be deemed a part payment or satisfaction.(c)

This subject was discussed by the Supreme Court in the case of *Williams v. Crary, surviving executor, &c.*,(d) and Chief Justice Savage came to the conclusion that the exceptions to the rule are so numerous, that the rule should be stated differently, to wit., that a legacy shall not be deemed a satisfaction of the pre-existing debt, unless it appears to have been the intention of the testator that it should so operate.(e)

Again, where the plaintiff sued the executors for board of a third person, which the testator had agreed to pay, it was held that a legacy left by the testator to the wife of the plaintiff, exceeding the amount of the claim, was not a satisfaction of the debt.(f)

"Again, a legacy of a specific chattel, however great its value, will not be a satisfaction of a debt, unless the testator bequeaths it with such condition expressed, and the legatee accepts it by way of satisfaction.

"It is said, that a legacy shall in all cases be construed as a satisfaction, in case there be a deficiency of assets."(g)

#### OF A LEGACY BY A CREDITOR TO HIS DEBTOR.

Where a creditor bequeaths a legacy to his debtor, and either does not notice the debt, or mentions it in such a manner as to leave his intentions doubtful, and after his death the securities for the debt, if any exist, are found uncanceled among the testator's property, the courts of equity do not consider the legacy to the debtor as necessarily, or even *prima facie*, a release or extinguishment of the debt, but require evidence clearly expressive of the intention to release; and if such intention does not appear clearly expressed or implied on the face of the will, evidence from other sources will be admitted.(h)

"In the present case," says Vice-Chancellor McCoun, in *Stagg v. Beekman and others, executors of Rutgers, deceased*,(i) "the evidence of such intention is wanting, and the contrary is plainly inferable. The amount of bounty is fixed in the shape of a pecuniary legacy of one thousand dollars; an advance of five hundred dollars afterwards takes place; a note is given for it; this note is kept in the testator's possession uncanceled; and in the last codicil, nothing appears to show an intention to increase the bounty beyond what was originally contemplated; such, however, will be the effect, provided the complainant can retain the sum advanced, and also receive the benefit of the whole

(b) *Edelen v. Dent*, 2 Gill and Johns. 185. A devise of lands to a creditor, though it be greater in value than the amount of the debt, does not extinguish a debt or claim which he has against the testator. *Partridge's adm.'r v. Partridge*, 2 Harr. and Johns. 63; *Owings v. Owings*, 1 Harr. and Gill, 484; *Eaton v. Benton*, 2 Hill, 576.

(c) *Cranmer's case*, 2 Salk. 508; *Graham v. Graham*, 1 Ves. sen. 263; *Williams v. Crary*, 4 Wend. 449, per Savage, Ch. J.

(d) 5 Cowen, 368, 8 id. 216, and 4 Wend. 449.

(e) 12 Wend. 68. See, also, Id. 349; *Eaton v. Benton*, 2 Hill, 576.

(f) *Mulheran's ex.'rs v. Gillespie*, 12 Wend. 349.

(g) Toller, 337.

(h) 1 Rep. Leg. (3d ed.) 61; Wms. on Exrs. 1173, and cases cited; *Clarke v. Bogardus*, 12 Wend. 67; *Stagg v. Beekman*, 2 Edw. Ch. Rep. 89; *Clarke v. Bogardus*, Id. 387; *Rickets v. Livingston*, 2 John. Cas. 98.

(i) 2 Edw. Ch. Rep. 92.

original bequest; taking the note and preserving it among his papers, are all circumstances which clearly indicate that the testator intended the advance should remain as a debt against his legatee, and be deducted and retained by his executors. This they have a right to do." (j)

The will authorized the executors at their discretion to compound debts, where the debtors, from misfortune or otherwise, might be unable to pay; or, if his executors thought it just, to forbear suing such debtors altogether. And the vice-chancellor held that the executors could not release this debt, and pay the legacy under that provision: He says, "the clause in the will authorizing the executors to compound with any of the debtors to the estate, and to forbear suing altogether, is not sufficient to warrant a contrary conclusion. It does not go to the extent of releasing the debtors. It shows the humane and benevolent feelings of the testator towards those who might be poor and unfortunate; and although the complainant may be of that class, (as it was conceded that he was,) still the executors would hardly be justified, under their discretionary power, in suffering the opportunity to pass of realizing this debt, by a non-exercise of their right to retain and deduct the amount of the note and interest out of the legacy."

"Where a testator recites that a legatee is indebted in a certain sum, that recital binds the legatee, except in case of a clear mistake of figures." (k)

Where a legatee is indebted to the testator, the executor may retain the legacy, either in part or full satisfaction of the debt, by way of set-off. (l)

And so much of such debt as can be collected by the executor, including the interest due at the testator's death, is to be considered and treated as a part of the capital of the estate, and must be apportioned and distributed accordingly. (m)

The rule is, that if a legacy be left to a testator's debtor, the debt shall be deducted from the legacy, for the legatee's demand is in respect to the testator's assets, without which the executor is not liable, and, therefore, the legatee in such case is considered by a court of equity to have so much of the assets already in his hands as the debt amounts to, and consequently to be satisfied *pro tanto*, for there can be no pretence to say, because the testator gives a legacy to his debtor, it is an argument to show that the testator meant to remit the debt. (n)

In *Clarke v. Bogardus*, (o) the testatrix gave to her daughter a legacy of \$750 as an evidence of her love and affection, and directed, in case of the death of the daughter, that the same be paid to her children, or to their legal representatives. The husband of the daughter was indebted to the testatrix on a bond, conditioned for the payment of \$250 annually to the obligee during her natural life. On the face of the will, neither the husband nor the bond in question were mentioned, and it was held that the legacy was not a satisfaction of the debt due the testatrix. "The legacy," said the court, "is given to the daughter

(j) "*Jeffs v. Wood*, 2 P. Wms. 128; *Rankin v. Barnard*, 5 Mad. R. 32."

(k) "*Robinson v. Dransby*, 6 Mad. 348." Wms. on Exrs. 1174.

(l) Wms. on Exrs. 1174.

(n) Toll. 338; 12 Wend. 69.

(m) *Smith v. Kearney*, 2 Barb. Ch. Rep. 533.

(o) 12 Wend. 67.

of the testatrix, not as the extinguishment of a debt, but as evidence of the love and affection of the testatrix to a daughter who was well provided for, and in case of her death, to her children and their representatives, as if she intended that the husband should have no control over it."(*p*)

At law, a testamentary act cannot operate as a release of a debt owing to the testator.(*q*) By statute,(*r*) as has already appeared in connection with the subject of the inventory,(*s*) the discharge or bequest in a will of any debt or demand of the testator, against any executor named in his will, or against any other person, shall not be valid as against the creditors of the deceased; but shall be construed only as a specific bequest of such debt or demand; and the amount thereof shall be included in the inventory of the credits and effects of the deceased, and shall, if necessary, be applied in the payment of his debts; and if not necessary for that purpose, shall be paid in the same manner and proportion as other specific legacies.

With respect to the effect of appointing a debtor to be executor, it has also already appeared, in connection with the subject of the inventory,(*t*) that by statute,(*u*) the naming of any person executor in a will shall not operate as a discharge or bequest of any just claim which the testator had against such executor, but such claim shall be included among the credits and effects of the deceased in the inventory, and such executor shall be liable for the same as for so much money in his hands at the time such debt or demand becomes due; and he shall apply and distribute the same in the payment of debts and legacies, and among the next of kin, as part of the personal estate of the deceased.

The revisers, in their note to this section, sufficiently explain its object, and the defects and mischiefs in the old law which it was intended to remedy and prevent. They say as follows: "The debt of an executor is now liable to creditors, and in some cases to legatees; but when not required for these purposes, it is discharged or belongs to the executors, and is not to be distributed among the next of kin unless it appear on the face of the will that the testator did not intend to discharge the debt.(*v*) Few persons are aware of this rule; and, as well to avoid the disputes that arise, as to establish what is believed a just rule, this section is copied substantially from the laws of Maryland. Since an allowance is made to executors(*w*) for their services, the reason of the old rule has ceased. The case of *Gardner v. Miller*(*x*) shows how strongly the Supreme Court leans against that rule."(*y*)

## CREDITOR EXECUTOR.

A creditor who is appointed executor or administrator, as has also already been seen, cannot retain any part of the property of the deceased in satisfaction of his own debt or claim, until it shall have been

(*p*) See, also, *Clarke v. Bogardus*, 2 Edw. Ch. Rep. 387.

(*q*) Ram. on Assets, 469; *Stagg v. Beekman*, 2 Edw. Ch. Rep. 91.

(*r*) 2 R. S. 84, sec. 14; 3 R. S. (5th ed.) 171.

(*s*) *Ante*, p. 271.

(*t*) *Ante*, p. 271; See, also, *Decker v. Miller*, 2 Paige, 149.

(*u*) 2 R. S. 84, sec. 13; 3 R. S. (5th ed.) 271.

(*v*) 2 Cowen's Rep. 809.

(*w*) See *post*, ch. 12.

(*x*) 19 Johns. Rep. 88.

(*y*) 3 R. and or. S., App. 640.

proved to, and allowed by, the surrogate; and such debt or claim is not entitled to any preference over others of the same class.(z)

#### OF THE ADEMPTION OF SPECIFIC LEGACIES.

The subject of the ademption of legacies is next to be considered. The general rule is that, in order to complete the title of a specific legatee to his legacy, the thing bequeathed must, at the testator's death, remain *in specie*, as described in the will; otherwise, the legacy is considered as revoked by ademption. For instance, if a legacy be of a specified chattel in possession, as of a gold chain, or a bale of wool, or a piece of cloth, the legacy is adeemed not only by the testator's selling or otherwise disposing of the subject in his lifetime, but also if he should change its form so as to alter the specification of it, as, if he should convert the gold chain into a cup, or the wool into cloth, or make the piece of cloth into a garment, the legacy shall be adeemed.(a) And if a specific legacy does not exist at the death of the testator, it is adeemed. And this rule prevails without regard to the intention of the testator, or the hardship of the case.(b)

It must here be observed that the rule of ademption does not apply to *demonstrative* legacies, *i. e.*, to legacies of so much money with reference to a particular fund for payment, as, for instance, legacies given out of a particular stock, or debt, or term; for, although the particular fund be not in existence at the testator's death, the legatees will be entitled to satisfaction out of the general estate.(c) A change of the subject matter of a legacy into a different fund, does not defeat the bequest.(d)

So, where the testator, being the owner, at the time of making his will, of a bond for six thousand dollars, by his will directed the same to be collected and divided three years after his decease; and gave and bequeathed the same in certain different specified sums to various legatees; and afterwards, the obligors in the bond assigned to the testator a certain bond and mortgage for the same amount, and thereupon received back their bond; and the substituted bond and mortgage remained uncollected among the assets of the testator at the time of his death; it was held that the legacies were general, and were not adeemed.(e)

If a debt specifically bequeathed be received by the testator, the legacy is adeemed; because the subject is extinguished, and nothing remains to which the words of the will can apply. So, a partial receipt by the testator of the debt specifically bequeathed, will operate as an ademption *pro tanto*.(f) Thus, where the testator, by his will, bequeathed to his grandchildren, D. H. P. and J. H. P., *the proceeds of a bond and mortgage*, conditioned for the payment of \$8,000 which he held against B. and S., and, previous to his death, the testator com-

(z) 2 R. S. 88, sec. 33; 3 R. S. (5th ed.) 175; *Ante*, p. 394.

(a) Wms. on Exrs. 1189. See, also, *Walton v. Walton*, 7 Johns. Ch. Rep. 262.

(b) *Beck, dec. v. McGillis*, 9 Barb. Sup. Ct. Rep. 35.

(c) Wms. on Exrs. 1189, and cases cited; *Doughty v. Stillwell*, 1 Bradf. Surr. Rep. 300.

(d) *Gardner v. Printup*, 2 Barb. Sup. Ct. Rep. 83; *Walton v. Walton*, 7 Johns. Ch. R. 258.

(e) *Doughty v. Stillwell*, 1 Bradf. Surr. Rep. 300. See, also, *Stout v. Hart*, 2 Halsted Rep. 414.

(f) Wms. on Exrs. 1190-91, and cases cited.



menced proceedings for the collection of the amount due upon the bond, which resulted in a sale by the mortgagors to N. Y. of a part of the mortgaged premises for the sum of \$5,000, of which \$1,700 was paid to the testator and receipted on the mortgage, and the balance was secured by the bond of N. Y. & G. Y. for \$3,300, payable to the testator, and indorsed by him as a payment upon the mortgage; but the lien of the mortgage upon the part of the premises purchased by N. Y. was not released, and the testator received upon the bond of N. Y. & G. Y. the sum of \$1,641 during his lifetime: it was held that the legacy bequeathed to D. H. P. and J. H. P. was a specific, and not a general pecuniary legacy, and that, to the extent that any part of the proceeds of the bond and mortgage were used by the testator in the payment of debts or otherwise, so as to have lost their separate existence or identity as such proceeds, the legacy was, *pro tanto*, adeemed; and that the surviving legatee was not entitled to be reimbursed out of the testator's estate, for the moneys received by the testator upon the mortgage, or upon the bond given by N. Y. & G. Y. It was held, further, that what remained due upon the bond of N. Y. & G. Y., at the death of the testator, was the proceeds of the original securities, and that that sum was actually still due upon, and secured by the mortgage—the bond of N. Y. & G. Y. being no more than a collateral security to that amount; and that the amount due upon such bond passed as a part of the specific legacy.(g)

No distinction exists with respect to a specific legacy of a debt between a compulsory and a voluntary payment of it to the testator—in other words, between a case where the testator himself calls in a debt which he has bequeathed, and a case where the debtor, unprovoked and without solicitation, thinks fit to pay it. And, it seems, it may now be considered as established that the only rule to be adhered to in determining upon the ademption of a specific legacy, is to see whether the subject of the specific bequest remained *in specie* at the time of the testator's death; for if it did not, then there must be an end of the bequest; and the idea of discussing what were the particular motives and intention of the testator in each case, in destroying the subject of the bequest, would be productive of endless uncertainty and confusion.(h)

When stock is specifically bequeathed, and it does not wholly, or does only in part exist at the testator's death, the legacy will either be totally or partially adeemed, as the case may be. And it is said that the legacy is irretrievably adeemed by the sale of the stock, and will not be revived by a new purchase of similar stock by the testator.(i)

But no ademption will take place when the stock specifically bequeathed is exchanged by act of law.(j) And where the testator, at the date of his will in 1830, had one hundred shares of the stock of the Firemen's Insurance Company, and by his will he bequeathed to

(g) *Gardner v. Printup*, 2 Barb. Sup. Ct. Rep. 83.

(h) *Humphries v. Humphries*, 2 Cox, 185; *Wms. on Exrs.* p. 1191, and cases cited. See, also, *Blackstone v. Blackstone*, 3 Watts' Rep. 337.

(i) See *Wms. on Exrs.* 1192-3, and cases cited. See, also, *De Nettiebeck v. Astor*, 3 Kern. 98; *Langdon v. Astor's ex'rs*, 16 N. Y. Rep. 9.

(j) *Wms. on Exrs.* 1193; *Walton v. Walton*, 7 Johns. Ch. Rep. 262-3..

his wife his stock in the Firemen's Insurance Company; and by the great fire in December, 1835, the stock of the Firemen's Insurance Company was rendered worthless, the whole capital stock of the company being absorbed by the losses which it incurred; and by an act of the legislature, the company, with other insurance companies, was authorized to call upon its stockholders to fill up its capital stock, and the testator filled up but forty shares of his stock, and suffered the remainder to be issued to others, pursuant to the provisions of the act, and he owned the forty shares at the time of his death; it was held that, as to so much of the stock, the legacy was not adeemed.(k)

As to ademption of specific legacies of goods, it must be observed that, where the disposition of the subject is not absolute, the legacy will not be adeemed: as, where a testator pawns or pledges an article specifically bequeathed, a right of redemption is left in him, and passes to the legatee at his death, so as to enable him to call on the executor to redeem and deliver it to him.(l)

By statute, as has heretofore appeared in connection with the subject of the revocation of wills, it is provided that a conveyance, settlement, deed or other act of a testator, by which his estate or interest in property previously devised or bequeathed by him, shall be altered, but not wholly divested, shall not be deemed a revocation of the devise or bequest of such property; but such devise or bequest shall pass to the devisee or legatee the actual estate or interest of the testator which would otherwise descend to his heirs, or pass to his next of kin unless, in the instrument by which such alteration is made, the intention is declared that it shall operate as a revocation of such previous devise or bequest. But if the provisions of the instrument by which such alteration is made, are wholly inconsistent with the terms and nature of such previous devise or bequest, such instrument shall operate as a revocation thereof, unless such provisions depend on a condition or contingency, and such condition be not performed or such contingency do not happen.(m)

#### OF THE PAYMENT OF LEGACIES.

##### ALL DEBTS MUST BE PAID BEFORE ANY LEGACIES ARE SATISFIED.

Attention is now to be given to the payment of the legacies. It is obvious that, as the whole personal estate is liable in the hands of the executor to the payment of the debts of the testator, the executor must take care to discharge them before he satisfies any description of legacy.

There is no distinction in this respect in favor of specific legacies. Hence, if an executor, although acting *bona fide*, and under a conviction that the assets are amply sufficient for the payment of the testator's debts, permits specific legatees to retain or possess themselves of the articles bequeathed to them, he will be answerable for the value of

(k) *Havens v. Havens and others*, 1 Sandf. Ch. Rep. 324.

(l) *Wms. on Exrs.* 1195.

(m) 2 R. S. 65; 3 R. S. (5th ed.) 145; *Ante*, pp. 128-9, 139. See *Doughty v. Stillwell*, 1 Bradf. Surr. Rep. 200; *Adams v. Wines*, 7 Paige, 97.

those articles with interest, if there should ultimately be a deficiency of assets, although the deficiency should be occasioned by subsequent events which he had no reason to anticipate; and the court will direct an account to be taken of the value of the property so possessed by the legatees, and interest to be computed, unless it is certain that the assets will ultimately be sufficient to pay all the creditors.(n)

Where the executors, having notice of a claim against the estate of their testator, proceeded to pay some of his debts in full, and to make distribution of a portion of his estate among his children and legatees; and afterwards the claim in question was established, whereby the estate turned out to be insufficient for the payment of all the claims against it; it was held that, though the executors had acted in good faith, they were nevertheless liable to the creditors to the full extent of all the assets of the deceased; and that the payments to the legatees and next of kin of the testator should be disallowed.(o)

With respect to contingent debts, a question of great importance formerly existed: namely, whether an executor could safely make payment of legacies, or deliver over a residue, where there was an outstanding covenant of the testator (or bond with a condition or the like) which had never yet been broken, and which might or might not be broken thereafter. Under the Revised Statutes, all questions of this kind are set at rest. By the publication of a notice pursuant to the provisions of the statute relative to the payment of the debts of the deceased, inserted in the last preceding chapter,(p) all claims against the deceased, for which the executor or administrator is liable, are ascertained. If a claim against the deceased, upon which his liability is contingent, be presented to the executor or administrator under the notice, it has been heretofore intimated(q) that his proper course was to resort to a competent court to determine, upon the settlement of his accounts, whether any, and, if any, how much of the estate of the deceased should be reserved to await the question as to the liability of the deceased. The payment of debts of an inferior degree, and the discharge and payment of legacies and distributive shares are, it was shown, protected as against claims of what description soever, not presented under the notice. The holder of a claim against the deceased, whether his liability is contingent or certain, not presented under the notice, it appeared, had his remedy only against the assets of the estate remaining in the hands of the executor or administrator at the time of a suit commenced for the claim, or against assets thereafter to come into his hands, or against the legatee or next of kin of the testator or intestate. The executor, having published the notice provided for by the statute, may safely pay and discharge the legacies of the testator, without incurring any risk of being afterwards called upon to pay contingent liabilities of the testator, the claims upon which were not presented under the notice.

The following statutory provisions relate to the payment of legacies:  
Sec. 43. No legacy shall be paid by an executor or administrator

(n) Wms. on Exrs. 1207, and case cited. *Tble v. Hardy*, 6 Cowen, 339.

(o) *Clayton v. Wardell*, 2 Bradf. Surr. Rep. 7.

(p) See ante p. 354.

(q) *Ante*, p. 393.

until after the expiration of one year from the time of granting letters testamentary or of administration, unless the same are directed by the will to be sooner paid.<sup>(r)</sup>

Sec. 44. In case a legacy is directed to be sooner paid, the executor or administrator may require a bond, with two sufficient sureties, conditioned that if any debts against the deceased shall duly appear, and which there shall be no other assets to pay, and there shall be no other assets to pay other legacies, or not sufficient, that then the legatee shall refund the legacy so paid, or such ratable proportion thereof, with the other legatees, as may be necessary for the payment of the said debts, and the proportional parts of such other legacies, if there be any, and the costs and charges incurred by reason of the payment to such legatee; and that if the probate of the will, under which such legacy is paid, shall be revoked, or the will declared void, then that such legatee shall refund the whole of such legacy, with interest, to the executor or administrator entitled thereto.<sup>(s)</sup>

Sec. 45. After the expiration of one year from the granting of any letters testamentary or of administration, the executors or administrators shall discharge the specific legacies bequeathed by any will, and pay the general legacies, if there be assets; and if there be not sufficient assets, then an abatement of the general legacies shall be made in equal proportions. Such payment may be enforced by the surrogate in the same manner as the return of an inventory, and also by a suit on the bond of such executor or administrator, whenever directed by the surrogate.

Sec. 46. In case any legatee is a minor, his legacy, if under the value of fifty dollars, may be paid to his father, to the use and for the benefit of such minor.<sup>(t)</sup>

Sec. 47. If the legacy be of the value of fifty dollars or more, the same may, under the direction of the surrogate, be paid to the general guardian of a minor, who shall be required to give security to the minor, to be approved by the surrogate, for the faithful application and accounting for such legacy.

Sec. 48. If there be no such guardian, or the surrogate do not direct such payment, the legacy shall be invested in permanent securities, under the direction of the surrogate, in the name and for the benefit of such minor, upon annual interest; and the interest may be applied, under the direction of the surrogate, to the support and education of such minor.

Sec. 49. It shall be the duty of the surrogate, where there is no guardian of such minor, to keep in his office the securities so taken, and to collect, receive and apply the interest, and, when necessary, to collect the principal, and re-invest the same, and also to re-invest any interest that may not be necessarily expended as aforesaid.

Sec. 50. On such minor coming of age, he shall be entitled to receive the securities so taken, and the interest or other moneys that may have been received; and the surrogate and his sureties shall be liable to account for the same.

<sup>(r)</sup> 2 R. S. 90; 3 R. S. (5th ed.) 177. See *Bradner v. Faulmer*, 2 Kern. 472.

<sup>(s)</sup> 2 R. S. 90; 3 R. S. (5th ed.) 177.

<sup>(t)</sup> 2 R. S. 91; 3 R. S. (5th ed.) 177.

Sec. 51. In case of the death of such minor before coming of age, the said securities and moneys shall go to his executors or administrators, to be applied and distributed according to law; and the surrogate and his sureties shall, in like manner, be liable to account to such executor or administrator.<sup>(u)</sup>

The following sections of the statute provide for proceedings before the surrogate against executors and administrators for the recovery of both legacies and distributive shares:

Sec. 82. Any person entitled to any legacy, or to a distributive share of the estate of a deceased person, at any time previous to the expiration of one year from the granting of letters testamentary or of administration, may apply to the surrogate either in person or by his guardian, after giving reasonable notice to the executor or administrator, to be allowed to receive such portion of such legacy or share as may be necessary for his support.<sup>(v)</sup>

Sec. 83. If it appear to the surrogate that there is at least one-third more of assets in the hands of such executor or administrator than will be sufficient to pay all debts, legacies and claims against the estate then known, he may, in his discretion, allow such portion of the legacy or distributive share to be advanced as may be necessary for the support of the person entitled thereto, upon satisfactory bonds being executed for the return of such portion, with interest, whenever required.

Sec. 18. The surrogate having jurisdiction, shall have power to decree the payment of debts, legacies and distributive shares, against the executor or administrator of a deceased person in the following cases:

1. Upon the application of a creditor, the payment of any debt, or a proportional part thereof, may be so decreed at any time after six months shall have elapsed from the granting of the letters testamentary or of administration;

2. Upon the application of a legatee or relative, entitled to a distributive share, payment of such legacy or distributive share, or its just proportional part, may be so decreed at any time after one year shall have elapsed from the granting of such letters.<sup>(w)</sup>

And, by the provisions of the Revised Statutes relative to the rendering and settling of the accounts of executors and administrators,<sup>(x)</sup> any person interested in the personal estate of the deceased, either as creditor, legatee or next of kin, may call the executor or administrator to an account before the surrogate, or the executor or administrator may voluntarily render such account, and, upon assets appearing, the surrogate will decree payment of the claim, either in full or in part, according to the sufficiency of the assets.

By the above section, numbered 45, as has been seen, after the expiration of one year from the granting of the letters testamentary or of administration, the payment of the legacies may be enforced by the surrogate in the same manner as the return of an inventory; and also by a suit on the bond of such executor or administrator, whenever directed by the surrogate.

The following sections of the statutes provide for the recovery of

(u) 2 R. S. 91; 3 R. S. (5th ed.) 178.

(w) 2 R. S. 116; 3 R. S. (5th ed.) 204.

(v) 2 R. S. 98; 3 R. S. (5th ed.) 185.

(x) 2 R. S. 92; 3 R. S. (5th ed.) 178.



legacies and distributive shares, by actions at law against executors and administrators:

Sec. 9. If, after the expiration of one year from the granting of letters testamentary or of administration, there be more than sufficient assets in the hands of any executor or administrator to discharge the debts of the testator or intestate, and if, after reasonable demand made, and the offer of a bond with sufficient sureties, as in the next section prescribed, by any legatee, or by any of the next of kin entitled to share in the distribution of the estate, such executor or administrator shall refuse to pay the legacy bequeathed by any will to such legatee, or the share of any such person entitled to distribution, he shall be liable to such action as the case may require, at the suit of such legatee or next of kin, or their personal representatives.(y)

Sec. 10. Previous to the commencement of any such action, a bond to the executor or administrator shall be filed with the clerk of the court, with such sureties as the court, or any judge thereof, shall approve, in double the sum of such share or legacy, conditioned that if any debts owing by the testator or intestate shall afterwards be recovered or duly made to appear, for the payment of which there shall be no assets other than the said share or legacy, that then such person shall refund the legacy or share that may be recovered in such action, or such ratable part or proportion thereof, with the other legatees or representatives of the deceased, as may be necessary for the payment of the said debts, and the costs and charges incurred by a recovery against such executor or administrator in any suit therefor.

Sec. 11. When given by a legatee, the bond shall be further conditioned, that if no sufficient assets shall thereafter remain to pay any other legacy which may be due, that then such person shall refund such ratable part or proportion thereof, with the other legatees or representatives of the deceased, as may be necessary for the payment of the proportional part of such other legacy.

Sec. 12. A minor may bring such action by his guardian or next friend, as in other cases; but not until such guardian or next friend shall have filed, with the clerk of the court, a bond to the minor, in such sum and with such sureties as the court shall approve, conditioned that such guardian or next friend shall duly account to such minor when of full age, or to his personal representatives in case of his death, for all moneys which may be recovered in such suit.

Sec. 13. In any such suit brought by a legatee, if it appear that there are not assets sufficient to pay all the legacies that may have been given, then an abatement shall be made in proportion to the legacies so given, and such legatee shall recover only a proportionate part.(z)

Sec. 19. Whenever an action shall be brought by any legatee against an executor or administrator, and the want of assets to pay all the debts of the deceased, and all the legacies bequeathed by him, or any of them, shall be pleaded, the cause shall be referred to referees, to examine the accounts of the defendants, and to hear and report upon the allegations and proofs of the parties in respect to such plea.(a)

(y) 2 R. S. 114; 3 R. S. (5th ed.) 202.  
(a) 2 R. S. 450; 3 R. S. (5th ed.) 748.

(z) 2 R. S. 115; 3 R. S. (5th ed.) 203.

Sec. 20. Such referees shall proceed in the manner provided by law in respect to references of actions in which there is a long account; and all the provisions of law in relation to such references shall apply to referees appointed pursuant to the last section, and to their proceedings and the judgment thereon.

Sec. 21. In such cases, the costs of the action, or of either party, shall be paid as the court may direct, out of the estate of the deceased, or by the defendants personally, if their refusal to pay such legacy or their defence of the action shall appear to have been unreasonable.

Sec. 22. If the plaintiff in such suit shall recover only part of his demand, for the want of assets in the hands of the defendants, and assets shall afterwards come to their hands, he shall have a new action for the recovery thereof, or of the proportionate share thereof to which he may be entitled; and the same proceedings, in all respects, shall be had in such action.

The above provisions, (sections 43, 45, 2 R. S. 90,) prohibiting the payment of legacies until the expiration of a year from the time of the granting of the letters, and directing such payment after the expiration of that time, were made with reference to the provisions of the statutes respecting the revocation of the probate on allegations of the next of kin, filed within a year after the probate,<sup>(b)</sup> and also to those regulating the publication, by the executor or administrator, of a notice to creditors to exhibit their claims, by which a year must expire before the debts for which the assets are liable can be ascertained. After the year, the validity of the will being completely established, and the debts for which the assets in the hands of the executor are liable having been paid or ascertained, the surplus remaining after the payment of such debts becomes properly distributable among the intended recipients of the testator's bounty; and the executor cannot, in most instances, be permitted to retain that surplus in his hands. If there be litigations pending, or contingent liabilities of the testator, that may be a good reason for refusing to discharge the legacy in full, or even in part; but there must be some such cause shown to justify the executor in withholding payment. From the statutes, and for the sake of general convenience, the court presumes the personal estate to have been reduced into possession by the end of the year, and of consequence the claims of the legatees liquidated and entitled to be discharged.

Formerly, every person entitled to any legacy or bequest, or to any share in distribution, was required, at the time of payment or delivery thereof, to give bonds in double the sum of such share or legacy, with two sufficient sureties, to the executors or administrators, conditioned to refund if debts should afterwards appear;<sup>(c)</sup> which was for the benefit of creditors as well as for the protection of the executor or administrator. But now, obviously, there is no necessity for such a bond, because the creditors have an ample remedy against the assets in the hands of the executor or administrator, under the provisions of the statutes relative to the publication of notice to exhibit claims; and by the same provisions, the executor or administrator is protected in pay-

<sup>(b)</sup> See 2 R. S. 61-2; 3 R. S. (5th ed.) 142; *Post*, ch. 14.

<sup>(c)</sup> See 1 R. L. 1813, 314, sec. 18.

ments of legacies, or in distribution duly made after such publication. And the creditor who has not presented his claim under the notice, may still resort to the executor or administrator to the extent of the assets remaining in his hands undistributed at the time of the commencement of a suit for the claim ;(d) and he may follow the assets in the hands of legatees or next of kin, to whom they have been delivered, paid, or distributed.(e)

Legacies are, however, sometimes directed by the will to be paid before the year has elapsed, and therefore before the expiration of the time within which proceedings for the revocation of the probate are allowed to be taken, and before the executor can have duly completed the publication of the notice for the exhibition of claims. The above section, numbered 44, (2 R. S. 90,) provides for such a case, and requires the legatee to give a bond conditioned to refund if there should eventually prove a deficiency, or the probate should be revoked, or the will declared void. [For form of the bond, see Appendix, No. 60.]

It will be observed that the section leaves the executor or administrator the sole judge of the sufficiency of the sureties. He probably takes the bond at his peril in this respect. Whether the mere taking of the bond will protect the executor or administrator in the payment, if there should be a deficiency, or the probate should be revoked, or the will should be declared void, and the sureties in the bond should prove inadequate, is perhaps questionable. It may also be a question how far the legatee and his sureties will be liable to refund, in the case of a deficiency caused by the misconduct of an executor or administrator. Their liability, it is safe to believe, will depend, to a certain extent, upon the fidelity of the executor or administrator in managing the estate and conducting the administration.

The statute also considers that there may be a hardship in compelling indigent legatees, in all cases, to await the expiration of the year, before receiving any benefit from the testator's bounty. The above sections, numbered 82 and 83, (2 R. S. 98,) accordingly provide for the payment, upon giving satisfactory bonds, and under the directions of the surrogate, of portions of legacies, before the expiration of the year, to legatees who may need the same for their support.

The provisions of those sections, it will be observed, extend and apply to distributive shares, in cases of intestacy, as well as to legacies; the reason for the payment being the same, and the payment being equally practicable in both instances.

The application of the legatee, widow, or next of kin, under these sections, should set forth distinctly his or her claim against the executor or administrator, and such facts respecting the situation of the estate, within the knowledge of the applicant, as will show that there is at least one-third more of assets in the hands of the executor or administrator than will be sufficient to pay or discharge all debts, legacies and claims against the estate then known. It should state that a portion of the legacy or distributive share is necessary for the support of the party, specifying the sum required, and should mention the names and resi-

(d) See *ante*, pp. 354-5.

(e) See 2 R. S. 90, sec. 42; 3 R. S. (5th ed.) 177; 2 R. S. 451; 3 R. S. (5th ed.) 748.

dences of the sureties to be joined in the bond. The prayer should be, that, on giving the bond, the surrogate allow the sum required to be advanced by the executor or administrator. The application should be under oath. A copy should be served on the executor or administrator, with a notice that, on a certain day, which should be not less than four days after the service, the application will be presented to the surrogate, and that he will then be moved to grant the prayer thereof. On the appointed day, if the executor or administrator fail to appear, the applicant, on filing an affidavit of the service of the copy of the application and of the notice, will be heard *ex parte*; and if the application has been sworn to, and show the party entitled to an advance, and the proposed sureties be satisfactory, the surrogate will probably, without further proof, order the advance to be made. The executor or administrator may appear and contest the truth of the statements in the application, or the sufficiency of the sureties. Witnesses may be examined as in other cases, and the surrogate will thereupon determine whether, or upon what terms, the application shall be granted. An order should be made and entered in the surrogate's minutes, in conformity to his decision, allowing the advance, which should recite a summary of the proceedings, and state the sum allowed to be advanced in dollars and cents. The costs and expenses will, in most instances, have to come out of the legacy, as neither the estate nor the executor or administrator can properly be held chargeable for them on such a proceeding: the payment of the same should be provided for by the order.<sup>(f)</sup> [For forms of the application, notice, bond and order, see Appendix, No. 61.]

An order for an advance thus made by the surrogate will doubtless protect the executor or administrator, if afterwards there should prove a deficiency, or the probate should be revoked, or the will declared void; and no satisfactory remedy could be had on the bond provided, perhaps, that the executor or administrator has faithfully and honestly conducted the administration.

#### OF THE ABATEMENT OF LEGACIES.

The above section, numbered 45, (2 R. S. 90,) provides, that after the expiration of the year, the executor shall discharge the specific legacies bequeathed by the will, and pay the general legacies, if there be assets; and if there be not sufficient assets, then that an abatement of the general legacies shall be made in equal proportions.

"As to the abatement of general legacies. In case the assets be sufficient to answer the debts and specific legacies, but not the general legacies, the latter are subject to abatement. This abatement must take place among all the general legatees, in equal proportions.<sup>(g)</sup>

"Generally speaking, nothing shall, in such cases, be abated from the specific legacies. But if the testator bequeaths specific legacies, and also general pecuniary legacies, and directs by his will that such pecu-

<sup>(f)</sup> See, with respect to an advance under this statute, *Seymour v. Butler*, 3 Bradford, 193.

<sup>(g)</sup> Treat. Eq., book. 4, part. 1, ch. 2, sec. 5. With regard to general legacies of stock, the abatement will be regulated by the value of stock at the end of one year next after the testator's death. *Blackshaw v. Rogers*, cited *per curiam*, in *Simmons v. Wallace*, 4 Bro. C. C. 349; *Antler v. Antler*, 13 Sim. 440, *per Shadwell*, V. C.

niary legacies shall come out of all his personal estate, or words tantamount, then, if there be no other personal estate than the specific legacies, they must be intended to be subject to those which are pecuniary; otherwise the words of the bequest to the pecuniary legatees would be nugatory.<sup>(h)</sup>

"It must be here observed, that a *residuary* legatee has no right to call upon particular general legatees to abate. The whole personal estate, not specifically bequeathed, must be exhausted before those legatees can be obliged to contribute anything out of their bequest.

"The general rule is, that among legacies, in their nature general, there is no preference of payment; they shall all abate together, and proportionally; in case of a deficiency of assets to satisfy them all. But this must be understood only as among legatees, who are all volunteers; for if there be any valuable consideration for the testamentary gift, as where a general legacy is given in consideration of a debt owing to the legatee,<sup>(i)</sup> or of the relinquishment of any right or interest, as of her dower by a widow,<sup>(j)</sup> such legacy will be entitled to a preference of payment over the other general legacies, which are mere bounties; and it should seem that the preference will be allowed, though the bequest should exceed the value of the right or interest relinquished by the legatee. But it is requisite that the right or interest should be subsisting at the testator's death.

Under the provisions of the Revised Statutes,<sup>(k)</sup> giving to a post testamentary child the same portion of the real and personal estate of the father as would have descended or have been distributed to such child if the father had died intestate, all the devisees and legatees must contribute ratably, in proportion to the value of the real or personal estate devised or bequeathed to them respectively, to make up the distributive share of such post testamentary child. And in making such contribution, no distinction is to be made between specific, general and residuary legatees; but each legacy is to abate ratably, in proportion to its amount or value. Even a legacy given to the widow of the testator, in lieu of dower, must be taken into account in estimating the amount which the other legatees are bound to contribute, to make up the share of a post testamentary child in the estate of the father. But, as between the widow and such child, the latter cannot take a child's portion of the real estate discharged of the widow's right of dower, and also a ratable proportion of a legacy given by the testator to the widow in lieu of such dower.<sup>(l)</sup>

"It must here be observed that a legacy, which is in its nature general, and given to a volunteer, will not be entitled to any exemption from abatement on the ground of its being applied to any particular object or purpose. Thus, legacies of a certain sum each to executors for their care and trouble, or of sums of money for mourning rings, or to servants, or to charities, are not to be preferred to other general legacies." <sup>(m)</sup>

(h) Wms. on Exrs. 1224, and cases cited.

(i) See *Wood v. Vandenburg*, 6 Paige, 277.

(j) *Isenhardt v. Brown*, 1 Edw. Ch. Rep. 411; *Williamson v. Williamson*, 6 Paige, 298.

(k) 2 R. S. 65; 3 R. S. (5th ed.) 145.

(l) *Mitchell v. Blain*, 5 Paige, 588.

(m) Wms. on Exrs. 1224, 1226, and cases cited.



Again, general legacies, although given for specific purposes, as for education or maintenance, must, as between themselves, all abate ratably in case of deficiency, unless there is something in the will of the testator indicating his intention that one should be paid in preference to another. But a legacy of piety, for the erection of head-stones at the graves of the testator's parents, or other near relatives, does not abate ratably, and should be paid in full.(n)

A direction to the executors to erect a monument at the testator's own grave, is not a legacy; but, as was stated in a previous chapter of this work, is to be considered as a part of the defendant's funeral expenses, where the rights of creditors are not affected.(o)

It is necessary here to refer to the class of legacies alluded to at a previous page,(p) as being in the nature of specific legacies, and sometimes called demonstrative legacies, viz., bequests of money, with reference to a particular fund for their payment, and not simply a gift of the specific fund itself. Those legatees have such a lien upon the specific fund referred to, that they will not be obliged to abate with general legatees. And in this, as in the preceding cases, the testator's intention is the principle; for it is inferred that he, in referring to specific parts of his estate for payment of particular legacies, intended those legacies a preference to others which he had not so secured.(q)

It has appeared that as long as any of the assets, not specifically bequeathed, remain, such as are specifically bequeathed are not to be applied in payment of debts, although to the complete disappointment of the general legacies. But when the assets, not specifically bequeathed, are insufficient to pay all the debts, then the specific legatees must abate in proportion to the value of their individual legacies. So, a legatee entitled to a legacy of the sort just mentioned, in the nature of a specific legacy, must abate with the specific legatees.(r)

#### OF THE EXECUTOR'S ASSENT TO A LEGACY.

If an executor pays one legatee, and there is afterwards a deficiency of assets to pay the others, the legatee so paid must refund a proportionable part. But, if the deficiency of assets has been occasioned by the *waste* of the executor, the legatee who has been paid may retain the advantage he has gained by his legal diligence, as against his co-legatees, but not against a creditor.(s)

The assent of the executor to the legacy, it is said, is necessary to the due vesting of the same in the legatee. The whole personal property of the testator, as it has appeared in a former part of this work, devolves upon his executor.(t) It is his duty to apply it, in the first place, to the payment of the debts of the deceased; and he is responsible to the creditors in the manner prescribed by the statutes, and before treated of,(u) for the satisfaction of their demands to the extent of the whole estate, without regard to the testator's having, by the will, directed that a portion of it shall be applied to other purposes. Hence, as a protec-

(n) *Wood v. Vandenburg*, 6 Paige, 278.

(p) *Ante*, p. 419.

(r) *Wms. on Exrs.* 1234-5.

(t) *Ante*, p. 260.

(o) *Id.*

(q) *Wms. on Exrs.* 1043, 1234.

(s) *Lupton v. Lupton*, 2 Johns. Rep. 614.

(u) *Ante*, p. 312 *et seq.*

tion to the executor, the law imposes the necessity that every legatee, whether general or specific, and whether of chattels real or personal, must obtain the executor's assent to the legacy before his title as legatee can be complete and perfect.

Hence, also, the legatee has no authority to take possession of his legacy without such assent, although the testator, by his will, expressly direct that he shall do so; for if this were permitted, a testator might appoint all his effects to be thus taken, in fraud of his creditors. Before such assent, however, the legatee has an inchoate right to the legacy, such as is transmissible to his own personal representatives, in case of his death before it be paid or delivered.

If the testator, by his will, forgive a debt due him, the debt is not discharged without the executor's assent, because such debt is a part of the assets, and it may become necessary to collect the same in order to pay the debts of the estate. As soon as the executor assents, and not before, the debt shall be effectually discharged.(v)

It follows, from the rule respecting the necessity of the executor's assent, that if, without it, the legatee takes possession of the thing bequeathed, the executor may maintain an action of trespass or trover against him; so, although a chattel, real or personal, specifically bequeathed, be in the custody or possession of the legatee, and the assets be fully adequate to the payment of the debts, he has no right to retain it in opposition to the executor, by whom, in such case, an action will lie to recover it.

"If an executor refuse his assent without cause, he may be compelled to give it by a court of equity. His assent, where no debts remain unpaid, is a matter not of choice, but of positive obligation.(w) With respect to what shall constitute such assent on the part of the executor, the law has for this purpose prescribed no specific form, and it may be either express or implied. The executor may not only in direct terms authorize the legatee to take possession of his legacy, but his concurrence may be inferred either from indirect expressions or particular acts; and such constructive permission shall be equally available. Thus, for instance, if a horse is bequeathed, and the executor requests the legatee to dispose of it, or if a third person proposes to purchase the horse of the executor, and he directs him to buy it of the legatee, or if the executor himself purchases the horse of the legatee, or merely offers him money for it, this amounts to an assent by implication to the legacy.

"Again, when the executor informs a legatee that he intends him to have the legacy according to the devise, or that the legacy is ready for him whenever he will call for it, such declarations clearly amount to a good assent to the bequest."(x)

In a case(y) where the executor had assented to the widow's retaining possession of certain household furniture bequeathed to her by the will, and afterwards brought an action of trover against her to recover the property, and was non-suited, the court refused to allow him his

(v) Wms. on Exrs. 1236.

(w) *Sere agst. Coit*, 5 Abbott Prac. Rep. 481.

(x) Wms. on Exrs. 1239, and cases cited.

(y) *Isenhart v. Brown*, 2 Edw. 341.

costs in the suit on a settlement of his accounts, and the vice-chancellor said, that "although, in strictness of law, the executor had a right to the possession of all the personal property left by the testator, and the widow could not take what was specifically bequeathed to her without his assent, inasmuch as it might be necessary for the payment of debts, yet, if he were satisfied that no such necessity existed, it was competent for him to assent to her retaining the possession; and, having once assented, he should not afterwards have brought the action against her. The defence which she had set up was, that one or both of the executors had assented; and after some proof was gone into on her part upon the trial, in order to make out the facts, the executors submitted to a non-suit. I think it is apparent that there was no necessity for requiring her to give up the articles of personal property which had been left to her by the will. The attempt can hardly be justified. It was certainly not for her benefit or the advantage of the legatees generally, and he must bear the expense himself."

"On the other hand, since the assent to a legacy by an executor may, in its consequences, be of great prejudice to him, it is but reasonable that the act or expressions deemed sufficient to impart that assent should be unambiguous.

"In certain cases the assent of the executor may be presumed, upon the principle that, in the absence of evidence, the executors shall be taken to have acted in conformity with their duty—as when executors die after the debts are paid, but before the legacies are satisfied. So, as it should seem, the assent of an executor may be concluded from the legatee's possessing himself of the subject bequeathed, and retaining it for some considerable time without complaint by the executor.

"The assent of the executor may also be upon a condition precedent, as if he should tell the legatee that he will pay the legacy, provided the assets are sufficient to answer all demands.

"The assent of an executor shall have relation to the time of the testator's death. Hence, in the case of a devise of a term of years in a house or land, if, after the testator's death and before the executor's assent, rent from the under-tenant becomes payable, the assent by relation shall perfect the legatee's title to this interest. So, such assent shall, by relation, confirm an intermediate grant by the legatee of his legacy."<sup>(z)</sup>

The rules thus laid down, with respect to the assent of the executor to a legacy, had their origin and full effect under the system of administration which existed previous to the Revised Statutes. It may be doubted whether the doctrine has strictly any application under the present system. The phrase, "executor's assent to the legacy," however, continues to be used in the cases, and it has been deemed proper to state briefly the general principles of the law upon the subject.

#### AT WHAT TIME LEGACIES ARE TO BE PAID; AND HEREWITH OF BEQUESTS FOR LIFE, WITH REMAINDER OVER.

Within a year from the time of his appointment, the executor will have a sufficient reason for refusing his assent to a legacy, that his pro-

(z) Wms. on Exrs. 1238, 1243, and cases cited.

bate is liable to be revoked, and that he has not been able fully to inform himself of the state of the property, and to ascertain the debts against the testator. After that period has expired, as has been seen, he may be called upon to assent to and pay the legacies.

The allowance of the year, however, is merely for convenience, and so that the executor may not be disturbed in discharging the legacies by attacks on his probate or by the claims of creditors. Therefore, if he entertain no apprehensions as to the validity of the probate, and the state of the testator's circumstances be such as to enable him to discharge legacies at an earlier period, he has authority to do so. The statutory provisions for the payment of legacies before the expiration of the year have been already considered. It is proper to remark that the executor can seldom feel himself called upon to discharge a legacy before that period has elapsed, except in accordance with the requirements of one or the other of those provisions.

If an annuity be given by will, it shall commence immediately from the testator's death, and consequently the first payment shall be made at the expiration of a year next after that event.<sup>(a)</sup> Where an annuity is expressly directed to commence within the year, as at the first quarter day after the testator's death, or where an annuity is given with a direction that it shall be paid monthly, the money will be due at the first quarter day in the former case, and at the end of the first month after the testator's death in the latter, although not payable by the executor till the end of the year.<sup>(b)</sup>

In a case where a testator directed his executors and trustees to permit his wife to take the interest or dividends on three thousand pounds sterling British government three per cent. stock during her natural life, the question being from what time the widow was entitled to the dividends on the stock, the vice-chancellor said, "I infer, from the manner in which this is mentioned in the will, that the testator possessed the particular stock at the time of his death. He does not direct the trustees to make an investment for that purpose, as in other instances where he is desirous of creating an income for annuities; but the bequest is specifically of the interest or dividends upon three thousand pounds sterling British government three per cent. stock, which the trustees are to permit the widow to take during her natural life. I am of opinion, as the will takes effect from the death of the testator, the widow is from that time entitled to the dividends, that is to say, the dividends which may accrue or be declared, or become payable at any time after the death of the testator."<sup>(c)</sup>

But, in the same case, where the testator directed the executors and trustees of his will to invest in stock of the United States, or of the state of New York, or such other bank stock as his wife should approve of, a sum of money as should produce the annual income, dividends or interest of one thousand dollars, lawful money of the United States of America; and from time to time, as the same should become payable, to permit his said wife to take such interest moneys, in the

(a) See *Booth v. Ammerman*, 4 Bradf. Surr. Rep. 129; *Ex parte McComb*, Id. 151.

(b) *Wms. on Exrs.* 1253, and cases cited.

(c) *Cogswell v. Cogswell*, 2 Edw. Ch. Rep. 236.

whole amounting to the said annual interest of one thousand dollars as aforesaid: it was held, inasmuch as the annuity was to arise, not from an investment already made, but one to be made in stock of the United States, or of this state, or of some bank; and as the testator had not appointed the time within which the investment should be made; that the executors might take one year for the purpose, in analogy to the time allowed by law for paying legacies.<sup>(d)</sup> The gross sum to be set apart to produce the yearly income of one thousand dollars, was considered in the light of a legacy payable by law at the end of the year;<sup>(e)</sup> and consequently it was determined that the widow could only demand the income to accrue from it as commencing at that time, and that she would be entitled to receive such interest or income quarterly, half yearly or annually thereafter, as dividends were declared.<sup>(f)</sup>

There is a distinction between income, and an annuity. The former embraces only the net profits, after deducting all necessary expenses and charges—the latter is a fixed amount, directed to be paid absolutely and without contingency.<sup>(g)</sup>

A further distinction has been taken between an annuity and a legacy for life. "If an annuity is given, the first payment is paid at the end of the year from the death; but if a legacy is given for life, with remainder over," it is laid down, "no interest is due till the end of two years. It is only interest of the legacy; and till the legacy is payable there is no fund to produce interest."<sup>(h)</sup>

However, a different doctrine prevails with respect to a bequest of the *residue* of personal estate for life, with remainder over. For it seems now to be established, that the person taking the residue for life is entitled to the proceeds from the death of the testator.

Thus, in *Williamson v. Williamson*,<sup>(i)</sup> the testator bequeathed to his wife the use of the residue and remainder of his personal estate, amounting to something more than \$30,000, during her life or widowhood, with remainder to his three sons, &c., and a question was, whether she was entitled to the interest upon the fund during the first year after the testator's death? Chancellor Walworth, after reviewing the English decisions on the point, says:

"The result of the English cases appears to be, and I have not been able to find any in this country establishing a different principle, that in the bequest of a life estate in a residuary fund, and where no time is prescribed in the will for the commencement of the interest or the enjoyment of the use or income of such residue, the legatee for life is entitled to the interest or income of the clear residue, as afterwards ascertained, to be computed from the time of the death of the testator. All the cases which appear to conflict with this rule, except the two decided by Sir John Leach,<sup>(j)</sup> which are no longer to be considered as

(d) 2 R. S. 90, sec. 43; 3 R. S. (5th ed.) 177; *Ante*, p. 438.

(e) 1 Rep. on Leg. 583.

(f) 2 Edw. Ch. Rep. 237.

(g) *Ex parte McComb*, 4 Bradf. Surr. Rep. 151. As to payment of an annuity, see *Griswold v. Griswold*, Id. 216.

(h) *Gibson v. Bott*, 7 Ves. 96; *Wms. on Exrs.* 1254; *Lawrence v. Embree*, 3 Bradf. Surr. Rep. 364.

(i) 6 Paige, 298.

(j) *Stott v. Hollingworth* (3 Mad. Rep. 161), *Amphlett v. Parke* (1 Sim. Rep. 280), in which



authority, will be found to be cases in which the testator had directed one species of property to be converted into another, or the residuary fund to be invested in a particular manner, and had then given a life estate in the fund as thus converted or invested. In such case it appears to be consistent with the will of the testator to consider the life interest as commencing when the conversion takes place, or the investment is made, either within the year or at the expiration of that time. But, as a year is considered a reasonable time for the executor to comply with the testator's directions as to the conversion or investment, the legatee for life cannot be kept out of the interest or income beyond that period. In the case under consideration, there is no direction for a conversion of the fund, or for the investment thereof in any particular manner, before the right of the widow to the use thereof for life was to commence. And as it appears that a great portion of the personal estate was in bonds and mortgages, and other securities which were drawing interest at the death of the testator, there is no good reason for depriving her of the use of the residuary estate for an entire year."<sup>(k)</sup>

At common law, if a man had granted by deed a term of years to A. for life, remainder over to B., A. had the whole term in him; and therefore no remainder could be limited after it. But, when long and beneficial terms came in use, the convenience of families required that they might be settled upon a child after the death of the parent. Such limitations were soon allowed to be created *by will*; and the old objections were removed, by changing the name from *remainders* to *executory bequests*: and it makes no difference whether the *term*, or the *lease*, or the *farm*, or the *use and occupation*, or the *profits* of the lands, or the *lands* themselves are bequeathed. The same reason required that such limitations might be created by deed—as, for instance, marriage settlements, to answer the agreement of the parties, and the exigencies of families. So, a bequest of household goods, after a prior gift for life, and without limiting the *use* merely to the first legatee, is valid.

It may here be observed that a gift for life of things, *quæ ipso usu consumuntur*, as corn or wine, *if specific*, is a gift of the property, and the old rule will prevail; but *if residuary*, the things must be sold, and the interest of the produce paid to the legatee for life.

With respect to cases where the testator simply bequeaths all the residue of his personal estate for life with remainder over, without any direction to invest it in any particular manner, it must be observed that, as between the tenant for life and the remainder-man, where the residue consists in part or wholly of property in its nature perishable, and daily wearing out, such as leaseholds (not specifically given), the tenant for life will not be entitled to the annual produce which the property so wearing out is actually making, but to interest on the estimated value from the death.<sup>(l)</sup>

Sir John Leach seems to have held, that the residue was composed of the capital and of the interest during the first year from the death of the testator—which capital and interest constituted a fund upon which the legatee for life was to have the interest from the end of the year.

<sup>(k)</sup> See, also, *Lawrence v. Embree*, 3 Bradf. Surr. Rep. 364.

<sup>(l)</sup> Wms. on Exrs. 1256-7, and cases cited.

In *Covenhoven v. Shuler*,<sup>(m)</sup> it is laid down, that where there is a general bequest of a residue for life with a remainder over, although it includes articles of both descriptions, perishable and not perishable, as well as other property, the whole must be sold and converted into money by the executor, and the proceeds must be invested in permanent securities, and the interest or income only is to be paid to the legatee for life. That case, and the authorities there referred to, settles the principle that where there is a general bequest of a residue of the testator's personal estate for life, with a remainder over after the death of the first taker, the whole residuary fund is to be invested for the benefit of the remainder-man; and the tenant for life is only entitled to the interest or income of that fund. And to ascertain the amount of such residuary fund, so as to apportion the capital and the income properly between the remainder-man and the tenant for life, the executor, upon settling the estate at the end of the year, must estimate the whole estate at what is then ascertained to have been its cash value at the testator's death, after paying all debts, legacies and expenses of administration, and other proper charges and commissions. But, in making such deductions for legacies payable at a future day, and which do not draw interest, the whole amount of the legacies is not to be deducted, but only such a sum as, if properly invested, would, at the time when the legacies become payable, have produced the requisite sum, exclusive of all expenses and risk of loss. The rule in England, as between the legatee for life and the remainder-man, is to invest, or consider the fund as invested, in the three per cents—being two per cent. less than the legal rate of interest in that country.<sup>(n)</sup> Upon the same principle, according to the legal rate of interest here, the income of five per cent. stock, which stocks can generally be purchased at about the par value, may be considered as a reasonable discount upon a legacy payable at the end of the year, for the purpose of ascertaining the value of the residuary estate at the death of the testator.<sup>(o)</sup>

Upon this principle it was stated in *Clark v. Clark*,<sup>(p)</sup> that the bequest of the use of a residue for life, or any shorter period, does not give the legatee the right to the possession of the fund in the meantime; and that the executor should either retain the fund and pay over the income thereof to the legatee as it accrues, or he should take ample security for the return of the principal at the termination of the particular estate therein, if he suffers it to go into the hands of the legatee to enable her to enjoy the use or income thereof.<sup>(q)</sup>

It may be stated as a general rule, that where there is a bequest of the whole of the testator's personal estate, or of the residue thereof after payment of debts and legacies, to one person for life, with a remainder over to others after the termination of such life estate therein, the whole must be converted into money, and invested in permanent securities by the executor, and the income paid over to the person entitled to the life estate.<sup>(r)</sup> The rule is the same where the residuary

<sup>(m)</sup> 2 Paige, 132.

<sup>(n)</sup> *Howe v. Earl of Dartmouth*, 7 Ves. 137.

<sup>(o)</sup> *Williamson v. Williamson*, 6 Paige, 306.

<sup>(p)</sup> 8 Paige, 160.

<sup>(q)</sup> See, also, *Cairns v. Chabert*, 9 Paige, 160.

<sup>(r)</sup> "*Howe v. Earl of Portsmouth*, 7 Ves. 137; *Fearn v. Young*, 9 id. 549."

bequest for life, or for a limited term, embraces articles not necessarily consumed in the using—such as furniture, books, plate, &c., as well as property which must be consumed; unless the will contains indication of an intention on the part of the testator that the legatee for life should enjoy the property, or some particular parts thereof, in its then state, as a specific bequest for life.(s)

Where, however, the bequest to the tenant for life is *specific*, the legatee in remainder is not entitled to have the property converted notwithstanding, by reason of its being a decreasing fund, the legacies over may altogether fail.

Where specific articles not necessarily consumed in using, are bequeathed to a legatee for life, with a limitation over and without any direction to the executor to hold them in trust for the remainder-man, the executor is authorized to deliver the same to the person entitled to a life estate therein; taking from such person an inventory and receipt, stating that such articles only belong to the first taker for life, and that afterwards they are to be delivered to the legatee who is entitled to them in remainder.(t)

The old practice of the Court of Chancery was to require the tenant for life to give security for the protection of the remainder-man; but such security is not now required, except a case of danger is shown.(u)

In *De Peyster v. Clendenning*,(v) the widow was entitled to the use of certain personal property appertaining to a farm, at the death of her husband, the testator, as a specific bequest to her for life, or so long as she should continue to reside there; and the chancellor said that “she must, in conformity to the practice on that subject, give to the administrator an inventory of the articles, specifying that they are in her custody as given to her while she resides on the farm only, and that when she dies or ceases to reside there, these articles, or those which may be substituted in the place of them in the ordinary use of the farm, are to be delivered to the administrator, or to the trustees who may be appointed to carry into effect the provisions of the will.”

If a legacy be given to A. to be paid at twenty-one, and the intermediate interest is not given, and A. dies before that period, his representative must wait for the money until A., if living, would have attained twenty-one.(w) But where interest is given during the minority, and the legatee dies under age, his executors or administrators will be entitled immediately on his death.(x)

Again, in case a legacy be left to A. at twenty-one, and if he die be-

(s) *Mills v. Mills*, 7 Sim. Rep. 501; *Randall v. Randall*, 3 Mer. Rep. 193; *Collins v. Collins*, 2 Myl. and Keen, 703; *Alcock v. Sloper*, Id. 699; *Bethune v. Kenedy*, 1 Myl. and Craig, 114; *Pickering v. Pickering*, 4 id. 289; *Spear v. Tinkham*, 3 Barb. Ch. Rep. 211.

(t) *Leake v. Bennet*, 1 West's Ch. Rep. 284; *Bell v. Kinaston*, 2 Atk. 82; *Foley v. Burnell*, 1 Bro. C. C. 279; *Spear v. Tinkham*, 2 Barb. Ch. Rep. 211.

(u) Wms. on Exrs. 1259, and cases cited; *Covenhoven v. Shuler*, 2 Paige, 123.

(v) 8 Paige, 295.

(w) *Anon.*, 2 Vern. 199; *Chester v. Painter*, 2 P. Wms. 336; *Roden v. Smith*, Amb. 588; *Crickett v. Dolby*, 3 Ves. 13.

(x) *Globerry v. Sampen*, 2 Freem. 25; *Crickett v. Dolby*, 3 Ves. 13. But if a legacy be payable out of land at a future day, although given with interest in the meantime, if the legatee die before the day of payment, the court will not direct the legacy to be raised until the time for payment arrives: *Gawler v. Standewick*, 2 Cox, 15.

fore that period, then to B., and A. dies before he attains his age, B. shall be entitled immediately—for he does not claim under A., but the devise is a distinct substantive bequest, to take effect on the contingency of A.'s dying during his minority.(y)

It should be remarked, that where a testator gives a legatee an absolute vested interest in a defined fund so that, according to the ordinary rule, he would be entitled to receive it on attaining twenty-one, but by the terms of the will payment is postponed to a subsequent period, *e. g.*, till the legatee attains the age of twenty-five, the court will nevertheless order payment on his attaining twenty-one; for at that age he has the power of charging, or selling or assigning it, and the court will not subject him to the disadvantage of raising money by these means, when the thing is absolutely his own.(z) So, notwithstanding a legacy is directed to accumulate for a certain period, *e. g.*, until the legatee attains the age of thirty, yet, if he has an absolute indefeasible interest in the legacy, he may require payment the moment he is competent, by reason of having attained twenty-one, to give a valid discharge.(a)

OF COMPELLING THE PAYMENT OF LEGACIES OR DISTRIBUTIVE SHARES BY PROCEEDINGS IN THE SURROGATE'S COURT.

The time for the discharge or payment of the specific and general legacies is fixed by the above section of the statute, numbered 45, (2 R. S. 90,) at one year after the granting of the letters testamentary or of administration; and such discharge or payment, it will be observed, is expressly made the duty of the executor or administrator after the expiration of that period.

The practice in the Surrogate's Court, on compelling payment of a legacy after the year has elapsed, may properly be considered in this place. The same 45th section, above referred to, further provides that payment of the legacy may be enforced by the surrogate, after the expiration of the year, in the same manner as the return of an inventory, and also by a suit on the bond of the executor or administrator, whenever directed by the surrogate.

The proceedings on compelling the return of an inventory were treated of at some length, in their proper order, in a previous portion of this work.(b) The practitioner is referred to those pages for whatever instructions may be required to enable him, if practicable at all, to compel the payment of a legacy under the present section. It is proper to mention that the provision in question has never been re-

(y) *Papworth v. Moore*, 2 Vern. 283; *Saundy v. Williams*, 2 P. Wms. 478. But where legacies were given to A., B. and C., the three co-heiresses of the testator, to be paid at their respective marriages, and if either of them should die, her legacy to go to the survivors, and one of them died unmarried, it was held that the survivors should not receive the legacy of the deceased before their respective marriages—for the condition, though not repeated, was annexed to the whole, whether it accrued by survivorship or by the original devise: *Moore v. Godfrey*, 2 Vern. 620. See, also, as to a legacy charged on land, *Feltham v. Feltham*, 2 P. Wms. 271.

(z) *Curtis v. Sukin*, 5 Beav. 147, 155-6; *Rocke v. Rocke*, 9 Beav. 66.

(a) *Josselyn v. Josselyn*, 9 Sim. 63; *Saunders v. Vautier*, 4 Beav. 115; 1 Cr. and Ph. 240; *Greet v. Greet*, 5 Beav. 123.

(b) See *ante*, ch. 7, p. 279, *et seq.*

sorted to by suitors, in the court of the surrogate of New-York, for compelling the payment of legacies.

This clause of the section also provides for enforcing the payment of the legacy by a suit on the bond of the executor or administrator whenever directed by the surrogate. The language here is not perfectly explicit, nor is the provision entirely free from difficulty. In what case or under what circumstances the directions of the surrogate are to be given, is not stated. The preceding portion of the sentence authorizes the enforcement of payment of the legacy, in the same manner as the return of an inventory; and an ultimate remedy on compelling the return of an inventory is, as has been seen,<sup>(c)</sup> a suit on the bond of the executor or administrator.

The provisions for the prosecution of the bonds of executors and administrators for neglect to return an inventory, were, at the time the present section was enacted, the only ones allowing or regulating such suits.

It is probable, therefore, that the provision in question was intended to authorize a suit on the bond of the executor or administrator, on his refusing payment of a legacy, in a similar case to that in which such suit was authorized for not returning an inventory. In this view the provision may appear superfluous, as the antecedent portion of the clause would seem, by a necessary construction, to furnish the same remedy; but it is hardly possible to give to the sentence any other interpretation. The practice on prosecuting the bond, after disobedience to the surrogate's summons, was heretofore considered when treating of the subject of the inventory,<sup>(d)</sup> and the directions there given will, it is believed, apply to the present case.

The 19th section, (2 R. S. 116, amended act of 1830, chap. 320, sec. 23), heretofore referred to in connection with the subject of the payment of the debts,<sup>(e)</sup> authorizes the surrogate to cause the bond of the executor or administrator to be prosecuted, in case of his refusal or omission to perform a decree for the payment of a legacy; but that provision, it is supposed, applies not to the present section, but to the 18th section, (2 R. S. 116,) also before considered when treating of the payment of the debts,<sup>(f)</sup> and presently again to be adverted to,<sup>(g)</sup> expressly empowering the surrogate to decree payment of a legacy, after the expiration of a year from the granting of the letters, and to the provisions for a decree for payment, on the final settlement of an executor or administrator's account. The 19th section thus referred to will be considered hereafter, as has already been intimated, under the head of the rendering and settling of the accounts of executors and administrators.<sup>(h)</sup> It is believed that its provisions cannot be made available in aid of the section now under consideration. Upon the whole, it must be concluded that any recourse to this last clause of the 45th section, in practice, will prove very unsatisfactory; and, as there are other sections affording every effective remedy which this clause can possibly furnish, that its provisions may be entirely disregarded.

The above section, numbered 18, (2 R. S. 116,) provides that the sur-

(c) *Ante*, pp. 280, 286.

(f) *Ante*, pp. 356, 373.

(d) *Ante*, p. 286.

(g) *Infra*, pp. 454-5.

(e) *Ante*, p. 378.

(h) *See post*, ch. 12.



rogate shall have power to decree the payment of a legacy or distributive share, upon the application of a legatee or relative entitled to share in the distribution, at any time after one year shall have elapsed from the granting of the letters testamentary or of administration. This section, it will be perceived, extends to administrators in cases of intestacy, as well as to executors and administrators with the will annexed. There is the same reason in both cases. The debts having been paid or ascertained as the expiration of the year, as the executor is thereby enabled to proceed to the discharge or payment of the specific and general legacies, so it is competent for the administrator, where there is no will, at the same period to make the proper apportionment of the surplus remaining, after the payment of the debts, among the persons entitled in distribution.

This section has been treated of in some detail at a previous page of this work, in connection with the subject of the payment of the debts.<sup>(i)</sup> The observations there made with respect to its provisions and the practice under it, on obtaining a decree for the payment of a debt there prescribed, apply in every particular to the proceedings now to be discussed. The reader is therefore referred to the remarks there presented, for the requisite directions for procuring a decree in the case under consideration.

The general form of the creditor's application for a decree under the section, is to be followed in the application of a legatee or relative entitled in distribution.<sup>(j)</sup> The petition of a legatee should set forth the will of the deceased, or such clause or clauses thereof as contain the bequests or provisions under which he claims. It should aver that he has requested payment of the executor, and that the executor has refused the same. It should also allege, if the fact be within the knowledge or information of the petitioner, that assets sufficient for the payment of all the debts against the testator, and for the discharge of the legacies, have come into the hands of the executor; and should require that he render an account of his proceedings in the performance of the duties of his trust, or that he admit a sufficiency of assets; and such special circumstances within the petitioner's knowledge or information, relative to the situation of the estate or the conduct of the executor in the administration, may be included and charged in the petition as the petitioner may deem calculated to sustain or promote his claim.

The petition of a person entitled in distribution will, of course, declare the character in which his or her claim is made; and, if as next of kin, the degree of relationship which existed between the petitioner and the intestate. And similar averments and charges to those above prescribed for the petition of a legatee, should also be included. In other respects, as well as in some of those here spoken of, the contents of the petition of a legatee or distributee, for a decree for payment under the section in question, will be the same as those of a creditor in the case before treated of.

(i) *Ante*, pp. 356, 373, *et seq.*

(j) Where a legacy is given to a *feme covert* for her separate use, it was said in *Guild v. Peck*, (11 Paige, 475,) the proceedings before the surrogate against the executor, to compel the payment of the legacy, should be instituted, in the name of the *feme covert* only, by her next friend.

There will be the same necessity that the executor or administrator bring in an account on the return of the citation, to show cause or apply for time to do so, as was shown in the former proceedings for obtaining a decree for the payment of a debt. In a case where the legatee filed a bill in chancery for enforcing the payment of a legacy, and called upon the executors to render an account of the property left by the testatrix, or to admit sufficient assets for the payment of the legacy, and the executors neither rendered an account nor stated in their answer that there was any deficiency of assets, the court presumed that there was sufficient assets for the purposes of the suit, and affirmed a decree for the payment of the legacy, considering that it would be a useless expense to direct an account to be taken of the estate of the testatrix which had come into the hands of the executors.<sup>(k)</sup>

Witnesses may be examined on either side, touching any question arising between the parties, the same as in the case of a creditor's application for a decree for payment. The proceedings on contesting the account, if one be rendered, will be governed by the rules before indicated with reference to an account rendered on the return of a citation to show cause, issued at the instance of a creditor; and the decree will follow the same general form, and costs will be adjudged as in that case.

The mode of enforcing the decree will, of course, be the same as that before pointed out for enforcing a decree for the payment of a debt.<sup>(l)</sup> Mr. Kirtland, in his treatise on the practice in Surrogates' Courts,<sup>(m)</sup> says the decree for the payment of a legacy may be enforced by attachment and imprisonment of the executor.<sup>(n)</sup>

It is proper in this place to state, that although the section in question, in terms, provides a very summary and effective means for fixing and declaring the liability of the executor or administrator for a debt, legacy or distributive share, claimants seldom avail themselves of the remedy which it appears to furnish. One reason for this is, that the statute does not specifically designate the practice to be pursued on obtaining the decree. The claimant is deterred from prosecuting an executor or administrator under the section, by uncertainty as to the regularity of his proceedings. The practice described in the former portion of this work, on obtaining a decree under the section for the payment of a debt, and above referred to as applicable to the enforcement of the claims of legatees and distributees, is suggested as effectual for the proposed purposes, and is in all respects unobjectionable. It is the same as has been approved in the cases which have been brought before the surrogate of the county of New York under the section.

Another reason why recourse is seldom had to the remedy intended to be afforded by this section, is, that an account is not, by its provisions, expressly required to be produced, and the rendering of an account has been deemed essential to the due adjudication of the rights of the respective parties. The account, however, as it has been at-

<sup>(k)</sup> *Smith v. Smith*, 4 Paige, 271.

<sup>(l)</sup> *Ante*, p. 378; *Post*, ch. 12.

<sup>(m)</sup> Kirt. Surr. (ed. 1835) 141.

<sup>(n)</sup> See the subject of the jurisdiction of the surrogate to enforce his decrees by attachment considered, *ante*, pp. 28, 37-8.

tempted to show, is properly a part of the defence to the petitioner's allegations. If the executor or administrator, by his silence or by his neglect to furnish an account, permit a decree to go against him for the full amount of a claim, when there is a deficiency to pay other claims equally entitled in full, he will render himself personally liable for the loss. The decree of the surrogate for payment of the claim will not protect the executor or administrator in such payment, in case there should be a deficiency. Other claimants will be entitled to their *pro rata* shares, the same as though the one who had obtained the decree had been paid only in an equal proportion with themselves, and the executor or administrator must personally bear the loss of the excess paid to that one. It is not for the court to assume that there will be a deficiency; on the contrary, unless the executor or administrator prove the existence of other claims, and a want of assets to satisfy the whole, the court may conclude that there is a sufficiency for the purposes of the present proceedings, and at once *decree* payment of the claim. The petitioner cannot be obliged to establish the adequacy of the assets absolutely, because that is a matter of which the executor or administrator alone can have positive knowledge. It will usually be found impracticable for the executor or administrator to show a deficiency, without bringing in an account. A mere averment of a deficiency could not be held a compliance with the statute, or with the citation to show cause. If, therefore, the section means anything, it is submitted that it may be construed to authorize the surrogate to require of the executor or administrator such a statement of the condition of the assets, and of his proceedings in the performance of the duties of his trust, as will enable him to determine upon the claim of the petitioner; or, upon his neglect to render such statement, to hold him liable for the whole amount of the claim. It would not seem, then, that the omission by the statute expressly to require an account should cause any real difficulty in the case.

But the greatest obstacle which is met with in proceedings under this section, generally arises from the omission of the executor or administrator to publish the notice for the exhibition of claims provided for by the statute. The plea is almost always put in by the executor or administrator that the sufficiency of the assets for the required payment cannot be declared, because he has not advertised for and ascertained the claims against the deceased in the mode provided by law.

It will be remembered that the publication of a notice for the exhibition of claims is not distinctly imposed upon the executor or administrator as a duty—the terms of the statute are simply permissive; the executor or administrator *may* insert a notice in a newspaper, &c.(o) In considering this provision of the statute in connection with the subject of the payment of the debts, it was urged that this phraseology was not to be construed to the delay or injury of any person interested in the estate, and that the neglect of the executor or administrator to publish the notice, should not be allowed to protect him against a just demand when the proper time for payment had arrived.

(o) 2 R. S. 38, sec. 34; 3 R. S. (5th ed.) 175; *Ante*, pp. 354, 379, *et seq.*

But in the court of the surrogate of the county of New York, a different rule formerly prevailed, and the mere suggestion of the omission to publish the notice was held a sufficient reason for the adjournment of the proceedings against the executor or administrator, whatever they may have been, whether under the present section or under any other provisions of the statutes, for the requisite time to enable him to make or complete the publication. The ground on which this rule was adopted, was, that it is impossible to say whether there are assets for the payment of the petitioner's claim until the amount of the debts has been definitely ascertained, which can be done only by the advertisement. This would be conclusive as to the necessity and propriety of the delay, if the circumstance of the publication or non-publication of the notice were, by the terms of the statute, within the cognizance of the surrogate, and he could oblige the executor or administrator to inform himself, by advertising pursuant to the statute or otherwise, as to the amount of the debts and the sufficiency of the assets. But the advertising for claims is entirely optional with the executor or administrator, and the surrogate has no control over him in respect to it. If the executor or administrator obtained an adjournment of the proceedings against him for the purpose of publishing the notice, and then refused to cause the publication when the day arrived to which the matter had been adjourned, there was the same reason for a further postponement which there was originally, that the debts have not been duly advertised for and ascertained. From all that appears in the statutes, if the executor or administrator is entitled to one adjournment of a prosecution against him to enable him to advertise for claims, the same principle applies to entitle him to a second and a third, and indeed to any number, until he sees fit to insert the notice in the newspaper. The necessity for advertising is apparent to the executor or administrator from the outset, and remains the same, and there will be the same difficulty to the last, in positively declaring the sufficiency of the assets, unless he choose to advertise, and thus a decree for payment may be indefinitely delayed.

The true rule probably is, and such is the rule, it is understood, now prevailing in the court of the surrogate of the county of New York, that, at the end of the year, the executor or administrator is to be held to have received sufficient assets for the payment or discharge of the claim brought against him, unless he shows distinctly the existence of debts and demands for which the assets which have come into his hands are liable, and which such assets are insufficient to discharge. Some of the remarks above made with respect to the furnishing of an account on the return of the order to show cause, apply here. It is for the executor or administrator to show a deficiency. The court may assume that sufficient assets for the payment of the claim have come into his hands, unless he prove the contrary.

If, by his neglect to publish the notice for the exhibition of claims, the executor or administrator has omitted to put himself in a condition fully to establish the defence of insufficiency, as the fault lies with him, he alone should suffer the consequences. In case debts subsequently appear against the deceased, and there be not sufficient assets to pay

the same in full, or in the same proportion as that for which a decree has been obtained; or if it prove afterwards, upon advertising, that the claims, whether of creditors, legatees or persons entitled in distribution already discharged have been overpaid; the executor or administrator must bear the loss himself, and make up the deficiency out of his own property. This course, it is submitted, is in accordance with the intentions of the statutes, and is calculated to promote the ends of justice, and to insure fidelity and promptness in the administration.

By adopting the views here presented with respect to this section, and the practice under the same, it becomes a very important and effectual provision for fixing and declaring the rights of persons having claims against the assets in the hands of an executor or administrator. It may be observed, however, that care should be taken, in decreeing payments against an executor or administrator, that the whole assets in his hands be not exhausted thereby. He should be permitted to retain a sufficient portion to meet contingencies in the future progress of the administration, and to await a final settlement of his accounts and distribution thereupon. To what amount, or in what proportion relatively to each claim, he should be so permitted to retain, will depend upon the situation of the affairs of the estate as duly represented and proved by him to the surrogate.

It may here be stated that the decision of a surrogate, on a trial before him as to the validity of a will, that a legatee named in the will, who had signed his name as a subscribing witness thereto, was not a subscribing witness within the meaning of the law, will not conclude other legatees, in a controversy between them and the executors.(p)

The enforcing the payment of legacies by proceedings to compel the executor or administrator to account before the surrogate, will be treated of in a future portion of this work, when the provisions of the statutes expressly regulating the rendering and settling of the accounts of executors and administrators come to be considered.

The above sections, numbered 9, 10, 11, 12, 13, (2 R. S. 114, 115,) 19, 20, 21, 22, (2 R. S. 450, 451,) provide for the recovery of legacies and distributive shares by suits in the common law courts against executors and administrators after the expiration of the year, as well in favor of minors as of persons of full age. Where a legacy has been given of specific securities, the legatee himself may sue for their possession, if he have first obtained the assent of the executor, whether that executor be of foreign or of domestic origin.(q) The remedy in the Surrogate's Court, or in a court of equity, for enforcing the payment of a legacy, being more speedy and practicable, and except in the case of minors unattended with the giving of security, it is believed that those sections are seldom resorted to. They are included above, as forming a portion of the statutory system relative to the government of executors and administrators, and the disposition of the property of decedents. They do not seem to call for any particular comments.

(p) *Robertson v. Caw*, 3 Barb. Sup. Ct. Rep. 410.

(q) *Sere agst. Coit*, 5 Abbott's Prac. Rep. 481. In an action against an executor for the recovery of a legacy which the defendant alleges has been paid by him to a stranger, for the benefit of the legatees, the stranger need not be made a party defendant: *Gleason v. Thayer*, 24 Barb. 82.



## TO WHOM LEGACIES ARE TO BE PAID.

The inquiry to whom legacies are to be paid is one of great importance to the executor, who must be careful to pay legacies into the hands of those who have authority to receive them.

"If a legacy be given to A., to be divided between himself and his family, and the executor pays the legacy to A., it is well paid to discharge the executor.

"It is a general rule that, where the legatee is an infant, and would be entitled to receive a legacy if he were of age, the executor is not justified in paying it either to the infant, or to the father, or any other relation of the infant, on his account, without the sanction of a court of equity.<sup>(r)</sup> And even in the case of a child who has attained majority, payment to the father is not good, unless it be made by the consent of the child, or confirmed by his subsequent ratification.

"It may happen that an executor has, with the most honest intentions, paid the legacy to the father of the infant; nevertheless he will be held liable to pay it over again to the legatee on his coming of age. And although such cases have been attended with many circumstances of hardship on the executor, yet he has been held responsible, on the policy of obviating a practice so dangerous to the interests of infants, and so naturally productive of domestic discord."<sup>(s)</sup>

By the above section of the statutes, numbered 46,<sup>(t)</sup> a legacy of less than the value of \$50 to a minor, may be paid to the father of the legatee, to the use and for the benefit of such minor.

By the next section, if the legacy be of the value of \$50 or more, the general guardian of the minor is entitled, under the direction of the surrogate, to receive it; and he is to be required to give security to the minor, to be approved by the surrogate, for the faithful application and accounting for such legacy. It will hereafter appear, in that portion of this work treating of the appointment of guardians, that a general guardian, excepting one appointed by deed or will, before obtaining his letters, is obliged to give security to the minor for the faithful discharge of the duties of his guardianship. The present section furnishes an additional safeguard to the minor's interest, by providing especially for the preservation for his benefit of a legacy bequeathed to him. And it would seem from the section that, although the legacy in the particular case is the only property of the minor, and the appointment of the guardian is for the sole purpose of receiving it, yet that the guardian must give double security—once before the grant of the letters of guardianship, and once to enable him to receive the legacy.

The term general guardian includes guardians appointed by deed, testamentary guardians, and those appointed by the Court of Chancery or the Surrogate's Court. Either of these must comply with this provision before he is authorized to receive a legacy bequeathed to his ward. Until security to the minor has been given by the guardian and approved by the surrogate, and his directions for payment of the legacy obtained, the guardian is not in a condition to receive, nor is the executor authorized to pay the legacy. It follows, from this, that the

<sup>(r)</sup> *Genet v. Tallmadge*, 1 Johns. Ch. Rep. 3; *Morrell v. Dickey*, Id. 153.

<sup>(s)</sup> *Wms. on Exrs.* 1267, and cases cited.

<sup>(t)</sup> *Ante*, p. 438.

guardian cannot take proceedings, at least in the Surrogate's Court, to compel the payment of the legacy, unless he has first procured the direction of the surrogate. In *Hoyt v. Hilton*,<sup>(u)</sup> the vice-chancellor intimates an opinion that the surrogate may direct the payment of a minor's legacy to his guardian, without requiring security; but the last clause of the present section of the statute does not seem to have been adverted to in the case. It is submitted that the language of that clause is imperative, and cannot be construed to allow the security to be dispensed with.<sup>(v)</sup>

The kind or amount of the security which is to be taken is not defined, but rests entirely in the discretion of the surrogate. A bond, with one or more sureties in double the amount of the legacy, will usually be demanded.

The proper form of proceeding on the part of the guardian, in order to receive a legacy bequeathed to his ward, is, to present to the surrogate a written application, alleging the legacy and offering the security, and praying a direction for the payment. If the surrogate consider the proposed security sufficient, on the same being given, his direction for the payment will be made. The custody of the instrument of which the security consists is not prescribed by the statute, but it is doubtless to be filed with the surrogate. The direction of the surrogate for the payment will be given in writing in the shape of an order, which must be duly entered in the minutes. It cannot be too strongly impressed upon the executor that he should be careful, before paying a minor's legacy to his guardian, to see that the direction for the payment has been given by the surrogate, as otherwise he will always remain liable to the legatee for the amount of his legacy, in case his guardian shall have misapplied it.

A person appointed a guardian to an infant, in another state, is not entitled to receive from the administrator here the legacy or portion of the infant. The guardian must be appointed here, and give competent security, to be approved of by the court, before the payment of the infant's money will be ordered.<sup>(w)</sup>

The above sections, numbered 43, 49, 50 and 51,<sup>(x)</sup> provide for the payment to the surrogate of a legacy bequeathed to a minor having no general guardian, or, where direction for the payment to the guardian is refused, for the investment and subsequent disposition thereof. They are perfectly explicit in their provisions, and do not suggest any subject for remarks.

The above section of the statute, numbered 12, in connection with the preceding sections, numbered 9, 10 and 11, and the following 13th section, provides for and regulates the recovery of legacies bequeathed to minors, and also of distributive shares to which they are entitled, by

<sup>(u)</sup> 2 Edw. Ch. Rep. 203.

<sup>(v)</sup> A general guardian cannot sustain a bill in chancery for the payment of a legacy. A suit for the benefit of infants should be brought by a *prochein ami*; and, since the Revised Statutes, no one else can do it. "I hold the statutes," says the vice-chancellor, in the case last referred to, "to be imperative on this head." See, however, Code of Procedure, section 115; *Hulbert agst. Young*, 13 How. Prac. Rep. 413.

<sup>(w)</sup> *Morrell v. Dickey*, 1 Johns. Ch. Rep. 153; *McLoskey v. Reid*, 4 Bradf. Surr. Rep. 335.

<sup>(x)</sup> *Ante*, pp. 438-9.

suits at law against executors and administrators. They offer nearly the same protection to the minor's interests as is provided by the sections above considered.

"When the direction to the executor is not to pay the legacy to the child, but the bequest is made to a *trustee* for him, the executor will be justified in paying the money to the person so appointed. Hence, if the testator order the sum to be paid to the father, he will be a trustee for his child, and entitled to receive the money, and his receipt will be a good discharge to the executors. It would appear, on principle, that the direction for payment to the trustee must appear upon the face of the will, and cannot be proved by parol evidence."

It may also be observed that an executor cannot, without risk, unless under the direction of the surrogate or of a competent court, pay any *part* of a legacy bequeathed to an infant, either to the infant, or to any person for his use; therefore, the executor is not justified in applying any part of the *capital* of the legacy for the maintenance or advancement of the child, or any other purposes than mere necessities, without the sanction of the court. But, with respect to the *interest* of the sum bequeathed, it should be seen that the executor may apply a requisite part of it for the support of the infant legatee, without the authority of the testator, if he does no more than the court would have directed, if it had been resorted to in the first instance; for the principle is established, that if an executor do, without application, what the court would have approved, he shall not be called upon to account, and forced to undo that, merely because it was done without application.(y)

The case in which a portion of a legacy may, under the order of the surrogate, be advanced to a legatee, previous to the expiration of the year, as necessary for his support, has already been treated of;(z) and after the year has elapsed, and there is no further object in requiring security, where the executor has made advances to a minor legatee, although without the order of the surrogate, he will probably be protected if he show that such advances were necessary for the minor's support, and were made under such circumstances as would have authorized the surrogate to allow them, if the application had been made previous to the expiration of the year. It may be added that, if a court of equity can discover a clear act of the legatee, when of age, confirmatory of the application of his legacy by the executor during his minority, it will hold him estopped from claiming a repayment.(a)

Where a testator is the parent, or in *loco parentis*, of an infant legatee, whether the legacy be contingent or vested, interest on the legacy shall be allowed as a maintenance from the time of the death of the testator. This subject will be pursued hereafter, together with the subject of interest generally.(b)

It was formerly the law, that if a legacy was given to a married woman, it should be paid to the husband. So, where a legacy was given to a married woman living separate from her husband, with no maintenance, and the executor paid it to the wife, and took her receipt

(y) See Wms. on Exrs. 1269, 1271, and cases cited.

(z) See *supra*, pp. 439, 442.

(a) 1 Rep. Leg. (3d ed.) 771; Wms. on Exrs. 1271.

(b) See Wms. on Exrs. 1272; *Infra*, pp. 463-4.

for it, yet, on a suit instituted by the husband against the executor, he was decreed to pay it over again, with interest.(c) It was also adjudged that if the husband and wife were divorced *a mensa et thoro*, and a legacy was left to her, the husband alone might release it,(d) and, consequently, to him alone was it payable.(e)

But the Court of Chancery, upon the application of the wife, might restrain the husband from proceeding at law to obtain the possession of a legacy, or a portion in personal estate which came to her by will or inheritance, until he made a proper provision for her support. The court, however, would not allow a maintenance to the wife out of the property which at law belonged to the husband by virtue of his marital rights, although she had an equity therein, while she was living separate and apart from her husband, against his consent, and without any justifiable cause.(f)

But now, by statute,(g) any married female may take by devise or bequest, and hold to her sole and separate use, real and personal property, and any interest or estate therein, in the same manner and with the like effect as if she were unmarried. An executor, therefore, may now safely pay to a married woman a legacy bequeathed to her since this statute took effect, and her receipt will be a valid discharge for the same. Indeed, she is the only person entitled to receive such legacy, and a payment to her husband would not protect the executor. But, with respect to legacies which took effect before the passing of the statute, the former law probably prevails, the ancient marital rights of the husband attach, and his receipt will be requisite to the valid discharge of the legacy.

It may be observed, in conclusion, that, by the former law, when a bequest was made to the separate use of a married woman, as where it was given "for her own use, and at her own disposal," she alone could give a good discharge for it. Her husband had no interest in the fund, and she might sue for it by her next friend.(h)

#### OF INTEREST UPON LEGACIES.

Specific legacies are considered as separated from the general estate, and appropriated at the time of the testator's death; and, consequently, from that period, whatever produce accrues upon them, and nothing more or less, belongs to the legatees; therefore, where there is a specific legacy of stock, the dividends belong to the legatee from the death of

(c) "*Palmer v. Trevor*, 1 Vern. 261; Toller, 320. See, also, *Steed v. Calley*, 2 M. and K. 52.

(d) *Stephens v. Totty*, Cro. Eliz. 908, and other authorities cited; Wms. on Exrs. 1274-5, n.

(e) "See *Green v. Otte*, 1 Sim. and Stu. 250." Wms. on Exrs. 1275.

(f) *Fry v. Fry*, 7 Paige, 461. See, also, *Udall v. Kenney*, 3 Cowen, 590; 5 Johns. Ch. Rep. 564; *Haviland v. Bloom*, 6 Johns. Ch. Rep. 178; *Van Eppes v. Van Deusen*, 4 Paige, 64; *Van Duzer v. Van Duzer*, 6 id. 366; *Dunn v. Browyer*, 2 McCord, 368; 1 West's Rep. 581; 2 Kent's Comm. 138, *et seq.*; 2 Story's Eq. Jur. 630, *et seq.* The equity of a married woman for a settlement does not survive to her children; and where there is no contract for a settlement, nor any proceeding by the wife to enforce one during her life, the creditors of the husband are entitled to a legacy bequeathed to her, and her children have no equity to prevent its payment to them: *Barber v. Woods*, 1 Sandf. Ch. Rep. 129.

(g) "Act for the more effectual protection of the property of married women." passed 7th April, 1848 (S. L. 1848, ch. 200, p. 307), amended by ch. 375 of the Laws of 1849, passed 11th April, 1849; S. L. 1849, 528; 3 R. S. (5th ed.) 239, 240. See *ante*, pp. 63, 236-7.

(h) Wms. on Exrs. 1280, and cases cited; *Guild v. Peck*, 11 Paige, 475..

the testator; and it is immaterial whether the enjoyment of the principal is postponed by the testator or not.(i)

Accordingly, it should seem that the specific legatees of cows, mares, or ewes, are entitled to the brood fallen between the death of the testator and the assent of the executor to the legacy. So, also, as to the wool of sheep shorn, &c.(j) But if inanimate and unproductive articles of property are bequeathed and not delivered, it does not follow that the legatee is entitled to interest upon their value out of the estate, by way of recompense for the detention; if improperly withheld, the remedy is against the executor personally. The case of *Aprreece v. Aprreece*(k) shows that a specific legacy (as a ring, where the value is fixed by the testator) does not carry interest.(l)

"General legacies in their nature carry interest; and, as in the case of all other claims with that incident, the interest is to be computed from the time at which the principal is actually due and payable.

"In the further consideration of the doctrine of allowing interest on general legacies, the subject may be regarded, First, in cases where the testator has not fixed any time of payment; Secondly, in cases where the time of payment is named by him.

"1st. When no time of payment is fixed, the executor is by law allowed one year from the testator's death to ascertain and settle his affairs; at the end of which time the court, for the sake of general convenience, presumes the personal estate to have been reduced into possession.(m) Upon that ground, interest is payable from that time, unless some other period is fixed by the will; nor will interest be payable from an earlier date, although there is a direction in the will to pay the legacy 'as soon as possible.' If, indeed, a legacy is given in lieu of dower,(n) or is decreed to be a satisfaction of a debt, the court always allows interest from the death of the testator. A further exception to the rule exists in the case of a legacy given to a child by a parent, whether by way of portion or not; in which instance the court will give interest *from the death*, to create a provision for its maintenance. And the interest will be applied by the court to the support of the infant, although the bequests are conditional, and not vested.(o) So, where a testator bequeaths a sum of money to an infant, and directs that his maintenance shall be paid out of the interest of that sum, the payment of interest will be allowed from the death, and not be postponed till the end of one year after."(p)

This exception, however, is confined to legacies in favor of infants,(q) and has never been extended to a legacy given to an adult.(r) Nor does it apply to the case of a wife.(s)

(i) Wms. on Exrs. 1283; *Parkinson v. Parkinson*, 2 Bradf. Surr. Rep. 77.

(j) Wms. on Exrs. 1283.

(k) 1 Ves. and B. 364.

(l) *Isenhart v. Brown*, 2 Edw. Ch. Rep. 348. . But see note to Wms. on Exrs. 1283.

(m) See *Hawley v. James*, 5 Paige, 318; *Hepburn v. Hepburn*, 2 Bradf. Surr. Rep. 74.

(n) *Hepburn v. Hepburn*, 2 Bradf. Surr. Rep. 74. See, also, *Parkinson v. Parkinson*, Id. 77; *Seymour v. Butler*, 3 id. 193.

(o) *Pinney v. Fancher*, 3 Bradf. Surr. Rep. 198.

(p) Wms. on Exrs. 1284, and cases cited.

(q) *Hepburn v. Hepburn*, 2 Bradf. Surr. Rep. 74; *Pinney v. Fancher*, 3 id. 198.

(r) *Raven v. Waite*, 1 Swanst. 553.

(s) "*Street v. Robinson*, 12 Ves. 461." Wms. on Exrs. 1285.



Again, a legacy to a child whose support and maintenance is otherwise provided for by the bounty of the testator, like a legacy to a more distant relative, or to a stranger, is not payable and does not draw interest until one year after the death of the testator, where no time of payment is prescribed by the will.(t)

After the expiration of the year from the death of the testator, the legacy will carry interest, although payment be, from the condition of the estate, impracticable, and although the assets have been unproductive.(u) And there is no exception on the ground of the legatee's not being in a situation to receive, or omitting to demand payment.(v) It is a general, settled, and fixed rule that pecuniary legacies bear interest upon the expiration of twelve months, if there should at any time be a fund for the payment of them, and that, in case the fund was productive within the twelve months, all the intermediate profits belong to the residuary legatee. The executor may pay the legacy within the twelve months, but is not compellable to do so. He is not to pay interest for any time within the twelve months, although during that time he may have received interest. But if he has assets he is to pay interest from the end of the twelve months, whether the assets be productive or not.(w)

And the provisions of the Revised Statutes, relative to the payment of debts and legacies, have not changed the rule as to the payment of interest on legacies. The object of the statute was only to allow a specified time for the executor or administrator, after taking out letters, to settle the estate, and it was not designed to affect or modify the rights of parties interested in claims or legacies. So a delay in the probate of the will, will not deprive legatees of interest on their legacies.(x)

An annuity bestowed by will, without mentioning any time of payment, is considered as commencing from the death of the testator, and the first payment as due at the expiration of one year; from which latter period interest may be claimed in cases where it is allowed at all.(y)

Where the testator directed his executor to pay his wife a certain annuity during life, in equal quarterly payments, on the first Mondays of January, April, July, and October, such payments to commence immediately after his decease, it was held that as the will required the quarterly payments to commence immediately after the testator's decease, such payments must be made during the first year; that as the quarter days were named, this excluded the idea of a payment at any other time; and that as the payments were required to be equal, this

(t) *Williamson v. Williamson*, 6 Paige, 298.

(u) See *Wms. on Exrs.* 1285.

(v) *Marsh v. Hague*, 1 Edw. Ch. Rep. 174.

(w) *Pearson v. Pearson*, 1 Scho. and Lefr. 10; *Wms. on Exrs.* 1224.

(x) See *Lawrence v. Embree*, 3 Bradf. Surr. Rep. 364. And see *Booth v. Ammerman*, 4 Bradf. Surr. Rep. 129. The reporter's head note to *Bradner and wife agst. Faulkner and wife* (2 Kern. 472) lays it down that "Legacies are not payable until after the expiration of a year from the granting of letters testamentary, unless the will direct them to be sooner paid. Nor, unless the will so directs, does a legacy draw interest before it becomes legally payable." It is submitted that the only point determined in that case was that the legacy, the interest upon which was in dispute, did not draw interest from the death of the testator, and that the general question, from what time legacies draw interest, was not before the court nor determined. Upon this latter question the doctrine and the argument of Mr. Surrogate Bradford, in the case of *Lawrence v. Embree*, above cited, are believed to be sound and impregnable.

(y) See *Eyre v. Golding*, 5 Binney, 475.

excluded the idea of apportionment, and therefore, the testator having died on the fourth of August, that a full quarterly payment became due on the first day of the next succeeding October.(z)

It may be added, that, in the absence of express directions in the will to the contrary, the full amount of an annuity is payable to the annuitant without any deductions for taxes or commissions.(zz) But where the interest of a certain sum is given to a legatee for life, or for a shorter period, payable annually, the taxes which the executors may be compelled to pay, and the commissions on the interest, payable annually to the legatee, must come out of the interest, and are not a charge on the general estate.(a)

The question whether a tenant for life of a fund is entitled to the interest of it from the death of the testator, or from the end of the year after his death, has been considered at a previous page.(aa)

"With respect to interest on general legacies, where the time of payment is fixed by the testator, the general rule is, that the legacies will not carry interest before the arrival of the appointed period—as, for instance, when the legatee shall attain twenty-one; nor will it make any difference that the legacy is vested.

"But this rule is subject to an exception in case of the testator being the parent (or *in loco parentis*) of the legatee. For there, whether the legacy be vested or contingent, if the legatee be not an adult, interest on the legacy shall be allowed, as a maintenance, from the time of the death of the testator, *if there is no other provision for that purpose*. The court will determine the *quantum* of allowance, either the whole of the usual interests allowed by the court, or less, according to circumstances.

"Where the legatee is a child of the testator, and a specific sum is given by the will for maintenance, no greater allowance can be claimed for that purpose, although it be less than the usual rate of interest upon the legacy. But the court has in some cases increased the allowance, where it was insufficient for a reasonable maintenance, and where the legacy was vested.

"This exception is not extended in favor of nephews and nieces, nor of grandchildren,(b) unless the testator were *in loco parentis*.

"Where the payment of a legacy is postponed by the testator to a future period, as until the legatee attains twenty one, and the will directs that when that period arrives the payment shall be made *with interest*, the legacy will bear interest only from the end of the year after the testator's death."(bb)

The legacy will generally, it is apprehended, carry interest at the full legal rate.

The interest on legacies is to be computed upon the principal only, and not upon the principal and interest. But under particular circumstances, the court will allow the legatee compound interest—as where there is an express direction in the will that the executor should lay out the fund to accumulate, and he neglects so to do.(c)

A legacy to an executor, it seems, will not carry interest.(d)

(z) *Griswold v. Griswold*, 4 Bradf. S. R. 216.

(zz) *Ex parte McComb*, 4 id. 151.

(a) *Booth v. Ammerman*, 4 id. 129.

(aa) *Ante*, p. 449.

(b) *Lupton v. Lupton*, 2 Johns. Ch. Rep. 614.

(b) *Wms. on Exrs.* 1289, and cases cited.

(c) *Wms. on Exrs.* 1291-2, and cases cited. See *post*, ch. 12.

(d) See *Morris v. Kent*, 2 Edw. Ch. Rep. 182; *Preston on Legacies*, 281.

## OF THE PAYMENT OR DELIVERY OF SPECIFIC LEGACIES.

With respect to the payment or delivery of specific legacies, although, as a general rule, it is well settled that a will of personal property relates to the time of the death of the testator, both as to the legatees and the subjects of the bequests mentioned in the will, yet, in the case of specific legacies, it is sometimes very difficult to ascertain whether he intended to confine the bequests to the subject matter thereof as it existed at the time of making the will, or as it might exist at the time when such will should take effect by his death. But to take the case out of the general rule that, in a will of personal estate, the testator is presumed to speak with reference to the time of his death, there must be something in the nature of the property or thing bequeathed, or in the language used by the testator in making the bequest thereof, to show that he intended to confine his gift to the property, or subject of the bequest, as it existed at the time of making the will.<sup>(e)</sup>

Thus, where the testator directed by his will that his son should be discharged from all notes which he held against him, and from all charges made against him on book or otherwise, for loans or advances by the testator to or for him, and all claims against him for the occupation of, or rents by him received for, two houses and lots in that clause of the will mentioned; and likewise released to his son-in-law all the moneys which the latter owed for moneys advanced to or for him by the testator; it was held, that this provision in the will had reference to the state of the subject matter of the bequest as it existed at the time of the death of the testator, and that all debts, therefore, which were due from the son, or from the son-in-law, to the testator at the time of his death, and which answered the description contained in this clause of the will, were discharged, except as against creditors of the estate, who would have a right to resort to the same in case of a deficiency of other property to pay the debts.<sup>(f)</sup> So, if the bequest be general, as of all the testator's goods in a particular house or place, whatever personal chattels are found there at the time of his death will pass, though not there at the date of the will.<sup>(g)</sup>

"However, if the testator shows a clear intention to dispose of such goods as belonged to him in a particular place at the date of his will, property afterwards brought there will not pass: as where the bequest is 'of all such part of my personal property as is now in my house at ——.'

"It may be observed that it is the duty of executors, as far as possible, to preserve articles specifically bequeathed, according to the testator's wish; and, unless compelled, they are by statute forbidden to apply them to the payment of debts."<sup>(h)</sup>

And it may be further remarked, that it is also the duty of the executors to get in all the testator's estate, whether specifically bequeathed or otherwise; and that the expenses incurred in doing so must be paid out of the general estate, as part of the expenses of the administration.<sup>(i)</sup>

"It may be added, that if a testator, dying solvent, bequeaths to A.

(e) *Van Vechten v. Van Veghten*, 8 Paige, 104.

(f) 8 Paige, 119.

(g) *Wms. on Exrs.* 1295.

(h) "*Clarke v. Ormonde*, Jacob, 108." 2 R. S. 87, sec. 26; 3 R. S. (5th ed.) 173; *Ante*, 306.

(i) "*Perry v. Meddowcroft*, 4 Beav. 204." *Wms. on Exrs.* 1298.

a given number of articles forming part of a stock of articles of the same description—as, for instance, if he has twenty horses in his stable and bequeaths six of them—the legatee, and not the executor, has the right of selection.(j)

There has already been occasion to point out, that if a testator should happen to direct his executor to deliver a specified packet, part of the property of the deceased, to a particular legatee unopened, the executor cannot, consistent with his duty, comply with this direction.(k)

#### OF ELECTION.

The doctrine of election can only be so far treated of within the limits and design of this work, as to state briefly the nature of the subject and some of the leading principles established with respect to it.

“It is a principle of equity, that a person who accepts a benefit under an instrument, must adopt the whole, giving full effect to its provisions, and renouncing every right inconsistent with it. If, therefore, a testator assumes to dispose of property belonging to A., and devises to A. other lands, or bequeaths to him a legacy by the same will, A. will not be permitted to keep his own estate, and enjoy at the same time the fruits of the devise or bequest made in his favor, but must *elect* whether he will part with his own estate, and accept the provisions of the will, or continue in the enjoyment of his own property, and reject that bequeathed.

“It is not requisite, for the operation of this principle, that the testator should be aware that the property, of which he so undertakes to dispose, is not his own. The obligation of making an election will be equally imposed on the legatee, although the testator proceeded on an erroneous supposition that both the subjects of the bequest were absolutely at his own disposal.

“It is necessary, however, that the intention of the testator to dispose of the property which is not his own, should be clear; the intention must appear by demonstration plain, by necessary implication. And it must appear, as it should seem, upon the face of the will: for it seems now to be established that parol evidence is inadmissible for the purpose of showing it.(l)

“The doctrine of election is applicable to interests immediate, remote, contingent, of value, or not of value.

“It must, however, be observed that the doctrine does not preclude a party claiming by the will from enjoying a derivative interest, to which he is entitled at law under a legal estate taken in opposition to the will. Thus, a man may be tenant by curtesy of an estate tail held by his wife, in opposition to a will under which he accepts a legacy.(m)

“Nor is that doctrine applicable as against creditors taking the benefit of a devise for payment of debts, and also enforcing their legal claim upon other funds disposed of by the will.

“Again, the doctrine of election is not applicable where real property is assumed to be devised by a will made by a person who is incapable, by reason of infancy or coverture, to devise real estate, and who, by

(j) “*Jacques v. Chambers*, 2 Coll. 435.” Wms. 1298–9. (k) See *ante*, ch. 7, p. 278.

(l) Wms. on Exrs. 1299, 1300, and cases cited; 2 Story’s Eq. Jur., ch. 30, sec. 1075, *et seq.*

(m) Wms. on Exrs. 1300, and cases cited.

the same will, valid as to personal estate, gives a legacy to the heir. In such a case the heir may take the legacy without making good the devise, unless the will contains an express condition to that effect annexed to the legacy."<sup>(n)</sup>

But where the will threw the whole real and personal estate into one fund, applicable to the discharge of the various bequests; and there were two legatees who, in consequence of the revocation of the will as to personalty, were left to the proceeds of the real estate alone for payment, it was held that the other legatees who were next of kin should be put to their election.<sup>(o)</sup>

It is further necessary to consider the subject, as applied to the case of a widow entitled to dower.

The Revised Statutes, part 2, chap. 1, title 3,<sup>(o)</sup> enact:

Sec. 1. A widow shall be endowed of the third part of all the lands whereof her husband was seised of an estate of inheritance, at any time during the marriage.<sup>(p)</sup>

Sec. 12. If before her coverture, but without her assent, or if after her coverture, land shall be given or assured for the jointure of a wife, or a pecuniary provision be made for her in lieu of dower, she shall make her election whether she will take such jointure or pecuniary provision, or whether she will be endowed of the lands of her husband, but she shall not be entitled to both.

Sec. 13. If lands be devised to a woman, or a pecuniary or other provision be made for her by will, in lieu of her dower, she shall make her election whether she will take the lands so devised, or the provision so made, or whether she will be endowed of the lands of her husband.

Sec. 14. When a woman shall be entitled to an election under either of the two last sections, she shall be deemed to have elected to take such jointure, devise or pecuniary provision, unless within one year after the death of her husband she shall enter on the lands to be assigned to her for her dower, or commence proceedings for the recovery or assignment thereof.

"A testamentary provision for the wife is deemed a gratuity or benevolence, which she may take in addition to her dower, unless the testator has plainly manifested a different intention, as by saying that the gift is in lieu or bar of dower. Express words will not, however, be necessary, if the claim of dower is so utterly inconsistent with the terms of the will that the widow cannot have both gift and dower without breaking up the testator's plan of disposing of his estate. In such a case she may be put to her election.<sup>(q)</sup> But the mere fact of

<sup>(n)</sup> Wms. on Exrs. 1301, and cases cited.

<sup>(o)</sup> *Bloomer v. Bloomer*, 2 Bradf. Surr. Rep. 339, 343.

<sup>(p)</sup> 1 R. S. 740; 3 R. S. (5th ed.) 31.

<sup>(q)</sup> 1 R. S. 740; 3 R. S. (5th ed.) 31. The Act of 1860, "Concerning the rights and liabilities of husband and wife," (S. L. 1860, ch. 90, p. 157,) provides as follows:

"Sec. 11. At the decease of the husband or wife intestate, leaving minor child or children, the survivor shall hold, possess and enjoy all the real estate of which the husband or wife died seised, and all the rents, issues and profits thereof during the minority of the youngest child, and one-third thereof during his or her natural life."

The operation of this statute with reference to the law of dower and the doctrine of election, will in all probability give rise to many and grave questions. But space will not allow the discussion of those questions in these pages.

<sup>(q)</sup> In a recent case in the Supreme Court at general term, in the city of New York, it was



devising lands to another, does not prove that the husband intended to bar dower in those lands. He may have intended that the devisee should take subject to dower. It is not necessary that he should say so, because the law says it for him. So, if he direct the lands to be sold, and the proceeds to be distributed, that does not prove that he meant to bar dower. He may have intended that the purchaser should take subject to the legal rights of the widow. Indeed, such is presumed to be his intention until the contrary appears. Dower is a legal right over which the husband has no direct control. He may offer something else in lieu of it, which, if accepted, will be a bar. But if he make a provision for the widow, either in lands or money, saying nothing about dower, the presumption is that he intended it as matter of bounty." This doctrine will be found to be fully sustained by the cases and the authorities.<sup>(r)</sup>

Thus, in *Jackson v. Churchill*,<sup>(s)</sup> the widow was, by the will, provided with a house and garden, some furniture, a servant girl, and with some stock. One son of the testator was directed to keep the stock, and the other to assist his mother, if she required it; but no means were given her to compel compliance, if refused. It was held that there was nothing in this provision inconsistent with the widow's claim of dower—that it constituted no objection that the property devised to the sons would be less valuable on account of her dower. "There was no incongruity," it was said, "in enforcing the claim for dower and the devise; that the two might stand well together, and that it might fairly be inferred that the testator intended the devise as additional to his wife's claim for dower."

So, in *Bull and wife v. Church*,<sup>(t)</sup> where a testator devised all his real and personal estate to his wife during her life, or so long as she should remain his widow, with remainder to his children; and after his death the widow entered and occupied under the will for several years, and then married a second husband, it was held that she was entitled to dower.

The question may arise, what shall constitute a valid election by the widow?

Where the testator devised certain lands to his widow, and also bequeathed to her an annuity, in lieu of her dower in his real estate; and the widow, within two months after his death, executed a deed of relinquishment of the provisions made by the will, and elected to take her dower, and procured the deed to be recorded, and gave notice of such election to the testator's executors and trustees, who recognized her right to dower, and made payments to her out of the rents and profits of the estate on account of her dower; it was held that this was a valid

held that when it clearly appears by the will that, after making a provision for his widow, the testator has distributed the residue of his property among his children in such proportions as he considered them entitled to, and that to allow the widow to take dower and the provision of the will out of the estate would defeat or materially lessen the allotments to the devisees, then the intention of the testator not to give both is plainly manifest, and the widow should be required to elect; and it was considered that the rule which has heretofore prevailed has, in many cases, operated oppressively upon heirs and legatees. *Dodge v. Dodge*, 31 Barb. Sup. Ct. Rep. 413.

(r) See *Bull v. Church*, 5 Hill, 207, and cases and authorities cited.

(s) 7 Cowen, 287.

(t) 5 Hill, 206.

election by the widow to take her dower, and was equivalent to an actual entry on the land, or the commencement of proceedings for the recovery of her dower, within the provisions of the Revised Statutes.(u)

If a widow gives notice to the person who is in possession of the lands of which she is endowable, of her election to have her dower instead of the testamentary provision in lieu of dower, and such person thereupon admits her right, and voluntarily pays her a part of the rents and profits of the land as and for her dower therein, it is in equity a valid election by her, and is equivalent to an entry on the lands, or an assignment of dower, for the purpose of determining such election.(u)

It is not necessary, for the purpose of making a valid election by the widow, that she should make entry upon, or commence proceedings for the recovery of dower in every distinct parcel of the lands in which she is entitled to claim dower. It is sufficient, if she has not accepted of the provision made for her in lieu of dower, that she actually commences proceedings, within the year, for the recovery or assignment of her dower in any part of the lands as to which her right of election exists, or that she enters upon any part of such lands, claiming her dower therein.(u)

Where, however, the widow in the first place elected to take the provision made for her by the will in lieu of dower, and a purchaser of certain lands charged by the will with a contribution towards her support, which was a portion of that provision, refused to furnish the share with which that part of the lands was chargeable, it was held that the widow could not then recover her dower in the lands. She might, it was said, have a remedy to compel a performance of the provisions of the will; but, by electing to receive that provision in lieu of dower, no action of dower could be maintained.(v)

The acceptance by a widow of an estate given to her by the will of her husband *in lieu of dower*, is a bar *at law* as well as *in equity* to her right of dower in other lands whereof her husband died seised.(w)

To constitute a case of election under the Revised Statutes, the jointure or other provisions made for the wife in lieu of dower, must be a provision in which she has a beneficial interest: a mere power in trust for the sole benefit of others is not sufficient, although such power in trust is declared by the will of the testator to be in lieu of the widow's right of dower. But the testator may make the execution of a power in trust for the benefit of others, dependent upon the relinquishment by the widow of her right of dower in his real estate.(x)

Whether a mere charge of an annuity upon the lands subject to dower, with a clause of entry and distress, will be sufficient to put the widow to her election, is a question which has given occasion for much contrariety of decision, and is still unsettled.(y)

"Where a testator makes two bequests to the same person, one of which happens to be onerous and the other beneficial, the legatee will not be allowed to reject the one and retain the other.(z)

(u) *Hawley v. James*, 5 Paige, 318.

(v) *Kennedy v. Mills*, 13 Wend. 553, 556.

(x) 5 Paige, 318.

(z) *Wms. on Exrs.* 1306, and cases cited.

(u) *Hawley v. James*, 5 Paige, 318.

(w) 13 Wend. 553.

(y) *Wms. on Exrs.* 1306, and note.

"The inquiry as to what acts or acquiescence constitute an implied election, must be decided rather by the circumstances of each case than by any general principle. The questions are, whether the parties acting or acquiescing are aware of their rights? whether they intended election? whether they can restore the individual affected by their claim to the same situation as if the acts had never been performed; or whether these inquiries are precluded by lapse of time?"

In *Leonard v. Crommelin*,<sup>(a)</sup> a father, being entitled to a life estate in a certain lot of land in the city of New York, of which the remainder in fee was in his seven sons, several of whom were infants, sold the fee of the land to H., upon an understanding or stipulation of procuring the sons, who at the time were infants, to execute conveyances of their respective shares as they severally came of age. The father died possessed of a large estate, which, by his will, he devised to his sons as tenants in common, and to be divided between them when A., who was the youngest child, should arrive at lawful age, upon condition of their confirming the sale to H., and other similar sales which he had made. And he ordered and declared, in case either of his children should refuse or neglect to confirm the sales so made, that they should not be entitled to the proportion of his estate devised to them, but the same should be and remain as a security to the purchasers against the person or persons refusing to confirm the sale. A deed of release or conveyance was executed by five of the sons after they came of age, and delivered to H. One of the others died without having executed such release. His surviving brothers were his heirs at law. A., the youngest son, after he came of age, took his share of his father's estate, concurring in selling parcels thereof, &c., and, subsequently to the death of H., undertook to ratify and confirm the sale to him by executing the deed, and duly acknowledged the same; but, by reason of the previous death of H., it was inoperative as a release or conveyance of his title. Owing to this circumstance, he afterwards brought his action of ejectment for the purpose of being let into the possession and enjoyment of his original one-seventh part, and a derivative share of the one-seventh which had been vested in the brother who had died, and which the complainants held and claimed title to as the devisees of H.

It likewise appeared that the children had ratified one other sale which their father had made, of property situated in the city of New York, wherein they had the remainder in fee, and under circumstances in all respects similar to the sale to H., by executing deeds of release to the purchaser. The bill was filed to restrain perpetually the defendant A. from proceeding in the action of ejectment, and to compel him to execute the release. And it was held that the execution and acknowledgment by A. of the deed to H., which proved ineffectual by reason of the death of H., went far, in connection with other circumstances, to preclude the right of the defendant to set up a claim of title to the premises thus intended to be released; for, that it was fairly to be inferred that his object was to show a compliance with the conditions of his father's will, in order to vest in himself an absolute title to a share of his father's estate, and better enable him to dispose of the

(a) 1 Edw. Ch. Rep. 206.

same. That the acts of A., in relation to a share of his father's estate, clearly showed his acceptance of the provisions of the will. The parcels of the estate which he concurred in selling could only have been held or claimed by him as a devisee, because he could not be permitted to take as heir, by descent, in the presence of an express valid devise. He was then bound to perform the condition annexed to the gift; and that, although he might not have been bound unless he were cognizant of his rights, yet he could not then retract; because he could not then place the complainants (with respect to their rights) in the same situation which they would have stood in, provided his acts had not been performed.

The share of the father's estate devised to A., it was said, was pledged to the complainants as a security; and this security he had taken away, and could not restore. It would, consequently, be unjust towards them to permit a disaffirmance of his own act to their prejudice. If he had been deceived into a loss by the maladministration of his brother, which was alleged, or by any mistaken views of the value of the property devised to him, it was his misfortune. He was not bound to make his election until he could first ascertain the value of his patrimony; but, having made it, he was bound to abide by the determination unless he could restore the property to its original situation.(b)

A perpetual injunction was decreed.

"A party bound to elect, is entitled first to ascertain the value of the funds, and for that purpose may sustain a bill to have all necessary accounts taken; and election, under a misconception of the extent of claims on the fund elected, is not conclusive.

"Another subject of much doubt, with respect to the doctrine of election, has been, whether the election to take against the will induces the necessity of relinquishing the benefit given by it *in toto*, or only imposes an obligation to indemnify the claimants whom it disappoints; that is, as is sometimes expressed, whether the principle on which the doctrine of election proceeds is forfeiture or compensation. The more recent authorities are said to establish that compensation is only to be made."(c)

#### OF THE REFUNDING OF LEGACIES.

Under the Revised Statutes a case can hardly arise, excepting one of clear mistake, in which an executor can compel a legatee to refund a legacy. Previously to the Revised Statutes, if the executor paid away the assets in legacies, and *afterwards debts appeared* of which he had no previous notice, and which he was obliged to discharge, he might, by a bill, compel the legatees to refund.(d) And in compliance with a statute, as has already appeared, legatees used to give security to the executor for refunding.(e) But now an executor cannot be called upon to pay a legacy until after the expiration of one year from the date of his letters;(f) within which year, by the publication of a

(b) 1 Edw. Ch. Rep. 211. See, also, *Edwards v. Morgan*, 13 Price, 782; *S. C.*, 1 McClell. 541, and, on appeal, 1 Bligh's N. S. 401; *Spofford v. Manning*, 6 Paige, 383. In the case of the *Attorney-General v. Christ's Hospital*, (3 Bro. C. C. 165,) it was held, that where an estate is given upon a condition, the taking possession binds to the performance of it, although there be a loss.

(c) Wms. on Exrs. 1307, and cases cited.

(d) See Wms. on Exrs. 1308.

(e) 1 R. L. 1813, 314, sec. 18; *Ante*, p. 441.

(f) 2 R. S. 90; 3 R. S. (5th ed.) 177; *Ante*, pp. 437-8.

notice pursuant to the statute, (g) he is enabled to ascertain all the debts for which the assets are liable, and after which publication he is protected in any payments he may make to legatees against any claim not presented under the notice. If a claim be presented, and the executor disputes and rejects it, and the same is not referred, or an action is not brought thereon within six months after such rejection, the creditor is absolutely precluded from maintaining any action thereon; (h) and a creditor who has not presented his claim under the notice, has his remedy only against assets remaining in the executor's hands at the time of a suit brought on the claim, or against assets *in futuro*, or against the legatees; and in case resort is to be had to the legatees, it is the creditor who must bring the action. (i)

Legatees who have received their legacies, are liable to refund to a creditor, if he cannot collect his debt from the executors by reason of their having wasted or misapplied the assets, and become insolvent. (j)

Co-legatees, however, in no sense sustain to each other the relation of surety in respect to the testator's debts—each is liable only in proportion to the amount of his legacy. (k)

With respect to the liability of a legatee to refund, to make up a deficiency of another legatee, if an executor pays one legatee and there is afterwards a deficiency of assets to pay the others, the legatee so paid must refund a proportionable part. But if the deficiency of assets has been occasioned by the *waste* of the executor, the legatee who has been paid may retain the advantage he has gained by his legal diligence, as against his co-legatees, but not against a creditor. (l)

#### OF THE RESIDUUM, AND THE RIGHTS OF THE RESIDUARY LEGATEES.

The right of the residuary legatee remains to be considered.

When an executor has paid all the debts and all the legacies heretofore mentioned, he must, in the last place, pay over the surplus or residue of the personal estate to the residuary legatee, if any such be nominated; and although the residuary legatee dies before the payment of debts, and before the amount of the surplus is ascertained, yet it shall devolve on his personal representative. (m)

It is, however, seldom found practicable to ascertain the exact amount coming to the residuary legatee or legatees, until the conclusion of the administration, and the final settlement of the affairs of the estate. The statute, as has been seen, (n) expressly requires the executor to discharge and pay the specific and general legacies at the expiration of a year from the time of his appointment, if there be assets; but, it will be

(g) 2 R. S. 88; 3 R. S. (5th ed.) 175-6; *Ante*, pp. 354-5, 379, *et seq.*

(h) 2 R. S. 89; 3 R. S. (5th ed.) 176; *Ante*, pp. 355, 392.

(i) 2 R. S. 90; 3 R. S. (5th ed.) 176-7; *Ante*, pp. 355-6, 393.

(j) *Stuart v. Kissam*, 2 Barb. Sup. Ct. Rep. 493, 512; *Lupton v. Lupton*, 2 Johns. Ch. Rep. 614. Legatees, whose shares of the personal estate of the testator have been wasted by the executor, have no lien upon the real estate devised to such executor by the will, to make good their loss. *Wilkes v. Harper*, 1 Comst. 586.

(k) *Wilkes v. Harper*, 1 Comst. 586. For the statutory provisions relative to the recovery from legatees of debts due by the deceased, see art. 2, tit. 3, ch. 8, pt. 3 of the Revised Statutes, 2d vol., p. 450; 3 R. S. (5th ed.) 748.

(l) *Lupton v. Lupton*, 2 Johns. Ch. Rep. 614.

(m) Wms. on Exrs. 1310.

(n) *Ante*, p. 438.



observed, no such provision is made with respect to the disposition or distribution of the residuary personal estate. If, therefore, before the executor is prepared to render a final account of his proceedings, a residuary legatee apply for payment of his legacy, the executor will be justified in refusing to pay the claim in full, until the share to which the party is entitled has been definitely ascertained by proper proceedings before a competent judicial tribunal.

"No particular mode of expression is necessary to constitute a residuary legatee. It is sufficient if the intention of the testator be plainly expressed in the will, that the surplus of his estate, after payment of debts and legacies, shall be taken by a person there designated.

"Where the residuary legatee is nominated generally, he is entitled in that character to whatever may fall into the residue after the making of the will, by lapse, invalid disposition, or other accident, or by acquirement subsequent to the date of the will."(*o*)

In *Van Kleeck v. The Reformed Dutch Church*,(*p*) Chancellor Walworth says: "A bequest of personal property, or of chattels real, which in cases of intestacy belong to the next of kin, and do not descend to the heir at law, always refers to the state of the property at the testator's death. Hence, it is perfectly well settled that a general residuary bequest of the personalty includes everything which, at the testator's death, is not legally and effectually, as well as in terms, otherwise disposed of by the will. The general residuary clause, therefore, not only embraces reversionary and contingent interests in the personal estate not fully and completely covered by other parts of the will, and which the testator does not attempt to dispose of, but it likewise comprehends any property or interests therein which is in terms bequeathed by other clauses in the will, but which for any reason eventually falls into the general residue. It of course includes legacies and bequests which become lapsed by events subsequent to the making of the will; and also those which were originally void, either on account of the illegality of the disposition which the testator attempted to make of his property, or because it was impossible, for any other cause, that the bequest should take effect as he intended it."(*q*)

(*o*) Wms. on Exrs. 1310, and cases cited. See, also, *Floyd v. Ferris*, 1 Paige, 480; *James v. James*, 4 id. 117; *Van Kleeck v. The Reformed Dutch Church*, 6 Paige, 600; *King v. Woodhull*, 3 Edw. Ch. Rep. 82; *King v. Strong*, 9 Paige, 98, and cases cited; *Bowers v. Smith*, 10 Paige, 193; *Van Cortlandt v. Kip*, 1 Hill, 590; *Craig v. Craig*, 3 Barb. Ch. Rep. 76.

(*p*) 6 Paige, 607.

(*q*) "But it is otherwise," says the chancellor in *James v. James*, (4 Paige, 117,) "as to a residuary devise of real estate. For if a part of the real estate is specifically devised, and the devise does not take effect, either from the incompetency of the devisee to take, from a partial revocation of the will, a lapse by the death of the devisee in the lifetime of the testator, or from the not happening of the contingency upon which, as a condition precedent, the devise was made or was to take effect, it descends to the heir at law as property undisposed of by the will." *Wright v. Hall*, Fortesc. Rep. 182; *Watson v. Earl of Lincoln*, Ambl. Rep. 325; *Gravenor v. Hallum*, Id. 645; *Greene v. Dennis*, 6 Conn. Rep. 293.

In respect to a residuary devise of real estate, the chancellor, in *Van Kleeck v. The Reformed Dutch Church*, (6 Paige, 608,) further says: "It has also been finally settled in England, although some doubt, perhaps, has been thrown upon the question by some of the decisions in this country, that a residuary devise of real estate, or of *all the testator's estate not before disposed of in his will*, carries with it not only the real estate in which no interest is devised in the previous part of the will, but also every reversionary and contingent interest which, in the events contemplated by the testator as apparent from the will itself, is not wholly and absolutely disposed of, and which would be a proper subject of devise consistently with the declared intent of the testator."

The foundation of this general rule in respect of lapsed legacies is, that the residuary clause is understood to be intended to embrace everything not otherwise effectually given: because the testator is supposed to take the particular legacy away from the residuary legatee, only for the sake of the particular legatee; so that, upon the failure of the particular intent, the court gives effect to the general intent.(r)

And the fact of a residuary legatee having been a life tenant of a portion of the residuary fund is not sufficient to deprive his representatives of a share of the very fund which he enjoyed for life, provided the words of the will unequivocally express such intent, and there is nothing on the face of the instrument to control the ordinary and natural force of the language employed.(s)

To entitle a residuary legatee to the benefits of a lapsed or void bequest, however, he must be a legatee of the residue generally, and not partially so; for where it is manifest, from the express words of the will, that a gift of the residue is confined to the residue of a particular fund or description of property, or to some certain *residuum*, he will be restricted to what is thus particularly given; since a legatee cannot take more than is fairly within the scope of the gift. But to exclude what may fall by lapse or invalid disposition from the gift of the residue—as it may be supposed that the testator did not intend to die intestate as to any portion of his property when he set about making a will, and is supposed to exclude the residuary legatee only for the sake of the particular legatee—the law requires that he should use very special words, clearly limiting the gift of the residue, and showing in express terms an intention to exclude such portions of his estate as may fail to pass under previous clauses of the will, in order to take it out of the general rule above stated.(t)

Again, the testator may, by the terms of the will, so circumscribe and confine the residue as that, the residuary legatee, instead of being a general legatee, shall be a specific legatee, and then he shall not be entitled to any benefit accruing from lapses, unless what shall have lapsed constitute a part of the particular residue.(u)

From the cases on this subject it will be seen that, in considering a residuary clause in a will, the court will look at the context to ascertain, not so much whether it was the intention that the residuary legatee should take the benefit of a lapsed bequest, (for it may be argued, in most cases, that the testator does not mean that the residuary legatee should take what is previously given from him, for he does not contemplate the case,) but whether the words used are so strong and ex-

The decision of the court in *James v. James*, the chancellor says in *Bowers v. Smith*, (9 Paige, 202,) was not intended to impugn the general principle that a residuary devise of real estate carries to the devisee not only the real estate of the testator which has not been devised to others, but also reversionary and contingent interests in the estate specifically devised; which interests, in the events contemplated by the testator, were not otherwise wholly and absolutely disposed of by his will.

(r) "*Easum v. Appleford*, 5 M. and Cr. 61-2." Wms. on Exrs. 1251.

(s) *Sweet v. Geisenhainer*, 3 Bradf. Surr. Rep. 114, 125.

(t) *King v. Woodhull*, 3 Edw. Ch. Rep. 82; 2 Rop. on Leg. 457; *Bland v. Bland*, 2 Jack. and W. 404, 406. Instances of limited gifts of the residue are found in *Davers v. Dewes*, 3 P. Wms. 46, and in *The Attorney-General v. Johnston*, Amb. 577.

(u) Wms. on Exrs. 1315.

pressive as necessarily to exclude property which falls in by lapse, and to limit the bequest of the residue to a particular residue, instead of permitting it to read as a general residuary bequest.(v)

Where the residuary estate is bequeathed to several persons in *joint tenancy*, if one or more of them happen to die in the lifetime of the testator, or after his death, but before the severance of the joint tenancy in the residue, their shares will survive to the others. But if the residue be given to several as *tenants in common*, the shares of the deceased shall not go to the survivors, but shall devolve on the testator's next of kin, according to the Statute of Distributions, as so much of the personal estate remaining undisposed of by the will, in case the death happened in the lifetime of the testator, or shall go to the personal representatives of the deceased legatee, in case his death took place after that of the testator.(w)

In *Marsh v. Wheeler*,(x) the testator gave a residue to his five sons, "to be equally divided between them, share and share alike." One of the sons died before such residue became distributable, and it was held that the share of the deceased son did not go to his surviving brothers. "The bequest," it was said, "is not to them in joint tenancy. There are no words of survivorship; and when distinct legacies are given to individuals, or an aggregate fund is directed to be divided among them in equal shares, their interests are several, and if any of them die before the shares are vested, what was intended for them will fall into the residue: because the benefits intended for the deceased legatees are not given over to the survivors.(y) Hence, in the case of a joint tenancy, the death of one will not occasion a lapse; but, in the other, such an event, under certain circumstances, will defeat the legacy or share (of the deceased) in the aggregate fund."

Formerly it was the rule at law, that the whole personal estate devolved on the executor; and if, after payment of the funeral expenses, testamentary charges, debts and legacies, there was any surplus, it would vest in him beneficially. And in equity, *prima facie*, the rule was the same as at law. The numerous cases upon the subject must be considered as having established the general rule that the executor, by the mere force of the appointment, should take all the undisposed of residue of the personal estate, as well beneficial as legal. But this general rule was controlled in equity, in all cases where a necessary implication or strong presumption appeared that the testator meant to give only the *office* of executor, and not the beneficial interest in the residue. In all such cases, the executor was considered a trustee for the next of kin of the testator; or, in cases where no next of kin could be found, as trustee for the crown.(z)

But now, by statute,(a) where there is a will, the surplus remaining after the payment of the debts and legacies, if not bequeathed, is to be distributed to the widow, children, or next of kin of the deceased, in the same manner and in the same proportions as is directed for the

(v) 3 Edw. Ch. Rep. 83.

(w) Wms. on Exrs. 1316, and cases cited; *Floyd v. Barker*, 1 Paige, 480. See, also, *Dominick v. Moore*, 2 Bradf. Surr. Rep. 201; *McEwing v. Bertine*, 3 id. 194.

(x) 2 Edw. Ch. Rep. 156.

(y) *Page v. Page*, 2 P. Wms. 489.

(z) See Wms. on Exrs. 1327.

(a) 2 R. S. 96, sec. 75; 3 R. S. (5th ed.) 183.

distribution of the surplus distributable in cases of intestacy; and the executor, in his mere capacity of executor, takes nothing.

In disposing of the residuary estate, whether to legatees or to persons entitled in distribution, the executor will have the right to retain a sufficient balance in his hands to pay future expenses of the estate, and to await a distribution upon a final settlement of his accounts.

The remedies for enforcing the disposition or distribution of the residuary estate have been, as the reader will have observed, in several instances already adverted to. The provisions of the statutes authorizing the surrogate to allow advances on account of legacies or distributive shares before the expiration of the year,<sup>(b)</sup> extend, as will have been noticed, to the present case. The residuary legatee or person entitled in distribution, may also take proceedings to obtain a decree for payment of the residuary estate, or of a share thereof, under the 18th section, 2 R. S. 116, repeatedly before referred to, authorizing the surrogate to decree payment of a legacy or distributive share, after the year has elapsed from the time of the grant of the letters to the executor. The practice under these provisions heretofore given,<sup>(c)</sup> applies in every particular to the remedy with respect to the residuary estate. Such legatee or person entitled may also bring a suit at law or in equity against the executor for the recovery of the residuary estate, or his share of the same. The court will, however, without doubt, in either of these proceedings, allow such retention above spoken of, upon a proper representation by the executor of its necessity, if the time for the final settlement of his accounts has not arrived at the period of the decree or judgment.

After eighteen months have elapsed from the date of his letters, the executor may be called upon to account before the surrogate at the instance of any person interested in the personal estate, either as legatee, residuary legatee, or in any other character, and to dispose of and distribute the assets remaining in his hands, under the provisions of the statutes above quoted, regulating the rendering and settling of the accounts of executors and administrators. Those provisions are contained in a separate article of the statutes, and, in conformity with the arrangement there observed, and which has been heretofore followed in these pages, as has been already mentioned, form the subject of a distinct and future branch of this work. To avoid any repetition, the consideration of the practice on compelling the disposition or distribution of the residuary estate under the provisions in question, is therefore omitted in this place; and the reader is referred to that branch of this treatise for the requisite instructions applicable to such proceedings. Provision will there also be found for allowing the executor, under proper circumstances, to retain a portion of the assets in his hands to meet contingent liabilities.

The subject of distribution is named in the title of the present chapter.

The office of an administrator, as far as it concerns the collecting of the effects, the making of an inventory, and the payment of debts, is altogether the same as that of an executor. But as there is no will (except the administration be *cum testamento annexo*) to direct the sub-

(b) See *ante*, pp. 439, 442.

(c) See *ante*, p. 439, *et seq.*

sequent disposition of the property, at this point they separate, and must pursue different courses.

In several instances the remedy for ascertaining distributive shares and compelling payment, either in part or in whole, has been above pointed out, in connection with the discharge and payment of legacies. A person entitled in distribution, as was shown,<sup>(d)</sup> may, under the order of the surrogate, obtain an advance, on account of his share, before the expiration of a year from the grant of the administration, if the same be necessary for his support, and he prove that there is at least one-third more of assets than will be sufficient to pay all claims then known. After the year has elapsed, it has also appeared,<sup>(e)</sup> the surrogate may decree payment of a distributive share against an administrator, on the application of any person entitled. The sections of the statutes governing the recovery of distributive shares by actions at law against administrators, have been given. And it may here be added that the Supreme Court, by virtue of its equity powers, likewise has jurisdiction over administrators to compel distribution. The provisions of the statutes regulating the rendering and settling of the accounts of executors and administrators, as has already been intimated, and will hereafter fully appear, also afford a remedy for ascertaining and enforcing the payment of distributive shares. In proceeding against him, it is to be observed, the administrator will have the same right as an executor, under the like circumstances, to retain a portion of the assets to meet future contingent liabilities of the estate.

It remains to consider the portion of the personal property due to each distributee.

That subject can, however, be more conveniently discussed in connection with a subsequent head of this treatise. The share of the personal property of an intestate, or, where there is a will not bequeathing a part of such property, of the residue so undisposed of, payable to each person entitled in distribution, is prescribed in that article of the statutes containing the provisions regulating the rendering and settling of the accounts of executors and administrators. In conformity with the arrangement heretofore observed in this work, the consideration of the subject is therefore to be included in that part of this work in which those provisions are treated of. Those provisions, as will be seen, furnish an ample and adequate remedy for the recovery of distributive shares. That remedy is the one most frequently resorted to in practice; and the reader, it is believed, will find the postponement, for the present, of the consideration of the subject in question, consistent with the order which experience and the course of proceedings in conducting the administration would suggest.

It is proper, however, to observe in this place, that there is no provision with respect to the payment of distributive shares by administrators, similar to that requiring the executor to discharge and pay specific and general legacies after the expiration of a year from the time of his appointment. The means are afforded, as has appeared, for ascertaining and enforcing payment of distributive shares, but such payment is not distinctly imposed upon the administrator as a duty. The

(d) *Ante*, pp. 439, 442.

(e) *Ante*, p. 439, *et seq.*



surplus remaining in the administrator's hands, after the payment of the debts, is to be regarded in the same light as the residuary estate remaining in the hands of an executor. The administrator may, therefore, properly refuse to pay a distributive share in full, unless under the order of the court. If he have assets in his hands applicable to the payment of distributive shares, and be satisfied of the title of the person claiming as distributee, he may make a payment on account, but he will be right in withholding a sufficient proportionable part, with reference to subsequent expenses and liabilities of the estate, and to await a distribution on a final settlement of his accounts.

## CHAPTER XII.

### EXECUTORS' AND ADMINISTRATORS' ACCOUNTS.

OF COMPELLING EXECUTORS AND ADMINISTRATORS TO RENDER ACCOUNTS IN THE SURROGATES' COURTS; OF THE RENDERING AND SETTLING OF SUCH ACCOUNTS; OF ENFORCING THE PAYMENT OF CLAIMS ON SUCH SETTLEMENTS; AND OF THE DISTRIBUTION OF THE ASSETS REMAINING IN THE HANDS OF THE EXECUTOR OR ADMINISTRATOR, INCLUDING NUMEROUS PARTICULARS OF THE EXECUTOR OR ADMINISTRATOR'S LIABILITY BY REASON OF HIS OFFICE, AND A COMPLETE VIEW OF THE SUBJECT OF THE DISTRIBUTION OF THE SURPLUS IN CASES OF INTES-  
TACY.

THE payment of claims of every description against the personal estates of deceased persons, may be enforced by proceedings before the surrogate, under the provisions of the statutes requiring and regulating the rendering and settling of accounts by executors and administrators. And those are the provisions usually resorted to by creditors, legatees and next of kin, for the collection of their claims; although, as has been seen, (a) the eighteenth section of the act concerning "the rights and liabilities of executors and administrators," (b) authorizing the surrogate to decree payment of debts, legacies and distributive shares against executors and administrators after the expiration of a year from the time of the granting of their letters, affords a very effectual remedy. Executors and administrators, however, not being by the last mentioned section expressly required to render an account under oath, and having, in many instances, reasonable excuses for not being prepared, after only a year from the time of their appointment, to meet every demand against their decedents' estates, claimants seldom avail themselves of the remedy which its provisions may furnish. The preliminary steps to obtain the decree under that section not being distinctly pointed out by the statute, the practice under it, also, has been supposed to be attended with some embarrassments.

Eighteen months having elapsed from the time of the appointment of the executor or administrator, he can be compelled, at any rate, to render an account of his proceedings in the discharge of his trust; and, having rendered an account, the surrogate will, upon application, make such order in the case as shall be just and equitable. The residuary

(a) *Ante*, ch. 10.

(b) 2 R. S. 116; 3 R. S. (5th ed.) 204; *Ante*, pp. 439, 454-5.

legatee, or the person entitled as next of kin, as has been already intimated,<sup>(c)</sup> as well as a claimant in any other capacity, has, after the eighteen months have expired, a more certain and ample remedy against the executor or administrator than is afforded at any previous period of the administration. It has been seen<sup>(d)</sup> that a creditor who has obtained a judgment against the executor or administrator, otherwise than after a trial at law upon the merits, must resort for the consummation of his remedy to the provisions now to be considered.

The executor or administrator, also, after eighteen months from the time of the granting of his letters have expired, may voluntarily produce before the surrogate an account of his proceedings, and, after due notice to the parties interested in the estate, may require the same to be judicially examined and settled, and procure a decree confirming or correcting such disposition of the property of the estate as he may have made, and directing him in the legal distribution of any which may still remain in his hands.

The distribution by administrators of the surplus remaining after the payment of the debts, in cases of intestacy, also will be treated of in the present chapter, together with the subject of the rendering and settling of the accounts of executors and administrators, in pursuance of the plan which has been adopted in this work of following, as nearly as may be, the arrangement of the Revised Statutes—the provisions for such distribution being contained in the same article of the statutes with those respecting the accounting.

#### STATUTORY PROVISIONS.

The following are the sections of the statutes which relate to the accounting by executors and administrators. They also prescribe many of the powers, liabilities, rights and conditions incident to the office of executor or administrator. They are for the most part contained in the third article of the third title of the sixth chapter of the second part of the Revised Statutes:

Sec. 52. An executor or administrator, after the expiration of eighteen months from the time of his appointment, may be required to render an account of his proceedings, by an order of the surrogate, to be granted upon application from some person having a demand against the personal estate of the deceased either as creditor, legatee, or next of kin; or of some person on behalf of any minor having such claim, or without such application; and in the case of an administrator, upon the application of any person who is or has been his bail, or of the legal representatives of such person.<sup>(e)</sup>

Sec. 76. When a surrogate shall make an order under the above 52d section, requiring an executor or administrator to render an account of his proceedings, the same shall be served upon such executor or administrator by showing him the original, and at the same time delivering him a copy thereof, or in case of his absence from home, by leaving a

(c) See *ante*, pp. 454, 477–8.

(d) *Ante*, pp. 352, 371; 2 R. S. 88; 3 R. S. (5th ed.) 174.

(e) 2 R. S. 92, sec. 52; 3 R. S. (5th ed.) 178, sec. 57 [sec. 52]. Amended by ch. 261 of the Laws of 1859; S. L. 1859, p. 569.

copy thereof with his wife, or some suitable person, at the place of his residence, thirty days at least before the time of hearing. But if such executor or administrator shall not reside within this state, the order shall be served by publishing it once in each week, for three months before the return day thereof, in the state paper, and also in the county paper where the surrogate resides who issued the order, if any such paper there is published in said county, and if not, in the county paper of some adjoining county, unless the order be personally served on such executor or administrator, and if it shall be personally served on any such executor or administrator residing out of the state at the time of service, such service shall be made at least sixty days before the return day thereof.<sup>(f)</sup>

Sec. 53. Obedience to such order may be enforced in the manner hereinbefore directed, to compel the return of an inventory; and in case of disobedience, the same proceedings may be had to attach the party so disobeying, and to discharge him. And the like revocation of the letters granted to him may be made, in case of the party's absconding or concealing himself so that the order cannot be personally served, or of his neglecting to render an account within thirty days after being committed; and new letters shall be granted with like effect as in those cases.<sup>(g)</sup>

Sec. 1. Whenever an absent or non-resident executor or administrator shall have been duly cited to appear and account before the surrogate, in pursuance of the above 52d section, and the citation shall have been duly served in the manner prescribed by law, and such executor or administrator shall, without showing reasonable cause, neglect or refuse to appear in pursuance of said citation, the surrogate issuing such citation may, in his discretion, thereupon make an order revoking the letters testamentary or letters of administration before granted to such executor or administrator, reciting therein the cause of such revocation; and shall grant letters testamentary or of administration of the goods, chattels, and effects of the deceased unadministered, to the person entitled thereto, (other than such executor or administrator,) in the same manner as original letters of administration, or letters testamentary, with the like effect as is provided in the twentieth and twenty-first sections of title third, chapter six, part second of the Revised Statutes, where an executor or administrator has neglected or refused to return an inventory.<sup>(h)</sup>

Sec. 54. In rendering such account, every executor or administrator shall produce vouchers for all debts and legacies paid, and for all funeral charges, and just necessary expenses; which vouchers shall be deposited and remain with the surrogate. And such executor or administrator may be examined on oath touching such payments, and also touching any property or effects of the deceased which have come to his hands, and the disposition thereof.

Sec. 55. On the settlement of an account of an executor or administrator, he may be allowed any item of expenditure, not exceeding twenty dollars, for which no voucher is produced, if such item be sup-

<sup>(f)</sup> S. L. 1837, 537; 3 R. S. (5th ed.) 178.

<sup>(g)</sup> 2 R. S. 92; 3 R. S. (5th ed.) 179.

<sup>(h)</sup> S. L. 1846, ch. 288, p. 381; 3 R. S. (5th ed.) 164, sec. 58.

ported by his own oath, positively to the fact of payment, specifying when and to whom such payment was made, and if such oath be uncontradicted; but such allowances shall not in the whole exceed five hundred dollars, for payments in behalf of any one estate.<sup>(i)</sup>

Sec. 56. The surrogate may make allowance to any executor or administrator for property of the deceased perished or lost without the fault of such executor or administrator.

Sec. 57. No profit shall be made by executors or administrators by the increase, nor shall they sustain any loss by the decrease, without their fault, of any part of the estate; but they shall account for such increase, and shall be allowed for such decrease, on the settlement of their accounts.<sup>(j)</sup>

The "Act to authorize executors and administrators to compromise and compound debts due to their testators or intestates," provides as follows:

Sec. 1. Executors and administrators may be authorized by the surrogate, or the officer authorized to perform the duties of surrogate, in the county where their letters testamentary or of administration were issued, on application, and good and sufficient cause shown therefor, and on such terms as said surrogate or officer shall approve, to compromise or compound any debt or claims belonging to the estate of their testator or intestate.<sup>(k)</sup>

Sec. 2. Nothing in this act contained shall prevent any party interested in the final settlement of said estate from showing, on the final settlement of the accounts of said executor or administrator, that such debt or claim was fraudulently or negligently compromised or compounded.<sup>(l)</sup>

Sec. 58. On the settlement of the account of an executor or administrator, the surrogate shall allow to him for his services, and if there be more than one, shall apportion among them, according to the services rendered by them respectively, over and above his or their expenses:

1. For receiving and paying out all sums of money not exceeding one thousand dollars, at the rate of five dollars per cent.;

2. For receiving and paying any sums exceeding one thousand dollars, and not amounting to five thousand dollars, at the rate of two dollars and fifty cents per cent.;

3. For all sums above five thousand dollars, at the rate of one dollar per cent.; and in all cases such allowance shall be made for their actual and necessary expenses as shall appear just and reasonable.<sup>(l)</sup>

Sec. 59. Where any provision shall be made by any will for specific compensation to an executor, the same shall be deemed a full satisfaction for his services, in lieu of the allowance aforesaid, or his share thereof, unless such executor shall, by a written instrument to be filed with the surrogate, renounce all claim to such specific legacy.

Sec. 60. If, upon being required by any surrogate to render an account, an executor or administrator desires to have the same finally settled, he may apply to the surrogate for a citation, which such surro-

(i) 2 R. S. 92; 3 R. S. (5th ed.) 179.

(j) 2 R. S. 93; 3 R. S. (5th ed.) 179.

(k) S. L. 1847, 88; 3 R. S. (5th ed.) 174, sec. 29.

(l) 2 R. S. 93, as amended by ch. 160 of Laws of 1849; 3 R. S. (5th ed.) 179, 180.

gate shall issue, requiring the creditors and next of kin of the deceased, and the legatees, if there be any, to appear before him on some day therein to be specified, and to attend the settlement of such account.(m)

Sec. 61. The citation shall be served personally on all those to whom it shall be directed, living in the county of the surrogate, at least fifteen days before the return thereof; and upon those living out of the county, or who, or whose residence may be unknown, either personally, fifteen days previously, or by publishing the same in a newspaper printed in the county, at least four weeks before the return thereof, and in such newspapers printed in any other counties, where any creditors or other persons interested in the estate of the deceased may reside, as the surrogate, upon due inquiry into the facts, shall direct.(n)

Sec. 62. If there be any such creditors or other persons interested, residing in any other state of the United States, or in either of the provinces of Canada, the citation shall be published once in each week for three months in the state paper, unless such citation be personally served on such creditors at least forty days before the return thereof; and if there be any such creditors, or other persons interested, residing out of the United States, and out of the provinces of Canada, the citation shall be published as aforesaid for six months.(o)

Sec. 63. Any creditors, legatees, or other persons interested in the estate of the deceased as next of kin or otherwise, may attend the settlement of such account, and contest the same; and they and the executor or administrator shall have process, to be issued by such surrogate, to compel the attendance of witnesses.

Sec. 64. The hearing of the allegations and proofs of the respective parties may be adjourned from time to time, as shall be necessary. And the surrogate may appoint one or more auditors to examine the accounts presented to him, and to make report thereon, subject to his confirmation; and may make a reasonable allowance to such auditors, not exceeding two dollars per day, to be paid out of the estate of the deceased.

Sec. 65. The final settlement of such account, and the allowance thereof by the surrogate, or upon appeal, shall be deemed conclusive evidence against all creditors, legatees, next of kin of the deceased, and all other persons in any way interested in the estate, upon whom the said citation shall have been served, either personally or by publication, as herein directed, of the following facts and of no others:

1. That the charges made in such account for moneys paid to creditors, to legatees, to the next of kin, and for necessary expenses, are correct;

2. That such executor or administrator has been charged all the interest for moneys received by him, and embraced in his account, for which he was legally accountable;

3. That the moneys stated in such account as collected, were all that were collectable on the debts stated in such account, at the time of the settlement thereof;

4. That the allowances in such account, for the decrease in the value of any assets, and the charges therein for the increase in such value, were correctly made.(p)

(m) 2 R. S. 93; 3 R. S. (5th ed.) 180.  
(o) 2 R. S. 94; 3 R. S. (5th ed.) 180.

(n) 2 R. S. 93; 3 R. S. (5th ed.) 180.  
(p) 2 R. S. 94; 3 R. S. (5th ed.) 180-1.



Sec. 72. [Sec. 66.] Any trustee created by any last will or testament, or appointed by any competent authority to execute any trust created by any such last will or testament, or any executor or administrator with the will annexed, authorized to execute any such trust, may from time to time render and finally settle his accounts before the surrogate of the county in which such last will or testament was proved, in the manner provided by law for the final settlement of the accounts of executors and administrators, and may for that purpose obtain and serve, in the same manner, the necessary citations requiring all persons interested to attend such final settlement, and the decree of the surrogate on such final settlement may be appealed from in the manner provided for on an appeal from a decree of a surrogate on the final settlement of the accounts of an executor or administrator, and the like proceedings shall be had on such appeal. The final decree of the surrogate on the final settlement of an account provided for in this section, or the final determination, decree or judgment of the appellate tribunal, in case of an appeal, shall have the same force and effect as the decree or judgment of any other court of competent jurisdiction on the final settlement of such accounts and of the matters relating to such trust, which shall have been embraced in such accounts or litigated or determined on such settlement thereof.(q)

Sec. 70. An executor or administrator, after the expiration of eighteen months from the granting of letters testamentary or of administration, may render a final account of all his proceedings to the surrogate who appointed him, although not cited to do so, and may obtain a citation to all persons interested in the estate, to attend a final settlement of his accounts; which citation shall be served and published in the manner prescribed in the preceding sections of this title, and thereupon the same proceeding shall be had for a final settlement, and with the like effect, in all respects, as in the case of a settlement at the instance of a creditor.(r)

Sec. 2. The surrogate shall file the accounts of administrators, executors and guardians rendered before him, and shall record with his decree a summary statement of the same, as the same shall be finally settled and allowed by him, which shall be referred to and taken as part of the final decree.(s)

Sec. 71. Whenever an account shall be rendered, and finally settled under any of the preceding sections, if it shall appear to the surrogate that any part of the estate remains to be paid or distributed, he shall make a decree for the payment and distribution of what shall so remain to and among the creditors, legatees, widow and next of kin to the deceased, according to their respective rights; and in such decree shall settle and determine all questions concerning any debt, claim, legacy, bequest, or distributive share, to whom the same shall be payable, and the sum to be paid to each person.

Sec. 72. In such order the surrogate may, upon the consent in writing of the parties who shall have appeared, direct the delivery of any personal property which shall have been sold, and the assignment of any

(q) S. L. 1850, 587; 3 R. S. (5th ed.) 181.

(r) 2 R. S. 95; 3 R. S. (5th ed.) 182.

(s) S. L. 1837, 524; 3 R. S. (5th ed.) 365, sec. 13, subdv. 3. See *ante*, p. 33.

mortgages, bonds, notes, or other demands not yet due, among those entitled to payment or distribution, in lieu of so much money as such property or securities may be worth, to be ascertained by the appraisement and oath of such persons as the surrogate shall appoint for that purpose.<sup>(t)</sup>

Sec. 73. Every person to whom any such securities may be assigned may sue and recover upon the same, at his own costs and charges, in the name of the executor or administrator making such assignment, or otherwise, in the same manner as such executor or administrator might have done.<sup>(u)</sup>

Sec. 74. If, upon the representation of an executor or administrator or otherwise, it shall appear to the surrogate that any claim exists against the estate of the deceased, which is not then due, or upon which a suit is then pending, he shall allow a sum sufficient to satisfy such claim, or the proportion to which it may be entitled, to be retained for the purpose of being applied to the payment of such claim when due, or when recovered, or of being distributed according to law. The sum so retained may be left in the hands of the executor or administrator, or may be directed by the surrogate to be deposited in some safe bank, to be drawn only on the order of the surrogate.<sup>(u)</sup>

Sec. 57. Where, by any last will, a sale of real estate shall be ordered to be made, either for the payment of debts or legacies, the surrogate in whose office such will was proved, shall have power to cite the executors in such will named, to account for the proceeds of the sales, and to compel distribution thereof; and to make all necessary orders and decrees thereon, with the like power of enforcing them as if the said proceeds had been originally personal property of the deceased, in the hands of an administrator.<sup>(v)</sup>

As the principal purpose in view is to point out the practice in the Surrogate's Court, on compelling an executor or administrator to render and settle his account, and on the final settlement of the accounts of executors and administrators, those of the foregoing sections of the statutes which prescribe the course of proceedings on compelling such account, and on such settlement, are to be chiefly considered; and those defining the duties, rights and responsibilities of executors and administrators, are to be treated of only so far as they are necessarily connected with the adjustment and settlement of the account. The distribution of the surplus, in cases of intestacy, will form a principal subject of consideration after the examination of these provisions shall have been concluded.

#### OF COMPELLING AN EXECUTOR OR ADMINISTRATOR TO ACCOUNT IN THE SURROGATE'S COURT.

It is proposed, in the first place, to point out the practice on compelling an executor or administrator to render an account. The settling and allowing of an account, whether rendered in obedience to a surrogate's order or voluntarily, by the executor or administrator will next be treated of.

(t) 2 R. S. 95; 3 R. S. (5th ed.) 182.

(u) 2 R. S. 96; 3 R. S. (5th ed.) 182-3.

(v) 2 R. S. 109; 3 R. S. (5th ed.) 198, sec. 68 [sec. 57].

## WHO MAY COMPEL AN ACCOUNT.

By the above section, numbered 52, an executor or administrator may be compelled to account, after the expiration of eighteen months from the time of his appointment, upon the application of any person having a demand against the personal estate of the deceased, either as creditor, legatee, or next of kin, or of some person in behalf of any minor having such claim, or without such application. And, by the amendment of 1860, the bail of an administrator, by which is to be understood the sureties in the bond given by him on taking out administration, may compel such administrator to render an account to the surrogate.

The section plainly requires that a person, to be entitled to the order to account, should have a demand or possess an interest in one of the prescribed capacities. It is apprehended that, consequently, such interest ought to be shown to the surrogate, before he should grant the order to render an account. As in the case of compelling the return of an inventory, however, an interest, or even the appearance of an interest, is sufficient in the first instance to entitle the party to the order; and it is usually issued without any particular inquiry as to the claim of the applicant. In the directions now to be given for the preparation of the petition for an account, this point will notwithstanding be distinctly regarded, because it is believed that at least a partial inquiry, in the first instance, respecting the interest of the petitioner, is required by the true construction and intention of the statute.

The heir at law has no place given him, by statute, in the Surrogate's Court to compel an accounting by an executor or administrator, and the surrogate has no authority to inquire into or settle rights of the heirs at law to property in the hands of the executor or administrator. The right to compel, or to attend upon an accounting by an executor or administrator, is confined to creditors, legatees, and next of kin. The next of kin only, and not heirs at law, are to be cited to attend upon the accounting. Their rights can be passed upon by the surrogate. An adjudication upon the rights of the heirs at law would be simply void. Thus, where money, the proceeds of the sale of real estate of the decedent, impressed with real uses and to be deemed real estate, and which therefore descended, as the real estate would have descended, to the heirs at law of the decedent, and did not go to the personal representatives for distribution among the next of kin or others entitled thereto, came into the hands of an administrator, it was held that he was not accountable in the Surrogate's Court for his disposition of such money, and that the surrogate was right in dismissing proceedings to compel the administrator to account for such money.<sup>(w)</sup>

It is proper to observe further that, strictly by the words of the section, the widow, as such, cannot compel the personal representative of her deceased husband to account—she not being a person having a demand against the personal estate in the requisite capacity. She is, however, clearly within the equity and spirit of the section, and, beyond all doubt, an account may be decreed upon her application. So, also,

(w) *Shumway v. Cooper*, 16 Barb. 556.

the sureties of an executor who, being a non-resident, or for any other reason, has given security on taking out letters testamentary, (x) or who, subsequently to the grant of letters, has been obliged to give security, (y) are doubtless within the meaning of the amendment of 1860, and may compel such executor to render an account before the surrogate.

•  
OF THE PETITION FOR AN ACCOUNT.

The order requiring the executor or administrator to render an account, as has been intimated, is obtained on the petition of the claimant to the surrogate. The statute says the order shall be granted on the application of the party, but the practice is to require a written petition to be filed. The language of the section, as it prescribes certain capacities in which an account may be demanded, contemplates, it is above intimated, the necessity that the surrogate should take some proof of the requisite interest in the claimant, before granting the order upon the executor or administrator. It is proper that such proof should be reduced to writing, and the form of a petition for the order has been adopted, as conformable to the practice in similar cases in other courts. In order that such proof may be judicially reliable, it should be under oath. The petition should therefore be sworn to. The proof so furnished by the petition, and the granting of the order thereon, are not, however, conclusive as to the right of the party to demand an account, and that question may yet be raised by the executor or administrator on the return of the order.

The petition should contain the proper averments to show jurisdiction in the surrogate, and should state the date of the appointment of the executor or administrator, the interest of the petitioner, and every fact and circumstance which will go to establish his claim, and the liability of the executor or administrator to account and make payment. For the last purpose, if within the knowledge of the petitioner, he may, for instance, allege abundant assets and the discharge of all prior claims. It should set forth, also, that the petitioner has duly requested an account and payment of his claim, since the expiration of eighteen months from the time of the appointment of the executor or administrator, and that the executor or administrator has neglected or refused to comply with such request; and unless such request has been made and refused, and is alleged in the petition, or proved on the part of the petitioner, on the hearing of the matter, although an account and payment may be decreed, the petitioner ought not to be allowed to have any costs or expenses of the proceeding charged against the estate or the executor or administrator. Even where the executor or administrator has neglected to advertise for creditors to exhibit claims, a creditor should make a demand for payment before asking for an account, because it may be that his claim would have been paid, and the accounting, therefore, at his instance needless. It has been determined, as was intimated in a previous chapter, (z) and as will hereafter more particularly appear, that the surrogate has not jurisdiction, on an accounting

(x) See *ante*, p. 219.

(y) See 2 R. S. 72; 3 R. S. (5th ed.) 156; *Post*, ch. 14.

(z) Chap. 10, *ante*, pp. 377-8; *In the Matter of the Estate of John Kent*, Law of Surrogates, (1st ed.) Appendix, p. vii.

before him, to determine the validity or amount of a disputed debt against the estate. A creditor, therefore, it would seem, if he seek payment of his claim in case of contest before the surrogate, should show that his claim has been either assented to by the executor or administrator, or duly established against him by competent adjudication, and should state such assent, or the prosecution and establishment of his claim, by a reference pursuant to the statute, or otherwise by a judgment or decree, in his petition for an account. As a general rule, however, if a creditor swears positively to a debt due to him from the decedent, he will be entitled to an order for an inventory and an account of the estate.(a) A legatee should set forth in his petition the will of the deceased, or fully and distinctly refer to the provisions of the same under which he claims. A person petitioning as next of kin, will of course assert his degree of relationship to the deceased, as that is the foundation of his demand. Even a contingent interest in the estate is sufficient to entitle the party having such interest to an order that the executor or administrator render an account;(b) and a petition presented by a creditor to a surrogate, praying for an order requiring executors to render an account, is sufficient if it states that the petitioner is a creditor of the deceased, and, as such, has claims against the estate of the testator; although it does not in terms specify that the claims are against the *personal* estate.(c) Where the legacy or distributive share belongs to a married woman, and not to her husband, the husband and wife should both join in the petition, so that the accounting may be binding upon the wife as well as upon her husband, and so that the proceedings could be continued in her name, if her husband should happen to die before the final decree.(d) Other statements and charges proper to be included in the petition, may be gathered from the directions heretofore given with respect to petitions for obtaining a decree for payment of a debt, or of a legacy or distributive share, after the expiration of a year from the time of the appointment of the executor or administrator, under the 18th section of the act concerning "the rights and liabilities of executors and administrators,"(e) above referred to.

No persons besides the executor or administrator need be made parties to the proceeding. Where, however, an executrix is a married woman, as she cannot act without her husband's concurrence, and he is responsible for her acts, and his power is substantially that of an executor, he should be made a party to the accounting.(f) By the above section, numbered 60, the executor or administrator may, when ordered to account, bring in other parties in interest to attend to their rights in the premises.(g)

The prayer of the petition should be for a decree for payment of the claim as well as for an account, as the surrogate is authorized to make

(a) *Per* Walworth, Chancellor, 1 Barb. Ch. Rep. 489.

(b) *Id.*

(c) *Wever v. Marvin*, 14 Barb. 376; 7 How. Prac. Rep. 182.

(d) *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 478. See, also, *Guild v. Peck*, 11 Paige, 475.

(e) 2 R. S. 116; 3 R. S. (5th ed.) 204. See *ante*, pp. 356, 373, *et seq.*, 454, *et seq.*

(f) See 2 R. S. 69; 3 R. S. (5th ed.) 154; *Ante*, pp. 214, 216; *Wood v. Cox*, 2 Bradf. Surr. Rep. 153; *Marre v. Ginochio*, *Id.* 165.

(g) See *Guild v. Peck*, 11 Paige, 475.



a decree for payment both by the above mentioned 18th section, which provides for such decree after the expiration of a year from the time of the granting of the letters, and by the above section, numbered 71,<sup>(h)</sup> which requires him, whenever, upon the rendering and settling of an account of an executor or administrator, any part of the estate remains to be distributed, to make a decree for the due payment and distribution thereof. [For form of petition, see Appendix, No. 62.]

If the applicant, in his petition to the surrogate, do not ask for the payment of his debt, or legacy, or distributive share, but merely ask that the executor or administrator may render an account, the rendering of the account by the executor or administrator will fully comply with the prayer of the petition, and the jurisdiction of the surrogate under the same, except for the purpose of examining the executor or administrator under oath, according to the statute, will be exhausted. The party can have no further relief under that petition. No settlement of the account can properly be made in such a case, without presenting a new petition to the surrogate for the settlement and adjustment of the account, and the payment of the claim of the petitioner.<sup>(i)</sup>

#### OF THE ORDER TO ACCOUNT.

On presenting and filing the petition, the order to render an account is made.<sup>(j)</sup> It must be entered in the surrogate's minutes. It requires the executor or administrator to appear before the surrogate on a day not less than thirty days distant, and render an account of his proceedings in the performance of the duties of his trust, and show cause why he should not pay the debt due to the petitioner, if the petitioner be a creditor; or, if he be a legatee or one of the next of kin, why he should not discharge the legacy or pay the distributive share of the petitioner. [For form of the order, see Appendix, No. 63.]

The surrogate has not jurisdiction to make or enforce an order that a person named as an executor in a will, but to whom letters testamentary have not been issued, render an account of his proceedings as executor. And although such person may have performed some acts indicating that he had assumed the execution of the will, such as signing the notice of appraisement jointly with the other executor, and uniting with the other executor in returning the inventory, yet this will not authorize the surrogate to proceed against him to compel him to render an account under the statute. Such person must have been appointed executor according to law, and not be a mere wrong-doer interfering with the estate, to give the surrogate jurisdiction over him. An executor *de son tort* is chargeable as such, and may be sued as executor generally:<sup>(k)</sup> but a surrogate has no power, under the 52d section of the statute, to call an executor *de son tort* to account. His jurisdiction under this provision extends to those only who are named as executors in the will, to whom letters testamentary have been actually issued.<sup>(l)</sup>

<sup>(h)</sup> 2 R. S. 95; 3 R. S. (5th ed.) 181; *Ante*, p. 485.

<sup>(i)</sup> See *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 478; *Smith v. Van Keuren*, 2 id. 473; *Campbell v. Bruen*, 1 Bradf. Surr. Rep. 224; *Guild v. Peck*, 11 Paige, 475.

<sup>(j)</sup> But see *Gratacap v. Phylfe*, 1 Barb. Ch. Rep. 485.

<sup>(k)</sup> 2 R. S. 449, sec. 17; 3 R. S. (5th ed.) 747; *Ante*, p. 193.

<sup>(l)</sup> *Wever agst. Marvin*, 7 How. Prac. Rep. 182, 186; 14 Barb. Sup. Ct. Rep. 376.

By the above mentioned 52d section, the executor or administrator is to be required to render an account by an *order* of the surrogate; and the above section (numbered 76, from the law of 1837,) speaks of "an *order* requiring an executor or administrator to render an account;" but in other places, as in the above section, (numbered 1, of the act of 1846,) the process to compel an account is called a *citation*. In the court of the surrogate of the county of New York, the first process for the purpose has always been an order. In other counties a citation has been issued, and there is no difficulty in framing a citation to meet the case. The practice of issuing an order is, without doubt, strictly correct, and, it is submitted, in view of the terms of the section expressly authorizing the proceeding, is to be preferred to that by citation. In the Appendix, however, will be found forms of the order for the citation, and of the citation to be used where the latter practice prevails. [See Appendix, No. 63.] The observations hereafter to be made, in which the process is referred to by the name of an order, it will be understood, apply as well to cases in which the proceeding has been by citation.

The order must be served upon the executor or administrator at least thirty days before the day appointed for the hearing, by showing the original and delivering a copy, or otherwise, as is prescribed by the above section, numbered 76, S. L. 1837; p. 537. In order to expedite the proceedings, it will prove useful to serve a copy of the petition at the same time with the order upon the executor or administrator, as otherwise, on the return day, he may set up ignorance of the claim and of the contents of the petition, and demand further time to enable him to answer the allegations of interest made by the claimant, or to prepare any other defence which he may assert to exist against the application or the right of the petitioner to call him to an account.

#### OF THE PROCEEDINGS ON THE RETURN OF THE ORDER.

If the executor or administrator fail to appear on the day appointed, after proof of personal service of the order, a further order is issued against the executor or administrator, reciting briefly the previous proceedings, and requiring him to show cause at a short day why an attachment should not issue against him for his disobedience of the order to account; and the proceedings in such case are, by the above section, numbered 53, the same as those on disobedience to a summons to return an inventory, heretofore treated of.<sup>(m)</sup> The surrogate will not grant an attachment on any other than personal service of the order.

If the service has been made by delivering the copy to the wife of the executor or administrator, or to a suitable person at his residence, on proof of such service, an order, requiring his appearance and an account at a short day, or attachment, is usually granted, upon which, if personally served and disobeyed, the claimant may have an attachment. The provisions of the statutes respecting the service of the order and the subsequent proceedings thereon, will be found not easily reconcilable in practice in all cases; but the almost universal case is that of

(m) See *ante*, ch. 7, p. 284, *et seq.*

an executor or administrator residing in the county of the surrogate, or in this state, and for such the practice to be pursued is prescribed with sufficient clearness, and the remedy afforded is certain and adequate. For the purposes of this work, the case supposed is that of a resident executor or administrator.

The practice and forms for proceedings on the revocation of the letters of an absent or non-resident executor or administrator, in the case specified in the above section of the act of 1846, may be gathered from the practice and forms for proceedings on the similar revocation for not returning an inventory, described and indicated in the previous chapter of this work, where the subject of compelling the return of inventories is treated of; and the provisions of the Revised Statutes mentioned in the closing portion of the section, will also be there found considered.(n)

On the return day of the order, if the claimant neglect to appear, the executor or administrator may have the matter dismissed. Upon the appearance of both parties, the hearing is proceeded with. The questions before the surrogate, on the return of the order, may be, first, the right of the petitioner to demand an account from the executor or administrator; and, second, if an account has been rendered in pursuance of the order, the correctness and justness of the same, or of any item or items thereof.

If the claimant is not satisfied to rest his case upon the statements and charges contained in his petition, he is not precluded, before the commencement of the investigation, from putting in a further written allegation or libel, more fully propounding or stating the substance of his claim against the defendant, and the nature and grounds thereof. If his petition and such allegation be insufficient, and show no grounds for proceedings against the defendant, the court may be called upon to reject the same; or the defendant may take issue on the facts propounded, or put in a counter allegation in the nature of a plea in bar. Until some issue is joined in the cause, neither party can be prepared to go into the examination of testimony.(o)

If the petitioner claim as a legatee or as next of kin, his identity or his title as a distributee may be disputed. In any case, the executor or administrator may defeat the application by showing that the supposed claim of the petitioner is unfounded, or has been paid or discharged. And there may be other grounds of defence which the executor or administrator may take against the right of the petitioner to an account at all, which, if proved, will cause the petition to be dismissed, and that with costs.

It may be said that, notwithstanding the claimant may not make out a title to demand an account, yet the executor or administrator is bound to render one, because the statute authorizes the surrogate to order him to render an account without any application for the purpose. And where infants are concerned, it is, indeed, probably the duty of the surrogate, after a reasonable time has elapsed for the settlement of the estate, to call the executors or administrators to account without application by any one, especially if he has reason to appre-

(n) See *ante*, ch. 7, p. 284, *et seq.*

(o) *Foster v. Wilber*, 1 Paige, 540.

hend that the interest of the minors requires such an *ex officio* proceeding.(p)

And this is the reason why strict proof of interest in the claimant is not required in the first instance, on the application for the order. It is granted that, in the case of a proceeding on the part of the surrogate, of his own motion, the executor or administrator could not maintain any objection to rendering an account. But where it appears that the party calling for the account is a mere volunteer, having no interest in the estate, it is supposed that he ought not to be encouraged in harassing the executor or administrator by compelling a needless accounting, and that there would be something like an improper officiousness in the surrogate's stepping into his place and adopting his proceedings as if they had been originally commenced *ex mero motu*. It was accordingly before urged that the surrogate should require some proof of the interest of the claimant in the estate of the deceased previously to issuing the order to account, and it is now submitted that, where it is shown that the claimant is not entitled to an account, his petition should be dismissed, and he should be charged with all the costs and expenses of the proceedings.(q)

It is not usual to require the exhibition of an inventory or account, unless at the intervention of a party in interest.(r)

But an interest, or even the appearance of an interest, as has already been stated, the same as in the case of compelling the return of an inventory, will entitle the party to an account. Therefore, a person petitioning as a creditor may have an account, although his debt has not been assented to by the executor or administrator, or established by judgment or decree, and even where the debt is contested, if it be sworn to by the creditor, unless the executor or administrator show that his claim is utterly unfounded.(s) The surrogate, however, as has already been stated, cannot proceed to determine the validity or amount of the debt, and consequently such creditor will not be able to obtain a decree for payment.(t) And a party having an interest, who prays an account, shall not be chargeable with costs unless he makes objection to it which he fails to substantiate.(u)

The principles heretofore laid down in respect to the rights of the parties on compelling the executor or administrator to return an inventory,(v) apply, it is proper to state, in every particular to the rights of the same parties on compelling an account. To avoid a repetition, therefore, the reader is referred to that part of this work, for the rules to be observed in the present proceeding.(w)

(p) *Smith v. Lawrence*, 11 Paige, 211.

(q) See *Gratacap v. Phyfe*, 1 Barb. Ch. Rep. 485.

(r) *Thomson v. Thomson*, 1 Bradf. Surr. Rep. 24.

(t) *Ante*, pp. 377-8, 488; *Post*, p. 504.

(v) *Ante*, ch. 7, p. 281.

(s) *Id.*

(u) 4 Burn's E. L. (8th ed.) 429.

(w) The mode of proceeding thus described for compelling an executor or administrator to render an account in the Surrogate's Court, is the one now pursued in the court of the surrogate of the county of New York, and such has been substantially the practice of that court since the adoption of the Revised Statutes. Some unimportant variations in the practice in these cases will be observed, between that described in the first and that described in the present edition of this work. In *Gratacap v. Phyfe*, (1 Barb. Ch. Rep. 485,) Chancellor Walworth was of opinion, however, that except in the case where the surrogate requires an

The executor or administrator may set up the Statute of Limitations as a defence to the claim of a creditor, and will be entitled to have the proceeding dismissed, if it appear that the statute has run against the claim.(x)

The pendency of a suit in equity in the Supreme Court, or any other court of record, by one creditor for an account, if the suit has not proceeded to a decree, is no bar to a proceeding instituted before the surrogate by another creditor for an account. But if the same creditor

account *ex officio*, an order absolute that the executor or administrator render an account ought not to be made in the first instance, and that, where there is an application for an account by or on behalf of a person interested in the estate, the surrogate should preliminarily issue a citation to the executor or administrator to show cause, at an early day, why he should not be required to render an account; upon the return of which, if cause was not shown, an order to account might be granted. And the learned chancellor makes the following observations on the subject: "The statute authorizes the surrogate to make an order, after the expiration of eighteen months from the time of the appointment of the administrator, that he render an account of his proceedings. And such an order may be granted upon the application of a person having a demand against the personal estate of the decedent as creditor, legatee, or next of kin, or in behalf of a minor having such claim; or it may be made by the surrogate *ex officio*, without any such application. The proceedings, however, are entirely different, where the order is made by the surrogate *ex officio*, from what they are when it is made upon an application in behalf of a person interested as a creditor, or as a legatee, or as the next of kin of the decedent. In the first case, it may, perhaps, sometimes be proper for the surrogate to make an absolute order in the first instance, as it is a matter resting in the discretion of the surrogate whether he will or will not require an account of the administration of the estate, although no person interested thinks proper to institute a suit for that purpose. And it undoubtedly is a proper exercise of such discretion for the surrogate to require such an account *ex officio*, whenever, in his opinion, the rights of minors, who are interested in the estate as legatees or next of kin, render such an account proper: *Roberts v. Roberts*, 2 Lee's Eccles. Rep. 399.

"On the rendering of such an account, if it appears that the administrator has in his hands money belonging to infants, the surrogate should notify the guardians or relatives of such infants of the fact; so that the fund may be received and properly invested for the benefit of those to whom it belongs.

"But, in the case of an application by or on behalf of a person claiming to be interested in the estate as a creditor, legatee, or as the next of kin of the decedent, an absolute order to account should not be made in the first instance, and without notice of the application to the administrator; for in such cases the right of the applicant to call for an account may be questioned. The surrogate, therefore, upon the presentation of the petition for account, should direct the administrator to be cited to appear at a specified time, and to show cause why an order that he render an account of his proceedings should not be granted, so as to give him an opportunity to object that the affidavit of the debt of the applicant is insufficient, or that such applicant is not interested in the estate as a legatee or as next of kin, &c. And the party cited may show, in answer to the application, that the right of the applicant to an account is barred by a release, or otherwise." See *Millington v. Sorsby*, 1 Lee's Eccles. Rep. 525.

With great deference it is submitted that the 52d section of the statute authorizes and requires the surrogate to grant the order to account against an executor or administrator, to any person applying in the requisite capacity, and that a citation to appear and show cause is neither directed nor authorized by the statute. By the practice of the New York Surrogate's Court, and as proposed in the text before granting the order to account, the surrogate requires in the petition such a statement under oath, of the facts and of the nature of the petitioner's claim, as *prima facie* entitles him to an account; but the granting of the order has never been considered conclusive of the right of the party to demand, or of the liability of the executor or administrator to render such account, and upon the return of the order the executor or administrator is permitted to traverse the allegations of interest on the part of the petitioner, and to contest his right to demand an account; and if it appear that the petitioner has not the right, the order to account is discharged and the petition is dismissed. This practice has been found by experience conducive to the ends of justice. It is supposed to be based upon the express provisions of the statute, and not to be objectionable for any of the reasons suggested by the chancellor.

(x) *Martin v. Gage*, 9 N. Y. (5 Seld.) Rep. 398.



who has brought a suit in such court in equity against the executor or administrator for an account, afterwards cites him to account before the surrogate, the pendency of the suit in equity may be set up before the surrogate in the nature of a plea in abatement, and will constitute a valid objection to the proceedings there. After a decree for an account has been made in the equity suit, for the benefit of all persons interested in the estate of the decedent, such decree will deprive every such person of the right to proceed before the surrogate for an account.<sup>(y)</sup>

A surrogate has no jurisdiction to call the personal representatives of a deceased executor to account before him as the representatives of the first testator, when none of the effects of such testator came to their hands in that character.

But upon the application of a person who, as a legatee or distributee of the first testator, is a creditor of the deceased executor, the surrogate has authority to call the representatives of the latter to account for the estate of their own testator or intestate; and upon such accounting the surrogate is authorized to liquidate and determine the amount of the claim against the estate of such testator or intestate as the representative of the first testator.<sup>(z)</sup>

And, as has already appeared,<sup>(a)</sup> the surrogate has no authority to inquire into or settle the rights of heirs at law to property in the hands of an executor or administrator. Where S. bequeathed his personal estate to his son J. W. S., and made L. executor of his will, and J. W. S., the son, subsequently died, having appointed L. and three other persons his executors, it was held that the fact that the executor of the father was also one of the executors of the son, was not a valid objection to the jurisdiction of the surrogate to cite him to account, upon the application of his co-executors, under the will of the son; and that the co-executors of L., under the will of the son, had a right so to cite L. to account before the surrogate, as the executor of S., to enable them to ascertain the amount due from him to their testator, under the will of S., the original testator.<sup>(b)</sup>

#### OF THE FORM OF THE ACCOUNT; AND OF THE VOUCHERS.

The right of the claimant to demand an account being admitted, or having been established, the executor or administrator must forthwith file his account with the vouchers thereof. The account to be rendered is, as directed by the statute, an account of the proceedings of the party as executor or administrator. It should consist of a debit and credit account of his receipts and disbursements by reason of his office, and in the performance of the duties of his trust, with a summary history of his transactions in the administration.<sup>(c)</sup> It should comprise, also, such statements respecting the disposition which he may have made of any

<sup>(y)</sup> *Rogers v. King*, 8 Paige, 210.

<sup>(z)</sup> *Dakin v. Demming*, 6 Paige, 95.

<sup>(a)</sup> *Ante*, p. 487; *Shumway v. Cooper*, 10 Barb. 556.

<sup>(b)</sup> *Smith v. Lawrence*, 11 Paige, 206. See, also, *Wurts v. Jenkins*, 11 Barb. Sup. Ct. Rep. 546.

<sup>(c)</sup> The account may also very well be made out in the form to be found in the Appendix, No. 68, for an account voluntarily rendered by an executor or administrator.

of the property of the estate, or respecting his proceedings in the administration generally, as it may concern him to lay before the surrogate either in explanation of any of the items of his debit and credit account, or in answer to the allegations contained in the claimant's petition, or to any objections which may have been raised to any part of his conduct as executor or administrator. [For form, see Appendix, No. 66.] By the above section, numbered 54, vouchers must be produced for all disbursements and expenditures. Such vouchers must be deposited and remain with the surrogate, and the executor or administrator may be examined on oath touching his payments, and touching any property or effects of the deceased which came into his hands, and the disposition thereof. By the 55th section, the executor or administrator may be allowed any item of expenditure not exceeding \$20, for which no voucher is produced, provided it is supported by his oath, and such items do not, in the aggregate, exceed \$500.

#### OF THE EXECUTOR'S OATH TO THE ACCOUNT.

The account should be duly verified by the oath of the executor or administrator. When an executor or administrator is called upon to account before the surrogate, he is bound to verify the account by his oath or affidavit, stating in substance that such account contains, according to the best of his knowledge and belief, a full and true account of all his receipts and disbursements on account of the estate of the decedent, and of all sums and property belonging to the estate which have come to the hands of such executor or administrator, or which have been received by any other person by his order or authority for his use; and that he doth not know of any error or omission in the account, to the prejudice of any of the parties interested in the estate of the decedent. And if he wishes to be allowed for payments and disbursements of \$20 and under, for which he is unable to produce proper vouchers or other evidence, he must specify in his account the times when, the persons to whom, and the purposes for which the several payments or disbursements were made; and he must add to his affidavit a positive allegation that the said several sums have been actually paid or disbursed by him, as charged in the account. But this general affidavit will not authorize the allowance of any items exceeding \$20, if the same is disputed, without the production of the proper vouchers showing the expenditure of the money, or other legal evidence of its payment.(d) The statute makes the oath of the executor or administrator evidence in his own favor as to certain small items of disbursement, and to a limited amount. Beyond those, the oath of the executor or administrator to the correctness of the account is not evidence in his own favor. But, for the protection of the rights of parties interested in the estate, as creditors, legatees or otherwise, the surrogate should in all cases require the account to be rendered under oath.(e) [For form of the oath, see Appendix, No. 67.]

(d) *Williams v. Purdy*, 6 Paige, 167.

(e) *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469.

## OF THE PROCEEDINGS ON THE ACCOUNTING.

It often occurs that an executor or administrator, at the time he is ordered to account, has not advertised for claims pursuant to the statute; and formerly, on the ground that by reason of this omission the claims against the estate were not ascertained, and consequently the amount due the petitioner could not be determined, it was the practice in the court of the surrogate of the county of New York, in such a case, to allow an adjournment, which, where the advertisement had not been commenced, was of six months, to enable the executor or administrator to publish the requisite notice, and he was not obliged to bring in his account until the expiration of such extended period. The claimant was thus hindered in the just prosecution of his demand, because the executor or administrator had not seen fit to perform the duty, or rather to avail himself of the protection of advertising for claims.

This practice does not any longer prevail in the New York Surrogate's Court. There is, perhaps, as has heretofore been observed, (f) some indistinctness in the statute relative to advertising for claims; but, in the Surrogate's Court named, it is considered that the section authorizing the publication of the notice, was intended solely for the benefit and protection of the executor or administrator; and that, after the time for advertising has expired, the neglect to advertise ought not to be allowed to operate to the delay or injury of persons having honest claims against the estate. The publication of the notice is regarded as entirely optional with the executor or administrator. If he thinks proper to neglect it, such neglect is held as a virtual admission that he has knowledge, as is generally actually the case, of all the claims against the estate. He is therefore required, after the eighteen months have expired, on the petition of a claimant, asking for an account and for payment of his claim, to produce his account immediately, and to pay an admitted or established demand, the just proportion appearing from the account, to which it may be entitled. There may be cases in which the omission to advertise may be a sufficient ground for adjourning the proceedings against the executor or administrator, but in such cases an account up to the period of the order must be brought in, and it must be made to appear that such omission has not been intentional or for the purpose of delay, that the application for an adjournment for the purpose of advertising for claims is in good faith, and that there is reason to believe that there are claims against the deceased for which the assets in the hands of the executor or administrator are liable, which have not been brought to his notice; and in such a case the executor or administrator is chargeable personally with all the costs and expenses occasioned by the adjournment, as a penalty for his non-performance of his proper duty.

An account having been rendered, either on the return day of the order, or after an adjournment, and the vouchers having been filed, and the executor or administrator having been examined, if the petitioner has omitted to ask for payment of his claim or for the settlement of the account, but merely prayed an account, the jurisdiction of the

(f) *Ante*, ch. 10, p. 380..

surrogate under the petition is exhausted, and the proceeding here closes. In such a case the creditor, legatee, or distributee, if he seek payment of his claim, must make a new application to the surrogate, stating the nature and extent of his own claim upon the fund, and his objections, if any, to the account rendered by the executor or administrator, and asking that the account may be settled and adjusted, and that he may be paid the amount of his claim, or so much thereof as he may be entitled to out of the fund in the hands of the executor or administrator.<sup>(g)</sup> If the petitioner has asked for both the account and payment, or the settlement of the account in his original petition, or a new application having been filed, the proper proceedings are to be had to ascertain the correctness of the account. The claimant may allege objections against the account, or any of the items thereof. The executor or administrator himself, and witnesses on either side, may be examined touching the property or effects of the deceased, and the disposition thereof.

The executor or administrator may avail himself of the Statute of Limitations in bar of the petitioner's claim, in the same manner as in a suit at law upon such claim. And where the testator, by his will, authorized his executors to sell so much of his personal property as they might deem proper, and "all or so much of his real estate as they should deem best for the benefit of his heirs or for the payment of his debts," and the petitioner claimed to be a creditor by simple contract, and the statute had not run against his claim at the time of the testator's death, but over nine years had elapsed since the debt accrued before the proceedings were commenced before the surrogate; it was held that the Statute of Limitations was a bar to the claim, and that the direction in the will to pay debts did not avoid the presumption of payment from lapse of time, although arising after the death of the testator.<sup>(h)</sup>

Adjournments of the proceedings may take place, and a reference to an auditor may be had, pursuant to the above section, numbered 64.<sup>(i)</sup> If, after due inquiry, there appears a balance in the hands of the executor or administrator applicable to the payment in full or in part of the petitioner's claim, the surrogate will at once decree a full or proportionable payment as may be just.

An executor or administrator, on being ordered to account at the instance of a claimant, will frequently demand a final settlement of his accounts, according to the provisions of the above section, numbered 60. If there be a deficiency of assets, or if any difficulty exist as to making payments or distribution, it is reasonable that he should insist, before satisfying any particular claimant, that the share of all the claimants should be determined and fixed, and himself protected in the discharge of his trust, by the decree of a competent judicial tribunal, which may be effected by bringing all the parties before the surrogate, to attend a

<sup>(g)</sup> *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469; *Smith v. Van Keuren*, 2 Barb. Ch. Rep. 473. See, also, *Campbell v. Bruen*, 1 Bradf. Surr. Rep. 224; *Bogart v. Van Velsor*, 4 Edw. Ch. Rep. 721. See *supra*, pp. 489, 490.

<sup>(h)</sup> *Martin agst. Gage*, 5 Seld. 398.

<sup>(i)</sup> A question has been raised, whether the authority to appoint auditors extends to this case. The provision of the statute seems to apply only to the case of an accounting, after the executor or administrator has brought all the parties interested before the surrogate.

final settlement of his accounts. Where the executor of the father was also one of the executors of the son, and he was ordered to account as executor of the father upon the application of his co-executors of the son, it was considered that, upon being thus ordered to account, he could have a final settlement of his accounts as executor of the father, in the same manner as if he had been ordered to account by the son in his lifetime.<sup>(j)</sup> On such final settlement, every question is involved which can be raised on an accounting at the instance of a single claimant.

The practice on an investigation into the account rendered on the application of a claimant, is above summarily stated. The course of investigation, and the proceedings on the examination of the executor or administrator, and of witnesses, and on a reference to an auditor, which are presently to be fully described in a case of a final settlement of an account, are to be exactly followed in the case of an accounting prosecuted by a single claimant. It is proposed, therefore, to defer any further or more particular directions or remarks respecting the account of an executor or administrator, or the oath to be annexed thereto, or the subsequent proceedings thereon, until they come to be treated of in connection with a case of a final accounting. This arrangement is deemed expedient, not only because precisely the same principles apply to both accountings, but because it will render this work conformable in its plan, in this respect, to the usual course of proceedings, and save the necessity of any repetition, at the same time that every particular material to the due understanding of the subject is included.

#### OF THE FINAL SETTLEMENT OF THE ACCOUNTS OF EXECUTORS AND ADMINISTRATORS.

The final settlement of the accounts of executors and administrators comes now to be treated of. Such settlement may be accomplished, pursuant to the above section, numbered 60, where the executor or administrator has already been ordered to account; or the account may be voluntarily produced, under the above section, numbered 70.

There are two classes of cases in which the surrogate may proceed to settle the account after it has been rendered—the first, where a creditor, legatee, or distributee asks for payment of his demand, and the executor or administrator denies the sufficiency of assets, thereby rendering it necessary, before ordering payment, to adjust his account, and ascertain the amount of the fund; and the second, where the executor, on being cited to account, or without being cited, applies to the surrogate for a final settlement. The first settlement is conclusive between the parties only, and is therefore not final in a full sense; the second is between all the parties interested in the estate, and is properly final.<sup>(k)</sup>

The final settlement of the account, under the provisions of the statutes, is intended for the benefit and protection of the executor or administrator,<sup>(l)</sup> and to release him from all further or subsequent liabil-

<sup>(j)</sup> *Smith v. Lawrence*, 11 Paige, 206.

<sup>(k)</sup> *Campbell v. Bruen*, 1 Bradf. Surr. Rep. 226-7. See, also, *Guild v. Peck*, 11 Paige, 275.

<sup>(l)</sup> *Bank of Poughkeepsie agst. Hasbrouck*, 2 Seld. 224.



by the master of the final settlement of the account which has been ordered to be made. The final settlement of the account is made by the master of the court in the case of the account which, being made, is to be made to all parties interested in the final and conclusive settlement of the account. Proceedings for the purpose of such final settlement of the account is essentially a final and conclusive settlement of the account of the executor or administrator in consequence of the fact that the account is made in the final settlement of the account in the Surrogate's Court, special reference therefore is made to the object of rendering such settlement conclusive upon all parties interested in the personal estate of the deceased.

By the above section, numbered 60, an executor or administrator who has been ordered to account, if he desire to have his account finally settled, may have a citation, requiring the creditors and next of kin of the deceased, and the legacies if there be any, to appear before the surrogate on some certain day, and attend the settlement of such account. By the above section, numbered 70, an executor or administrator, after the expiration of the eighteen months, may render a final account of all his proceedings to the surrogate, although not cited to do so, and may obtain a citation to all persons interested in the estate to attend a final settlement of his accounts. The proceedings under the two sections are alike. In treating of the final settlement, therefore, no distinction will be made between the two cases.

There is no provision in the statutes which prevents an executor or administrator from obtaining a final settlement of his accounts, because he has not filed an inventory or advertised for claims against the estate.

#### OF THE PETITION FOR A FINAL SETTLEMENT.

The application to the surrogate for the citation under either the 60th or 70th section must be made in writing. It should contain the proper statements to show jurisdiction in the surrogate, and should set forth that eighteen months have expired since the granting of the letters testamentary or of administration, and that the party has been ordered to account, if such has been the fact, and should pray a citation pursuant to the one or the other section, according as the application is made after an order to account, or the account is voluntarily produced. If the party be executor or administrator with the will annexed, he may properly set out the will at large in his application, as well for convenience of reference in the course of the proceedings, as that the same may become permanently a portion of the record in that particular matter. It is usually required that the names and residences of the creditors and other persons interested in the estate be stated in the application, but this is not expressly required by the statute. It can be essential only where there are persons interested in the estate residing in other counties of the state, and it is proposed to advertise the citation in such counties pursuant to the succeeding 61st section; and then the

(m) *Glover v. Holley*, 2 Bradf. Surr. Rep. 291. See, also, *Bank of Poughkeepsie agst. Harbrouck*, 2 Sold. 216.

(n) *Ante*, p. 483.

(o) *Ante*, p. 485.

names and residences of such persons only would seem to be necessary. In such cases, the publication of the citation, according to the 61st section, is to be made in such newspapers, printed in any other counties where any creditors or other persons interested in the estate of the deceased may reside, as the surrogate, upon due inquiry into the facts, shall direct; the names and places of residence of the creditors or other persons interested residing in such other counties may properly be included in the application, and, as the due inquiry which the surrogate is to make would probably have to be under oath, the application should be sworn to. Its prayer also should be for the necessary directions for publication, as well as for the citation. In other cases, there would seem to be no necessity for stating the names or residences of the persons interested, or for swearing to the application. [For forms of application adapted to both the 60th and the 70th sections, see Appendix, No. 64.]

The language of the above section, numbered 60, clearly contemplates, and that of the section numbered 70 expressly requires, that before taking out a citation for the settlement of his account, the executor or administrator should render his account to the surrogate. The 60th section speaks of the executor or administrator desiring the settlement of an account and applying for a citation for a settlement of such account, which plainly intends an account already made out and in existence, and produced before the surrogate. Different words would have been used if the account referred to were one yet to be prepared. In analogy to any other legal proceeding, the party is to be held to state his case at the outset. This section, likewise, has reference to the preceding 52d section, (2 R. S. 92,)(*p*) requiring the executor or administrator to render an account on an order of the surrogate, and supposes a compliance with such an order. The 70th section says that the executor or administrator may render his account and obtain a citation, which plainly means that the rendering of the account is to precede or accompany the obtaining of the citation. By this construction of these sections, they enable persons interested in the estate to examine and compare the accounts and vouchers at the surrogate's office before the return day of the citation, and thus to be prepared, on the hearing, to assent or object to the account. It is just that facilities for that purpose should be afforded, as well before the hearing as at that time, and these sections, if this construction be the correct one, manifestly provide such facilities. In this view they are calculated also to expedite the proceedings.

It may be observed that the application to the surrogate for a citation under the 60th section, is nowhere made a stay of the proceedings on the order to account, which has been previously taken out by the claimant. The executor or administrator, it is true, may, after having been served with the order, apply before the return day of the same, for a citation for a final settlement; but the order remains in full force, and its requisition is equally imperative, whether such application be made or not. The filing of the account and vouchers at the time of the application for the citation, will so far be a competent answer to

(*p*) See *supra*, p. 481.

the order; and they may, of course, be used on the hearing of the matter on the day named in the order for rendering of the account. If, from such account, there appear to be a balance in the hands of the executor or administrator, and the claimant show that he is entitled to the same, or a portion thereof, there can certainly be no objection to a decree for payment immediately. If he detect in the account any mistaken, unjust, or dishonest entries or statements, there can be no good reason why he should not be allowed to go into proofs respecting the same, and have the facts settled, and the balance adjusted and fixed. The final settlement is for the benefit and safety of the executor or administrator. The rights of the claimant ought not to be impeded by it. When, however, the questions between the claimant and the executor or administrator involve the interests of other parties entitled to share in the personal estate, the proceedings will have to be stayed until such parties have been duly brought before the court to be heard in the matter.

But the practice in the court of the surrogate of the county of New York has been, not to require the account or vouchers to be filed until the return day of the citation for a final settlement, whether the account is to be rendered in obedience to an order, or is produced voluntarily. The executor or administrator who has been ordered to account in that court, appears at the surrogate's office on the return day of the order, and, without producing any account, files an application for a citation for a final settlement. The surrogate thereupon issues the citation, and adjourns the accounting until the return day of the citation. That return day, according to the above sections, numbered 62 and 63, depends upon the places of residence of the persons interested in the estate. If any of those persons reside in foreign countries, by the 63d section, it may be six months distant, and the adjournment of the accounting for that period must take place. In this way these provisions of the statute relative to the final accounting, which are intended solely for the protection of the executor or administrator, are made an obstruction and hindrance to the prosecution of the just claims against the estate. It is usual, where the executor or administrator applies for the citation after having been ordered to account, to require some proof that there are non-resident parties in interest before granting so long a delay. It is necessary, therefore, in such cases, that the names and residences of the persons interested in the estate, residing abroad, should be stated in the application for the citation, and that it should be under oath.

#### OF THE ISSUING AND SERVICE OF THE CITATION FOR A FINAL SETTLEMENT.

On filing the application the citation issues.

The citation, under the 60th section, is to be directed, in cases of intestacy, to the creditors and next of kin; and if there be a will, to the same description of persons, and to the legatees under the will also. Under the 70th section, it runs to all persons interested in the estate. Whether the direction is to be to the persons by name, or whether it may be general, is not distinctly declared by the statute. The above

section, numbered 61, speaks of the service of the citation on all those to whom it shall be directed, living, &c., but this is not necessarily to be understood as requiring the persons to be cited by name. Either form is, without doubt, proper. The general citation, however, is the one usually adopted.

It may be noticed that the widow, as such, is not among the persons required to be cited by the 60th section; but, under the section numbered 63, she may contest the account as a person interested in the estate, and by the 65th section, the settlement of the account is conclusive, as therein prescribed, upon any person interested, only in case the citation has been duly served upon him. The executor or administrator, therefore, will not do well if he omit serving the widow with the citation. It should be drawn so as to include her among the persons to whom it is directed. She is clearly within the language of the 70th section, and in proceedings under that section must be served with the citation, the same as any other person interested in the estate.

The heir at law, however, is not among the persons entitled to be cited to attend the accounting. The surrogate has no jurisdiction to entertain any question between the personal representative of the deceased and his heir at law as such.<sup>(q)</sup>

An order for the issuing of the citation must be entered in the surrogate's minutes, which may authorize the issuing of the citation to be directed to the creditors, &c., or persons interested, either by name or generally; but the particular manner ought to be specified, and, if it be intended to set out in the citation the names of the persons to whom it is directed, they should be given in the order. If directions be made for publication of the citation in another county, such directions, also, may be included in the order.

[For forms of the order and of the citation, see Appendix, No. 65.]

The above sections, numbered 61 and 62, prescribe the mode of service of the citation.

The extent and sufficiency of the protection afforded to an executor or administrator by a settlement of his accounts before the surrogate, depends entirely upon his accuracy in ascertaining the names and residences of the persons interested in the estate, and his diligence in serving all such persons with the citation to attend the accounting.<sup>(r)</sup> By the above section, numbered 65, the settlement and allowance of the account by the surrogate is conclusive, as therein limited, only upon the persons interested in the estate upon whom the citation shall have been served, either personally or by publication, pursuant to the above sections, numbered 61 and 62. The settlement and allowance of the account does not in any way affect the rights of any claimant who has not been served with the citation; he may maintain every proceeding against the executor or administrator after the settlement which he might have maintained at any time before. Personal service should, as far as possible, be made, and none other is sufficient where the individual is known to reside in the county. If the service be construct-

<sup>(q)</sup> *Shumway v. Cooper*, 16 Barb. 566; *Ante*, pp. 487, 495.

<sup>(r)</sup> See *Elwood v. Deifendorf*, 5 Barb. Sup. Ct. Rep. 398, 407.

ively, by publication in another county, it will be of no validity unless the directions of the surrogate have been previously obtained for the same, after a due inquiry into the facts. Publication in the state paper, if necessary, may be made without the surrogate's direction. The citation of persons whose claims have been paid and due acquittances therefor taken, is of course unnecessary; but where there is any question or dispute as to a claim or payment, the person should be duly cited to attend the accounting.

It has been above stated that an executor or administrator, after the eighteen months have expired, is entitled to have his accounts finally settled by the surrogate, whether he has filed an inventory or advertised for claims or not. The advertising for claims, however, is seldom omitted, when it is proposed eventually to proceed to a settlement of the accounts before the surrogate. If the executor or administrator choose to dispense with it, he will be obliged to see that the citation be served on all the creditors, and then, on their appearing upon the return of the citation, he may assent to their claims, and the accounting will then go on as in other cases. If he dispute the claim of any creditor, as the surrogate, according to the decisions before referred to,<sup>(s)</sup> cannot decide upon its validity, the further proceedings as to such creditor must be delayed until that question is settled. If the executor or administrator has published a notice pursuant to the statute, he need cite such creditors only to attend the accounting as have exhibited their claims under the notice, or as have established the same after an action at law, or who have at the time a suit pending therefor. A creditor who has failed to present his claim under the notice, is not precluded from suing the executor or administrator for the same, yet payments or distribution made before suit brought are protected;<sup>(t)</sup> consequently, if no suit be brought before a final settlement of the executor or administrator's account, and a distribution thereon, the claim as against the executor or administrator, for assets received up to that time, will be cut off. The plaintiffs in all such suits as are pending, however, whether on claims presented under the notice or not, should be made parties to the accounting, in order that they be heard respecting the allowance, to be made under the above 74th section, to satisfy their claims in case they should be established.

It is to be observed that the statute does not provide that the surrogate shall require proof of the service of the citation, before proceeding to a hearing of the parties on the accounting. The whole matter of the service of the citation is left with the executor or administrator, and he must see at his own peril that due service has been made before the accounting takes place. From this it is evident that an objection of the irregularity of the proceedings cannot be entertained on the return of the citation, because, if any such exist as to any of the parties, the proceedings are a nullity as to him. Consequently the want of parties is no defect, so far as any person is concerned other than the executor or administrator himself. A person having a claim not made a party

<sup>(s)</sup> *In the Matter of the Estate of John Kent*, Law of Surrogates, &c., 1st ed., App. vii. See *ante*, pp. 377-8, 488, 493.

<sup>(t)</sup> See 2 R. S. 89, sec. 39; 3 R. S. (5th ed.) 176; *Ante*, ch. 10, pp. 355, 392-3.



to the accounting, may bring an action at law, or compel the executor to account as well after as before the proceeding. The rights of those claimants who have been served with the citation will be adjusted and settled according to the account, and a distribution of any balance remaining in the hands of the executor or administrator will then be decreed, and such settlement and distribution as to them will be final and conclusive, unless duly appealed from.

Proof, however, by affidavit, of the service of the citation, should be taken and preserved by the executor or administrator; and in some cases, as will presently appear, such proof must be produced before the surrogate, in order to render certain proceedings in respect to infants interested in the estate, regular, valid and effectual for the protection and benefit of such executor or administrator. In any case such evidence may become of the first importance to the executor or administrator, for the purpose of establishing, in a subsequent suit or controversy, the sufficiency and conclusiveness of the settlement of the account in the Surrogate's Court.

#### PROCEEDINGS ON RETURN OF CITATION.

On the return day of the citation, the executor or administrator, and such of the persons interested in the estate as choose to appear, attend before the surrogate. The above section, numbered 63, authorizes the persons interested in the estate to appear on the settlement of the account, and contest the same, and also provides for process to compel the attendance of witnesses. The executor or administrator should see that the appearances of those who present themselves are duly noted and entered by the surrogate. Although, as has already appeared, proof of service of the citation is not requisite to the regularity of the proceedings before the surrogate, yet, for the purpose of rendering the settlement of the account conclusive upon infants interested in the estate, evidence of the due service of the citation upon them should be furnished before the commencement of the hearing. With regard to them, something more than the mere service of the citation is necessary, in order to conclude them by the proposed settlement. For the protection, therefore, of the executor or administrator, he should file an affidavit of due service of the citation on such of the persons interested as are minors; and it is submitted that no proceedings can be taken in respect to them, unless upon such affidavit. When it has been shown that minors interested in the estate have been properly cited, a special guardian may be appointed for them, to appear for and take care of their interests in the premises, if they should not otherwise duly appear. Notwithstanding they may have been regularly served with the citation, their rights or interests cannot in any way be affected by the proceedings, unless they are legally represented before the court. The service of the citation upon absentees ought also to be shown to the surrogate, in order that he may take care that any stipulations or agreements between the executor or administrator and the persons who appear, as to the observance or application of any rule of law relating to the pending matter, may not operate to the injury of such absentees.

## PROCEEDINGS AS TO INFANT PARTIES.

In *Kellett v. Rathbun*,<sup>(u)</sup> the chancellor considers the effect of the non-appearance on the accounting of any person interested in the estate, after having been cited, and prescribes the practice to be pursued where infants are parties to the proceedings. He remarks as follows:

"The Revised Statutes do not direct the particular mode of proceeding, where the parties interested in the taking of the account of an executor or administrator neglect to appear, after being duly cited to attend, upon the final settlement of the account, and no special provision is made for the protection of the rights of infants in such cases. I apprehend, however, that the only effect of the default of a legatee to attend in such a case, would be to enable the executor or administrator to proceed *ex parte* as to such legatee.<sup>(v)</sup> Minors are not esteemed in law as capable of conducting or defending a suit for themselves, and they, therefore, cannot be deprived of any of their rights by a mere neglect to appear upon a citation, or other process to compel an appearance. The citation of a minor should be served in the presence of his legal guardian, or in the presence of some person upon whom the actual care or custody of the minor, for the time being, has properly devolved. And evidence of the service of the citation on the minor, merely, is not sufficient, especially if the minor is so young as to be incapable of understanding the object or intent of such service.<sup>(w)</sup> The citation, in such cases, should direct the minor to appear according to law—that is, by his guardian lawfully constituted.<sup>(x)</sup> And if a minor, who is cited before the surrogate in a testamentary cause, has no general guardian, or if the general guardian has an interest adverse to the rights of the minor, so that he cannot act as guardian in relation to that matter, a guardian *ad litem* may be appointed by the surrogate to protect the rights of the minor."<sup>(y)</sup>

It has already been stated that the citation may be directed either generally to the creditors, &c., or persons interested in the estate, or to the parties by name. The same paper is served on all, and there may be some inconvenience in so framing the citation as to comply strictly with the above requirement, that it "should direct the minor to appear according to law." That requirement will be substantially observed by accompanying the service of the citation on the minor with a notice to his parent or guardian, or some competent person of the family, of the nature of the process, and of the necessity, if such exist, for the infant's having a guardian appointed to appear and defend his interests. The affidavit of the service of the citation should set forth the facts of the minority of the person interested, and of such service of notice. On this proof, the surrogate may make the necessary order as to a guardian *ad litem*. This course is in accordance with the practice in similar cases in the late Court of Chancery,<sup>(z)</sup> and, it is believed, would be unexceptionable in the Surrogate's Court.

(u) 4 Paige, 106.

(v) "See 1 Bro. Civ. and Adm. Law, 457."

(w) "Cooper v. Green, 2 Adams' Eccl. Rep. 454; Law's Pr. Eccl. Courts, 69."

(x) "Law's Pr. 88; 1 Bro. Civ. and Adm. Law, 454."

(y) *Turner v. Felton*, 2 Phillim. Rep. 93.

(z) See 1 Hoff. Chan. Prac. 106; 1 Barbour's Chan. Prac. 51.

It may be remarked, however, that the practice in the Surrogate's Court, with respect to parties who are minors, is by no means well settled. The statute prescribes the mode of appointment of a special guardian of an infant heir or next of kin on proving a will, but is silent as to minors in other cases in the Surrogate's Court, except on sales of real estate by executors and administrators to pay debts. On proceeding for the final settlement of accounts in the court of the surrogate of the county of New York, notwithstanding the above remarks of the chancellor, which are conceived to lay down a rule on the subject, the practice has been various. Sometimes a *guardian ad litem* for a minor interested in the estate has been appointed without notice to such minor, on the filing of the application for the citation, and the citation has then been served upon the person so appointed, in analogy to the course pursued on proving wills. At others, such guardian has been appointed on the return of the citation, simply on the suggestion of the minority of the person interested, without proof of the service of the citation upon him, or of any other step to bring him before the court. In all such cases, the appointment of a guardian at all was a mere formality, and probably answered no purpose whatever; and the persons appointed have usually so viewed it in general, entirely omitting to give any attention to the proceedings, or to the proper duties of such guardianship. The irregularity, if any, the surrogate could not regard, either of his own motion, or at the instance of a third person in interest, because it could not in any way affect the rights or interests of the minors—the settlement and allowance of the account being conclusive upon those persons only who had been duly brought into court; and it could not possibly prejudice any other person. In other cases, again, the surrogate has assumed to represent the minors.

#### OF THE ADJOURNMENT OF THE ACCOUNTING.

If the citation has not been duly served upon all the parties interested in the estate, the executor or administrator, on showing that the omission has not been purposely made for the sake of delay, or if the claimant do not object thereto, may obtain an adjournment, and a further citation for the purpose of bringing in those not served. The appearances of those who attend should, in the first instance, be noted and entered, and the proper proceedings in relation to the appearance of the minors, if any such are parties in the case, should also first be taken. If the omission be not sufficiently excused, and the claimant object to the adjournment, it will rest in the discretion of the court whether the delay shall be granted, and if so, upon what terms.

#### OF THE PROCEEDINGS ON THE ACCOUNTING—THE FORM OF THE ACCOUNT.

On the original return day, or on the day to which the matter may be postponed, the accounting is proceeded with.

It has been stated that the account is not required to be filed until the return day of the citation for the final settlement. On that day, or on the day to which the proceedings may have been adjourned, the account must be brought in. It will consist of a complete history of the proceedings of the executor or administrator in the discharge of the

duties of his trust. It should be drawn up with reference to the provisions of the above section of the statutes, numbered 65, declaring the extent to which the settlement and allowance thereof by the surrogate shall be deemed conclusive evidence against the persons interested in the estate. It should state distinctly the assets which originally came into the executor or administrator's hands on his entering upon the duties of the administration, the disposition thereof, and, if he sold the whole or any part thereof, whether at public or private sale, and the prices obtained for the same. It should set forth the increase, if any, in such assets during the administration, or the decrease, if any, and the cause of the same; and a list of any articles or property remaining undisposed of, and also a list of any property which may have been lost, the appraised value of such property, and the cause of the loss. It should state the claims presented against the estate, specifying those allowed and those disputed, those for which judgments may have been recovered, and any for which suits or proceedings for the recovery may be at the time pending. It should contain a list of the debts due to the estate at the time of the appointment of the executor or administrator, distinguishing those which he had collected and those which he had failed to collect, and alleging the reason for such failure to collect. It should include a statement of all moneys received by the executor or administrator belonging to the estate, and of all interest or profit which he may have received on such moneys. It should set forth all disbursements for funeral or necessary expenses, and all payments made to creditors, legatees or persons entitled in distribution, giving the name of, and the sum paid to each, and the date of payment. The executor or administrator may also include in the account such statements of any facts or circumstances which may have attended the administration, as he may deem important to a correct understanding of the manner in which he may have performed the duties of his trust, or to a just settlement of the affairs of the estate.

The executor or administrator, in making up his account, should first charge himself with the amount of the property of the deceased contained in the inventory at the appraised value. He should then make himself debtor for the increase to the same—such as interest which has accrued on debts owing to the deceased, and property and demands which have been discovered subsequently to the taking of the inventory; next, sums for which he has sold property exceeding its appraised value; and then, all other increase to the inventory, and the items thereof. The whole increase being added to the value of the property, as shown by the inventory, will constitute the debtor's side of his account. The credit side of his account will consist, first, of debts marked bad or doubtful, which have not been paid, to him, at the amounts thereof set down in the inventory; secondly, of sums for which he has necessarily sold property at less prices than its appraised value, with a list of the articles so sold; thirdly, the articles of property lost without his fault, and the cause of such loss, with the appraised value of such articles; fourthly, the particular debts appraised as good, which he has been unable to collect by the exercise of ordinary diligence, and the reasons why he could not collect them, with the amount of such

debts, as noted in the inventory; fifthly, the debts paid by him, to whom paid and when, and the amounts thereof; sixthly, the expenses of the funeral of the deceased, and the actual and necessary expenses paid by the executor or administrator in the execution of the trust reposed in him. The sum total of such credits is then to be subtracted from the amount of the debtor side of his account. Following the remainder the articles of property yet unsold are to be mentioned, with the appraised value thereof, and also the reasons why he has not sold the same. And afterwards he is to set forth all other facts which are pertinent and proper to be considered by the surrogate in making up his decree.(zz)

It is not absolutely necessary that the accounts of executors and administrators should in all cases be made out in the manner thus stated, but such method ought to be substantially adopted.(zz)

[For forms of accounts, see Appendix, Nos. 66, 65.]

The vouchers of the account must be filed at the same time with the account.

#### OF THE EXECUTOR'S OATH TO THE ACCOUNT.

The Court of Chancery has frequently decided that when an executor or administrator renders an account to the surrogate, either upon the application of a creditor, legatee or distributee, or with a view to a final settlement thereof under the provisions of the statute, he is bound to bring in a full account of his receipts and disbursements, on oath, including all sums which are properly chargeable to him on account of the estate, whether mentioned in the inventory or otherwise(a)—the substance of which oath is, that the account, according to the best of his knowledge, information and belief, contains a full and true account of all his receipts and disbursements on account of the estate of the decedent, and of all sums and property belonging to the estate which have come to the hands of such executor or administrator, or which have been received by any other person by his order or authority for his use; and that he doth not know of any error or omission in the account to the prejudice of any of the parties interested in the estate of the decedent. And, where the rights of infants or absentees are to be affected by the accounting, it is the duty of the surrogate to see that the account is duly verified by the executor or administrator, although other parties who appear consent to waive such verification of the account.(aa) The accounting party must also support the credits he claims, by proper vouchers or other evidence of the payments. And where he wishes to be allowed for payments or disbursements of \$20 or under, for which he has no vouchers or other evidence, the times when, the persons to whom, and the purposes for which such payments or disbursements were made, must be particularly stated; and he must also add, to the usual affidavit verifying the correctness of the account, a positive allegation that the sums charged under \$20, for which no such vouchers or other evidences of payment are produced, have actually

(zz) *Wilcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 346-7.

(a) *Gardner v. Gardner*, 7 Paige, 114; *Williams v. Purdy*, 6 Paige, 166; *Kellett v. Rathbun*, 4 Paige, 102; *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469. See, also, *ante*, ch. 7, p. 368.

(aa) *Wilcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 343.



been paid or disbursed by him, as charged.(b) [For form of the affidavit, see Appendix, No. 67.]

It is the duty of the surrogate, upon the taking of an account, or upon any other proceeding which may be the subject of an appeal, to reduce to writing and preserve the evidence and admissions of the parties, so far as to enable him or his successor to make a correct return of the facts, in case it shall be necessary in consequence of an appeal to a higher tribunal.(c)

#### OF DEBTS DUE TO THE EXECUTOR.

The general affidavit annexed by the executor or administrator to his account, verifying the same, and the receipts and disbursements therein entered, will not be sufficient in any case to authorize the executor or administrator to retain, as for a debt due to himself from the decedent. The 33d section of the article of the Revised Statutes, relative to the duties of executors and administrators in the payment of debts and legacies,(d) appears to contemplate an application to the surrogate in the first instance, by the executor or administrator, to determine as to the validity of a claim of indebtedness to the latter, before he shall be allowed to retain for such debt, or his proportional part thereof. And upon such application he must produce to the surrogate legal evidence of the existence of the debt, in addition to his own oath that it is justly due, after allowing all payments and all proper discounts and off-sets, unless such debt is admitted by those who are interested in the estate. It has been seen,(e) with reference to this section, that proceedings under it, even with the aid of the provisions of the 37th section of the act of 1837,(f) are attended with very great difficulties. It will be observed, also, that the last mentioned section recognizes the allowance of the executor or administrator's claim on the final accounting. If the executor or administrator, instead of proving his debt before the surrogate in the first instance, claims an allowance therefor upon the final settlement of his account, which is the course nearly always adopted, he is in the same situation as any other creditor, and must therefore not only verify the justice of his claim by his oath, but, if it is objected to, he must establish it by legal evidence, in addition to his own oath.(g) [For form of an executor or administrator's oath to his claim, see Appendix, No. 72.]

If the claim be disputed by any of the parties in interest, legal proof of its validity must be adduced, and it must be established to the satisfaction of the surrogate by competent testimony.

The object of requiring the affidavit of the executor or administrator to his claim, as in the case of any other creditor of the decedent, is not to prove the existence of the debt, as it is not evidence for that purpose; but it is to prevent the exhibition of fictitious claims against the estate of the decedent, which have been discharged by him in his lifetime; and also to prevent the allowance of claims against which there

(b) 7 Paige, 114.

(c) 6 Paige, 300.

(d) 2 R. S. 88; 3 R. S. (5th ed.) 175. See *ante*, p. 394.

(e) *Ante*, p. 394.

(f) S. L. 1837, ch. 460, p. 531; 3 R. S. (5th ed.) 175; *Ante*, pp. 394-5.

(g) See *Williams v. Purdy*, 6 Paige, 168; *Kellett v. Rathbun*, 4 id. 102; *Clark v. Clark*, 8 id. 159.

existed a legal off-set, known only to the executor or administrator himself, and which those who are interested in the estate of the decedent may be unable to establish by legal proof.<sup>(h)</sup> And it will be error to allow the executor or administrator's account or claim against the estate, whatever may have been the force of the proof to establish it in the first instance, unless it has been first verified by the oath of the executor or administrator.<sup>(i)</sup>

Legatees or next of kin, and doubtless any other parties in interest, may set up the Statute of Limitations against the claim of the executor or administrator.<sup>(j)</sup>

#### · OF CONTESTING THE ACCOUNT.

Where the account is made out and verified in the usual form, and the proper vouchers are produced in support of the same, the adverse party should be called upon by the surrogate to state his objections, if any, to the account, so as to save all unnecessary or useless expense; and at the peril of costs, to be charged upon such party personally if he makes objections which, upon a subsequent investigation of the accounts, it shall be found he had no reasonable or probable grounds for making. The estates of deceased persons should not be subjected to the useless expense of producing evidence to prove items in the account of the executor or administrator, the correctness of which items is not in fact doubted by the adverse parties. The objections to the accounts should be stated in the form of distinct and specific allegations. Such allegations may cover every possible ground of objection, such as want of proper vouchers, or that payments have been made, or debts entered, which are not properly to be charged against the estate, or that fraudulent charges have been made, or that assets not included in the inventory have come into the hands of the executor or administrator. In short, the account may be surcharged for *omissions* for which credit ought to have been given, or falsified for *wrong* debts, and the party contesting should state these grounds in specific objections.<sup>(k)</sup> A party, however, is not absolutely precluded by the objections first made to the account, as it frequently is discovered, in the course of the investigation, that charges have been improperly inserted in the account, or credits to the estate have been omitted by the executor or administrator, which the adverse party had no means of knowing at the time the account was first presented. In this respect it is like a proceeding in the former Court of Chancery to take an account before a master, where additional charges or discharges might be afterwards received upon sufficient reasons shown, and giving the adverse party an opportunity to be heard thereon, and to produce evidence to rebut or to explain them. And where the account is referred to an auditor, he should be required to proceed in the same manner. The costs of the accounting being in the discretion of the surrogate, if the party contesting the account subjects the accounting party to useless

(h) See *Williams v. Purdy*, 6 Paige, 108.

(i) *Terry v. Dayton*, 31 Barb. Sup. Ct. Rep. 519.

(j) *Treat v. Fortune*, 2 Bradf. Surr. Rep. 116; *McCartee v. Camel*, 1 Barb. Ch. Rep. 455; *Wilcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

(k) *Metzger v. Metzger*, 1 Bradf. Surr. Rep. 265; *Wms. on Exrs.* 1879; 2 *Smith's Prac.* 122.

expense by unfounded objections, he may be properly charged with such costs personally.<sup>(l)</sup>

The claimant will compare the vouchers with the corresponding items of the account. His objections, if any, should be framed in the shape of charges against the account, similar, as nearly as may be, to the forms in use in the late Court of Chancery in the practice in the like cases before a master.

He may sometimes require an adjournment of the matter to enable him to examine the account and prepare his charges against the same, and this is nearly always the case in the court of the surrogate of the county of New York on proceedings for a final settlement—the executor or administrator in that court, as has been seen, not being obliged to produce his account until the very day on which the investigation into the same is to take place. The above section of the statute, numbered 64, authorizes adjournments from time to time, as may be necessary.

If any claimant fail to appear on the day appointed by the adjournment, the proceedings will go on *ex parte* as to him. Where the executor or administrator neglects to appear on the day to which the matter has been adjourned, the practice is for the claimant to obtain another adjournment, and to take out and serve upon the executor or administrator a citation returnable on such adjourned day, requiring him to appear and proceed with his accounting. If he still neglect to appear, an attachment may issue against him, if it be shown that his attendance is absolutely requisite to the due investigation into the accounts; otherwise it is supposed the claimants may proceed *ex parte*.

The charges to be preferred by a claimant against the account which has been rendered, may go to the validity of any bequests in the will which the executor may have discharged, or to the liability of the executor or administrator for any claim which he may have paid, as well as to the fact of any payment which he may allege he has made, or the reasonableness and justness of any expenditure with which he may have charged the estate. Any losses arising from his neglect to collect any debt due to the estate, or to take proper measures for the safety and preservation of the property, may also be made the subject of charges against his accounts.

The charges having been presented, the accounting party is only bound to bring proof as to the items or matters disputed thereby. Where the affidavit annexed to the account is full and distinct as to the payments, and the items charged as disbursements under \$20 do not, taken together, exceed \$500, and the payments of sums over that amount are supported by vouchers, it is for the party who objects to the account to falsify or surcharge it.<sup>(m)</sup>

The executor or administrator is bound to produce his receipts to vouch his payments, and when any party in interest doubts the genuineness of the vouchers, he may proceed to impeach them.<sup>(n)</sup> It is in-

(l) 7 Paige, 115; *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469.

(m) *Kellett v. Rathbun*, 4 Paige, 103; *Williams v. Purdy*, 6 Paige, 166; *Gardner v. Gardner*, 7 Paige, 114; *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469.

(n) *Bennett's Master's Prac.* 21; *Law. Lib., Id., N. S.*, p. 851; *Turner and Venables*, 588.

cumbent upon the accounting party to prove all his payments, which he does, as to sums under \$20, by his own oath, and as to sums over that amount by vouchers therefor.(o)

The executor must be prepared to establish the propriety of his payments in case they are disputed. The burden of impeaching the payments, however, is upon the party objecting.(p) The surrogate, it has been considered, may, in a proper case, sustain the account even without vouchers.(q) If an account, however, can be allowed, in any case, without vouchers and without proof other than the oath of the executor or administrator, where separate items of the account exceed \$20 in amount, it is where creditors refuse to give vouchers, or where the executor or administrator has lost his vouchers, or where they have been stolen or destroyed, and he is unable to procure others.(r) And when vouchers are produced, they are *prima facie* evidence of disbursements, and should be admitted in evidence, except the other side can lay a reasonable ground to show they can be impeached. If lost, the accounting party should make oath that such a voucher did theretofore exist, and state its contents and purport. The voucher may be received in evidence, although the specific ground of objection to it is the want of proving the handwriting of the person who signed the receipt.(s) Where vouchers are filed, they become conclusive evidence of the payments, unless successfully attacked by the opposing party.(t)

And the want of an affidavit under the statute verifying a claim charged in the executor or administrator's account to have been paid by him for the estate, will not warrant the surrogate in rejecting the voucher or payment. The statute(u) provides that, on any claim against the estate of a deceased person being presented to the executor or administrator, he may require satisfactory vouchers in support thereof, and also the affidavit of the claimant that such claim is justly due, that no payments have been made thereon, and that there are no offsets against the same. It is doubtless the prudent and proper course for an administrator in all cases to require the affidavit of the claimant, as he is authorized to do by this section of the statute, if he seeks to be exonerated from having made improper payments, in case objections should subsequently be taken, on the settlement of his account, to the items so paid.(v) But this is entirely optional with him. The statute is not compulsory, nor was it designed to exclude, as vouchers, receipts and claims which have not been thus verified. What are proper vouchers, still remains for the surrogate or judge to decide; and, although it would be wise in all cases for the executor or administrator to insist upon his right to demand the affidavit, the surrogate has not the right, because he has failed to do so, to infer that the voucher is false, fraud-

(o) 2 Smith's Pr. 122.

(p) Id. 128.

(q) *Higgins v. Higgins*, 4 Hagg. 242; 1 Hoff. Ch. Pr. 525, 537; Hoff. Master, 81; *Metzger v. Metzger*, 1 Bradf. Surr Rep. 265, 268.

(r) *Willcox v. Smith*, per Balcom, J., 26 Barb. Sup. Ct. Rep. 316, 342.

(s) 2 Fon. Exch. Pr. 239.

(t) *Metzger v. Metzger*, 1 Bradf. Surr. Rep. 265.

(u) 2 R. S. 88; 3 R. S. (5th ed.) 176, sec. 35; *Ante*, p. 354.

(v) *Williams v. Harden*, 1 Barb. 301.

ulent or manufactured, or that the debt paid was not due, without any affirmative evidence impeaching it.(w)

The rules thus stated show to what precision executors and administrators are held in rendering, verifying, and establishing their accounts on their final settlement before the surrogate; and they are eminently just, and should not be departed from, except in cases of the most urgent necessity, and in order to prevent absolute injustice.(ww)

Upon an accounting, the affirmative of establishing more assets than are acknowledged by the inventory and account, is with the party objecting; and it must be established with reasonable certainty, and not left to mere conjecture or suspicion.(x) The legatees can adduce evidence to charge the executor with more assets than he acknowledges to have received; and it is competent for him, on the other hand, to show in defence that the assets were his own property, and not part of the testator's estate at the time of his death.(y)

As the executor or administrator has no authority or control over the real estate left by the deceased, except to mortgage, lease, or sell it for the payment of the decedent's debts, when especially authorized to do so by the survivor;(z) he will not be warranted in paying any taxes on such real estate, which were assessed subsequent to the death of the deceased, or in making payments upon mortgages on real estate conveyed by him, or whereof he died seised, which he was not under any personal obligation to pay; and charges for such payments will be disallowed on the accounting. The reason why the executor or administrator cannot pay such taxes or mortgages on real estate, with the assets of the deceased, is that the assets are applicable only to the payment of his debts, and such taxes and mortgages are not in any sense his debts. They are charges on the real estate, which are for the heirs to pay to whom such real estate has descended.(a) But where an administrator has paid taxes or repairs on real estate of the deceased, which real estate has descended to one of the next of kin as heir at law of the decedent, the administrator may be allowed such charges as payments out of the personal estate on account of the distributive share of such next of kin.(b)

The executor or administrator is at liberty to avail himself of all means of resistance to defeat any claim brought against the estate. He may, therefore, on the accounting, set up the Statute of Limitations in bar of any claim presented, in the same manner as in a suit at law or in equity upon such claim. And a direction or an authority to the executors in the will to sell personal or real estate for the payment of the testator's debts, without specifying any particular debts, will not prevent the Statute of Limitations from running against a claim.(c)

(w) *Metzger v. Metzger*, 1 Bradf. Surr. Rep. 265. See *President, &c., of the Bank of Poughkeepsie* agst. *Hasbrouck*, 2 Seld. 216, as to the necessity of the executor or administrator producing negotiable promissory notes to the surrogate.

(ww) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 343, per Balcom, J.

(x) *Marre v. Ginochio*, 2 Bradf. Surr. Rep. 165.

(y) *Merchant v. Merchant*, 2 Bradf. Surr. Rep. 432.

(z) See *ante*, p. 260; *Post*, ch. 13; *Banks v. Taylor*, 10 Abbott Prac. Rep. 199.

(a) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 337-8.

(b) *Banks* agst. *Taylor*, 10 Abbott Prac. Rep. 199.

(c) *Martin* agst. *Gage*, 5 Seld. 398.



But where a valid claim against the decedent, against which the statute has not run at the time of his death, has been presented to the executor or administrator, and has been recognized and allowed by him within the seven years and a-half from the time the debt accrued, and the proceedings for the accounting of the executor or administrator have taken place within six years from the time of such presentation and allowance of the claim, neither the executor or administrator, nor legatees or next of kin, can successfully interpose the Statute of Limitations to defeat the claim on the accounting before the surrogate.(d)

It should be further observed, that the Statute of Limitations does not in terms specify the time within which a creditor, legatee, or distributee shall institute a suit before the surrogate, against executors or administrators, to obtain payment of his debt or legacy, or his distributive share of the estate of the decedent. But, it is considered that the legislature never could have intended to give to a party the right to institute such a suit before a surrogate, after his remedy was barred by the Statute of Limitations in all other courts. Such a suit, therefore, by analogy to the Statute of Limitations, should be instituted before the surrogate within the time in which suits of the same character are required to be commenced in the ordinary common law or equity courts of the state.(e)

Witnesses may be examined upon the accounting, on both sides, as to any questions raised by the charges relative to the receipts and disbursements mentioned in the account, or to any alleged act, duty, omission or misconduct of the executor or administrator. Such witnesses may be subpoenaed to testify the same as in other cases, and the issuing of the subpoena must be duly entered in the surrogate's minutes. [For form of the entry and of the subpoena, see Appendix, No. 69.]

The testimony of foreign witnesses may be taken by commission, and this is frequently necessary in cases of accounting. The same practice is to be pursued on taking out the commission as was hereinbefore prescribed on taking out a commission to prove a will in the Surrogate's Court. By the section numbered 54, the executor or administrator himself may be examined on oath touching any property or effects of the deceased which may have come to his hands, and the disposition thereof. The testimony taken on the accounting should be reduced to writing, to remain on file with the surrogate. It need not be recorded.

By the twelfth section of the act of the 16th April, 1860, to amend the Code of Procedure,(f) the 399th section of the Code relating to the "Examination of Witnesses" is amended so as to read as follows:—

"A party to an action, or special proceeding including proceedings Surrogates' Courts, and proceedings for the summary recovery of the possession of land, may be examined as a witness on his own behalf, or in behalf of any other party in the same manner, and subject to the same rules of examination, as any other witnesses; except that a party shall not be examined against parties who are representatives of a de-

(d) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

(e) *McCartee v. Camel*, 1 Barb. Ch. Rep. 455. See Code of Procedure, pt. 2, tit. 2, ch. 3; Howard's Code, p. 123.

(f) S. L. 1860, ch. 459, pp. 783, 787; Howard's Code, p. 633.

ceased person, in respect to any transactions had personally between the deceased person and the witness; and except, also, that neither husband nor wife shall be required to disclose any communication made by one to the other."(*g*)

It should be added that, in adjusting the accounts of executors or administrators, the Surrogate's Court is governed by principles of equity as well as of law, and it is at all times competent for the executor in that court, as in a court of equity unimpeded by technical rules, to show the fairness of his dealings—the real nature of the transaction, and the amount for which he should, in fact, be held liable. Upon any other rule he might be made the victim of a mistake while acting as a trustee and honestly discharging his duty.(*h*)

#### OF REFERRING TO AN AUDITOR.

By the last clause of the above section of the statute, numbered 64, the surrogate may appoint one or more auditors to examine the accounts presented to him, and to make report thereon, subject to his confirmation.

This is the only provision respecting the office of the auditor, and it contains the only prescribed specifications as to his appointment, powers, or duties. Its incompleteness in these particulars is striking. No qualifications for the appointment are defined. The auditor is not required to be a disinterested person, nor to be sworn to the faithful and impartial discharge of his duties, and he is not expressly vested with authority to administer an oath or to take the testimony of witnesses, excepting that the term "auditor" itself imports power to examine the parties and witnesses.(*i*)

Whatever may be the deficiencies of the statute, however, the uniform practice in the court of the surrogate of the county of New York, in cases of disputed accounts, and in some cases when the account was not disputed, was formerly to transfer the whole matter to an auditor. Parties, accounts, vouchers, objections and witnesses, were all promiscuously sent to the auditor to be examined and determined upon. This practice has of late been almost entirely discontinued, and references to auditors are now scarcely ever ordered—the surrogate himself, in most cases, personally making the requisite investigation of the accounts.

In case of a reference, it is generally made of the surrogate's own motion; but it may doubtless be made on the application of either of the parties. An order for the reference must be made and entered in the surrogate's minutes, and a copy should be furnished to the auditor, and served by the executor or administrator on each of the other parties to the accounting. An order of reference to an auditor, not founded upon a proper application before the surrogate for the settlement of the account, nor upon any proceedings which require a settlement and adjustment of the accounts as between the parties, is erroneous.(*j*) The

(*g*) See *ante*, p. 10; *Burritt v. Silliman*, 16 Barb. Sup. Ct. Rep. 198; *Willcox v. Smith*, 26 id. 316; *Terry v. Dayton*, 31 id. 519. (*h*) *Upson v. Badeau*, 3 Bradf. Surr. Rep. 13.

(*i*) It may be doubted, however, whether this term, in its legal signification, conveys any such power. In the action of account at common law, until aided by statute, the auditors could not administer oaths or examine the parties. See 1 Story's Eq. Jur. 447-8.

(*j*) See *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469.

order appoints a day for the first meeting before the auditor, and also a day for the return of his report. [For form, see Appendix, No. 70.]

Any of the parties to the matter may attend before the auditor. Subpoenas to compel the attendance of witnesses, returnable before the auditor, are issued by the surrogate. The witnesses are sworn to testify the truth, sometimes by the surrogate, sometimes by the auditor himself, and sometimes by an officer authorized to administer oaths, called in for the purpose. The auditor may adjourn the hearing from day to day, as may be requisite. If the investigation be not completed within the time limited by the order of reference, such time may be extended by the surrogate on the cause for the extension being shown. At the conclusion of the inquiry, the auditor makes up his report. Similar proceedings may doubtless properly be had for the settlement of the auditor's report, as for the settlement of a master's report in chancery. He usually annexes to his report the testimony which he has taken, and returns the whole to the surrogate. [For form of a report of an auditor, see Appendix, No. 71.] He is allowed two dollars a day for each day engaged in the reference, as a compensation for his services.

On the coming in of the auditor's report on the day appointed by the order of reference, or at the expiration of the extended time, the same is before the surrogate for confirmation. If either party conceive himself aggrieved by the decisions of the auditor, he may object to the confirmation of the report. It would be a useful precaution for a party who considers the auditor's report incorrect, to file a *caveat* with the surrogate against the confirmation of the same. An adjournment for a reasonable time will be allowed, to enable a party to present his objections. They should be in writing, and should be drawn in the shape of exceptions to the report. They should be filed with the surrogate, and a copy should be served on each of the other parties appearing in the matter.

On the day to which the matter has been adjourned, the parties are heard on the report and exceptions, and the surrogate thereupon proceeds to allow or disallow the exceptions, or to confirm or modify the decisions of the auditor, as he may deem just, and to decree the settlement of the account accordingly, and also distribution thereon.

The practice on a reference to an auditor has thus been considered, and a case has been carried through to a final decree. The principles and rules which govern the accounting, and the final decree and the distribution, remain to be considered. These will be treated of as in a matter remaining and heard throughout before the surrogate, because he is the principal officer, and to him belongs the power of reviewing the decisions of the auditor. It is necessary merely to remark that the same principles and rules apply to the accounting, both where it is conducted solely before the surrogate and where a reference to an auditor takes place.

#### OF THE LIABILITY OF AN EXECUTOR.

An executor is personally liable in equity for all breaches of the ordinary trust which, in courts of equity, are considered to arise from his office. And it may here be observed, that where personal property is bequeathed to executors, as trustees, the circumstance of taking pro-

### 513 ALLOWANCE FOR PROPERTY PERISHED OR LOST.—DEVASTATE.

Intestacy of the will is, in itself, an acceptance of the particular trusts. Therefore, when the will contains express directions what the executors are to do, an executor who proves the will must do all which he is directed to do as executor, and he cannot say that, though executor, he is not charged with any of those trusts.<sup>(k)</sup>

The general rule adopted with respect to the liability of executors and administrators on this head, is founded upon two principles: 1st. That, in order not to deter persons from undertaking these offices, the court is extremely liberal in making every possible allowance, and cautious not to hold executors or administrators liable upon slight grounds; 2d. That care must be taken to guard against an abuse of their trust.<sup>(l)</sup>

#### OF ALLOWANCE FOR PROPERTY PERISHED OR LOST.—DEVASTATE.

By the above section, numbered 56, the executor or administrator may be allowed for property of the deceased perished or lost without his fault. The general rule has long been established, that an executor or administrator shall not be charged with any other goods as assets than those *which come to his hands*. However, upon the supposition that goods come fully into the possession and hands of the executor or administrator, but are afterwards wrongfully taken from him, a question arises whether such goods shall be considered assets in his hands. There are some authorities for asserting that things taken out of the possession of the executor are assets in his hands, unless they were taken by public enemies. But it should seem, at least in a court of equity, that an executor or administrator stands in the condition of a gratuitous bailee—with respect to whom the law is, that he is not to be charged without some default in him. Therefore, if any goods of the testator are stolen from the possession of the executor, or from the possession of a third person, to whose custody they have been delivered by the executor, the latter shall not, in equity, be charged with these as assets.<sup>(m)</sup>

Again, if a trespasser takes goods out of the possession of an executor or administrator, although he is bound to sue the trespasser, if known, yet the executor or administrator shall not be answerable in assets for more than he recovers in the suit. But if he omits to sell the goods at a good price, and afterwards they are taken from him, then the value of the goods shall be assets in his hands, and not what he recovers, for there was a default in him. Again, if the goods be perishable goods, and, before any default in the executor to preserve them, or sell them at due value, they are impaired, he shall not answer for the first value, but shall give that matter in evidence to discharge himself. So, if the testator's sheep or other beasts die, or if his ships perish by tempest, the executor shall not be charged with them as assets.<sup>(n)</sup>

With respect to that part of the estate of an executor or administrator

(k) Wms. on Exrs. 1629.

(l) *Powell v. Evans*, 5 Ves. 843; *Raphael v. Boehm*, 13 Ves. 410; *Tebbs v. Carpenter*, 1 Madd. 298; Wms. on Exrs. 1629, 1630.

(m) Wms. on Exrs. 1507-8, and cases cited.

(n) Wms. on Exrs. 1508, and cases cited. For the statutory provision prescribing the effect of the inventory as evidence in actions against executors and administrators, see 2 R. S. 449; 3 R. S. (5th ed.) 747; *Ante*, p. 350.

which consists of choses in action, the law has long been settled, that although debts of all descriptions due to the testator are assets, yet the executor or administrator is not to be charged with them till he has received the money.(o) An outstanding debt due to a decedent, is not assets in the hands of his executors or administrators, where there has not been gross negligence, or collusive, fraudulent, and unreasonable delay in collecting it.(p) So, if the executor or administrator recovers, at law or in equity, any damages or compensation for any injury done to the personal estate of the testator before or since his decease, or for the breach of any covenant or contract made with the testator, or with himself in his representative character, all such damages thus recovered shall be assets in his hands, the costs and charges of recovering them being deducted; but he shall not be charged with them until he has reduced them into possession.(q)

But such debts or damages will be regarded as assets, although never in point of fact received, if they be released by the executor—for the release, in contemplation of law, shall amount to a receipt. So, if the executor takes an obligation in his own name for a debt due to the testator, he shall be equally chargeable as if he had received the money—for the new security has extinguished the old right, and is a *quasi* payment.(r)

Such acts of negligence or careless administration as defeat the rights of creditors or legatees, or parties entitled in distribution, amount to a *devastavit*—for if persons accept the trust of executors, they must perform it; they must use due diligence, and not suffer the estate to be injured by their neglect. Thus, if an executor has a lease for years, determinable upon the life of J. S., which is, upon a reasonable estimate, worth \$1,000, if the executor will not sell this, but keeps it, and J. S. dies in a short time, yet the executor shall answer for the value of it at the time of the death of the testator, for it was his own fault that he would not sell it.(s)

Where an executor neglected to sell certain stock belonging to the testator's estate until it had depreciated in value, and then suffered it to be sold under execution at a price much below its former value, this was held to be waste and unfaithful administration, for which he was liable on his probate bond.(t) So, if an executor or administrator unreasonably delay the payment of a debt payable on demand, with interest, and compel the creditor to obtain a judgment for the principal and interest, this is a *devastavit* for at least so much of the interest as accrued after sufficient assets were received applicable to the demand. And where the executor or administrator permits debts carrying a high rate of interest to run on when he had in his hands a fund to pay them, he shall be charged with interest at such high rate.(u)

Again, where no sufficient excuse was shown why a note held against the deceased was not fully paid by the administrator and administratrix within eighteen months from the time of their appointment, and they had abundant means in their hands for paying the note, and did pay

(o) Wms. on Exrs. 1509, and cases cited.

(q) Wms. on Exrs. 1509, and cases cited.

(r) Id. 1509–10, and cases cited.

(t) "*Brazier v. Clark*, 5 Pick. 96."

(p) *Ruggles v. Sherman*, 14 Johns. Rep. 446.

(s) See Wms. on Exrs. 1636.

(u) See Wms. on Exrs. 1636.



interest on it for a period of about five years, it was held that the administrator and administratrix should be charged personally with all interest which accrued on the note after the expiration of the eighteen months, or with interest from that date on the sum then due on the note.(v)

"Again, if the executor submits a debt due to the testator to arbitration, and the arbitrators award him less than his due, this, being his own voluntary act, shall bind him, and he shall answer for the full value as assets.(w)

"But though, generally speaking, an executor compounding or releasing a debt must answer for the same; yet, if it appears to have been for the benefit of the trust estate, it is an excuse: therefore, in a case where there were arrears of rent on a lease, and, on the tenant's becoming insolvent, the executor released the arrears, and gave him a sum of money to quit the possession, Lord Talbot held that, as the executor appeared to have acted for the benefit of the estate, he should be allowed both sums.(x) So, in *Pennington v. Healy*,(y) an administrator sued a debtor to his intestate, and recovered a verdict against him; and the debtor, being in jail, subsequently petitioned to be discharged under the Insolvent Act. The debtor offered terms whereby he was to be liberated on the payment of £150, a sum less than the costs incurred in the action. The administrator agreed to the terms, and liberated the debtor; and the Court of Exchequer held, in an action brought against him by a creditor of the intestate, that he was not chargeable with any part of the debt as assets."(z)

In order, therefore, to obtain the authority of the surrogate to compromise a debt due the estate, under the provisions of the act of 1847, above quoted, it must be shown that such compromise will be for the benefit of the estate; and a compromise of a debt, made even with the sanction of the surrogate, would probably not be protected, if it contravened the principle of these cases.

Again, if the executor, by his delay in commencing an action, has enabled the debtor of his testator to protect himself under a plea of the Statute of Limitations, this amounts to a *devastavit*. So, where the testator had money lent out on bond, and the executor during several years made one application, by an attorney, to the obligor, but brought no action against him, Lord Thurlow held that the executor should be liable for the sum due, as having not been got in by reason of his neglect, although it did not appear whether the debt was or was not recoverable.(a) So, where, for more than three years, the executors permitted money to remain due on bond to the testator, without inquiring into the circumstances and situation of the obligor, or calling upon him to pay the money, Lord Alvanley held, that on the obligor's becoming bankrupt, the executors were responsible.(b)

(v) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

(w) "Wentw. Off. Ex. (14th ed.) 304; *Anon.*, 3 Leon. 53; Com. Dig., Admon. (I. 1); Bac. Abr., Exors. (L. 1); *Yard v. Ellard*, 1 Lord Raym. 369, by Holt, Ch. J." Wms. on Exrs. 1633. See, also, Wms. on Exrs. (5th Amer. ed.) 1618, n (2).

(x) *Blue v. Marshall*, 3 P. Wms. 381. See, also, *Legh v. Holloway*, 8 Ves. 213.

(y) 1 Crompt. and M. 402; *S. C.*, 3 Tyrwh. 319.

(z) Wms. on Exrs. 1633-4.

(a) "*Lawson v. Copeland*, 2 Bro. Ch. Cas. 156."

(b) "*Powell v. Evans*, 5 Ves. 839." Wms. on Exrs. 1637.

In *Shultz v. Pulver*, (c) as was seen in a preceding portion of this work, the Court of Errors of this state held, that if debts collectable are not collected within a *reasonable time* after the granting of letters testamentary or of administration, the executor or administrator is personally responsible for the amount of such debts to creditors, or to those entitled to the proceeds of the estate in the order of distribution, although the debts have not been *lost* by the delay; and no improper motives are imputable to the executor or administrator: and that the administrator in this state, is bound to take measures for the collection of a demand due the estate he represents from a debtor residing in *another state*, either by obtaining himself, or employing an agent there to obtain letters of administration, and instituting proceedings by virtue thereof.

An executor, however, is not bound to prosecute a claim of very doubtful character, at the request of parties having only a contingent interest in the estate, unless they indemnify the estate against the costs. (d)

#### OF THE EXECUTOR'S ACCOUNTABILITY FOR INVESTMENTS.

As to investments made by an executor or administrator, it appears that where an executor puts out the money of his testator, though without the indemnity of a decree, upon a real security, which there was no reason then to suspect, but afterwards such security proves bad, the executor is not accountable for the loss, any more than he would have been entitled to the profits had it continued good. (e)

"With respect to loans upon personal security, the rule is now completely established in equity, that an executor or administrator lending money of the deceased upon bond, promissory note, or other personal security, is guilty of a breach of trust, and shall be personally answerable if the security prove defective. A court of equity will hold them bound to make good a loss sustained in such securities, because other and better, as government stocks and mortgages of real estate, are accessible to them as means of safe investment. (f)

Such is the rule in England. When a general power is conferred upon persons acting in a representative capacity, to make investments, they are confined, in its exercise, to real and government securities. This rule has not been abrogated or altered by any legislative action in this state, and the cases would seem to recognize the expediency of retaining it. It sanctions the investment of the moneys of the *cestui que trust* in loans on real security, or in the public stocks of this state or of the United States, and also, under the rules of the Supreme Court, in loans to the New York Life Insurance and Trust Company. (g)

If trustees, exercising a general power to make investments, go beyond the limits prescribed by law in selecting a mode of investment, neither good faith, nor care, nor diligence, will protect them in the event of an actual loss. In such cases they assume the risk, and are responsible accordingly. (g)

(c) 11 Wend. 361. See *ante*, ch. 7, p. 294.

(d) *Hepburn v. Hepburn*, 2 Bradf. Surr. Rep. 74.

(e) *Wms. on Exrs.* 1639. But see *Norbury v. Norbury*, 4 Mad. 191.

(f) *Bogart v. Van Velsor*, 4 Edw. Ch. Rep. 722.

(g) *Ackerman v. Emott*, 4 Barb. Sup. Ct. Rep. 620.

A trustee will not be protected against loss arising from the depreciation of trust funds invested by him, unless he loans on real security, or invests in some fund approved by the court. Where an executor invested the legacy of an infant *cestui que trust* in stock of the Dutchess County Bank, which, at the time of the settlement, had greatly depreciated in value, he was held liable to pay the infant, on her arriving at age, the amount of such legacy and interest.<sup>(h)</sup>

"If, however, the will directs the executors to lay out the fund in real or personal securities, they would be justified, as against legatees, using a sound discretion, and fairly and honestly lending it to a person whom they considered responsible, at a reasonable interest. But the rule is different, it should seem, as against creditors; and though the will gives the executors power to lend on personal security, this does not enable them, even as against legatees, to *accommodate* a trader with a loan on his bond."<sup>(i)</sup>

However, as it will presently appear, an executor is not justified in unnecessarily keeping his testator's money dead in his hands; and therefore, if the exigencies of his office do not require otherwise, the executor should invest the unemployed money in good securities. If they purchase stocks, they should be careful to select such as the court will consider safe. Perhaps it is never advisable to purchase stock, where landed security can be obtained.<sup>(j)</sup>

With respect to the loaning of the money of the estate, even on mortgage of real estate, a degree of care is necessary, which, if omitted, will render executors or trustees personally liable. They are bound to use ordinary care to ascertain that the title of the mortgage is valid, and that the value of the property at the time of the loan is such as will, in all probability, be an adequate security for the repayment with the interest of the amount loaned, whenever the money shall be called in. The criterion of value in such cases, is the opinion or estimate of men of ordinary prudence, who would deem it safe to make a loan of the like amount of their own money on the same property. Such men, it appears, have adopted a rule, and it is the only safe practical rule, not to lend more than from one-half to two-thirds of the value of the property mortgaged. If executors follow this rule, they will not be held responsible for a failure of the security. If they make a loan exceeding two-thirds of the fair and reasonable value of the property, relying upon the supposed ability of the borrower, and the bond which he shall give them, they must not complain if the law charges them with the consequences of a departure from established practice, and compels them to take such unfortunate securities to themselves. They may make the loan honestly and in good faith; but good faith and honest intentions will not protect men in the performance of a trust, when they depart from prudential rules which the experience of others in similar transactions have approved as the only safe guides.<sup>(k)</sup>

An executor, however, is only required to manage the estate in his

<sup>(h)</sup> *Ackerman v. Emott, &c.*, before Parker, V. C., 3 N. Y. Legal Observer, (Sept. 1845,) p. 337; 4 Barb. Sup. Ct. Rep. 626.

<sup>(i)</sup> Wms. on Exrs. 1641, and cases cited.

<sup>(j)</sup> See Wms. on Exrs. 1642; Taylor's Prec. of Wills, 96.

<sup>(k)</sup> *Bogart v. Van Velsor*, 4 Edw. Ch. Rep. 718.

charge, as a prudent man would his own, and, in case of loss, the question of his liability depends upon the particular circumstances of the case.<sup>(l)</sup> He is not chargeable with the consequences of a disastrous exercise of his discretion, unless accompanied with such negligence as raises a presumption of wilful misconduct.<sup>(m)</sup>

Where executors are obliged to foreclose a mortgage belonging to the estate of their testator, if the property will probably sell for a sum below its actual value, so as to endanger a collection of a part of the mortgage debt, it is their duty to bid in the property for the benefit of the estate, and to take the conveyances to themselves, as such executors, and to hold the premises until they can be sold for a fair price. And where the property remains in their hands unsold, at the time of accounting before the surrogate, he may direct a sale thereof, and a distribution of the proceeds of such sale, as a part of the estate.<sup>(n)</sup>

The question may here be considered, how far an executor or administrator is liable, in respect to losses occasioned by not calling in the money of the testator already invested upon securities. Executors ought not, without great reason, to permit money to remain upon personal security longer than is absolutely necessary. Accordingly, in *Powell v. Evans*,<sup>(o)</sup> executors were charged with a loss, caused by neglecting to call in money lent by the testator on bond. So, in *Moyle v. Moyle*,<sup>(p)</sup> executors and trustees, who, for upwards of a year after the testator's death, allowed a considerable portion of the assets to be unproductive in the hands of a banker, who failed, were, under the circumstances, charged with the loss.<sup>(q)</sup>

But where certain shares of the stock of the H. R. R. Co. were among the assets of the intestate, being an investment made by him in his lifetime, and the stock had depreciated in the hands of the administrators, it was held that the fact of a fall in the market value of the stock was not of itself sufficient to charge the administrators, but that the circumstances should show affirmatively that the administrators had acted in an unreasonable manner in retaining the stock, and that the failure to sell was unjustifiable.<sup>(qq)</sup>

It is not the duty of an executor or administrator to call in money invested on real security, where no risk is apparent.<sup>(r)</sup>

"Generally speaking, if an executor appoints another to receive the money of his testator, and he receives it, it is the same thing as if the executor himself had actually received it, and will be assets in his hands, and, consequently, appointing another to receive, who will not repay, is a *devastavit*.

"But with respect to losses sustained by the failure of bankers, or

(l) *Bryan v. Mulligan's ex'rs*, 2 Hill's Ch. Rep. 364.

(m) 4 Watts' Rep. 177; Taylor's Prec. of Wills, 152.

(n) *Clark v. Clark*, 8 Paige, 152; *Bogart v. Van Velsor*, 4 Edw. Ch. Rep. 718.

(o) 5 Ves. 839.

(p) 2 Russ. and M. 710.

(q) Wms. on Exrs. 1645. But see *Buxton v. Buxton*, (1 Mylne and Cr. 80,) where diligence and good faith were held, under the circumstances, a competent excuse for a loss occasioned by a delay in selling certain Mexican bonds belonging to the estate.

(qq) *McRae v. McRae*, 3 Bradf. Surr. Rep. 199.

(r) *Howe v. Earl of Dartmouth*, 7 Ves. 150; Wms. on Exrs. 1646; *Elliott v. Lewis*, 3 Edw. Ch. Rep. 46.

other persons into whose hands the money of the testator has been deposited by the executor, the rule, at least in equity, seems to be, that where the deposit was made from necessity, or conformably to the common usage of mankind, the executor will not be responsible for the loss. But if the executor pays the money of the testator into a banker's, not on any distinct account, but *mixing it with his own money*, it should seem that the executor will be answerable for the loss sustained by the failure of the banker."<sup>(s)</sup>

#### OF THE LIABILITY OF AN EXECUTOR ON THE ACTS OR DEFAULTS OF HIS CO-EXECUTOR.

A *devastavit* by one of two executors or administrators shall not charge his companion, provided he has not intentionally or otherwise contributed to it; for, the testator's having misplaced his confidence in one, shall not operate to the prejudice of the other. Hence an executor shall not, under ordinary circumstances, be responsible for the assets come to the hands of his co-executor.<sup>(t)</sup>

But where an executor possessing assets of his testator, hands over those assets to a co-executor, and they are misapplied by that co-executor; there the executor who so hands them over shall be answerable for their misapplication, because he had a *legal* right to retain them, and might have preserved them; and it was his *duty* to do so; unless, indeed, they were so handed over for the express purpose of a special administration by the co-executor, as for the payment of a particular debt.<sup>(u)</sup>

The rule may, perhaps, be stated to be, that where, by any act done by one executor, any part of the representative estate comes to the hands of his co executor, the former will be answerable for the latter, in the same manner as he would have been for a stranger whom he had entrusted to receive it.<sup>(v)</sup>

Where an executor or administrator, by his negligence, suffers his co-executor or co-administrator to receive and misapply, or waste the funds of the estate, when he has the means of preventing it by proper care, he is liable for the estate thus wasted, if the amount misapplied or wasted cannot be collected from his co-executor or co-administrator.<sup>(w)</sup>

But if an executor is merely passive, by not obstructing his co-executor from getting the assets into his possession, the former is not responsible.<sup>(x)</sup>

It may be added, however, that by the established rules of courts of equity, it is the duty of all executors to watch over, and, if necessary, to correct the conduct of each other; and that an executor, as well as

<sup>(s)</sup> See *Clough v. Bond*, 3 Mylne and Cr. 496; Wms. on Exrs. 1647.

<sup>(t)</sup> *Douglas v. Satterlee*, 11 Johns. Rep. 16; Wms. on Exrs. 1649.

<sup>(u)</sup> *Townsend v. Barber*, Dick. 356; *Davis v. Spurling*, 1 Russ. and M. 66; *Mesick v. Mesick*, 7 Barb. 120.

<sup>(v)</sup> Wms. on Exrs. 1650, and cases cited; *Sterrett's Appeal*, 2 Penn. Rep. 419.

<sup>(w)</sup> *Clark v. Clark*, 8 Paige, 152; *Johnson v. Corbett*, 11 id. 265. But where a misapplication of the estate is by applying the personal estate to the satisfaction of debts chargeable upon the real estate belonging to the heirs at law of the decedent, the administrator, who is compelled to pay the amount so misapplied to other creditors of the decedent, is entitled to a remedy to recover the same out of the real estate in the hands of the heirs. 11 Paige, 266.

<sup>(x)</sup> Wms. on Exrs 1651, and cases cited.



a trustee, who stands by and sees a breach of trust committed by his co-trustee, becomes responsible for that breach of trust.(y)

In *Sutherland v. Brush*,(z) an executor was held not to be responsible for the *devastavit* of his co-executor, except so far as he has concurred in such waste or misapplication of the assets.

It is a general rule, where an executor has once proved the will, he cannot renounce his representative character and act under another; he can do no act in regard to the estate for which he is not answerable as executor.(a)

Where two executors or trustees join in a receipt for money, it is *presumptive* evidence that money came *equally* into the possession or *under the control* of both; and it has been held, there must be direct and positive proof to rebut the presumption. Where, however, A, in the absence of his co-executor, received the money, it was held that he alone was liable, though the other executors signed the receipt.(b)

Executors seem formerly to have been charged on much stricter principles, if they joined unnecessarily, though without taking the control of the money. That rule is now altered. Whether the alteration is wholesome may be a question. It may be laid down now, that though one executor has joined in a receipt, yet whether he is liable shall depend on his acting. The former was a simple rule, that joining shall be considered as acting; but in the cases since the rule, that joining alone does not impose responsibility, scarcely two judges agree.(c)

Where there are several administrators, the practice is to take a joint and several bond from all on granting the letters of administration, but this does not render the administrators liable for the acts of each other. They merely become all liable for their joint acts, and each one becomes liable for his own separate acts. The sureties in such a bond, however, are bound for the separate acts of each administrator as well as for the joint acts of all.(d)

"If a *feme sole*, being an executrix or administratrix, wastes the goods of her testator or intestate, and then marries, her husband is liable, as long as the coverture lasts, for the *devastavit*. But upon her death, his liability ceases; and such being the principle of law, courts of equity have held that they could not establish any rule upon the difference whether the husband had or had not received a portion with his wife.

"It must, however, be observed, that if the wife was entitled to any choses in action, which the husband did not reduce into possession in her lifetime, so that it becomes necessary for him to take out administration to her, he will be liable, as her administrator, for her *devastavit*.

"With respect to the *devastavit* of the wife committed during the coverture, the husband is liable, in law and in equity, as long as both parties are alive, for the acts of his wife as executrix or administra-

(y) Wms. on Exrs. 1656, and cases cited.

(z) 7 Johns. Ch. Rep. 17.

(a) Wms. on Exrs. 1660.

(b) 2 Lord Kenyon's Rep. 541. See, also, *Douglas v. Satterlee*, 11 Johns. Rep. 16.

(c) See Wms. on Exrs. 1660-1-2-3, and cases cited; and the cases are collected in Taylor's Prec. of Wills, 448, n.

(d) See *Kirby v. Turner*, Hop. 309. But see *Lidderdale v. Robinson*, 2 Brockenbrough, 159.

trix; for, as she has no power to act alone, his assent will be presumed.

"Upon the death of the wife, the general rule is, that the liability of the husband (except as her administrator) for his wife's *devastavit*, committed as well during the coverture as before, ceases.

"But in equity, the surviving husband is liable for whatever assets came to the hands of his wife, or his own hands, during the coverture, upon the principle that all persons coming into possession of property bound by a trust, are chargeable in equity as trustees."(*e*)

The responsibility of the married executrix, after the death of her husband, for a *devastavit* committed during the coverture, may here be adverted to. The rule is, that though the waste during the coverture be the act of the husband, yet it is an act for which the wife, after the determination of the coverture, is responsible to creditors at law, and, as it should seem, to legatees in equity.(*f*)

#### OF THE EXECUTOR'S ACCOUNTABILITY FOR THE INCREASE OR DECREASE OF THE ESTATE DURING THE ADMINISTRATION.

By the above section of the statutes, numbered 57, the executor or administrator shall make no profit by the increase, nor shall he sustain any loss by the decrease, without his fault, of any part of the estate; but he shall account for such increase, and shall be allowed for such decrease, on the settlement of his account. He must account for all profits which have accrued in his own time, either spontaneously or by his acts, out of the estate of the deceased. Thus, if the sheep, or other cattle of the testator, bear lambs, &c., after the testator's death, these, although never the property of the testator, will be assets. So, if the executor of a lease for years enter into the tenements, the profits, over and above the rent, shall be assets. Therefore, if an executor has a lease for years, which yields profits to the value of \$100 a year, rendering rent of \$50 a year, he shall account for \$50 a year as assets. Again, if an executor employ the testator's goods in trade, the profits shall be assets. So, if the executor carries on the trade or business of the testator, whether in pursuance of a provision in articles of partnership entered into by the deceased, or by direction of the testator, contained in his will, or under the direction of the Court of Chancery, the profits must be accounted for as assets. Accordingly, in *Cooke v. Collingridge*,(*g*) a sale of a testator's share in a partnership trade, and the property belonging to it, made by his executors to his partners, for the purpose of being resold to one of his executors, was set aside, and his estate held entitled to his *aliquot* proportion of the subsequent profits, as if the partnership had continued.

So, in *Case v. Abeel*,(*h*) where the testator and his brother, one of the executors, had been partners, and the brother, after the testator's death, bought his share of the partnership property of the other executor, at

(*e*) Wms. on Exrs. 1664-5, and cases cited. In *Elliot v. Lewis*, (3 Edw. Ch. Rep. 40,) it is laid down, that if the *devastavit* by the administratrix occurred before the marriage, after her death the husband is not liable for the consequences; and that even if it occurred during the coverture, he is not liable after her death, unless he concurred in the misapplication and received some benefit from it. See 1 Roper on Husband and Wife, 187-8.

(*f*) Wms. on Exrs. 1667.

(*g*) "Jacob, 607."

(*h*) 1 Paige, 393.

a valuation by disinterested persons, and continued the business; it was held that he was accountable for the profit made by the increased value of the stock in trade, if it could be ascertained; and as the stock in trade of the partnership had been mixed with other goods of the executor, and sold out by retail at different periods, so that those profits could not be ascertained, and as there was some mistake in the valuation at the time of the sale, that a new valuation must be made, and that the good will of the business should be taken into consideration,<sup>(i)</sup> and that interest should be charged against the executor, and the accounts taken, upon the principles of the case of *Clarkson v. De Peyster*,<sup>(j)</sup> presently to be noticed.

And it is regarded as a well settled rule, that the good will of a partnership business does not survive to a continuing or surviving partner. It belongs to the firm as much as the ordinary stock in trade, and must be disposed of for the benefit of the firm or parties in interest.<sup>(k)</sup>

And it is a general rule, that an executor cannot be allowed, either immediately or by means of a trustee, to be a purchaser from himself of any part of the assets, but shall be considered a trustee for the persons interested in the estate, and shall account for the utmost extent of advantage made by him of the subject so purchased.<sup>(l)</sup>

And a sale made by an executor or administrator of property of the decedent to himself, however fair and honest it may have been, will be set aside on the application of any person in interest, provided such application be made within a reasonable time after the sale, which is to be judged of by the court under all the circumstances of the case.<sup>(m)</sup>

One of two or more administrators has no right, as administrator, to purchase the interest which one of the next of kin has, as next of kin, in the personal estate of the deceased, or his interest as heir in the real estate left by the deceased, for his co-administrator or administrators, or for the heirs and next of kin; and his co-administrator, even by the express consent of all the heirs and next of kin, and, where any of them are infants, their guardians, have not power to authorize him to purchase, as administrator, the interests of such heir and next of kin in either the real or personal estate of the deceased; neither has the surrogate power to authorize him to do it. Such purchase is not an act which he can do in his representative character.<sup>(mm)</sup>

In cases of purchases by trustees or others, who are not authorized to purchase without the consent of their principal or *cestui que trust*, the rule of equity is, that if the purchaser has not divested himself of the property, it is to be put up again, at the amount of the former bid,

(i) See, also, as to executor or administrator's accountability for the good will of a trade, *Gibblett v. Read*, 9 Mod. 459; *Worral v. Hand*, Peake N. P. C. 74, acc.; Collyer on Partnership, p. 82; *Farr v. Pearce*, 3 Madd. 78; *Hammond v. Douglas*, 5 Ves. 539; *Crawshay v. Collins*, 15 Ves. 227; *Featherstonhaugh v. Fenwick*, 17 Ves. 298.

(j) 2 Wend. 77. See *infra*, p. .

(k) See *Howe agst. Searing*, 19 How. Prac. Rep. 14, 17.

(l) See *Wms. on Exrs.* 1669, and cases cited.

(m) See *Story's Eq. Jur.* 326; 4 *Kent's Comm.* 438; *Hawley v. Cramer*, 4 Cowen, 717; *Case v. Abeel*, 1 Paige, 393; *Stiles v. Birch*, 5 id. 132; *Van Epps v. Van Epps*, 9 id. 237; *Campbell v. Johnston*, 1 Sandf. Chan. Rep. 148; *Stuart v. Kissam*, 2 Barb. Sup. Ct. Rep. 493; *Ames v. Downing*, 1 Bradf. Surr. Rep. 321; *Conger v. Ring*, 11 Barb. Sup. Ct. Rep. 356.

(mm) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 339.

together with the value of beneficial and lasting improvements made thereon after the sale, and if it brings nothing more, he is to be bound to his purchase. But if he has parted with the estate, he may be compelled to account for all the profit which has been made by him on the resale.<sup>(n)</sup>

"If an executor compounds debts or mortgages, and buys them in for less than is due upon them, he shall not take the benefit of it himself, but other creditors and legatees shall have the advantage of it; and, for want of them, the benefit shall go to the party who is entitled to the surplus. So, in a case where the executor of a mortgagee purchased the equity of redemption of the mortgaged estate in his own name, with the money due on the mortgage, and a small advance beyond it, it was held that he was a trustee of the purchase, for the benefit of the testator's estate.<sup>(o)</sup>

So, where the intestate was a lessee for years, and there was a covenant in the lease for quiet surrender of the premises at the expiration of the term, and that the lease should not take away any of the legal rights of the lessee to any building or buildings erected upon the premises, and the lessee died during the term, and his widow became his administratrix, and the lease was appraised and included in the inventory of the estate of the intestate; and, at the expiration of the term, the widow took a renewal of the lease in her own name and claimed, on an accounting before the surrogate, that the renewal of the lease did not enure to the benefit of the estate, and that she had a right to take and hold the new lease in her own individual character and as her individual property; it was considered that there is always a beneficial interest connected with a tenancy, as an inducement towards a renewal, which, in equity, is regarded as valuable; and that a trustee cannot avail himself of his position and use this good will for a renewal in his own individual right and behalf, to the exclusion of the parties for whom he is trustee; and it was decided that the administratrix took the renewals in question of the lease effected by her after the intestate's death, for the benefit of all parties interested in the estate; that she must account for the rents of the premises under the renewals, and that the next of kin of the intestate might insist upon a sale of the property for the purpose of obtaining their proportion of the proceeds.<sup>(p)</sup>

"Again, if an executor lays out the assets on private securities, although he shall answer for all deficiencies which may be caused thereby, he must account to the estate for all the benefit. Indeed, the principle is general, that an executor, if he will take upon himself to act with regard to the testator's property in any other manner than his trust requires, puts himself into this situation, that if there be any loss, he must replace it; but he cannot possibly be a gainer by it—any gain must be for the benefit of his *cestui que trust*.<sup>(q)</sup>

"Executors and trustees must be made to understand that it is their duty to keep the funds of their trust separate and distinct from their other funds and business; that they should upon no consideration use

(n) 4 Cowen, 744.

(o) "Fosbrooke v. Balguy, 1 M. and K. 226."

(p) *Zillein v. Carhart*, 3 Bradf. Surr. Rep. 376.

(q) *Wma. on Exrs.* 1669, 1670.

the trust moneys themselves, or permit them to be mingled with their own moneys or property. In no other way can they save themselves from trouble, litigation and censure. If they neglect this obvious duty, they have no reason to complain if they meet with trouble and expense, and sometimes with heavy loss. The protection of the rights of those who are not in a situation to protect themselves, makes it the duty of courts of justice to require fiduciaries to make good all losses which have been occasioned by their neglect.(r)

#### OF THE LIABILITY OF EXECUTORS FOR INTEREST.

This may be the proper place to inquire under what circumstances executors or administrators shall be charged with interest on the assets retained in their hands. The executor or administrator may be charged with interest when he has been guilty of negligence in omitting to lay out the money for the benefit of the estate, or when he himself has made use of the money to his own profit and advantage, or has committed some other *misfeasance*. With respect to neglect on the part of the executor in not laying out balances, it must be observed, that it frequently may be necessary and justifiable for an executor to keep large sums in his hands to answer the exigency of the testator's affairs, especially in the course of the first year after the decease of the testator. But if the court observes that an executor keeps money dead in his hands without any apparent reason or necessity, then it becomes negligence and a breach of trust, and the court will charge the executor with interest. And it seems that outstanding demands, even on probable grounds, are no reason why the executors should not lay the testator's money out. But an executor shall not be charged with interest for a balance in his hands, retained under a fair apprehension of his right to it.(s)

Interest is not ordinarily chargeable against an executor or administrator for the period of a year after the issuing of his letters, especially if the funds of the estate have been kept separate, and not mixed with his own. But at all times, if he has employed the funds of the estate, he will be charged with interest on the ground of the use of the assets for his own benefit.(t) He is a mere trustee for the management of the estate for the benefit of all parties concerned. He acts in a fiduciary capacity, and the reason why he is chargeable with interest is, that in the course of a year after the decedent's death, or at any rate a year after the granting of letters testamentary of the decedent's will or administration of his goods, the fund is supposed to come into the hands of the executor or administrator, and to be earning interest.(u) Where the testatrix left, at her decease, a sum of upwards of 5,700 dollars in cash, and the executor, within four months of her death, collected a further sum of upwards of 2,250 dollars belonging to her estate, and he deposited the whole to his individual credit in the Mechanics' Bank, and his bank account showed that in repeated instances the sum stand-

(r) 1 Paige, 402; *Ackerman v. Emott*, 4 Barb. 620.

(s) See Wms. on Exrs. 1670, and cases cited.

(t) *Ogilvie v. Ogilvie*, 1 Bradf. Surr. Rep. 356.

(u) See *Wilcox v. McCarthy*, 3 Bradf. Surr. Rep. 284.



ing to his credit in the bank was exactly less than the amount of the funds of the estate in his hands and at one time was reduced as low as 700 dollars and the executor seemed to have been somewhat actively engaged in the business of looking money, and had frequent and long conversations at the bank and he did not allege that the testament was in debt or that there was any acknowledgment of the existence of any demand against the estate. It was considered quite reasonable to charge him with interest from the period of six months after the death of the testator upon the cash balance in his hands at that time.

In *Gardner v. Gardner*,<sup>(v)</sup> which was the case of the accounting of the defendant, David Gardner, as administrator, &c. of Alexander McLachlan, deceased, the question was whether he should be charged with interest, and if so, should it be simple or compound interest? and the vice-chancellor said:

"As a general rule, executors, administrators, and trustees are liable to pay simple interest, where they unnecessarily retain the money in their hands, hold it an unreasonable time, mix it with their own private funds, use it in the way of trade, or derive any personal advantage from it.

"It is only in special cases, and under peculiar circumstances, which must be proved, that interest is to be compounded against them.

"In the case of *Raphael v. Boehm*,<sup>(x)</sup> an executor was expressly directed by his testator's will to place out money at interest in order that it might accumulate. But, contrary to this direction, he kept the money himself. The court allowed compound interest against him. The same thing was done in the Court of Chancery of South Carolina, in *Borles v. Drayton*,<sup>(y)</sup> and *Edmonds v. Crenshaw & McMorries*.<sup>(z)</sup>

"It would seem, however, that the allowance of compound interest is to be confined to cases of wilful omission of duty, and ought not to be adopted where the executor, administrator, or trustee has only been negligent.<sup>(a)</sup>

"Where an executor, administrator, or trustee converts the trust money to his own use, and employs it in trade or business, making profits of which he refuses to give any account, he may be charged with compound interest. In *Schieffelin v. Stewart*,<sup>(b)</sup> a computation of compound interest was resorted to, in order to meet the profits which an administrator had made out of the trust property, and which he would not disclose. This principle is founded upon the doctrine, that a person standing in a fiduciary situation shall never be permitted to make gain to himself of the trust property in his hands. In *De Peyster v. Clarkson*,<sup>(c)</sup> it was contended *arguendo* that *Schieffelin v. Stewart*, and the English cases there cited, were not supported by authority. The Court of Errors, however, expressed no opinion upon the point, as the cause was decided upon another ground. The case of *Schieffelin v. Stewart* has not been overruled; and, as far as my observation extends, it stands as an authority to be followed in similar cases.

(v) *Ogilvie v. Ogilvie*, 1 Bradf. Surr. Rep. 356.

(x) 11 Ves. 92.

(y) 1 Dessau. 489.

(w) 1 Edw. Ch. Rep. 128.

(z) Harper's Eq. Ca. 224.

(a) See *Tebbe v. Carpenter*, 1 Mad. Rep. 290, where *Raphael v. Boehm* and other English cases are reviewed.

(b) 1 Johns. Ch. Rep. 620.

(c) 2 Wend. 77.

"The whole doctrine underwent an examination in *Wright v. Wright*,<sup>(d)</sup> where *Schieffelin v. Stewart* was cited. Nott, J., in delivering the opinion of the Court of Appeals, expressly admits there are cases where common justice requires compound interest to be allowed, and where great injustice would otherwise be done. And he states the only difficulty to be in drawing, with precision, the line of distinction between those cases to which the rule should or should not be applied.

"In the case before the court, one hundred and forty-five shares of Manhattan Bank stock, amounting to eight thousand seven hundred dollars, and belonging to the estate of Alexander McLachlan, were transferred in 1819, on the books of the bank, to the name of the administrator, the defendant, David Gardiner. He admits those shares were standing in his name at the time of putting in his answer, and that he received the dividends. He further admits that the moneys received by him on account of the personal estate, and including the dividends upon the Manhattan stock, (after disbursements as administrator,) were mingled with his own moneys, and used and appropriated by him as his occasions required; but, having kept no separate account relative to those moneys, he cannot set forth how, at all times, the same have been disposed of, vested, or employed. The stock must be considered as held in trust for the next of kin; and he ought to account for the dividends *pro tanto*. Upon the strength of the admission that such dividends have been used and appropriated by him as his occasions required, he ought to be charged with interest upon the several dividends from the time they were respectively received. On this principle the account should be stated from the year 1819, upon the one hundred and forty-five shares of Manhattan stock. If the defendant has since sold out the stock and invested the money elsewhere, it should be followed, and whatever dividends or income he has received from re-investments ought, in like manner, to be accounted for with interest. This mode of computation does not require annual rests. It does not convert interest into principal, for the purpose of computing interest upon the whole as principal from year to year, and thereby charge the defendant with compound interest. It only charges him with the sums he may have received from time to time, and with lawful interest on each sum from the time it was received to the making of the master's report. This, added to the capital or value of the investment, will then constitute the property in his hands to be distributed. Such a mode will not be at variance with the directions which were given by the late chancellor in *Clarkson v. De Peyster*, which were sanctioned by the Court of Errors."<sup>(dd)</sup>

It has been further established, that if an executor or other trustee mixes trust funds with his private moneys, and employ them both in a trade or adventure of his own, the *cestui que trust* may, if he prefers it, insist upon having a proportionate share of the profits, instead of interest, on the amount of the trust fund so employed. And it should seem

<sup>(d)</sup> 2 McCord Ch. Rep. 185.

<sup>(dd)</sup> For an examination of the cases touching the question of charging executors or other trustees with compound interest, and a powerful vindication of the authority of the court, in cases of gross delinquency, to charge executors or trustees with compound interest, see 2 Kent's Commentaries (7th ed.) 230, 231, note c.

to be now settled, in England, that an executor who, being a trader, and having, of course, an account with his banker, places the assets at his banker's in his own name, by that means increasing the balances in his favor, acquiring additional credit, and enjoying in his business the advantages naturally arising from that circumstance, must be considered as having employed the money for his own benefit, and must, therefore, be charged full legal interest.(e)

Where an executor mixed the funds of the estate with his own, and loaned out the same from time to time on interest, without keeping separate accounts thereof, it was *held* to be a violation of his duty, by which he became liable to pay interest on the moneys belonging to the estate.(f) And if he mixes money belonging to the estate of the decedent with his own, and uses the same so that he has it not on hand to pay over to the persons entitled to it whenever it shall be called for, he may be charged with interest on such money. But the mere neglect of an executor or administrator to invest money belonging to the estate, which money he may be called upon to pay to the legatees or distributees at any moment, is no ground for charging him with interest, where such money is kept ready in bank, or otherwise, to be paid over when called for.(g) Where, however, the administrator had cash of the estate in his hands for nearly six years, and there was no explanation of the long delay which took place in the adjustment of the estate; nor did it appear where the funds had been deposited or how employed, with the exception of five hundred dollars loaned on bond and mortgage; it was held that, by the long delay in settling his account, the use of the money might be inferred and interest be chargeable; and that, as it was in the power of the administrator to have had his account settled and the funds paid over eighteen months after the grant of his letters, he should be charged with interest from that time, unless he showed that the money had been kept in bank, or otherwise, ready to be paid over when called for.(h)

Again, where an executor mixes up the trust funds with his own, or neglects to keep regular accounts of the investments, and of the interest received upon such funds from time to time, he is chargeable with interest, as if the fund had been kept invested upon interest, payable periodically, and as if the payment had been made by him from the interest and principal thus received and in hand when the payments from the trust fund were made by him. And interest should not be counted upon the capital fund for a term of years, with a deduction of the payments, and interest on such payments in the meantime.(i)

In *De Peyster v. Clarkson*,(j) it was stated that it is the duty of trustees and guardians to keep the moneys belonging to the trust estate properly invested. Circumstances, it was said, may justify a deviation from that duty, and those circumstances may be so strong as to require the trustee, in the exercise of a sound discretion, to refrain from making

(e) See *Wms. on Exrs.* 1672-3, and cases cited.

(f) *Kellett v. Rathbun*, 4 Paige, 102. See, also, *Case v. Abeel*, 1 Paige, 393; *Hart v. Bulkley*, 2 Edw. Ch. Rep. 70; *Colt v. Lasnier*, 9 Cowen, 320; *Taylor's Prec. of Wills*, 454.

(g) *Jacot v. Emmett*, 11 Paige, 142. See, also, *Burtis v. Dodge*, 1 Barb. Ch. Rep. 78.

(h) *Hasler v. Hasler*, 1 Bradf. Surr. Rep. 248. See, also, cases cited.

(i) *Spear v. Tinkham*, 2 Barb. Ch. Rep. 211.

(j) 2 Wend. 77.

investments; but those circumstances rarely occur, and, when they do, the trustee is bound to state them to the court, as the reason for his otherwise culpable neglect. Ordinarily, the duty of the trustee must predominate, and he is bound, within a reasonable time to be allowed him for the purpose, to see that the moneys which came to his hands in the course of his agency are securely and beneficially invested for the benefit of those for whom he acts; and, if that duty be neglected, he must be made chargeable with the interest of the unemployed funds, unless satisfactory cause be shown for the omission to invest them. Six months from the receipt of the money was deemed a reasonable time within which the investment must be made. If the executor or trustee neglect to make investments, they are chargeable with the interest of the unemployed funds, commencing six months after the receipt of the moneys.

A trustee, it was determined, is not permitted to put the income of an estate into his own pocket, to be accounted for at the termination of the trust, and in the meantime appropriate the capital to the payment of the annual expenses of the trust. The *interest* or *income* should first be applied and exhausted in the support of the *cestui que trust*, if infants, and to answer the other exigencies of the trust, before the principal is encroached upon. Where annual disbursements are required, and they are equal to the whole income of an estate, and the trustee is charged with interest on the income used by him and not invested, he will have to pay the interest as it falls due; but if the disbursements or investments that he makes are less than the income, then he will not be required to pay the interest which he may owe as it falls due, but it will be carried into the disbursement fund, which bears no interest. This rule, therefore, does not allow compound interest.

If executors retain money in their hands belonging to infants for several years, without any good reason for so doing, they will be charged with the interest which they might have received thereon.<sup>(k)</sup>

And although, where there is no contract to pay interest, a receipt for principal in full will generally bar a subsequent claim for interest due at the time of the receipt, yet a receipt in full for the principal of a legacy, upon which interest has accrued, will not preclude the legatee's right to the interest where, on giving the receipt, he was unaware of his rights, and had not an opportunity of examining into them. As between the executor and the *cestui que trust*, a mere receipt, even at law susceptible of explanation, will not, it is considered, operate as an estoppel in equity in bar of an accounting, when, on an explanation of the facts, it appears that the *cestui que trust* has not been paid the full amount due. It is the duty of an executory trustee to see that the legatee is paid, and to apprise him in reasonable time of the amount due, and to offer payment.<sup>(kk)</sup> He cannot take advantage of the mistake of the legatee, or bar his rights in equity by a receipt, or even a release under seal, when error has been committed.<sup>(l)</sup>

The idea of an equitable rate of interest less than the rate established by law, although adopted in some cases in England in charging interest

<sup>(k)</sup> *Stephens v. Van Buren*, 1 Paige, 479.

<sup>(l)</sup> *Wilcox v. McCarthy*, 3 Bradf. Surr. Rep. 285.

<sup>(kk)</sup> "*Merrick's Estate*, 1 Ash. 305."

against executors and trustees, has never been adopted in this state.<sup>(l)</sup> An executor or administrator, therefore, whenever he is liable for interest, will be charged with the same at the full legal rate.

It may here be remarked that an executor or administrator may sometimes be entitled to interest out of the estate, on the settlement of his accounts, as where he has advanced his own money to pay taxes, necessary expenses, repairs or debts which carried interest, and it has been for the benefit of the estate that the property should not be immediately sold.<sup>(m)</sup>

A charge of interest by an administrator will be viewed, however, with caution, and the circumstances offered to sustain it will be examined with scrupulous care. But circumstances may exist which will not barely justify, but commend an advance of money by the administrator, and entitle him to the allowance of interest.<sup>(mm)</sup>

#### OF THE ALLOWANCE OF EXECUTORS' COMMISSIONS.

The above section, numbered 58, prescribes the rate of commissions to be allowed to executors and administrators as compensation for their services, and provides also for the allowance of their actual and necessary expenses. Where there are two or more executors or administrators, the commissions are to be apportioned between or among them, according to the services rendered by them respectively. The mode of ascertaining or estimating the services is not prescribed, and, in practice, to give effect to this provision may be attended with some difficulty. The whole commissions should be apportioned among all the executors equally or upon some equitable principle, in reference to their respective services in the administration of the estate.<sup>(n)</sup> And where there are several executors, in the absence of any apportionment of the commissions among them by the surrogate, each will be entitled to an equal share of the commissions, without reference to the services performed or liability incurred by either, or the amount of moneys of the estate which may have passed through their several hands.<sup>(o)</sup> The 59th section provides for the case of a specific legacy to the executor, as compensation for his services.

The former provision relative to the executor or administrator's compensation, and the allowance for expenses, was contained in the act of 15th April, 1817,<sup>(p)</sup> and directed a rule to be established by the Court of Chancery, which was done of the 16th October, 1817, by a general rule,<sup>(q)</sup> which is adopted in the present statute above recited. The part in reference to expenses is in the words of the act, and conformable to the exposition of Chancellor Sanford.<sup>(r)</sup>

The executor or administrator is absolutely entitled to the allowance of his commissions under the provisions of the Revised Statutes, and the surrogate has no discretionary power to withhold them, or to state a balance against the administrator, excluding his legal commissions.

<sup>(l)</sup> *Clarkson v. De Peyster*, Hopk. 426.

<sup>(m)</sup> See *Mana v. Lawrence*, 3 Bradf. S. R. 424.

<sup>(mm)</sup> *Liddell v. McVickar*, 6 Halsted, 44.

<sup>(n)</sup> *Valentine v. Valentine*, 2 Barb. Ch. Rep. 430.

<sup>(o)</sup> See *White agst. Bullock*, 15 How. Prac. Rep. 102.

<sup>(p)</sup> S. L. 1817, 292.

<sup>(q)</sup> *Vide* 3 Johns. Ch. Rep. 630.

<sup>(r)</sup> 1 Hopkins' Rep., p. 43. See reviser's notes, 3 R. and or. S., App. 644.



It is not necessary now to inquire whether a court of equity, upon a complaint brought against an executor or administrator, has the right to disallow commissions where there has been a fraudulent mismanagement of the estate. The surrogate takes no power by implication; and the direction of the statute is positive, that, upon the settlement of the account of executors and administrators, in a proceeding before him, the surrogate shall allow them certain specified commissions for their services, over and above their expenses; except in those cases where a specific compensation for such services is allowed by the will of the decedent. The executor or administrator, therefore, has the same right to be credited his legal commissions for receiving and paying out the moneys of the estate, as he has to be credited for moneys paid by him for debts and funeral expenses; and the surrogate is not authorized to decree the payment of costs out of the estate of the decedent in his hands, to the exclusion of his commissions for receiving and paying out moneys, if the amount in his hands is not sufficient to pay both.<sup>(s)</sup>

The highest allowance under the statute to executors and administrators, in full for all their personal services, is five per cent. for receiving and disbursing; that is, two and a half per cent. for receiving, and two and a half per cent. for disbursing the same money, or paying it over to those who are entitled to it under the trust, or upon the final settlement of their accounts. Where an account is taken without annual rests, the commissions are to be computed upon the aggregate amount of the receipts and disbursements, so as to allow five per cent. for receiving and disbursing the first thousand dollars, two and a half per cent. upon the next four thousand, and one per cent. for the residue. In cases where the court directs annual rests to be made, for the purpose of charging the executor, or administrator, or guardian, with the interest upon the annual balances, the commissions are to be computed in the same manner upon the amount actually received and disbursed or paid over during each year, and in the same way upon the final balance: in such a manner, however, that the whole amount of commissions shall in no case exceed five per cent. upon the whole amount which may come to the executor or other trustee.<sup>(t)</sup> And where there are several executors or administrators, the commissions are charged upon the aggregate received by all the executors or administrators, and not upon the aggregate received by each one of them.<sup>(u)</sup>

The investment or re-investment of the fund, from time to time, upon new securities, for the purpose of producing an income therefrom, is not such a paying out of the trust moneys as entitles the executor, guardian, or trustee to commissions for paying out the same, within the intent and meaning of the statute on this subject, unless such securities are finally turned over to the *cestui que trust* as money, or otherwise applied in payment on account of the estate. Neither is the executor, guardian, or trustee entitled to charge a new commission for the collecting or receiving back of the principal of the fund which he has so invested.

<sup>(s)</sup> See *Halsey v. Van Amringe*, 6 Paige, 12; *Dakin v. Demming*, Id. 95.

<sup>(t)</sup> *In the Matter of the Bank of Niagara*, 6 Paige, 216.

<sup>(u)</sup> *Valentine v. Valentine*, 2 Barb. Ch. Rep. 430.

But he will be entitled to commissions upon the interest or income of the fund produced by such investments, and received and paid over by him.

The statute gives a certain allowance, by way of commissions, for receiving and paying out moneys by executors, guardians, &c., without specifying how much is to be allowed for receiving, and how much for paying out the same. And it may sometimes happen, upon a loss of the fund without any fault on the part of the guardian or trustee, or upon a change of trustees, that the guardian or trustee may be entitled to compensation for one service and not for the other. In such cases the language of the statute must be construed with reference to the decision of Chancellor Kent *In the Matter of Roberts*,<sup>(v)</sup> where he first established the allowance to be made in such cases, in conformity with the directions of the act of April, 1817, that is, to allow one-half commissions for receiving, and one-half for paying out the trust moneys.<sup>(w)</sup>

An executor cannot make periodical rests in his accounts, in order to entitle himself to full commissions at such rests, where annual rests are not directed to be made for the purpose of compelling him to pay interest upon periodical balances which ought to have been invested by him.<sup>(x)</sup>

Executors, in making, in pursuance of the directions of the will, an investment of a portion of the testator's estate at interest, and in collecting and paying over such interest to a person to whom they are directed by the will to pay the same annually for life, act as executors, and are entitled only to such commissions on the sums so received and paid over, as are allowed by this statute to executors. And, in computing the commissions to which the executor is entitled, such annual income is to be added to the total amount of moneys of the estate already received by the executor, and the commissions are to be calculated upon each annual payment at the rate prescribed by the statute, with reference to the sum total of moneys which have come into the hands of the executor thus ascertained.<sup>(y)</sup> Thus, where the testator, by his will, directed his executors to place and keep \$5,000 at interest, as the share of J., and to receive such interest and pay the same over yearly and every year to the said J. during her life, and then to divide the principal among her lawful heirs, and the executors had had the said \$5,000 invested for several years, and had received the annual interest thereof, amounting to \$300 per annum during that time, and had received and paid out more than ten thousand dollars belonging to the estate of the testator, besides the said \$5,000 dollars, and had been allowed and had received the sum of five per cent. on the first one thousand dollars thereof, and two and a half per cent. on the next four thousand, it was held that they were entitled to retain only one per cent. on the amount of the annual interest, as it was received and paid over by them.<sup>(z)</sup>

Commissions are not chargeable upon legacies, unless indirectly, by

<sup>(v)</sup> 3 Johns. Ch. Rep. 43.

<sup>(w)</sup> *In the Matter of Kellogg*, 7 Paige, 267.

<sup>(x)</sup> *Hosack v. Rogers*, 9 Paige, 461; *Bennett v. Chapin*, 3 Sandf. Sup. Ct. Rep. 673.

<sup>(y)</sup> *Drake agst. Price*, 1 Selden, 430. But see *Fisher v. Fisher*, 1 Bradf. Surr. Rep. 335. See, also, *Westerfield v. Westerfield*, Id. 198; *Holley v. S. G.*, 4 Edw. Ch. Rep. 284.

<sup>(z)</sup> 1 Selden, 431.

way of abatement, when the general estate is insufficient to pay them;(a) nor are commissions chargeable on an annuity.(b) But where the interest on a particular sum is given to a legatee for life, or for a shorter period, payable annually, the commissions on the interest payable annually must come out of the interest, and are not a charge on the general estate.(c)

If a legacy be given to an executor in trust, double commissions will not be allowed.(d) And, generally, commissions in the two-fold capacity of executor and trustee will not be allowed.(e)

The present section of the statute authorizes the charging of the commissions only on the moneys received or paid out. The calculation of the commissions, however, is frequently made on the gross valuation stated in the inventory and appraisement which has been filed, and any increase which may have subsequently taken place. In all cases where the goods and property are disposed of and distributed as money, this mode of computation is conformable to the statute. Where an executor, instead of calling in the money upon good and collectable bonds and mortgages, or other securities belonging to the estate of the testator, for the benefit of the legatees, transfers such securities to a third person for the use of such legatees, with their assent, he is entitled to the same commissions as if he had actually received and paid over the money, or invested it as directed by the will.(f)

But where the goods have been sold by the executor or administrator, and have brought less than their appraised value, the commissions can be charged on the amount realized from such sale only, because that amount is the only money received or paid out by the executor or administrator as to that portion of the property. So, also, the executor or administrator cannot be entitled to commissions on the value of chattels specifically bequeathed. The articles so bequeathed are to be delivered *in specie* to the legatees, and the discharge of the legacy may not be considered as so much money received and paid out. So, in *Burtis v. Dodge*,(g) it was held that an executor was not entitled to commissions on the share of a legatee, which share the will directed to be deducted from the valuation of a farm specifically devised to the legatee, upon his paying to the executors the residue of the appraised

(a) *Westerfield v. Westerfield*, 1 Bradf. Surr. Rep. 198.

(b) *Ex parte McComb*, 4 Bradf. Surr. Rep. 151.

(c) *Booth v. Ammerman*, 4 Bradf. Surr. Rep. 129.

(d) *Westerfield v. Westerfield*, 1 Bradf. Surr. Rep. 198. See, also, *Mann v. Lawrence*, 3 Bradf. Surr. Rep. 424.

(e) *Holley v. S. G.*, 4 Edw. Ch. Rep. 284; *Valentine v. Valentine*, 2 Barb. Ch. Rep. 430; *Mann v. Lawrence*, 3 Bradf. Surr. Rep. 424.

A receiver is entitled to his commissions on the *value* of all the personal property and things in action of which he has become possessed, and which he transfers and delivers *in specie*, under the order of the court. *Bennett v. Chapin*, 3 Sandf. Sup. Ct. Rep. 673. A trustee, in passing his accounts, on being discharged from his trust and transferring the property to his successor, is entitled to commissions on the capital of the estate, consisting of stocks, and bonds and mortgages, although the same came to him from his predecessor, and were neither invested nor converted by him. He is also entitled to commissions on real estate which his predecessor bid in on the foreclosure of mortgages thereon; the same being in equity personalty, so far as the trust estate was concerned. And it is considered that the same principle extends to real estate generally, vested in trustees. *In the Matter of De Peyster*, 4 Sandf. Ch. Rep. 511.

(f) *Cairns v. Chaubert*, 9 Paige, 160.

(g) 1 Barb. Ch. Rep. 77.

value of such farm. A specific legacy of money, however, even if that money be particularly described in the will as a special deposit in a bank, is, without doubt, to be included in the sum charged with commissions in the general account; but stocks or securities specifically bequeathed, and transferred or delivered to the legatee in the same state in which they were held by the testator, cannot properly be taken into the estimate in computing the commissions. Where an executor dies before he has converted the personal property of the testator into money, or otherwise disposed of it in the execution of his trust, he is not entitled to commissions upon the value of such property.<sup>(h)</sup>

The commissions upon the moneys received and paid out are in lieu of all personal services of the executor or administrator, and he will not be allowed any other or further recompense, however great may have been his trouble or loss of time in conducting the administration. Nor is the case altered by the executor's renunciation of the executorship, and his afterwards assisting in it; nor although it should appear that he has deserved more, and has benefitted the estate to the prejudice of his own affairs. And even where an executor in trust, who had no legacy, in a case in which the execution of the office was likely to be attended with trouble, at first declined, but afterwards agreed with the residuary legatee, in consideration of a hundred guineas, to act in the executorship, and, on his dying before the execution of the trust was completed, his executors filed a bill to be allowed that sum out of the trust money in their hands; the court refused the claim, observing that, independently of the executor's having died before the trust was executed, such bargains ought to be discouraged, as tending to dissipate the property. So a surviving partner, being executor, is not entitled without express stipulation, to any allowance for carrying on the trade after the testator's death. Again, if a solicitor or attorney, who is an executor, does professional business himself for the benefit of the estate, he is not entitled to be paid his bill of costs for such services: it would be placing his interest at variance with the duties he has to discharge.<sup>(i)</sup>

In support of this last proposition, Mr. Williams cites *New v. Jones*, (*coram*, Lord Lyndhurst, C. B., Exchequer, Aug. 9, 1853, 2 Younge's Repts.,) also *Wilson v. Carmichael*, (2 Dow & Clark, 51.) But it seems the law is otherwise in this state, and a receiver, executor or guardian here, who acts as attorney or solicitor for the estate which he has in trust, will be allowed, besides his commissions, his legal taxable costs in suits prosecuted or defended by him for the estate.<sup>(j)</sup>

The executor is not, however, entitled to charge for extra counsel fees to himself, in addition to the legal taxable costs in suits prosecuted or defended by him as attorney or solicitor; nor is he entitled to any allowance in the character of counsel for himself or his co-executor in relation to any other matter. The employment of counsel, and the payment of a proper allowance for such services, when necessary, requires the exercise of a sound discretion on the part of the executors or the trustee of the fund out of which such services are to be paid. It would, therefore, be as unsafe to allow an executor or other

(h) 1 Barb. Ch. Rep. 77.

(i) See Wms. on Exrs. 1679, 1680.

(j) See *In the Matter of the Bank of Niagara*, 6 Paige, 215.

trustee to contract with and pay himself for such extra services, as it would be to allow him to become the purchaser of the trust property, which it is his duty to sell to the best advantage for the benefit of the estate. If he employs third persons as counsel, and where he has no interest in employing and paying them for services which are not absolutely necessary, there is comparatively little danger that the estate entrusted to his care will be charged with counsel fees which might safely have been dispensed with. No allowance for extra counsel fees to himself can, therefore, be made to an executor or other trustee, upon the settlement of his accounts.<sup>(k)</sup>

Special services by the executor are not entitled to any extra compensation, unless it be so expressly provided by the will. In *Clinch v. Eckford and others, executors, &c.*,<sup>(l)</sup> where the testator appointed his confidential clerk and bookkeeper one of his executors, and directed the co executors to allow him such yearly compensation for his special services as they or a majority of them should deem proper; it was held that such clerk was not entitled to a salary for the ordinary discharge of his duties as one of the executors, but merely to a salary in addition to the usual commissions, while he continued to perform extra services; and that this direction in the will was only intended as *an authority* to the other executors to allow him a salary for extra services, in addition to the compensation allowed by law, so long as they thought proper to require such extra services to be performed by him.

Without an authority contained in the will for that purpose, the executors are not authorized to employ one of their number to perform extra services as clerk, in keeping the accounts of the estate, and to allow him a salary for his services out of the property, in addition to the commissions allowed by law.<sup>(l)</sup>

If an agent has been necessarily employed in the collection of rents of leasehold estate by executors under a trust in the will, his commissions may be allowed; but if the executor himself has performed the service, he cannot receive any other compensation than his regular statutory commissions.<sup>(m)</sup>

A provision made by the will for specific compensation to the executor is, by the above section, numbered 59,<sup>(n)</sup> to be deemed a full satisfaction for his services, in lieu of the commissions or his share thereof, unless such executor shall file with the surrogate a written renunciation of all claim to such specific legacy. The renunciation may be filed at any stage of the administration. The executor need not elect whether he will accept the legacy or claim his commissions, until he has sufficiently ascertained which will be the more to his advantage. Such a legacy is swept away by debts, or abates in case of deficiency, the same as any other general legacy. The section does not, perhaps, apply to the former case; but it is easy enough, on discovering the provision made by the will to be inadequate, to file the renunciation and thereby escape all question. [For form of the renunciation, see Appendix, No. 73.]

<sup>(k)</sup> See *In the Matter of the Bank of Niagara*, 6 Paige, 215.

<sup>(l)</sup> 8 Paige, 412. See, also, *Fisher v. Fisher*, 1 Bradf. Surr. Rep. 335.

<sup>(m)</sup> *Fisher v. Fisher*, 1 Bradf. Surr. Rep. 335. See, also, *In the Matter of Livingston*, 9 Paige, 440; *S. C.*, on appeal, 2 Denio, 575.

<sup>(n)</sup> *Ante*, p. 483.



## OF THE ALLOWANCE OF THE EXECUTORS' EXPENSES.

An executor or administrator is entitled to be allowed all reasonable expenses which have been incurred in the conduct of his office, except those which arise from his own default.<sup>(o)</sup> In *Elliot v. Lewis*,<sup>(p)</sup> an administratrix who had filed a bill of foreclosure, and, with her husband, had been obliged to come from Washington city to New York to be examined before a master, was allowed a charge of sixty dollars for the expenses of the journey.

Where the decedent died at a public hotel in New York, at a distance from home, and the most prompt means for the communication of the intelligence to his family were proper and requisite, both for the security of his estate, adequate preparation for the transportation and burial of his body, and the avoidance of expense consequent upon delay, it was held that the reasonable expenses of a special messenger to the relatives of the deceased at Philadelphia, informing them of his decease, should be allowed in the administrator's accounts; and the administrator's own expenses in accompanying the body to Philadelphia were considered as a funeral charge.<sup>(q)</sup>

Generally speaking, an executor who has proved the will, or a person taking out letters of administration, cannot retire from his duty, but must collect the estate himself. However, an executor is justified in having recourse to an agent to collect the assets, in cases where a provident owner might well employ a collector; and the executor will therefore be allowed the expense so incurred in his accounts.<sup>(r)</sup>

The traveling expenses of an administrator, when engaged on the business of the estate, always constitute a proper charge, unless he has been in some default.<sup>(s)</sup> Whether, if he drive his own horse and carriage in traveling for the estate, he can charge for their use, has been made a question. He should not be allowed to make any profit by such a charge. The price for which he could have hired a conveyance for the purpose would not, therefore, determine the amount of the allowance. The policy of the law, it is apprehended, is against the allowance of such a charge.

He is entitled to all proper expenses to which he has been subjected in the care and management of the estate, and may employ an agent or clerk, and charge the estate with the expenses, where, from the peculiar situation of the property, or from its nature, it was beneficial for the estate to subject it to that extra expense,<sup>(t)</sup> or if the circumstances of the estate rendered the employment of such agent proper and justifiable; and this, whether the employment of an agent is authorized by the will or not.<sup>(u)</sup>

"So, on one occasion, it was holden that, from the nature of the

<sup>(o)</sup> Wms. on Exrs. 1678.

<sup>(p)</sup> 3 Edw. Ch. Rep. 40.

<sup>(q)</sup> *Hasler v. Hasler*, 1 Bradf. S. & R. 248.

<sup>(r)</sup> Wms. on Exrs. 1685, and cases cited.

<sup>(s)</sup> *Hasler v. Hasler*, 1 Bradf. Surr. Rep. 250.

<sup>(t)</sup> *Vanderheyden v. Vanderheyden*, 2 Paige, 288. The executor's charges for expenses must be reasonable. If necessary, an agent may be employed at the cost of the estate. *Glover v. Holley*, 2 Bradf. Surr. Rep. 291. See, also, *In the Matter of Livingston, a lunatic*, 9 Paige, 440.

<sup>(u)</sup> *McWhorter v. Benson*, Hopk. Ch. Rep. 28.

accounts, the executor was justified in employing an accountant, and that the expense ought to be allowed to the executor.(v)

"Again, if an executor pays an attorney for his trouble and attendance in the transacting and conduct of the testator's affairs, he ought to be allowed and repaid what he so pays. But an executor is not entitled to be allowed, without question, the amount of the bill of costs which he has paid, *bona fide*, to the solicitor to the trust; and the court, without regularly taxing the bills, will moderate their amount."(w)

Where an estate is so situated that legal advice is proper to direct the course of the executors, or where they must bring suits to recover part of the estate, or defend suits brought against them, counsel must be employed; and where they are employed to obtain what is honestly supposed to be the rights of the estate, the estate ought to pay the reasonable counsel fees. But where executors neglect to settle and pay, and are sued by creditors, or cited by heirs, and employ counsel to defend them in their iniquity, no counsel fees should come from the estate. When heirs are asking from executors what is unreasonable, they may defend against this, and the heirs ought to bear the expense of their unjust claim.(x)

Where it was considered that the executors acted in good faith, and for the apparent interest of the estate, in bringing a suit in the name of a third person under the advice of counsel, for the purpose, if possible, of avoiding the forfeiture of the debt in case usury was proved, that defence having been set up, it was held that it was right to allow them the whole costs of the litigation, although they might, perhaps, have been excused from paying the defendant's costs, if the suit had been brought in their own names as executors. Where an executor in such a case acts in good faith, under the advice of counsel, and apparently for the interest of the estate he represents, he ought not to be subjected to a personal loss, because the result of his exertions was not quite as beneficial to the estate as a different course of proceeding might have been.(y)

Where, however, the executors employed a person not authorized to practice to foreclose a mortgage due to the estate of their testator, and he foreclosed the same in the name of another person as solicitor, but, from the ignorance of the person so employed by the executors, the mortgage was irregularly foreclosed, so that a part of the debt was lost; such executors, it was considered, were answerable to the legatees for the amount of such loss.(z)

Where an executor in his accounts charged three items, amounting to \$204 75, for the costs and counsel fees in resisting a successful application to the surrogate to compel the executor to give security, and two items, amounting to \$119 75, for resisting an application to the surrogate to compel the executor to account, which application was

(v) *Henderson v. McIver*, 3 Madd. 275.

(w) See Wms. on Exrs. 1686, and cases cited.

(x) *Case of Sterrett's Appeal*, 2 Penn. Rep. 426.

(y) *Collins v. Hoxie*, 9 Paige, 87.

(z) *Wakeman v. Hazleton*, 3 Barb. Sup. Ct. Rep. 148.

granted, and was affirmed with costs by the chancellor, on appeal; it was held that, in the first case, the allowance of costs to the executor was in the discretion of the surrogate; and he not having allowed costs for resisting the successful application, the executor was not entitled to charge them against the estate. And the chancellor, as well as the surrogate, having decided that the executor was wrong in his objections to the application to compel him to account, and he having been personally charged with the costs of the appeal upon that ground, it was wrong to charge the estate with the costs of that unsuccessful resistance of a legal right.(a)

An executor should be allowed, as against the estate, his costs and expenses incurred in suits brought or defended by him, in good faith, for the benefit of the estate, and not merely to enforce or defend his own rights.(a)

But he is not entitled to charge the estate with a counsel fee paid by him, upon the final settlement of his account before the surrogate; or for drawing up his account in a proper and legal form, on such final settlement.(b)

This rule does not conflict with the rule and provision of the statute by which the surrogate is authorized to allow executors and administrators "for their actual and necessary expenses," which are "just and reasonable," in the management of the estates committed to them; such as expenses already alluded to, incurred by them in employing agents and clerks, where their services are beneficial to such estates, and such as costs just mentioned, paid in actions brought by them, in good faith, to recover debts supposed to be due to their decedents, when the results show that different modes of proceeding would have been more beneficial to parties interested in the estate. The two rules harmonize, and are founded on solid reasons. It is not often that executors or administrators need the services of counsel in making final settlements of their accounts before the surrogate, if they have properly managed the estates in their hands, and are diligent in making such settlements; and when they are negligent, or permit their accounts to become confused, or suffer the estates under their control to decrease unnecessarily, they ought to pay counsel out of their own funds, for assisting them in closing up their trusts. And the reasons are obvious which uphold the rule which permits the surrogate to allow them all actual and necessary expenses incurred by them, which appear reasonable and just, in bringing and defending actions, in good faith, with the expectation of benefitting the estates under their control, and in managing such estates solely for the benefit of those interested in them.(c)

Executors or administrators are entitled to be allowed the reasonable actual sustenance of the widow out of the estate of her husband, during her quarantine. The statute relative to "Estates in Dower" provides as follows:

Sec. 17. A widow may tarry in the chief house of her husband forty days after his death, whether her dower be sooner assigned to her or

(a) *Hosack v. Rogers*, 9 Paige, 461.

(b) *Burtis v. Dodge*, 1 Barb. Ch. Rep. 77; *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 330.

(c) See *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 330-1.

not, without being liable to any rent for the same ; and, in the meantime, she shall have her reasonable sustenance out of the estate of her husband.(d)

This provision being general, it must be construed to apply to the case of a solvent as well as of an insolvent estate. But the allowance is only intended to apply to the sustenance of the widow herself. No provision is made by law for the maintenance of the children of her deceased husband out of an insolvent estate, beyond the exempt articles allowed to the widow for that purpose.(e)

#### OF THE EFFECT OF THE FINAL SETTLEMENT.

The above section, numbered 65,(f) defines the facts of which the settlement and allowance of the account by the surrogate, or upon appeal, shall be deemed conclusive evidence against all persons interested in the estate who have been duly cited.

It may here be remarked that, after a cause pending before a surrogate has been submitted to him for decision, and the parties have separated, it is too late for one of the parties to withdraw his claim.(g)

There has been occasion, at a previous page(h) of the remarks upon the present subject, to speak of the extent and sufficiency of the protection afforded to the executor or administrator by the settlement and allowance of his accounts by the surrogate, and the conclusiveness of the proceedings upon the parties in interest, and attention was called to the section now under consideration. The necessity for accuracy and diligence in ascertaining the names and residences of the persons interested in the estate, and in making due service of the citation, was there adverted to. The practice with reference to the appearances of the parties before the surrogate, and on perfecting the appearances of minors interested in the estate, was also pointed out. It may now be further observed, that it is not settled whether the sentence of the surrogate is final, in the case of infants for whom a guardian has not been duly appointed, or of those persons under disability, bound only by publication.(i) With regard to infants who did not duly appear, or were not legally represented on the accounting, it was before suggested that their rights were not in any way affected by the proceedings ; but the point remains to be decided. As to those persons under disabilities, bound only by publication, it is probable that the executor or administrator will always continue liable for their claims, until they shall be barred by reason of staleness, or the application of the rules prescribing the limitations of actions.

The four subdivisions of the section cover nearly every question which can possibly be raised relative to the account of the executor or administrator, or his proceedings in the administration of the estate.

(d) 1 R. S. 742 ; 3 R. S. (5th ed.) 33.

(e) 2 R. S. 83-4 ; S. L. 1842, chap. 157, p. 194, sec. 2 ; 3 R. S. (5th ed.) 170 ; *Ante*, pp. 270-1.

(f) *Ante*, p. 484.

(g) *Clowes v. Van Antwerp*, 4 Barb. Sup. Ct. Rep. 416.

(h) *Supra*, p. 503.

(i) See *Kellett v. Rathbun*, 4 Paige, 102 ; *Wright and others v. Trustees, &c.*, 1 Hoff. Ch. Rep. 202.

With respect to the first, it has been held that a party to the accounting cannot be permitted, in any subsequent original suit whatever, to object against any debt or legacy paid by the executor, charged in his final account, and passed upon by the surrogate. The decree of the surrogate is absolute and final. The remedy is an appeal to the chancellor. It becomes pleadable in every court, as the final sentence and judgment of a competent tribunal upon every matter which it professes to decide, and which is within the jurisdiction of that forum. To suppose that the 65th section is confined to the mere fact that the payments have been made—dispensing only with the preservation of vouchers—seems to be inconsistent with the object of the statute, and destructive of its utility. The clause in question is “that the final settlement of the executor’s accounts, made in the mode prescribed, shall be conclusive evidence of the following facts, and no other: 1st. That the charges made in such account for moneys paid to creditors, legatees, next of kin, and for necessary expenses, *are correct.*” This phrase cannot mean less than this, that the validity of a debt, and the right of a legatee, is as much pronounced correct as the fact of his reception of the money.(j) The other subdivisions are so framed as to leave scarcely any room for a doubt as to their construction. The sentence or decree of a surrogate, upon the final accounting of an administrator before him, is, unless appealed from, conclusive as to the amount of the personal estate with which such administrator is chargeable up to the time of the accounting; and it cannot be reviewed in a collateral suit.(k)

But the surrogate’s settlement and allowance of the executor or administrator’s account is not conclusive upon a creditor who does not appear before the surrogate on the accounting, where the executor or administrator has already paid the debt to another person representing himself as the owner of the claim, and entitled to receive the amount, but who had actually previously transferred the claim to a *bona fide* holder, who brought the suit, and the surrogate’s decree awarded the dividend of the estate due on the claim to the administrator, as a creditor of the estate, and such dividend was received and retained by him accordingly. Thus, where the intestate died 27th October, 1842, and at the time of his death owed a note dated March 15th, 1841, payable to J. O. H. or bearer, for \$244 80, to be paid April 1st, 1843, with interest at six per cent., to be paid annually; and J. O. H., the payee of the note, on the 1st May, 1841, transferred the note to The Bank of Poughkeepsie, in part security for the payment of certain of his liabilities to the bank, and soon after the grant of the letters of administration of the goods, &c., of the intestate, which took place on the 3d June, 1843, the payee, in his own name and for his own benefit, presented to the administrator a claim against the estate of the intestate on account of this note and other demands, and the administrator did not contest the claim, but admitted it, and, believing that J. O. H. was the owner and holder of the note, and before any notice or knowledge of the claims of the bank, and in June, 1844, advanced to J. O. H., on ac-

(j) 1 Hoff. Ch. Rep. 214-15.

(k) *Stiles v. Burch*, 5 Paige, 132.



count of his claim, a considerable proportion in cash, and afterwards paid a further sum upon an agreement with J. O. H. that such advance was to be deemed a payment of his claim, and J. O. H. agreed to give the administrator a receipt in full for his claim on the estate, and the administrator and administratrix published the notice prescribed by the statute for the presentation of claims, which notice required such claims to be exhibited on or before May 25th, 1845, but the president, &c., of the Bank of Poughkeepsie did not present any claim, and the administrator had no notice or knowledge of their claim until January, 1846, and the administrator and administratrix, on the 2d June, 1845, took out a citation requiring the creditors and next of kin of the intestate to appear before the surrogate on the 29th of July, 1845, to attend the final settlement of their accounts, which citation was duly issued, published, and served in such manner as the law directs,<sup>(l)</sup> and on the 29th July, 1845, the surrogate made a decree reciting the citation and return thereof with affidavits of the service duly verified, and that none of the creditors or next of kin appeared to oppose, and further recited that the claims presented amounted to \$2,615 17, specifying them, including J. O. H., \$320 35, and directed that, to the creditors of the deceased, the administrator and administratrix should pay ratably at the rate of sixty cents on the dollar in sixty days from the date of the decree, and J. O. H. attended on the final accounting, and admitted payment to him of his claim by the administrator, and the amount was credited in the final account as a claim of the administrator against the estate, J. O. H. stating that the note had been mislaid so that he could not produce it, and it appeared that the said sum of \$320 35, awarded to the administrator, as due to him as a creditor of the estate, was so awarded on account of the same promissory note then held by the Bank of Poughkeepsie, and that sixty cents on the dollar upon the said claim was received by him accordingly; it was held, in a suit by the Bank of Poughkeepsie against the administrator to recover the money so retained, that the payment by the administrator of the amount of the note to the payee, was a payment in his own wrong; that the non-production of the note by the payee was of itself evidence of his want of right; that the surrogate's decree was not conclusive against the plaintiffs that the defendant was entitled to the money; that the amount retained by the administrator under the decree on account of the note, was held by him for the plaintiffs' use at their election; and that they had a right to proceed against the defendant for the money, as received to their use, or to proceed against the estate for the amount of the note.<sup>(m)</sup>

Again, the account provided for by the statute, though termed a final account, and the settlement thereof, though also termed final, are not in any broad sense possessed of that character. Their finality is limited to the particulars enumerated in the four subdivisions alluded to of the above section sixty-five; and even as to those particulars, they are final only as to such persons as were notified in the particular man-

(l) How service came to be made upon the bank when the administrator had no notice or knowledge of their claim until the January following, is not quite clear.

(m) *The President, &c., of the Bank of Poughkeepsie agst. Hasbrouck*, 2 Seld. 216.

ner required by the statute. The executor or administrator, on the accounting, gets no adjudication that no other assets are chargeable to him, as having been received, than those which are stated to have been received. He may still be charged for not collecting debts collectable, and not stated in the account, and with interest on both these classes of charges; and it is quite obvious that, besides these, and probably other matters relating to the time prior to the settlement of the accounts, the executor may discover, and be able to get possession of assets, the existence of which was unknown at the time of the settlement, and that, as to all these, his duties and the rights of creditors and others interested in the estate of the deceased, remain unaltered by these proceedings upon the so-called final accounting.(n)

The 66th section of the act formerly provided that the 65th section shall not extend to any case where an executor was liable to account to a court of equity, by reason of any trust expressly created by any last will or testament. This section, it was considered, could apply only to the few permitted cases of express trusts.(o) In England, it is said, in respect to their ecclesiastical courts, where there is anything in the nature of a trust *to be executed*, an injunction or prohibition will go; for the Ecclesiastical Court has no jurisdiction over trusts. Thus, the Court of Chancery will grant an injunction to stay the proceedings in the spiritual court, where a sum of money is given to a trustee upon trust for a legatee, and he sues for payment into his own hands. So, if a sum of money is left to an executor in trust, the spiritual court cannot entertain a suit against him at the instance of the *cestui que trust*. But where there is the bare duty of an executor to be performed, and there is no trust but what belongs to all executorships, in such a case, it should seem, no injunction can be obtained to restrain proceedings in the Ecclesiastical Court against the executor, to enforce the performance of that trust. Thus, in *Grignion v. Grignion*,(p) a sum of money was left to executors, in trust, to invest and pay the interest to A. for life, and after A.'s death to divide the principal among his issue on their respectively attaining the age of twenty-one, with benefit of survivorship till that age; A. died; his only three children attained their majority, and the shares of two of them were paid over: and Sir John Nicoll held that the Prerogative Court ought to proceed against the executor, to enforce payment of the share of the third; the learned judge considering that the character of trustee was at an end, and that of executor alone was subsisting.(q)

Whether, previous to the amendment of 1850,(r) executors clothed with a testamentary trust might not avail themselves of the general provisions of the statute relative to the final settlement of an executor's accounts, is not any longer a question necessary to be discussed, since any doubt which might have existed on that point has been removed by the act of 1850. By the terms of that law, any trustee created by any last will or testament, or appointed by any competent authority to

(n) *Bank of Poughkeepsie agst. Hasbrouck*, 2 Seld. 216, per Johnson, J.

(o) *Wright and others v. Trustees of Methodist Episcopal Church*, 1 Hoff. Ch. Rep. 202, 215.

(p) "1 Hagg. 535."

(q) *Wma. on Exra.* 1877.

(r) S. L. 1850, 587; 3 R. S. (5th ed.) 181; *Supra*, p. 485.

execute any trust created by will, or any executor or administrator with the will annexed authorized to execute such trust, may, from time to time, render and finally settle his accounts before the surrogate, in the manner provided for the final settlement of the accounts of executors and administrators. And, by law, executors may have a final settlement of their accounts at their own instance, and though not cited by persons interested. This privilege is therefore extended to testamentary trustees.<sup>(s)</sup>

The statute, as has heretofore appeared,<sup>(t)</sup> provides that preference may be given by the surrogate to rents due or accruing upon leases held by the testator or intestate at the time of his death, over debts of the fourth class, whenever it shall be made to appear, to his satisfaction, that such preference will benefit the estate of the testator or intestate. The preference thus authorized may be given by the surrogate in his decree on the final accounting of the executor or administrator.<sup>(u)</sup>

By the above section, numbered 71, the surrogate is authorized, on the final settlement and allowance of the executor or administrator's account, to decree payment and distribution of any portion of the estate which may remain to be paid and distributed, and to settle and determine all questions concerning any debt, claim, legacy, bequest or distributive share; to whom the same shall be payable, and the sum to be paid to each person.

The decree of the surrogate under this section of the statute, directing the payment and distribution of the fund in the hands of an executor or administrator is not only, as has been already observed,<sup>(v)</sup> not conclusive upon any party interested in the estate not served with the citation to attend the final accounting of the executor or administrator, but it is not in all cases conclusive upon the parties in interest served with the citation, who do not appear in the proceeding or attend upon the accounting. The general object of the sixth chapter of the second part of the Revised Statutes, which embraces the provision in question, is to insure the speedy administration of the estates of deceased persons, adequate facility of enforcement to the rights of claimants against the estate, and adequate protection to executors and administrators against claimants upon the estates of which they are the representatives. It is these two classes of interests with which the chapter is particularly concerned, and with reference to them its provisions are in the main to be expounded. The rights of claimants *inter sese*, except in so far as the determination of those rights is necessary to the fixing of the rights of the two classes specified, are not within the general purview of the chapter. The final settlement and distribution under the statute are made on the motion of the executor or administrator, and are intended for his protection in the administration of the estate. That is their primary purpose, and contests between creditors, so far as they arise and are necessary to be disposed of in order that this protection may be given to the executor or administrator, must be disposed of, and

<sup>(s)</sup> *Glover v. Holley*, 2 Bradf. Surr. Rep. 291.

<sup>(t)</sup> 2 R. S. 87; 3 R. S. (5th ed.) 174; *Ante*, p. 312.

<sup>(u)</sup> *Hovey v. Smith*, 1 Barb. Sup. Ct. Rep. 372. See *ante*, p. 318.

<sup>(v)</sup> *Ante*, pp. 484, 503.

such disposition will be conclusive upon the parties to them. In the case of a creditor, however, who does not appear, notwithstanding his failure to appear he retains his whole claim upon the estate, although he loses his right to the particular fund, and an actual distribution made by the executor or administrator, pursuant to the decree, will be protected. As, therefore, a creditor's demand is not barred by any determination of the surrogate under the section in question, unless he appears and his claim comes thus directly in contest, in the absence of an appearance by any particular creditor any determination of the surrogate as to the payment or non-payment of a particular demand, would be a determination between the estate and the person presenting the demand, and not between that person and all the other creditors, as well as the estate. Accordingly, where the person presenting the claim against the estate to the administrators, upon a promissory note made by the intestate, had previously passed the note to a bank, but had received from one of the administrators the full dividend and *pro rata* share payable on the note out of the estate of the intestate, and the administrators knew nothing of the actual ownership of the note by the bank, but, upon the final accounting of the administrators before the surrogate, the bank, as well as the person to whom the dividend on the note had been paid, was made a party to the proceeding, but the bank did not appear upon the accounting, and the surrogate decreed the payment to the person presenting the claim of the dividend due on the note which had already been paid, and the amount was credited in the final account as a claim of the administrator against the estate; it was held that the decree was not conclusive as to the rights of the bank, and that the bank could recover from the administrator the amount of the dividend awarded upon the note.<sup>(w)</sup>

The surrogate, upon the settlement of the account of an executor or administrator before him, has jurisdiction to examine and decide upon all claims between such executor or administrator and the estate of the decedent, whether such claims are legal or equitable; so as to make a final settlement and distribution of the estate, according to the rights of the several parties interested therein.<sup>(x)</sup>

In *Gardner, administratrix with the will annexed, &c. v. Gardner and others*,<sup>(y)</sup> where the administratrix had been charged, on the settlement of her accounts before the surrogate, with the sum of \$2,000, received by her from her husband's property in his lifetime, and invested in improvements on, and the purchase of lots for her separate estate; the chancellor, on appeal, said, "Neither do I see any objection in this case to the jurisdiction of the surrogate to examine and decide upon the

<sup>(w)</sup> *President, &c., of Bank of Poughkeepsie agst. Hasbrouck*, 2 Seld. 216.

<sup>(x)</sup> 7 Paige, 591. Where S. bequeathed his personal estate to his son J. W. S., and made L. executor of his will, and the son subsequently died, having previously appointed L. and three other persons his executors; it was held, on an accounting of L. as executor of S., before the surrogate, that the amount which should be found in his hands belonging to the estate of J. W. S., as the legatee of S., his father, would be assets in the hands of L. as one of the executors of the son. But the surrogate, it seems, after the account of L., as executor of the father, had been rendered and settled, would not be authorized to decree payment to his co-executors, under the will of the son, of the balance found due from him, as the executor of the father, to the estate of the son. *Smith v. Lawrence*, 11 Paige, 206.

<sup>(y)</sup> 7 Paige, 112.

validity of a claim against the administratrix in favor of the estate, for the purpose of determining what portion of the estate she shall be permitted to retain as one of the residuary legatees. If a debt claimed to be due cannot thus be ascertained, it will follow, of course, that a bill in chancery must be filed in every such case; as the administrator or executor cannot sue himself. And yet there are many cases in which the debt claimed to be due from the executor or administrator is beneath the jurisdiction of the Court of Chancery. The object of the legislature, in the last revision of the laws, was to give to the surrogate a complete jurisdiction to do justice between the parties, upon an executor or administrator's being cited to account. And where such executor or administrator accepts the office, he cannot object to that mode of deciding the question whether he is not himself a debtor to the estate of which he has assumed the management. Whether the sureties of the administrator can be made liable for a balance found due from the administrator to the decedent in his lifetime, is a question which cannot arise in this case. That an executor or administrator cannot be excused from his personal liability for the debt due to the estate, whether the claim against him is a legal or an equitable one, is perfectly clear. And I am satisfied that the Surrogate's Court has jurisdiction to determine the question of such liability, where the alleged debtor is cited to account before that court for the administration of the estate; especially where he is entitled to a distributive share of the fund, which may be found due upon such accounting."

In a court of law, one executor or administrator cannot sue his co-executor or administrator, to recover a debt due from the latter to the estate of the testator or intestate. But the Surrogate's Court or a court of equity, upon the application of an executor against his co-executor, can settle the question as to the fact of the indebtedness of the latter to the estate of which he is one of the executors, and the amount of such indebtedness, without divesting the defendant of the possession of the fund due from him to the estate which is represented by both parties to the proceeding or suit; and, when the amount of the defendant's indebtedness is ascertained, the court can direct such disposition of the fund due from the defendant as justice and equity may require.(z)

So, although a surrogate has not jurisdiction to call the personal representatives of a deceased executor to account before him as the representatives of the first testator, when none of the effects of such testator came to their hands in that character, yet, upon the application of a person who, as a legatee or distributee of the first testator, is a creditor of the deceased executor, the surrogate has authority to call the representatives of the latter to account for the estate of their own testator or intestate; and, upon such accounting, the surrogate is authorized to liquidate and determine the amount of the claim against the estate of the testator or intestate, as the representative of the first testator.(a)

In *Payne v. Matthews*,(b) where the testator had been a member of a partnership firm, and the partnership had been dissolved previous to his death, at which time he owed the firm a large amount; and the

(z) *Smith v. Lawrence*, 11 Paige, 206. See, also, *Wurts v. Jenkins*, 11 Barb. Sup. Ct. R. 546.

(a) *Dakin v. Demming*, 6 Paige, 95.

(b) 6 Paige, 21.



surviving partner since that time had been obliged to pay very large sums to the partnership creditors out of his own private funds, it was stated that the balance due from the decedent to his surviving partner on account of the partnership transactions, after the payment of the partnership debts and the appropriation of all the co-partnership effects to equalize the balances between them, was an unliquidated demand of the fourth class against the decedent at the time of his death; and that the surrogate was competent, upon the settlement and distribution of the estate, to liquidate such demand.(c)

With respect to claims against the estate of a deceased person, arising out of a partnership of which he was a member, the general rule prescribed by the statute regulating the payment of debts,(d) establishing an equality among all claims included in the fourth class, "recognizances, bonds, sealed instruments, notes, bills, and unliquidated demands and accounts," owing by the testator or intestate, does not apply either to claims of creditors of the partnership, or to the claims of the surviving members of the firm against the estate of the deceased partner. It is a settled rule of equity that, in marshalling the assets of a deceased partner, the partnership property is to be first applied to the payment of partnership debts, and that until such debts are all paid no creditor of the individual partner is entitled to any share in the assets of the partnership. Also, that the separate creditors of the deceased partner are entitled to priority over the creditors of the partnership, in respect to the separate estate of the deceased partner.(e) It is equally well settled that the partnership effects are liable to each member of the firm, for the purpose of equalizing and adjusting their claims in relation to the partnership fund. That the claim of one member of a firm upon the firm, arising out of partnership transactions, such as the payment of partnership debts, investment of more than his share of capital, &c., entitles such partner to priority in the distribution of the partnership funds, over a creditor of an individual partner. In such case the member of the firm paying the debts, or investing more than his share of the capital, becomes a creditor of the firm. And by the same rule of reciprocity, a creditor of an individual member of the partnership is entitled to preference, as regards the separate property of such partner, over such creditor of the firm.(f)

The provisions of the Revised Statutes regulating the payment of the debts of a deceased person, have not in any way abrogated or varied these settled rules and principles. The statute provides for the payment of "the debts of the deceased," dividing them into four classes, and no violence is done to its language by understanding its provisions as subject to the rules in equity which have been mentioned in relation to the marshalling of the assets of deceased partners, and of the partnership estate. The rules referred to have been considered in

(c) See, also, *Sellis' case*, 4 Abbott Prac. Rep. 272.

(d) 2 R. S. 87; 3 R. S. (5th ed.) 174; *Ante*, p. 312.

(e) "*Payne v. Matthews*, 6 Paige, 20; *Buchan v. Sumner*, 2 Barb. Ch. Rep. 197; Story on Part., secs. 363-4-5-6; Story's Eq. Jur., sec. 675; *Jackson v. Cornell*, 1 Sandf. Ch. Rep. 348; Kent's Comm. (3d ed.) 264-5.

(f) "*Buchan v. Sumner*, 2 Barb. Ch. Rep. 197; *Taylor v. Fields*, 4 Vesey, 396; *Ex parte King*, 17 id. 115; *Nicholl v. Mumford*, 4 Johns. Ch. Rep. 522."

force as much since the Revised Statutes took effect as before. They are rules of equity which were never intended to be disturbed or affected by the statute. Accordingly, in *Kirby and Robbins v. Carpenter and others*,<sup>(g)</sup> it was held, on the distribution of an insolvent estate by a surrogate, that debts owing by the decedent, as a member of a co-partnership, should not be placed by the surrogate on a par or in the same class with debts owing by the decedent individually, but should be postponed until the individual debts of the decedent were paid; and that the circumstance that one of the surviving partners of the firm had paid more than his share of the debts of the concern, and had thus become a creditor of the firm, did not entitle him to payment of such excess until all the individual debts of the decedent had been paid.

In *Stiles and others v. Burch*,<sup>(h)</sup> it was held that the surrogate, upon the accounting of an administrator before him, has jurisdiction to declare the sale of a chattel interest in land, which has been bid in by the administrator for his own benefit, void; and to charge him with the full value of the premises at the time of such sale, with interest, or with the present value and the net income of the property from the time of the sale.

So the surrogate may, upon the accounting of an executor or administrator, determine the validity of an alleged *donatio mortis causa*. In a case where the alleged gift was of certain bonds held by the testator, and where this point was disputed, the learned surrogate of the county of New York made the following observations upon the question:

"The jurisdiction of the surrogate to try this question has been questioned by the counsel of the donee. The surrogate has jurisdiction to try every question necessary to the settlement of the accounts of the executor. It is competent for the legatees, on the accounting of the executor, to produce evidence to charge him with more assets than he acknowledges in his accounts to have received. They may prove the testator had assets which the executor should have collected, or which he has received and not brought into his accounts. In the present case, the legatees assumed the last position. They sought to charge the executor with the amount of these bonds, and showed the bonds had belonged to the testator in his lifetime, and that the executor had admitted they were in the possession of the testator at the time of his death. Had the case stopped there, it would have been my duty to have charged the executor with the amount of the bonds. But he sets up a gift by the testator; and, in order to decide whether he is liable or not for the bonds, the question of gift must be determined. The executor himself raised this point to exonerate himself from liability; and it is necessary to decide it in order to settle his accounts and make a final decree for the distribution of the estate. If an executor can retain assets on the plea of a gift *causa mortis*, and then successfully impeach the surrogate's jurisdiction to inquire into the validity of this plea, the power of this court in respect to the settlement of accounts and the adjustment of estates is at an end.

<sup>(g)</sup> 7 Barb. Sup. Ct. Rep. 373. The above observations on the subject under consideration are mainly taken from the able and lucid opinion of Mr. Justice Welles in this case.

<sup>(h)</sup> 5 Paige, 132.

"I am very clear that this objection is not tenable, and must therefore decree distribution, in accordance with the conclusion to which I have arrived respecting the revocation of the donation by the testator before his decease."<sup>(i)</sup>

But the surrogate, under the section of the statute now under consideration, nor under the 18th section of the act relative to "the rights and liabilities of executors and administrators,"<sup>(j)</sup> providing that the surrogate shall have power, upon the application of a creditor, to decree the payment of any debt, or a proportional part thereof, against an executor or administrator at any time after six months shall have elapsed from the granting the letters testamentary or of administration, heretofore repeatedly referred to,<sup>(k)</sup> has not jurisdiction to determine the validity of and amount due upon a claim brought by a creditor against the estate of a deceased person, and disputed by the executor or administrator. This point has been the subject of considerable discussion, and seemingly of conflicting decisions;<sup>(l)</sup> but the Supreme Court having, in three several cases at general term, in different parts of the state, determined that where the statute speaks of the rights of a creditor to call for an account, and gives the power to the surrogate to decree the payment of a debt or any part of it, it must be understood to apply to undisputed debts,<sup>(m)</sup> and that a surrogate has no power to try or decide upon the validity and amount of a claim against an estate, upon the petition of a creditor praying for a decree directing its payment, when such claim is disputed by the executor or administrator, and the right of the surrogate to make such a determination is denied;<sup>(n)</sup> the question may now be regarded as settled, that the surrogate has not jurisdiction in the premises.<sup>(o)</sup>

It is not, however, to be supposed that the jurisdiction conferred upon the surrogate by the provisions of the statutes under examination, is to be defeated by a mere allegation on the part of the executor or administrator that the claim which he is called upon to answer is disputed. The doctrine of the chancellor in *Kidd v. Chapman*,<sup>(p)</sup> as understood by Mr. Justice Harris in *Magee v. Vedder*,<sup>(q)</sup> is probably a just exposition of these provisions, and affords a correct view of the jurisdiction of the surrogate in the premises. When an executor or administrator is ordered, under the 18th section of the statute,<sup>(r)</sup> to shew cause before the surrogate why he should not pay a debt of the

<sup>(i)</sup> *Merchant v. Merchant*, 2 Bradf. Surr. Rep. 432.

<sup>(j)</sup> 2 R. S. 113; 3 R. S. (5th ed.) 201.

<sup>(k)</sup> *Ante*, pp. 356, 371, 373, et seq.

<sup>(l)</sup> See many of the cases collected in note (s) *ante*, p. 378. For other cases see *Eitel v. Waller*, 2 Bradf. Surr. Rep. 287; *Bowen v. Bowen*, Id. 336; *Babcock v. Lillis*, 4 id. 218; *Mills v. Thursby*, 11 How. Prac. Rep. 126; *S. C.*, 2 Abbott's Prac. Rep. 432; *Sellis' case*, 4 id. 272. See, also, *Elwood v. Deifendorf*, per Paige, J., 5 Barb. Sup. Ct. Rep. 398, 407.

<sup>(m)</sup> *Disosway v. Bank of Washington*, 24 Barb. Sup. Ct. Rep. 60, 65.

<sup>(n)</sup> *Magee v. Vedder*, 6 Barb. Sup. Ct. Rep. 352; *Andrews v. Wallace*, 29 id. 350; *S. C. nomine Andrews v. Wallege*, 17 How. Prac. Rep. 263; 10 Abbott's Prac. Rep. 425. See, also, *Wilson v. The Baptist Education Society*, 10 Barb. Sup. Ct. Rep. 308.

<sup>(o)</sup> Considerable space is appropriated to the examination of the cases upon this question in the second edition of this publication. See pages 507 to 521. As the question is no longer an open one, that discussion is omitted in the present edition.

<sup>(p)</sup> 2 Barb. Ch. Rep. 414.

<sup>(q)</sup> 6 Barb. Sup. Ct. Rep. 352.

<sup>(r)</sup> 2 R. S. 116; 3 R. S. (5th ed.) 201; *Ante*, pp. 356, 373.

decedent, or when, on a final accounting by an executor or administrator, it appears that there is a debt remaining unpaid, it will not be sufficient, to prevent the surrogate from decreeing the payment of such debt, for the executor or administrator merely to allege that he disputes such debt. If it appear that the claim has been established by the judgment or decree of a competent court, and the administrator does not allege payment, the claimant, notwithstanding the alleged dispute, is entitled to an order for payment. If the claim has not been established, but is sworn to on the part of the creditor, the executor or administrator must show that in good faith there exists some question, either of law or fact, affecting the validity or amount of the claim. Upon this being shown, the jurisdiction of the surrogate probably ceases. It is not within the scope of his authority to determine as to the sufficiency of the grounds upon which such question is taken, or to do anything further in the case than to decide whether it is raised by the executor or administrator in good faith. Such, it is submitted, in view of the provisions of the statute, and the cases which have been quoted, is the condition of the surrogate's jurisdiction in respect to claims against the estates of deceased persons.

It is plain, however, that, with the exception of determining the validity and amount of a disputed debt due from the decedent to a creditor, all other questions relating to claims are within the jurisdiction of the surrogate. The share to be appropriated to every admitted or established claim is to be decided. Creditors whose claims have been admitted, or who have recovered judgments against the executor or administrator, are, if there be sufficient assets, to be decreed payment in full, or, if there be a deficiency, are to be decreed payment in the order and proportion prescribed by the statute regulating the payment of the debts.<sup>(s)</sup> If the executor or administrator has been ordered to account on the application of a person who has recovered a judgment against him otherwise than after a trial at law upon the merits, the amount applicable to such judgment, and for which an execution shall issue, may be designated. It has been seen<sup>(t)</sup> that if the creditor has obtained his judgment after a trial at law upon the merits, he is entitled to an account, and to an order that an execution issue immediately or at any time afterwards; but he also may take his remedy by the present proceedings. The validity of the legacies and the title of a person claiming as a legatee, widow, or next of kin, are to be determined by the decree. If there be a surplus after the payment of the debts, but a deficiency to discharge or pay all the specific and general legacies, the proper abatement is to be made, and the proportion to to which each legatee may be entitled is to be declared. In case there are assets remaining after the payment of the debts and the discharge or payment of the legacies above spoken of, then the shares of the residuary legatees are to be allotted. If the residuary estate, or any part thereof, be undisposed of by the will, then the same is to be distributed, according to the 75th section of the statute<sup>(u)</sup> presently to be considered,<sup>(v)</sup> to the widow, children and next of kin. In cases of intestacy,

(s) 2 R. S. 87; 3 R. S. (5th ed.) 174. See *ante*, p. 312.

(u) 2 R. S. 96; 3 R. S. (5th ed.) 183.

(t) See *Ante*, pp. 352, 367.

(v) *Post*, p. 556.

the surplus, after the payment of the debts, is to be distributed according to the same 75th section.

From this it will be perceived, as was premised at the commencement of this chapter, that claims of every description against the assets in the hands of an executor or administrator may be enforced on an accounting before the surrogate. It will be found that the remedy afforded to creditors, legatees, particularly general and residuary legatees, and the widow, children, or next of kin, by proceedings under those sections which have now been treated of, is more effectual and certain, and subject to fewer hindrances and qualifications, than that provided by any other portion of the statutes respecting the government of executors and administrators.

Where a surrogate decrees a distribution of the personal estate of the deceased debtor amongst his creditors, if any of such creditors has a security for his debt upon another fund which is primarily liable for the payment of the debt, the surrogate should compel such creditor to exhaust his remedy against such fund, and only to come in as against the personal estate for the deficiency. And where it is necessary for the surrogate to make a distribution of the personal estate of the decedent before such deficiency can be ascertained, he should direct a portion of the personal estate to be retained to meet the contingent claim for such deficiency.<sup>(w)</sup>

In *Rogers v. Rogers*,<sup>(x)</sup> Chancellor Walworth says: "Where there is a specific lien on the land devised, as in the case of a mortgage, or where the land is devised on condition of the payment of debts, or the debts are directed to be paid out of the estate devised; and where it appears, from the will, that it was obviously the intention of the testator that the legacy should be received entire, and the debts paid out of other funds, the court will marshal the property in such manner as to carry that intention into effect."

The form of the surrogate's sentence or decree for the settlement of the account, and also of that for distribution, will be treated of at a future page of the present subject, when all the directions prescribed by the statute relative to either of them, and all the points and questions to be determined thereby, and which determinations are to be comprised therein, have been in their due order considered.

#### PROVISIONS AS TO DISPOSITION OF PROPERTY STILL IN EXECUTOR'S HANDS, AND SECURITIES NOT YET DUE.

With respect to the above section, numbered 72, relating to the disposition of the personal property, and of bonds and securities not yet due, still remaining in the hands of the executor or administrator at the time of the distribution, it is necessary only to observe that, in case of the appraisement of any personal property under the same, an order for the appointment of the appraisers must be duly entered, and that their appraisement should be rendered in writing, and filed with the surrogate. It will be proper, also, in drawing the decree for distribution, briefly to recite those proceedings, if any such have taken place.

<sup>(w)</sup> *Halsey v. Reed*, 9 Paige, 446.

<sup>(x)</sup> 1 Paige, 190.



The 73d section provides for suing and recovering upon any securities which may have been assigned under the preceding section. The revisers, in their notes,<sup>(y)</sup> say that "the object of these sections is to retain any chattels the family may desire to preserve, and to take out of the hands of the administrator, as speedily as possible, the effects of the estate, so as to close his accounts."

The 74th section makes provision for retaining for a claim not yet due, or on which a suit may be pending at the time of the accounting.

To authorize the surrogate, upon the settlement and distribution of the estate in the hands of an administrator, to allow him to retain a portion of the estate for the payment of outstanding claims, there must be a representation, and proof on the part of the administrator, that such claims probably exist against the estate.<sup>(z)</sup>

There must be something more than a mere suggestion of the existence of an unsettled demand—the executor or administrator should produce competent evidence of a probable valid claim, in order that the surrogate may judge whether there are reasonable grounds for apprehension of future liability, and that he may see that the proposition to retain is not made for the purpose of enabling the executor or administrator to delay payment of moneys in his hands belonging to the estate. The same rule applies whether the accounting be at the instance of a claimant or voluntary, and also to proceedings under the 18th section, 2 R. S. 116.<sup>(a)</sup>

The proceedings on compelling an executor to account, under the above section of the statute, numbered 57,<sup>(b)</sup> for the proceeds of a sale of real estate, made by him under the will of the deceased, will be governed in all respects by the provisions and directions contained in this chapter for compelling an executor to render an account of the personal property of the testator.

The jurisdiction conferred upon a surrogate in whose office a will is proved, to call the executors to account for the proceeds of real estate sold by them, for the payment of debts and legacies, under a power contained in the will, extends to wills made previous to the passing of the statute on this subject, as well as to those executed subsequent to that time.<sup>(c)</sup>

Where, by the provisions of the will, there is an equitable conversion of real estate into personal property, the surrogate, independently of this statute, has jurisdiction to compel the executor to account for the proceeds of a sale of real estate. Thus, where the testator devised and bequeathed all his real and personal estate to his executors, in trust to sell the same whenever they should see fit; also with authority to lease the same, and directed the executors to divide the whole trust estate into nine equal parts, and pay over and convey one of said parts to each of his four children who were of age, and to hold the remaining five parts until his minor children should respectively become of age, and to pay over and convey to them their shares as they should become of age; it was held that the executor could be compelled to account

<sup>(y)</sup> 3 R. and or. S. (2d ed.) Appendix, 644.

<sup>(a)</sup> See *ante*, pp. 356, 373, 439, 454.

<sup>(c)</sup> *Clark v. Clark*, 8 Paige, 152.

<sup>(z)</sup> *Hallett v. Hare*, 5 Paige, 315.

<sup>(b)</sup> *Ante*, p. 486.

before the surrogate, not only for the personal estate bequeathed to him, but also for the rents and profits of the real estate, and for the proceeds of such real estate as he had sold pursuant to the directions contained in the will. And it seems, upon the doctrine of equitable conversion, that under such a will the whole estate is to be considered as personal estate from the death of the testator, so that the rents and profits of the real estate received by the executor, and the proceeds of a sale thereof made by him, become legal assets in his hands, for which he is bound to account as personal estate.<sup>(d)</sup>

#### OF DISTRIBUTION IN CASES OF INTESTACY.

The subject of the distribution by administrators of the surplus remaining after the payment of the debts in cases of intestacy, remains to be treated of. Such distribution is provided for and regulated by the following sections of the statutes:

Sec. 75. Where the deceased shall have died intestate, the surplus of his personal estate remaining after payment of debts, and where the deceased left a will, the surplus remaining after payment of debts and legacies, if not bequeathed, shall be distributed to the widow, children, or next of kin of the deceased, in manner following:

1. One-third part thereof to the widow, and all the residue, by equal portions, among the children, and such persons as legally represent such children, if any of them shall have died before the deceased;

2. If there be no children, nor any legal representatives of them, then one moiety of the whole surplus shall be allotted to the widow, and the other moiety shall be distributed to the next of kin of the deceased, entitled under the provisions of this section;

3. If the deceased leave a widow, and no descendant, parent, brother or sister, nephew or niece, the widow shall be entitled to the whole surplus; but if there be a brother or sister, nephew or niece, and no descendant or parent, the widow shall be entitled to a moiety of the surplus as above provided, and to the whole of the residue where it does not exceed two thousand dollars; if the residue exceed that sum, she shall receive, in addition to her moiety, two thousand dollars; and the remainder shall be distributed to the brothers and sisters, and their representatives;

4. If there be no widow, then the whole surplus shall be distributed equally to and among the children, and such as legally represent them;

5. In case there be no widow, and no children, and no representatives of a child, then the whole surplus shall be distributed to the next of kin, in equal degree to the deceased, and their legal representatives;

6. If the deceased shall leave no children, and no representatives of them, and no father, and shall leave a widow and a mother, the moiety

<sup>(d)</sup> *Stagg v. Jackson*, 2 Comst. 206. See "Act to provide for the settlement of the accounts of testamentary trustees," S. L. 1850, 587; 3 R. S. (5th ed.) 181; *Supra*, p. 485. See, also, *Bloodgood v. Bruen*, 2 Bradf. Surr. Rep. 8.

An interest in a contract for the purchase of land is real estate, and descends to the heirs of the purchaser. And if the administrator of the purchaser receives rent for such land, accruing after the death of the intestate, he is bound to account for it as well as for the amount received by him upon a sale of the intestate's interest in the land. *Griffith v. Beecher*, 10 Barb. Sup. Ct. Rep. 432.

not distributed to the widow shall be distributed in equal shares to his mother, and brothers and sisters, or the representatives of such brothers and sisters; and if there be no widow, the whole surplus shall be distributed in like manner to the mother, and to the brothers and sisters, or the representatives of such brothers and sisters;

7. If the deceased leave a father and no child or descendant, the father shall take a moiety if there be a widow, and the whole if there be no widow ;(e)

8. If the deceased leave a mother, and no child, descendant, father, brother, sister or representative of a brother or sister, the mother, if there be a widow, shall take a moiety ; and the whole, if there be no widow. And if the deceased shall have been an illegitimate, and have left a mother, and no child, or descendent, or widow, such mother shall take the whole, and shall be entitled to letters of administration in exclusion of all other persons, in pursuance of the provisions of this chapter. And if the mother of such deceased shall be dead, the relatives of the deceased on the part of the mother shall take in the same manner as if the deceased had been legitimate, and be entitled to letters of administration in the same order ;(f)

The legislature, on the 18th April, 1855, passed the following act:

Sec. 1. Illegitimate children, in default of lawful issue, may inherit real and personal property from their mother, as if legitimate ; but nothing in this act shall affect any right or title in, or to, any real or personal property already vested in the lawful heirs of any person heretofore deceased.

Sec. 2. This act shall take effect immediately.(g)

9. Where the descendants, or next of kin of the deceased, entitled to share in his estate, shall be all in equal degree to the deceased, their shares shall be equal ;(h)

10. When such descendants, or next of kin, shall be of unequal degrees of kindred, the surplus shall be apportioned among those entitled thereto, according to their respective stocks ; so that those who take in their own right shall receive equal shares, and those who take by representation shall receive the share to which the parent whom they represent, if living, would have been entitled ;

11. No representation shall be admitted among collaterals, after brothers and sisters' children ;

12. Relatives of the half-blood shall take equally with those of the whole blood in the same degree ; and the representatives of such relatives shall take in the same manner as the representatives of the whole blood ;

13. Descendants, and next of kin of the deceased, begotten before his death, but born thereafter, shall take in the same manner as if they had been born in the lifetime of the deceased, and had survived him.

Sec. 76. If any child of such deceased person shall have been advanced by the deceased, by settlement or portion of real or personal

(e) 2 R. S. 96-7 ; 3 R. S. (5th ed.) 183.

(f) S. L. 1845, ch. 236, p. 257 ; 3 R. S. (5th ed.) 184.

(g) S. L. 1855, ch. 547 ; 3 R. S. (5th ed.) 43.

(h) 2 R. S. 97 ; 3 R. S. (5th ed.) 184.

estate, the value thereof shall be reckoned with that part of the surplus of the personal estate which shall remain to be distributed among the children; and if such advancement be equal or superior to the amount which, according to the preceding rules, would be distributed to such child, as his share of such surplus and advancement, then such child and his descendants shall be excluded from any share in the distribution of such surplus.<sup>(i)</sup>

Sec. 77. But if such advancement be not equal to such amount, such child, or his descendants, shall be entitled to receive so much only as shall be sufficient to make all the shares of all the children, in such surplus and advancement, to be equal, as near as can be estimated.

Sec. 78. The maintaining or educating, or the giving of money to a child, without a view to a portion or settlement in life, shall not be deemed an advancement within the meaning of the two last sections; nor shall those sections apply in any case where there shall be any real estate of the intestate to descend to his heirs.<sup>(j)</sup>

The statute of descents provides, with respect to advancements, as follows:

Sec. 24. [Sec. 23.] If any child of an intestate shall have been advanced by him by settlement or portion of real or personal estate, or of both of them, the value thereof shall be reckoned, for the purposes of this section only, as part of the real and personal estate of such intestate, descendible to his heirs and to be distributed to his next of kin according to law; and if such advancement be equal or superior to the amount of the share which such child would be entitled to receive of the real and personal estate of the deceased, as above reckoned, then such child and his descendants shall be excluded from any share in the real and personal estate of the intestate.<sup>(k)</sup>

Sec. 25. [Sec. 24.] But if such advancement be not equal to such share, such child and his descendants shall be entitled to receive so much only of the personal estate, and to inherit so much only of the real estate of the intestate as shall be sufficient to make all the shares of the children in such real and personal estate and advancement, to be equal, as near as can be estimated.

Sec. 26. [Sec. 25.] The value of any real or personal estate so advanced shall be deemed to be that, if any, which was acknowledged by the child by an instrument in writing; otherwise such value shall be estimated according to the worth of the property when given.

Sec. 27. [Sec. 26.] The maintaining or educating, or the giving of money to a child, without a view to a portion or settlement in life, shall not be deemed an advancement.<sup>(l)</sup>

Sec. 79. The preceding provisions respecting the distribution of estates, shall not apply to the personal estates of married women; but their husbands may demand, recover and enjoy, the same as they are entitled by the rules of the common law.

Sec. 30. If letters of administration on the estate of a married woman shall be granted to any other person than her husband, by reason of his neglect, refusal, or incompetency to take the same, such administrator

(i) 2 R. S. 97; 3 R. S. (5th ed.) 184.

(k) 1 R. S. 754; 3 R. S. (5th ed.) 43.

(j) 2 R. S. 98; 3 R. S. (5th ed.) 184-5.

(l) 1 R. S. 754; 3 R. S. (5th ed.) 44.

shall account for, and pay over the assets remaining in his hands, after the payment of debts, to such husband or his personal representatives.<sup>(m)</sup>

Sec. 80. Where a distributive share is to be paid to a minor, the surrogate may direct the same to be paid to the general guardian of such minor, and to be applied to his support and education; or he may direct the same to be invested in permanent securities, as before provided in respect to legacies to minors, with the like authority to apply the interest, and subject to the same obligations.<sup>(n)</sup>

Sec. 81. When administration is granted to any person not the widow of, or next of kin to, a deceased person, and no one shall appear to claim the personal estate of the deceased within two years after such letters granted, the surplus of such estate which would be distributed as aforesaid, shall be paid into the treasury of this state, for the benefit of those who may thereafter appear to be entitled to the same.<sup>(n)</sup>

The above section, numbered 75, provides for the distribution of the surplus of the personal estate remaining after the payment of the debts, where the deceased died intestate, and of the surplus remaining after the payment of the debts and legacies, where the deceased left a will not bequeathing such surplus. The 76th, 77th and 78th sections relate to advancements. These provisions have not been heretofore inserted in these pages, or considered, because, as has been stated, it was deemed expedient, in treating of the different subjects within the scope of this work, to follow as nearly as practicable the arrangement of the Revised Statutes, and also because, as was likewise stated, the distribution of the surplus remaining after the payment of the debts in case of intestacy, or of the residuary estate left undisposed of by a will, is nowhere expressly made the duty of the administrator or executor, unless on proceedings taken against him by a person entitled,<sup>(o)</sup> as to which the practice has been in several cases described,<sup>(p)</sup> or until a final settlement of the administrator or executor's accounts, and distribution thereon.

#### OF THE RIGHTS OF THE WIDOW IN THE DISTRIBUTION OF THE EFFECTS OF HER INTESTATE HUSBAND, UNDER THE STATUTE.

With respect to the rights of the widow of the deceased in the distribution, the above 75th section, it will be observed, provides that if the deceased left children, as well as a widow, one-third shall go to the widow, and the residue among the children. If there be no children, nor any legal representatives of them, then a moiety shall go to the widow, and a moiety to the next of kin of the deceased entitled under the provisions of the section; and the third subdivision of the section defines the case in which the widow shall be entitled to the whole surplus, and also the case in which she shall be entitled to an excess, over and above the moiety, to the amount of \$2,000.

"The widow's title, however, under the statute, may be barred by a settlement before marriage, excluding her from her distributive share of her husband's personal estate; and even in the case of a female

<sup>(m)</sup> 2 R. S. 75; 3 R. S. (5th ed.) 159.

<sup>(n)</sup> 2 R. S. 98; 3 R. S. (5th ed.) 185.

<sup>(o)</sup> An administrator is not bound to distribute without a previous order for that purpose. *Archbishop of Canterbury v. Tappen*, 8 Barnw. and Cress. 151. See 2 Kent's Comm. 420.

<sup>(p)</sup> *Ante*, pp. 439, 454, 481, 487, *et seq.*



infant, she may be barred of her right by such a settlement, made before marriage, with the approbation of her parents or guardians.

"In such cases, whether the husband die intestate, or dispose of his personal estate by will, which disposition fails by lapse, the wife will be equally excluded from her distributive share.

"But it is otherwise when the husband, *by will*, makes a provision for his wife, stating it to be in lieu and bar of all her claims on his personal estate, and then subjects his personalty to a disposition which lapses or is void, so that the latter fund is subject to distribution; for then, notwithstanding the words of the will, the widow is entitled to a share under the statute."<sup>(q)</sup>

OF THE RIGHTS OF THE CHILDREN OF THE DECEASED, AND THEIR REPRESENTATIVES, TO DISTRIBUTION UNDER THE STATUTE.

After the allotment of a third to the widow, the statute directs a distribution of the residue "by equal portions among the children, and such persons as legally represent such children, if any of them shall have died before the deceased." In case there be no widow, then, by the fourth subdivision, the whole surplus is to "be distributed equally to and among the children, and such as legally represent them."

By the words "such as legally represent such children," their lineal representatives to the remotest degree are admitted.

"But the term must be understood of descendants, and not next of kin; as, for example, if a son of the intestate is dead, leaving a widow and child, the widow shall take nothing, and the child the whole of the father's share; yet the widow, though not strictly one of the next of kin, is, in the same sense as the child, a legal representative of the personal estate of the father.

"To attain a clear apprehension of the present subject, three sorts of cases may be supposed: First, where none of the intestate's children are dead; secondly, where the intestate's children are all dead, all of them having left children; thirdly, where some of the intestate's children are living, and some dead, and such as are dead have each of them left children.

"On the first hypothesis, that is to say, where none of the intestate's children are dead, it is sufficiently obvious that, after the wife has had her third allotted to her, the remaining two-thirds shall, pursuant to the statute, be equally divided among all the children of the intestate; as in this case they all claim in their own right.

"A brother or sister of the half blood shall be equally entitled to a share with one of the whole blood; inasmuch as they are both equally near of kin to the intestate."<sup>(r)</sup>

"A posthumous child, by the 13th subdivision of the same 75th section, takes in the same manner as if he had been born in the lifetime of the deceased and had survived him."<sup>(s)</sup>

"If the intestate leave only one child, such case is not to be consid-

(q) Wms. on Exrs. 1342-3.

(r) See subdv. 12; Wms. on Exrs. 1348.

(s) With respect to the right of a child of a testator, after the making of the will of such testator, to compel a distribution of the personal estate of the testator, see 2 R. S. 456; 3 R. S. (5th ed.) 753, secs. 62-3-4-5.

ered as omitted by the statute: therefore, in case the intestate also leave a wife, she shall only have a third part, and the other two-thirds shall go to such child. And where the intestate leaves an only child and no widow; although, literally speaking, there can be no distribution, yet such only child shall be entitled to the whole personal estate.

"Secondly, where the intestate's children are all dead, all of them having left children. If a father have three children, John, Mary and Henry, and they all die before the father, John leaving, for instance, two children, Mary three, and Henry four, and afterwards the father die intestate, in that case all his grandchildren shall have an equal share; for as his children are all dead, their children shall take as next of kin. Such, also, would be the case with respect to the great-grandchildren of the intestate, if both his children and grandchildren had died before him. In these instances the parties are said to take *per capita*, or, in other words, equal shares in their own right.

"Thirdly, where some of the intestate's children are living, and some dead, and such as are dead have each of them left children. In this case, the children of the deceased children take *per stirpes*, that is to say, not in their own right, but by representation. Thus, for example, if a father have three children, John, Mary and Henry, and John die leaving four children, and Mary die leaving two, and Henry alone survive the father; on the death of the father, intestate, one-third shall be allotted to Henry, one-third to John's four children, and the remaining third to Mary's two children; for these grandchildren are entitled as representing their respective parents."(*t*)

#### OF ADVANCEMENTS.

The end and intent of the statute was to make the provision for all the children of the intestate equal, as near as could be estimated. Accordingly, the 76th section of the statute proceeds to provide that, if any child of such deceased person shall have been advanced by the deceased, by settlement, or portion of real or personal estate, the value thereof shall be reckoned with that part of the surplus of the personal estate which shall remain to be distributed among the children; and if such advancement be equal or superior to the amount which, according to the preceding rules, would be distributed to such child as his share of such surplus and advancement, then such child and his descendants shall be excluded from any share in the distribution of such surplus.

But, by the 77th section, if such advancement be not equal to such amount, such child or his descendants shall be entitled to receive so much only as shall be sufficient to make all the shares of all the children, in such surplus and advancement, to be equal as near as can be estimated. And the 78th section provides that the maintaining or educating, or the giving of money to a child, without a view to a portion or settlement in life, shall not be deemed an advancement within the meaning of the two last sections; nor shall those sections apply in any case where there shall be any real estate of the intestate to descend to his heirs.

(*t*) Wms. on Exrs. 1347, 1350, and cases cited.

The present provisions of the statutes respecting distribution and advancement, are the same in principle as the 16th section of the act concerning executors, &c., (1 Revised Laws of 1813, p. 813,)(*u*) which was copied nearly *verbatim* from the English statutes of 22 and 23 Car. II, cap. 10, and 1 Jac. II, cap. 17, both of which are stated by Lord Hardwicke, in *Stanley v. Stanley*,(*v*) to be "very incorrectly penned." The revisers, in their notes,(*w*) say that they conformed those parts of the section, which were most defective, to the decisions; but made no alterations in principle. The term deceased was substituted for intestate, in order to provide for the case where there is a will which does not bequeath the estate. The part respecting advancement was deemed more proper for a separate section.

This just and equitable provision respecting advancements has been also said to be derived from the *collatio bonorum* of the imperial law, which it certainly resembles in some points, though it differs widely in others. But it may not be amiss to observe that, with regard to goods and chattels, this is part of the ancient custom of London, of the province of York, and of the sister kingdom of Scotland; and, with regard to lands descending in co-parcenary, that it has always been, and still is, the common law of England, under the name of hotch-pot.(*x*)

The former provision in the statute was held to apply only to the case of actual intestacy; and where there was an executor, and, consequently, a complete will, though the executor might be declared a trustee for the next of kin, they took as if the residue had been actually given to them. Therefore, a child advanced by his father in his life, or provided for in the will, could not be called on to bring his share

(*u*) The following is the 16th section referred to:

XVI. *And be it further enacted*, That just and equal distribution of what remaineth clear of the goods and personal estate of any person dying intestate, after all debts, funeral charges and just expenses, first allowed and deducted, shall be made amongst the wife and children, or children's children, if any such there be, or otherwise to the next of kin to the intestate, in equal degree, or legally representing their stocks; that is to say, one third part of the surplus to the wife of the intestate, and all the residue, by equal portions to and amongst the children of such person dying intestate, and such persons as legally represent such children, in case any of the said children be then dead, other than such child or children who shall have any estate by settlement, or shall be advanced by the intestate in his lifetime, by portion or portions equal to the share which shall, by such distribution, be allotted to the other children to whom such distribution is to be made; and in case any child shall have any estate, by settlement from the said intestate, or shall be advanced by the said intestate in his lifetime, by portion not equal to the share which will be due to the other children by such distribution as aforesaid, then so much of the surplusage of the estate of such intestate shall be distributed to such child or children as shall have any land by settlement from the intestate, or, where advanced in the lifetime of the intestate, as shall make the estate of all the said children to be equal, as near as can be estimated; and in case there be no children, nor any legal representatives of them, then one moiety of the said estate shall be allotted to the wife of the said intestate, and the residue of the said estate shall be distributed equally to every of the next of kin of the intestate, who are in equal degree, and those who represent them; but no representation shall be admitted among collaterals after brothers' and sisters' children; and in case there be no wife, then all the said estate shall be distributed equally to and amongst the children; and in case there be no child, then to the next of kin, in equal degree, of or unto the intestate, and their legal representatives as aforesaid, and in no other manner. *Provided, however*, That if, after the death of a father, any of his children shall die intestate, without wife or children, in the lifetime of the mother, every brother and sister, and the representatives of them, shall have an equal share with her.

(*v*) 1 Atk. 455.

(*w*) 3 R. and or. S. (2d ed.) Appendix, 645.

(*x*) 2 Black. Comm. 190, 517; Wms. on Exrs. 1350.

into hotch-pot.(y) And notwithstanding the words "such deceased person," in the 66th section, include all deceased persons mentioned in the preceding section, whether dying intestate or leaving a will, not bequeathing a portion of the personal property, yet it is apprehended that it was not designed to alter the law in this particular, and that the present statute is to be construed with reference to the previous decisions. This view is supported by the fact that the revisers have not noted any change in the law in this respect, which, without doubt, they would have done had a change been intended, and by their remark above quoted, that they made no alterations in principle, in the present section, from the former one.

"This provision applies only to the distribution of the estates of intestate *fathers*; and therefore if a mother, being a widow, advances a child, and dies intestate, leaving many children, the child advanced shall not bring what he received from his mother into hotch-pot. This was decided by Lord King, C., on the principle that the statute was grounded on the custom of London, which never affected a widow's personal estate, and that the act seems to include those alone within the clause of hotch-pot who are capable of having a wife as well as children, which must be husbands only.(z)

"The statute takes nothing away that has been given to any of the children, however unequal that may have been. How much soever it may exceed the remainder of the personal estate left by the intestate at his death, the child may, if he pleases, keep it all. If he be not contented, but would have more, then he must bring into hotch-pot what he has before received. This manifestly seems to be the intention of the act, grounded upon the most just rule of equity—equality.

"If a child, who has received any advancement from his father, shall die in his father's lifetime, leaving children, such children shall not be admitted to their father's distributive share, unless they bring in his advancement; since, as his representatives, they can have no better claim than he would have had if living.

"A child advanced in part, shall bring in his advancement only among the other children; for no benefit shall accrue from it to the widow.

"It remains to consider what is, and what is not, to be regarded as an advancement out of the personal estate of the father, so as to exclude a child from a distributive share of the whole or part of the residue.

"A provision made for a child by settlement, whether voluntary or for a good consideration, as that of marriage, is such an advancement."

So, an advancement made by an intestate to his son for the purpose of setting him up in business, is regarded as given with "a view to a portion or settlement in life," and therefore within the above 78th section of the statute, and to be charged on the share of the son or of the representatives of the son in the estate of the intestate.(a)

"It is not requisite, to constitute an advancement, that the provision

(y) *Wma. on Exra.* 1351, and cases cited.

(z) "*Holt v. Frederick*, 2 P. Wma. 357."

(a) *McRae v. McRae*, 3 Bradf. Surr. Rep. 199.

should take place in the father's lifetime. If by deed he settle an annuity, to commence after his death, on one of his children, it is an advancement. So, a portion secured to the child, although in *futuro*, is an advancement. Thus, a portion for a daughter, to be raised out of land on her attaining the age of eighteen, or the day of her marriage, was held to be an advancement to her when she married, although she was under that age, and unmarried at the time of the intestate's death.<sup>(b)</sup>

"An annuity is an advancement to be brought into hotch-pot, viz., the value at the date of the grant; or, if it has ceased, the payments received, at the option of the child.

"On the other hand, small inconsiderable sums of money given to a child by the father, or mere trivial presents he may make to a child, as of a gold watch, or wedding clothes, shall not be deemed an advancement; nor shall money expended by the father for the maintenance of a child, nor given to bind him as an apprentice, nor laid out in his education at school, at the university, or on his travels.

"It has already been stated, that a provision which a father may make for his child by will, in a case where a testator dies intestate as to part of his personal estate, shall not be brought into hotch-pot. Such a provision as shall be construed an advancement, must result from a complete act of the intestate in his lifetime, by which he divested himself of all property in the subject: though, as it has just appeared, it is not requisite that it should take effect in possession till after his death. Still less shall property given or bequeathed to a child by any other person be so denominated; and least of all shall a fortune of his own acquisition, however great."<sup>(c)</sup>

The above quoted sections of the statute, numbered 23, 24, 25, 26,<sup>(d)</sup> 76, 77, 78,<sup>(e)</sup> established the same rule in reference to advancements, in regulating the descent of real and the distribution of personal property. And the qualification added to the 78th section would seem to exclude the case of a testator leaving real estate, from the operation of the provisions relating to advancements which are contained in the Statute of Distributions.<sup>(f)</sup> These provisions are applicable in terms only to cases where the property of the intestate consists wholly of personal estate. The case of a decedent leaving real estate is left to the control of the above quoted sections 23, 24, 25, 26 of the Statute of Descents. These sections regulate the matter in its application to both real and personal property at the same time and in the same manner, and when the rights of the parties in the real and personal estate are precisely alike, any court which obtains the control of either fund in a proper proceeding, is authorized and bound to go on to distribute it according to the rules of the statute. If, for instance, in an action for the partition of the lands of an intestate among his heirs who are his next of kin also, advancements to such heirs have been taken into account and have been satisfied, and the shares of the heirs fully equalized in the division of the

(b) "*Edwards v. Freeman*, 2 P. Wms. 435."

(c) Wms. on Exrs. 1353, 1356, and cases cited.

(d) 1 R. S. 754; 3 R. S. (5th ed.) 43; *Supra*, p. 558.

(e) 2 R. S. 97; 3 R. S. (5th ed.) 184; *Supra*, pp. 557-8.

(f) See *Hicks agst. Gildersleeve*, 4 Abbott's Prac. Rep. 1; *McRae v. McRae*, 3 Bradf. Surr. Rep. 199; *Terry v. Dayton*, 31 Barb. Sup. Ct. Rep. 519.



proceeds of the land, in a subsequent proceeding in the Surrogate's Court, when the surplus after the payment of the intestate's debts shall be brought in for distribution, the surrogate's court can readily take into account the decree and the payments made in the partition suit, and the distribution will then be made without regard to any advancements, and as if no such advancements had ever been made.(g)

But when the parties entitled to the real, and those entitled to the personal estate, are not the same, the rule required by equity, and that intended by the statutes, is that advancements which were made by real estate should go first against the real estate descended, and be charged upon the shares of heirs and against those who represent those shares; while on the other hand, advancements made in personal estate or money, should be first accounted for in the distribution of the personalty, and be charged upon the next of kin as such, and upon the shares which they represent.(h)

The proviso in the above quoted 78th section of the Statute of Distribution, declaring that the preceding sections relating to advancements shall not apply in any case where there shall be any real estate of the intestate to descend to his heirs, means where there is any real estate of the intestate at the time of his death. The statute is to be construed as referring to that time.(i) But lands in another state or country do not come within the purview of the statute.(j)

With respect to the evidence by which advancements may be proved, it may be remarked that the declarations, oral or written, of a parent, his entries and charges in his books, or any explicit memorandum made by him, are proper evidence upon questions of advancement, after it has been proved that a child has received money or property of such parent, to show that such money or property was neither intended as an absolute gift on the one hand, nor to create a debt on the other. But this is their only effect. They do not prove or establish the fact that the child or children had money or property from the intestate. That must be shown, like any other fact, in a legal manner, and according to the ordinary rules of evidence.(k)

#### THE RIGHTS OF THE NEXT OF KIN OF THE INTESTATE UNDER THE STATUTE OF DISTRIBUTIONS.

The second subdivision of the statute provides that, if there be no children, nor any legal representatives of them, one moiety of the whole surplus shall be allotted to the widow, and the other moiety shall be distributed to the next of kin of the deceased entitled under the provisions of the section. And by the 5th subdivision, in case there be no widow, and no children, and no representatives of a child, then the whole surplus shall be distributed to the next of kin in equal degree to the deceased, and their legal representatives; but the 11th subdivision enacts that no representation shall be admitted among collaterals after brothers' and sisters' children.

(g) See *Hicks agst. Gildersleeve*, 4 Abbott's Prac. Rep. 1.

(h) See *Terry v. Dayton*, 31 Barb. Sup. Ct. Rep. 519.

(i) *Terry v. Dayton*, 31 Barb. Sup. Ct. Rep. 519.

(j) *McRae v. McRae*, 3 Bradf. Surr. Rep. 199.

(k) *Hicks agst. Gildersleeve*, 4 Abbott's Prac. Rep. 1.

The next of kin referred to by the statute, are to be ascertained by the same rules of consanguinity as those which determine who are entitled to letters of administration.<sup>(l)</sup> These rules have been already considered in a former part of this work:<sup>(m)</sup> but it may be convenient to repeat in this place some of their results.

"The mode of calculating the degrees in the collateral line, for the purpose of ascertaining who are the next of kin, so as to be entitled in distribution, conforms to that of the civil law, and is as follows: to count upwards from either of the parties related to the common stock, and then downwards again to the other, reckoning a degree for each person, both ascending and descending; or, in other words, to take the sum of the degrees in both lines to the common ancestor."<sup>(n)</sup>

According to that rule, the intestate and his brother are related in the second degree, the intestate and his uncle in the third degree. The half blood are admitted equally with the whole blood, for they are equally as near of kin.<sup>(o)</sup>

"When a child dies intestate, without wife or child, leaving a father, the latter is entitled, as the next of kin in the first degree, to the whole of the personal estate of the intestate, exclusive of all others."<sup>(p)</sup>

"If a man dies intestate, without a child, but leaving a widow and a father, then the personal estate shall go in moieties between the wife and father."<sup>(q)</sup>

So, formerly, with respect to the mother, before the statute of 1 Jac. II, c. 17, if a child had died intestate, without a wife, child, or father, his mother was entitled, as his next of kin in the first degree, to his whole personal estate.<sup>(r)</sup> But now, as has been observed, she shares equally with the brothers and sisters.<sup>(s)</sup> She is excluded, lest, by remarrying, she would carry all the personal estate to another husband, in entire exclusion forever of the brothers and sisters; but she still takes the whole personal estate as against more remote relations of the intestate.<sup>(t)</sup>

It is clear that the mother-in-law or step-mother of an intestate, not being of his blood, can claim nothing under the Statute of Distribution.

If the intestate left neither children nor parents, but his nearest surviving relations be brothers and sisters, and a grandfather or grandmother, then, since they are all in the second degree of kindred, in strictness they ought all to share the personal estate of the intestate equally, under the statute. But it has been decided in England, and it is also the better construction of the novel of Justinian, that the brother of the intestate will exclude the grandfather of the intestate. This was so decided in *Pool v. Wilshaw*, in 1708; and Lord Hardwicke, in *Evelyn v. Evelyn*,<sup>(u)</sup> followed that determination as being

<sup>(l)</sup> *Lloyd v. Tench*, 2 Ves. sen. 214; 2 Black. Comm. 515; Toller, 381; 4 Burn. E. L. (8th ed.) 280.

<sup>(m)</sup> *Ante*, p. 230, *et seq.*

<sup>(n)</sup> See Wms. on Exrs. 367; *Ante*, p. 231; 2 Black. Comm. 203, *et seq.*; 2 Kent's Comm. 422; *Sweezy v. Willis*, 1 Bradf. Surr. Rep. 495.

<sup>(o)</sup> 2 Kent's Comm. 422.

<sup>(q)</sup> See subdv. 7; Wms. on Exrs. 1357.

<sup>(s)</sup> Subdv. 6.

<sup>(u)</sup> 3 Atk. 762.

<sup>(p)</sup> See subdv. 7.

<sup>(r)</sup> Wms. on Exrs. 1357.

<sup>(t)</sup> 2 Kent's Comm. 423. See subdv. 8.

correct, though it may be considered an exception to the general rule. He said it would be a very great public inconvenience to carry the portions of children to a grandfather, and contrary to the very nature of provisions among children, as every child may properly be said to have *spes accrescendi*.<sup>(v)</sup>

"Nevertheless, if the intestate leaves no nearer kindred than a grandfather or grandmother, and uncles or aunts, the grandfather or grandmother, being in the second degree, will be entitled to the whole personal estate, exclusive of the uncles or aunts, who are only in the third degree.<sup>(w)</sup>

"Hence, also, great-grandfathers, or great-grandmothers, being in the third degree, are entitled to a distributive share with uncles and aunts.

"Where the intestate leaves a grandfather by the father's side, and a grandmother by the mother's side, his next of kin, they shall take in equal moieties, as being in equal degree, for here dignity of blood is not material.

"Aunts and nieces, uncles and nephews, being all in the third degree, are all equally entitled. Hence, where the intestate left two aunts, and a nephew and niece, children of a deceased brother, Lord Hardwicke ordered the surplus to be divided into four parts equally among them, holding that, as they were all in equal degree, the children were to take in their own right, and not by representation; but that if their father had been living, he would have been entitled to the whole.<sup>(x)</sup>

"Brothers and sisters of the half blood are entitled to an equal share of the intestate's estate with the brothers and sisters of the whole blood, and this shall extend to a posthumous brother of the half blood. In *Burnet v. Mann*,<sup>(y)</sup> Lord Hardwicke said he could not distinguish this from the case of a brother *in ventre sa mere* of the whole blood, who was clearly entitled. If, indeed, it were to go to the children born at any distance of time, so as to cause an inconvenience by suspending the distribution, or to cause a taking back again, it might be an objection. But that cannot happen; because the child must be *in rerum natura* at the death of the intestate brother whose estate is in question; so that, at the utmost, it cannot be carried beyond the year in which a distribution is to be made."<sup>(z)</sup>

In *Hallet v. Hare*,<sup>(a)</sup> the decedent, at the time of her death, left no relatives in the direct line of ascent or descent, and her nearest collateral relations were an aunt of the half blood of the decedent's father, and another aunt of the full blood on the side of the mother; and it was held that the two aunts were entitled to share equally in the distribution of the decedent's personal estate.

The twelfth subdivision of the present section of the statute expressly provides that relatives of the half blood shall take equally with those of the whole blood in the same degree. It was contended, on the argument in the case above cited, that the last clause of that subdivis-

<sup>(v)</sup> Wms. on Exrs. 1360; 2 Kent's Comm. 424.

<sup>(w)</sup> *Sweezy v. Willis*, 1 Bradf. Surr. Rep. 495; *Bogart v. Furman*, 10 Paige, 496.

<sup>(x)</sup> "*Durant v. Prestwood*, 1 Atk. 454; *S. P. Lloyd v. Tench*, 2 Ves. sen. 213; *Buissieres v. Atbert*, 2 Oas. Temp. Lee, 51." Wms. on Exrs. 1361.

<sup>(y)</sup> "1 Ves. sen. 156."

<sup>(z)</sup> Wms. on Exrs. 1362. See subdv. 13.

<sup>(a)</sup> 5 Paige, 315.

ion prevents relatives of the half blood from taking, unless his or her descendants could also take by representation. "It is evident, however," said the chancellor, "that such was not the intention of the legislature. The object of this provision of the statute," he continued, "was merely to declare, that in cases where relatives of the whole blood were authorized to take by representation, those of the half blood were authorized to take by representation in the same manner. The rule of law on this subject has not been altered by the Revised Statutes. It has been considered as settled, ever since the decision of the House of Lords in *Watts v. Crooke*,<sup>(b)</sup> that in successions of personal estates, relatives of the half blood, in equal degrees of cognation to the intestate, take equally with relatives of the whole blood; and that they also take by representation, where representation would be allowed among relatives of the whole blood of the same degree."<sup>(c)</sup>

Affinity or relationship by marriage, except in the instance of the wife of the intestate, gives no title to a share of his property under the statute. Therefore, if the intestate had a son and daughter, and they both die, the former leaving a wife, and the latter a husband; upon the intestate's death afterwards, such husband and wife have neither of them any claim on the estate.<sup>(d)</sup>

The eleventh subdivision provides that no representation shall be admitted among collaterals, after brothers' and sisters' children. This provision must be construed to mean brothers and sisters of the intestate, and not as admitting representation, when the distribution happens to fall among brothers and sisters who are remotely related to the intestate, for the intestate is the subject of the act—it is his estate, his wife, his children, and, for the same reason, his brothers' and sisters' children; for he is equally correlative to all. Therefore, if the intestate should leave an uncle, and the son of another uncle deceased, the latter shall have no distributive share. So, if the next of kin of the intestate should be nephews and nieces, a child of a deceased nephew or niece will not be admitted to share in the distribution.<sup>(e)</sup> Again, it has been held that if the brother of the intestate left a grandson, and a sister left a child, the grandson shall not have distribution with the son or daughter of the sister. Thus, although, as it has already appeared, lineal representatives, *ad infinitum*, shall share in the distribution of an intestate's personal estate, yet, among collaterals, except only in the instance of the intestate's brothers' and sisters' children, proximity of blood shall alone give a title to it.<sup>(f)</sup>

If the intestate's brothers and sisters were, at the time of his decease, all dead, and having left children, such children shall all take *per capita*. Therefore, if an intestate leave a deceased brother's only son, and ten children of a deceased sister, the ten children of the deceased sister shall take ten parts in eleven with the son of the deceased brother. But in the event of some of the intestate's brothers and sisters being

(b) Show cases in Parl. 108.

(c) See 2 Vern. 124; *S. C.*, *Burnet v. Mann*, 1 Ves. sen. 156; Harris' Justinian, 370, n.; 1 Strahan's Domat. 658.

(d) Wms. on Exrs. 1362.

(e) *Doughty v. Stillwell*, 1 Bradf. Surr. Rep. 300.

(f) See Wms. on Exrs. 1363; 2 Kent's Comm. 425.

alive and some dead, and such as are dead having left children, such children take *per stirpes*, by way of representation. Therefore, if an intestate left a brother alive, and ten children of a deceased sister, such ten children will take one moiety of the personal estate, and their uncle the other.(g)

It is the doctrine under the Statute of Distribution, that the claimants take *per stirpes* only when they stand in unequal degrees, or claim by representation; and then the doctrine of representation is necessary. But where they all stand in equal degree, as three brothers, three grandchildren, three nephews, &c., they take *per capita*, or each an equal share; because, in this case, representation, or taking *per stirpes*, is not necessary to prevent the exclusion of those in a remoter degree; and it would be contrary to the spirit and policy of the statute, which aims at a just and equal distribution. If a person dies without children, leaving a widow and mother, brother and sister, and two nieces by a deceased brother, then, according to the established doctrine, the widow would take a moiety, and the mother, brother and sister would each take one-fourth, and the two nieces the other one-fourth of the remaining moiety.(h)

If the deceased was an illegitimate, and left a mother, and no child, descendant, or widow,—by the 8th subdivision of the section, as amended by the act of the 13th May, 1845, the mother takes the whole of the surplus; and if the mother shall have died, the relatives of the deceased on the part of the mother take in the same manner as if the deceased had been legitimate.(i)

The death of the widow or one of the next of kin of the intestate, within the time fixed by the statutes for calling the administrator to account, does not entitle the surviving next of kin of the intestate to the whole of the personal estate; but the share of such deceased widow or next of kin is vested, and belongs to his or her personal representatives.(j)

Where a mortgage deed contains a power of sale, with a direction that the surplus produce shall be paid to the mortgagor, his executors or administrators, if a sale takes place in the lifetime of the mortgagor, the surplus is personal estate;(k) but if after his death, it is real estate: as the equity of redemption descends to the heir at law.(l) The administrator of a mortgagor, therefore, is not entitled to the surplus moneys arising from a sale of mortgaged premises under a judgment or decree in equity—such surplus goes to the heirs, and is assets in their hands; and when the heirs, being infants, were before the court by their parent, it was ordered to be distributed as equitable assets.(m)

(g) Wms. on Exrs. 1363-4.

(h) *Keyway v. Keyway*, 2 P. Wms. 344; *Stanley v. Stanley*, 1 Atk. Rep. 457; 2 Kent's Comm. 425-6.

(i) The act of 13th May, 1845, is given entire in subdv. 8, *supra*.

(j) *Rose v. Clark*, 8 Paige, 574.

(k) See *Bogart v. Furman*, 10 Paige, 496; *Sweeney v. Willis*, 1 Bradf. Surr. Rep. 495.

(l) Wms. on Exrs. 610.

(m) *Moses v. Murgatroyd*, 1 Johns. Ch. Rep. 119. With respect to the question of merger, where a person holding a mortgage as executor of the mortgagee purchases in fee, in his individual capacity, the equity of redemption in the mortgaged premises, see *Clift agst. White*, 2 Kern. 519.



OF DISTRIBUTION WHERE THE DECEASED, AT THE TIME OF HIS DEATH  
WAS DOMICILED ABROAD.

This may be the proper place to consider the subject of the disposition of the personal property, left within this state, of a deceased person who, at the time of his death, was domiciled abroad.

Hitherto it has been assumed that the intestate was, at the time of his death, domiciled in a place where the Statute of Distributions is the law of the land.

It has become a settled principle of international jurisprudence, and one founded on a comprehensive and enlightened sense of public policy and convenience, that the disposition, succession to, and distribution of personal property, wherever situated, is governed by the law of the country in which the owner or intestate was a domiciled inhabitant at the time of his death, without any regard whatsoever to the place either of the birth or the death, or the situation of the property at that time. The principle applies equally to cases of voluntary transfer of intestacy and of testaments.<sup>(n)</sup> And the principle, though it has its foundation in international comity, is equally obligatory, as a rule of decision in the courts, as a legal rule of purely domestic origin.<sup>(o)</sup>

This rule, as to the succession and distribution of personal property, is settled in England, and by the general usage of nations, and has repeatedly been declared to constitute a part of the municipal jurisprudence of this country.<sup>(p)</sup> It is not, however, correct to say that, with respect to the distribution of personal property, the law of the land gives way to the law of a foreign country; but that it is a part of the law of the land that personal property should be distributed according to the *jus domicilii*. If, therefore, a man die domiciled in this country, and administration be taken out to him here, debts due to him, or other of his personal effects, in another state or in a foreign country, shall be distributed according to the law of this state; for the *lex loci rei sitæ* is not to be recognized. On the other hand, if a foreigner, domiciled abroad, die intestate, his whole property here is distributable according to the laws of the country where he was so domiciled.<sup>(q)</sup>

The like rule prevails in the ascertainment of the person who is entitled to take as heir or distributee. The law of the domicil, therefore, is to decide whether primogeniture gives a right of preference, or an exclusive right to the succession; and, whether a person is legitimate or not to take the succession. So, whether persons are to take *per capita* or *per stirpes*; and the nature and extent of the right of representation.<sup>(r)</sup>

Hence, it appears that a different doctrine prevails with respect to the distribution of the personal estate of a deceased, when in the hands of an executor or administrator, from that which is established with respect to the grant of probate or administration, by which he is em-

(n) 2 Kent's Comm. 429; Wms. on Exrs. 1366.

(o) *Parsons v. Lyman*, 20 N. Y. Rep. (6 Smith) 103; S. C., 28 Barb. Sup. Ct. Rep. 566; 4 Bradf. Surr. Rep. 268.

(p) 2 Kent's Comm. 431.

(q) See Wms. on Exrs. 1366-7; *Parsons v. Lyman*, 20 N. Y. Rep. (6 Smith) 103.

(r) Story's Conf. of Laws, 403.

powered to possess himself of such estate; for, with regard to the latter, the *situs* of the property, as it has appeared in an earlier part of this treatise, regulates the jurisdiction,<sup>(s)</sup>

The difficulty has been, not in the rule itself, but in the application and execution of it. The question has repeatedly arisen, as to the rights of the creditors of the deceased non-inhabitant, and other persons interested in his estate, resident within the country where the particular portion of his property was situated, and where the new administration was taken out, whether a court of equity would proceed to decree an account and distribution according to the *lex loci rei sitæ*, or direct the assets to be distributed by the foreign tribunal of the domicil of the deceased. The general American rule seems to be, that the new administration is made subservient to the rights of creditors, legatees, and distributees *resident within the country*; and that the residuum is transmissible to the foreign country *only* when the final account has been settled in the proper domestic tribunal upon the equitable principles adopted in its laws.<sup>(t)</sup>

"It remains to ascertain what shall constitute a domicil with respect to the proper application of the above rule. A man's domicil is *prima facie* the place of his residence; but this may be rebutted by showing that such residence is either constrained from the necessity of his affairs, or transitory. On this subject, the following propositions may be stated as deducible from the adjudged cases:

"1. Though a man may have two domicils for some purposes, he can have only one for the purpose of succession.

"2. The original domicil, or, as it is called, the *forum originis*, or the domicil of origin, is to prevail, until the party has not only acquired another, but has manifested and carried into execution an intention of abandoning his former domicil, and taking another as his sole domicil.

"The domicil of origin is that arising from a man's birth and connections.

"It appears, from the terms of the proposition under consideration, that such a domicil cannot be lost by mere abandonment. It is not to be defeated *animo* merely, but *animo et facto*, and necessarily remains until a subsequent domicil be acquired, unless the party die *in itinere* towards an intended domicil.

"3. The proposition last stated is equally true of an acquired, as of an original domicil. Therefore, an acquired domicil cannot be lost by mere abandonment, but continues until the intention of another change of domicil is carried into execution.

"It may further be mentioned on this point, that a new domicil cannot be acquired by a party's own act during pupillage, nor until the party is *sui juris*.

"4. After the death of the father, children remaining under the care of the mother, follow the domicil which she may acquire, and do not

(s) Wms. on Exrs. 1367. See *ante*, ch. 3, p. 223; ch. 4, p. 239.

(t) See 2 Kent's Comm. 420 and 434, *note*, where the cases are collected and reviewed; Story's Conf. of Laws. 423.

retain that which their father had at his death, until they are capable of gaining one by acts of their own.

"This rule is, however, it appears, subject to the condition that the domicile shall not have been changed for the fraudulent purpose of obtaining an advantage by altering the rule of succession; and it should seem, by the opinion of an eminent foreign jurist,<sup>(u)</sup> that such fraud will be presumed if no reasonable motive can be assigned for the change."<sup>(v)</sup>

The disposition of the personal property under the will of a person dying domiciled abroad, properly forms a part of the present subject.

"Where the will or testament is made in the place of the domicile of the testator, the general rule of the common law is, that it is to be construed according to the law of the place of his domicile, in which it is made. A will, therefore, made of personal estate in England, is to be construed according to the meaning of the terms used by the law of England; and this rule equally applies, whether the judicial inquiry as to its meaning and interpretation arises in England, or in any other country.

"So, whether the words of the will give a legacy, or create a trust in favor of a party, where the expressions used import a wish or desire, or other language of a similar sort is used, must be decided by the law of the place where the will is made and the testator has his domicile.<sup>(w)</sup> So, where a legacy is given in terms expressive of a currency in use in different countries, but of different values therein, the same rule will apply. So, legacies are deemed payable according to the law of the country, and in the currency of the country, where the will is made and the testator is domiciled.

"In like manner, the question whether a legatee, by the terms of a foreign will or testament, takes an estate for life or in fee, is to be decided by the law of the place where the will is made and the testator is domiciled, and not by the law of the place where the controversy arises or the testator was born. So, if the question arises whether it is competent to make a particular bequest of property, the validity of it must be decided by the law of the place where the will or testament is made, and the testator is domiciled.<sup>(x)</sup> So, if a legacy is given by a will or testament to a party who dies in the lifetime of the testator, the question, whether it is an ademption of the legacy, or whether the legacy goes to his personal representatives, is to be decided by the law where the will or testament is made and he is domiciled.

"The same rule will apply to the ascertainment of the persons who are to take under a will or testament, when it is made by words designating a particular class or description of persons. Who are the proper persons entitled to take under the *designatio personarum*, is a point to be ascertained by the law of the place where the will is made and the testator is domiciled."<sup>(y)</sup>

(u) "Pothier, in the introductory chapter to his Treatise on the Custom of Orleans."

(v) Wms. on Exrs. 1368, 1370, and cases cited; 2 Kent's Comm. 430, note. And see *Cwling v. Thurnton*, 3 Add. 17; *Stanley v. Bernes*, 3 Hagg. 441; 4 Hagg. 446.

(w) See *Parsons v. Lyman*, 20 N. Y. Rep. (6 Smith) 103; 28 Barb. Sup. Ct. Rep. 566.

(x) *Parsons v. Lyman*, *supra*.

(y) Story's Conf. of Laws, 402, and cases cited.

## OF THE DISPOSITION OF THE PERSONAL PROPERTY OF INTESTATE MARRIED WOMEN.

The above section of the statutes, numbered 79, and the following section, numbered 80, govern the disposition of the personal property of intestate married women.

The 79th section exempts the personal estates of married women from the operation of the provisions of the Statute of Distributions, and authorizes their husbands to demand, recover, and enjoy the same as they are entitled by the rules of the common law. The section numbered 80 directs that in case administration of the estate of a married woman, intestate, be granted to any person other than her husband, such administrator shall pay over the surplus, after discharging the debts, to such husband or his personal representatives. The act of 1848, "for the more effectual protection of the property of married women,"(z) as amended by the act of 1849,(a) provides that the real and personal property of a married woman shall not be subject to the disposal of her husband, nor liable for his debts, but shall be her sole and separate property, as if she were a single female; and that any married female may take by inheritance, or by gift, grant, devise or bequest from any person, other than her husband, and hold to her sole and separate use, and convey and devise real and personal property, and any interest or estate therein, and the rents, issues and profits thereof, in the same manner and with the like effect as if she were unmarried; and the same shall not be subject to the disposal of her husband, nor be liable for his debts.

"The acts of 1848 and 1849," it was laid down by the surrogate of the county of New York in *McCosker v. Golden*,(b) "do not undertake to disturb the law in regard to the estates of married women dying intestate. They are authorized," continues the learned surrogate, "to take, hold, convey and devise; but, in default of a will, the estate is transmitted, after death, precisely as it was before these acts were passed. A married woman may sell or bequeath her personal estate; but if she dies intestate, the law declares who shall take it. Now, as before, if she dies intestate, the husband may demand administration; or if a stranger administer, he is entitled to the residue, after payment of debts; and as to him the Statute of Distributions is a nullity. That statute does not apply to the case of a *feme covert* dying intestate." The learned surrogate supports this view of the statute by citing several English decisions as to the disposition of the personal property of a married woman dying intestate, held under a marriage settlement or contract to her use, with the same power and control as if she were sole and unmarried, and making no provision for its disposition after death, in which cases it has been held that the husband took such property.(c) He regards these English decisions as clearly in point, with

(z) S. L. 1848, p. 307; 3 R. S. (5th ed.) 239.

(a) S. L. 1849, p. 528; 3 R. S. (5th ed.) 239. See act "concerning the rights and liabilities of husband and wife." S. L. 1860, ch. 90, p. 157.

(b) 1 Bradf. Surr. Rep. 64.

(c) *Salmon v. Hays*, 4 Hagg. 385; *Mollony v. Kennedy*, 10 Simons, 254; *Proudley v. Fielder*, 2 M. and K. 57.

reference to the construction of these statutes, and concludes his opinion in the case as follows: "I cannot, accordingly, see that these acts, which have enabled a married woman to have the sole control and absolute ownership of her property during her life, with power to sell and convey, and also to regulate its disposition after her death, have at all altered the law as to the administration of her personalty in case of intestacy. Where the wife has failed to exercise the privilege conferred upon her by these new statutes, and dies intestate, possessed of personalty, her husband has still the sole right to administer, and, as administrator, to retain the residue of the estate, after the payment of debts, to his own use. The right of representation, unless he be incompetent, and the right of succession to the property, are still exclusively vested in him, to be defeated only by a valid will."(*d*)

The surrogate of the county of Erie is stated to have decided the same point the same way, pursuing a similar line of reasoning. But the doctrine has not been entirely unquestioned. In *Paine v. Bartlett, administrator, &c.*, in the Superior Court of the city of New York, at special term, Mr. Justice Hoffman, after an elaborate and able examination of the question, declared his opinion that the statute of 1848 has superseded the right of the husband to the wife's property, where the marriage was after the act, although he survives her; that although the formal right to administer may still be in him, he becomes a trustee for the next of kin, and that the next of kin to the married woman, intestate, were entitled in distribution to her personal property. This case was heard, upon appeal, at the general term of the court; but it was decided there upon another point. It is proper to mention, however, that it is understood that if the learned justices at the general term had found themselves called upon to determine the point in question, their decision would not have sustained the judgment of the learned justice at special term. The construction and effect of these statutes may, therefore, be regarded as unsettled; but the view taken by the learned surrogate of the county of New York in the case quoted, is probably that which will be adopted by the courts.(*e*)

In *Shumway v. Cooper*,(*f*) where a married woman had died intestate, and her husband had administered, and there were no debts, it was considered that an accounting by him before the surrogate, on the petition of her next of kin, would be a vain thing.

(*d*) *Shumway v. Cooper*, 16 Barb. Sup. Ct. Rep. 556.

(*e*) The reported decisions under these statutes afford but little light upon the question. It has been held that they cannot operate to divest a husband of his right as tenant by the curtesy, where the marriage took place before the act, nor to dispossess him of the right to the rents and profits of her real estate during coverture, in the same state of facts. It has also been held that the wife may not directly convey her dower right to her husband. *Graham v. Van Wyck*, 7 How. Prac. Rep. 373; *Hurd v. Cass*, 9 Barb. Sup. Ct. Rep. 366; *Van Sickle v. Van Sickle*, 8 How. Prac. Rep. 265; *White v. White*, 4 How. Prac. Rep. 103. The Court of Appeals have recently decided, in the case of *Westervell v. Gregg*, (2 Kernan, 202,) that the statute of 1848 does not deprive a husband, married previous to 1848, of the right to reduce into possession the personal property of his wife not reduced into possession previously to the passing of that statute.

(*f*) 16 Barb. Sup. Ct. Rep. 556.



## OF SECURING THE DISTRIBUTIVE SHARES OF MINORS.

Under the above section, numbered 80, the surrogate may direct the distributive share of a minor to be paid to his guardian, or to be invested, as before provided(*g*) with respect to legacies to minors. It will be observed that the surrogate is not required to demand security from the guardian before directing the payment to him of a minor's distributive share, as he is before directing the like payment of a legacy. But it is without doubt competent for him, if he distrust the entire adequacy of the guardian's responsibility, to direct the payment to be withheld until satisfactory security be given, and to order the distributive share in the meantime to be invested.

The 81st section directs, in certain cases, the payment into the state treasury of the surplus which would otherwise be distributed.

## • OF THE FORM OF THE SURROGATE'S DECREE.

The practice on an accounting before the surrogate having thus been gone through with, and some of the various topics and questions which present themselves for consideration in the course of the proceedings having thus been discussed, some remarks are now to be offered with respect to the form of the surrogate's final sentence or decree in the matter, and also of the decree for distribution.

It is not within the design of this work to consider how far the surrogate's decree in the case of an accounting, or in any other case, will be of itself, in a subsequent suit or controversy, evidence of any other facts stated in it than those of which it is by the statute expressly made conclusive against the parties. It can only be stated that it is common to include, in the final decree for distribution, various statements of facts which, although they may not be strictly received as evidence in any collateral inquiry, are, nevertheless, a necessary portion of the history of the proceedings, and may become of importance to render the decree intelligible, and to assist and guide future investigations into the matter.

The decree for settlement of the account is usually very simple and brief in its form. It has been seen that, by statute(*h*) the surrogate is required to record with his decree a summary statement of the account as the same shall have been finally settled and allowed by him, which is to be referred to and taken as part of the final decree. This statement is made in the surrogate's minutes, setting forth in separate items the total assets generally as set out in the inventory which originally came into the hands of the executor or administrator on his assuming the duties of the trust, the increase or decrease thereof, if any, the disbursements for necessary expenses of the administration, the payments made of the debts due by the estate, the sums applied to the discharge of legacies or distributed among those entitled in distribution, and the balance remaining in the hands of the executor or administrator. At the foot of this summary the sentence is pronounced, declaring the accounts of the executor or administrator finally settled, and allowed according to the statement. [For form of the final decree, see Appendix, No. 74.]

(*g*) See *ante*, p. 559.

(*h*) See *ante*, p. 33.

The decree for distribution is made out separately, and is generally of greater length. The preliminary proceedings in the case are usually stated in substance at the commencement of the decree, and the proper allegations are there inserted to show jurisdiction in the surrogate. The return of the order or citation, and the appearance of those who attend the accounting, whether in person or by attorney, or proctor, or by general or special guardian, are there recited. The facts of the service of the citation upon absentees, either personally or constructively by publication, and their default in not appearing, although, as was stated, not strictly matters within the immediate cognizance of the surrogate, are next set forth. If there has been a reference to an auditor, it should be so stated in the decree, and the substance of his report should be given, and its confirmation or modification should be recited. The surrogate's determinations as to the rights of creditors, the construction and legality of the bequests, and the title and claims of legatees, whether special, general, or residuary, and as to the title of any person claiming as widow or next of kin, should be fully and clearly expressed. The sum apportioned to each individual, or directed to be reserved or deposited to meet claims not yet due, or not decided, or to be invested for the benefit of minors, should be distinctly specified in dollars and cents. The consent of parties to the transfer or assignment of property or securities remaining in the hands of the executor or administrator, should be stated. If there has been an appraisement thereof, the same, as has been already mentioned, may properly be stated; and the particular articles or securities to be transferred or assigned to each person should be designated. The mandatory parts of the decree will order the executor or administrator to pay over, reserve, or invest moneys, or to transfer or assign property or securities according to the apportionment determined upon.

In the court of the surrogate of the county of New York, these two decrees are now always embodied in a single decree, which is styled the decree for settlement and distribution. The decree unites all the particulars above specified for two several decrees for those purposes. [For form of decree for settlement and distribution, see Appendix, No. 74.]

With respect to the costs of the accounting, the general principle to be adopted from the cases is the just one, that executors are entitled to their costs in settling their accounts so far as they are not in fault, and bound to pay costs, as to such inquiries in the action or proceeding as were caused by their breach of trust.<sup>(i)</sup> If the party contesting the account subjects the accounting party to useless expense by unfounded objections, he may be properly charged with such costs personally.<sup>(j)</sup>

It has already been observed that an executor or administrator is not entitled to charge the estate he represents with a counsel fee paid by him upon the final settlement of his accounts before the surrogate; or for drawing up his accounts in a proper and legal form on such settlement. It may be added, that the surrogate has no authority to

(i) *Ray agst. Van Hook*, 9 How. Prac. Rep. 427; *Griffith v. Beecher*, 10 Barb. Sup. Ct. Rep. 432.

(j) *Gardner v. Gardner*, 7 Paige, 112, 115; *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469.

make an arbitrary allowance to an executor or administrator, in lieu of the compensation directed by the statute to be paid to advocates and proctors in Surrogates' Courts, where the same is to be paid as costs in the suit or proceedings, either by the adverse party, or out of the fund in litigation.<sup>(k)</sup>

It has heretofore been seen<sup>(l)</sup> that the surrogate is not authorized to decree the payment of costs out of the estate of the decedent in the hands of his personal representatives, to the exclusion of their commissions for receiving and paying out moneys, if the amount in their hands is not sufficient to pay both. If it is a proper case to charge them with the costs of the adverse party upon the proceedings, there should be a decree against them directly and personally for the payment of such costs.<sup>(m)</sup>

If the accounting has taken place, and has been conducted throughout and concluded, at the instance of a single party, without bringing in other persons in interest, the decree will merely decide as to his rights; and, if it be in his favor, order payment to him of the sum adjudged due. If such party be a creditor who has obtained a judgment against the executor or administrator, whether after a trial at law upon the merits or otherwise, or if there be any such creditor among the parties to an accounting, where all the persons in interest have been called in to attend, the decree will designate the amount applicable to such judgment; and, if requested, an order will be made, which may be embodied in the decree, that an execution issue on such judgment. Such execution will, agreeably to what was stated at a preceding page,<sup>(n)</sup> direct the money to be levied of the goods, &c., of the testator or intestate in the hands of the executor or administrator. A remedy in nearly all conceivable cases more effectual may be had, as will presently appear, by proceedings under the surrogate's decree for the payment of the money.

[For forms of decrees upon accounting, see Appendix, No. 74.]

The decree for payment or distribution may be drawn up by the successful party, or, where there has been a final settlement of the accounts, by the executor or administrator. It is frequently, however, prepared at the surrogate's office. If it be drawn by a party, it should be settled in conformity with the rules and practice of the Supreme Court on the settlement of a decree.

#### OF ENFORCING THE SURROGATE'S DECREE

The enforcing of the decree of the surrogate remains to be considered.

An action at law upon the decree may be brought against the executor or administrator by any party, to compel payment of any sum of money thereby adjudged due to him.<sup>(o)</sup>

A complaint may be filed by the distributees, to compel the executor or administrator to distribute the estate of the decedent according to

<sup>(k)</sup> *Burtis v. Dodge*, 1 Barb. Ch. Rep. 77; *Halsey v. Van Amringe*, 6 Paige, 12; *Western v. Romaine*, 1 Bradf. Surr. Rep. 37; *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

<sup>(l)</sup> *Ante*, p. 535.

<sup>(m)</sup> *Halsey v. Van Amringe*, 6 Paige, 12.

<sup>(n)</sup> *Ante*, p. 370.

<sup>(o)</sup> See *Paff v. Kinney*, 1 Bradf. Surr. Rep. 1.

the sentence or decree of the surrogate, made upon a final accounting before him.(p)

Proceedings, however, before the surrogate, to compel the performance of the decree, afford a very speedy and effective remedy.

If the decree direct the executor or administrator to transfer or deliver any specified articles, or to assign any particular securities belonging to the estate, and which have been proved to be in his hands, his neglect or refusal to comply with such directions will be a contempt, and his obedience may be compelled by attachment, or his bond may be prosecuted under the 19th section of the act relating to "the rights and liabilities of executors and administrators,"(q) presently to be stated at large.

The following sections of the statutes provide for enforcing decrees of surrogates for the payment of money :

Sec. 19. Whenever an executor or administrator shall refuse or omit to perform any decree, made against him by a surrogate having jurisdiction, for rendering an account, or upon a final settlement, or for the payment of a debt, legacy, or distributive share, such surrogate may cause the bond of such executor or administrator to be prosecuted, and shall apply the moneys collected thereon in satisfaction of such decree, in the same manner as the same ought to have been applied by such executor or administrator.(r)

Sec. 63. After any decree is made by a surrogate for payment of money by an executor or administrator, or guardian, on application, he shall make out a certificate, stating the names of the parties against and in favor of whom the decree is made, with the trade, profession or occupation of the parties respectively in their places of residence, in which he shall state the amount of debt and costs directed to be paid by such decree.(s)

Sec. 64. On such certificate being filed with the clerk of any county, the same shall be entered and docketed on the books now required by law to be provided and kept for the purpose of docketing judgments, the transcripts or certificates of which shall be filed with such clerk, and shall thenceforth be a lien on all the lands, tenements, real estate and chattels real of every person against whom such decree shall be

(p) *Stiles v. Burch*, 5 Paige, 132. But where the plaintiff, an executrix, and the defendant an executor of the same estate had a final accounting before the surrogate of the county of New York, and the surrogate made a decree thereon ascertaining and declaring the amount of assets in their hands, the whole amount due and the sum payable to each creditor out of the assets, and directed the assets to be placed in the defendant's hands, and that he personally pay the sum payable to each creditor, it was held that the liability of the defendant thereupon became personal, and the moneys his individual property, unless some special equity should be shown to follow and reach the fund; and all the creditors except one, to whom \$1,596 51 was decreed to be paid, having been paid their proportion in full, and the latter also having been equitably satisfied his claim, but otherwise than by the payment of the \$1,596 51, and having released his claim on the estate so far as regarded this sum, and the plaintiff, as a creditor of the estate, having then brought an action to compel the defendant to account anew for the \$1,596 51, and to pay out of the same the balance due to the plaintiff as such creditor, it was held that the action would not lie on the facts stated. *Puff v. Kinney*, 5 Sandf. Superior Court Rep. 380.

(q) 2 R. S. 118, 116; 3 R. S. (5th ed.) 201, 204.

(r) S. L. 1830, ch. 320, sec. 23; 2 R. S. 116; 3 R. S. (5th ed.) 204.

(s) S. L. 1837, 535; 3 R. S. (5th ed.) 366, sec. 17.

entered, situate in the county in which said surrogate's certificate may be filed; and execution shall be issued thereon in the same manner as though the same was a judgment recovered in the Court of Common Pleas [now, except in the city and county of New York, the county court(*t*)] of said county.(*u*)

Sec. 65. If such execution be issued and returned unsatisfied, the surrogate shall, on application, assign the bond given by such executor, administrator or guardian, to the person in whose favor such decree is made, for the purpose of being prosecuted.(*v*)

It may be proper to remind the reader that the foregoing sections of the statutes, and the means now to be spoken of for enforcing the decrees of the surrogate, extend to decrees made under the 18th section of the statute "relating to the rights and liabilities of executors and administrators,"(*w*) authorizing the surrogate to decree payments in the cases there mentioned.

The above section, numbered 19, authorizes the surrogate to cause the bond of an executor or administrator to be prosecuted, if he refuse or omit to perform any decree made against him, upon a final settlement, or for the payment of a debt, legacy, or distributive share. The above section, numbered 63, directs that, after a decree for payment of money against an executor or administrator, the surrogate shall, on application, make out a certificate, stating certain particulars in such section specified. By the next section, numbered 64, the certificate, on being filed with the clerk of any county, and entered and docketed by him, becomes a lien upon the land of an executor or administrator, and an execution may be issued thereon, in the same manner as though the same was a judgment recovered in the Court of Common Pleas of said county. And the next section, numbered 65, provides that, if such execution be issued and returned unsatisfied, the surrogate shall, on application, assign the bond given by such executor or administrator to the person in whose favor the decree was made, for the purpose of being prosecuted.

The mode of obtaining redress provided by the above sections of the Law of 1837, numbered 63, 64 and 65, as amended by the act of 1844, is in addition to that provided by the above section of the amendatory act of 1830, numbered 19. The latter has not been expressly repealed, and the provision cannot be regarded as repealed by implication. The provisions referred to are not inconsistent with each other. An action may, therefore, be brought on the bond of an executor or administrator,

(*t*) See Constitution, art. 14, sec. 12. See, also, the "Act in relation to the judiciary," Laws of 1847, ch. 280, art. 4, sec. 36, p. 330; Code of Procedure, secs. 29, 30. The suggestion of this insertion is made, however, not without some misgivings.

The section was omitted in the 4th edition of the Revised Statutes. See 2d vol., p. 421, n. In the 5th edition the section is inserted as contained in the original Law of 1837, although in a note the section is stated to have been repealed by ch. 104 of the Laws of 1844, which is the information conveyed by the note to p. 421 of the 4th edition. No notice is taken in either edition of the amendment to the section made by the Law of 1844. The 3d edition of the Revised Statutes (vol. 2, p. 320) contains the 64th section of the Law of 1837, as amended in 1844. See *ante*, pp. 29, 30.

(*u*) S. L. 1837, 535; Amend. S. L. 1844, 91.

(*v*) S. L. 1837, 535; 3 R. S. (5th ed.) 366, sec. 19.

(*w*) 2 R. S. 113, 116; 3 R. S. (5th ed.) 201, 204.



as is authorized by the above section of the statute numbered 19, without the return of an execution as required by the 65th section of the Law of 1837.(x)

The sections respecting the issuing of the execution on the decree, do not affect the operation of the 19th section, in cases of decrees for the payment of money. The party may take his remedy to enforce such a decree under either provision. If he resort to the 19th section, he must obtain an order of the surrogate for the prosecution of the bond, upon evidence satisfactory to the surrogate that there has been either a refusal or an omission to perform the decree. The bond is prosecuted under the direction of the surrogate, and he applies and pays over the moneys collected thereon, in satisfaction of the decree.

To sustain an action upon an administrator's bond put in suit for the benefit of a creditor or other claimant, it is necessary to show a decree of the surrogate for the payment of the debt or claim, and the refusal or neglect of the administrator to comply with such decree, as well as the order of the surrogate directing the prosecution of the bond.(y)

The statute, however, does not require the service on the executor or administrator of a copy of the decree, or a citation to appear and show cause why an order for the prosecution of the bond of the executor or administrator should not be made, previous to the making of any such order. If it is made to appear satisfactorily to the surrogate that there has been either a refusal or an omission to perform the decree, he is authorized to order the prosecution of the bond without any previous service of a copy of the decree, or of a summons on the executor or administrator to show cause. In the case of *The People v. Rowland*,(z) the order proved on the trial recited that the petition of P. N., one of the heirs, duly verified, on which the order was founded, set forth a service on the administratrix of a copy of the decree, and a demand by the petitioner of the sum decreed to be paid to him, and her refusal to pay. This, it was held, was sufficient evidence of a refusal to perform the decree, and authorized the surrogate to make an order for the prosecution of the bond of the administratrix. "A demand," it was said, "previous to the commencement of the suit, of the money directed by the decree to be paid, was not necessary." "The statute," it was added, "does not make such demand a condition precedent to the right to sue the bond of the administratrix. Without any proof of a demand, the surrogate had authority to make an order for the prosecution of the bond. He was only to be satisfied that there had been either a refusal or omission to perform the decree."

Since the Law of 1837, however, it is proper to state, recourse has seldom been had to the 19th section, to enforce decrees for the payment of money; the proceedings authorized by the above sections of that law having been deemed more effectual for the purpose.

A party having obtained a decree against an executor or administrator for the payment of money, in case of non-payment, always applies for, and procures a certificate under the above 63d section, and thus coerces payment. The application should be in writing, and, on

*The People v. Guild*, 4 Denio, 551.  
5 Barb. Sup. Ct. Rep. 449.

(y) *The People v. Barnes*, 12 Wend. 492.

granting the same, an entry of the issuing of the certificate may properly be made in the surrogate's minutes. [For form of the certificate, see Appendix, No. 75.]

After having filed his certificate, the party is governed by the same rules, in respect to the issuing and return of his execution, which apply to executions on judgments in the county court, or in the Court of Common Pleas of the city and county of New York. The execution may issue immediately upon filing the transcript.

The 64th section (amendment of 1844) provides that the "execution shall be issued on the certificate, in the same *manner* as though the same was a judgment recovered in the Court of Common Pleas of said county." This does not go to the *form* of the process; the execution should recite the facts truly. It should state the filing of the certificate of the surrogate's decree and the docket, and then command the sheriff to levy the money.(a)

The decree is a lien on the lands of the executor or administrator, after the filing of the certificate, and the entering and docketing on the books of the county clerk; and the execution should direct the money to be levied of the property of the executor or administrator, and not of the property of the testator or intestate.(a)

The 65th section provides that, if the execution be issued and returned unsatisfied, the surrogate shall, on application, assign the executor or administrator's bond to the person in whose favor the decree has been made, for the purpose of being prosecuted. A transcript of the docket of the certificate, and a certified copy of the execution, and of the sheriff's return, must be furnished to the surrogate, as proof that the proceedings of the party have been regular, and that the execution has been returned unsatisfied. An order for the assignment of the bond should be entered in the surrogate's minutes. [For form, see Appendix, No. 76.] The surrogate's order constitutes the assignment of the bond contemplated by the statute. The surrogate is not a party to the bond, and, in the nature of things, cannot execute an assignment as an obligee. He commonly acts by order, and this order, by its terms, "assigns" the bond "for the purpose of being prosecuted." This is, in substance and effect, the only assignment contemplated by the statute.(b) The direction in the section that the surrogate assign the bond, is probably not to be construed to require him to part with the custody of the instrument. That must remain in his possession for safe keeping, as there may, perhaps, be other or future claims to be enforced under it.

Notwithstanding the assignment, the surrogate retains the custody of the instrument, for the common benefit of all persons having claims against the estate. The assignment contemplated by the statute is, in fact, only the grant of a permission or authority to prosecute the bond.

There is not any objection to the action being brought in the name of the party who has obtained the decree of the surrogate against the executor or administrator. It is a useless proceeding to bring the action in the name of "The People on the relation of" such party;

(a) *Davies v. Skidmore*, 5 Hill, 504.

(b) *Baggott v. Boulger*, 2 Duer, 170.

whether sued in the name of the party for whose benefit it is ordered to be prosecuted, or in the name of the people, the same facts are to be stated, the same number of separate suits may be had, and the consequences are the same in either case to the sureties. The common law rule, that an action on a bond must be brought in the name of the obligee, whoever may be the owner, is abrogated by the Code. It must now be brought "in the name of the real party in interest."<sup>(c)</sup> The person in whose favor the decree of the surrogate has been rendered against the executor or administrator, is the real and only party in interest prosecuting the action. The Code says that he may sue in his own name.<sup>(d)</sup>

A party recovering upon the bond, will have judgment only for the amount decreed in his favor. Several persons, in whose favor decrees for payment may have been made, may doubtless join in one suit.

These sections plainly apply to all decrees for the payment of money. A creditor, legatee, or a person entitled in distribution, who has obtained a decree against the executor or administrator under the 18th section, 2 R. S. 116, authorizing the surrogate to decree payment against the executor or administrator of debts after the expiration of six months, and of legacies and distributive shares after the expiration of a year from the time of the granting of the letters, may obviously enforce such decree by the proceedings above described, under the 19th section, 2 R. S. 116, or by issuing an execution, and pursuing the remedy provided by the above sections of the acts of 1837 and 1844.

It is apparent that these sections, in nearly all cases, supersede the necessity of issuing an execution upon a judgment recovered against an executor or administrator. When such judgment has been obtained after a trial at law upon the merits, and at an early period of the administration, there may be an advantage in immediately prosecuting the remedy, by execution thereon, against the assets in the hands of the executor or administrator. But a creditor who has recovered his judgment, otherwise than after a trial upon the merits, or after such trial, when a sufficient time has elapsed to authorize the surrogate to decree payment of the debt, will find his most effectual and satisfactory remedy under the sections which have now been considered. There can hardly be a case in which an execution against the executor or administrator personally will not prove at least equally as beneficial as one against the assets of the deceased alone in his hands.<sup>(e)</sup>

The separate consideration of the subjects of the rendering and settling of the accounts of executors and administrators, and the enforcing performance of the decrees of the surrogate against them, is thus concluded.

(c) Code, sec. 3; 2 R. S. 476, tit. 5, ch. 8, pt. 3; Code, sec. 471.

(d) *Baggott v. Boulger*, 2 Duer, 170-1.

(e) For directions as to the mode of issuing an execution against the assets of the decedent in the hands of the executor or administrator, see *ante*, p. 370; Code, sec. 289; *Olmsted agt. Vredenburg*, 10 How. Prac. Rep. 215.

## ACCOUNTS OF EXECUTORS OR ADMINISTRATORS WHOSE AUTHORITY HAS BEEN REVOKED, AND ACCOUNTS OF COLLECTORS.

The rendering and settling of the accounts of an executor or administrator whose authority has ceased, or has been revoked or superseded, may be compelled, and must be conducted in the same manner, and has the like effect in all respects, as in the case of a settlement at the instance of a creditor.<sup>(f)</sup> Such accounting will be hereafter more particularly considered, in connection with the proceedings on the removal of an executor or administrator from his office, and the revocation of his letters.

When letters of collection are superseded, and the collector is cited to account, he may be compelled to deliver to the party succeeding to the administration of the estate, all the property of the deceased in his hands; and it is competent for the surrogate, on the accounting, to pass upon any claim of the collector to property belonging to the deceased at the time of his death, of which the collector acquired title during the period of his collectorship. But where the collector claimed title to certain leasehold estate of the deceased, by virtue of a lease from the owner of the fee made prior to his appointment as collector, the surrogate, it was considered, had not jurisdiction, on the accounting of the collector, to try the validity of a title thus acquired before the fiduciary relations of the collector with the estate commenced.<sup>(g)</sup>

The sole object of appointing a collector is the preservation of the goods and property, and the collection of the debts.<sup>(h)</sup> He has not any authority to pay debts, nor to make any disposition of the funds except to pay his own expenses. The statute authorizes him to collect the goods, chattels, debts, &c., of the deceased, and to secure the same at such expense as shall be allowed by the surrogate, and for such purposes to maintain suits; to sell such of the goods of the deceased as shall be deemed necessary for the preservation of the estate after appraisal; and, on the probate of the will, to deliver to the executor all the estate of the deceased. The special collector has therefore no authority to make any payments, except such as are necessary for the collection and preservation of the property of the estate; and as he has no authority to pay an order of the surrogate directing any payment, other than such as are expressly authorized by the statute will be erroneous.<sup>(i)</sup>

## JURISDICTION OF COURTS OF EQUITY OVER EXECUTORS AND ADMINISTRATORS.

It is convenient in this place to present some observations upon the jurisdiction of the courts of equity over the executor or administrator, with respect to the administration of the goods and effects of the deceased.

"An executor or administrator is liable, in his representative character, to all equitable demands, with regard to personal property, which existed against the deceased at the time of his death."

<sup>(f)</sup> See 2 R. S. 95, secs. 68-9.

<sup>(g)</sup> *Gottberger v. Smith*, 2 Bradf. Surr. Rep. 86

<sup>(h)</sup> 2 R. S. 76; S. L. 1837, ch. 460, sec. 24; 3 R. S. (5th ed.) 161, sec. 39; *Ante*, pp. 251, 305, 311.

<sup>(i)</sup> See *In the Matter of Parish*, 29 Barb. Sup. Ct. Rep. 627.

"Executors and administrators are, in almost every respect, considered in courts of equity as trustees. Upon this principle, those courts exercise a jurisdiction over them, in the administration of assets, by compelling them, in the due execution of their trust, to apply the property to the payment of debts and legacies, and the surplus according to the will, or, in case of intestacy, according to the Statute of Distributions.

"Hence, a court of equity will entertain a bill for a personal legacy, or for the distribution of an intestate's personal estate; and will compel an executor or administrator, in the same manner as it does an express trustee, to discover and set forth an account of the assets, and of his application of them.(j)

In *Carow v. Morrell*,(k) the vice-chancellor says, "under our present system, a surrogate possesses more enlarged powers than formerly: but I am not aware of anything which lessens the jurisdiction of this court. In the exercise of its powers, there is certainly a competency of inquiring into any alleged *devastavit* by an executor or administrator, and also the right to bring all persons before it who may be interested in the question. The forms of proceeding and the practice of the court are well adapted to the purposes of such an inquiry; and, while a decree can be made to reach the property and persons of all who may be liable, the relative equities of such parties will be adjusted and enforced."

In *Rogers v. King*,(l) the chancellor says, "the surrogate has concurrent jurisdiction with this court, to call an executor or administrator to account. And if the same party who files a bill in this court against such executor or administrator, subsequently cites him to account before the surrogate, the pendency of the suit here, for the same object, ought to be allowed by the surrogate as a valid objection to the proceeding there, in the nature of a plea in abatement. And where, in a suit properly instituted in this court by any other creditor, legatee, or distributee of the estate, a decree for an account has been entered for the benefit of all the creditors and other persons interested in the estate, such decree may be set up as a bar to any proceeding for an account before the surrogate. And this court, upon a proper application, would grant an injunction, as a matter of course, to stay any creditors or others from proceeding before the surrogate, and to compel them to come in and establish their claims under the decree here."(m)

Where, to determine the liability of parties, it is necessary to require the accounts of several estates, it would seem that the Court of Chancery alone has jurisdiction.(n)

The Court of Chancery had jurisdiction to compel a foreign executor or administrator to account for the trust funds which he received abroad, and brought with him into this state; and that, too, without taking out letters of administration on the estate of the decedent here. And upon a bill filed against such foreign executor or administrator, if he is

(j) Wms. on Exrs. 1819, and cases cited.

(k) 2 Edw. Ch. Rep. 64.

(l) 8 Paige, 211.

(m) *Moore v. Prior*, 2 Young and Colb. Rep. 375; *Paxton v. Douglass*, 8 Ves. 520; *Perry v. Phelps*, 10 id. 39; *Clark v. Ormond*, Jacob's Rep. 122.

(n) *Foster v. Wilber*, 1 Paige. 537.



about to depart and go beyond the bounds of the state, he may be arrested upon a *ne exeat*, and held to equitable bail, as in other cases.(o)

In a suit here, however, against a foreign executor or administrator, for assets received in the country where he was appointed, and brought into this state, the nature and extent of his liability will depend upon the laws of the state or country where he derived his authority to administer the assets of the decedent. And the assets must be applied in the payment of debts, or be distributed among the next of kin, according to the law of that country, and which would be applicable to the case if he had been called to account there.(p)

A bill in chancery ought not to be filed for a legacy. Application should be made to the surrogate.(q)

The appellate jurisdiction of the Supreme Court over cases arising in the Surrogate's Court will hereafter, as was before intimated, form the subject of a separate branch of this work.

## CHAPTER XIII.

### OF THE AUTHORITY OF THE EXECUTOR OR ADMINISTRATOR OVER THE REAL ESTATE OF THE DECEASED, AND OF SALES OF SUCH REAL ESTATE, WHETHER VOLUNTARY OR COMPULSORY, FOR THE PAYMENT OF THE DEDTS OF THE DECEASED.

THE general rule has been stated in a preceding page of this work,(a) that "as the heir hath not to deal with the goods and chattels of the deceased, no more hath the executor to do with the lands, tenements, and hereditaments." But it was at the same time intimated that, where the personal property of the decedent was insufficient for the payment of his debts, the executor or administrator may, by proper proceedings according to law, acquire dominion over the real estate for the purpose of disposing of the same and applying the proceeds to the discharge of such indebtedness. By the express provisions of the will, also, the executor is often vested with a power over the real estate.

An administrator, and, in the absence of an express power created by the will, an executor, as such, has no control over the real estate left by an intestate or testator. His authority to sell is to be conferred by the order of the surrogate and the other proceedings before him. The surrogate derives his power from the statute, and, in order to confer the authority upon the administrator to transfer the title to the land, and thus disinherit the heirs of the intestate, it is requisite that the directions of the statute, so far as they relate to acquiring jurisdiction of the subject matter, and of the parties to be affected by the proceeding, should be strictly complied with.(aa)

(o) *McNamara v. Dwyer*, 7 Paige, 239. But see *Brown v. Brown*, 1 Barb. Ch. Rep. 189.

(p) See cases referred to in note (o) *supra*.

(q) *Hoyt v. Hilton*, 2 Edw. Ch. R. 202.

(a) *Ante*, pp. 260, 514. See *Griffith v. Beecher*, 10 Barb. Sup. Ct. Rep. 432; *Bridgewater v. Brookfield*, 3 Cowen, 299; *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316, 337.

(aa) See *Sibley v. Waffle*, 16 N. Y. (2 Smith) Rep. 180, 185.

The first case now to be considered is that of a sale or other disposition of the real estate of the decedent, by the executor or administrator, for the payment of debts, where the decedent died intestate or did not authorize such disposition by his will. Sales by an executor, pursuant to a power given by the will, will in the next place be treated of.

By the hard and unjust rule of the common law, land descended or devised was not liable to simple contract debts of the ancestor or testator; nor was the heir bound even by a specialty, unless he was expressly named. But, in New York, the rule has been altered; and, by a provision in the act of 1786, and continued in the subsequent revisions, heirs are rendered liable for the debts of the ancestor by simple contract, as well as by specialty, and, whether specially named or not, to the extent of the assets descended, on condition that the personal estate of the ancestor shall be insufficient, and shall have been previously exhausted.<sup>(b)</sup> This condition does not apply when the debt is, by the will of the ancestor, charged expressly and exclusively upon the real estate descended to the heirs, or directed to be paid out of the real estate descended, before resorting to the personal estate.<sup>(c)</sup> It is further provided that, whenever any real estate, subject to a mortgage executed by the ancestor or testator, shall descend to the heirs, or pass to a devisee, the mortgage shall be satisfied out of such estate without resorting to the executor or administrator, unless there be an express direction in the will to the contrary.<sup>(d)</sup>

The general rule is that the personal estate is the primary fund for the discharge of the debts, and is to be first applied and exhausted, even to the payment of debts with which the real estate is charged by mortgage; for the mortgage is understood to be a merely collateral security for the personal obligation. The order of marshalling assets in equity towards the payment of debts is to apply: 1. The general personal estate; 2. Estates specifically devised for the payment of debts; 3. Estates descended; 4. Estates devised, though generally charged with the payment of debts. It requires express words, or the manifest intent of a testator, to disturb this order. On the other hand, there is a material distinction between debts originally contracted by the testator or intestate, and those contracted by another; and, therefore, if a person purchases an estate subject to a mortgage, and dies, *his personal estate*, as between him and his personal representatives, shall not be applied to the exoneration of the land, unless there be strong and decided proof that, in taking the encumbered estate, he meant to take upon himself the mortgage debt as a personal debt of his own. The last provision above mentioned, from the Revised Statutes, was an alteration of the antecedent rule, and makes a mortgage debt fall primarily upon the real estate.<sup>(e)</sup>

By section 12 of the article of the Revised Statutes relative to suits by and against executors and administrators, the real estate which belonged to any deceased person shall not be bound, or in any way af-

<sup>(b)</sup> See *Ferguson v. Broome*, 1 Bradf. Surr. Rep. 10.

<sup>(c)</sup> 2 R. S. 452; 3 R. S. (5th ed.) 750, secs. 32-34-5.

<sup>(d)</sup> 1 R. S. 749; 3 R. S. (5th ed.) 38, sec. 4; 4 Kent's Comm. 420. See *ante*, p. 328.

<sup>(e)</sup> 4 Kent's Comm. 421.

fect, by any judgment against his executors or administrators, nor shall it be liable to be sold by virtue of any execution issued upon such judgment.(f)

And a judgment obtained against executors or administrators is not evidence of the debt, in a subsequent proceeding, to charge heirs or devisees.(g)

If the personal estate of a testator or intestate be insufficient to pay his debts, the executor or administrator, as the case may be, is authorized to mortgage, lease, or sell so much of the real estate as shall be requisite to pay the debts. This is done under the direction of the Surrogate's Court; and the title so conveyed to the purchaser will vest in him all the right and interest which belonged to the testator or intestate at the time of his death. The proceedings in such cases are specially detailed in the Revised Statutes, and the amendments thereto, with cautious provisions to guard against irregularity and abuse. The interest of the deceased in contracts for the purchase of land may equally be sold for the like purposes; and provision is made for the specific performance of the contracts, under the direction of the surrogate, upon terms safe and just to all parties.(h)

The statute authorizes the executor or administrator of any deceased person, after having made and filed an inventory, if he discover the personal estate of his testator or intestate to be insufficient to pay his debts, at any time within three years after the granting of his letters testamentary or of administration, to apply to the surrogate for authority to mortgage, lease, or sell so much of the real estate of his testator or intestate as shall be necessary to pay such debts.(i) And by a further provision, if, after the rendering of an account by an executor or administrator pursuant to the provisions of the statutes recited in the last preceding chapter of this publication, it shall appear that there are not sufficient assets to pay the debts of the deceased, the surrogate, upon the application of any creditor, made at any time after the granting of letters testamentary or of administration, shall grant an order for such executor or administrator to show cause why he should not be required to mortgage, lease, or sell the real estate of the deceased for the payment of his debts; but he is not permitted to assign, for cause why he should not be ordered to sell real estate, that the time within which he is allowed to sell the same has expired.(j)

The authority to sell the real estate of the testator or intestate, given to the executor or administrator by the orders of the Surrogates' Court, will apply to the estate left by the debtor at his decease, and the sale will avoid all *mesne* conveyances since his death.(k) The liability of the lands to be sold under the order of the surrogate, attaches to the lands not only in the hands of the heirs or devisees, but in the hands of any subsequent purchaser. It is a kind of statu-

(f) 2 R. S. 449; 3 R. S. (5th ed.) 747.

(g) See *Moss v. McCullough*, 6 Hill, 131.

(h) See 4 Kent's Comm. 438.

(i) 2 R. S. 100; 3 R. S. (5th ed.) 186. *Infra*.

(j) 2 R. S. 108; S. L. 1837, ch. 460; 1843, ch. 172; 1847, ch. 298; 3 R. S. (5th ed.) 196. *Infra*.

(k) See *Mathews v. Mathews*, 2 Edw. Ch. Rep. 565.

tory lien running with the land during the three years.<sup>(1)</sup> The heir takes the lands of his ancestor, subject to the execution of the power

(1) *Hyde v. Tanner*, 1 Barb. Sup. Ct. Rep. 75. It should be observed that the authority of the surrogate to order a sale of the lands of a deceased person for the payment of his debts, is not now limited to the period of three years ensuing the grant of letters testamentary or of administration. Under the Revised Statutes, previously to the Law of 1837, the executor or administrator was, as he is now, limited to three years from the grant of his letters, within which he might obtain an order from the surrogate for the sale of the decedent's real estate for the payment of his debts; and the creditors of the decedent were limited to the same three years within which they might obtain from the surrogate an order on the executor or administrator to show cause why he should not be required to proceed and sell, or otherwise dispose of the real estate of the deceased for the same purpose. And a creditor was prohibited from maintaining an action against an heir or devisee, to recover a debt due by his ancestor or testator, until the expiration of the same three years. (2 R. S. 109, sec. 53; 3 R. S., 5th ed., 197, sec. 64. See *Butts v. Ganung*, 5 Paige, 254, 257.) These statutes were consistent with each other and symmetrical, and the limitation mentioned in *Hyde v. Tanner*, above quoted, of the lien on the real estate growing out of the surrogate's authority to order its sale for the payment of debts, was entirely warranted by their provisions. But by the Law of 1837 the surrogate was authorized, on the application of a creditor after an accounting by the executor or administrator showing a deficiency of personal property to pay the debts, at any time after the granting of the letters testamentary or of administration, to grant an order commanding the executor or administrator to show cause why he should not be required to mortgage, lease, or sell the real estate of the deceased for the payment of his debts, and the executor or administrator was prohibited from assigning, for cause why he should not be ordered to sell the real estate, that the time within which he is allowed to sell the same has expired; and this continues to be the law at the present day. At the same time, the law prohibiting creditors from prosecuting, against the heirs or devisees of a deceased person, claims against the decedent until the expiration of three years from the date of the proof of the will or of administration, remains as it was by the Revised Statutes. And it may be doubted whether proceedings for a surrogate's order, or for the sale of the real estate commenced after the three years, and pending at the time of the suit brought by a creditor against the heirs or devisees, would be a ground for a stay of such suit; although, by the act, the court is required to stay the creditor's suit against the heirs or devisees, if such proceedings have been commenced within the three years. So that, as the law now stands, upon the words of the statutes, a proceeding before the surrogate for the sale of the real estate for the payment of the debts of the deceased, and an action by a creditor against the heirs or devisees, to recover a debt due by the deceased, may both be going on at the same time, after the expiration of the three years from the grant of the letters testamentary or of administration. And it is only upon the ground that the creditor is at liberty, after the expiration of the three years from the grant of the letters testamentary or of administration, to prosecute the heir or devisee for the debt of his ancestor or testator, that the lien created by the power conferred upon the surrogate, to authorize a sale of the decedent's real estate for the payment of his debts, can be said to be limited to the three years within which the executor or administrator may apply to the surrogate for an order for the sale of the real estate for the payment of the decedent's debts. In the judgment in *Hyde v. Tanner*, under consideration, it is said that, after the expiration of the three years, "the heirs or devisees become first liable to suit, and the power of the surrogate ceases." This is true with respect to the liability of the heirs to suit, but it is not true, as has been shown, that the power of the surrogate ceases at the end of the three years. "The land," this judgment continues, "is discharged from the lien; the heirs or devisees may sell; and a *bona fide* purchaser takes the estate free and discharged from the debts. (2 R. S. 455, secs. 51, 61.) The 51st and 61st sections of the statute referred to (see 3 R. S., 5th ed., 750-1) declare that no lands aliened in good faith by any heir or devisee before any suit commenced against him, shall be liable to execution upon, or in any manner affected by, a decree against such heir or devisee. A surrogate's order for the sale of the real estate of the intestate or testator for the payment of his debts, clearly is not a decree within the meaning of that term in these provisions. The decree here referred to is a decree in the suit in chancery provided for by the preceding sections of the statute, brought by a creditor to charge an heir or devisee with the debt of his ancestor. And if the authority of the surrogate to order a sale of the real estate, for the payment of the debts of the decedent, makes such debts a lien upon such real estate, the exercise of that authority not being restricted to the three years ensuing the grant of probate or of administration, the heir or devisee sells subject to that authority as much after the three years as before the expiration of that period. And it is upon the ground that the surrogate has the power to

conferred on the surrogate by the statute to authorize or order a sale of the lands for the payment of the ancestor's debts. It is not an equity which the creditors have against the title of the heir, but it is a legal right given to the creditors by these statutes, to enforce a sale of the lands for the payment of the debts due to them. The creditors claim, too, by a title paramount to that of the heir; the heir claims under the ancestor, without any valuable consideration; the creditors claim not under the ancestor, but through the power which the law—a higher power than the ancestor—gives them over the lands of the ancestor, even when they pass to the heir. The heir, therefore, takes the lands subject to the execution of this higher power.<sup>(m)</sup>

And that the statute contemplates that lands sold by the heir should be still subject to the authority of the surrogate, to order their sale for the payment of the ancestor's debts, is evident from the provision hereafter to be adverted to, directing such lands, when sold by the order of the surrogate, not to be sold until the lands remaining in the hands of the heir shall have been first sold. A partition of the lands of a deceased person, therefore, made pending the period within which the surrogate may order a sale of the lands for the payment of the decedent's debts, though such partition be made under a judgment of the court, will not divest the surrogate of the power to authorize and order an absolute sale of the lands under these provisions of the statute.<sup>(n)</sup> But an administrator who is applying to a surrogate for leave to sell real estate in order to pay debts, will be restrained by perpetual injunction, where a decree for foreclosure and sale of the same premises has been had in the Supreme Court, or other court of equity, and is in force. And if there be a surplus of the proceeds of the sale remaining after the payment of the mortgage debt, the equitable rights of the creditors will be permitted to attach at once to such proceeds, instead of the lands, and the money will be applied in the same equitable manner, under the direction of the Equity Court, as it would be applied by the surrogate, provided the lands were sold under his authority.<sup>(o)</sup>

It may be added that a contract by an administratrix, to convey lands of her intestate, when a surrogate's order for that purpose shall be obtained, does not vest an interest, though an order be afterwards obtained. Such a contract is void, and incapable of being enforced

authorize a sale of the real estate for the payment of the debts of the decedent, whether on the application of the executor or administrator, or of a creditor, that the cases recognizing the lien have proceeded, and that without reference to the right of the creditor to prosecute his claim against the heir or devisee. There is manifestly a difficulty in respect to the limitation of this lien under the statutes as they now stand, which has not been noticed in the recent cases; and there would seem to be strong reason for believing that the lien, if it exist at all, is not restricted to the period of three years within which the executor or administrator may apply to the surrogate for authority to mortgage, lease, or sell the real estate of the deceased for the payment of his debts.

In *Wilson v. Wilson* (13 Barb. Sup. Ct. Rep. 264) Mr. Justice Johnson makes the following remarks touching the present subject: "It may perhaps be said, in a loose general sense, that unpaid debts are a charge upon the land in the hands of the heir after the personal estate is exhausted, because they may be made a charge. But they are no lien for any substantial purpose, either in law or equity, until made so by proper proceedings." See, also, *Pierce v. Alsop*, 3. Barb. Ch. Rep. 184, 186.

(m) See *Hall agst. Partridge*, 10 How. Prac. Rep. 188.

(n) *Hall agst. Partridge*, 10 How. Prac. Rep. 188.

(o) *Breevoort v. McTimney*, 1 Edw. Ch. Rep. 551.



either at law or in equity, both because the administratrix has no interest, and as being contrary to the policy of the act authorizing administrators to sell the real estate of their intestate. An administrator has no interest in, or control over the real estate of his intestate; and though, after a contract to sell land when a surrogate's order shall be obtained for that purpose, an order be actually obtained, the administrator takes no beneficial interest which can enure to make the contract binding, even if it were not contrary to the policy of the law.(p) So, a bond given by an administrator to convey real estate of his intestate, in contemplation of a sale under a surrogate's order, is void.(q)

It may be further remarked that, when it is ascertained that the personal property of the estate is insufficient to pay the debts of the testator, the executor, by virtue of his office, becomes a trustee for the devisees and creditors of the testator. Therefore, if the executor is the holder of a judgment against the deceased, which is a lien upon his real estate, and procure a sale of such real estate by the sheriff, upon an execution upon the judgment, and himself become the purchaser, the sale is improper, and will be set aside.(r)

A proper division of the first branch above stated of the present subject is into sales, or other dispositions of the real estate of the deceased, made—first, pursuant to an order of the surrogate granted on the application of the executor or administrator; second, pursuant to the like order granted on the application of a creditor of the deceased. The proceedings on obtaining the order for the sale are entirely regulated by statute. Such regulations are contained in the 4th title of the 6th chapter of the 2d part of the Revised Statutes,(s) entitled "of the powers and duties of executors and administrators in relation to the sale and disposition of the real estate of their testator or intestate," and in certain provisions of the Laws of 1837, 1843, and 1850.

OF THE PROCEEDINGS BY AN EXECUTOR OR ADMINISTRATOR TO PROCURE AN ORDER TO MORTGAGE, LEASE, OR SELL THE REAL ESTATE OF HIS TESTATOR OR INTESTATE FOR THE PAYMENT OF HIS DEBTS.

By the first section of the above mentioned title of the Revised Statutes,(t) after the executors or administrators of any deceased person shall have made and filed an inventory according to law, if they discover the personal estate of their testator or intestate to be insufficient to pay his debts, they may, at any time within three years after the granting of their letters testamentary or of administration, apply to the surrogate for authority to mortgage, lease, or sell so much of the real estate of their testator or intestate as shall be necessary to pay such debts.

The 40th section of the "act concerning the proof of wills, executors and administrators, guardians and wards, and Surrogates' Courts," passed 16th May, 1837,(u) enacts that executors or administrators may apply to the surrogate, pursuant to the above mentioned title of the

(p) *Bridgewater v. Brookfield*, 3 Cowen, 299.

(r) *Rogers v. Rogers*, 3 Wend. 503.

(t) 2 R. S. 100; Amended Act of 1830, ch. 320, sec. 22; 3 R. S. (5th ed.) 186.

(u) S. L. 1837, 531; 3 R. S. (5th ed.) 187, sec. 2.

(q) *Herrick v. Gross*, 5 Wend. 579.

(s) 2 R. S. 99; 3 R. S. (5th ed.) 185.

Revised Statutes, for authority to mortgage, lease, or sell the real estate of their testator or intestate, and for the sale of the interest of such testator or intestate, in any land held under a contract for the purchase thereof, whenever they shall discover that the personal estate of the testator or intestate is insufficient to pay his debts; subject, however, to the provisions of the first section of said title, as the same has been amended.

OF THE PETITION TO MORTGAGE, LEASE, OR SELL.

The proceeding to obtain the order for the sale is by petition to the surrogate. The statements and particulars required to be set forth in such petition, are specially prescribed by the second section of the above mentioned title of the Revised Statutes, and the petition must be under oath. That section is as follows:

Sec. 2. The petition shall set forth:

1. The amount of the personal property which has come to the hands of the executor or administrator;
2. The application thereof;
3. The debts outstanding against the testator or intestate, as far as the same can be ascertained;
4. A description of all the real estate of which the testator or intestate died seised, with the value of the respective portions or lots, and whether occupied or not, and if occupied, the names of the occupants; and
5. The names and ages of the devisees, if any, and of the heirs of the deceased.

And such petition shall be verified by the oath of the party presenting the same.

All of the executors or administrators should join in an application to the surrogate for an order to sell the real estate of the decedent for the payment of debts. And an order for sale will be erroneous in allowing the petitioning executors or administrators to make a sale without the consent and concurrence of all, especially when no reason is stated in the petition for not making the one or those not joining in the petition a party or parties to the proceedings.<sup>(v)</sup>

With respect to the statement of the debts outstanding against the testator or intestate required by the third subdivision of the section, the name of the person to whom the debt is owing, the date at which the indebtedness accrued, the nature of the debt, and whether it is founded upon a running account or upon a note, bill, bond, or other instrument in writing, and the date and time of payment of the same, and the amount of each debt should, as far as such particular can be ascertained, be set forth. Whether funeral expenses, and, upon the same principle, the surrogate's fees and charges, and the necessary expenses of the administration, constitute a debt for the payment of which the real estate of the deceased may be sold, has never been expressly decided;<sup>(w)</sup> but, in stating the amount of the personal property applicable to the payment of the debts, such statement is made upon an es-

<sup>(v)</sup> *Fitch v. Whitbeck*, 2 Barb. Ch. Rep. 161. But see *Jackson ex dem. Jenkins v. Robinson*, 4 Wend. 436.

<sup>(w)</sup> See *Atkins v. Kinnan*, 20 Wend. 241; *Fitch v. Whitbeck*, 2 Barb. Ch. Rep. 161.

timate of the personal property after deducting the funeral expenses and the necessary expenses of the administration.

In the opinion of the surrogate in the case of "*The real estate of Isaac Lawrence, deceased*,"(x) the question will be found considered whether debts of the deceased, secured by mortgage of his real estate, ought to be set forth in the petition, under the third subdivision of this section. The conclusion to which the surrogate arrived was, that such debts should not be included until the remedy of the creditor by foreclosure of the mortgage, has been exhausted, leaving a balance of debt unpaid, and thereby ascertained, for which the personal estate, if sufficient, would be liable.

The 5th subdivision, where it requires the ages of the devisees and heirs to be stated, is to be understood as requiring that those of the devisees or heirs who may be of full age, should be distinguished from those who may be minors. In order to meet the provisions of the 38th and 39th sections of the Law of 1837, presently to be quoted, those of the minors who have a general guardian should be distinguished from those who have not, and those over fourteen years of age from those under that age. The executor or administrator may also include in his petition, besides the statements specified in this section, any statements or explanations which may be important to a due understanding of the situation of the estate, or of the manner in which he has conducted the administration; and if he have knowledge that the requisite moneys can be raised by a mortgage or lease of the real estate, he should state the fact in his petition. The prayer of the petition will be for authority to mortgage, lease, or sell so much of the real estate of the deceased as shall be necessary to pay the debts. [For form of the petition, see Appendix, No. 77.]

Before the Revised Statutes, the statutory provision for the sale of the real estate of a deceased person for the payment of his debts, required that the executor or administrator should make a just and true account of the personal estate and debts of the deceased, as far as he could discover the same, and deliver the said account to the judge of the Court of Probates, or to the proper surrogate, and request his aid in the premises.(y) There is no difference in principle between that provision and the present one. Under that provision it has been held that a surrogate obtains jurisdiction, in reference to the sale of the real estate of a testator or intestate, by the presentation of a petition by executors or administrators praying his aid, and by the exhibition of an account of the personal estate and debts of the deceased.(z) And although the name of each creditor of the decedent and the particulars of each debt ought to be set forth in the petition as above recommended, and a lumping, as it is expressed, of the debts throughout would not probably be a compliance with the statute, yet if some or even one of the items of the statement set forth the particulars of the debt, this will be sufficient to sustain the proceedings, even if all the other items are rejected as nullities.(a)

(x) Law of Surr., (1st ed.) Appx., p. xii.

(y) 1 R. L. 1813, 450, sec. 23.

(z) *Jackson v. Irwin*, 10 Wend. 441; *Fort v. Walsworth*, 15 Wend. 449; *Atkins v. Kinnan*, 20 Wend. 241.

(a) *Atkins v. Kinnan*, 20 Wend. 241, 247.

And under the present statute it has been expressly held that, upon an application by an administrator, after the filing of an inventory for the sale of the real estate of an intestate for the payment of his debts, the surrogate gains jurisdiction by the presentation of the petition, as against all parties regularly brought into court.(b)

To give validity to a deed of lands executed under a sale by virtue of a surrogate's order, it must be affirmatively shown that an account of the personal estate, and of the debts of the testator or intestate, was presented to the surrogate. It is not enough that the presentment of such account, and the adjudication of the surrogate thereon, are recited in the order of sale, even though the surrogate testifies that he has no doubt that all the proceedings thus recited were actually had.(c)

On a question as to the validity of a sale of real estate under a surrogate's order, there may be a resort, however, to *secondary evidence* to show the presentment of *an account of the personal estate of the deceased*, and of an estimate of his debts previous to the making of an order to show cause, if the documents cannot, on due search, be found in the proper office.(d)

OF THE APPOINTMENT OF GUARDIANS OF MINORS INTERESTED.

By the 3d section of the above mentioned title of the Revised Statutes, if it shall appear to the surrogate by such petition, or by other competent evidence, that any of the devisees or heirs of the deceased are minors, the surrogate shall immediately, and before any other proceeding, appoint some disinterested freeholder guardian of such minors, for the sole purpose of appearing for them, and taking care of their interest in the proceedings.

By the 4th section, if any such minors are within the county of such surrogate, they shall be personally served with notice, ten days previously, of the intention to apply for the appointment of a guardian, that they may be heard in the selection of such guardian.

By the 38th section of the Law of 1837,(e) where any minor mentioned in the above 3d section shall have a general guardian in the county of the surrogate, such general guardian shall appear and take care of the interest of the minor, and no special guardian shall be appointed in the premises. And by the 39th section of the same act, the notice required by the above 4th section may be a notice of five days; and where the minor is under fourteen years of age, the notice shall be served on the person in whose custody he may be, or with whom he may live, or on such relative as the surrogate may designate, instead of the service required by said 4th section.

Where the minor is under the age of fourteen, and has no general guardian, and it is proposed to serve the notice of the intention to apply for the appointment of a guardian for the minor on a relative, an order designating such relative upon whom the notice may be served, should be made and entered in the surrogate's minutes. [For form of the notice of intention, see Appendix, No. 78.] On the day named in

(b) *Farrington v. King*, 1 Bradf. S. R. 182.

(c) *Ford v. Walsworth*, 15 Wend. 449.

(d) *Ford v. Walsworth*, *supra*.

(e) S. L. 1837, 531; 3 R. S. (5th ed.) 187, sec. 6.

the notice, on proof of the personal service of the notice on the minor, or on the person in whose custody he may be, or with whom he may live, or on the relative designated, as the case may be; and after hearing the minor, if he appear, or any person who may appear in his behalf, the appointment of the guardian is to be made. He is appointed by an order duly entered in the minutes. [For form, see Appendix, No. 79.] The order should be served on the person appointed, and after that he will represent the minor in the proceedings.

The law previous to the Revised Statutes provided "that in all cases where a petition shall be presented by any executors or administrators, for the sale of the whole or part of the real estate of their testator or intestate, and one or more of the devisees or heirs of such testator or intestate shall be infants, the judge of the Court of Probates, or the surrogate to whom the same may be presented, shall appoint some discreet and substantial freeholder a guardian of such infant or infants, for the sole purpose of appearing for and taking care of the interests of such infants in the proceedings therein."(*f*)

In a case, (*g*) where real estate had been sold under a surrogate's order, and it did not appear that a guardian had been appointed for the plaintiffs, who were heirs of the estate, and infants at the time of the proceedings before the surrogate, it was held that the sale was void as to them; and Mr. Justice Bronson said—

"The surrogate undoubtedly acquired jurisdiction of the *subject matter*, on the presentation of the petition and account; but that was not enough. It was also necessary that he should acquire jurisdiction over the *persons* to be affected by the sale. It is a cardinal principle in the administration of justice, that no man can be condemned or divested of his right, until he has had the opportunity of being heard. He must, either by serving process, publishing notice, appointing a guardian, or in some other way, be brought into court; and if judgment is rendered against him before that is done, the proceeding will be as utterly void as though the court had undertaken to act where the subject matter was not within its cognizance."(*h*) And he afterwards proceeds, "It is not only a general principle in the law, that courts must acquire jurisdiction over the persons to be affected by their judgments, but in relation to these sales the statute has specially pointed out the means, and imposed the duty, of bringing the proper parties before the court." And, after citing the above section of the Revised Laws of 1813, he continues: "This mode of bringing in the infant heirs was not pursued, and the plaintiffs have had no day in court. Without it they cannot be deprived of their inheritance." And he concludes—"The rule that there must be jurisdiction of the person, as well as the subject matter, has been steadily upheld by the courts; and it cannot be relaxed without opening a door to the greatest injustice and oppression." And Mr. Justice Gardiner, in a case arising under the same statute, declares that "public policy demands that the safeguards which the legislatures

(*f*) 1 R. L. 1813, 454, sec. 31.

(*g*) *Bloom v. Burdick*, 1 Hill, 139.

(*h*) *Borden v. Fitch*, 15 Johns. Rep. 121; *Bigelow v. Stearns*, 19 id. 39; *Mills v. Martin*, 19 id. 7.



have provided for the protection of the helpless against negligence, oppression, and fraud, should be maintained.”(i)

OF THE ORDER TO SHOW CAUSE WHY AUTHORITY SHOULD NOT BE GIVEN.

By the 5th section of the above mentioned title of the Revised Statutes,(j) if, upon such application to the surrogate, it shall appear that all the personal estate of the deceased applicable to the payment of debts has been applied to that purpose, and that there remain debts unpaid, for the satisfaction of which a sale may be made under the provisions of this title, he shall make an order directing all persons interested in the estate to appear before him, at a time and place therein to be specified, not less than six weeks, and not more than ten weeks from the time of making such order, to show cause why authority should not be given to the executors or administrators applying therefor, to mortgage, lease, or sell so much of the real estate of their testator or intestate as shall be necessary to pay such debts.

By the 41st section of the Law of 1837,(k) however, it is provided that the surrogate may, in his discretion, order such mortgage, lease, or sale to be made, although the whole of the personal property of the deceased which has come to the hands of the executor or administrator has not been applied to the payment of debts. But the surrogate, before making any such order, shall have satisfactory evidence that the executor or administrator has proceeded with reasonable diligence in converting the personal property of the deceased into money, and applying the same to the payment of debts.

This section is, by necessary implication, a repeal of so much of the above 5th section of the Revised Statutes as requires that, upon such application, it shall be made to appear to the surrogate, to authorize him to issue the order to show cause, that all the personal estate of the deceased applicable to the payment of his debts has been applied to that purpose, and that there remain debts unpaid, for the satisfaction of which a sale may be made under the provisions of the title, because now no such facts need be shown even to entitle the executor or administrator to an order for sale. The reader is referred to the above-mentioned opinion of the surrogate(l) for a discussion as to the recitals requisite to be made in the order to show cause. [For form of the order, see Appendix, No. 80.]

OF THE SERVICE AND PUBLICATION OF THE ORDER TO SHOW CAUSE.

The 6th and 7th sections of the above mentioned title of the Revised Statutes provide for the service and publication of the order to show cause. They are as follows:

Sec. 6. Every such order to show cause shall be published for four weeks in a newspaper printed in the county, and a copy thereof shall be served personally, on every person in the occupation of the premises of which a sale is desired, wherever the same may be situated, and

(i) *Schneider v. McFarland*, 2 Comst. 459; See, also, *Coxwin v. Merritt*, 3 Barb. Sup. Ct. Rep. 341.

(j) 2 R. S. 101; 3 R. S. (5th ed.) 187.

(k) S. L. 1837, 531; 2 R. S. 103; 3 R. S. (5th ed.) 189, 190, sec. 19.

(l) Law of Surrogates (1st ed.) Appendix, p. xii.

on the widow, and heirs and devisees of the deceased, residing in the county of the surrogate, at least fourteen days before the day therein appointed for showing cause.<sup>(m)</sup>

Sec. 7. If such personal service cannot be made, or if such widow, heirs, or devisees do not reside in such county, but reside in the state, then a copy of such order may be served personally forty days before the day of showing cause, or by publishing the same once in each week for four weeks in succession in the state paper. If such heirs or devisees do not reside within this state, or cannot be found therein, the order shall be published once in each week for six weeks successively in the state paper, or a copy thereof may be personally served on them at least forty days before the time appointed therein for showing cause.

With respect to the publication of the order to show cause, under the 23d section of the former statute, authorizing and regulating sales of real estate on orders of surrogates for the payment of the debts of deceased persons, which required the surrogate, on receiving from the executor or administrator the account mentioned in the section, to make an order directing all persons interested in the estate, on a day not less than six, nor more than ten, weeks distant, to show cause why the real estate should not be sold, and further provided for the publication of the order as follows—"which order shall immediately thereafter be published for four weeks successively in two or more of the public newspapers printed in this state, one of which shall be the paper, if any, published in the county" in which probate of the will or administration had been granted;<sup>(n)</sup> it was considered that the publication of an order requiring the parties to show cause on the 19th October in two newspapers, once in each week for four weeks, commencing in one on the 20th September, and in the other on the 26th September, was a publication in compliance with the statute.<sup>(o)</sup>

Where, under the present statute, the order to show cause was made on the 22d January, 1849, the time for showing cause being the 12th March, 1849, and as two of the heirs of the decedent were residents of the state of Ohio, it was necessary to publish the order six weeks in the state paper, or serve a copy thereof on them forty days prior to the time appointed for showing cause; and there was no such service of a copy of the order on the heirs resident in Ohio, and the proof of publication of the order in the state paper stated that the publication was made once in each week for six weeks, commencing on the 20th day of January, 1849, it was considered that the proof of publication in the state paper was palpably defective; that the order could not be published until it had been made by the surrogate; that what was published on the 20th January was not the order, as it did not then exist; and that the most the affidavit proved, or tended to prove, was a publication of the order for five weeks, when the statute required six weeks.<sup>(oo)</sup>

With respect to the service of the order, these sections are explicit in their provisions, and present no difficulties in practice.

<sup>(m)</sup> 2 R. S. 101; 3 R. S. (5th ed.) 188. See *Corwin v. Merritt*, 3 Barb. Sup. Ct. Rep. 341.

<sup>(n)</sup> R. L. 1813, p. 451.

<sup>(o)</sup> *Sheldon agst. Wright*, 1 Seld. 497.

<sup>(oo)</sup> *Sibley v. Waffle*, 16 N. Y. (2 Smith) Rep. 180.

The publication and service of the order to show cause are absolutely necessary to confer jurisdiction upon the surrogate to make the order of sale. The order to show cause is in the nature of first process to summon the parties, and is indispensable to enable the surrogate to get jurisdiction of the persons of the parties interested in the proceedings.<sup>(p)</sup> Unless the order is published, and all the parties are served with the order as prescribed by the statute, the surrogate is without jurisdiction, at any rate, as to the parties in respect to whom the defect or omission may have occurred.

#### OF THE HEARING ON THE RETURN OF THE ORDER TO SHOW CAUSE.

By section 8 of the same title, the surrogate, at the time and place appointed in the order, and at such other times and places as the hearing shall be adjourned to, upon due proof of the service and publication above required, shall proceed to hear and examine the allegations and proofs of the executors or administrators applying for such authority, and of all persons interested in the estate who shall think proper to oppose the application.<sup>(q)</sup>

By section 9 the executors or administrators may be examined on oath, and witnesses may be produced and examined by either party; and process to compel their attendance and testimony may be issued by the surrogate in the same manner and with the like effect as in cases of proving wills before him.<sup>(qq)</sup>

By section 10, on such hearing it shall be competent to any heir or devisee of the real estate in question, and to any person claiming under them, to show that the whole of the personal estate of the deceased has not been duly applied by the executors or administrators to the payment of his debts; to contest the validity and legality of any debts, demands, or claims which may be represented as existing against the testator or intestate; and to set up the Statute of Limitations in bar to such claims: and the admission of any such claim so barred by any executor or administrator shall not be deemed to revive the same so as in any way to affect the real estate of the deceased.

It is proper to observe that so much of this section as declares that it shall be competent to any heir or devisee of the real estate in question, and to any person claiming under them, to show that the whole of the personal estate of the deceased has not been duly applied by the executors or administrators to the payment of his debts, is evidently repealed by the provision of the 41st section of the Law of 1837, already quoted, authorizing the surrogate, in his discretion, to order a mortgage, lease, or sale of the lands to be made, although the whole of the personal property of the deceased which has come to the hands of the executor or administrator has not been applied to the payment of debts. It is, however, probably competent to the parties named to show, under the 41st section, that the executor or administrator has not proceeded with reasonable diligence in converting the personal property of the deceased into money, and applying the same to the payment of debts.

<sup>(p)</sup> *Corwin v. Merritt*, 3 Barb. Sup. Ct. Rep. 341; *Bloom v. Burenck*, 1 Hill, 139.

<sup>(q)</sup> 2 R. S. 101; 3 R. S. (5th ed.) 188.

<sup>(qq)</sup> See *ante*, pp. 157-8.

The widow of the decedent, after the assignment of her dower in lands of which her husband died seised, has no right to appear before the surrogate to show cause why the lands of which her husband died seised should not be sold for the payment of his debts. After assignment of her dower she is in possession of the seisin of her husband. Her title relates back to the time of the marriage, if the husband was then seised, and if not then seised, it relates back to the time when he became seised. By the assignment of dower the seisin of the heir is defeated *ab initio*, and the heir is not afterwards considered as ever having been seised. The 10th section of the statute above quoted makes it competent to any heir or devisee of the real estate in question, and to any person claiming under them, to show cause as therein provided. As the widow is not an heir, and does not claim under the heir, she is not entitled to appear or show cause under the statute.(r)

The objections of the heir or devisee, or person claiming under him, against the proceedings of the executor or administrator, or against the validity of any of the claims, should be stated in writing. The examination respecting the validity of the claims will be conducted before the surrogate, according to the same principles which govern similar proceedings in the common law courts. Independently of the provisions of the above recited 10th section of the statute, it has always been held that, in a proceeding before the surrogate to mortgage, lease, or sell the real estate of a deceased person, the heirs or devisees may make the same defence to the claims sought to be established, as they could before any other tribunal.(s)

By a clause of the 72d section of the Law of 1837,(t) as amended by the act of the 18th April, 1843,(u) where a judgment has been recovered or decree obtained against an executor or administrator for any debt due from the deceased, and there are not sufficient assets in the hands of such executor or administrator to satisfy the same, the debt for which the judgment or decree was obtained shall, notwithstanding the form of such judgment or decree, remain a debt against the estate of the deceased to the same extent as before, and to be established in the same manner as if no such judgment or decree had been obtained; provided, that where such judgment or decree has been obtained upon a trial or hearing upon the merits, the same shall be *prima facie* evidence of such debt before the surrogate.

Under this provision the record of the judgment, or an exemplified or sworn copy thereof, must be produced, in order that the surrogate, from an inspection thereof, may see that the existence of the debt was put in issue by the pleadings, and was passed upon by the jury, so as to constitute a trial of the cause upon the merits, within the meaning of the statute. And before the surrogate is authorized to make any order for the mortgaging, leasing or sale of the real property of the decedent, he must be satisfied by legal proof that the debts, for the purpose of satisfying which the application is made, are justly due and

(r) See *Lawrence v. Brown*, 1 Seld. 394; *Lawrence v. Miller*, 2 Comst. 245.

(s) *Ferguson v. Broome*, 1 Bradf. Surr. Rep. 10; *Warren v. Paff*, 4 id. 260; *Ball agst. Miller*, 17 How. Prac. Rep. 308.

(t) S. L. 1837, 536; 3 R. S. (5th ed.) 196.

(u) S. L. 1843, 229; 3 R. S. (5th ed.) 196.

owing from the testator or intestate, as against the owners of the real estate.(v)

Even where a judgment has been obtained against an executor or administrator, and there was a trial or hearing upon the merits, the judgment, on an application to sell the real estate, is, by the statute, only *prima facie* evidence of the debt. It does not change the nature or character of the debt. Where a judgment or decree against the executor or administrator has been obtained, otherwise than after a trial or hearing upon the merits, the debt remains a debt against the estate, to be established as against the real estate in the same manner as if the judgment or decree had not been obtained. In the case of a judgment or decree obtained against the executor or administrator after a trial or hearing on the merits, the judgment or decree is *prima facie* evidence of the debt; the requirement that the debt shall be established in the same manner as if the judgment or decree had not been obtained, is, in the first instance, dispensed with, but the previous provision that the debt remains a debt against the estate to the same extent as before, remains in full force. The debt is established *prima facie* by the production of the record showing a trial or hearing on the merits, but then it is the debt which is established, and not the judgment. The heirs are not liable for the costs of the judgment.(w) The judgment is not the thing claimed; it does not constitute the debt against the estate of the deceased; it is only *evidence* of the debt—the means provided by statute, in a special case, of proving the debt. The only effect of the production of the record is to establish, *prima facie*, that at the date of the judgment a debt existed against the estate of the deceased to the extent of the amount mentioned in the judgment. The record shows the character of the debt, whether it was a promissory note or otherwise; it also liquidates the amount due on it, but, as against the heir, it does not change the debt into a judgment; on the contrary, the same law which permits the record to be used as evidence, declares, in the same breath, that “notwithstanding the *form* of such judgment,” the debt for which it was obtained “shall remain a debt against the estate of the deceased to the same extent as before.”(x)

The operation of this construction upon the Statute of Limitations must, in many cases, materially affect the rights of parties. The heir has always had the right to set up the Statute of Limitations in bar to such claims, and the admission of the executor or administrator has not been deemed to revive debts barred by the statute so as in any way to affect the real estate.(y) The privilege is expressly secured to the heir by the provisions of the above quoted 10th section of the statute.(z) If,

(v) *Baker v. Kingsland*, 10 Paige, 368. For a discussion as to the proof of the debts necessary to be taken on the return of the order to show cause, see the opinion of the surrogate “*In the Matter of the real estate of Isaac Lawrence, deceased*,” above mentioned. Law of Surrogates (1st ed.) Appendix, p. xii.

(w) *Wood v. Byington*, 2 Barb. Ch. Rep. 387; *Sanford v. Granger*, 12 Barb. Sup. Ct. Rep. 392.

(x) *Ferguson v. Broome*, 1 Bradf. Surr. Rep. 16; *Sanford v. Granger*, 12 Barb. Sup. Ct. Rep. 392.

(y) *Mooers v. White*, 6 Johns. Ch. Rep. 378.

(z) See *Renwick v. Renwick*, 1 Bradf. Surr. Rep. 234; *Farrington v. King*, Id. 182; *Skidmore v. Romaine*, 2 id. 122.



then, by the recovery of a judgment against the executor or administrator, the debt is converted from the form of a promise to that of a judgment, a twenty years' limitation would take the place of the limitation of six years. "This," says Mr. Surrogate Bradford in *Ferguson v. Broome*,<sup>(a)</sup> "would be a great inroad upon the rights of those who are entitled to the real estate. It is quite enough," continues the learned surrogate, "to follow the statute in admitting the judgment as *prima facie* evidence of the debt. To make the debt substantially a judgment against the heirs or devisees, and to clothe it with all the attributes of a judgment, instead of leaving it where it was, a simple contract debt against the estate of the deceased, would be a clear transgression of the very rule the legislature has laid down, that the debt shall remain a debt to the same extent as before. \* \* \* There is no difficulty in fixing the time from which the statute begins to run. The rule is the same in the present instance as in other cases of simple contract debts."

Accordingly, in the case quoted, where the creditor of the deceased obtained a judgment upon a simple contract debt against the administrator, on the 30th December, 1841, and proceedings to procure an order to mortgage, lease, or sell the real estate of the testator for the payment of his debts were not commenced until the 28th March, 1848, the surrogate considered that the Statute of Limitations was a bar to the claim, and a valid ground of contest on the part of the heirs.<sup>(b)</sup>

And where an administrator has advanced out of his own funds moneys for the payment of the simple contract debts of the decedent over and above the amount of the assets which have come into his hands, a decree of the surrogate, on a final account adjusting and settling his accounts as administrator, and declaring that a certain specified sum is due the administrator from the assets of the decedent, will not take the claim out of the Statute of Limitations, and has no more force or vitality to enable the administrator to maintain proceedings to recover such advances out of the real estate of the decedent, than if the claim existed in its original shape as a simple contract debt against the intestate.<sup>(c)</sup>

And a payment by one of several heirs on account of a claim against the decedent, will not take the claim out of the Statute of Limitations, so that the same may be prosecuted against the real estate. Heirs cannot be thus permitted to re-establish and resuscitate a demand already dormant and dead by the operation of the statute, to the prejudice of creditors against whose demands no objection on the ground of the statute applies, and whose demands exhaust the entire fund.<sup>(d)</sup>

In *Farrington v. King*,<sup>(e)</sup> in a proceeding before the surrogate to sell the real estate of the decedent for the payment of his debts, upon the question whether the debts claimed were barred by the Statute of Limitations, it was held that the statute ceases running on the institution of the proceedings or the return of the order to show cause.

An application for the sale of real estate for the payment of debts is not, in a strict sense, an action. As the term of eighteen months after the death of a testator or intestate forms no part of the time limited by

(a) 1 Bradf. Surr. Rep. 10.

(c) *Ball agst. Miller*, 17 How. Prac. Rep. 300.

(d) *Ball agst. Miller*, 17 How. Prac. Rep. 300, 309.

(b) 1 Bradf. Surr. Rep. 20.

(e) 1 Bradf. Surr. Rep. 182.

law for the commencement of an action against his executors or administrators,<sup>(f)</sup> and as an action for the debts of the deceased cannot be brought against his heirs or devisees within three years from the time of granting letters,<sup>(g)</sup> and proceedings to compel the sale of the real estate for the payment of debts cannot be instituted by a creditor until the executor or administrator has accounted, and an account cannot be compelled till the lapse of eighteen months after letters issued, the running of the statute is suspended, as respects claims against the real estate of the decedent, for the period of such eighteen months after probate, during which, though the estate is represented, the statute forbids proceedings on the part of a creditor to compel a sale of the real estate for the payment of the debts of the deceased.<sup>(h)</sup> Accordingly, where, on the decease of the testator, the Statute of Limitations had commenced to run against certain simple contract debts, and, in consequence of a contest as to the probate, letters testamentary were not granted until five years after, and the six years from the creation of the debt expired after letters were issued, but before the creditors could compel an account, it was held that the claims were not barred by the Statute of Limitations.<sup>(i)</sup>

Where a creditor has sued the executors, and, on their offer to permit a recovery for a certain sum, has taken judgment for that amount, the claim is liquidated, and he cannot recover a larger sum in a proceeding to sell the real estate of the deceased for the payment of his debts. The personal estate is the primary fund for the payment of debts, and the measure of recovery against the realty cannot exceed that against the personalty, though it may be less.<sup>(j)</sup>

In proceedings to sell the real estate for the payment of debts, it is competent for the heirs or devisees to show that the personal estate has not been applied to the payment of the debts; but the sale may be ordered by the surrogate, if he has satisfactory evidence that the executor or administrator has proceeded with reasonable diligence in making such application.<sup>(k)</sup> However, it is discretionary with the surrogate to refuse the order for the sale, when the executor has personal property on hand undisposed of.<sup>(l)</sup>

The authority to sell the real estate is limited to the case where the debts existed against the decedent in his lifetime. Funeral expenses, and the expenses of the administration, are properly payable out of the personal property of the estate. And it is a salutary protection against improvident expenditures in the course of the administration of an estate, that claims of this character should not be chargeable on the real estate. The personal representative, having the personal assets in his hands and knowing their amount, can always guard against a loss to himself arising from such a quarter. He should retain sufficient of the personal estate in his hands to pay the expenses of the administration. If he omit to do so, he must himself bear the loss. He can-

(f) See *ante*, pp. 345, 364.

(g) See 2 R. S. 109; 3 R. S. (5th ed.) 197.

(h) *Skidmore v. Romaine*, 2 Bradf. Surr. Rep. 122. See, also, *Farrington v. King*, 1 id. 182.

(i) *Skidmore v. Romaine*, *supra*.

(j) *Id.*

(k) *Skidmore v. Romaine*, *supra*.

(l) *Moore v. Moore*, 14 Barb. Sup. Ct. Rep. 27.

not be reimbursed out of the real estate of the decedent. The debts which are to be satisfied out of the decedent's real estate, are debts of the decedent himself, and not those which arise against his estate subsequent to his death, and in the course of administration.(m)

But where the administrator has paid, out of his own funds, debts of the decedent exceeding the amount of the personal property of the estate which has come into his hands, he is entitled to be regarded as the equitable assignee of the claims so paid, and to be subrogated to the rights of those creditors whose claims he has paid. There is no obligation on the part of the administrator to make payments beyond the personal assets in his hands, and if he make them it will be deemed to have been done for the convenience of the creditor or for the benefit of the estate, and not to his own prejudice. To the extent, therefore, that the administrator applies his own moneys or those of the estate upon the claims of creditors of the deceased, he will be regarded as the equitable assignee of those demands, and entitled to have them satisfied out of the proceeds of the real estate in the same manner as the original creditors.(n)

But an administrator has no right to volunteer to pay debts which are barred by the Statute of Limitation, and cannot legally be enforced against him, or against the estate of the heirs at law, when the administrator has no assets in his hands. And if he do so, he acts in his own wrong, and will be held to bear the loss himself. And the surrogate is not authorized to make an order for the sale of the real estate of the decedent, to reimburse the administrator for the amount of the debts thus paid.(o)

Nor does the statute authorize the surrogate to direct the sale of real estate of a deceased debtor, to pay costs which had not been awarded to the creditor, against the decedent, at the time of the death of the latter.(p)

If a portion only of the heirs object to certain demands, and the objection is sustained, the entire claim must be rejected, and the surrogate cannot reject only such part of the demand as would be the proportion falling on the share of the heirs objecting.(q)

By the 11th section of the above mentioned title of the Revised Statutes,(r) if, upon such hearing—the hearing before the surrogate upon the order to show cause,—any question of fact shall arise which, in the opinion of the surrogate, cannot be satisfactorily determined without a trial by jury, he shall have authority to award a feigned issue, to be made up in such form as to present the question in dispute, and to order the same to be tried at the next Circuit Court to be held in such county. New trials may be granted therein by the Supreme Court, as in personal actions pending in that court. The final determination of such issue shall be conclusive as to the facts therein controverted in the proceedings before the surrogate.(s)

(m) See *Ball* agst. *Miller*, 17 How. Prac. Rep. 300, 304. See, also, *Fitch v. Whitbeck*, 2 Barb. Ch. Rep. 161.

(n) *Ball* agst. *Miller*, 17 How. Prac. Rep. 300, and cases cited; *Kirt. Surr.* 336.

(o) *Gilchrist v. Rea*, 9 Paige, 66.

(p) *Wood v. Byington*, 2 Barb. Ch. Rep. 387.

(q) *Renwick v. Renwick*, 1 Bradf. Surr. Rep. 234.

(r) 2 R. S. 102; 3 R. S. (5th ed.) 189.

(s) See *Campbell v. Renwick*, 2 Bradf. S. R. 80.

By the 72d section of the Code of Procedure, feigned issues are abolished; and, instead thereof, in the cases where the power previously existed to order a feigned issue, or where a question of fact not put in issue by the pleadings is to be tried by a jury, an order for the trial may be made, stating distinctly and plainly the question of fact to be tried; and such order shall be the only authority necessary for a trial.

By the 12th section of the title, the costs of such issue shall be paid by the party failing, on the order of the surrogate, and such payment may be enforced by him in the same manner as other orders and decrees.

#### OF ENTERING THE DEMANDS ADJUDGED VALID.

By the 13th section, the demands which the surrogate shall, upon such hearing, adjudge valid and subsisting against the estate of the deceased, or which shall have been determined to be valid on the trial of such issue, or which shall have been recovered against the executors or administrators, by a judgment of a court of law, upon a trial on the merits, shall be by him entered in a book of his proceedings, fully and at large, and the vouchers supporting the same shall be filed in his office. [For form of the entry, see Appendix, No. 81.]

Where an order has been made for the sale of the real estate, and the surrogate has neglected to enter in his book the demands which, upon the hearing, he has adjudged to be valid and subsisting against the estate, the error can be corrected afterwards by directing such an entry *nunc pro tunc*.<sup>(t)</sup>

It may properly be observed that the entry by the surrogate in proceedings for the sale of the real estate of a deceased person, on the application of his personal representatives, for the payment of his debts, of an amount due to the holder of a promissory note, without mentioning the note, and a subsequent order by the surrogate for the distribution of the avails of the estate among the creditors, allowing the plaintiff a dividend on his claim, will not take the promissory note out of the Statute of Limitations.<sup>(u)</sup>

#### OF THE ORDER TO MORTGAGE, LEASE, OR SELL.

By sec. 14, the surrogate shall make no order for the mortgaging, leasing, or sale of the real property of the deceased until, upon due examination, he shall be satisfied:

1. That the executors or administrators making such application have fully complied with the preceding provisions of this title;

2. That the debts, for the purpose of satisfying which the application is made, are justly due and owing, and that they are not secured by judgment or mortgage upon, or expressly charged on, the real estate of the deceased; or, if such debts be secured by a mortgage or charge on a portion of such estate, then that the remedies of the creditor, by virtue of such mortgage or charge, have been exhausted;

3. That the personal estate of the deceased is insufficient for the payment of such debts; and that the whole of such estate, which could

<sup>(t)</sup> *Farrington v. King*, 1 Bradf. Surr. Rep. 182.

<sup>(u)</sup> *Arnold v. Downing*, 11 Barb. Sup. Ct. Rep. 554.

have been applied to the payment of the debts of the deceased, has been duly applied for that purpose.

So much of the last subdivision of this section as requires that the surrogate should be satisfied that the whole of the personal estate, which could have been applied to the payment of the debts of the deceased, has been duly applied for that purpose, is clearly repealed by the 41st section of the Law of 1837,<sup>(v)</sup> which, it is proper here to repeat, declares that the surrogate may, in his discretion, order such mortgage, lease, or sale to be made, although the whole of the personal property of the deceased which has come to the hands of the executor or administrator has not been applied to the payment of debts; but the surrogate, before making any such order, shall have satisfactory evidence that the executor or administrator has proceeded with reasonable diligence in converting the personal property of the deceased into money, and applying the same to the payment of debts.

**OF DETERMINING WHETHER PROPERTY SHALL BE MORTGAGED, LEASED, OR SOLD, AND OF A MORTGAGE OR LEASE OF THE PROPERTY.**

By the 15th section of the above mentioned title of the Revised Statutes, the surrogate, when so satisfied relative to the matters specified in the 14th section, except as to the application of the whole of the personal estate to the payment of the debts, and having satisfactory evidence, according to the 41st section of the Law of 1837, shall, in the first place, inquire and ascertain whether sufficient moneys for the payment of such debts can be raised by mortgaging or leasing the real property of the deceased, or any part thereof; and if it shall appear that such moneys can be so raised advantageously to the interest of such estate, he shall direct such mortgage or lease to be made for that purpose.<sup>(w)</sup>

By section 16 of the same title, no such lease shall be for a longer time than until the youngest person interested in the real estate leased shall become twenty-one years of age.<sup>(x)</sup>

By section 17, a lease or mortgage executed under the authority of the surrogate, as aforesaid, shall be as valid and effectual as if executed by the testator or intestate immediately previous to his death.

It is for the heirs or devisees, or persons claiming under them, to show, if they think fit, that sufficient moneys for the payment of the debts can be raised by mortgaging or leasing the premises. The executor or administrator, however, may properly give such information as he may possess, to enable the surrogate to decide in which way the requisite sum shall be raised. A statement on this point, as has been suggested, may be embraced in the executor or administrator's petition at the commencement of the proceedings.

If the surrogate decide that a sufficient sum for the payment of the debts can be raised by mortgage or lease, an order to that effect must be made and entered in his minutes. Such order should recite concisely, but distinctly in detail, the previous proceedings in the matter, and the observance of all the requirements of the statute, and should set forth the giving of the proper bond, conditioned for the faithful ap-

<sup>(v)</sup> S. L. 1837, 531; 3 R. S. (5th ed.) 189, 190; *Ante*, p. 587, and note (l) p. 588.

<sup>(w)</sup> 2 R. S. 102; 3 R. S. (5th ed.) 189.

<sup>(x)</sup> 2 R. S. 103; 3 R. S. (5th ed.) 190.



plication of the moneys arising from such mortgage or lease, according to the provisions of the statute presently to be quoted. It should state that the surrogate has been satisfied, upon due examination, as to the matters specified in the 14th section above quoted of the Revised Statutes, and in the 41st section of the Law of 1837, and that it has appeared that the requisite moneys can be raised by a mortgage or lease of the lands, as the case may be, and should direct the execution of the proper instrument for the purpose. [For form of the order, see Appendix, No. 83.]

Under the statute previous to the Revised Statutes, where an order authorizing the administrators to sell the real estate of the intestate had been made, and subsequently an order had been made authorizing them to lease the same real estate, and after the last mentioned order the administrators had made a sale of the property, it was considered that there was no impropriety in authorizing the administrators, in their discretion, either to lease, mortgage, or sell the property, as they might find it could be most advantageously disposed of; that the order authorizing the lease was not a revocation of the order authorizing the sale, and that they might stand well together; and the conveyance under the order for the sale was held valid. And although the administrators, under the order authorizing the lease, leased the property and raised money thereon to an amount sufficient for the payment of the debts, that, it was held, would not be admitted to defeat the title of the purchaser under the sale. The purchaser is not bound to see that the money raised by the sale is faithfully applied. The surrogate having legally acquired jurisdiction, and the order for the sale standing unrevoked and unreversed, a conveyance under it will be sustained, and any abuse by the administrators of the powers vested in them is of no consequence, so far as the validity of the title under the order of sale is concerned.(y)

The money raised by a mortgage or lease of the real estate is received and retained by the executor or administrator, or by the person appointed in his place, pursuant to the provisions of the statute presently to be quoted. And the executor or administrator, or such person, applies the money to the payment of the debts of the deceased, and is liable to account for the same before the surrogate, under another provision of the statute, which also will presently be quoted.

Whether an administrator authorized by a surrogate to *mortgage lands* of the intestate, can legally include a *power of sale* in such mortgage, and whether a foreclosure under such power is valid, so as to bar a redemption of the premises, is perhaps doubtful; but at all events, where the mortgage has been foreclosed under such power, and the *mortgagee* has entered into possession, an action of *ejectment* will not lie against him by the heirs of the intestate.(z)

#### OF THE ORDER FOR SALE.

By the 18th section of the above mentioned title of the Revised Statutes, if it shall appear to the surrogate that the moneys required cannot be raised by mortgage or lease advantageously to the estate, he shall, from time to time, order a sale of so much of the real estate,

(y) *Jackson v. Irwin*, 10 Wend. 441.

(z) *Fox v. Lips*, 24 Wend. 164.

whereof the testator or intestate died seised, as shall be sufficient to pay the debts which the surrogate shall have entered in his books as valid and subsisting.(a)

By section 19, if such real estate consist of houses or lots, or of a farm so situated that a part thereof cannot be sold without manifest prejudice to the heirs or devisees, then the whole or a part thereof, although more than may be necessary to pay such debts, may be ordered to be sold; and if a sale of the whole real estate shall appear necessary to pay such debts, it may be ordered accordingly.

By section 20, the order shall specify the lands to be sold, and the surrogate may therein direct the order in which several tracts, lots, or pieces shall be sold. If it appear that any part of such real estate has been devised, and not charged in such devise with the payment of debts, the surrogate shall order that the part descended to heirs be sold before that so devised; and if it appear that any lands devised or descended have been sold by the heirs or devisees, then the lands remaining in their hands unsold shall be ordered to be first sold; and in no case shall land devised, expressly charged with the payment of debts, be sold under any order of a surrogate.

After hearing the parties and passing upon the debts claimed, the surrogate, if satisfied that all the provisions of the statute have been complied with, may, from time to time, order a sale of so much of the real estate as shall be necessary to pay the debts; and if the first sale ordered has fallen through, or has produced an insufficient amount, he may make other orders, from time to time, till all the debts are paid, and all the real estate necessary for that purpose is exhausted.(b)

If the administrator make a voluntary application for the sale of the real estate, all the parties be brought in, a hearing had, the debts proved, and an order of sale made, the administrator cannot, at his option, discontinue the proceeding; but the creditors may insist upon its further prosecution, and apply, as may be necessary, for reviving or speeding the proceedings.(b)

Where an heir has conveyed a part of the real estate descended to him, leaving the debts of the decedent unpaid, it seems the surrogate may direct the lands still belonging to the heir to be first sold for the payment of such debts, so as to protect the equitable rights of the purchaser from such heir.(c)

The statute does not authorize the sale of the widow's estate in dower, where dower has been assigned to her.(d)

The order for sale should contain recitals as to the previous proceedings,(e) the observance of the provisions of the statute, and the proof required by the 14th section of the Revised Statutes and the 41st section of the Law of 1837, similar to those above directed for the order to mortgage or lease,(f) and should also set forth that it had been made

(a) 2 R. S. 103; 3 R. S. (5th ed.) 190.

(b) *Farrington v. King*, 1 Bradf. S. R. 182.

(c) *Eddy v. Traver*, 6 Paige, 521..

(d) *Lawrence v. Miller*, 2 Comst. 245. See, also, *Lawrence agst. Brown*, 1 Seld. 394.

(e) In *Atkins v. Kinnan*, (20 Wend. 251,) Cowen, J., says, "The recital of the previous proceedings was also very well; and in practice, we believe, usually makes a part of the order of sale."

(f) For a discussion as to the recitals which should be made in the order for sale, see the

to appear to the surrogate that the moneys required could not be raised by mortgage or lease advantageously to the estate, and the giving of the proper bond for the payment or delivery to the surrogate of the proceeds of the sale, pursuant to the provisions of the statute presently to be stated. It should specify the lands to be sold, and include the necessary directions as to the sale of a part or the whole of the real estate of the decedent, and as to the order in which the different portions shall be sold, prescribed by the above quoted 19th and 20th sections of the statute. It may also direct the credit to be given on the sale, pursuant to the 28th section of the statute hereafter to be quoted. If the creditors wish to have the property sold on credit, the most proper course is to suggest it to the surrogate at the time of making the order, so that he may inquire into the situation of the property, and the claims of the various creditors, and give the proper directions.<sup>(g)</sup> And it is submitted that a day may properly be appointed by the order, with reference to the time requisite for making the sale, on which the executor or administrator shall bring in his report of the sale, to be examined by the surrogate and confirmed or vacated by him, pursuant to a subsequent provision of the statute which will presently appear, and that the matter should be adjourned to that day, so that the parties in interest may be present, if they choose, at such examination. [For form of the order, see Appendix, No. 85.]

A surrogate's order for the sale of real estate for the payment of debts, cannot ordinarily be impeached collaterally, even for fraud. If the surrogate obtains jurisdiction by the presentment of an account of the estate and debts of the deceased, his adjudication that the personal estate is insufficient for the payment of debts, followed by an order of sale, is conclusive in any collateral proceeding. In deciding whether there is personal property sufficient to pay the debts, he acts judicially; and if he should decide erroneously in respect to it, or should make a mistake as to any other matter submitted to his examination and decision, it will not affect his jurisdiction. The proceedings would not on that account be void, but voidable only. Such adjudication is examinable only on appeal, or by a proceeding in the nature of an appeal.<sup>(h)</sup>

And under the statute previous to the Revised Statutes, as has already appeared,<sup>(i)</sup> it was held that a conveyance of the real estate of the intestate, under an order of sale, was valid, although, subsequently to the order and previously to the sale, the surrogate had made an order authorizing the administrators to lease the same real estate.<sup>(j)</sup>

The statute, it will be observed, provides that the surrogate shall, from time to time, order a sale of so much of the real estate as shall be sufficient to pay the debts. Thus, if a sale of a portion of the real estate

above mentioned opinion of the surrogate in the "*Matter of the real estate of Isaac Lawrence*," *Law of Surrogates*, (1st ed.) Appendix, p. xii.

<sup>(g)</sup> *Maples v. Howe*, 3 Barb. Ch. Rep. 611.

<sup>(h)</sup> *Atkins v. Kinnan*, 20 Wend. 241; *Jackson v. Robinson*, 4 id. 436; *Jackson v. Crawford*, 12 id. 533. Parol evidence is admissible to show the regularity of proceedings before a surrogate for the sale of the real estate of an intestate, where it appears that the records and papers of the surrogate during the time that the proceedings were had, were not properly kept, and the presumption is thus raised that papers of his office may have been lost. 12 Wend. 533.

<sup>(i)</sup> *Ante*, p. 605.

<sup>(j)</sup> *Jackson v. Irwin*, 10 Wend. 441.

of the decedent will produce the amount requisite to pay the debts, so much only will be ordered to be sold. But, in case the first sale shall fall through by reason of a defect in the title of the decedent to the property ordered to be sold, or the avails of the property included in the first order shall be insufficient to satisfy the debts, further orders may be made for the sale of other property, until the debts shall be paid, or the real estate of the decedent exhausted.<sup>(k)</sup> In case a second order shall be made, it should contain a recital of the former order and of the proceedings had under it, and the necessity for a sale of additional real estate of the decedent, and should authorize and direct such further sale. And in other particulars the order should be similar in form to the first order.

Under the law, as it stood previously to the Revised Statutes, where a surrogate had made an order for the sale of a part of the real estate of the decedent, for the payment of the debts of the decedent which had then been ascertained, it was held that he was not authorized to make another order to sell another portion of the estate to pay debts alleged to have been subsequently discovered, until the executor making the application had made out and filed an account of such new debts, and had complied with the other provisions of the statute as on an original application.<sup>(l)</sup> And without doubt the principle of this decision applies to proceedings under the statute under consideration.

It should seem, however, that where the surrogate, on the hearing, has adjudged that the sale of the whole of the real estate of the deceased was necessary for the payment of his debts, and ordered the whole to be sold, but the boundaries mentioned in the order failed to embrace the whole, the surrogate has authority, without any new order to show cause, when the fact of the omission has been proved before him, to make a further order of sale, embracing the part omitted in the first order.<sup>(m)</sup>

OF THE SECURITY TO BE GIVEN BY AN EXECUTOR OR ADMINISTRATOR,  
OR A PERSON APPOINTED IN HIS PLACE, ON OBTAINING AN ORDER  
TO MORTGAGE, LEASE, OR SELL.

The following sections of the statute provide for security to be taken of the executor or administrator by the surrogate, before granting an order for the mortgaging or leasing, or for the sale of the real estate, and also for the appointment of a proper person to execute the mortgage or lease, or to make the sale, in case the executor or administrator neglect or refuse to give the required security, and for taking security of such person:

Sec. 21. Before granting any order for the mortgaging or leasing any real estate, the surrogate shall require, from the executor or administrator applying for the same, a bond to the people of this state, with sufficient sureties, to be approved by the surrogate, in a penalty double the amount to be raised by such mortgage or lease, conditioned for the faithful application of the moneys arising from such mortgage or lease to the payment of the debts established before the surrogate, on grant-

(k) See *Farrington v. King*, 1 Bradf. Surr. Rep. 182, 188.

(l) *Gilchrist v. Rea*, 9 Paige, 66.

(m) *Sheldon agst. Wright*, 1 Seld. 497.

ing the order, and for the accounting for such moneys, whenever required by such surrogate, or by any court of competent authority.<sup>(n)</sup>

Sec. 22. Before granting any order for the sale of any real estate, the surrogate shall require a bond in like manner, and with sureties as above directed, in a penalty double the value of the real estate ordered to be sold, conditioned that such executors or administrators will pay all the moneys arising from such sale, after deducting the expenses thereof, and will deliver all securities taken by them on such sale to the surrogate within twenty days after the same shall have been received and taken by them.<sup>(o)</sup>

Sec. 23. In case of the refusal or neglect of the executors or administrators applying for such order to execute, within a reasonable time, any bond required by the two last sections, the surrogate shall appoint a disinterested freeholder to execute such mortgage or lease, or to make such sales, who shall execute a bond similar in all respects to that required of the executors or administrators, in whose place he shall be appointed; and, in making such appointment, he shall give preference to any person who shall have been nominated by the creditors of the deceased.

Sec. 24. Upon executing and filing with the surrogate such bond, the surrogate shall order the mortgage, lease, or sale to be made by the person so appointed, who shall possess all the power and authority by this title conferred on executors and administrators in relation to the mortgaging, leasing, or sale of the real estate of the deceased, mentioned in the order of the surrogate, and shall, in like manner, be liable to account for his proceedings, and may, in the same manner, be compelled to satisfy debts, to pay over moneys, and to deliver securities.

[For forms of the bonds under these provisions, see Appendix, Nos. 82 and 84.]<sup>(p)</sup>

In case the executor or administrator neglect or refuse to execute the required bond, and the creditors of the deceased agree upon a person to be nominated to execute the mortgage or lease, or to make the sale, they should state such agreement, and nominate such person to the surrogate, in writing.

An order for the appointment of the person should be made, and entered in the surrogate's minutes.

#### OF THE NOTICE OF SALE.

By section 25, whenever a sale is ordered, notice of the time and place of holding the same should be posted for six weeks at three of the most public places in the town or ward where the sale shall be had, and shall be published in a newspaper, if there be one printed in the same county, and if there be none, then in the state paper for six weeks successively; in which notice the lands and tenements to be sold shall be described with common certainty, by setting forth the number of the lots, and the name or number of the township or towns

<sup>(n)</sup> 2 R. S. 103; 3 R. S. (5th ed.) 190.

<sup>(o)</sup> 2 R. S. 104; 3 R. S. (5th ed.) 190-1.

<sup>(p)</sup> Under a former statute, the filing of a bond by the administrator, faithfully to apply the moneys to be raised by the mortgage, seven days after the execution of the mortgage, was held to be a sufficient compliance with the law requiring such bond to be filed. *Fox v. Lipe*, 24 Wend. 164.



in which they are situated. If the premises cannot be so described, they shall be described in some other appropriate manner; and in all cases the improvements thereon, if any, shall be stated.(q)

These directions should be strictly followed, and the terms and conditions of the sale may also properly be included in the notice, although this is not expressly required by the statute.(r)

#### REGULATIONS OF THE SALE.

Upon judicial sales of real estate, it is the duty of the officer conducting them to sell the property in such parcels as will be best calculated to produce the highest aggregate price. A sale made by an administrator under the order of a surrogate is within this rule, and he may sell in subdivisions, although the order of sale describes the property as one parcel.(s)

And the order of sale will be good, and a conveyance under it, after confirmation of the sale, will be valid, although it authorize the sale of more land than will be requisite for the payment of the debts; and there be not any direction to sell in parcels. If the order be erroneous in either of these particulars, the parties in interest have their remedy by appeal. The title under the sale cannot be impeached upon such an objection.(t)

By section 26, such sales shall be in the county where the premises are situated, at public vendue, between the hour of nine in the morning and the setting of the sun of the same day.(u)

By section 27, the executors or administrators making the sale, and the guardians of any minor heirs of the deceased, shall not, directly or indirectly, purchase or be interested in the purchase of any part of the real estate so sold. All sales made contrary to the provisions of this section shall be void; but this section shall not prohibit any such purchase by a guardian for the benefit of his ward.(v)

This provision is not limited, in its application, to the special guardian of the minor appointed to take care of his interests in the proceeding, but extends to prohibit the testamentary guardian of the infant heir or devisee from becoming a purchaser on the sale.(w)

By section 28, on such sales, the executors or administrators may give such length of credit, not exceeding three years, for not more than three-fourths of the purchase-money, as shall seem best calculated to produce the highest price, and shall have been directed, or shall be approved, by the surrogate; and shall secure the moneys for which

(q) 2 R. S. 104; 3 R. S. (5th ed.) 191.

(r) See "*In the Matter of the real estate of Isaac Lawrence, deceased*," Law of Surrogates, (1st ed.) Appendix, xii.

(s) See *Delaplaine v. Lawrence*, 3 Comst. 301; *Jackson v. Irwin*, 10 Wend. 441, 446.

(t) See *Jackson v. Irwin*, 10 Wend. 441, 446.

(u) 2 R. S. 104; 3 R. S. (5th ed.) 191.

(v) 2 R. S. 104; 3 R. S. (5th ed.) 191. The revisers accompanied their original report of this section with the following note: "The general principle, that a trustee shall not purchase the trust property, extended so as to make the purchase absolutely void." 3 R. and or. S., App. 647. See *Bostwick v. Atkins*, 3 Comst. 53; *Conger v. King*, 11 Barb. Sup. Ct. Rep. 356.

(w) *Bostwick v. Atkins*, 3 Comst. 53. See, also, *Lefevre v. Laraway*, 22 Barb. Sup. Ct. Rep. 168.

credit is given, by a bond of the purchaser, and by a mortgage on the premises sold.

Where the surrogate's order for the sale does not direct a sale upon credit, the administrator should sell for cash, unless all the creditors consent to a sale upon credit.(x)

And it is the duty of the executor or administrator conducting the sale to sell the property in such parcels as will be best calculated to produce the highest aggregate price; and he may sell in subdivisions, although the order of sale describes the real estate as one parcel.(y)

#### OF VACATING OR CONFIRMING SALE.

The following sections of the statute provide for vacating, and also for confirming the sale:

Sec. 29. The executors or administrators shall immediately make a return of their proceedings, upon such order of sale, to the surrogate granting the same, who shall examine the proceedings, and may also examine such executors or administrators, or any other person on oath, touching the same; and if he shall be of opinion that the proceedings were unfair, or that the sum bid is disproportionate to the value, and that a sum exceeding such bid at least ten per cent, exclusive of the expenses of a new sale, may be obtained, he shall vacate such sale, and direct that another be had; of which notice shall be given, and the sale shall be in all respects conducted as the sale on the first order.(z)

Sec. 30. If it shall appear to the surrogate that such sale was legally made and fairly conducted, and that the sum bid was not disproportionate to the value of the property sold, or, if disproportionate, that a greater sum as above specified cannot be obtained, he shall make an order confirming such sale, and directing conveyances to be executed.

[For form of the executor or administrator's report of the sale, see Appendix, No. 86.]

An order for the confirmation of the sale must be made and entered by the surrogate in his minutes previously to the conveyance to the purchaser, otherwise the sale will be void. And so it was held where there was an omission to obtain and enter the order confirming the sale, although it was offered to be proved in the case that the sale was *bona fide*, that a full and fair price was paid by the purchaser, that the proceeds were applied to the payment of the debts of the intestate, and that the sale was in fact *duly confirmed* notwithstanding the omission to enter the order.(a)

When jurisdiction has been obtained of the subject matter, and of the parties in interest, and the surrogate has made an order for the sale of the property, it will be presumed that he had sufficient evidence of the facts necessary to be ascertained, before making such judicial determination. And after jurisdiction is obtained, errors or irregularities in its exercise cannot be impeached collaterally, but only on appeal.(b)

(x) *Maples v. Howe*, 3 Barb. Ch. Rep. 611.

(y) 2 R. S. 105; 3 R. S. (5th ed.) 191.

(z) *Farrington v. King*, 1 Bradf. Surr. Rep. 182.

(y) *Delaplaine v. Lawrence*, 3 Comst. 301.

(a) *Rea v. M'Eacheron*, 13 Wend. 465.

But when it appears, on the application to confirm the sale, that the surrogate ought not to have entertained the application originally, and had no jurisdiction to make the order of sale, he not only has authority, but it is his duty, it would seem, to refuse to confirm the sale.(c)

Previously to the act of 1850, "for the protection of purchasers of real estate upon sales by order of surrogates,"(d) referred to in the first chapter of this publication,(e) and presently to be recited at length,(f) the *onus* of proving that the surrogate had jurisdiction of the subject matter, and of the persons interested in the property, was upon those claiming title through the proceedings and the sale. But since the act of 1850, the *onus probandi* is upon those who claim in opposition to a sale had under an order of the surrogate, to show that no guardians were appointed by the surrogate for infant heirs or owners, or that the defect in the jurisdiction or proceedings which constitutes the ground of objection actually exists.(g)

The statute gives no direction as to the notification of any person interested in the estate, or in the confirmation of the sale, to attend the surrogate upon the return of the proceedings by the executor or administrator. But it is evident that the legislature contemplated that there might be litigation before the surrogate upon the question as to the propriety of a confirmation.(h) And if the proceeding has been duly adjourned by the order of sale, from the day of such order to the day of the coming in of the report of the sale, the heirs and devisees, and other parties then before the surrogate, will doubtless be held to have had sufficient notice of the proceedings for confirmation. Perhaps, in a proceeding of this kind before the surrogate, where the purchaser or any other party wishes to have notice, so as to enable him to attend and litigate the question as to the confirmation, he should file a *caveat*, and request that he may be summoned or notified of the time and place of hearing.(i)

Where the surrogate ordered the sale as to a part of the lots sold to be confirmed, and as to another part to be vacated, it was held that the purchaser of some of the lots as to which the sale was vacated, had such an interest in the confirmation of the sale of the lots bid off by himself at the sale, as to entitle him to appeal from that order; and such purchaser not having individually appeared before the surrogate on the return of the report of the sale, but the administrator, who had promised to endeavor to procure the confirmation of the sale, which he did endeavor to do in good faith, having appeared, it was held that the appearance of the administrator in behalf of himself and the purchaser was sufficient.(j)

On the hearing for the confirmation of the report, any of the parties in interest may object to the regularity or sufficiency of any of the proceedings of the executor or administrator, either in obtaining the

(c) *Gilchrist v. Rea*, per Willard, Surr., 9 Paige, 69.

(d) S. L. 1850, ch. 82; 3 R. S. (5th ed.) 192-3.

(e) See *ante*, p. 8.

(g) See *Chandler v. Northrop*, 24 Barb. Sup. Ct. Rep. 129.

(h) *Delaplaine v. Lawrence*, 10 Paige, 604.

(j) 10 Paige, 604.

(f) *Post*, p. 633.

(i) 10 Paige, 604.

order for the sale or on such sale.<sup>(k)</sup> It is competent for the surrogate, where different parcels have been sold, to confirm the sale in part and vacate it in part. And if, in his opinion, any one parcel was sold at a price disproportionate to its value, and will produce ten per cent. more on a resale, it is his duty to order a resale of that parcel, although the other parcels were sold for their full value.<sup>(l)</sup> If, however, it does not, in his opinion, appear that an advance of ten per cent. can be obtained, and the sale has been legally made and fairly conducted, the surrogate is imperatively required to confirm the sale.<sup>(m)</sup>

The two requisites under the second head, that the sum bid is disproportionate to the value of the property, and that at least ten per cent., exclusive of the expenses of the new sale, may be obtained in addition to the sum bid, must exist together. The want of proportion between the sum bid and the real value must be ten per cent. at least, and there must be good reason to think the ten per cent. will be forthcoming at the resale. If the sum bid is not an inadequate price for the property—if it is not out of proportion to its real value—the sale must be affirmed. It will not be vacated simply upon the ground that some one has been found who will bid the additional ten per cent. In connection with this fact it must also appear that the price bid is inadequate and below the real value of the property. Such an offer would, doubtless, be evidence that the sum bid was below the value, but it would be far from conclusive; because it is conceivable that a person who had missed the opportunity to purchase property, for which he had immediate and pressing use, might find it his interest to offer ten per cent. above or in addition to its fair value, in the hope of obtaining it. A *bona fide* offer of ten per cent. in addition to the fair value would be no ground for a resale. The object of the law is not speculation. A sale now and a resale hereafter, and so often as a bidder may be found who has changed his mind, or an heir or an owner chooses to try another experiment, is entirely foreign to its purpose. If the property is not sold at a sacrifice, but commands a fair and adequate price at the time of the sale, the law has performed its office, and right and justice are maintained between vendor and purchaser.<sup>(n)</sup>

Accordingly, where an interval elapses between the time of the sale and the application for its confirmation, if the sale has been fairly and regularly conducted, and the property has been struck off to a purchaser for a full and sufficient price, an heir at law, if, in the interval between the sale and the application for its confirmation, the property has risen in value, cannot defeat the sale and deprive the purchaser of the benefit of his purchase by an offer to bid an additional ten per cent.<sup>(o)</sup> [For form of order confirming the sale and directing the execution of a conveyance, see Appendix, No. 87]

If one of the purchasers at the sale has assigned his purchase after the sale, but before a conveyance, an order must be obtained from the surrogate directing the conveyance to be made to the assignee.

(k) See surrogate's opinion "*In the Matter of the real estate of Isaac Lawrence*," Law of Surrogates, (1st ed.) Appendix, p. xii.

(l) *Delaplaine v. Lawrence*, 3 Comst. 301.

(m) *Horton v. Horton*, 2 Bradf. S. R. 200.

(n) See *Kain v. Masterton*, 16 N. Y. (2 Smith) Rep. 174, 178.

(o) *Kain v. Masterton*, *supra*.

## OF THE CONVEYANCES OF THE REAL ESTATE

By sec. 31, such conveyances shall thereupon be executed to the purchaser, by the executors or administrators, or by the person so appointed by the surrogate to make the sale. They shall contain and set forth at large the original order authorizing a sale, and the order confirming the same, and directing the conveyances; and they shall be deemed to convey all the estate, right, and interest in the premises of the testator or intestate, at the time of his death, free and discharged from all claim for dower of the widow of such testator or intestate.(p)

The order for the confirmation of the sale, as has already appeared,(q) must be made and entered in the surrogate's minutes before the execution of the deed, and otherwise the sale will be liable to be declared void.(r) In the deed, not only must the forms required by the statute be followed, but their purpose; and if a defect in either respect appear on the face of the deed, it is void, not only in equity, but at law also.(s) [For form of the deed of conveyance, see Appendix, No. 88.]

In a case(t) under the statute, (1 R. L. by K. and R., 1801, p. 324, sec. 21,) which requires that the sale shall be made and conveyances for the same executed by the executors, &c., applying for the order; "and the conveyances for the same shall set forth *such order at large*; and shall be valid, &c., against the heirs and devisees of such testator or intestate, and all claiming by, from, or under them;" where one of the deeds recited simply that the surrogate had, by his order of such a date, directed such a parcel of fifty acres to be sold, describing it as in the order, and the other was still more defective, it was held, upon the ground of the defective recital, that the deeds were inoperative at law; and the court said, "where certain steps are authorized by statute, in derogation of the common law, by which the title of one is to be divested and transferred to another, every requisite having the semblance of benefit to the former must be strictly complied with. The statute demanding the order at large, we cannot say it is complied with so long as the deed omits to recite the whole, as it stands upon record. We do not say it should be literally recited; but it is impossible to say that a document is set forth at large, unless every part is substantially presented. That, we think, is the least that the statute calls for. The conveyances from the executor were, therefore, void, and must continue so, unless they shall be rectified on a proper application to the chancellor."

But if there be a mistake in reciting the order of sale in the deed, it will not vitiate the deed, where other parts of the deed furnish of themselves obvious means of correcting the error.(u)

It seems that a surrogate has not the power to compel the purchaser of the real estate of the decedent, at a sale made by the executors or administrators under an order of such surrogate, to take the conveyance of the property, and to pay to the executors or administrators the purchase money bid upon the sale.(v)

(p) 2 R. S. 105; 3 R. S. (5th ed.) 192.

(r) *Rea v. McEacheron*, 13 Wend. 465.

(t) *Atkins v. Kinnan*, 20 Wend. 241.

(v) *Butler v. Emmett*, 8 Paige, 12

(q) *Ante*, p. 611.

(s) See *Waldron v. McComb*, 1 Hill, 111, 115.

(u) *Sheldon agst. Wright*, 1 Seld. 497.



By the 32d section of the above mentioned title of the Revised Statutes, every sale and conveyance made pursuant to the provisions of this title shall be subject to all charges by judgment, mortgage or otherwise, upon the lands so sold, existing at the time of the death of the testator or intestate.<sup>(w)</sup>

#### OF THE DISPOSITION OF THE PROCEEDS OF THE SALE.

By section 33, if the proceeds arising from the mortgage, lease, or sale of any lands, made pursuant to the order of any surrogate as herein directed, which shall have been paid over to such surrogate, shall be sufficient to pay all the debts established before the surrogate, on granting the order, the heirs and devisees of the testator or intestate, and all the remaining lands of which he died seised, shall be exonerated from all claim or charge by reason of such debts so established. If such proceeds shall not be sufficient for that purpose, the heirs and devisees, and the remaining land, shall be exonerated from such debts, in proportion to the sum raised and paid over.

By section 34, the surrogate who shall grant any order for the mortgage, lease or sale of the real estate, shall possess the same power to cite and compel any executor or administrator to account for the proceeds of the sale, mortgage or lease of any real estate, and to compel the payment of debts, and the payment of moneys arising from such sales, and the delivery of securities taken thereon, as if such real estate had been originally personal estate in the hands of such executor or administrator.<sup>(x)</sup>

The directions given in a preceding portion of this work<sup>(y)</sup> for compelling the executor or administrator to account and to make payments, are to be followed in proceedings under this last provision.

By section 35, where the whole or any part of the real estate of any deceased person shall have been sold by virtue of an order of a surrogate, the moneys arising from such sale shall be brought into the office of the surrogate granting such order, for the purpose of distribution, and shall be by him retained for that purpose.<sup>(z)</sup>

#### OF THE EXPENSES OF THE SALE AND THEIR PAYMENT, AND THE SATISFACTION OF THE WIDOW'S DOWER.

By statute it is provided that no executors or other persons authorized to sell any real estate by order of any surrogate, shall be allowed any commission for receiving or paying to the surrogate the proceeds of such sale; but shall be allowed their expenses in conducting such sale, including two dollars for every deed prepared and executed by them thereon, and a compensation not exceeding two dollars a day for the time necessarily occupied in such sale.<sup>(a)</sup>

The following sections of the statute provide for the payment of the expenses of the sale, for the satisfaction of the dower of the widow out of the proceeds of the sale, for the distribution of the balance among the creditors of the deceased, and for the publication of notice of such distribution.

<sup>(w)</sup> 2 R. S. 105; 3 R. S. (5th ed.) 192.

<sup>(y)</sup> *Ante*, ch. 12.

<sup>(a)</sup> S. L. 1844, chap. 300, sec. 2; 3 R. S. (5th ed.) 921; *Post*, Appendix.

<sup>(x)</sup> 2 R. S. 106; 3 R. S. (5th ed.) 194.

<sup>(z)</sup> 2 R. S. 106; 3 R. S. (5th ed.) 194.

The statute prescribing the powers and duties of executors and administrators, in relation to the sale and disposition of the real estate of their testator or intestate, further provides as follows :

Sec. 36. The surrogate shall, in the first place, pay out of the said moneys the charges and expenses of the sale. He shall next satisfy any claim of dower which the widow of the testator or intestate may have upon the lands so sold, by the payment of such sum in gross as shall be deemed, upon the principles of law applicable to annuities, a reasonable satisfaction for such claim if the widow shall consent to accept such sum in lieu of her dower, by an instrument under seal duly acknowledged or proved, in the same manner as deeds entitled to be recorded.<sup>(b)</sup>

Sec. 37. If, after reasonable notice for that purpose, no such consent be given, then the surrogate shall set apart one-third of the purchase money to satisfy such claim, and shall cause the same to be invested in permanent securities, on annual interest, in his name of office, which interest shall be paid to such claimant during life.

Sec. 38. If, after the deductions aforesaid, from the proceeds of such sale, there shall not be sufficient remaining to pay all the debts of the testator or intestate, then the balance of such proceeds shall be divided by the surrogate among the creditors, in proportion to their respective debts, without giving any preference to bonds or other specialties, or to any demands on account of any suit being brought thereon.

Sec. 39. Every person to whom the deceased shall have been indebted, on a valuable consideration, for any sum of money not due at the time of such distribution, shall receive his proportion with other creditors, after deducting a rebate of legal interest upon the sum distributed, for the time unexpired of such credit.<sup>(c)</sup>

Sec. 40. Before any such distribution shall be made, notice of the time and place of making the same shall be published for six weeks successively, in a newspaper printed in the county where the surrogate resides. He may also publish such notice in such other newspaper as he may deem most likely to give notice to the creditors.

To entitle executors or administrators to the per diem allowance provided by the statute above quoted, for the time occupied in the sale—the time actually and necessarily occupied should be proved. Perhaps time consumed in looking up the decedent's title papers, and in ascertaining the description of the land, and condition of the title, might be allowed for; but the statute contemplates an allowance only for the time necessarily and actually occupied about the sale. It does not warrant the idea that the executor or administrator is upon a salary from the commencement to the conclusion of the business.<sup>(d)</sup>

The executor or administrator is entitled to be allowed his "expenses in conducting such sale." This language will authorize the allowance of a reasonable amount for the professional services of a lawyer in the business. Although it requires a liberal interpretation of the provision to cover professional services for attending to the application before the surrogate, and for drawing the petition and the necessary orders, and

<sup>(b)</sup> 2 R. S. 106; 3 R. S. (5th ed.) 194.

<sup>(c)</sup> 2 R. S. 107; 3 R. S. (5th ed.) 194.

<sup>(d)</sup> *Higbie agst. Westlake*, 14 N. Y. (4 Kern.) Rep. 281.

seeing to the service of the citations and the publication of the notices; yet a case can scarcely be conceived where the services of an intelligent and careful attorney would be more necessary, as any substantial error in the proceedings will generally defeat the title of the purchaser. The statute seems to suppose that these proceedings will be conducted wholly by the executors or administrators and the surrogate, and that there will be no necessity for legal advice or assistance; but it is regarded as so essential that there should be the supervision of some qualified person, that it has been determined that a reasonable allowance for professional advice and assistance is a necessary expense within the meaning of the act of the legislature.<sup>(e)</sup>

But where there has been no litigation in the proceeding, the executor or administrator will not be warranted in paying a bill of costs made up according to the fee bill of the late Court of Chancery, for services in litigated cases, or in any other form. Nor will more than two dollars for each deed be allowed under any circumstances.<sup>(f)</sup>

By the above 37th and 38th sections of the statute, the widow has an election whether she will accept a sum in gross as a satisfaction for her claim of dower, or whether one-third of the purchase money shall be set apart to satisfy her claim, to be invested at interest, and the interest paid to her during her life. The investment is to be made for the widow, unless, after reasonable notice, she consents to accept a sum in gross. The number of days' notice is such as the surrogate shall deem reasonable. Generally, eight days are given, and personal service of the notice, if practicable, is required. [For form of the notice to the widow respecting the satisfaction of her claim of dower under the 37th section, see Appendix, No. 89.]

These statutes relating to the sale of the real estate of deceased persons, under a surrogate's order for the payment of debts, do not authorize the sale of the widow's estate in dower, where dower *has been assigned* to her. Before assignment, the widow has no estate in the lands of her husband. Until then her interest is a mere chose in action, or claim, which is extinguished by a sale under a surrogate's order. But after assignment the seisin of the heir is defeated *ab initio*, and the dowress is in of the seisin of her husband, as of the time when that seisin was first acquired or held during the coverture.<sup>(g)</sup>

Where the widow's dower in the real estate of her deceased husband has been assigned to her previous to the application to the surrogate for a sale of the estate of the decedent for the payment of his debts, the part assigned to the widow for dower should be sold subject to her life estate therein as tenant in dower. Thus, where the real estate to be sold under a surrogate's order consisted of an entire farm in which the widow's dower had been assigned, it was considered that the same should be sold together in one parcel, subject to the widow's life estate, as tenant in dower, in the part of the premises which had been assigned to her for her dower.<sup>(h)</sup>

Where the widow declines to accept a sum in gross as a satisfaction

<sup>(e)</sup> *Higbie* agst. *Westlake*, *supra*.

<sup>(g)</sup> *Lawrence v. Miller*, 2 Comst. 245; *Lawrence v. Brown*, 1 Seld. 394.

<sup>(h)</sup> *Maples v. Howe*, 3 Barb. Ch. Rep. 611.

<sup>(f)</sup> *Id.*

of her claim of dower, the portion of the purchase money to be set apart and invested, pursuant to the above quoted 37th section of the statute, for the widow, in lieu of dower, is one-third of the gross amount of the sale, and not of the amount less the charges and expenses of the sale. And, where interest has accrued on the purchase money, the widow is entitled to interest on the share, to be invested for her, from the day of the sale; and such interest, up to the time of the investment, ought to be paid to her, and ought not to be included in the investment.<sup>(i)</sup>

The gross sum to be deemed a reasonable satisfaction of the widow's claim of dower is estimated, in similar proceedings in courts of equity, according to the present value of an annuity of six per cent. on the principal sum during the probable life of such widow, according to the Portsmouth or Northampton tables.<sup>(j)</sup> A table corresponding with the Northampton tables, for the purpose of such a calculation, together with an example of the mode of calculating the gross sum due to the widow, will be found in the Appendix, No. 90.

[For a form of the widow's release of dower in the lands sold, see Appendix, No. 91.]

It will be recollected<sup>(k)</sup> that, by the act "concerning the rights and liabilities of husband and wife," passed March 20, 1860,<sup>(l)</sup> it is provided that, at the decease of the husband intestate, leaving minor child or children, the widow shall hold, possess, and enjoy all the real estate of which the husband died seised, and all the rents, issues, and profits thereof during the minority of the youngest child, and one-third thereof during her natural life.

Under this statute, where the decedent has left a minor child or minor children, the creditors of the decedent are, in effect, deprived of any remedy against his real estate during the minority of the child, or of the youngest child. And inasmuch as the widow, under the statute, is entitled to "hold, possess, and enjoy all the real estate of which her husband died seised," during the minority of the youngest child, it may be doubted whether the surrogate can entertain proceedings for the sale of the decedent's real estate for the payment of his debts until the child, or, where there are several children, the youngest child, has attained his or her full age.

#### OF THE NOTICE OF THE DISTRIBUTION OF THE PROCEEDS, AND OF SUCH DISTRIBUTION.

An order for the publication of the notice of distribution in the newspapers should be made and entered in the surrogate's minutes. [For form of the order and notice, see Appendix, No. 92.]

By section 41, at the time and place appointed, and at such other times and places as the surrogate shall appoint for that purpose, he shall proceed to ascertain the valid and subsisting debts against the testator or intestate, and shall hear the allegations and proofs of the claimants of such debts, and of the executors, administrators, heirs, devisees, or any other person interested in the estate of the deceased, or in the application of the proceeds of such sale.<sup>(m)</sup>

<sup>(i)</sup> *Higbie agst. Westlake*, 4 Kern. 281.

<sup>(k)</sup> See *ante*, pp. 50, 469, note <sup>(p)</sup>.

<sup>(m)</sup> 2 R. S. 107; 3 R. S. (5th ed.) 195.

<sup>(j)</sup> Rules of Supreme Court, Rule 84.

<sup>(l)</sup> S. L. 1860, ch. 90, p. 157.

By section 42, any debts which shall have been established by the surrogate, on the application for the sale, shall not again be controverted, unless upon the discovery of some new evidence to impeach the same, and then only upon due notice given to the claimant. Any other debts or demands which shall be presented, and which were not so established, shall be proved to the satisfaction of the surrogate, and the same proceedings may be had to ascertain the same, as are hereinbefore prescribed upon the hearing, on the application of any executor or administrator, for authority to sell the real estate.

The proceedings, on ascertaining and settling the claims entitled to share in the distribution, will be similar in all respects to the proceedings described at a preceding page of the present subject, on the allowance of the claims against the estate on the return of the order to show cause; and the claims must be established according to the principles there laid down. An entry of the distribution is made in the surrogate's minutes. [For form, see Appendix, No. 93.]

A judgment creditor of the deceased, or a mortgagee, it seems, is not entitled to come in and prove his debt before the surrogate, for the purpose of obtaining a distributive share of the proceeds of the real estate, upon which his judgment or mortgage was a lien or incumbrance. "The thirty-second section of the title of the Revised Statutes, under which the proceedings were instituted," says the chancellor in *Butler v. Emmet and others*,<sup>(n)</sup> "declares, in express terms, that every sale and conveyance made pursuant to the provisions of that title, shall be subject to all charges by judgment, mortgage, or otherwise, upon the lands so sold, existing at the time of the death of the testator or intestate. And it seems to follow, as a necessary consequence, that the mortgagee or judgment creditor is not entitled to any part of the avails of the estate thus sold, to satisfy the incumbrance, which still remains upon the land in the hands of the purchaser. Where the whole real estate to which the incumbrance extends is sold, there perhaps may be an arrangement, made between the personal representative of the decedent and the bidders at the sale under the surrogate's order, that the incumbrance shall be paid off out of the amount of the bid; so as to give the purchaser a clear title. But in that case, the amount of the incumbrance to be paid must be ascertained and paid out of the purchase money by the seller; and the surplus only should be reported to the surrogate, and paid over to him, as the actual proceeds of the sale to be distributed among the creditors. Such an arrangement being a mere matter of convenience which the lien creditor has no right to insist upon, I do not see how he can, consistently with this section of the statute, either apply to the surrogate to compel a sale, or come in and prove his debt before the surrogate, for the purpose of having it paid out of the proceeds of the real estate on which it is a lien."

The remedy of the judgment creditor, to obtain satisfaction of his debt out of the proceeds of the real estate of the decedent before the Code, was to revive his judgment by *scire facias*, and to sell the real estate upon execution: since the Code he must take proceedings under that statute.<sup>(o)</sup> The remedy of the mortgagee is to sell the mortgaged prem-

<sup>(n)</sup> 8 Paige, 12.

<sup>(o)</sup> Id.



ises under a foreclosure, and if the proceeds be not sufficient for the payment of his debt, then, if the result has been ascertained at the time of the distribution of the proceeds of the sale of the real estate under the surrogate's order, he may come in for his proportionable share on such distribution.

And it is not always sufficient for the creditor to show that his judgment is not a lien on the lands sold, to entitle him to a share in this distribution. If the creditor have other security on the other lands of the testator, and not on those sold, the creditor must first exhaust his legal remedies on those lands.(p)

Upon an application to sell the real estate of the deceased for the payment of his debts, equitable as well as legal demands may, however, be proved and established against the estate. Such equitable claim being an equitable lien on a certain portion of the real estate, is not an express charge upon the property, and, not being secured by mortgage or judgment, may be directed to be paid out of the proceeds of the real estate when sold under the order of the surrogate for the payment of the debts of the deceased.(q) And it is competent, on the sale of real estate for the payment of the debts of the deceased, or on the distribution of the proceeds, to offer any equitable defence against the claims of a party alleging to be a creditor; and the heirs are not restricted to a legal defence.(r)

A claim for the mesne profits of lands occupied by the intestate, may be allowed out of the proceeds of his real estate sold for the payment of his debts.(s)

The distinction as to legal and equitable assets no longer prevails as to the proceeds of the sale of realty, but such proceeds, when the real estate is sold by order of the surrogate, are distributed in the same manner to creditors as the personal estate. The only effective remedy provided by statute for the specific application of the realty to the discharge of debts, is vested in the Surrogate's Court.(t)

An order for the distribution of the proceeds of the sale of the real estate of a deceased person, allowing the holder of a promissory note against the deceased a dividend on his claim, without mentioning the note, will not take the note out of the Statute of Limitations.(u)

#### OF THE DISPOSITION OF THE SURPLUS.

By the 43d section of the above mentioned title of the Revised Statutes, if, after payment of debts and expenses, there be any overplus of the proceeds of the sale, the same shall be distributed among the heirs and devisees of the testator or intestate, or the persons claiming under them, in proportion to their respective rights in the premises sold.(v)

(p) *In the Matter of the real estate of Stephen Price, deceased*, Law of Surrogates, Appendix, xx. For an able discussion as to the right of judgment creditors of the deceased to payments out of the proceeds of the sale of the real estate, in a case having some peculiar features, the reader is referred to the opinion of the late learned surrogate of the county of New York, Hon. Charles McVean, deceased, "*In the Matter of the real estate of Stephen Price, deceased*," referred to, to be found in the Appendix to the first edition of this work.

(q) *Renwick v. Renwick*, 1 Bradf. Surr. Rep. 234.

(r) *Campbell v. Renwick*, 2 Bradf. Surr. Rep. 80.

(t) *Bloodgood v. Bruen*, 2 Bradf. Surr. Rep. 8.

(v) 2 R. S. 107; 3 R. S. (5th ed.) 195. See *Sears v. Mack's assignees*, 2 Bradf. Surr. Rep. 394.

(s) 2 Bradf. Surr. Rep. 80.

(u) *Arnold v. Downing*, 11 Barb. 554.

By the 44th section, any securities which shall have been taken on the sale of any real estate, shall be delivered to the surrogate, and kept in his office. He shall collect the moneys due thereon from time to time, and shall distribute and apply the same among the creditors, whose debts were established before him, in the same proportion as herein directed respecting the moneys arising on such sale.

By sec. 45, the securities taken by any surrogate on the investment of a principal sum at annual interest, to satisfy a dower claim, shall be kept in his office as part of his official papers, and be delivered to his successor, and it shall be the duty of the surrogate to collect such interest, and pay the same to the person entitled thereto.

By sec. 46, after the death of the person entitled to such interest, the principal sum so secured shall be collected, and after deducting the costs and charges of the surrogate in the management, collection, and distribution thereof, the residue shall be distributed among the creditors of the deceased, who shall have established their debts previous to the original investment of such principal sum, in the same manner and with the like effect as herein provided for the distribution of the proceeds of the sale of real estate.<sup>(w)</sup>

By sec. 47, if there be any surplus remaining after such distribution, it shall be divided among the heirs and devisees of the testator, or the heirs of the intestate, or the persons claiming under them, in proportion to their respective rights in the premises sold.

By an act of the legislature of the year 1850, it is provided as follows:

Sec. 1. Whenever any portion of the surplus moneys brought into the surrogate's office as the proceeds of the sale of real estate, shall belong to a minor, or belong to any person who has a temporary interest in the said money, and the reversionary interest belongs to another person, the Surrogate's Court shall make such order for the investment thereof, and for the payment of the interest and of the principal thereof, as the Supreme Court is authorized or required by law to make in analogous cases.

Sec. 2. The investments that shall be made by virtue of this act shall be secured by mortgage upon unincumbered real estate within this state, which shall be worth at least double the amount of such investment exclusive of buildings thereon, in the name of office of the surrogate, and he shall keep the securities as he now is required by law to keep other securities belonging to his office, and the interest and principal shall be distributed by and under the direction of the surrogate, in conformity to the order under which the investment shall be made, and to the person or persons entitled thereto.<sup>(x)</sup>

OF PROCEEDINGS BY A CREDITOR TO OBTAIN AN ORDER FOR THE MORTGAGE, LEASE, OR SALE OF THE REAL ESTATE OF A DECEASED PERSON FOR THE PAYMENT OF HIS DEBTS.

The obtaining of the order of the surrogate to mortgage, lease, or sell the real estate of the deceased for the payment of his debts, where the proceedings are taken by a creditor of the deceased, comes now to be treated of.

<sup>(w)</sup> 2 R. S. 108; 3 R. S. (5th ed.) 196.

<sup>(x)</sup> S. L. 1850, ch. 150; 3 R. S. (5th ed.) 195.

By the 72d section of the Law of 1837,(y) as amended by the act of the 18th of April, 1843,(z) and further amended by the act of 21st September, 1847,(a) if, after the rendering of and accounting by an executor or administrator to a surrogate, as is provided in chapter six, part second, title third of the Revised Statutes, it shall appear that there are not sufficient assets to pay the debts of the deceased, the surrogate, upon the application of any creditor, made at any time after the granting of letters testamentary or of administration, shall grant an order for such executor or administrator to show cause why he should not be required to mortgage, lease, or sell the real estate of the deceased for the payment of his debt; but he shall not assign, for cause why he should not be ordered to sell real estate, that the time within which he is allowed to sell the same has expired; and where a judgment has been recovered or decree obtained against an executor or administrator, for any debt due from the deceased, and there are not sufficient assets in the hands of such executor or administrator to satisfy the same, the debt for which the judgment or decree was obtained, shall, notwithstanding the form of such judgment or decree, remain a debt against the estate of the deceased to the same extent as before, and to be established in the same manner as if no such judgment or decree had been obtained; provided that, where such judgment or decree has been obtained upon a trial or hearing upon the merits, the same shall be *prima facie* evidence of such debt before the surrogate.(b)

By this provision of the statute, a creditor, in order to enforce the payment of his debt out of the real estate of the deceased, in case the executor or administrator neglects or refuses to take proceedings, must compel the executor or administrator to render an account unless he voluntarily produces such account pursuant to the provisions and directions given in the last preceding chapter of this work.(c) And if it appear from such account, on the settlement thereof, that the personal property is insufficient for the payment of the debts of the deceased, the creditor may then have the real estate mortgaged, leased, or sold for the payment of such debts.

The rendering of an account, by one of several administrators, is not enough to authorize an application to the surrogate, by a judgment creditor, for an order to sell the real estate of the intestate. The administrators are all bound to account; and it is the duty of the creditor to compel them all to account, before he has any right to call upon the heirs at law to pay a judgment recovered against the administrators.(d)

(y) S. L. 1837, 536; 3 R. S. (5th ed.) 196.

(z) S. L. 1843, 228; 3 R. S. (5th ed.) 196.

(a) 2 S. L. 1847, p. 196.

(b) By the Law of 1837, (S. L. 1837, 537, sec. 74,) the 48th section of the 4th title of the sixth chapter of the second part of the Revised Statutes is repealed, and this 72d section is enacted; but the latter is not declared to be in the place of the former. In the arrangement above adopted, the 49th section of the title of the Revised Statutes is made to follow the 72d section of the Law of 1837 as amended, and its provisions are made to refer to the provisions of that section, and such was, beyond question, the intention of the legislature; but there is no declaration in the law to that effect. Without such an arrangement of these sections, and such a reference, the 49th and the following three sections are unintelligible. The same arrangement is observed in the last three editions of the Revised Statutes.

(c) See note to *Ferguson v. Broome*, 1 Bradf. Surr. Rep. 23.

(d) *Sanford v. Granger*, 12 Barb. Sup. Ct. Rep. 392.

A creditor who has assigned all his interest in a debt against his deceased debtor to a third person, is not authorized to present a petition to the surrogate, to compel the executors or administrators of the decedent to sell the real estate for the payment of such debt, where the personal property of the decedent is insufficient for that purpose; but the assignee of the debt, who is the real creditor, must institute the proceedings before the surrogate in his own name.

It seems that a judgment creditor of the decedent, or a mortgagee, cannot institute proceedings before the surrogate, to compel a sale of the real estate of the decedent, upon which such judgment is a lien, or such mortgage an incumbrance.(e)

Upon an application to the surrogate by the plaintiff in a judgment recovered against administrators upon an indebtedness of their intestate, for an order to sell the real estate of the intestate for the payment of his debts, the applicant need not show anything, in the first instance, but the record of his judgment, in order to prove his debt, and the amount of it; but that will not prove that the heir or devisee is liable to pay the debt. To establish that liability, the creditor must prove the fact that there are not sufficient assets in the hands of the administrators to satisfy the same. Without such proof the judgment is no evidence of a debt against the heirs at law, and the costs awarded against the executor or the administrator can, in no event, be a charge against the real estate in the hands of the heir.(f)

Voluntary proceedings by an executor or administrator to procure an order of the surrogate to mortgage, lease, or sell the real estate of the deceased for the payment of his debts, are limited, as has been seen,(g) to the period of three years after the granting of the letters testamentary or of administration. The real estate of which the testator or intestate died seised remains liable to be sold under an order of the surrogate for the payment of debts in case of a deficiency of personal assets, on the application of the executor or administrator, for the period of three years after the granting of letters testamentary or of administration. And this liability attaches to lands, not only in the hands of the heirs or devisees, but in the hands of any subsequent purchaser. It is considered, as has been seen,(h) a kind of statutory lien running with the land during the three years.(i) A creditor, however, may *at any time* institute *compulsory* proceedings for the same purpose, and require the executor

(e) *Buller v. Emmett*, 8 Paige, 12.

(f) *Sanford v. Granger*, 12 Barb. S. C. R. 392.

(g) 2 R. S. 100; S. L. 1830, ch. 320, sec. 22; 3 R. S. (5th ed.) 186; *Ante*, pp. 587-8, 590.

(h) *Ante*, p. 587.

(i) *Hyde v. Tanner*, 1 Barb. Sup. Ct. Rep. 75. The provisions of the statute relating to the sales of the real estate of deceased persons for the payment of their debts, and also those prohibiting the bringing of suits against heirs or devisees for the debts of their ancestors or testators, within three years from the time of granting letters testamentary or of administration upon their estates, (2 R. S. 109; 3 R. S., 5th ed., 197. See *ante*, p. 588, and note (l) same page,) were considered by Vice-Chancellor McCoun, in *Mathews v. Mathews and others*, (3 Edw. Ch. Rep. 565,) with reference to a partition or conveyances of such real estate made among or by the heirs of the deceased, and he came to the conclusion that the legislature intended that whenever the power of the executor or administrator over the real estate of the decedent should be exercised, and a sale become necessary under the authority of the surrogate, to make it overreach and supersede any sale made or procured by the heir or devisee within the three years; and also considered such sales as not made in good faith while debts of the ancestor remained, and a possibility existed of a deficiency of personal assets.

or administrator to show cause why he should not be ordered to sell the real estate, and the statute expressly prohibits him from assigning, as cause, "that the time within which he is allowed to sell the same has expired." But though the lapse of three years after the granting of letters is not a flat bar to a compulsory proceeding, yet it is *discretionary* with the surrogate, after a long delay in making the application, whether to order the sale or not. The proceeding must be instituted within a reasonable period, and a neglect to apply for many years, without explanation, is good reason for rejecting the application.(j)

OF THE PETITION OF A CREDITOR FOR AN ORDER TO HAVE THE REAL ESTATE OF HIS DECEASED DEBTOR MORTGAGED, LEASED, OR SOLD FOR THE PAYMENT OF HIS DEBTS.

The petition of the creditor to have the real estate of the deceased mortgaged, leased, or sold for the payment of his debts, should state the foundation and particulars of his claim against the estate, and aver the insufficiency of the personal property for the payment of the debts. It will be remembered that the petition of the executor or administrator for authority to mortgage, lease, or sell the real estate, is required to set forth the amount of personal property which has come to the hands of the executor or administrator, the application thereof, the debts outstanding against the testator or intestate, as far as the same can be ascertained, a description of all the real estate of which the testator or intestate died seised, with the value of the respective portions or lots, and whether occupied or not, and if occupied, the names of the occupants, and the names and ages of the devisees, if any, and of the heirs of the deceased; and the petition is required to be verified by the oath of the party presenting the same. On a proceeding by a creditor, the amount of the personal property, the application thereof, and the debts outstanding, will have appeared by the account rendered by the executor or administrator. As the description of the real estate, and the names and ages of the devisees, if any, and of the heirs of the deceased, are of the same importance where the creditor makes the application, as where the application comes from the executor or administrator, and as there seem to be no other means provided for furnishing these particulars, except through the person applying for the mortgage, lease, or sale, such description, and the names and ages of the devisees and heirs, ought to be embraced in the petition. The prayer of the petition should be for an order for the executor or administrator to show cause why he should not be required to mortgage, lease, or sell the real estate of the deceased for the payment of his debts, and that such further proceedings may be had as may be necessary to have such real estate disposed of for such payment.

As the petition of the executor or administrator must be under oath, so that of a creditor should be also. [For form of the petition of a creditor, see Appendix, No. 94.]

OF THE APPOINTMENT OF GUARDIANS FOR MINORS INTERESTED, AND THE ORDER THAT THE EXECUTOR OR ADMINISTRATOR SHOW CAUSE.

On filing the petition, proceedings for the appointment of a guardian for the minors interested in the estate, if any, must be taken, similar to

(j) *Ferguson v. Broome*, 1 Bradf. Surr. Rep. 10, and cases cited.



those hereinbefore described, in the case of an application by an executor or administrator; and after the appointment of such guardian, the order for the executor or administrator to show cause why he should not be required to mortgage, lease, or sell the real estate, is granted.

By the 49th section of the above mentioned title of the Revised Statutes, such order shall be served personally on the executor or administrator to whom it shall be directed, at least fourteen days before the day therein appointed for showing cause.<sup>(k)</sup> [For form of the order, see Appendix, No. 95.]

In *Richardson v. Judah*,<sup>(l)</sup> the intestate was the owner of an undivided fourth part of certain lots of land; and, after his decease, one of the tenants in common instituted a suit in the New York Common Pleas for partition. The proceedings were regularly conducted to a decree, the premises sold, deeds given, and the proceeds of sale distributed among the parties. The personal estate having been insufficient to discharge the intestate's debts, a creditor applied for the sale of the real estate of which he died seised, for the payment of his debts, and the administrators were ordered to show cause why the application should not be granted. The administrators set up the sale in partition, and distribution of the proceeds; and it was held that, the administrators not representing either the heirs or the purchaser, it was not competent for them to raise the objection.

#### OF THE ORDER THAT PARTIES IN INTEREST SHOW CAUSE.

By the 50th section of the statute, if no cause to the contrary be shown, the surrogate shall order notice of such application to be served and published, in the same manner as hereinbefore directed, on the application of an executor; and if, at the day appointed in such notice, the surrogate be satisfied of the matters specified in the fourteenth section above quoted of the above mentioned title, he may order such executor or administrator to mortgage, lease, or sell so much of the real estate of which the testator or intestate died seised, as shall be sufficient for the payment of the debts established before him.

It is assumed that the phrase "notice of such application," used in this section, is only another name for the order to show cause, which is the process prescribed by the above quoted 5th section of the title, to the provisions of which reference is here made. A notice of the application by that name is not directed in any preceding portion of the title; but by the 5th section, as has been seen, the surrogate, upon an application by an executor or administrator, is required to make an order, directing all persons interested in the estate to appear before him, to show cause why authority should not be given to the executor or administrator to mortgage, lease, or sell so much of the real estate of their testator or intestate as shall be necessary to pay the debts; and that is probably the process intended by the words "notice of such application," to be issued in the case of an application by a creditor. If, therefore, no cause against the petition be shown by the executor or administrator, an order is then to be made, directing all persons interested in the estate to appear and show cause. [For form of the order,

(k) 2 R. S. 108; 3 R. S. (5th ed.) 196.

(l) 2 Bradf. Surr. Rep. 157.

see Appendix, No. 96.] A notice may, doubtless, be framed, and served and published, which shall answer the same purpose as the order.

If, on the return of the order requiring the administrators to show cause, it appears that all the personal estate has been applied to the payment of debts, and that there remain claims unpaid, for the satisfaction of which a sale of the real estate may be made, the surrogate is bound to issue the second order, requiring all persons *interested* in the estate to show cause against the application. On the return of this last order, the heirs, or parties claiming under them, may intervene and oppose the proceedings; and if, on the return of the order requiring the administrators to show cause, they allege that other persons besides the heirs are interested, it is proper to direct the service of the second order on such parties, though the statute does not demand such service.<sup>(m)</sup>

On the day appointed by the order, or in the notice for showing cause, similar proceedings are to be had, according to the statute, as on an application for authority made by an executor or administrator, hereinbefore described;<sup>(n)</sup> and if the surrogate be satisfied of the matters specified in the 14th section above quoted, he may order the executor or administrator to mortgage, lease, or sell, as he shall adjudge expedient, so much of the real estate of the deceased as may be sufficient for the payment of the debts established before him.

[For form of an order granted on the application of a creditor, see Appendix, No. 97.]

#### OF THE ORDER THAT THE EXECUTOR OR ADMINISTRATOR MORTGAGE, LEASE, OR SELL.

By section 51, upon such order being granted and served, the executor or administrator shall mortgage, lease, or sell, as directed in such order, in the manner hereinbefore directed, upon his own application; the like bond shall be executed, the like notice shall be given, and the same proceedings had in all respects, as are herein prescribed on the application of an executor; and the proceeds shall be returned to the surrogate in the like cases, and distribution shall be made in the like manner.<sup>(o)</sup>

By section 52, if an executor or administrator shall refuse or neglect to serve and publish the notices above required, or to do any other act necessary to authorize an order for the mortgage, leasing, or sale of the real property of the deceased, the surrogate may appoint a disinterested freeholder to perform the duties herein enjoined upon such executor or administrator, who shall proceed therein in the same manner as herein directed in respect to such executor or administrator.

The order to mortgage, lease, or sell must, by the 51st section, be served on the executor or administrator. In other particulars, similar proceedings are to be had, and similar forms are to be used, under these sections, as in the case of a mortgage, lease, or sale ordered on the application of an executor or administrator.<sup>(p)</sup>

<sup>(m)</sup> *Richardson v. Judah*, 2 Bradf. Surr. Rep. 157.

<sup>(n)</sup> See *supra*, pp. 597-8.

<sup>(o)</sup> 2 R. S. 108; 3 R. S. (5th ed.) 197.

<sup>(p)</sup> Sec. 73. The heirs of any person who may be liable to any creditor of such person, in

OF SALES BY EXECUTORS OF THE REAL ESTATE OF THEIR TESTATOR,  
UNDER A DEVISE OF POWER.

The following sections of the statutes regulate sales of the real estate of a testator, by the executors named in the will, under a devise or power to such executors contained in the will, and provide for the distribution, under the direction of the surrogate, of the proceeds of such sales:

Sec. 55. Where any real estate, or any interest therein, is given or devised, by any will legally executed, to the executors therein named, or any of them, to be sold by them or any of them; or where such estate is ordered, by any last will, to be sold by the executors; and any executor shall neglect or refuse to take upon him the execution of such will, then all sales made by the executor or executors, who shall take upon them the execution of such will, shall be equally valid as if the other executors had joined in such sale.(q)

Sec. 43. Sales of real estate, made by executors in pursuance of an authority given by any last will, unless otherwise directed in such will, may be public or private, and on such terms as, in the opinion of the executor, shall be most advantageous to those interested therein.(r)

consequence of lands having descended to them, shall be prosecuted jointly, in a court of law or equity, and not separately, for any such liability. S. L. 1837, 537; 3 R. S. (5th ed.) 751.

Sec. 53. No suit shall be brought against the heirs or devisees of any real estate, in order to charge them with the debts of the testator or intestate, within three years from the time of granting letters testamentary or of administration upon the estate of their testator or intestate; and if, after the expiration of that time, such suit shall be brought, upon proof of an application having been made, before the expiration of that period, for an order of sale pursuant to the provisions of this title, such suit shall be stayed by the court in which it shall be pending, until the result of such application. And if an order for sale be granted thereupon, such suit shall not be any further prosecuted, unless the plaintiff will allege that lands have descended to the heirs, or been devised to the devisees, which were not included in any order of sale; in which case a decree in such suit shall not charge or in any way affect any land so ordered to be sold; and the plaintiff so proceeding in such suit shall not be entitled to any share in the distribution of the moneys arising on the sale, mortgage or leasing of any premises, pursuant to such application. 2 R. S. 109; 3 R. S. (5th ed.) 197. See *Roe v. Sweeney*, 10 Barb. Sup. Ct. Rep. 247; *Hollister v. Hollister*, 10 How. Prac. Rep. 532.

Sec. 54. But if the plaintiff in any such suit shall elect to discontinue the same after notice of an application having been made to a surrogate, according to the provisions of this title, he shall be entitled to distribution as other creditors, on establishing his claim. Id.

(q) 2 R. S. 109; 3 R. S. (5th ed.) 197. See *Ogden v. Smith*, 2 Paige, 195.

"Sec. 44. Every estate granted or devised to two or more persons, in their own right, shall be a tenancy in common, unless expressly declared to be in joint tenancy; but every estate vested in executors or trustees, as such, shall be held by them in joint tenancy." 1 R. S. 727; 3 R. S. (5th ed.) 14.

A devise to executors, *eo nomine*, to hold and manage real estate, until the coming of age of the youngest child of the testator, vests the estate in the executors; and it is not necessary to the perfecting of the estate in them, that they should take out letters testamentary. *Judson v. Gibbons*, 5 Wend. 224. And, generally, a probate of a will is not necessary to the execution of a power of sale of lands contained in such will. *Doolittle v. Lewis*, 7 Johns. Ch. Rep. 48. See, also, *Dominick v. Michael*, 4 Sandf. Superior Ct. Rep. 374.

(r) S. L. 1837, 532; 3 R. S. (5th ed.) 197-8. The following is the provision of the statute which was repealed by the 74th section of the Law of 1837 (S. L. 1837, 536) at the time this section was enacted:

"Sec. 56. Sales of real estate made by executors in pursuance of an authority given by any last will heretofore made, or hereafter to be made, unless otherwise directed in such will, may, except where the real estate is situated in the city and county of New York, be public or private, and on such terms as, in the opinion of the executor, shall be most advantageous to those interested therein. Such sales of real estate situated in the city and county of New York, shall be made after like notice, and be conducted in the same manner as is prescribed

Sec. 75. The proceeds of a sale of real estate, made in pursuance of an authority given by any last will, may be brought into the office of the surrogate before whom such will was proved, for distribution; and the surrogate shall proceed to distribute the same in like manner, and upon like notice, as if such proceeds had been paid into his office in pursuance of an order of sale of real estate for the payment of debts.<sup>(s)</sup>

Sec. 57. Where, by any last will, a sale of real estate shall be ordered to be made, either for the payment of debts or legacies, the surrogate in whose office such will was proved, shall have power to cite the executors, in such will named, to account for the proceeds of the sales, and to compel distribution thereof; and to make all necessary orders and decrees thereon, with the like power of enforcing them, as if the said proceeds had been originally personal property of the deceased in the hands of an administrator.<sup>(t)</sup>

It may here be remarked that the authority of the executor, in respect to real estate, where there is a devise to executors in trust, or a power of sale, is not conferred by the probate court. The executor is the donee of a power at common law and under the statute; and although it may be by the will made a condition to his acting under the power that he should qualify as executor, when he has performed that condition he acts, in conveying the land, as the devisee of a power created by the owner of the estate, and not under an authority conferred by the surrogate.<sup>(u)</sup>

It should further be observed that, in conveying lands under a power, not only must the forms prescribed by the power be followed, but their purpose; and if a defect in either respect appear on the face of the deed, it is void both in equity and at law.<sup>(v)</sup>

A general power to sell real estate given by will to the executors, as such, and not to them by name individually, is not invalidated by the refusal of one of them to act, but survives to, and vests in, those who qualify.<sup>(w)</sup> And such a power is not revoked by a codicil devising the estate to the executors in trust to make an equal division thereof among the children of the testator and their heirs.<sup>(x)</sup>

By the Revised Statutes,<sup>(y)</sup> where a power in trust is vested in several persons, all must unite in its execution. But if, previous to such execution, one or more of such persons die, the power may be executed by the survivor or survivors. And where the legal estate is vested in the trustees, with a direction to sell for the benefit of the trust estate, the same result is produced by the section of the Revised

in title four, chapter six of the second part of the Revised Statutes, in relation to sales by order of any surrogate."

In a note to the new section in the (3d) edition of the Revised Statutes, it is stated to be substituted for the former 56th section.

(s) S. L. 1837, 537; 3 R. S. (5th ed.) 198.

(t) 2 R. S. 109; 3 R. S. (5th ed.) 198. See *Carroll v. Carroll*, 11 Barb. Sup. Ct. Rep. 293.

(u) *Newton agst. Bronson*, 3 Kernan, 587, 593. See, also, *Conklin v. Egerton's adm'r*, 21 Wend. 430, 436. Although an executor appointed in this state cannot act as such beyond our jurisdiction by virtue of any authority conferred by the courts of this state, he may convey land situate in another state, where the power to do so is contained in the will. 3 Kern. 587.

(v) See *Waldron v. McComb*, 1 Hill, 111, 115.

(w) *Conover v. Hoffman*, 1 Bosworth, 214. See, also, Sugden on Powers, 146.

(x) *Conover v. Hoffman*, *supra*.

(y) 1 R. S. 735, sec. 112; 3 R. S. (5th ed.) 27.

Statutes which declares that every estate vested in executors or trustees, as such, shall be held by them in joint tenancy. In the one case, the statute gives to the survivor the whole power in trust to sell, so as to enable him to transfer a good title to the purchaser, by the execution of the power alone. In the other, the whole legal estate is vested in the survivor; and he is thereby enabled to convey the title of the estate to the purchaser, upon a sale thereof, in the discharge of his trust. None of the provisions of the statute authorize a part of the executors, to whom a joint power is given by the testator, to execute the same, so as to transfer a good title to the purchaser, where one of those to whom such joint power was given has been discharged from his trust by a court of equity, after he accepted the trust, and had duly qualified as executor.<sup>(z)</sup>

Again, whenever a power is given in a will to sell lands, without expressly naming a donee of the power, and the proceeds of the sale are to go to pay debts or legacies, or to be distributed, the power vests in the executors, unless a contrary intent appears.<sup>(a)</sup> And such power is well executed by a deed from one executor who has qualified, the others not having qualified.<sup>(b)</sup> And the above 55th section of the statute, it seems, applies as well to discretionary as to peremptory powers of sale.<sup>(c)</sup>

An executor or other trustee, empowered to sell lands in his discretion, cannot, however, authorize an agent to contract for the sale. The power is a personal trust, which cannot be delegated; and a contract by an agent is void.<sup>(d)</sup>

So, where the power to sell, given to executors by the will, is special, it can be exercised only in the mode prescribed by the testator. Thus, where an executor was authorized to sell the real estate at public vendue, to pay off the legacies to the children of the testator as they became of age, and he sold the property at private sale, to raise money for his own use, before the legacies became payable, the sale was held to be void.<sup>(e)</sup> And where a testator, by his will, gives no authority to his executors to sell his real estate, the executors cannot sell any portion thereof, either for the purposes of division or otherwise.<sup>(f)</sup>

The statute authorizing executors to bring the proceeds of a sale of real estate under the provisions of a will into the surrogate's office, for distribution, is only for the benefit or protection of the executor; and it does not *require* the executor to place such proceeds in the surrogate's hands, where the real estate is sold under a power contained in the will.<sup>(g)</sup>

The proceedings, on compelling an executor to account for the proceeds of a sale of real estate made by him under the will of the deceased, as was stated at a previous page,<sup>(h)</sup> will be governed in all re-

<sup>(z)</sup> *In the Matter of Van Wyck*, 1 Barb. Ch. Rep. 565.

<sup>(a)</sup> *Meakings v. Cromwell*, 2 Sandf. Superior Ct. Rep. 512; *S. C.*, 1 Seld. 136; *Bogert v. Hertell*, 4 Hill, 492.

<sup>(b)</sup> 1 Selden, 136.

<sup>(c)</sup> *Taylor v. Morris*, 1 Comst. 341.

<sup>(d)</sup> *Newton v. Bronson*, 3 Kern. 587.

<sup>(e)</sup> *Pendleton v. Fay*, 2 Paige, 202.

<sup>(f)</sup> *Craig v. Craig*, 3 Barb. Ch. Rep. 76. Where real estate is devised to executors with power to sell the same and distribute the proceeds, if the executors die without conveying, the estate descends to the heirs at law, and a conveyance from them is good to pass the estate. *Jackson v. Potter*, 4 Wend. 672.

<sup>(g)</sup> *Holmes v. Cook*, 2 Barb. Ch. Rep. 426.

<sup>(h)</sup> *Ante*, p. 555.



spects by the provisions and directions contained in the last preceding chapter of this treatise, for compelling an executor to render an account of the personal property of his testator.

And, as appeared in the last chapter, where a testator devised and bequeathed all his real and personal estate to his executors, in trust to sell the same whenever they should see fit, with authority to lease the same, and directed the disposition of the trust estate among his children, it was held that the executor could be compelled to account before the surrogate, not only for the personal estate bequeathed to him, but also for the rents and profits of the real estate, and for the proceeds of such real estate as he had sold pursuant to the directions contained in the will.(i)

By the 58th section of the above mentioned title of the Revised Statutes, any executor or administrator, or other person appointed as therein directed, who shall fraudulently sell any real estate of his testator or intestate, contrary to the foregoing provisions, shall forfeit double the value of the land sold, to be recovered by the person entitled to an estate of inheritance therein.(j)

By section 59, no offence, in relation to the giving of notice of sale, or the taking down or defacing such notice, shall affect the validity of such sale to any purchaser in good faith, without notice of the irregularity.

By section 60, the several surrogates shall record, in books to be provided by them for that purpose, all orders and decrees by them made upon any proceedings before them in relation to the sale of real estate, and shall file and preserve all papers, returns, vouchers, and documents connected with such proceedings.(k)

#### OF THE SALE OF THE INTEREST OF THE DECEASED IN A CONTRACT FOR THE PURCHASE OF LAND.

Where the deceased was possessed of a contract for the purchase of land, his interest in such land, and under such contract, may be sold, under an order of the surrogate, for the payment of his debts. An interest in a contract for the purchase of land is real estate, and descends to the heirs of the purchaser; and, as the administrator has no power over the land and real estate of the decedent, any further than the statute has given it to him, he has not any control over such contract for the purchase of real estate, which will authorize him to deliver the same to a judgment creditor, with a view of having it disposed of, and realizing money upon it.(l) The provisions of the statute, directing and regulating the sales in such cases of contracts, remain to be stated.

By the 66th section of the above mentioned title of the Revised Statutes, if the deceased, at the time of his death, was possessed of a contract for the purchase of land, his interest in such land and under such contract may be sold, on the application of his executor or administrator, or of any creditor, in the same cases and in the same manner as if he had died seised of such land; and the same proceedings may be had

(i) See *Stagg v. Jackson*, 1 Comst. 206.

(j) 2 R. S. 110; 3 R. S. (5th ed.) 198.

(k) 2 R. S. 110; 3 R. S. (5th ed.) 198, sec. 71 [sec. 60]. *Ante*, p. 32.

(l) *Griffith v. Beecher*, 10 Barb. Sup. Ct. Rep. 432.

for that purpose as are prescribed in this title in respect to lands of which he had died seised, except as hereinafter provided.<sup>(m)</sup>

By the 67th section, such sale shall be made subject to all payments that may thereafter become due on such contract; and if there be any such payments thereafter to become due, such sale shall not be confirmed by the surrogate until the purchaser shall execute a bond to the executors or administrators of the deceased, for their benefit and indemnity, and for the benefit and indemnity of the persons entitled to the interest of the deceased in the lands so contracted for, in a penalty double the whole amount of payments thereafter to become due on such contract, with such sureties as the surrogate shall approve, conditioned that such purchaser will make all payments for such land that shall become due after the date of such bond, and will fully and amply indemnify the executors or administrators of the deceased, as the case may be, and the persons so entitled, against all demands, costs, charges and expenses by reason of any covenant or agreement contained in such contract, or by reason of any other obligation or liability of the deceased, on account of the purchase of such lands, and against all other covenants and agreements of the deceased, to the vendor of such land, in relation thereto.

By the 42d section of the Law of 1847, the surrogate may order a sale pursuant to the sixty-sixth section of title four, chapter six of the second part of the Revised Statutes, as well where the deceased was the assignee of a contract for the purchase of land, as where he was the original purchaser. Such sales may be made subject to all payments due or to become due on the contract; and the bond required by the sixty-seventh section of the same title shall, in such case, be conditioned for the payment of all moneys due or to become due on the contract. Such bond shall be required in all cases where the sale is made subject to payments due or to become due on the contract.<sup>(n)</sup>

By the 68th section of the above mentioned title of the Revised Statutes, if there be no payments thereafter to become due on account of such contract, no bond shall be required of the purchaser.<sup>(o)</sup>

By section 69, when such bond shall be executed, in the cases where it is required, and in all cases where the sale shall be confirmed, the surrogate shall direct the executors or administrators of the deceased to execute an assignment of such contract to the purchaser; which assignment shall vest in such purchaser, his heirs and assigns, all the right, interest and title of the persons entitled to the interest of the deceased in the lands sold at the time of sale, and such purchaser shall have the same rights and remedies against the vendor of such land as the deceased would have had if he had lived.

By section 70, if, in the judgment of the surrogate, a part of the land so contracted may be sold advantageously to the interest of the estate of the deceased, and so that the purchase moneys of such part will satisfy and discharge all the payments to be made for such land, according to the contract, he may order such part only to be sold; and, in that case, the purchaser shall not be required to execute any bond.<sup>(p)</sup>

<sup>(m)</sup> 2 R. S. 111; 3 R. S. (5th ed.) 199.

<sup>(o)</sup> 2 R. S. 111; 3 R. S. (5th ed.) 200.

<sup>(n)</sup> S. L. 1837, 532; 3 R. S. (5th ed.) 199.

<sup>(p)</sup> 2 R. S. 112; 3 R. S. (5th ed.) 200.

By section 71, the moneys arising from any sale under the five last sections shall be paid to the surrogate, and shall be disposed of by him in the payment of the charges and expenses of such sale, and in satisfying any claim of dower which the widow of the deceased may have upon the lands so sold, in the manner hereinbefore provided in respect to the sale of lands of which the deceased died seised.

By section 72, such claim of dower is declared to extend only to the annual interest, during the life of the widow, upon one-third of the surplus of the moneys arising from such sale, which shall remain after paying all sums of money due from the deceased, at the time of such sale, for the lands so contracted and sold.

By section 73, the surrogate shall apply the residue of the moneys arising from such sale, in the first instance, to the payment of all sums of money then due from the deceased to the vendor of the land so contracted on account of such contract, and shall then proceed to distribute the balance among the creditors of the deceased in the manner hereinbefore provided; and if there be any surplus after payment of debts and expenses, the same shall be distributed among the persons who would have been entitled to the interest of the deceased in the lands sold if such sale had not been made, or the persons claiming under them, in proportion to their respective rights in the premises sold.

By section 74, where a portion only of the lands so contracted is sold, the executor or administrator shall execute a conveyance therefor to the purchaser, which shall transfer to him all the rights of the deceased to the portion so sold, and all rights which shall be acquired to such portion by the executor or administrator, or by the persons entitled to the interests of the deceased in the lands sold at the time of sale, on the perfecting of the title to such land, pursuant to the contract.(g)

By section 75, upon the payment being made, in full, on a contract for the purchase of land, a portion of which shall have been sold, according to the preceding provisions, the executors or administrators of the deceased shall have the same right to enforce the performance of the contract which the deceased would have had if he had lived; and any deed that shall be executed to them shall be in trust and for the benefit of the persons entitled to the interest of the deceased, subject to the dower of the widow, if there be any, except for such part of the land so conveyed as shall have been sold to a purchaser according to the preceding provisions; and as to such part, the said deed shall enure to the benefit of the purchaser.

The forms adapted to the sale of real estate where the deceased died seised in fee, can be easily applied to proceedings for the sale of contracts. A continuation of further precedents is, therefore, deemed unnecessary.

OF THE EXECUTION OF THE ORDER TO MORTGAGE, LEASE, OR SELL, WHERE THE EXECUTOR OR ADMINISTRATOR, OR OTHER PERSON AUTHORIZED, HAS DIED, OR BEEN REMOVED, OR BECOME DISQUALIFIED AFTER THE MAKING OF THE ORDER.

The act of the legislature "in relation to the mortgage, lease, or sale of real estate pursuant to a surrogate's order," passed April 6, 1850,

makes the following provision for the case of an executor or administrator, or other person, authorized by an order of the surrogate to sell real estate of his testator or intestate for the payment of debts, dying or being removed from office, or becoming otherwise disqualified, while the order remains unexecuted in whole or in part.

Sec. 1. Whenever an order has been or shall be made by a surrogate for the mortgage, lease, or sale of the real estate of any deceased person, and the executor or administrator, or other person named therein shall die or be removed, or shall be otherwise disqualified from executing the same, while the same order remains unexecuted in whole or in part, the proceedings in relation thereto shall be in nowise affected by such death, removal, or disqualification; and it shall be lawful for the surrogate of the county by whom said order was made, to authorize the administrator to whom letters of administration shall have been issued on the goods, chattels and credits unadministered of said deceased, with the will annexed or otherwise, or a disinterested freeholder, as in the case of an original order, to execute said order in the same manner and with the like effect as if the said order had been executed by the executor or administrator, or other person originally named therein; provided, that the administrator or other person so to be authorized shall, before receiving such authority, give the like security as would be required on the granting of an original order for the mortgage, lease, or sale of any real estate.(r)

OF THE IRREGULARITIES, OMISSIONS, OR DEFECTS IN THE PROCEEDING BEFORE A SURROGATE FOR THE SALE OF THE REAL ESTATE OF A DECEASED PERSON FOR THE PAYMENT OF HIS DEBTS, WHICH DO NOT INVALIDATE THE SALE.

The Act "for the protection of purchasers of real estate, upon sales by order of surrogates," passed March 23, 1850, provides as follows:

Another act of the legislature of the year 1850 enacts as follows with respect to omissions and defects in proceedings under the Revised Statutes for the mortgage, lease, or sale of the real estate of deceased persons for the payment of their debts:

Sec. 1. Every sale heretofore made, or hereafter to be made, under any of the provisions of the fourth title of chapter six of the second part of the Revised Statutes, and of the acts amending the same, or in addition thereto, shall be deemed and held to be as valid and effectual as if made by order of a court having original general jurisdiction, and the title of any purchaser at any such sale made in good faith, shall not be impeached or invalidated by reason of any omission, error, defect or irregularity in the proceedings before the surrogate, or by any allegation of want of jurisdiction on the part of such surrogate; except in the manner and for the causes that the same could be impeached or invalidated in case such sale had been made pursuant to the order of a court of original general jurisdiction.

Sec. 2. No such sale, under any of the provisions of the fourth title of chapter sixth of part second of the Revised Statutes, and of the acts amending the same, shall be invalidated, nor in anywise im-

peached, for any omission or defect in any petition of any executor or administrator under the provisions of said title and acts amending the same, provided such petition shall substantially show that an inventory has been filed, and that there are debts, or is a debt, which the personal estate is insufficient to discharge, and that recourse is necessary to the real estate (or some of it) whereof the decedent died seised.

Sec. 3. Nor shall any such sale be invalidated, nor in anywise impeached, by reason that any such petition was or shall be presented by less than the whole number of executors or of administrators; nor by reason that, after the filing of any such petition, any bond required by law has been or shall be given by less than the whole number of the executors or administrators petitioning; nor by reason that any further proceeding, notice, sale, deed or return has been or shall be had or made by less than the whole number of executors or administrators petitioning; nor by reason of any irregularity in any matter or proceeding after the presenting of any petition, and the giving notice of the order to show cause why the authority or direction applied for should not be granted, and before the order confirming such sale; provided, that nothing in this act contained shall be construed to affect, in any manner, any suit or proceeding already commenced for the recovery of any lands, or the proceeds thereof, sold under or by virtue of any order of a Surrogate's Court.

Sec. 4. This act shall not be construed as authorizing any surrogate, or officer performing the duties of the office of surrogate, to make any order for the sale of the real property of a deceased person, or to confirm any such sale, unless, upon a due examination, he shall be satisfied that the provisions of said title have been complied with as if this act had not been passed.(s)

#### OF THE AUTHORITY OF AN ADMINISTRATOR WITH THE WILL ANNEXED OVER THE REAL ESTATE OF THE DECEASED.

It has been a matter of question whether an administrator with the will annexed has authority to sell real estate under a power granted by the will to the executor. By section 22, (2 R. S. 72,) as has heretofore appeared,(t) in all cases where letters of administration with the will annexed shall be granted, the will of the deceased shall be observed and performed; and the administrators, with such will, shall have the rights and powers, and be subject to the same duties as if they had been named executors in such will. In *Conklin v. Egerton's administrator*,(u) it was held by the Supreme Court, (Cowen, J.,) upon an elaborate discussion of the law on this subject, that, notwithstanding this provision, a power to an executor to sell and dispose of real estate granted by a will, and to divide the proceeds among devisees to whom the estate was given by a previous clause of the same will, cannot, after the death of the executor, be executed by an administrator *cum testamento annexo*. On a writ of error in the case,(v) the Court of Errors affirmed the judgment of the Supreme Court, but on another ground, and declined to express an opinion upon the point thus decided. In *Dominick*

(s) S. L. 1850, ch. 82; 3 R. S. (5th ed.) 192-3. (t) *Ante*, pp. 250, 396.

(u) 21 Wend. 430.

(v) *Egerton's adm.'r v. Conklin*, 25 Wend. 224.



*v. Michael*,<sup>(w)</sup> however, the Superior Court of the city of New York expressly determined that a power given by will to executors, to sell the real estate of the testator, if not executed by the executors during their lifetime, does not vest in, and cannot be executed by the administrator with the will annexed; that the common law powers of an administrator with the will annexed have not been altered by the Revised Statutes so as to enable him to execute such a power, and that the legislature, by the section of the Revised Statutes above recited, intended that an administrator with the will annexed should succeed merely to the rights, powers and duties of the executors in relation to the *real estate*; not that he should exercise any power over the real estate.

In *Le Fort and others v. Delafield*,<sup>(x)</sup> where the defendant, who was an administrator with the will annexed, according to the allegations of the bill, assumed to act as trustee of the real estate under the will, it was held, that whether the defendant, being merely the administrator in the place of the executors, could rightfully claim to be trustee of the real estate or not; yet, the complainants were at liberty to consider him in possession as their trustee, instead of regarding him as a mere wrongdoer or trespasser; and upon charges of neglecting his duty as trustee, such as were contained in the bill, that they had a right to ask for his removal and the appointment of a proper trustee in his place.

Where it was doubtful whether the administrator with the will annexed was authorized to execute a trust power given to a person who was also named in the will as executor, but who refused to accept the trust, the court appointed such administrator trustee, and directed him to execute the conveyance of the property, under the power in trust, both as administrator and as trustee.<sup>(y)</sup>

OF THE CONFIRMATION BY THE SUPREME COURT OF SALES OR CONVEYANCES OF THE REAL ESTATE OF DECEASED PERSONS FOR THE PAYMENT OF THEIR DEBTS, MADE UNDER ORDERS OF SURROGATES, WHERE IRREGULARITIES HAVE OCCURRED IN THE PROCEEDINGS.

The title of the Revised Statutes relating to the sale of the real estate of deceased persons for the payment of their debts, provides as follows:

Sec. 73. [Sec. 71.] Wherever a sale of any real estate has heretofore been made by virtue of an order of the Court of Probates or of any surrogate, and a conveyance executed in pursuance thereof, but without the concurrence of any discreet person besides the executor or administrator as heretofore required by law, and wherever any conveyance has been executed or shall be executed in pursuance of such sale, without setting forth at large the order of the surrogate directing such sale or the order confirming the same, the said irregularities may be rectified and the sales confirmed by the Supreme Court of the state.

Sec. 74. [Sec. 62.] Upon any application to the Supreme Court for confirmation of such sales, it shall direct a reference to examine and report touching the proceedings on such sale, and whether any heirs or devisees of the real estate sold, or persons claiming under them, reside within this state.

<sup>(w)</sup> 4 Sandf. Superior Ct. Rep. 374.

<sup>(y)</sup> *De Peyster v. Clendenning*, 9 Paige, 296.

<sup>(x)</sup> 2 Edw. Ch. Rep. 32.

Sec. 75. [Sec. 63.] Upon the coming in of the report, notice shall be published for eight weeks successively in the state paper, of such report being filed, and requiring all persons interested to appear before the chancellor, at such time and place as he shall have directed, to show cause why such sale and conveyance should not be confirmed.

Sec. 76. [Sec. 64.] If it appears by the report, that any heirs or devisees of the real estate sold, or any person claiming under them, reside within this state, then a copy of such notice shall be served on such heirs or devisees, or persons claiming under them, either personally or by leaving the same at their usual dwelling place, in case of their absence, at least fourteen days before the time appointed for such hearing.

Sec. 77. [Sec. 65.] If upon the hearing of such application, and the examination of the proceeding, it shall appear to the satisfaction of the Supreme Court that the said sale was made fairly, and in good faith, it shall make such order for confirming the sale and conveyance, as it shall deem equitable, and such sale and conveyance shall from that time be confirmed and valid, according to the terms of the order.<sup>(z)</sup>

Under these provisions of the statute, in a case in the late Court of Chancery,<sup>(a)</sup> where, upon an application to confirm a sale of real estate, had under an irregular order of a surrogate, it appeared that at the time the order for sale was made, there was personal estate in the hands of the administratrix more than sufficient to pay all the debts of the intestate, and there was no evidence that any part of the personal estate, or of the proceeds of the sale of the real estate, ever came to the hands of the heirs at law, it was held that the assignee of the purchaser was not entitled to an order confirming the sale, although the latter purchased the estate at the sale in good faith, without notice of the fraud committed by the administratrix, and it was considered that the application to the chancellor, under the statute, to confirm a sale under an irregular and illegal order of a surrogate, proceeds upon the ground that the sale was unauthorized, and that the legal title remains in the heirs at law; and, where the equities of the parties are equal, they will be left to their legal rights.

But in a recent case<sup>(b)</sup> it was determined that the provisions of the statute refer expressly and exclusively to the sale, that the inquiry enjoined by the statute relates to the conduct of the executor or administrator at the time of the sale, and cannot be extended to his previous acts, and that the investigation under the statute (independently of the question of jurisdiction) should be limited to the fairness and good faith of the sale. And the case above quoted, so far as it goes to sanction an inquiry into the regularity and propriety of the proceedings before the surrogate anterior to the order for a sale, and whether such order was warranted by the facts, was overruled. Upon the point whether the question as to the jurisdiction of the surrogate could be involved in the proceedings under the statute, no decision was arrived at; but it was determined that inasmuch as in that case the main object was to remedy a defect in the deed, and as the inquiry is limited to the fairness and good faith of the sale, the parties should not be precluded by the decree from subsequently contesting other matters.

<sup>(z)</sup> 2 R. S. 110-11; 3 R. S. (5th ed.) 198-9.

<sup>(a)</sup> *In the Matter of Hemmip*, 3 Paige, 306.

<sup>(b)</sup> *Bostwick v. Atkins*, 3 Comst. 53.

## CHAPTER XIV.

## OF PROCEEDINGS FOR THE REVOCATION OF PROBATES, AND FOR THE REMOVAL OF EXECUTORS; FOR COMPELLING EXECUTORS TO GIVE SECURITY, AND ADMINISTRATORS TO GIVE NEW SURETIES, WHERE THOSE ALREADY PROVIDED ARE INSUFFICIENT, OR DESIRE TO BE RELEASED.

It was seen, in a preceding portion of this work, in connection with the subject of the inventory,<sup>(a)</sup> that the surrogate may issue a revocation of the letters testamentary or of administration, granted to any executor or administrator, if he neglect or refuse, after summons, to return an inventory within the time and in the manner prescribed by law; and the proceedings and practice on such revocation were there described in detail. It was also seen<sup>(b)</sup> that the payment of legacies may be enforced by the surrogate, in the same manner as the return of the inventory; that obedience by an executor or administrator to a surrogate's order to render an account,<sup>(c)</sup> may be enforced in the manner directed to compel the return of an inventory; that in case of disobedience, the same proceedings may be had to attach the party so disobeying, and to discharge him; and that the like revocation of the letters granted to him may be made, in case of the party's absconding or concealing himself, so that the order cannot be personally served, or of his neglecting to render an account within thirty days after being committed; and that, whenever an absent or non-resident executor or administrator shall have been duly cited to appear and account before the surrogate, and such executor or administrator shall, without showing reasonable cause, neglect or refuse to appear, in pursuance of said citation, the surrogate issuing such citation may, in his discretion, thereupon revoke the letters testamentary or letters of administration before granted to such executor or administrator.<sup>(d)</sup> The other cases in which the authority of an executor or administrator may be revoked, and the practice on such revocation, remain to be treated of.

It may here properly be stated that the surrogate has no jurisdiction to accept the resignation of an executor or administrator, or to revoke his letters testamentary or of administration, or to remove him from his trust, except in the special cases provided for by law. Where an administrator resigned his trust to the surrogate and executed a paper renouncing the administration for the reason that he was about to remove from the village in which he resided, and found it inconvenient to act further as administrator, and the surrogate accepted his resignation and revoked his letters of administration, and appointed another administrator, it was considered that the surrogate had no authority to revoke the first letters of administration, that the first administrator was still the lawful administrator of the goods of the deceased, that, being so, the office was filled when the second letters of administration were issued, and that the surrogate had no authority or jurisdiction to grant such second letters of administration.<sup>(e)</sup>

(a) *Ante*, pp. 280, 285-6.

(c) *Ante*, pp. 482, 491-2.

(e) *Flinn v. Chase*, 4 Denio, 84.

(b) *Ante*, pp. 438, 453.

(d) *Ante*, pp. 482, 491-2.

When executors take a power to sell real estate in order that the proceeds may be appropriated by them in the discharge of the duties ordinarily devolved upon them, such as to pay debts, or to make any appropriation in such a manner that the proceeds may be considered as assets, a tribunal not intrusted with jurisdiction over the settlement of the estates of deceased persons cannot interfere to remove the executors for any cause, and to substitute others in their places. But when their duties as executors have terminated, and they have become simply trustees, the power conferred by the Revised Statutes<sup>(f)</sup> upon courts of equity to compel the resignation of a trustee, and to appoint another in his place, is applicable, and may be exercised. Accordingly, where executors were, by the will of the testator, appointed trustees, and as such were vested with a power of sale of certain real estate, and the surviving executor and trustee resigned his trust, and another trustee was substituted in his place by the court, it was held that such appointment of a new trustee was valid, and that the new trustee had all the power with respect to the sale of the real estate which was conferred by the will upon the executors and trustees therein named.<sup>(g)</sup>

#### OF PROCEEDINGS FOR THE REVOCATION OF THE PROBATE OF A WILL ON ALLEGATIONS.

Proceedings for the revocation of the probate of a will, on allegations of any of the next of kin of the deceased against the validity of the will, or the competency of the proof thereof, thereby destroying the authority of the executor, come first in order under this head.<sup>(h)</sup> The following sections of the 1st title of the 6th chapter of the 2d part of the Revised Statutes provide for the investigation, and prescribe the proceedings to be had for the purpose of such revocation.

Sec. 30. Notwithstanding a will of personal property may have been admitted to probate, any of the next of kin to the testator may, at any

(f) The provisions referred to are as follows:

Sec. 88. [Sec. 69.] Upon the petition of any trustee, the Supreme Court may accept his resignation, and discharge him from the trust, under such regulations as shall be established by the court for that purpose, and upon such terms as the rights and interests of the persons interested in the execution of the trust, may require.

Sec. 89. [Sec. 70.] Upon the petition or bill of any person interested in the execution of a trust, and under such regulations as for that purpose shall be established, the Supreme Court may remove any trustee who shall have violated or threatened to violate his trust, or who shall be insolvent, or whose insolvency shall be apprehended, or who for any other cause shall be deemed an unsuitable person to execute the trust.

Sec. 90. [Sec. 71.] The Supreme Court shall have full power to appoint a new trustee in place of a trustee resigned or removed; and when, in consequence of such resignation or removal, there shall be no acting trustee, the court in its discretion may appoint new trustees, or cause the trust to be executed by one of its officers under its direction.

Sec. 91. [Sec. 72.] The three last sections shall extend only to cases of express trusts. 1 R. S. 730-1; 3 R. S. (5th ed.) 22.

(g) *Leggett v. Hunter*, 25 Barb. Sup. Ct. Rep. 81. See, also, *In the Matter of Van Wyck*, 1 Barb. Ch. Rep. 565.

(h) Where there is an appeal from the decision of the surrogate, admitting the will to probate, and the circuit judge reverses the decision of the surrogate, upon a question of fact, and a feigned issue is made up and determined against the validity of the will, or against the competency of the proof thereof, by section 60, (2 R. S. 67; 3 R. S., 5th ed., 151,) the surrogate annuls and revokes the record or probate of the will, if any shall have been made; but a revocation on this ground can more conveniently be considered in connection with the subject of appeals from the orders and decrees of surrogates.

time within one year after such probate, contest the same, or the validity of such will, in the manner herein provided.<sup>(i)</sup>

Sec. 31. For that purpose, such relative shall file in the office of the surrogate by whom the will was proved, his allegations, in writing, against the validity of such will, or against the competency of the proof thereof.

Sec. 32. Upon the filing of such allegations, the surrogate shall issue a citation to the executors who shall have taken upon them the execution of such will, or to the administrators with such will annexed, and to all the legatees named in such will, residing in this state, or to their guardians, if any of them be minors, or their personal representatives, if any of them be dead; requiring them to appear before him, on some day to be therein specified, not less than thirty, and not more than sixty days from the date thereof, at his office, to show cause why the probate of such will should not be revoked.

Sec. 33. After the service of the citation, such executor or administrator shall suspend all proceedings in relation to the estate of the testator, except the collection and recovery of moneys, and the payment of debts, until a decision shall be had on such allegations.<sup>(j)</sup>

Sec. 34. At the time appointed for showing cause, and at such other times thereafter as the surrogate may appoint, upon due proof being made of the personal service of such citation, upon every person named therein, at least fourteen days before the time appointed for showing cause, the surrogate shall proceed to hear the proofs of the parties. If any legatees named in the will so contested shall be minors, and have no guardians, he shall appoint guardians to take care of their interests in the controversy.

Sec. 35. If, upon hearing the proofs of the parties, the surrogate shall decide that such will is, for any reason, invalid, or that it is not sufficiently proved to have been the last will and testament of the testator, he shall annul and revoke the probate thereof; if otherwise, he shall confirm such probate. Appeals from such decisions may be made in the manner, within the time, and with the effect prescribed by law.

Sec. 36. Upon any such hearing before the surrogate, the depositions of witnesses, taken on the first proof of the will, who may be dead, insane, or out of the state, may be received in evidence.

Sec. 37. Whenever any surrogate shall annul and revoke the probate of any will of personal property, as herein provided, he shall enter such revocation in his records, and attest the same; and shall cause notice thereof to be immediately served on the executors therein named, or upon the administrators with such will annexed, and to be published for three weeks in a newspaper printed in his county, if there be one: the expense of which publication shall be taxed as a part of the costs of the proceedings.

Sec. 38. Upon such notice being served upon such executor or administrator, his powers and authority shall cease, and he shall account to the representatives of the deceased person, whose alleged will was contested, for all moneys and effects received; but such executor or administrator shall not be liable for any act done in good faith, pre-

<sup>(i)</sup> 2 R. S. 61; 3 R. S. (5th ed.) 142.

<sup>(j)</sup> 2 R. S. 62; 3 R. S. (5th ed.) 142.



vious to the service of the citation, nor for any act so done in the collection of moneys, or the payment of debts, after the service of the citation, and previous to the service of the notice of revocation.

Sec. 39. The surrogate's fees and expenses shall be paid by the party contesting the validity of the will, or the probate thereof, in case such will or probate be confirmed; and in case such probate be revoked, the party who shall have resisted such revocation, may be required by the surrogate to pay the costs and expenses of the proceedings either personally, or out of the property of the deceased. In all cases, such payment may be enforced by process of attachment.<sup>(k)</sup>

These provisions call for few other comments than such as may be necessary, intelligibly to refer the reader to the forms and precedents for the practice under them to be found in the Appendix.

It is to be observed that, by the 30th section, the right to contest the probate, or the validity of the will, is given to the next of kin only, and that, strictly, by the words of the section, the widow is excluded, she not being one of the next of kin to the testator. But the widow is clearly within the spirit and equity of these provisions, and would, beyond all doubt, be held entitled to take proceedings under them.

The allegations are not required to be under oath, nor is the party obliged to state in them anything more than his objections against the validity of the will or the competency of the proof thereof. But by the 32d section, the surrogate is to issue a citation to the executors of the will, or to the administrators with the will annexed, and to all the legatees named in the will, residing in this state, or to their guardians, if any of them be minors, or their personal representatives, if any of them be dead, requiring them to appear and show cause why the probate should not be revoked. The names of the executors of the will, or of the administrators with the will annexed, will appear by the records in the surrogate's office, and in many cases the names of the legatees may be ascertained by an inspection of the record of the will, but even in respect to the names of the legatees, the surrogate may not always be able to obtain from the records the requisite information, as where the bequest is to certain persons whose names are not given, but who are designated by the terms "children," "issue," or otherwise, and in scarcely any case can he learn positively from sources in his office, whether the legatees are of full age, or minors, and if minors, whether they have guardians, and the names of such guardians, or whether any of them has died, and if so, the names of his personal representatives, if any, or where the legatees, or such guardians, or such personal representatives reside. It is submitted, therefore, that a statement of these particulars should be included in or should accompany the allegations, to enable the surrogate to issue the citation correctly. [For forms of allegations, see Appendix, No. 98.]

On filing the allegation, the citation issues. An order must be entered in the surrogate's minutes for issuing the same. [For forms of the order and of the citation, see Appendix, No. 99.]

At the time appointed for showing cause, there should be an inspection of the will and an examination as to the residence of the legatees,

and as to the other facts respecting them specified in the 32d section, so that it may be ascertained whether the citation has been directed to and served upon all the persons named in that section. It will, perhaps, prove useful to have the appearances of the parties who attend on the return of the citation, and the defaults of those who fail to attend, duly noted and entered. If any of the legatees are minors, having no general guardians in this state, proof should be taken of the due service of the citation upon them, and a special guardian should be appointed. If any of the infant legatees have a general guardian appointed by the will in question, the practice is to serve the citation on both the infant and such guardian, and on the return of the citation the surrogate will decide as to the appointment of a guardian *ad litem*. The practice heretofore prescribed for bringing minors into court, on the settlement of an account of an executor or administrator,<sup>(l)</sup> may safely be used in the present proceeding. The directions there given, and the forms there referred to, may easily be applied and adapted to this case.

The proof of the service of the citation required by the 34th section will be by affidavit; and, on such proof being furnished, and after the appointment of guardians for the minors, if the same be necessary, the examination into the allegations, and the validity of the will, and the competency of the proof thereof, is entered upon. Upon the hearing on the allegations before the surrogate, it is not sufficient for the executors or legatees, in the first instance, in answer to the citation to "show cause why the probate of the will should not be revoked," to present the probate of the will as *prima facie* evidence of its validity. Though the probate is generally conclusive as to the validity of the will, it is of no force in a proceeding instituted directly to impeach the probate itself. If the allegations are sufficiently broad to question the validity of the will, and the competency of the proof, the executors or parties interested against the allegations must prove the will, *de novo*, by original proof; and none of the depositions taken on the first proof can be received in evidence, except in the precise cases pointed out by the above 36th section of the statute—where the witness is dead, insane, or out of the state.<sup>(m)</sup> The party filing the allegations will probably not be permitted to bring testimony as to any other objections against the will, or the probate thereof, than those specified in the allegations.

Under sec. 57, 2 R. S. 80, the testimony taken by the surrogate must be reduced to writing, and entered by him in a proper book, to be provided and preserved as part of the books of his office. It is commonly recorded in the book of current daily minutes.

If the surrogate decide, upon the evidence, that the will is for any reason invalid, or that it is not sufficiently proved, he annuls and revokes the probate thereof. Such revocation must, by the 37th section, be entered by the surrogate in his records, and attested by him, and notice thereof must be served and published as therein provided; and, by the 39th section, the party who shall have resisted such revocation

(l) See *ante*, p. 506.

(m) *Collier v. Idley's ex'rs*, 1 Bradf. Surr. Rep. 94.

may be required by the surrogate to pay the costs and expenses of the proceedings, either personally or out of the property of the deceased, and such payment may be enforced by process of attachment. [For form of the order of revocation, requiring, also, the payment of the fees and expenses, see Appendix, No. 100.]

If the surrogate decide that the allegations have not been sustained, and that the will is valid and sufficiently proved, he makes an order dismissing the allegations and confirming the probate, and directing the party who contested the validity of the will or the probate, to pay the fees and expenses of the proceedings. According to the 39th section, the order may require such payment, or, in default thereof, allow an attachment to issue against the party. [For form of the order, see Appendix, No. 101.]

In case the order provide for enforcing the payment of the fees and expenses by attachment, upon proof of personal service of the order, and of the bill of fees and expenses, and demand, and of default in payment, an attachment will issue. The proceedings on such attachment will be governed by the provisions of the Revised Statutes relating to attachments,<sup>(n)</sup> heretofore particularly referred to in connection with the subjects of the jurisdiction and powers of surrogates, and of the inventory. [For forms of proof on which an attachment may issue, order for issuing attachment and attachment, see Appendix, No. 102.] Similar proceedings are to be had to enforce the payment of the costs and expenses of the proceedings, in case the probate be revoked, if the surrogate direct the party resisting such revocation to pay the same, either personally or out of the estate, and allow an attachment to issue.<sup>(o)</sup>

**OF PROCEEDINGS FOR THE REMOVAL OF AN EXECUTOR WHO IS LEGALLY INCOMPETENT, AND TO COMPEL AN EXECUTOR, WHOSE CIRCUMSTANCES ARE SO PRECARIOUS AS NOT TO AFFORD ADEQUATE SECURITY FOR HIS DUE ADMINISTRATION OF THE ESTATE, TO GIVE SECURITY, OR TO REMOVE HIM FOR WANT OF SECURITY.**

By the 18th section of the 2d title of the 6th chapter of the 2d part of the Revised Statutes, if, after letters testamentary shall have been granted to any person named as executor in any will, complaint shall be made to the surrogate of the county in which such letters were granted, by any person interested in the estate of the deceased, that the person so appointed executor has become incompetent by law to serve as such, or that his circumstances are so precarious as not to afford adequate security for his due administration of the estate, or that he has removed, or is about to remove, from this state, the surrogate shall proceed to inquire into such complaint.<sup>(p)</sup>

By section 19, such surrogate shall thereupon issue a citation to the person complained of, requiring him to appear before such surrogate, at a day and place therein to be specified, to show cause why he should not be superseded; which citation shall be personally served on the

<sup>(n)</sup> 2 R. S. 536. See *ante*, p. 34; S. L. 1837, 535; 2 R. S. 223; 3 R. S. (5th ed.) 367.

<sup>(o)</sup> With respect to the effect of an appeal from the surrogate's decision upon allegations, see *Mason v. Jones*, 2 Bradf. Surr. Rep. 181, 325.

<sup>(p)</sup> 2 R. S. 72; 3 R. S. (5th ed.) 156-7.

person to whom it may be directed, at least six days before the return thereof, if he be in the county; and, if he shall have absconded from such county, it may be served by leaving it at his place of residence.

By the 61st section of the act of 16th May, 1837, concerning the proof of wills, &c., whenever the surrogate shall issue a citation to any administrator, executor or guardian, requiring him to show cause why he should not be removed from office, the surrogate shall have power to enter an order enjoining such executor, administrator, or guardian, from further acting in the premises until the matter in controversy shall be disposed of.<sup>(q)</sup>

By the 20th section of the above mentioned title of the Revised Statutes, upon due proof of the service of such citation, the surrogate shall proceed at the day appointed, or on such other day as he shall appoint, to hear the proofs and allegations of the parties; and, if it appear that the circumstances of the person so appointed are precarious, as aforesaid, or that such person has removed, or is about to remove, from this state, he shall require such person to give bond, with sureties, like those required by law of administrators, within a reasonable time, not exceeding five days.<sup>(r)</sup>

By section 21, if such person neglect to give such bond, or if it appear that he is legally incompetent to serve as executor, the surrogate shall, by order, supersede the letters testamentary so issued to such person, whose authority and rights as an executor shall thereupon cease; and if there be no acting executor of such will, the surrogate shall grant letters of administration with the will annexed, of the assets of the deceased left unadministered, as provided in this title.

The complaint to the surrogate, under the above quoted 18th section, should be presented in writing, and should be in the shape of a petition, praying a citation under the succeeding 19th section. It is supposed that, by the words of the 18th section, the surrogate may issue the citation to the executor to show cause upon a mere statement of a complaint against him, and leave the party to bring forward the particulars of his charges on the return day of the citation; and that the inquiry, referred to in the last clause of the section, is one which is to take place on the hearing of the matter, after the executor has been duly cited, and not on the issuing of the citation. The petition, however, should state such particulars, as to the situation and value of the estate of the decedent, and the pecuniary circumstances of the executor, as, *prima facie*, to render it probable that the estate of the testator will not be safe in the hands of the executor. The petition will not be sufficient when the petitioner only states therein, generally, in the language of the Revised Statutes, that, according to his information and belief, the circumstances of the executor are so precarious as not to afford adequate security for the due administration of the estate.<sup>(s)</sup> And, at any rate, if the party desire to have the executor enjoined, pursuant to the above quoted provision of the law of 1837, he should set forth fully, in his petition, the facts on which he founds his complaint against the executor, and should make out at least a *prima*

(q) S. L. 1837, 535; 3 R. S. (5th ed.) 366, sec. 15. See *ante*, p. 29.

(r) 2 R. S. 72; 3 R. S. (5th ed.) 157.

(s) *Colegrove v. Horton*, 11 Paige, 261.

*facie* case for his removal, or for requiring him to give security. The petition, in such case, should contain a prayer for the proper order enjoining the executor, and should also be under oath. [For form of the petition, see Appendix, No. 103.]

On filing the petition with the surrogate, the citation issues. An order for issuing the same must be entered in the minutes. [For forms of the order and of the citation, see Appendix, No. 104.]

Before granting the order restraining the executor, if the same be asked for, the surrogate may require other evidence besides that furnished by the petition. Such evidence may be presented by the affidavits of persons acquainted with the circumstances of the case, substantiating the charges in the petition. If the petition and such evidence, if required, be deemed sufficient by the surrogate to authorize him to enjoin the executor, he will make an order accordingly. [For form of such order, see Appendix, No. 105.] The order must be served on the executor.

A copy of the petition should be served on the executor at the same time with the service of the citation, as otherwise the executor, on the return day of the citation, will be entitled to an adjournment to enable him to inform himself of the complaint on which the proceedings are founded.

On the return day of the citation, if the executor fail to appear, on proof of due service of the citation upon him, the matter will be heard *ex parte*, and the surrogate will make the proper order in the case upon the complaint and evidence furnished by the petitioner. The surrogate should give to the executor an opportunity to put in a sworn answer to the petition.<sup>(s)</sup> If both parties appear, issue may be joined as to the interests of the petitioner in the estate, or as to the competency of the executor, or the adequacy of his circumstances, as security for his due administration of the estate, or the fact of his removal or intention to remove from this state, and witnesses may be examined on either side as in other cases.

A very small interest, it is presumed, will be sufficient to sustain the proceedings on the part of the petitioner. Any person interested in the estate of a testator, may apply to the surrogate for an order, requiring the executor to show cause why he should not be superseded, on the ground that his circumstances are so precarious as not to afford adequate security for the due administration of the estate. And an apparent interest, positively sworn to, will be sufficient to justify the order; and the validity of the claim of the petitioner will not be tried on such an application. A mere allegation of irresponsibility, however, is not enough to compel an executor to give security; if the allegation be denied, the charges must be proved.<sup>(t)</sup>

Where the executor, on the return of the citation, answers the petition and denies the allegations therein, upon oath, the petitioner must produce proof to establish the truth of such allegations; and if he fail to do so, his petition should be dismissed. And the surrogate cannot

<sup>(s)</sup> *Colegrove v. Horton*, 11 Paige, 261.

<sup>(t)</sup> *Cotterell v. Brock*, 1 Bradf. Surr. Rep. 148.



require the executor to prove his responsibility, before any doubt is raised as to such responsibility, by proof introduced by the petitioner.<sup>(u)</sup>

With reference to compelling the executor to furnish security, the chancellor, in *Mandeville, executor, &c. v. Mandeville*,<sup>(v)</sup> which was an appeal by an executor from a decree of a surrogate ordering him to give security, has given the following comprehensive exposition of the provision of the statutes now under consideration, and laid down the rule to be observed in respect to requiring security of executors on the ground of the inadequacy of their circumstances. He says:

"I think the surrogate erred, in this case, in supposing that the circumstances of the appellant were so precarious as not to afford adequate security for his due administration of the estate of the decedent, according to the true construction of the provision of the Revised Statutes under which this proceeding was instituted. (2 R. S. 72, sec. 18.) It certainly could not have been the intention of the legislature to prohibit the granting of letters testamentary to any executors except such as are possessed of property of their own, to the full value of the estate which the testator has authorized and appointed them to administer; or that an executor should be superseded in his trust, or required to find security, whenever his property was reduced below that of the decedent. Such a construction of the statute would render it almost impossible for a man of a large property to select an executor who would be both able and willing to assume the execution of the trust. The obvious meaning of the statute is, that an executor may be required to give security whenever the surrogate is satisfied that his circumstances are such as to render it doubtful whether the property will be safe in his hands, to be disposed of, or administered, as directed by the will."

The statute is applicable to the case of an executor who has not sufficient property, exclusive of the contingent interest of his wife, in the proceeds of the real estate of the testator, to pay his debts.<sup>(w)</sup> In determining the question whether or not the executor is in such precarious circumstances as to make it proper to require security, the proportion of the estate belonging to the executor by the provisions of the will, may be taken into consideration in estimating the executor's pecuniary means—regard also being had to the extent of the claims existing against the estate.<sup>(x)</sup>

If the evidence in the case do not show an interest in the petitioner to entitle him to demand security of the executor, or that the executor is incompetent, or that his circumstances are precarious, or that he has removed or is about to remove from this state, the complaint will be dismissed, and the order of injunction vacated, and the petitioner should be ordered to pay the fees and expenses of the proceeding, and the executor's costs. Such payment, if ordered, may be enforced by attachment.

If it be shown that the executor has become legally incompetent, according to the provisions of the section of the statute prescribing the qualifications of executors, hereinbefore given in connection with the

<sup>(u)</sup> *Colegrove v. Horton*, 11 Paige, 261.

<sup>(v)</sup> 8 Paige, 475.

<sup>(w)</sup> *Holmes v. Cook*, 2 Barb. Ch. Rep. 426.

<sup>(x)</sup> *Cotterell v. Brock*, 1 Bradf. Surr. Rep. 148.

subject of granting letters testamentary, (y) then an order must be made by the surrogate, which must be entered in his minutes, superseding the letters testamentary issued to such executor. The surrogate cannot take a bond in such a case.

Nor can the surrogate supersede the letters testamentary where the case made out against the executor, though one of flagrant misconduct, still did not show the executor to be incompetent, but was one, the remedy for which is by requiring the executor to give security. Where the complaint against the executor is that he is incompetent by reason of improvidence; immoral conduct, (z) negligence, or abuse of his trust will not sustain the charge. The executor may be ordinarily careful and prudent in business, and he may be quite capable, if he were well disposed, of managing the estate discreetly and safely. In a case of negligence or abuse of trust, the utmost that can be said is that the executor has misbehaved himself in his trust; but the jurisdiction to make the order removing him from his office, does not attach upon such a state of things alone. If he was possessed of such general qualities of mind and conduct as to render him fit to be entrusted with the office for which the testator selected him, but has, nevertheless, mismanaged the trust, he is to be proceeded against for such mismanagement, instead of being declared incompetent for improvidence. (a)

An executor, who is a gambler, may, however, without doubt, be removed on the ground of improvidence, (b) and for a stronger reason than that which denies him letters of administration, because, as executor, he does not give security.

If it appear that the circumstances of the executor are so precarious as not to afford adequate security for his due administration of the estate, or that he has removed, or is about to remove, from this state, then the order will be that the executor give bond with, sureties like those required by the law of administrators, within a certain time, not exceeding five days. [For form of the order, see Appendix, No. 106.]

If the executor propose to comply with the order to give security, then the same proceedings are to be had to fix the penalty of the bond, and to ascertain the sufficiency of the sureties, as those heretofore described in these pages, on application for administration in cases of intestacy. (c) The statute does not fix the amount of the security to be given by an executor who is irresponsible, except that it cannot be less than twice the value of the personal estate. But where the proceeds of real estate may come into the hands of an executor, by virtue of his trust, for the benefit of others, security in double the amount of such proceeds is not unreasonable, when the executor has become insolvent, unless the amount which is to come to his hands is very large. In that case, security to a limited amount beyond the funds to be administered, should be deemed sufficient. (d)

(y) See *ante*, p. 214; 2 R. S. 69; 3 R. S. (5th ed.) 154, sec. 3.

(z) See *Cooper v. Lowerre*, 1 Barb. Ch. Rep. 45.

(a) *Emerson agst. Bowers*, 4 Kern. 449. See the question of the competency of executors and administrators considered, in connection with the subject of granting letters testamentary and letters of administration, *ante*, pp. 214-15, 230, 240-1.

(b) *McMahon agst. Harrison*, 2 Seld. 443.

(c) See *ante*, pp. 242, 246.

(d) *Holmes v. Cook*, 2 Barb. Ch. Rep. 426, 429.

The statute directs that the bond shall be like those required by law from administrators. The bond, therefore, must be taken in the name of the people; and conditioned that the executor shall faithfully execute the trust reposed in him as such, and that he will obey all orders of the surrogate touching the administration of the estate committed to him. It is a bond for the benefit of every person interested in the estate of the testator, and not merely for the benefit of the distributees, upon whose application the surrogate directs security to be given. Indeed, if taken only in a sum sufficient to cover the interest of the petitioner upon whose application the order for security was obtained, it will not afford him adequate protection; for the whole amount recovered against the sureties would have to be distributed among all those who had suffered by the executor's mal-administration of the funds.(e)

If the executor neglect to give the proper bond within the time limited by the order, then a decree will be made by the surrogate superseding his letters testamentary. [For form of such decree, see Appendix, No. 107.] The fees and expenses of the proceedings, and the petitioner's costs, will in most cases have to come out of the estate of the deceased. It is presumed that, where the executor unduly resists the application to have him removed, or to compel him to give security, such fees and expenses and costs may be charged upon him personally.

OF PROCEEDINGS TO COMPEL AN ADMINISTRATOR, WHOSE SURETIES ARE INSUFFICIENT, TO GIVE FURTHER SURETIES, OR, FOR WANT OF SUCH FURTHER SURETIES, TO REMOVE HIM FROM HIS TRUST.

By the 25th section of the Law of 1837, when any person interested in the estate of the deceased, shall discover that the sureties of any administrator are becoming insolvent, that they have removed, or are about to remove from this state, or that for any other cause they are insufficient, such person may make application to the surrogate who granted the letters of administration for relief.(f)

By section 26, if the surrogate shall receive satisfactory evidence that the matter requires investigation, he shall issue a citation to such administrator, requiring him to appear before such surrogate, at a time and place to be therein specified, to show cause why he should not give further sureties, or be superseded in the administration; which citation shall be served personally on the administrator, at least six days before the return day thereof; or, if he shall have absconded, or cannot be found, it may be served by leaving a copy at his last place of residence.

The application for relief, under the 25th section, should be under oath, and should state fully the facts as to the insolvency of the sureties of the administrator, or as to their removal from this state, or as to any other supposed cause of their insufficiency, so as to furnish competent evidence to the surrogate that the matter requires investigation; and if such facts are not within the knowledge of the party, he should accompany his petition with the affidavits of other persons acquainted with the circumstances. Unless there be proof of the necessity for the proceedings, the surrogate cannot issue the citation. The prayer of

(e) *Holmes v. Cook*, 2 Barb. Ch. Rep. 426, 429.

(f) S. L. 1837, 529; 3 R. S. (5th ed.) 163.

the petition will be for relief, pursuant to the statute; and if the party desire to have the administrator enjoined, pursuant to the 61st section above quoted of the Law of 1837, he should include a prayer for an order so enjoining the administrator, in his petition. [For form of the petition, see Appendix, No. 108.]

If the surrogate be satisfied that the matter requires investigation, he issues the citation; and if an order enjoining the administrator is asked for, and the case is a proper one for such order, he allows the same. [For form of the order for issuing the citation, and form of the citation, see Appendix, No. 109.] The form of the order of injunction will be similar to that in the last preceding case. [See Appendix, No. 105.] The citation must be served according to the provisions of the 26th section above quoted.

By the 27th section, on the return of the citation, or at such other time as the surrogate shall appoint, he shall proceed to hear the proofs and allegations of the parties; and if it shall satisfactorily appear that the sureties are, for any cause, insufficient, the surrogate may make an order requiring such administrator to give further sureties, in the usual form, within a reasonable time, not exceeding five days.<sup>(g)</sup> [For form of the order requiring further sureties, see Appendix, No. 110.]

By the 28th section, if such administrator neglect to give further sureties, to the satisfaction of the surrogate, within the time prescribed, the surrogate shall, by order, revoke the letters of administration issued to such administrator, whose authority and rights as an administrator shall thereupon cease. [For form of the order revoking the letters of administration, see Appendix, No. 111.]

The reader will find the remarks above made, with reference to the payment of fees and costs, on proceedings to compel an executor to give security, or to remove him from his trust, applicable, to a certain extent, to proceedings under the sections which have now been considered.

**OF PROCEEDINGS BY A SURETY OF AN ADMINISTRATOR, TO BE RELEASED FROM RESPONSIBILITY FOR THE FUTURE ACTS OR DEFAULTS OF THE ADMINISTRATOR, AND TO COMPEL SUCH ADMINISTRATOR TO GIVE NEW SURETIES, AND, FOR WANT OF NEW SURETIES, TO REMOVE HIM FROM HIS TRUST.**

By the 29th section of the Law of 1837, when either or all of the sureties of any administrator shall desire to be released from responsibility, on account of the future acts or default of such administrator, they may make application to the surrogate who granted letters of administration, for relief.<sup>(h)</sup> [For form of the application under this provision, see Appendix, No. 112.]

By the 30th section, the surrogate shall thereupon issue a citation to such administrator, requiring him to appear before such surrogate, at a time and place to be therein specified, and give new sureties, in the usual form, for the faithful discharge of his duties; which citation shall be served in the manner prescribed by the 26th section of this act.<sup>(i)</sup> [For form of the order for issuing the citation, and form of the citation under this provision, see Appendix, No. 113.]

<sup>(g)</sup> S. L. 1837, 529; 3 R. S. (5th ed.) 163.

<sup>(h)</sup> S. L. 1837, 529; 3 R. S. (5th ed.) 163.

<sup>(i)</sup> S. L. 1837, 529; 3 R. S. (5th ed.) 163-4.

By section 31, if such administrator shall give new sureties to the satisfaction of the surrogate, the surrogate may thereupon make an order that the surety or sureties who applied for relief in the premises, shall not be liable on their bond for any subsequent act, default, or misconduct of such administrator.<sup>(j)</sup>

[For form of the order releasing the sureties, see Appendix, No. 114.]

By section 32, if such administrator neglect to give new sureties to the satisfaction of the surrogate, on the return of the citation, or within such reasonable time as the surrogate shall allow, not exceeding five days, the surrogate shall, by order, revoke the letters of administration issued to such administrator, whose authority and rights as an administrator shall thereupon cease.<sup>(k)</sup>

[For forms of the orders under this section, see Appendix, No. 115.]

By section 33 of the Law of 1837, in all cases in which letters of administration shall have been granted to more than one person, and the surrogate granting the same shall have revoked the same, in pursuance of the previous provisions of this act,<sup>(l)</sup> as to part only of such administrators, the person or persons whose letters have not been revoked, shall have the further administration of the respective estates subsequent to such revocation. Any suit brought previous to such revocation, may be continued the same as if no such revocation had taken place. In all other cases of revocation, as aforesaid, the surrogate shall grant administration of the goods, chattels and credits not administered, in the manner prescribed by law.<sup>(m)</sup>

#### OF THE REVOCATION OF LETTERS FOR FALSE REPRESENTATIONS MADE WHEN ISSUED.

By section 34, whenever it shall appear to the surrogate that letters of administration or letters of guardianship have been granted on or by reason of false representations made by the person to whom the same were granted; and also whenever it shall appear that an administrator or guardian has become incompetent by law to act as such, by reason of drunkenness, improvidence, or want of understanding, the surrogate shall have power to revoke such letters. And in case a woman marries after being appointed an executrix, administratrix, or guardian, the surrogate, on the application of any person interested, shall have power to revoke such appointment.<sup>(n)</sup>

It would seem, that where letters of administration are granted on a mistake of facts, that this may be brought within the spirit of misrepresentation, by reason of which the surrogate could revoke them under this provision.<sup>(o)</sup>

And independently of the statute of 1837, the surrogate is authorized to call in and revoke letters of administration which have been irregularly and improperly obtained, upon a false suggestion of a matter of fact, and without due notice to the party rightfully entitled to the administration.<sup>(p)</sup>

<sup>(j)</sup> S. L. 1837, 530; 3 R. S. (5th ed.) 164.

<sup>(k)</sup> S. L. 1837, 530; 3 R. S. (5th ed.) 164.

<sup>(l)</sup> These are the provisions of the sections, numbered from 25 to 33, above given.

<sup>(m)</sup> S. L. 1837, 530; 3 R. S. (5th ed.) 164.

<sup>(n)</sup> S. L. 1837, 530; 3 R. S. (5th ed.) 164.

<sup>(o)</sup> *Perley v. Sands*, 3 Edw. Ch. Rep. 325.

<sup>(p)</sup> *Proctor v. Wanwaker*, 1 Barb. Ch. Rep. 302, 308, and cases cited.



Proceedings for the revocation of letters testamentary, or of administration, under this section, will be similar in all respects to those above described for superseding the letters testamentary issued to an executor on the ground of the incompetency of such executor, and the forms there referred to may easily be adapted to such proceedings.

**OF REQUIRING AN EXECUTOR OR ADMINISTRATOR TO GIVE INCREASED SECURITY.**

By section 35, whenever it shall appear that the penalty of the bond taken from an executor, administrator, or guardian, is inadequate in amount, the surrogate shall have power to make an order requiring him to give additional security for the faithful performance of his duty as such executor, administrator, or guardian; and, in case of non-compliance with such order, the surrogate may revoke the letters granted to him.<sup>(q)</sup>

The proceedings to compel an executor or administrator to give additional security under this section, and, in case of non-compliance, to remove him from his trust, will be similar to those above described for compelling an administrator to give new sureties where his present sureties are insufficient; and similar forms may be used to those there referred to.

**OF ACCOUNTS TO BE RENDERED BY EXECUTORS OR ADMINISTRATORS WHO HAVE BEEN SUPERSEDED, OR WHOSE LETTERS HAVE BEEN REVOKED.**

By section 68, article three, title three, chapter six, part two of the Revised Statutes, whenever the authority of an executor or administrator shall cease, or be revoked or superseded for any reason, he may be cited to account before a surrogate, at the instance of the person succeeding to the administration of the same estate, in like manner as hereinbefore provided for a creditor.<sup>(r)</sup>

By section 69, in every such case the executor or administrator may cite the person succeeding to the administration of the same estate, to attend an account and settlement of his proceedings before the surrogate, by giving such reasonable notice as the surrogate shall direct, and by serving and publishing, in the manner hereinbefore provided, a citation to creditors and others; and such settlement and account shall have the like effect in all respects as in the case of a settlement at the instance of a creditor.

By the 36th section of the Law of 1837,<sup>(s)</sup> the surrogate shall have the same jurisdiction in requiring any administrator whose letters have been revoked, as hereinbefore provided, to render an account of his proceedings, as is conferred by the third article of title three, chapter six, of the second part of the Revised Statutes. The new administrator shall, within a reasonable time, or, in case of his neglect, the other person mentioned in such article may make application for such account; and such application may be made at any time after the revocation of the letters as aforesaid.

The proceedings for compelling an executor or administrator who has been removed to account, and for the settlement of his accounts,

<sup>(q)</sup> S. L. 1837, 530; 3 R. S. (5th ed.) 164.

<sup>(s)</sup> S. L. 1837, 531; 3 R. S. (5th ed.) 182.

<sup>(r)</sup> 2 R. S. 95; 3 R. S. (5th ed.) 181-2

will be similar to those described in the previous chapter of this work, on the rendering and settling of the accounts of executors and administrators. The surrogate will usually direct the same number of days' notice of the settlement of the account to be given to the newly appointed executor or administrator, under the above quoted 69th section, as is required to be given to parties in interest residing in the county. Such direction may be included in the order for the issuing of the citation. With the exception of this particular, in all other respects the proceedings will be like those above referred to.

## CHAPTER XV.

### OF COSTS AND FEES IN THE SURROGATE'S COURT, AND OF THE LIABILITY OF EXECUTORS AND ADMINISTRATORS TO THE PAYMENT OF COSTS.

#### OF COSTS AND FEES IN THE SURROGATES' COURTS.

PREVIOUS to the Revised Statutes, it was not the practice of the Surrogates' Courts to give costs in favor of one party against another in testamentary matters. Costs are given by the ecclesiastical courts in England, in such cases, both in original suits and on appeals; but it seems that such a practice was never adopted in this state.<sup>(a)</sup>

By the Revised Statutes, as has been seen at a previous page of this work, in connection with the subject of the powers and jurisdiction of surrogates,<sup>(b)</sup> in all cases of contest before a Surrogate's Court, such court may award costs to the party in the judgment of the court entitled thereto, to be paid either by the other party personally, or out of the estate which shall be the subject of such controversy. Under this provision, costs were allowed and taxed at the rate fixed for similar services in the Court of Chancery, although such rate or any other was not prescribed by the statute.

By the 70th section of the act of the 16th May, 1837, "concerning the proof of wills," &c., as has also heretofore been seen, it was provided that in all cases where the surrogate is authorized by law to award costs, he shall tax the costs at the same rate allowed for similar services in the Courts of Common Pleas.<sup>(c)</sup> At the time of the passage of the last mentioned act, the provisions of the statute declaring the rate to be allowed for the services referred to in the Courts of Common Pleas, could, without difficulty, be applied to the allowance of costs in the Surrogate's Court. Those provisions were repealed by the act "concerning costs," &c., passed 14th May, 1840,<sup>(d)</sup> and there are now scarcely any provisions of the statutes distinctly applicable to allowances for services in the Courts of Common Pleas, similar to those ren-

(a) See 3 Paige, 185; 5 Cowen, 719; 1 Bradf. Surr. Rep. 37.

(b) 2 R. S. 223; 3 R. S. (5th ed.) 367, sec. 25 [sec. 10]. *Ante*, p. 39.

(c) S. L. 1837, 536; 3 R. S. (5th ed.) 367; *Ante*, p. 39.

(d) S. L. 1840, pp. 326-7, sec. 40.

dered in the Surrogates' Courts, nor any otherwise fixing the rate of taxation of costs in the Surrogates' Courts.

At the present time, by the operation of the constitution and the Code, the Court of Chancery and the Courts of Common Pleas are no longer in existence, and the costs of proceedings to judgment, except on appeals, are the same in all courts of record.<sup>(e)</sup>

It has been determined, however, that costs are still to be taxed in the Surrogates' Courts at the same rates as were allowed for similar services in the Courts of Common Pleas in the year 1837.<sup>(f)</sup>

In the Surrogates' Courts, there are not any stated terms. In a case of contest, attorneys' or proctors' fees can be taxed only as for a single trial, except for services in relation to motions or interlocutory proceedings. A charge for copies of the depositions, or minutes of the evidence taken by the attorney or proctor in the course of the trial, is not taxable.<sup>(f)</sup>

It will be observed that the provision above quoted from the Revised Statutes allows costs in the Surrogates' Courts "in all cases of contest." This is construed to prohibit such allowance in any other cases. The power to allow costs at all depends upon the statute, and is to be limited to the cases there specified. In the ordinary proceedings in the Surrogate's Court, there being no contest, no costs are allowable.

The surrogate awards costs upon the principles which govern courts of equity in similar cases. There has repeatedly been occasion, in discussing the various proceedings in the Surrogate's Court treated of in this work, to point out cases in which it was considered that one or the other party, or the estate, should be charged with costs; and, in the precedents of orders to be found in the Appendix, provision will frequently be found for compelling payment of the costs of the controversy.

The surrogate is not authorized to decree the payment of costs out of the estate of the decedent in the hands of his personal representatives, to the exclusion of their commissions for receiving and paying out moneys, if the amount in their hands is not sufficient to pay both. But if it is a proper case to charge them with the costs of the adverse party, upon the proceedings before the surrogate, there should be a decree against them, directly and personally, for the payment of such costs.<sup>(g)</sup>

Nor is the surrogate, upon the settlement of the accounts of executors or administrators before him, authorized to make an arbitrary allowance for services and counsel fees, to be paid by one party to the other, or to be paid out of the estate, without reference to the taxable costs allowed for similar services in other courts.<sup>(g)</sup>

Where an executor or administrator employs counsel to assist him in arranging his accounts and carrying on the proceedings for the final settlement of the accounts before the surrogate, he will be personally

<sup>(e)</sup> Constitution, art. xiv; Code, title 10.

<sup>(f)</sup> *Western v. Romaine*, 1 Bradf. Surr. Rep. 37; *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

<sup>(g)</sup> *Halsey v. Van Amringe*, 6 Paige, 12; *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

liable to pay such counsel his disbursements and for his services, and it is not competent for the executor or administrator to make an agreement with his counsel, on which the latter can maintain any claim against the estate. The counsel of the executor or administrator are not creditors of the deceased, nor can they make any claim against his estate for services thus rendered to the executor or administrator. And such counsel, not being parties to the proceedings before the surrogate for the final settlement of the accounts, cannot have costs awarded to them—the statute authorizing the surrogate to award costs to *parties* only.<sup>(h)</sup>

The general principle is, as was stated in a previous chapter, that executors are entitled to their costs, in settling their accounts, so far as they are not in fault; and bound to pay costs, as to such inquiries in the action as are caused by their breach of trust.<sup>(i)</sup> And a creditor, calling an administrator to an account, cannot recover costs unless he obtains a dividend.<sup>(j)</sup> But where contestants succeed in their objections to the account of an executor or administrator before the surrogate, they are entitled to costs to be paid out of the estate of the deceased,<sup>(k)</sup> or by the executor or administrator personally, as the surrogate may adjudge.

It is stated, by authority, that by the policy of the law relating to Surrogates' Courts, the only mode of enforcing the payment of costs arising in their courts, (except in certain cases where bonds are directed to be taken,) is by process of attachment.<sup>(l)</sup> Proceedings for compelling payment of costs, by attachment, were considered in the case of the revocation of the probate of a will, on allegations of next of kin,<sup>(m)</sup> and the rules there laid down, and the forms and precedents furnished, may easily be applied and adapted to other cases. The cases in which bonds for costs are given, whereby the payment of such costs may be enforced, are those of appeals from the decisions of surrogates, which remain to be treated of hereafter, when the subject of appeals comes to be considered.

It has been decided by the surrogate of the county of New York, and, on appeal, by the chancellor, that the provisions of the statutes,<sup>(n)</sup> requiring non-resident plaintiffs to file security for costs, do not extend or apply to proceedings in the Surrogates' Courts.<sup>(o)</sup>

## FEES OF SURROGATES.

The fees to which surrogates are entitled, for services done or performed by them, are prescribed by the statute passed 7th May, 1844, which will be found at large in the Appendix to this volume. The surrogate is required, upon the written request of the person or persons liable to pay the same, to procure his bill for fees and charges, in any

(h) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

(i) *Ray v. Van Hook*, 9 How. Prac. Rep. 427. See, also, *Griffith v. Beecher*, 10 Barb. Sup. Ct. Rep. 432.

(j) 10 Barb. Sup. Ct. Rep. 432.

(k) *Willcox v. Smith*, 26 Barb. Sup. Ct. Rep. 316.

(l) *Kirtland's Surrogate*, 348.

(n) 2 R. S. 619-20; 3 R. S. (5th ed.) 910.

(o) See *Westervelt v. Gregg*, 1 Barb. Ch. Rep. 469.

(m) See *ante*, p. 642.

case to be taxed by the first judge of the county courts, or by some other officer authorized to tax bills of costs in the Supreme Court, residing in the county, upon due service of a copy of such bill and notice of taxation on the executor, administrator, or other person liable to pay the same, at least six days before such taxation; the expenses of which taxation must be paid by the person requiring the same. (p)

#### OF THE LIABILITY OF EXECUTORS AND ADMINISTRATORS FOR COSTS IN SUITS IN COURTS OTHER THAN SURROGATES' COURTS.

##### OF THEIR LIABILITY FOR COSTS IN ACTIONS BROUGHT BY THEM.

The liability of executors and administrators for costs in suits in courts other than the Surrogate's Court, is now to be considered. With respect to such liability, in actions at law brought by executors or administrators, in which they failed, the statute formerly provided as follows:

Sec. 17. [Sec. 16.] In all actions and proceedings in which the plaintiff would be entitled to costs, upon a judgment rendered in his favor, if, after the appearance of the defendant, such plaintiff be non-suited, discontinue his suit, be *non-prossed*, or judgment pass against him on verdict, demurrer, or otherwise; or in case a plaintiff recovers judgment, but not a sufficient sum to entitle him to any costs, the defendant shall have judgment to recover against the plaintiff the full costs of the court in which the action shall be, which shall have the like effect as all other judgments.

Sec. 18. [Sec. 17.] But the last section shall not extend to give a defendant costs against executors or administrators, necessarily prosecuting in the right of their testator or intestate, unless upon special application the court shall award costs against them for wantonly bringing any suit, or for unnecessarily suffering a non-suit or *non-pros*, or for bad faith in bringing or conducting the cause. (q)

The Code of Procedure, as amended in 1851 and 1852, abolished these provisions of the Revised Statutes, exonerating from costs persons who sue in a representative capacity, and placed such persons upon the same footing in this respect as other plaintiffs. The provisions in question of the Code regulate the liability of executors and administrators for costs, whether as plaintiffs or defendants. The 304th section declares that costs shall be allowed of course to the plaintiff, upon a recovery, in the cases specified in the section; and the statute proceeds to provide:

Sec. 305. Costs shall be allowed of course to the defendant in the actions mentioned in the last section, unless the plaintiff be entitled to costs therein.

A subsequent section provides as follows:

Sec. 317. In an action prosecuted or defended by an executor, administrator, trustee of an express trust, or a person expressly authorized by statute, costs shall be recovered, as in an action by and against a person prosecuting or defending in his own right; but such costs shall



be chargeable only upon, or collected of, the estate, fund, or party represented, unless the court shall direct the same to be paid by the plaintiff or defendant personally, for mismanagement or bad faith in such action or defence; but this section shall not be construed to allow costs against executors or administrators, where they are now exempted therefrom, by section forty-one of title three, chapter six, of the second part of the Revised Statutes; and whenever any claim against a deceased person shall be referred pursuant to the provisions of the Revised Statutes, the prevailing party shall be entitled to recover the fees of referees and witnesses and other necessary disbursements, to be taxed according to law. And the court may, in its discretion, in the cases mentioned in this section, require the plaintiff to give security for costs.

This section is not to be interpreted as giving costs against a plaintiff only where the parties on both sides are in a representative character, as in a suit by an executor against a trustee, or the like. The language is disjunctive—it applies to a suit either by or against an executor, &c., without regard to the character of the adverse party. As to actions by or against persons in their own right, whether their suits were against executors, or they were sued by executors, the previous sections had, in like manner, defined their liabilities without reference to the character of their adverse parties. A plaintiff, therefore, who sues as executor, on judgment being rendered against him, is, by this statute, liable for costs, either personally or to be paid by the estate, in all cases where one suing in his own right would pay costs.<sup>(r)</sup>

In *Darby v. Condit*,<sup>(s)</sup> the Superior Court of the city of New York seem to have considered that the last clause of this section, being the amendment of 1852, will not warrant the court in demanding security of an executor or administrator, plaintiff, unless mismanagement or bad faith can be imputed to such plaintiff, and that security ought not to be required even when the estate is insolvent, unless it also appears that the plaintiff is insolvent. It may be, however, that it was the intention of the legislature to protect a defendant, as far as security for costs would go, as much against the unfounded claims of an insolvent estate, as against the mismanagement or bad faith of the plaintiff.

An executor or administrator whose proceedings are set aside on motion as irregular, will be ordered to pay the costs of the motion.<sup>(t)</sup> So, executors or administrators have always been held liable to costs upon interlocutory motions.<sup>(u)</sup>

It was formerly held to be irregular, in most cases, for a defendant to enter up a judgment for costs against an executor or administrator, plaintiff, without a special application to the court for such costs. An executor was liable for costs only where he prosecuted in bad faith, with full knowledge that he had no cause of action, or where the cause of action accrued wholly after the testator's death, and might have been prosecuted in his individual name.<sup>(v)</sup> And in such cases, a special application to enter such judgment must have been made to the court.<sup>(w)</sup>

(r) *Curtis' executor, &c. v. Dutton*, 4 Sandf. Superior Ct. Rep. 719.

(s) 1 Duer, 599.

(u) Tidd (9th ed.) 979.

(w) *Palmer v. Palmer*, 5 Wend. 91.

(t) *Varick v. Bodine*, 3 Hill, 444.

(v) *Ketchum v. Ketchum*, 4 Cowen, 87.

And, under the present statute, where it is proposed to hold the executor or administrator personally liable for mismanagement or bad faith, an application to the court for that purpose will be necessary. And it may be observed that there cannot be said to be bad faith in bringing or prosecuting to a conclusion, any action in which the plaintiff honestly believes, and is advised by counsel, that he is entitled to recover. He cannot in such a case be charged personally with the costs of the action.(x)

The following statutory provision may be adverted to in connection with the present subject:

Sec. 3. That any indorser or other surety, and any assignee, executor, administrator, or other trustee, shall be entitled to and allowed to recover from his principal or *cestui que trust*, all necessary and reasonable costs and expenses paid or incurred by him in good faith, as surety or trustee in the prosecution or defence in good faith of any action by or against any assignee, executor, administrator, or other trustee as such.(y)

Plaintiffs living out of the jurisdiction of the court, may be compelled to give security for costs, though such plaintiffs sue as executors.(z)

#### OF THE LIABILITY OF EXECUTORS AND ADMINISTRATORS TO THE PAYMENT OF COSTS IN ACTIONS BROUGHT AGAINST THEM.

With respect to the liability of executors and administrators to the payment of costs in actions at law against them:

In a preceeding page of this work, in connection with the subject of the duties of the executor or administrator relative to the payment of the debts of the deceased,(a) it appeared that, by sec. 34, 2 R. S. 88, executors and administrators, after the expiration of six months from the granting of their letters testamentary or of administration, are to give notice to the creditors of the deceased, requiring them to exhibit their claims, with the vouchers thereof, to such executor or administrator, at his residence or place of business, within six months from the first publication of the notice; that, by the 35th section, the executor or administrator may require satisfactory vouchers not only, but also the affidavit of the claimant that such claim is justly due, that no payments have been made, and that there are no off-sets to his knowledge; that all this is not conclusive upon the executor or administrator; but, by the 36th section, if he doubt the justice of any claim, he may enter into an agreement to refer the same to a referee or to referees, who are to be approved by the surrogate; and upon filing such agreement in the office of the clerk of the Supreme Court in the county in which the parties or either of them reside, a rule shall be entered referring the matters in controversy to such referees; that the 37th section directs that the referees shall thereupon proceed in the same manner in all respects, shall have the same powers, receive the same compensation, and be subject to the same control as if the reference had been made in an action in which the court might direct a reference;

(x) See *Cunningham v. M'Gregor*, 5 Duer, 648; "Graham's Practice, 737, 739."

(y) S. L. 1858, ch. 314, p. 506; 3 R. S. (5th ed.) 226.

(z) Wms. on Exrs. 1721, and cases cited.

(a) *Ante*, pp. 354, 379, *et seq.*

that the court may set aside the report of the referee or referees, or confirm the same, and adjudge costs as in actions against executors, and that the judgment of the court thereupon shall be valid and effectual in all respects as if the same had been rendered in a suit commenced by the ordinary process; that, by section 38, every claim presented to the executor and rejected by him, must be prosecuted within six months, if then due; if not, then within six months after some part or the whole shall become due, or the plaintiff cannot recover at all; that, by section 39, if a debt shall not be presented within the six months after the first publication of the executor's notice, the executor may pay debts of an inferior degree or legacies, without being chargeable in any suit brought for such debt, for such application of the assets in his hands; and that, by section 40, the plaintiff, in an action brought for a debt so not presented, shall recover only to the amount of the assets in the executor's hands at the commencement of his suit.

The next section provides as follows, having reference, in the first clause thereof, to the preceding 40th section.

Sec. 41. In such suit, no costs shall be recovered against the defendants; nor shall any costs be recovered in any suit at law against any executors or administrators, to be levied of their property or of the property of the deceased, unless it appear that the demand on which the action was founded was presented within the time aforesaid, and that its payment was unreasonably resisted or neglected, or that the defendant refused to refer the same pursuant to the preceding provisions; in which case the court may direct such costs to be levied of the property of the defendants, or of the deceased, as shall be just, having reference to the facts that appeared on the trial. If the action be brought in the Supreme Court, such facts shall be certified by the judge before whom the trial shall have been had.(b)

This is the provision of the Revised Statutes exempting executors and administrators, defendants, from liability for costs referred to in the 317th section of the Code above recited. It was formerly provided by section 38, [sec. 37,] 2 R. S. 618, that in suits against executors and administrators, in which the plaintiff shall recover costs, the judgment shall be that such costs be collected of the assets of the deceased; unless, in the cases provided for in the third title of the sixth chapter of the second part of the Revised Statutes, the court shall award such costs to be paid by the executors or administrators. And now, as has been seen,(bb) the Code provides that, in actions defended by an executor or administrator, costs shall be chargeable only upon, or collected of, the estate represented, unless the court shall direct the same to be paid by the defendant personally, for mismanagement or bad faith in the defence.

Executors and administrators have been regarded with great favor and indulgence by the cases which have arisen and been decided under these provisions of the statute.

To entitle the plaintiff to charge the defendant with the costs of the action, he must establish, to the satisfaction of the court—1st, That the demand was unreasonably resisted; or, 2d, That it was unreason-

(b) 2 R. S. 90; 3 R. S. (5th ed.) 176. See *ante*, pp. 355, 383 to 394.

(bb) *Ante*, pp. 654-5; Code, sec. 317; Howard's Code, p. 526.

ably neglected; or, 3d, That the defendant refused to refer the matter in controversy to three disinterested persons, pursuant to the provisions of section 36.(c)

In *Potter v. Eltz, et al., administrators, &c.*,(d) the declaration was on a promissory note given by the intestate, to which there was a plea of the general issue, with notice of special matter; and afterwards, before the circuit, the defendants gave a *relicta* and *cognovit*, and it was held that as no unreasonable resistance or neglect was chargeable upon the defendants, they were not liable for costs. And the court said that where there is a trial, the facts relied on to entitle the plaintiff to costs under the above 41st section, must be certified by the circuit judge as directed by the section; but in other cases of *cognovit* or default not provided for, the facts must be shown according to the ordinary practice of the court on a special application, by motion founded on affidavit. And that the party claiming costs against executors or administrators must show himself entitled, by proving that he presented his demand in due season, and that the defendants were guilty of a violation of their duty, either by unreasonably resisting or neglecting its payment, or by refusing to refer the same.

In *Buckhout agt. Hunt*,(e) where one of the items of the plaintiff's claim was a charge of \$5,000 for personal services of the plaintiff as nurse of the testator, and the claim was presented only thirty-four days from the granting of the letters testamentary, and was prosecuted fifteen days after its presentation, it was held that the defendant was not chargeable with the costs of the action on the ground that he had unreasonably neglected the demand.

It has been settled by a series of decisions, that when a claim against the estate of a deceased debtor has been materially reduced upon the trial, the resistance interposed by the executor or administrator is not unreasonable.(f)

In *Nicholson v. Showerman, administrator, &c.*,(g) the action was on a promissory note given by the intestate, and there was a report of referees for the plaintiff. On a motion for costs against the administrator, the plaintiff showed, by the affidavit of the attorney and the certificate of the referees, that it was proved on the hearing that payment of the note was demanded of the administrator within six months after it became due; that he answered that the estate of the intestate was not liable to pay the note, and that he would contest the same. On the hearing, the defendant denied the making of the note by the intestate, and endeavored to establish the defence that the consideration of the note had failed, in whole or in part, and that he had fully administered. The court considered that it was not shown that the demand of the plaintiff was unreasonably resisted or neglected. "The action," said Sutherland, J., "was defended upon the merits, and although the defence failed, it does not necessarily follow that it was improper to

(c) See *Buckhout agt. Hunt*, 16 How. Prac. Rep. 407, 411.

(d) 5 Wend. 74.

(e) 16 How. Prac. Rep. 407.

(f) *Robert v. Ditmas*, 7 Wend. 522; *Carhart v. Blaisdell's ex.'rs*, 18 Wend. 531; *Comstock agt. Olmsted*, 6 How. Prac. Rep. 79; *Bullock v. Bogardus*, 1 Denio, 276; *Buckhout agt. Hunt*, 16 How. Prac. Rep. 407; *Woodin v. Bagley*, 13 Wend. 453; *Cruikshank agt. Cruikshank*, 9 How. Prac. Rep. 350.

(g) 6 Wend. 554.

have made it; for aught that appears, the evidence in the case may have been nicely balanced."

In *Robert v. Ditmas, administratrix, &c.*,<sup>(h)</sup> the claimant was a creditor of the intestate, and demanded a sum, as due to him, exceeding \$200. The matter in controversy was referred to three disinterested persons, in conformity to the above quoted provisions of the statutes. The referees, after hearing the parties, made a report in favor of the creditor or claimant for \$76 07. Within a few days after the making of the report, the administratrix tendered the amount so reported to the claimant, who refused to receive the same unless the costs of the reference were also paid, which the administratrix refusing to pay, a rule for costs was asked of the court. The defendant was charged by the plaintiff with unreasonable resistance and neglect, and there was some controversy on this point. It was, however, conceded that the plaintiff presented his first account in the month of April, 1830, and the defendant her first account in May, 1830; the plaintiff's second account was delivered in September, 1831, the defendant's second account in November, 1831. The offer to refer was made and accepted in December, 1831. Subsequently to that time, there was no delay on the part of the defendant; and, when the report was made, payment was promptly offered, which was refused without the costs, and those the defendant refused to pay. The plaintiff complained of neglect on the part of the defendant, because she or her agent did not seek the witness to whom the plaintiff referred them. The defendant's agent stated that he offered to allow all such charges as should be substantiated by affidavit. It also appeared that the plaintiff claimed upwards of \$200, and resisted a claim of \$100 for the services of the intestate. The referees allowed the defendant's charge, and must have disallowed some of the plaintiff's charges, as the report was only \$76. On the whole case, it was decided that the defendant was not chargeable with costs; and Savage, Ch. J., said:

"The statute, I apprehend, cannot be construed to subject executors and administrators to costs, unless they are guilty of a violation of duty. If a creditor presents a claim, known to be a fair one, and there is property enough to pay it, not liable to pay debts of a higher class, it is the duty of the executor or administrator to pay it, and a refusal to pay, under such circumstances, I think, would be unreasonable; but if the executor or administrator doubts the correctness of the claim, the statute provides that not only the vouchers may be required, but also the affidavit of the claimant. If the executor or administrator should still remain unsatisfied, he may resist; and if, upon the trial, it shall appear that he had good reason for such resistance, it can never be called unreasonable. If, for instance, the plaintiff should fail to substantiate his claim to the amount demanded, or the defendant should succeed in establishing a demand by way of off-set, which the plaintiff had refused to allow, such defendant could never be charged with unreasonable resistance."<sup>(i)</sup>

In *Winne v. Van Schaick, administrator, &c.*,<sup>(j)</sup> the defendant sued

<sup>(h)</sup> 7 Wend. 522.

<sup>(i)</sup> 7 Wend. 528-9. See, also, to the same effect, *Comstock agst. Olmsted*, 6 Hew. Prac. Rep. 77; *Cruikshank agst. Cruikshank*, 9 id. 350.

<sup>(j)</sup> 9 Wend. 448.



as an administrator, suffered a default, and the plaintiff taxed costs against him without obtaining an order of the court, entered judgment, and issued an execution. The defendant moved to set aside the judgment and execution. The plaintiff read an affidavit, for the purpose of showing unreasonable delay in payment. But the court held that executors and administrators are not liable to costs, unless it appear that the demand of the plaintiff had been unwarrantably resisted or neglected, or that the executor or administrator had refused to submit the matter to referees; and that such facts must be shown to the court before judgment for costs be signed,<sup>(k)</sup> and will not be listened to in opposition to a motion to set aside a judgment entered without leave, as the defendant has the right to be heard on such question; and both the judgment and the execution were set aside.

In *Mulheran's executors v. Gillespie*,<sup>(l)</sup> the doctrine is repeated, that judgment for costs cannot be entered of course, but must be specially moved for.

In *Swift v. Blair's executrix*,<sup>(m)</sup> the motion for costs against the executrix was on an affidavit, stating that the defendant had refused to arbitrate, &c. And the court said, "An executor or administrator is not bound to arbitrate; he can only be asked to refer, in the manner prescribed by the statute. This motion, therefore, must be denied. When it is sought to subject an executor or administrator to costs, inasmuch as they must come out of his own pocket, if granted—for it is only for neglect of duty that he can be subjected to costs—the party asking for the rule must bring himself strictly within the statute."

Again, where it appeared that the plaintiff handed to the defendant, an administratrix, a copy of the items of his account against the intestate, saying to her, at the same time, "I have an account against you for lumber which Mr. C. (the intestate) had, and I would like to have it settled, and I have spoken to your son George several times about having it settled; and I do not want any trouble about it, as we have always been good friends, and I am willing to have the account referred to some disinterested persons;" to which the defendant replied, taking the copy account, "I hope there will be no trouble about it; and I will see George about it;" and the summons by which the action was commenced, was put into the hands of the sheriff the same day, to be served on the defendant, although it was not served until some fourteen days afterwards, and the plaintiff recovered in the action, it was determined that the administratrix was not liable for costs, on the ground that she had refused to refer the claim. In order to put an executor or administrator in default for not referring a claim, so as to charge the estate with costs, it was considered that it must appear that there was a refusal to refer; and that in the case in question there was not a refusal, nor anything like it; that the expression by the plaintiff of his willingness to have the matter referred, was not calculated to apprise the defendant that a reference under the statute was desired; that it would be natural for the administratrix to understand that a general leaving out of the matter in the nature of an arbitration was intended, instead of a strict legal reference, pursuant to the statute;

<sup>(k)</sup> 5 Wend. 74.

<sup>(l)</sup> 12 Wend. 342, 355.

<sup>(m)</sup> 12 Wend. 278.

that the offer which was made was not refused by the administratrix, and that she was entitled to a reasonable time, after an actual offer to refer had been made, to decide what to do, and to take counsel if necessary.(n)

So, where a claim against an estate was presented to the administrator by a creditor of the intestate, and the administrator claimed an offset, consisting of matters of account and matters of tort, upon which an agreement in writing was entered into, to submit the matters in controversy to three individuals named, to determine and award upon the same, and judgment to be entered upon such award and determination; and the surrogate of the county approved in writing of the persons selected, as proper persons to whom to submit the said claims and demands; and an order was entered with the clerk of the Supreme Court referring the matters in controversy to the persons named to hear, determine, and award the same—the administrator throughout the proceedings occupying the position of plaintiff; it was held that, whatever might have been the intention of the parties, the proceedings were not in form or in substance a reference under the statute as to claims against deceased persons, and that there was no legal authority for including the plaintiff's costs or disbursements in the judgment entered upon the award of the persons named as referees.(o)

Again, where an executor dies, having in his hands, at the time, assets belonging to the estate he represented as such executor, although the surviving executors have a right to such assets, they cannot treat the claim as an ordinary debt liquidated and ascertained, to be recovered by a common law action. And such a claim, not being the subject of a common law action, is not one which the legal representatives of the deceased executor are bound to refer, under the act concerning the duties of executors and administrators, in the payment of debts and legacies; and the executor or administrator will not render himself liable to the payment of the plaintiff's costs of the action to enforce such claim, although it has been presented to him, and he has declined to enter into an agreement to refer it.(p)

In *Foot & Bebee v. Gumaer's executors*,(q) it was held that the certificate of the circuit judge, under the above quoted 41st section, that the demand of the plaintiff had been unreasonably resisted by the executors, should state the fact, and need not state the evidence of the fact; and that, if the evidence did not warrant the certificate, application must be made to the court to set it aside.

In *Carhart v. Blaisdell's executors*,(r) the plaintiff claimed of the defendants between four and five hundred dollars. The defendants did not offer to pay anything. The cause was thereupon referred, pursuant to the above quoted 36th section, and the referees reported in favor of the plaintiff for \$130, which the defendants paid. The referees certified that "the demand of the plaintiff was unreasonably neglected and refused." On a motion for costs against the executors, it was considered

(n) *Stephenson agst. Clark*, 12 How. Prac. Rep. 282.

(o) *Akely agst. Akely*, 17 How. Prac. Rep. 21.

(p) *Sands agst. Craft*, 18 How. Prac. Rep. 438; 10 Abbott's Prac. Rep. 216.

(q) 12 Wend. 195.

(r) 18 Wend. 531.

that it was impossible to say that the payment of the plaintiff's demand was "unreasonably resisted or neglected," and the motion was denied; and with regard to the certificate of the referees, and the above mentioned decision in *Foot v. Gumaer's executors*, the court say, "But the referees have certified that 'the demand of the plaintiff was unreasonably neglected and refused;' and it is urged that this brings the case within the decision of the court in *Foot v. Gumaer's executors*.(s) That case is not very fully reported, and it may be doubted whether the point on which the court intended to pass is stated with entire accuracy. The statute, section 41, declares that costs shall not be recovered against executors or administrators, except under particular circumstances, 'in which cases the court may direct such costs to be levied of the property of the defendants, or of the deceased, as shall be just, having reference to the facts that appeared on the trial. If the action be brought in the Supreme Court, such facts shall be certified by the judge before whom the trial shall have been had.' This is not like the case where the circuit judge is to certify a single fact, as that the title to land came in question on the trial. There the certificate of the judge is conclusive on the question of costs, and the court will not, on a collateral motion, inquire whether it was properly granted or not. But the statute has imposed on this court the duty of deciding in what cases executors shall pay costs, 'having reference to the facts that appeared on the trial;' and, where tried at the circuit, 'such facts' are to be certified by the circuit judge. He need not state all the evidence, but he must state the facts on which this court is to form its judgment of the propriety of ordering costs. The statute does not provide for a certificate in a case where there has been a reference. But if this cause had been tried at the circuit, a certificate stating nothing but the judge's conclusion from the facts proved, that the payment of the plaintiff's demand 'was unreasonably resisted or neglected,' would not entitle the party to costs. This court must decide whether the facts made out a case within the statute for awarding costs or not."

Where a claim is referred under the statute, it is not within the province of the referees to certify the unreasonable resistance of the executor or administrator to the claim. The degree of resistance which the executor or administrator may oppose to a claim prosecuted against him, is not one of the questions committed to the referees for determination. That is a question for the court to decide upon the facts, as they appear in the case.(t)

And where an action against an executor or administrator is referred, the referee has not the right to decide the question of costs, nor has he the power to award costs against the executor or administrator personally, or against the estate he represents.(u)

Where the affidavit in opposition to the motion for costs against an administratrix defendant showed that the defendant had good reason to suppose there was a valid defence to the action in whole or in a material part, and that probably the defence interposed would have

(s) 12 Wend. 195.

(t) *Carhart v. Blaisdell's ex.'rs*, 18 Wend. 531; *Gansevoort v. Nelson*, 6 Hill, 389; *Comstock agst. Olmsted*, 6 How. Prac. Rep. 79; *Buckhout agst. Hunt*, 16 id. 407.

(u) *Mersereau agst. Ryerss*, 12 How. Prac. Rep. 300.

been successful if at the trial she could have procured her witness, it was considered that it was the duty of the administratrix to have attempted a defence, and that there was no reason for saying that the payment of the demand had been unreasonably resisted or neglected.<sup>(v)</sup>

In *Harvey v. Skillman's executors*,<sup>(w)</sup> however, where the defendant had taken out letters testamentary, but omitted to file an inventory, or give notice to creditors to exhibit their claims, &c., according to the 34th section of the statute above referred to, and there were ample assets which had been collected, and the plaintiff, who had an unliquidated demand against the estate, had, by her agent, demanded her debt of the defendant, who refused to pay or take any steps towards its settlement, though a reasonable time had been allowed for those purposes, and then brought a suit in assumpsit for the demand, against the executor, which was referred by order of the circuit judge; and the referees reported in her favor for \$200: on a motion for costs against the executor, these facts, with others, showing dilatory conduct on the part of the defendant, as to adjusting the claim, appearing both by the certificate of the referees and by affidavits; it was held that the omission by the executor to publish the notice provided by the 34th section of the statute, excluded him from all benefit of exemption under the 41st section, and that the plaintiff was entitled to costs, as in other cases, without showing that the demand had been unreasonably resisted or neglected, or that the defendant refused to refer the matter in controversy; and that it was not necessary in such cases to produce the certificate of the circuit judge before whom the cause was tried, or other evidence, as in other cases, to enable the court to determine whether the costs should be awarded against the property of the defendant or of the deceased; that it was enough, in such cases, to show that the notice to exhibit claims had not been published.

"I am of opinion, therefore," said Mr. Justice Cowen, in his decision, after considering the case with reference to the provisions of the statute, 2 R. S. 88-9 90,<sup>(x)</sup> particularly of the 41st section, and to the previous decisions, "that the 41st section has nothing to do with the case at bar; nor has any part of the provisions connected with it in the same article. If it be provided for anywhere, we must look for the provision to some other head in the statute book. I think it is to be governed by the general statute of costs, 2 R. S. (2d ed.) 508, sec. 1, as qualified by Id. 509, sec. 5, and by Id. 514, sec. 38. By sections 1 and 5, just cited, the plaintiff recovering in this court over fifty, and not more than two hundred and fifty dollars, is entitled to common pleas costs. That is the case of this plaintiff; but, stopping with sections 1 and 5, we should be left in doubt whether costs may be awarded, to come from the pocket of the defendant, or from the assets of the estate which he represents. Therefore, the 38th section,<sup>(y)</sup> the provisions of which I have before noticed and cited at large, declares, that in the case of a plaintiff recovering costs in such a case as I have shown this to be, the judgment shall be to collect *de bonis testatoris*. We have no power to charge the defendants *personally*, for this last section expressly denies

<sup>(v)</sup> *Stephenson agst. Clark*, 12 How. Prac. Rep. 282.

<sup>(x)</sup> See *ante*, pp. 354-5-6; Also, *supra*, pp. 656-7.

<sup>(w)</sup> 22 Wend. 571.

<sup>(y)</sup> See *supra*, p. 657.

us that power, except in cases where he comes within the statute concerning summary proceedings. It is entirely clear that this case belongs to another and distinct category.

"The demand in question was unliquidated, and I do not deny that it was a fair subject of judicial inquiry, nor that the defendant and the estate of his testator should be protected from costs, had he brought himself within the 2 R. S. 29, *et seq.* I put the case on the ground that he has not so done."

Costs, however, will not be allowed on the ground that the executors omitted to give the requisite notice for creditors to exhibit their claims, if the suit was commenced before the time for giving notice had arrived.(z)

And in *Bullock v. Bogardus*,(a) it was determined, overruling the case of *Harvey v. Skillman* so far as it holds a contrary doctrine, that the omission of an executor or administrator to publish a notice requiring the creditors of the deceased to exhibit their claims, pursuant to the 34th section of the statute, does not subject them or the estate to the costs of a suit subsequently brought; that there are only two grounds for awarding costs against an executor or administrator:— 1. Where the claim has been presented, and payment has been unreasonably resisted or neglected; 2. Where there has been a refusal to refer, the claim being disputed; and that if the executor or administrator has no assets, costs cannot be given for a refusal to refer. And this decision has been followed in numerous subsequent cases.(b)

In *Belden v. Knowlton*,(c) the suit was against an administratrix, on a check made by the intestate, dated some days after the day on which it was given. The intestate died the day after it was given. The administratrix put in an answer in the suit, setting up a variety of defences, for which there was no pretence, and among others, denying that she ever had any notice that the check was demanded and not paid. The plaintiffs recovered, but, on a motion for costs against the administratrix, the court held that, inasmuch as it did not appear that any notice to the creditors was ever published, or that the plaintiffs ever presented their claim to the defendant, the plaintiffs were not entitled to their costs of the action against the defendant.

And even where the demand did not fall due until after the expiration of the publication of the notice for the exhibition of claims, it was held that the plaintiff was not entitled to recover his costs against the executors' defendants.(d)

In order to entitle the plaintiff to costs on the ground that the executor or administrator refused to refer the matter, it must appear that there has been a distinct proposition to refer, made by the claimant to the executor or administrator. A neglect to answer an offer or proposition to refer may be deemed a refusal, but the creditor must

(z) *Knapp v. Curtiss*, 6 Hill, 386.

(a) 1 Denio, 276.

(b) See *Wallace v. Markham*, 1 Denio, 671, 673; *Russell v. Lane*, 1 Barb. Sup. Ct. Rep. 519, 523; *Van Vleck v. Burroughs*, 6 id. 341; *Fort v. Gooding*, 9 id. 388, 390; *Snyder v. Young*, 4 How. Prac. Rep. 217; *Lansing v. Cole*, 3 Code Reporter, 246. But see *Whitmore v. Foose*, 1 Denio, 159.

(c) 3 Sandf. Superior Ct. Rep. 758; 1 Code Rep. (N. S.) 127.

(d) *Bradley v. Burwell*, 3 Denio, 261.



first move on the subject of the reference. And an unqualified rejection of the claim by the executor or administrator is not evidence one way or the other on the subject of a reference, or of the willingness of either party to refer. Neither party is bound to refer; and if either desires a reference, he must offer to refer. The executor or administrator cannot be said to refuse until the claimant in some way manifests his willingness to refer.<sup>(e)</sup>

Again, to entitle a plaintiff to costs against an executor or administrator, it must appear that the demand which had been presented for payment, or which the plaintiff had offered to refer, was substantially the same as that upon which the recovery was had.<sup>(f)</sup> Where, however, in an action against administrators, the plaintiff's bill of particulars differed from the account previously presented to them for payment, in the charge of interest only, it was held a variance which could not be regarded, because interest is no part of an account—it is a mere incident or legal result.<sup>(g)</sup> But where the application for costs against an executor or administrator, defendant, is upon the ground that he refused to refer, it must appear that an account, or some claim against the estate, which could be supported by vouchers and affidavits, was presented to the executor or administrator before refusal to refer. A general vague demand of a gross sum is not sufficient.<sup>(h)</sup>

In *Doan v. Hine's administrators*,<sup>(i)</sup> costs were refused against administrators who had suffered a judgment by default, notwithstanding that the creditor had presented his claim within the prescribed period, made affidavit of the existence of the debt, and offered to refer, where it appeared that the administrators admitted their liability, but requested a suit to be brought against a co-maker of the note, the claim in question, for whom they alleged the intestate had become bound solely as surety, offering to pay any deficiency there might be after the prosecution of such suit.

And in *Knapp v. Curtiss and others, executors of Smith*,<sup>(j)</sup> where a claim was presented to one of several executors, which he disputed, but declined to refer, saying he wished to consult with his co-executors before doing so, and the creditor, without waiting a reasonable time for that purpose, commenced a suit and recovered; it was held that he was not entitled to costs. It was further held, that if the creditor attempt to recover additional charges, not included in his offer to refer, he will lose the benefit of the offer; and that executors will not waive their right to resist the allowance of costs, by attending the taxation, and objecting to particular items.

Again, a creditor entitled to recover costs against an executor or administrator, on account of having made an offer to refer, which was declined, does not lose his right to them by including in his declaration the common *indebitatus* counts, in addition to one on the precise demand offered to be referred, provided his claim to recover, on the trial,

<sup>(e)</sup> *Stephenson agst. Clark*, 12 How. Prac. Rep. 282; *Proule agst. Whiton*, 15 id. 304; *Buckhout agst. Hunt*, 16 id. 407. See *ante*, pp. 385–6.

<sup>(f)</sup> *Wallace v. Markham*, 1 Denio, 671.

<sup>(g)</sup> *Lanning v. Swartz*, 9 How. Prac. Rep. 434.

<sup>(h)</sup> *Cruikshank agst. Cruikshank*, 9 How. Prac. Rep. 350.

<sup>(i)</sup> 22 Wend. 639.

<sup>(j)</sup> 6 Hill, 386.

### 663 LIABILITY OF EXECUTORS AND ADMINISTRATORS FOR COSTS

is confined to that demand. But if he should serve a bill of particulars, claiming other demands, it seems he would lose his costs.

On the other hand, in *Gansevoort v. Nelson* and other cases, *supra*, where, in an action against the executors upon a claim which had been duly presented according to the statute, they obtained an order extending the time to plead, and afterwards pleaded the general issue, but finally suffered an inquest to be taken against them at the circuit, it was held that, inasmuch as no good reason was shown, on their part, for doubting the justice of the claim, they were chargeable with having unreasonably resisted its payment, and that the creditor was, therefore, entitled to costs; and that a statement, in the certificate of the judge who tried the cause, that payment was unreasonably resisted by the executors, is not conclusive as to costs; but the court must look beyond it, and consider the facts certified, in connection with other facts in relation to the question: and the case of *Post v. Gumaer*, above quoted, was distinctly overruled. *(m)*

#### OF THE LIABILITY OF AN EXECUTOR OR ADMINISTRATOR FOR THE COSTS OF SUITS PENDING AGAINST HIS TESTATOR OR INTESTATE AT THE TIME OF HIS DEATH

A question has occurred whether, under the provisions of the statute under consideration, an executor or administrator is liable for the costs of an action commenced against his testator or intestate in his lifetime, and continued against his personal representatives pursuant to the provisions of the Code of Procedure. *(n)*

By the law previously to the Code, if the defendant in a suit died before judgment, the suit absolutely abated. If the cause of action survived, a new action must have been brought against his executor or administrator; *(o)* but his estate was not liable for the costs of the suit, which had abated; and the new suit was governed, in respect to the liability of the defendants for costs, by the law regulating costs in actions against executors and administrators. Where, however, the defendant died after interlocutory judgment, and before a final judgment obtained thereon, the action did not abate by reason of the death of the defendant; but if it might originally have been brought against the executors of the defendant, the plaintiff could have a *scire facias* against the executors or administrators of the defendant, to show cause why the damages in the action should not be assessed and recovered; and the judgment therein, it was provided, should be against the executors or administrators of the defendant. *(p)* The Code, as will be

*(k)* *Hartshorne v. King*, 1 Denio, 675.

*(l)* 6 Hill, 389.

*(m)* It is no answer to a motion for costs against an administrator, who had refused to refer a demand, pursuant to the statute, on which a judgment was afterwards recovered, that the defendant believed he had an equitable defence which, pending the suit at law, he had filed a bill in chancery to enforce. *Robertson v. Shick*, 3 Denio, 161.

In *Rogers v. Holley*, (19 Wend. 624,) which was a suit against an executor, where a report of referees was made in favor of the plaintiff, and he had been delayed by the defendant in entering judgment by a motion to set aside the report; though the court did not consider it a fit case to award costs against the plaintiff, yet they allowed him to take judgment for the interest of the sum reported due by the referees, the same as for costs.

*(n)* Code, sec. 121. See *ante*, pp. 344-5.

*(o)* 2 R. S. 298; 3d ed. 396. See *ante*, p. 344, note *(e)*.

*(p)* 2 R. S. 387; 3d ed. 483-4.

remembered, (q) provides that, in case of the death of a defendant, if the cause of action survive or continue, the court, on motion at any time within one year after the death, or afterwards on a supplemental complaint, may allow the action to be continued against his representative in interest.

In *Benedict v. Caffé and others*, (r) where one of the defendants, who was the second endorser of the promissory note, to recover upon which the action was brought, died after answer, but before a verdict in the action, and the action was continued under the Code against his executrix, it was held that the executrix was liable for the costs of the action. And in *Lemen agst. Wood and others, administrators, &c.*, (s) the Supreme Court, at general term, in the seventh judicial district, determined that where an action is commenced against the intestate in his lifetime, and after his death is allowed by an order of the court to be continued against his administrators, in pursuance of section 121 of the Code, the administrators are liable for costs, without any application to the court therefor, where the plaintiff succeeds in the action, and is entitled to costs; and that the 41st section of the statute, above quoted, which is referred to as the exception contained in section 317 of the Code, contemplates, in connection with several sections which precede it, an action commenced against executors or administrators; not one commenced against the intestate in his lifetime.

On the other hand, in *McCann agst. Bradley and Bradley, administrators, &c.*, (t) the Supreme Court, at general term, in the first judicial district, determined that where an action is commenced against a defendant, and *pendente lite*, he dies, and his administrators are substituted defendants, and the action is continued under the Code, without any presentment of the claim to the defendants, or offer to refer under the Revised Statutes, the plaintiff cannot recover costs if he succeeds in the action.

These decisions are reviewed by Mr. Justice Emott, delivering the opinion of the court in the recent case of *Tindal agst. Jones*. (u) That case was an action on contract, commenced against the intestate in his lifetime, and continued against his administratrix, in which there was judgment for the plaintiff for over \$50, and the question was whether the administratrix was liable for the costs of the action. And the learned court came to the conclusion that the representatives of a deceased defendant stand in the shoes of their testator or intestate in all respects; that they become defendants to a suit against him, not an action which has been brought against them; and that the action must proceed against them as it would have done against him. And that if, in the final event, it turns out that the plaintiff recovers a judgment, which would entitle him to costs had the original defendant survived, he has the same right against his legal representatives and successors, and section 317 of the Code directs how that judgment shall be entered and enforced. This decision must be regarded as settling that an executor or administrator is liable for the costs of an action commenced

(q) Sec. 121. See *ante*, p. 344.

(s) 16 How. Prac. Rep. 285.

(t) 11 Abbott Prac. Rep. 258.

(r) 3 Duer, 669.

(u) 15 How. Prac. Rep. 79.

against his testator or intestate and continued against himself, where the decedent, if alive, would have been liable for the final costs of the action.

In suits against executors or administrators, the plaintiff cannot enter judgment for costs without first obtaining leave from the court.(u) But error will not lie upon a judgment for costs entered up against an executor or administrator without the order of the court. Such judgment is irregular, and, upon proper application, will be set aside; but it is not error(v)—nothing being said in the return, one way or the other, about a special application having, or not having, been made to the court below; the Superior Court will intend that it was made.(w)

Where, however, an action has been commenced against the decedent in his lifetime, and has been continued against his executor or administrator, and the plaintiff has obtained judgment, an application to the court for leave to enter judgment for the costs is not necessary. Such an application is only necessary when the award or refusal of costs rests in the power or discretion of the court, or at least depends upon something else than a positive direction of statute. In cases where the action is brought against executors or administrators, no costs are recoverable unless the plaintiff has, before bringing the action, complied with the conditions of the statute, and this must be shown to the court outside the record; but when the administrator is substituted for the deceased in a pending action, the court has no more to pass upon in such an application than if a similar application were made in the case of a living defendant.(ww)

In an action in the Supreme Court, where a motion for costs against executors or administrators is made at a term of the court not held by the same judge before whom the trial was had, the certificate of the judge before whom the trial took place must be presented, showing what facts bearing on the question of costs appeared on the trial. The provision of the above 41st section of the statute, requiring the certificate of the judge before whom the trial was had, is still in force. Under the present system, however, there is probably no necessity for a certificate where the judge before whom the trial was had holds the court at which the motion for costs is made, unless it be to inform the defendant in advance what facts, relative to the question of his liability for costs, the judge deems established at the trial, so that he may avoid its effect by proof of other facts, as the certificate of the judge is not conclusive as to costs.(x)

And, as has already appeared,(y) where, in an action against an executor or administrator, a referee is appointed, to whom the whole issue or cause is referred, the referee has not the right to decide the question of costs, nor the power to award costs against the executor or administrator personally, or against the estate he represents.(z)

(u) *Knapp v. Curtiss*, 6 Hill, 386; *Mulheran's ex.'rs v. Gillespie*, 12 Wend. 349. See, also, *Parkhill agst. Hillman and others, administrators, &c.*, 12 How. Prac. Rep. 353; *Winn v. Van Schaick, adm.'r, &c.*, 9 Wend. 448; *Mersereau agst. Ryerss*, 12 How. Prac. Rep. 300.

(v) 12 Wend. 355.

(w) *Judah v. Stagg's ex.'rs*, 24 Wend. 239.

(ww) *Tindal agt. Jones*, 11 Abbott Prac. Rep. 258, 261.

(x) See *Parkhill agst. Hillman*, 12 How. Prac. Rep. 353; *Gansevoort v. Nelson*, 6 Hill, 393.

(y) *Ante* p. 662.

(z) *Mersereau agst. Ryerss*, 12 How. Prac. Rep. 300.

The statute is silent as to whether any certificate should be given when the action is tried before a referee; but certificates of referees have frequently been presented to the court on motions for costs in such actions.(a) If the referee's certificate is not absolutely necessary, upon which to found the motion for costs, the better practice is to present it; for, by the above 41st section of the statute, the court "may direct the costs to be levied of the property of the defendant, or of the deceased, as may be just, having reference to the facts which appeared on the trial."(b) And the referee is the most fit person to inform the court what facts appeared on the trial. When such facts are shown by affidavits, the court is often left in doubt as to what facts were established on the trial. If the referee's certificate is produced, there can be no controversy over what transpired on the trial.(c)

Upon an application for costs upon a judgment obtained on a reference under the statute, the question, it would seem, is, as in cases of ordinary actions against executors or administrators, whether, the demand having been duly presented, its payment has been unreasonably resisted or neglected. The 37th section of the "Act relating to the duties of executors in the payment of debts,"(d) it will be remembered, provides that, upon a reference under the statute, the court may adjudge costs as in actions against executors.(e) Although "the general provisions of the Code regulate costs in actions only,"(f) yet, when it is declared that upon a reference without action, the court shall have the same power to adjudge costs that it would have in case an action had been brought against the defendants in their representative capacity, it is enough for the court to see that, had such an action been brought, it might have awarded costs to the successful party. In such an action, as has already fully appeared, costs may be allowed in certain cases. These costs, when allowed, are of course the costs of an action under the provisions of the Code. Precisely the same costs may be adjudged upon the reference without action, as if an action had been brought. The court is therefore authorized to award costs under the Code upon such reference. The provision in the 317th section of the Code, which entitles the successful party to recover his disbursements, cannot, it is considered, be regarded as affecting the power of the court, in proper cases, to award costs, as in an action, to the prevailing party. This provision was only intended to secure the party his disbursements, in all cases, without regard to the exercise of the discretion vested in the court to grant or withhold costs. Accordingly, where the demand against the estate was upon certain promissory notes signed by the decedent, and the defence was that the signatures were forgeries, and there was a reference under the statute, and the claimant had judgment, and it did not appear that the administratrix had any reasonable ground for interposing the defence, it was determined that the claimant

(a) *Gansvoort v. Nelson*, 6 Hill, 386; *Harvey v. Stillman's ex'r*, 22 Wend. 571; *Nicholson v. Shewman's adm'r, &c.*, 6 Wend. 554; *Woodin v. Bagley*, 13 Wend. 453.

(b) *Ante*, p. 657; Code, sec. 317; *Ante*, pp. 654-5, 657.

(c) *Mercereau v. Ryers*, 12 How. Prac. Rep. 300.

(d) 2 R. S. 88-9; 3 R. S. (5th ed.) 173, 175; *Ante*, pp. 354, 379, 656-7.

(e) See *Lansing v. Cole, adm'r, &c.*, 3 Code Reporter, 246.

(f) *Van Sickler v. Graham*, 7 How. Prac. Rep. 208.



was entitled to judgment upon the reference, for all his costs of the action, as well as for his disbursements.(g)

So, if the executor or administrator successfully litigate a claim brought against the estate, and defeat the claimant upon the reference, he is entitled to recover his costs against the claimant, as in an action.(h)

In an action at law by a legatee, against an executor or administrator, for the recovery of a legacy, as has before been seen,(i) the costs of the action, or of either party, shall be paid, as the court may direct, out of the estate of the deceased, or by the defendants personally, if their refusal to pay such legacy, or their defence of the action, shall appear to have been unreasonable.

#### OF COSTS IN SUITS IN EQUITY.

It is proper, in this place, to refer to some cases respecting the liability of executors and administrators to the payment of costs, in suits by or against them in equity.

Where there was ground for taking the direction of the court in relation to a legacy, and the executor submitted the question in the cheapest possible mode, he was held entitled to retain his costs of the application out of the property of the testator which was not specifically bequeathed.(j)

In *Wood and others v. Vandenburg and others*,(k) where the provisions of the will, and situation of the testator's property, were such as to render it proper for the executors to take the opinion of the Court of Chancery in relation to the rights of the several parties interested in the property, the costs of the executors, and of the guardians *ad litem* of the several defendants who were infants, were directed to be paid out of the estate.

If executors or administrators commence a suit in chancery, in good faith, upon probable grounds of right, and to enforce a supposed claim of the testator or intestate, they will not be charged with costs. But if they bring a suit in the Court of Chancery merely to aid a defence at law, they cannot, in case of failure, be excused from costs there, in a case in which costs would be given against them in a suit at law.(l)

A suit is wantonly brought by an executor or administrator within the meaning of the provision of the Revised Statutes on that subject, where it is brought by him without probable cause, or where he has not exercised ordinary care and diligence to ascertain whether there was any just cause of action.(m)

Where a creditor or legatee, who is entitled to a priority of payment, prosecutes for his share of the estate, the executor or administrator is the legal representative of the residuary legatees, and it is his duty to protect their rights. And if there is a fair question for litigation, and

(g) *Linn agst. Clow*, 14 How. Prac. Rep. 508. See, also, *Boyd agst. Bigelow*, Id. 511.

(h) *Munson agst. Howell*, 20 How. Prac. Rep. 59. The cases of *Van Sickler agst. Graham*, 7 How. Prac. Rep. 208, and *Avery agst. Smith*, 9 id. 349, must now be regarded as overruled.

(i) *Ante*, p. 441.

(j) *In the Matter of Howe*, 1 Paige, 214.

(k) 6 Paige, 277.

(l) *Manny v. Phillips*, 1 Paige, 472. See, also, *Boughton v. Philips*, 6 Paige, 334; *Roosevelt v. Ellithorp*, 10 Paige, 415.

(m) *Rapaport v. Ellithorp*, 10 Paige, 415.

he does nothing more than his duty in attending to their interests, he will be allowed his costs out of the fund belonging to them.(o)

Where executors, who have no interest in the question in the cause, are made defendants in chancery, they are entitled to their costs out of the fund.(p)

Where an executor neglects his duty, by omitting to invest the amount of a legacy paid into his hands, and where he refuses to bring the fund into court, when requested to do so by the legatees, for the purpose of having it secured for them, upon a bill filed against him by the legatees to compel him to comply with their request, he will be charged with the costs of the suit. But where, in the bill, the complainants make a further claim against the defendant, which they fail to establish, the parties will be left to bear their respective costs of the prosecution and defence of the suit.(q)

Where executors or administrators, without any sufficient excuse, refuse to pay over to the general guardian funds belonging to infants, they may be personally charged with costs.(r)

Where the complainant, an administratrix, brought an appeal for her own benefit, after a decision against her which ought to have been satisfactory to her counsel, it was held that she had no claim to be excused from the payment of the costs of the appeal, which costs were charged upon her personally.(s)

## CHAPTER XVI.

### OF GUARDIANS; OF THE APPOINTMENT OF GENERAL GUARDIANS BY SURROGATES, AND OF THEIR POWERS AND AUTHORITY.

THE relation of guardian and ward applies to children during their minority, and may exist during the lives of the parents, if the infant becomes vested with property; but it usually takes place on the death of the father, and the guardian is intended to supply his place.

There are two kinds of guardianship—one by the common law, and the other by statute. It is with the latter, and that chiefly, only so far as the Surrogates' Courts in this state have the appointment and government of guardians, that this work, consistently with its design and arrangement, has the principal concern. A brief account of the other kind of guardianship, however, may not be out of place here, and will prove, it is believed, conducive to the proper understanding of the principal subject under consideration.

There were three kinds of guardians at common law, viz., guardians by nature, guardians by nurture, and guardians in socage.

"(1.) Guardian *by nature* is the father, and, on his death, the mother;

(o) *Pritchard v. Hicks*, 1 Paige, 270.  
(q) *Powell v. Murray*, 10 Paige, 256.  
(s) *Gardner v. Gardner*, 6 Paige, 455.

(p) *Delafield v. Colden*, 1 Paige, 139.  
(r) *Stephens v. Van Buren*, 1 Paige, 479.

and this guardianship extends to the age of twenty-one years of the child, and it extends only to the custody of his person, and it yielded to guardianship in socage.<sup>(a)</sup> It was doubted for some time, in the books, whether the guardian by nature was entitled to the possession of the personal estate of the infant, and could give a competent discharge to an executor, on the payment of a legacy belonging to the child; and it was finally understood that he could not.<sup>(b)</sup> It would seem, therefore, that if a child becomes vested with personal property only in the lifetime of the father, there is no person strictly entitled to take it as guardian, until a guardian has been duly appointed by some public authority, though, if real estate vests in the infant, the guardian in socage, or a substitute for such guardian provided by statute, will be authorized to take charge of the whole estate, real and personal. The father has the first title to guardianship by nature, and the mother the second.<sup>(c)</sup> The Court of Chancery, for just cause, may interpose and control that authority and discretion which the father has in general in the education and management of his child."<sup>(cc)</sup> "Attempts have been made to control the father's right to the custody of his infant children, by a legacy given by a stranger to an infant, and the appointment by him of a guardian in consequence thereof. But it is settled that a legacy or gift to a child confers no right to control the father's care of the child, and no person can defeat the father's right of guardianship by such means. If, however, the father accedes to the condition of the gift, and surrenders up his control of the child's education, the Court of Chancery will not suffer him to retract it."<sup>(d)</sup>

"(2.) Guardian *by nurture* occurs only when the infant is without any other guardian, and it belongs exclusively to the parents, first to the father, and then to the mother. It extends only to the person, and determines when the infant arrives at the age of fourteen, in the case both of males and females. As it is concurrent with guardianship by nature, it is in effect merged in the higher and more durable title of guardian by nature.

"(3.) *Guardian in socage* has the custody of the infant's lands, as well as of his person. It applies only to the lands which the infant acquires by descent; and the common law gave this guardianship to the next of blood to the child, to whom the inheritance could not *possibly* descend; and, therefore, if the land descended to the heir on the part of the father, the mother, or other next relation on the part of the mother, had the wardship; and so, if the land descended to the heir on the part of the mother, the father, or his next of blood, had the wardship.

(a) "Co. Litt. 84 a; Litt. sec. 123; Co. Litt. 87 b, 88; Hargrave's note, 12; *The King v. Thorp*, 5 Mod. Rep. 221; *Jackson v. Combs*, 7 Cowen's Rep. 36; S. C., 2 Wend. Rep. 153.

(b) "*Cunningham v. Harris*, cited in 3 Bro. 186; *Genet v. Tallmadge*, 1 Johns. Ch. Rep. 3; *Miles v. Boyden*, 3 Pick. Rep. 213."

(c) See *The People v. Kearney*, 19 How. Prac. Rep. 493.

(cc) "2 Foub. Tr. of Equity, 234, note; *Creuze v. Hunter*, 2 Cox's Rep. 242." See 2 Kent's Comm. 220.

(d) "Lord Thurlow, in *Powell v. Cleaver*, 2 Bro. 500; *Colston v. Morris*, 6 Mad. 89; *Lyons v. Blendin*, 1 Jac. 245. See, also, *The Etna*, Were's Rep. 464, and Story's Com. on Eq. Jur., (vol. ii.) 574, 581, where the jurisdiction of the Court of Chancery on this subject is fully examined and sustained." See 2 Kent's Comm. 221, n.

These guardians in socage cease when the child arrives at the age of fourteen years, for he is then entitled to elect his own guardian, and oust the guardian in socage, and they are then accountable to the heir for the rents and profits of the estate. If the infant, at that age, does not elect a guardian, the guardian in socage continues."<sup>(e)</sup>

This guardianship is a personal trust, and is not transmissible by succession, nor devisable or assignable. It extends not only to the person and all the socage estate, but to hereditaments, which do not lie in tenure, and to the personal estate. But it is admitted that the title to guardian in socage cannot arise unless the infant be seised of lands held in socage. This guardianship in socage may be considered as gone into disuse, and it can hardly be said to exist in this state, for the guardian must be some relation by blood who cannot possibly inherit, and such a case can scarcely exist.

By the 5th section of the act "concerning the tenure of real property,"<sup>(f)</sup> where an estate in lands shall become vested in an infant, the guardianship of such infant, with the rights, powers and duties of a guardian in socage, shall belong,

1. To the father of the infant;
2. If there be no father, to the mother;
3. If there be no father or mother, to the nearest and eldest relative of full age, not being under any legal incapacity; and, as between relatives of the same degree of consanguinity, males shall be preferred.

By the 6th section of the same act, to every such guardian all statutory provisions that are or shall be in force, relative to guardians in socage, shall be deemed to apply.

By the 7th section, the rights and authority of every such guardian shall be superseded in all cases where a testamentary or other guardian shall have been appointed under the provisions of the statute presently to be treated of.

Guardians by statute are of two kinds: testamentary guardians, and guardians appointed by the Court of Chancery or by surrogates.

Testamentary guardians, to whom allusion has already been made, are founded on the deed or last will of the father, and they supersede the claims of any other guardian, and extend to the person and real and personal estate of the child, and continue until the child arrives at full age. This power in the father to constitute a guardian by deed or will, was given by the statute of 12 Charles II.<sup>(g)</sup>

By the act "concerning guardians and wards,"<sup>(h)</sup>—

Sec. 1. Every father, whether of full age or a minor, of a child likely to be born, or of any living child under the age of twenty-one years, and unmarried, may, by his deed or last will, duly executed, dispose of the custody and tuition of such child, during its minority, or for any less time, to any person or persons in possession or remainder.

<sup>(e)</sup> "*The King v. Preston*, Andrews' Rep. 313. The guardian in socage has lawful possession of the lands, and he may maintain actions of trespass or ejectment in respect to the lands of the ward. *Byrne v. Van Hoosen*, 5 Johns. Rep. 66; *Jackson v. De Watts*, 7 id. 157." See 2 Kent's Comm. 222.

<sup>(f)</sup> 1 R. S. 718; 3 R. S. (5th ed.) 2.

<sup>(g)</sup> See notes to sec. 18, 1 R. L. 1813, 368.

<sup>(h)</sup> 2 R. S. 150; 3 R. S. (5th ed.) 242.

The act "concerning the rights and liabilities of husband and wife,"<sup>(i)</sup> provides as follows:

Sec. 9. Every married woman is hereby constituted and declared to be the joint guardian of her children, with her husband, with equal powers, rights and duties in regard to them, with the husband.

A will merely appointing a testamentary guardian, need not be proved; and though the statute speaks of appointment *by deed*, as well as by will, yet such a disposition by deed may be revoked by will; and it is evident from the language of the English statute, and from the reason of the thing, that the deed there mentioned is only a testamentary instrument in the form of a deed, and to operate only in the event of the father's death.<sup>(j)</sup> Though the statutes in this state, which have adopted or followed the provisions of the English statute, may have abridged its explanatory and verbose phraseology, it is not to be presumed that they intended to vary the construction of it. The better opinion is, that such a testamentary guardian will continue till the age of twenty-one, though the infant be a female, and marry in the meantime, if the will be explicit as to the duration of the trust; for the statute gives that authority to the father.<sup>(k)</sup>

By section 2 of the above mentioned act "concerning guardians and wards," every such disposition (by the deed or last will of the father), from the time it shall take effect, shall vest in the person or persons to whom it shall be made, all the rights and powers, and subject him or them to all the duties and obligations of a guardian of such minor, and shall be valid and effectual against every other person claiming the custody or tuition of such minor, as guardian in socage, or otherwise.<sup>(l)</sup>

Sec. 3. Any person to whom the custody of any minor is so disposed of, may take the custody and tuition of such minor, and may maintain all proper actions for the wrongful taking or detention of the minor, and shall recover damages in such actions, for the benefit of his ward. He shall also take the custody and management of the personal estate of such minor, and the profits of his real estate, during the time for which such disposition shall have been made, and may bring such actions in relation thereto as a guardian in socage might by law.

A father only can appoint a testamentary guardian of his children. The grandfather has no such power.<sup>(m)</sup> But probably, under the act of 1860 above quoted,<sup>(n)</sup> the mother has the power.

The distinction of guardians by nature, and by socage, seems now to be lost, or gone into oblivion, and those several kinds of guardians have become essentially superseded in practice by the *chancery guardians*, or guardians appointed by the Court of Chancery, now by the Supreme Court, or by the surrogates in the respective counties of this state. Testamentary guardians are not very common, and all other guardians are now appointed by the one or the other of those jurisdic-

(i) S. L. 1860, ch. 90, p. 169.

(j) The statute of Ohio in 1831, very properly drops the word deed, and gives the father the power of appointing *by will* a testamentary guardian to his infant and unmarried child.

(k) See 2 Kent's Comm. 224.

(l) 2 R. S. 150; 3 R. S. (5th ed.) 243. See *The People v. Kearney*, 19 How. Prac. R. 493.

(m) *Hoyt v. Hilton*, 2 Edw. Ch. Rep. 202.

(n) See *In the Matter of the Guardianship of Hannah M. Pierce*, 12 How. Prac. Rep. 532.



tions. The power of the chancellor to appoint guardians for infants who had no testamentary or statute guardian, was a branch of his general jurisdiction over minors and their estates, and that jurisdiction had been long and unquestionably settled.<sup>(o)</sup> The constitution of this state provides that there shall be a Supreme Court, having general jurisdiction in law and equity. By the act in relation to the judiciary, passed May 12, 1847,<sup>(p)</sup> it is declared that the Supreme Court, organized by the act, shall possess the same powers and exercise the same jurisdiction as is now possessed and exercised by the present Supreme Court and Court of Chancery; and the justices of said court shall possess the powers and exercise the jurisdiction now possessed and exercised by the justices of the present Supreme Court, chancellor, vice-chancellors and circuit judges, so far as the powers and jurisdiction of said courts and officers shall be consistent with the constitution and provisions of the act.<sup>(q)</sup> Under these provisions the Supreme Court has exercised the power of appointment of guardians for minors.

Surrogates, by the act of the Legislature of the 5th April, 1802, as has been seen,<sup>(r)</sup> were authorized to allow of guardians who should be chosen by infants of the age of fourteen years, and to appoint guardians for such as should be within that age, in as full and ample manner as the chancellor of this state might or could appoint or allow of the same; and the same jurisdiction is continued by the existing law.<sup>(s)</sup> And as the powers and jurisdiction of the Court of Chancery were declared<sup>(t)</sup> to be co-extensive with the same powers and jurisdiction in England, with the exceptions, additions and limitations created and imposed by the constitution and laws, it is to be inferred that the chancellor of New York retained the jurisdiction over infants which belonged to the chancellor in England, and which belonged to the chancellor of New York prior to the 1st January, 1830, when the Revised Statutes took effect. This jurisdiction is now assumed by the Supreme Court.

The practice in chancery, on the appointment of a guardian, was to require a master's report, approving of the person and security offered. The court might, in its discretion, appoint one person guardian of the person, and another guardian of the estate, in like manner as in the cases of idiots and lunatics there might be one committee of the person, and another of the estate. The guardian or committee of the estate always was required to give adequate security; but the guardian or committee of the person gave none. The same practice in all substantial particulars prevails in the Supreme Court.

#### OF THE PROCEEDINGS FOR THE APPOINTMENT OF A GUARDIAN OF A MINOR BY A SURROGATE.

By the 4th section of the above mentioned act, "relating to guardians and wards," if no guardian for the minor shall have been ap-

(o) See 2 Kent's Comm. 226.

(q) See 3 R. S. (5th ed.) 277-8.

(s) See 2 R. S. 151, sec. 4 and 5; 3 R. S. (5th ed.) 243, *infra*; 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1; *Ante*, p. 24.

(p) S. L. 1847, 319.

(r) *Ante*, p. 4.

(t) 2 R. S. 173, sec. 36.

pointed by the father, by a deed or will, every such minor of the age of fourteen years may apply, by petition, to the surrogate of the county where the residence of such minor may be, for the appointment of such guardian as the minor may nominate, subject to the approbation of the surrogate.<sup>(u)</sup>

Sec. 5. If such minor be under the age of fourteen years, any relative or other person, in his behalf, may apply to the surrogate of the county where such minor shall reside, for the appointment of a guardian of the minor until he shall arrive at the age of fourteen years, and until another guardian shall be appointed. Upon the making of any such application, the surrogate shall assign a day for the hearing thereof, and shall direct such notice of the hearing to be given to the relatives of the minor residing in the county as he shall, on due inquiry, think reasonable.<sup>(v)</sup>

By the 44th section of the act of the 16th May, 1837, "concerning the proof of wills, executors and administrators, guardians and wards, and Surrogates' Courts," it is provided that the notice required by the above 5th section shall be required to be served on such relatives only of the minor as the surrogate shall direct.<sup>(w)</sup>

Sec. 6. The surrogate to whom application may be made under either of the preceding sections, shall have the same power to allow and appoint guardians as is possessed by the chancellor; and in all cases he shall inquire into the circumstances of the minor, and ascertain the amount of his personal property, and the value of the rents and profits of his real estate; and, for that purpose, he may compel any person to appear before him, and testify in relation thereto.<sup>(x)</sup>

Sec. 7. [Sec. 8.] Before appointing any person guardian of a minor, the surrogate shall require of such person a bond to the minor, with sufficient security, to be approved by him, in a penalty double the amount of the personal estate, and of the value of the rents and profits of the real estate, conditioned that such person will faithfully, in all things, discharge the duty of a guardian to such minor, according to law, and that he will render a true and just account of all moneys and property received by him, and of the application thereof, and of his guardianship in all respects, to any court having cognizance thereof, when thereunto required.<sup>(y)</sup>

Sec. 8. [Sec. 9.] The bond so taken shall be retained by the surrogate among the papers of his office, and, in case of any breach of the condition thereof, may be prosecuted in the name of the ward, although he may not have arrived at full age, by his next friend or guardian, whenever the surrogate shall direct.<sup>(z)</sup>

The power of the surrogate under the provisions of the statute thus quoted, is not limited to that favored class of infants who are endowed with estate, real or personal. He may doubtless appoint a guardian for the infant inmate of a poor-house, without property and without name or lineage. But the statute, and the practice under it, has reference, specially and particularly, and almost exclusively, to the former

<sup>(u)</sup> 2 R. S. 150; 3 R. S. (5th ed.) 243.

<sup>(w)</sup> S. L. 1837, 532; 3 R. S. (5th ed.) 243-4.

<sup>(y)</sup> 2 R. S. 151; 3 R. S. (5th ed.) 244.

<sup>(v)</sup> 2 R. S. 151; 3 R. S. (5th ed.) 243.

<sup>(x)</sup> 2 R. S. 151; 3 R. S. (5th ed.) 244.

<sup>(z)</sup> 2 R. S. 151; 3 R. S. (5th ed.) 244.

class. This is manifest from the various provisions in regard to bonds with securities, for ascertaining the value of the infant's property, and for the keeping, rendering, and settling accounts, and for compensation and recompense for expenses and services, and for the removal of the guardian for incompetency, or other dereliction of duty. These numerous and complicated provisions can have no possible application to those minors whose condition is orphanage and destitution.(zz)

OF THE SURROGATE HAVING JURISDICTION TO APPOINT THE GUARDIAN OF A MINOR.

With respect to the question as to what shall constitute a residence of the minor in the county of the surrogate, so as to give the surrogate jurisdiction to appoint a guardian for him, no difficulty occurs where the minor has a home with his relatives or friends in the county of the surrogate. During the life of the father of the minor, his residence is with his father. Upon the death of the father, the residence of the mother of the minor becomes the residence of the minor; and if the mother of the minor, after the death of the father, change her residence to a different county from that in which the father resided, the residence of the minor is changed with that of his mother. And a surrogate acquires jurisdiction to appoint a general guardian of a minor where the actual residence of the minor, for the time being, is in the county of the surrogate, although the legal residence or domicil of the minor is in another county. Thus, where the minor, with her mother, resided in the county of Monroe, and a relative of the minor, whilst the minor was on a visit to the county of Westchester, and therefore actually residing there, applied to the surrogate of the county of Westchester to be appointed, and was appointed by that surrogate, the general guardian of the minor, it was held that the surrogate had jurisdiction to make the appointment, and that the appointment was valid.(a)

A domicil of choice can only be acquired by a person who is *sui juris*. A minor cannot, therefore, of his own motion, make a change of his domicil. After a guardian has been appointed for him, his residence, at any rate within the jurisdiction of this state, will be regulated by his guardian. Thus, where the surrogate of the county of New York had appointed a guardian for the minor when under the age of fourteen years, the minor then residing in the county of New York, and the domicil of the minor was changed by her guardian to the county of Kings, which was her domicil at the time she attained the age of fourteen, and on attaining that age the minor elected to have a new guardian, it was determined that the surrogate of the county of Kings had jurisdiction to entertain the application of the minor for the appointment of such new guardian, and to appoint such guardian for the minor until she attained her full age.(aa)

(zz) *The People* agt. *Kearney*, 19 How. Prac. Rep. 493, 499.

(a) *In the Matter of the Guardianship of Hannah M. Pierce*, 12 How. Prac. Rep. 532. See, also, *Lewis* agt. *Dutton*, 8 How. Prac. Rep. 99.

(aa) See *Ex parte Bartlett*, 4 Bradf. Surr. Rep. 221. See, also, *Wood v. Wood*, 5 Paige, 596, 605, 2 Kent's Comm. 227, note.

## OF THE APPOINTMENT OF GUARDIANS FOR MINORS OVER THE AGE OF FOURTEEN.

The petition to the surrogate, of a minor of the age of fourteen, for the appointment of a guardian, should aver the residence of the minor in the county of the surrogate, and the age of the minor, and should nominate, subject to the approbation of the surrogate, the person desired to be appointed the guardian, and pray his appointment. It should be accompanied by the written consent of the person proposed, to accept the guardianship; and also by evidence, in the form of an affidavit or otherwise, of the amount of the personal estate, and of the value of the rents and profits of the real estate belonging to the minor, to answer the inquiry directed to be made by the above 6th section of the statute, and in order that the surrogate may fix the penalty of the bond to be taken of the guardian, pursuant to the 7th section. Other evidence concerning the property of the minor, besides that so furnished, may be demanded by the surrogate. "The proper course," says the chancellor in *Bennett v. Byrne*,<sup>(b)</sup> "for the surrogate, upon the appointment of a guardian, is to ascertain, by the examination of witnesses, the probable amount of the personal estate, and of the income of the realty during the minority of the infant." And it would seem proper that in some cases written evidence should be produced that the minor is of the requisite age to entitle him to make the application himself.

If the surrogate approve of the person nominated, upon his executing a bond to the minor, with sufficient security, according to the provisions of the 7th section, he is appointed the guardian, and letters of guardianship are granted and issued to him. Personal security is usually offered. If such be the case, the surrogate may require and take evidence of the pecuniary responsibility of the surety, and in nearly all cases he will require him to justify in the amount of the penalty of the bond. Usually, only one surety is demanded. Other sufficient security, as by mortgage of real estate, may be accepted, as the statute does not prescribe the kind of security to be required; but such is not commonly offered. Where there are several guardians, the statute requiring the surrogate, upon the appointment of guardians, to take from every guardian a bond with surety, &c., is complied with by taking one joint and several bond from all the guardians, with surety.<sup>(bb)</sup> Upon granting the letters, an order allowing the same to issue should be made and entered in the surrogate's minutes. [For forms for proceedings on the appointment of a guardian of a minor of the age of fourteen, and form of the letters of guardianship, see Appendix, No. 116.]

## OF THE APPOINTMENT OF GUARDIANS FOR MINORS UNDER THE AGE OF FOURTEEN.

In the case of a minor under the age of fourteen years, the application for the appointment of an executor must, as in the case of a minor over fourteen years of age, be by petition in writing;<sup>(c)</sup> and such petition must come from a relative or other person in behalf of the minor.

(b) 2 Barb. Ch. Rep. 216.

(bb) *Kirby v. Turner*, 1 Hopkins' Ch. Rep. 309.

(c) *Leuis agst. Dutton*, 8 How. Prac. Rep. 99.

It is usually presented by a person proposing to be himself appointed the guardian. It should state the relationship, if such be the case, of the petitioner to the minor, the residence of the minor within the county of the surrogate, his age, and the amount of his personal estate, and the value of the rents and profits of his real estate. It should also state the names of the relatives of the minor residing in the county, and the particulars of their relationship, to enable the surrogate to give the proper directions with regard to notice to the minor's relatives, pursuant to the provisions of the above 5th section of the statute and of the Law of 1837. Its prayer will be for the appointment of the petitioner or some other suitable person as the guardian of the minor until he shall arrive at the age of fourteen years, and until another guardian shall be appointed. If the petitioner pray for his own appointment, that will be a sufficient consent to accept of the guardianship. If the prayer be for the appointment of another person, the petition should be accompanied by his consent to accept.

And if the petition allege the residence of the minor within the county of the surrogate, and the other facts above recited necessary to give the surrogate jurisdiction of the person of the minor, and the surrogate proceeds regularly and appoints a guardian for such minor, such appointment is valid until it shall be reversed or vacated by a direct proceeding for that purpose, and will not be held void although the infant never resided in the county of the surrogate; and the Supreme Court will not sustain an action brought to remove a guardian appointed under such circumstances. (d)

The petition should be under oath, and, upon presenting the same, the surrogate assigns a day for the hearing thereof, and decides as to the notice to the relatives of the minor residing in the county, provided for by the above sections of the statutes. The statute is imperative that the surrogate shall assign a day for the hearing of the application, and shall direct such notice of the hearing to be given to the relatives of the minor residing in the county as he shall, upon due inquiry, deem reasonable. This provision, as originally enacted in the Revised Statutes, seems to have borne the construction that all the relatives of the infant, either by affinity or consanguinity, if they resided in the county, were to be notified of the time and place appointed for the hearing of the application; and that the surrogate was only to decide upon the reasonableness of the notice. The act of 1837 has modified the provisions of the Revised Statutes in this respect, by declaring that the notice shall be required to be served on such relatives only of the minor as the surrogate shall direct. This modification, however, does not relieve the surrogate from the duty of making the inquiry contemplated by the Revised Statutes, for the purpose of ascertaining who are the relatives of the infant residing in the county, and of directing notice of the application to be given to such and so many of them as may be deemed reasonable for the purpose of having the rights of the infant properly attended to upon the application. Where the application is made by one who is not nearly related to the infant either by affinity

(d) *Lewis agst. Dutton*, 8 How. Prac. Rep. 99. See, also, *In the Matter of the Guardianship of Hannah M. Pierce*, 12 How. Prac. Rep. 532; *Ante*, p. 677.



or consanguinity, it is the duty, therefore, of the surrogate to inquire and ascertain whether the infant has any such relatives in the county who are of sufficient age and capacity to protect the rights and interests of the minor in the selection of a proper guardian, and in obtaining sufficient security from such guardian in reference to the nature and value of the infant's property. And if the nearest relatives of the infant do not join in the application, or give their consent to the same, the surrogate should direct notice to be given to such and so many of them who are residing in the county, as he may deem necessary to protect the infant's interest and rights on such application. He should enter his decision on that subject in the minutes of his proceedings, and should require the applicant to furnish the evidence of the service of such notice before he proceeds to make the appointment. So, where the application is made by a relative of the infant, the surrogate should make the requisite inquiries, and ascertain whether there are any other relatives related to the infant in the same degree, or more nearly, and direct notice of the hearing of the application to be given accordingly. And where the surrogate errs, either by neglecting to make the proper inquiries as to who are the relatives of the infant, or in not directing notice to be given to such of the relatives as, in the exercise of a sound discretion, he should have directed to be notified of the time and place of hearing the application, the Appellate Court may reverse his decision, and may set aside the appointment of the guardian upon that ground.(e) An order directing the notice to be given, specifying the names of the relatives upon whom it must be served, and the number of days' service to be given, is entered in the surrogate's minutes. From four to eight days' service is usually allowed.

On the day named in the notice, upon proof of the due service thereof, the hearing on the petition takes place. The parties may appear either in person or by counsel. The surrogate may, if he thinks proper, appoint one of the relatives, or any other suitable person, the guardian *ad litem* of the infant in relation to the application, for the purpose of procuring the requisite proofs, so as to prevent an improper appointment of a guardian, or the taking of insufficient security in reference to the property; and he may examine the relatives of the minor, or any other persons, on oath, as to any matter connected with the application, or as to the propriety of granting the same.(f)

The Surrogate's Court, as has been repeatedly observed in this volume,

(e) *Underhill v. Dennis*, 9 Paige, 206-7. See, also, *White v. Pomeroy*, 7 Barb. Sup. Ct. Rep. 640. In *The People v. Wilcox*, (22 Barb. Sup. Ct. Rep. 178, 186-7,) it is said "The surrogate may assign the day on which the application is presented, if he shall determine that notice to the relatives need not be given. There is nothing in the papers to show that this was not done. We must presume, therefore, that the surrogate assigned the day of the application for the hearing." And, with respect to the objection that no notice of the application for the appointment of a guardian for the minor, or of the hearing before the surrogate, was given to the relatives of the minor residing in the county, it is said, "The answer to this objection is, that the statute confers a discretion upon the surrogate in this respect \* \* \* There is nothing in the papers before me to show that this statute was not complied with." But the matter before the learned court was upon a *habeas corpus* for the custody of the infant; and, the jurisdiction of the surrogate to appoint the guardian of the infant having been conceded, all presumptions were in favor of the regularity of his proceedings.

(f) See *Kellinger v. Roe*, 7 Paige, 363.

is a court of special and limited jurisdiction, and must proceed to exercise its powers according to the letter of the statute from which it derives them. Its authority in regard to parties, upon a petition for the appointment of a guardian, is to be found in the above fifth section of the statute. The parties, other than the petitioner, are limited to the relatives of the minor residing in the county. The person to whom the minor may have been apprenticed by indenture, or to whose care and custody he may have been committed by the deed or will of the father, cannot be made, nor can he make himself, a party to such an application, so as to be concluded by the judgment or decree, for the reason that the act has given the surrogate no such authority. It prescribes what he shall do, and whom he may call before him to be bound and concluded by his decrees. The voluntary appearance, therefore, on the hearing before the surrogate of the application for the appointment of the guardian of a minor, of a party to whose custody the minor had been committed by the deed of his father, will not conclude or affect the right of such party to the custody of the minor.(g)

On the hearing of the application for the appointment of a guardian of a minor under fourteen, the same inquiry is to be made as to the qualifications of the proposed guardian, and the sufficiency of the security offered, as in the case of an application for the appointment of a guardian of a minor over fourteen years of age. Besides these subjects of investigation, the title of the party to be appointed the guardian may also be a matter of dispute. It is often a litigated question of great importance to the parties, as to who is entitled to guardianship. The Revised Statutes originally contained a section, numbered 7, declaring the order of priority to be observed in the appointment, which was derived from the practice in the Court of Chancery; but, upon the suggestion that difficulties might arise in pursuing the order therein prescribed, and as the 6th section gives the surrogate the same power in relation to the appointment as is possessed by the chancellor, it was deemed best to repeal the section in question, which was accordingly done by the act of 1830, chap. 820, sec. 31.(h)

It is a most important question for an infant of tender age, who shall have the charge of his education and estate. It depends on the guardian whether he shall be nurtured and trained under such influences and associations as to fit him for a station of respectability and honor in society, or whether he shall be so reared as to be led by bad precept and example to profligacy and ruin. The safeguards, therefore, which the law has thrown around the infant, to prevent an injudicious appointment, are not to be disregarded.(i) The true interests of the infant are to be consulted in making the appointment of his guardian, rather than the interests or the wishes of those who are contending for the guardianship.(j)

(g) *The People agst. Kearney*, 19 How. Prac. Rep. 493, 503.

(h) See 3 R. and or. S., App. 663. The section which was repealed gave the preference, in the appointment as general guardian: 1. To the mother; 2. To the grandfather on the father's side; 3. To the grandfather on the mother's side; 4. To either of the uncles on the father's side; 5. To either of the uncles on the mother's side; 6. To any one of the next of kin to the minor who would be entitled to a distributive share of his personal estate in case of his death.

(i) *White v. Pomeroy*, 7 Barb. Sup. Ct. Rep. 640.

(j) *Bennett v. Byrne*, 2 Barb. Ch. Rep. 216.

As between an uncle and a stranger contending for the guardianship, other things being equal, the uncle is to be preferred as guardian.<sup>(k)</sup>

The fact that the real estate of the infant came to him by descent from his father, and not from his mother, affords no sufficient ground for giving a preference to the paternal relatives of the infant over the maternal relatives of the same degree of affinity or consanguinity, in the appointment of guardian. The declared wishes of the deceased parents of an infant, in relation to the manner in which he should be brought up, and as to whose care he should be committed during his infancy, are entitled to much weight in deciding upon the claim of the different relatives to the guardianship of the infant.<sup>(l)</sup>

So, the fact that the mother of an infant, upon her deathbed, expressed the wish that a particular relative should adopt such infant and bring it up as his own, and should see that its property was not wasted, should have a preponderating influence with the surrogate, other things being equal, in favor of the appointment of such person as guardian of the infant. And the probability, if a particular person should be appointed guardian, that the estate will be subjected to the expense of a new appointment within a very short time, and to the other expenses incident to a change of guardianship, is a circumstance entitled to some weight in favor of the appointment of another person by the surrogate.<sup>(m)</sup>

Again, where a person, applying to be appointed guardian of an infant, is already the trustee of such infant, for the purpose of expending the income of an estate for his support and education, it is a circumstance in favor of his appointment as such guardian, in order that the infant may not be subjected to the expense of separate accounts of the expenditures for his support—the one on the part of the trustees, and the other by the guardian;<sup>(n)</sup> and in a very recent case in the Supreme Court, at general term, in the third district, this rule has been recognized and acted upon.<sup>(o)</sup>

The surrogate determines upon any conflicting claims which may be made to the guardianship, and his decision is stated in the order for the appointment. If a person other than the one named in the petition be appointed, his written consent to accept should be produced and filed. A similar bond is to be taken, and a similar order is to be entered, in the case of the appointment of a guardian for an infant under the age of fourteen, to those in the case of an infant over that age. [For forms for the proceedings, see Appendix, No. 117.]<sup>(o)</sup>

Where a general guardian for an infant has been appointed by the surrogate, a question as to the residence of the infant can be reviewed

<sup>(k)</sup> *Morehouse v. Cook*, Hopkins, 226.

<sup>(l)</sup> *Underhill v. Dennis*, 9 Paige, 203.

<sup>(m)</sup> *Bennett v. Byrne*, 2 Barb. Ch. Rep. 216.

<sup>(n)</sup> *Boyd v. Davis*, MS.

<sup>(o)</sup> As in the Court of Chancery, so the surrogate may, in his discretion, appoint one person guardian of the person and another guardian of the estate of the infant; but security is not entirely dispensed with from the former, as in that court, a bond with surety being taken, although its penalty is in merely a nominal amount. Instances of this kind, however, are not numerous, and occur almost exclusively in those cases where the Life Insurance and Trust Company, or the United States Trust Company, is appointed guardian of the estate of the infant; in which cases a separate guardian for the person becomes necessary. In all other cases the same person is appointed guardian of both the person and the estate of the infant.

only on appeal.(p) And, as has been seen,(q) if the petition presented in pursuance of the statute contains sufficient facts to give the surrogate jurisdiction of the person of the infant, and he proceed regularly and appoints a guardian for such infant, such appointment is valid until it shall be reversed or vacated by a direct proceeding for that purpose, and will not be held void although the infant never resided in the county of the surrogate.(r) And the appointment of the guardian of an infant cannot be assailed in a collateral way by *habeas corpus*.(s)

#### OF THE POWER AND AUTHORITY OF THE GUARDIAN.

The power and authority of the guardian remain to be considered.

By section 9 [sec. 10] of the above mentioned title of the Revised Statutes relative to guardians and wards, every guardian so appointed by a surrogate (according to the provisions and directions above given), shall have the same powers as a testamentary guardian; and every person so appointed guardian of a minor, under the age of fourteen years, shall continue guardian of such minor, and shall be responsible as such, notwithstanding the said minor may arrive at the age of fourteen years, until another guardian be appointed, or such first guardian be discharged according to law.(t)

A guardian of an infant who is under fourteen, appointed by the Supreme Court, continues such guardian until the infant is twenty-one years of age, unless sooner removed by the court appointing him; and the infant, upon arriving at the age of fourteen, cannot have a new guardian appointed as of course.(u)

The general guardian of the infant is, by the terms of his appointment, the guardian of the person as well as of the estate of the infant,(v) and, subject to the control of courts of equity, is entitled to the custody and care of the infant. While a child is in the custody of its general guardian, duly appointed by the surrogate, it cannot be deemed under illegal imprisonment or restraint, merely from the guardian's refusal to deliver such child to its mother. But a court of equity possesses a controlling and superintending power over all guardians, whether testamentary or appointed by the surrogate. And it will exercise that power by taking the ward from the guardian, and delivering it to its mother, or some other person, whenever the interests of the ward require it.(w)

It has been considered that the guardian has the right to change the residence of his infant ward from one state to another, provided such change of residence is made in good faith and with a view to the benefit of the ward, subject, however, to the power of a Court of Equity to restrain an improper removal of an infant by his guardian.(x) The

(p) *In the Matter of the Guardianship of Hannah M. Pierce*, 12 How. Prac. Rep. 532.

(q) *Ante*, p. 679.

(r) *Lewis v. Dutton*, 8 How. Prac. Rep. 99.

(s) *The People ex rel. Wilcox v. Wilcox*, 22 Barb. Sup. Ct. Rep. 178.

(t) 2 R. S. 151; 3 R. S. (5th ed.) 244.

(u) "*In the Matter of Dyer*," 5 Paige, 534.

(v) Except in those cases mentioned in the above note in which separate guardians are appointed of the person and of the estate, in which cases the remarks in the text apply to the guardian of the person.

(w) *The People ex rel. Wilcox v. Wilcox*, 22 Barb. Sup. Ct. Rep. 178.

(x) *Wood v. Wood*, 5 Paige, 596, 605; 2 Kent's Comm. 227, note.

right of the guardian to change the domicil of his ward so as to alter the succession, however, has been doubted; but there would appear to be no ground for challenging such a control over the residence of the minor as shall not withdraw him from the jurisdiction of his domicil of origin.(y)

It may be added that the guardian is not bound to support and maintain his ward from his own means. The law imposes upon him no such duty. He may provide for him from humanity, from the impulses of sympathy and charity; but the moment the ward's property and substance are exhausted, the legal duty and obligation of guardian are at an end, for he owes none which the law will enforce.(z)

The guardian of the estate has no further concern with, or control over, the real estate, than what relates to the leasing of it, and the reception of the rents and profits; and it is his duty to place the ward's land upon lease. He has such an interest in the estate of his ward as to enable him to avow for *damage feasant*, and to bring trespass or ejectment in his own name. These were common law rights belonging to the guardian in socage, and they apply to the general guardian at the present day. He may lease during the minority of the ward, and no longer; but he cannot sell without the authority of the Supreme Court.(a) The infant may, by his guardian, apply to that court for the sale or disposition of his property. On such application, the court appoints a guardian specially for the infant, in relation to the proceedings on such application; a reference is had to inquire into the merits of the application; and then, whenever it shall appear satisfactorily that a disposition of any part of the real estate of such infant, or of his interest in any term for years, is necessary and proper, either for the support and maintenance of such infant, or for his education; or that the interest of such infant requires, or will be substantially promoted by, such disposition, on account of any part of his said property being exposed to waste and dilapidation, or on account of its being wholly unproductive, or for any other peculiar reasons or circumstances, the court may order the letting for a term of years, the sale, or other disposition of such real estate or interest, to be made by such guardian or guardians so appointed, in such manner and with such restrictions as shall be deemed expedient. But no real estate or term for years shall be sold, leased, or disposed of in any manner against the provisions of any last will, or of any conveyance, by which such estate or term was devised or granted to such infant.(b)

By section 101 [sec. 86] of the statute relative to the partition of lands owned by several persons,(c) whenever it shall appear satisfactorily, by due proof, or on report of a referee to the Supreme Court, that any infant holds real estate in joint tenancy, or in common, or in any other manner which would authorize his being made a party to a suit in partition, and that the interest of such infant, or of any other person concerned therein, requires that partition of such estate should

(y) *Ex parte Bartlett*, 4 Bradf. Surr. Rep. 221.

(z) *The People agst. Kearney*, 19 How. Prac. Rep. 493, 499.

(a) See 2 Kent's Comm. 228, and cases cited.

(b) See 2 R. S. 195, sec. 176, *et seq.*; 3 R. S. (5th ed.) 276.

(c) 2 R. S. 315, 330; 3 R. S. (5th ed.) 602, 618.



be made, such court may direct and authorize the general guardian of such infant to agree to a division thereof, or to a sale thereof, or of such a part of the said estate as in the opinion of the court shall be incapable of partition, or as shall be most for the interest of the infant to be sold.

This provision does not authorize the guardian to sell to a co-tenant, but only to join with the other tenants in common in a sale of the joint interest in the property. The court will not authorize the guardian to join in a sale except on the report of a master that such sale is necessary and proper; and the guardian must give security for the faithful performance of his trust on such sale, and to bring the proceeds of the infant's share into court, or to invest and account for the same, as the court shall direct. If a co-tenant wishes to buy the infant's share in an estate which cannot be divided, and is willing to give the fair value thereof, the general guardian should apply for liberty to sell, under the article of the Revised Statutes above referred to, relative to the sale and disposition of infants' estates. It is a sufficient ground to authorize a sale of an infant's property, that it is held in common with adults, and that the value of the estate is small in comparison with the expense of a partition suit to which it must otherwise be subjected.(d)

The guardian may sell the personal estate of his ward for the purposes of the trust without a previous order of the court, and such sale, without a previous order, if fair, would undoubtedly be good as to the purchaser; but the safer course for the guardian is to have a previous order.(e) Such an order the Surrogate's Court is clearly competent to make.

And a guardian may appropriate the personal property of his ward to discharge a mortgage upon real estate which has descended or been devised to the ward incumbered with such mortgage, and which the ward, under the provisions of the statute,(f) would be bound to satisfy and discharge out of his own property, without resorting to the administrator or executor of his ancestor under the provisions of the statute.(g) But this is to be understood with this condition, that the value of the property exceeded the amount of the mortgage. The statute does not require the payment by the heir or devisee of the mortgage, unless there is an advantage to him from the property descended or devised.

With reference to the extent to which the infant will be bound by receipts given by his guardian on his behalf, for money or property to which he is entitled, it may be remarked that, where the general guardian of an infant settles with the executors or personal representatives of a deceased person for a legacy or distributive share of the decedent's estate due to his ward, and gives his receipt to the executors of the decedent for the money or property received by him for his ward, expressed to be in full for the property bequeathed by the decedent for the benefit of the infant, such receipt is evidence of a settlement between the executors or personal representatives and the guardian, as to such part of the estate of the testator; and such a settlement is so

(d) "In the Matter of Congdon," 2 Paige, 566.

(e) See 2 Kent's Comm. 228, and note b.

(f) 1 R. S. 749; 3 R. S. (5th ed.) 38, sec. 4.

(g) *Banks agt. Taylor*, 10 Abbott Prac. Rep. 199.

far conclusive upon the infant, when he becomes of age, as to throw upon him the burden of proving that there was error in the account upon which such settlement was made.(h)

Where the appointment of a person as guardian of a minor is invalid, his subsequent appointment as administrator of his ward's father will also be erroneous, if his claim to be appointed administrator rests upon the fact that he has been appointed guardian, and is entitled to administer in the right of his ward; and letters have been issued to him without any citation or notice to relatives having a prior right to the administration.(i)

Again, a guardian appointed out of this state is not entitled to receive from the administrator in this state the portion or legacy of the infant. But to acquire such right he must be appointed in this state, and give the proper security;(j) and, generally, the rights and powers of the guardians over the person and property of their wards are, like the rights and authorities of executors and administrators, strictly local, and cannot be exercised in other states, for they come within the same reasoning and authority.(k) Nor have they any authority over the real property of their wards situate in other countries, for such property is governed by the law *rei sitæ*.(l)

It has appeared, at a previous page of this work,(m) that a legacy to a minor, if under the value of fifty dollars, may be paid to his father, to the use and for the benefit of such minor; that if the legacy be of the value of fifty dollars or more, the same may, under the direction of the surrogate, be paid to the general guardian of the minor; but that, to entitle the general guardian to receive such legacy, he must give security to the minor, to be approved by the surrogate, for the faithful application and accounting for such legacy. At a previous page of this work(n) it also appeared that, where a distributive share is to be paid to a minor, the surrogate may direct the same to be paid to the general guardian of such minor, and to be applied to his support and education. The proceedings in behalf of a minor, by his guardian, to recover a legacy or distributive share due to the minor by an action at law, were also referred to in that portion of this work treating of the subject of legacies and distributive shares.(o)

Where there are several guardians of an infant's estate, they may act either separately or in conjunction. They are jointly responsible for joint acts; and each is separately answerable for his separate acts and defaults.(p)

(h) See *Dakin v. Demming*, 6 Paige, 95, 100.

(i) *White v. Pomeroy*, 7 Barb. Sup. Ct. Rep. 641.

(j) *Morrell v. Dickey*, 1 Johns. Ch. Rep. 153; 2 Kent's Comm. 227, note.

(k) *Morrell v. Dickey*, 1 Johns. Ch. Rep. 156, and other cases and authorities cited in note to 2 Kent's Comm. 228.

(l) Story's Conf. of Laws, 414, 417.

(m) *Ante*, pp. 438, 460. See 2 R. S. 91; 3 R. S. (5th ed.) 177.

(n) *Ante*, pp. 559, 575. See 2 R. S. 98; 3 R. S. (5th ed.) 185.

(o) See *ante*, pp. 439, 440, 461. See 2 R. S. 115; 3 R. S. (5th ed.) 203.

(p) *Kirby v. Turner*, Hopkins, 309.

## CHAPTER XVII.

## OF THE DUTIES AND LIABILITIES OF GUARDIANS; OF COMPELLING THEM TO ACCOUNT IN THE SURROGATE'S COURT; OF THE RENDERING AND SETTLING OF THEIR ACCOUNTS, AND OF PROCEEDINGS IN THE SURROGATE'S COURT FOR THEIR REMOVAL.

By section 20 of the title of the Revised Statutes relative to guardians and wards, it is declared and required that every guardian in socage, and every general guardian, whether testamentary or appointed, shall safely keep the things that he may have in his custody belonging to his ward, and the inheritance of his ward, and shall not make or suffer any waste, sale or destruction of such things or of such inheritance, but shall keep up and sustain the houses, gardens and other appurtenances to the lands of his ward, by and with the issues and profits thereof, or with such other moneys belonging to his ward as shall be in his hands; and shall deliver the same to his ward, when he comes to his full age, in as good order and condition, at least, as such guardian received the same, inevitable decay and injury only excepted; and he shall answer to his ward for the issues and profits of real estate received by him by a lawful account.(a)

By section 20, [sec. 21,] if any guardian shall make or suffer any waste, sale, or destruction of the inheritance of his ward, he shall lose the custody of the same, and of such ward, and shall forfeit to the ward thrice the sum at which the damages shall be taxed by the jury.

The guardian's trust is one of obligation and duty, and not of speculation and profit. He cannot reap any benefit from the use of the ward's money. He cannot act for his own benefit in any contract, or purchase, or sale, as to the subject of the trust. If he settles a debt upon beneficial terms, or purchases it at a discount, the advantage is to accrue entirely to the infant's benefit.(b) It has been held that he has no right to commute the debts or judgments due the ward or infant, and that if he do, he is responsible for the amount and interest.(c) If he takes notes or other securities, for money belonging to his ward, in his own name, he converts the property to his own use, and is *prima facie* accountable for it. Thus, if a guardian surrenders contracts for land, and takes deeds in his own name, and pledges his personal responsibility for a part of the purchase money, this will be held a conversion of the contracts to his own use; and the ward may adopt the transaction, or claim from the guardian the value of the land contracts, at his election.(d) It is his duty to take care of, and account for the money of his ward from whatever source derived, and he would, therefore, be accountable as guardian for money received by him here for their lands in another state when legally sold.(e) He is bound to rent

(a) 2 R. S. 153; 3 R. S. (5th ed.) 245.

(b) See 2 Kent's Comm. 229.

(c) *Forbes v. Mitchell*, 1 J. J. Marsh. Rep. 441. Whether insolvency of a debtor constitutes an exception? *Quære*, Id.

(d) *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

(e) *Duncan et al. v. Patty's heirs*, 5 Dana, 223.

his ward's land, and if he neglect it, he will be charged with the estimated rent.(f) If the guardian has been guilty of negligence in the keeping or disposition of the infant's money, whereby the estate has incurred loss, the guardian will be obliged to sustain the loss. The guardian must not convert the personal estate of the infant into real, or buy land with the infant's money, without the direction of a court of equity.(g) The power resides in the Supreme Court to change the property of infants from real into personal, and from personal into real, whenever it appears to be manifestly for the infant's benefit.(h) It is said that the latter power may be exercised by a guardian or trustee, in a clear and strong case, without the previous order of a court of equity; but it is an extremely perilous act, and cannot be recommended; and the infant, when he arrives at full age, will be entitled, at his election, to take the land, or the money with interest;(i) if he elect the latter, however, chancery will take care that justice be done, by considering the ward as trustee for the guardian of the lands standing in his name, and will direct the ward to convey. And if the guardian puts the ward's money in trade, the ward will be equally entitled to elect to take the profits of the trade, or the principal with compound interest, to meet those profits when the guardian will not disclose them. He cannot trade with himself, on account of his ward, nor buy or use his ward's property for his own benefit. All advantageous bargains which a guardian makes with the ward's funds will enure to the benefit of the ward, at his election.(j) So, if he neglects to put the ward's money at interest, but negligently, and for an unreasonable time, suffers it to lie idle, or mingles it with his own, the court will charge him with simple interest, and, in cases of gross delinquency, with compound interest. Where, however, there is no proof as to the ability of a guardian safely to invest his ward's money on interest, he will be allowed a reasonable time to do so—usually six months.(j) These principles are understood to be well established in the English equity system, and they apply to trustees of every kind; and the principal authorities upon which they rest were collected and reviewed in the chancery decisions of this state, to which it will be sufficient to refer, as they have recognized the same doctrine.(k)

The relation which a guardian maintains to his ward is not that of a contract debtor to his creditor. Where he has received the money of his ward, the law will doubtless raise an implied promise to pay it over when the latter arrives at age, if he chooses to bring an action of assumpsit. But the guardian cannot, by any act of his, change his duties

(f) *Jones v. Ward*, 10 Yerger, 161.

(g) *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

(h) See the cases cited in 2 Kent's Comm. 230, from whence this summary of the duties of guardians is for the most part taken.

(i) *Eckford v. De Kay*, 8 Paige, 89; *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

(j) *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

(k) "*Green v. Winter*, 1 Johns. Ch. Rep. 26; *Duncomb v. Duncomb*, Id. 508; *Schieffelin v. Stewart*, Id. 620; *Holdridge v. Gillespie*, 2 id. 30; *Davous v. Fanning*, Id. 252; *Smith v. Smith*, 4 id. 281; *Everton v. Tappan*, 5 id. 497; *Clarkson v. De Peyster*, 1 Hopkins' Rep. 424; *Rogers v. Rogers*, Id. 515." See 2 Kent's Comm. 230, n.; and see *Rapalye v. Hall*, 1 Sandf. Ch. Rep. 399; *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48; *Bostwick v. Atkins*, 3 Comstock, 53; *Lefevre v. Laraway*, 22 Barb. Sup. Ct. Rep. 167-8.

and liabilities from those of a trustee to those of a mere contract debtor.<sup>(l)</sup>

A guardian, however, acting within the scope of his powers, is bound only to fidelity, and ordinary diligence and prudence in the execution of his trust; and his acts, in the absence of fraud, will be liberally construed.<sup>(m)</sup>

It is a violation of duty on the part of a guardian to permit his infant ward to live in idleness, and to support him out of his estate, when he is capable of supporting himself by his industry. And where the guardian permits him to be brought up in idleness, the infant will not be liable to the guardian for the support furnished him in the meantime. But the means of support furnished to an infant by his guardian, while he is being educated and prepared for future usefulness, will be allowed to the guardian as necessities.<sup>(n)</sup> And it is no part of the duty of the guardian to support or contribute to the support of the infant out of his own property.<sup>(o)</sup>

Though the father be liable for necessities supplied to his child without his consent, because he is bound to support him, and is entitled to his services, yet a guardian is not so liable.<sup>(p)</sup>

It is the duty of the guardian to see to the proper application of the infant's property towards his maintenance. As the surrogate has power by the statute,<sup>(q)</sup> to direct and control the conduct of guardians appointed by him, he possesses authority to make due regulation for the maintenance of the wards.<sup>(r)</sup> His jurisdiction in this respect is probably similar to, and co-extensive with, that of the Supreme Court in the same case. There formerly existed much difficulty, on the part of the Court of Chancery, in interfering, upon the petition either of the guardian or of the infant, to direct a suitable maintenance for the latter. The effect of this doubt was to allow the guardian to exercise his discretion at his own peril; and thus to leave much to his sense of duty; and much more to his habits of bold or of timid action in assuming responsibility. At present, a different course is pursued; and in ordinary cases, at least where the property is small, the court will, upon petition, without requiring the more formal proceedings by bill, settle a due maintenance upon the infant. Lord Hardwicke, in vindication of this latter course, said: "There may be a great convenience in applications of this kind, because it may be a sort of check upon infants, with regard to their behavior; and it may be an inducement to persons of worth to accept of the guardianship, when they have the sanction of this court for anything they do on account of maintenance; and likewise of use in saving the expense of a suit to an infant's estate."<sup>(s)</sup>

(l) *Seaman v. Duryea*, 10 Barb. Sup. Ct. Rep. 524; 1 Kernan, 324.

(m) *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

(n) *Clark v. Clark*, 8 Paige, 152.

(o) *The People agst. Kearney*, 19 How. Prac. Rep. 493, 499. See *ante*, p. 684.

(p) *Call v. Ward*, 4 Watts and Serg. 118.

(q) 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1, subdv. 7. See *ante*, p. 24.

(r) This power is now disputed on the part of the court, but was exercised without question by the surrogate of the county of New York previous and up to 1844. See the opinion of the chancellor "*In the Matter of the petition of Francis E. Parker*," (1 Barb. Ch. Rep. 154,) where the chancellor considers the application of the provision in the same section of the statute, authorizing the surrogate "to direct and control the conduct of executors and administrators;" but his remarks, after all, do not reach the case mentioned in the text.

(s) "*Ex parte Whitfield*, 2 Atk. 316."



These are considerations which certainly ought never to be lost sight of in regulating the practice of the court; for it seems not to be a question as to the jurisdiction of the court.<sup>(t)</sup>

In allowing maintenance, the court will have a liberal regard to the circumstances and state of the family to which the infant belongs. Such considerations will apply to a father or mother of the infant who is in distressed or narrow circumstances. On the other hand, in allowing maintenance, the court usually confines itself within the limits of the income of the property. But where the property is small, and more means are necessary for the due maintenance of the infant, the court will sometimes allow the capital to be broken in upon. But, without the express sanction of the court, a guardian will not be permitted of his own accord to break in upon the capital.<sup>(u)</sup>

#### OF THE ACCOUNTS OF GUARDIANS.

By section 57 of the act of the 16th May, 1837, "concerning the proof of wills, guardians, and wards," &c., it is provided, that every general guardian appointed by the surrogate shall, annually after such appointment, so long as any part of the estate, or the income or proceeds thereof, remain in his hands or under his control, file, in the office of the surrogate appointing him, an inventory and account under oath, of his guardianship, and of the amount of property received by him and remaining in his hands, or invested by him, and the manner and nature of such investment, and his receipts and expenditures, in form of debtor and creditor.<sup>(v)</sup>

By section 58, every surrogate shall annex to, and deliver with each appointment of a general guardian made by him, a copy of the preceding section, and shall file in his office all accounts and inventories before mentioned; and in the month of February in each year, shall examine all such accounts and inventories as shall have been filed in his office for the preceding year.

By section 60, if on such examination the surrogate shall be satisfied, in any case, that the interest of the ward requires that a more full and satisfactory account should be given, or that such guardian should be removed; or in case any guardian shall neglect to file such account and inventory for three months after the same should have been filed, such surrogate shall proceed against such guardian in the manner prescribed in the fourteenth section of title third of chapter eight of the second part of the Revised Statutes, and sections fifteen, sixteen, seventeen, eighteen, and nineteen of said title, shall extend to proceedings authorized by this section. But such surrogate may discontinue such proceedings, on such guardian filing in his office an account and inventory satisfactory to said surrogate, and on payment of all costs which may have accrued in consequence of such neglect.<sup>(w)</sup>

The form of the inventory and account to be rendered pursuant to the above 58th section, is very plainly declared by its provisions. The dates of the various items of receipts, expenditures, and invest-

<sup>(t)</sup> 2 Story's Eq. Jur., sec. 1354.

<sup>(u)</sup> See 2 Story's Eq. Jur., sec. 1355, and cases cited.

<sup>(v)</sup> S. L. 1837, 534; 3 R. S. (5th ed.) 247.

<sup>(w)</sup> S. L. 1837, 534; 3 R. S. (5th ed.) 248.

ments, will of course be given. The guardian should correctly charge himself with such amounts of interest on uninvested funds as he is justly liable for to his ward. If he neglect to make investments, he is chargeable with the interest of the unemployed funds, commencing six months after the receipt of the moneys.<sup>(x)</sup> [For form of the annual inventory and account to be rendered by a guardian to a surrogate, see Appendix, No. 118.]

By section 21 [sec. 22] of the above mentioned title of the Revised Statutes, guardians shall be allowed for their reasonable expenses, and the same rate of compensation for their services as is provided by law for executors.<sup>(y)</sup>

The rate of compensation for their services provided by law for executor is, as was seen at a previous page of this work<sup>(z)</sup>: 1. For receiving and paying out all sums of money not exceeding one thousand dollars, at the rate of five dollars per cent.; 2. For receiving and paying out any sums exceeding one thousand dollars, and not amounting to five thousand dollars, at the rate of two dollars and fifty cents per cent.; 3. For all sums above five thousand dollars, at the rate of one dollar per cent.

The guardian should charge his ward in his annual accounts, respectively, his reasonable expenses incurred in behalf of his ward, up to the time of rendering the same, or since the rendering of his last account. It is also proper for him to compute his commissions upon his receipts and disbursements of each year, so as to credit such commissions in the annual accounts, for the purpose of ascertaining the true balance in his hands at each annual accounting. But he is not to be allowed full commissions upon every receipt and investment of the trust fund committed to his care and management.

The principles laid down in a former page of this work, when treating of the subject of the allowance of commissions to executors and administrators,<sup>(a)</sup> apply in every particular to such allowance to guardians. Some of the remarks there made, taken from the decision of the chancellor "*In the Matter of Kellogg*,"<sup>(b)</sup> it is deemed proper to repeat in this place, with others.

"The investment or re-investment of the fund, from time to time, upon new securities, for the purpose of producing an income therefrom," it was there said, "is not such a paying out of the trust moneys as entitles the guardian or trustee to commissions for paying out the same, within the intent and meaning of the statute on this subject, unless such securities are finally turned over to the *cestui que trust* as money, or otherwise applied in payment on account of the estate. Neither is the guardian or trustee entitled to charge a new commission for the collecting or receiving back of the principal of the fund which he has so invested. But he will be entitled to commissions upon the interest or income of the fund produced by such investments, and received and paid over by him. The statute gives a certain allowance,

<sup>(x)</sup> *De Peyster v. Clarkson*, 2 Wend. 77; *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

<sup>(y)</sup> 2 R. S. 153; 3 R. S. (5th ed.) 246.

<sup>(z)</sup> *Ante*, pp. 483, 534, *et seq.* See 2 R. S. 92, sec. 58, as amended by ch. 160 of the Laws of 1849; 3 R. S. (5th ed.) 179, 180.

<sup>(a)</sup> See *ante*, pp. 534 to 540.

<sup>(b)</sup> 7 Paige, 267.

by way of commissions, for receiving and paying out moneys by executors, guardians, &c., without specifying how much is allowed for receiving, and how much for paying out the same. And it may sometimes happen, upon a loss of the fund without any fault on the part of the guardian or other trustee, or upon a change of trustees, that the guardian or trustee may be entitled to compensation for one service, and not for the other. In such cases, the language of the statute must be construed with reference to the decisions of Chancellor Kent *In the Matter of Roberts*,<sup>(c)</sup> where he first established the allowance to be made in such cases, in conformity with the directions of the act of April, 1817; that is, to allow one-half commissions for receiving, and one-half for paying out the trust moneys.

"The proper rule, therefore, for computing the commissions upon the first annual statement, or passing of the accounts, of the guardian, receiver or committee, who is required to render or pass his account periodically during the continuance of the trust, is to allow him one-half of the commissions, at the rates specified in the statute, upon all moneys received by him as such trustee, other than the principal moneys received from investments made by him on account of the trust estate. And he is also to be allowed his half commissions on all moneys paid out by him, other than moneys invested or re-invested by him in bonds and mortgages, stocks, or other securities, for the benefit of the trust estate under his care and management; leaving the residue of his half commissions upon the fund which has come to his hands, and which remains invested or unexpended at the time of rendering or passing such account, for future adjustment when such funds shall have been expended, or when the trustee makes a final settlement of his account upon the termination of the trust. And upon every other periodical statement of the account during the continuance of the trust, half commissions should be computed in the same manner upon all sums received, as interest or income of the estate, or as further additions to the capital thereof, since the rendering or passing of his last account, and half commissions upon all sums expended, except as investments."

Other particulars relative to the accounts of guardians, will presently be considered in connection with the subject of the rendering and settling of their accounts, either at the instance of the ward or some person on his behalf, or at their own instance.

A strict observance of so much of the above 58th section as requires the surrogate, in the month of February in each year, to examine the accounts and inventories of guardians which shall have been filed in his office for the preceding year, and of so much of the 60th section as requires him to take proceedings *ex officio* against delinquent guardians, must be found impracticable by a surrogate having jurisdiction over a large population. The proceedings for the removal of a guardian, referred to in the above 60th section, will hereafter be treated of when the provisions of the statute therein mentioned come in their due order to be considered.

The guardian is liable to an action of account at common law, by

(c) 3 Johns. Ch. Rep. 43.

the infant after he comes of age; and the infant, while under age, may, by his next friend, call the guardian to account by an action in the nature of a former suit in chancery.

The general jurisdiction over every guardian, however appointed, resides in the Supreme Court; and a guardian appointed by the surrogate, or by will, is as much under the superintendence and control of the Supreme Court, and of the power of removal by it, as if he were appointed by the court.<sup>(d)</sup>

Where a testamentary guardian holds a fund for the sole benefit of his wards, in his character of guardian, to be invested for their use, the Supreme Court may change the investment from that which is directed by the testator, where it is for the benefit of the infants that such change should be made, even without the consent of such guardian.<sup>(e)</sup>

#### OF THE RENDERING AND SETTLING OF THE ACCOUNTS OF GUARDIANS IN THE SURROGATES' COURTS.

The authority of the surrogate to compel guardians to render accounts and to settle their accounts, is now to be considered. The proceedings on the part of the surrogate, *ex officio*, against a guardian, where he neglects to file an account and inventory for three months after the same should have been filed pursuant to the Law of 1837, has already been adverted to.

By sec. 10 [sec. 11] of the above mentioned title of the Revised Statutes relative to guardians and wards, any guardian appointed by any surrogate, may be cited to account before the surrogate who appointed him, in the same manner as administrators, upon the application of any ward, or any relative of such ward; and, on good cause being shown, may be compelled to account in the same manner as an administrator. And upon a ward's arriving at full age, he shall be entitled to compel such account, without showing any cause.<sup>(f)</sup>

The terms of this section are not perfectly explicit in respect to cases where the ward is still an infant. The guardian may be cited to account in the same manner as administrators, and, on good cause being shown, may be compelled to account in the same manner. But the statute relative to compelling administrators to account, provides for an order to account, rather than for citing them,<sup>(g)</sup> which is peremptory in its language in the first instance, and does not require any cause to be shown.

The practice, however, on compelling a guardian to account, has been the same as that on compelling an account from an executor or administrator. The ward, or his relative, must present a written petition to the surrogate, setting forth the appointment of the guardian, and the time thereof, the relationship of the petitioner to the ward, if the petition be made by a relative, and the facts and circumstances which render an account necessary from the guardian, and constitute the cause of the application for the account. He should also allege that

<sup>(d)</sup> 2 Kent's Comm. 227, and cases cited; *Disbrow v. Henshaw*, 8 Cowen, 349.

<sup>(e)</sup> *Wood v. Wood*, 5 Paige, 596.

<sup>(f)</sup> 2 R. S. 152; 3 R. S. (5th ed.) 244.

<sup>(g)</sup> See 2 R. S. 92, sec. 52; 3 R. S. (5th ed.) 158, sec. 57 [sec. 52]; *Ante*, pp. 481, 487, 490, 493, note (w).

an account has been applied for and refused: and the reader is referred, for other particulars which may be included in the petition, to the observations made at a previous page of this work.<sup>(h)</sup> on the petition in the similar proceeding of compelling an executor or administrator to account. The prayer of the petition will be, that the guardian be compelled to account before the surrogate. If an allowance for the maintenance of the infant be desired, the proper allegations of the necessity for such allowance should be embraced in the petition, and a prayer should be included for the granting of the same.

On filing the petition, the surrogate makes an order or issues a citation, similar to that in the proceeding on compelling an executor or administrator to account, of which the same number of days' service (30 days)<sup>(i)</sup> must be given, and the same service must be made as in that case.<sup>(j)</sup>

On the return day of the order or citation, similar proceedings are to be had before the surrogate for determining the justness and correctness of the accounts kept and rendered by the guardian, if the same be disputed, to those in the case of an accounting by an executor or administrator. Disbursements on account of the ward must be proved by vouchers or otherwise, and witnesses may be examined on either side, touching any of the items in the account, the same as in that case. On the conclusion of the proceedings, the surrogate makes a decree settling the account rendered by the guardian, and determining the liability of the guardian for the property of his ward, according to the proofs which have been adduced before him. If an allowance for maintenance has been prayed for in the petition, the proper allowance also should be fixed by the decree. Some further particulars respecting this accounting may be gathered from the observations which will presently be made, regarding the settlement of the accounts of a guardian, brought on upon his own application, on the expiration of his trust, by reason of the arrival of his ward at full age, or his removal from the guardianship.

By sec. 11 [sec. 12] of the above mentioned title of the Revised Statutes relative to guardians and wards, every guardian of a ward who shall have arrived at full age, and every guardian who shall be superseded in his trust by another guardian, may apply to the surrogate who appointed him, for a citation to his ward, or to such new guardian, to attend the settlement of his accounts; which citation shall be issued by such surrogate, and be served in the manner and at the time herein directed in case of proceedings for the removal of a guardian.<sup>(k)</sup>

The application to the surrogate for a citation under this provision, should be by a written petition, setting forth the fact of the arrival of the ward at full age, or of the superseding of the guardian, and the appointment of a new one, and praying a citation to the ward, or to the new guardian, as the case may be. On presenting the petition to the surrogate, an order for the issuing of the citation is made and entered in his minutes, and the citation issues. The citation is directed to the

(h) See *ante*, p. 488, *et seq.*

(j) See *ante*, p. 491.

(i) See *ante*, pp. 490, 493-4.

(k) 2 R. S. 152; 3 R. S. (5th ed.) 244.



ward, or to the new guardian, according to the fact of the case as stated in the petition, and requires the party to whom it is directed to appear before the surrogate on a certain day, and attend the settlement of the accounts of the guardian whose trust has expired, or who has been superseded. The citation must be served at least fourteen days before the return day thereof, that being the time directed, as will hereafter appear, in the case of proceedings for the removal of a guardian.

On the return day of the citation, the guardian applying for the settlement produces his account, and the vouchers thereof, and the surrogate proceeds to determine the justness and correctness of the same. The proceeding, if the account be disputed, is similar to that hereinbefore described<sup>(l)</sup> on the settlement of an account of an executor or administrator on his own application, and also to that above considered, where a guardian is called to account.

In treating of the accounting of executors and administrators in a previous chapter,<sup>(m)</sup> this work considered in detail the several subjects of the allowance to be made to executors and administrators for trust property perished or lost without their fault;<sup>(n)</sup> their liability for negligence in collecting or taking care of the trust funds, securities or property;<sup>(o)</sup> their liability for investments made of trust moneys;<sup>(p)</sup> their accountability for profits growing out of the trust property, and the allowance to be made to them for the decrease of the same property;<sup>(q)</sup> their liability for interest on trust funds retained in their hands;<sup>(r)</sup> the allowance to them of commissions as compensation for their services, the rate and manner of charging the same,<sup>(s)</sup> and the disallowance of any other charges for compensation for their services; the allowance of their reasonable expenses incurred in the discharge of their trust;<sup>(t)</sup> their right to employ agents, accountants, attorneys and counsel, or to act themselves in either of the last two capacities;<sup>(u)</sup> and the allowance of interest upon sums advanced by them for the purposes of the trust.<sup>(v)</sup> Guardians are subject to the same law as executors and administrators in respect to these various liabilities and allowances, and the principles stated in the chapter indicated, as governing such liabilities and allowance where an executor or administrator is concerned, extend and apply in all things to the relation of guardian and ward. To avoid a repetition, therefore, the reader is referred to that chapter for the requisite instructions in regard to these several particulars applicable to the accounting of a guardian.

It may be added that, upon the settlement of the accounts of a general guardian, the surrogate is not authorized to make any allowance to such guardian for services rendered, or expenses incurred by him previous to his appointment as guardian. Such services and expenses are not those of the guardian. And for the services of the guardian, as such, the compensation is limited to the commissions allowed by law. Nor will a promise by the ward, made after coming of age, to pay the

(l) See *ante*, pp. 497, 507, 511, *et seq.*

(n) See *ante*, p. 518.

(p) See *ante*, p. 521.

(r) See *ante*, pp. 529, 533.

(t) See *ante*, p. 540. Also, *supra*, p. 691.

(v) See *ante*, p. 534.

(m) Chap. 12.

(o) See *ante*, pp. 519-20.

(q) See *ante*, pp. 526, 528.

(s) See *ante*, p. 534. See, also, *supra*, p. 689.

(u) See *ante*, pp. 541, 543.

guardian for services rendered before the guardianship commenced, authorize the surrogate to allow a charge therefor in the accounts of the guardian. Such a promise might give a right of action in a court of law for personal services, but not a right to charge as guardian. In such a case it would be a promise, after the guardianship ceased, to pay for services rendered before the guardianship commenced. Over such matters the surrogate has no jurisdiction.(w)

As a receipt may be explained, qualified, or even contradicted by any evidence competent to establish a fact, so it is erroneous to charge a guardian with the face of a receipt given by him for his ward's property, and with interest on the amount therein specified, from its date, where it appears that he actually received no money, but only land contracts.(x)

The hearing on the accounting before the surrogate may be adjourned from day to day, and witnesses may be summoned by subpoena, and be examined on either side before him, touching any of the items of the account, or any disputed question of fact which may arise in the progress of the controversy.

Upon an application to the surrogate for the final settlement of the accounts of administrators, the surrogate has not jurisdiction to determine the correctness or legality of payments made by the guardian of an infant distributee of the estate, on account of his ward. The surrogate has the right to allow or disallow charges as moneys paid by the administrators to the guardian on account of his ward, but not as moneys paid by the guardian for the ward.(y)

Where, upon the minor arriving at the age of fourteen years, his guardian is superseded in his trust by an order of the surrogate, and a new guardian of the minor is appointed, and proceedings are taken to compel the former guardian to account, the surrogate has the power not only to ascertain and declare the quantity, quality and condition of the ward's property, and the balance due to him from the guardian, but to decree and adjudge the time when, the person to whom, and the manner in which, the same shall be paid or delivered over. And the surrogate has the power to enforce obedience to such a decree by process of attachment against the person, notwithstanding the act to abolish imprisonment for debt.(z)

On the conclusion of the proceedings, the surrogate decides upon the questions presented in the case, and a decree is made settling the account of the guardian, and determining the amount of the property of the ward in the hands of the guardian, if any, and ordering the payment of the same to the new guardian, or to the ward, as the case may be. In the Court of Chancery, on the final settlement of the account of a guardian,(a) the decree ordered the bond given by the guardian

(w) *Cloves v. Van Antwerp*, 4 Barb. Sup. Ct. Rep. 416.

(x) *White v. Parker*, 8 Barb. Sup. Ct. Rep. 48.

(y) *Banks agst. Taylor*, 10 Abbott's Prac. Rep. 199.

(z) *Seaman v. Duryea*, 10 Barb. Sup. Ct. Rep. 523; 1 Kernan, 324.

(a) Upon an application to the Court of Chancery to discharge a guardian, and to have his bond delivered up and cancelled, his ward having arrived of age and settled with the guardian:

The chancellor said it was not the practice of the court to discharge the guardian abso-

to be delivered up and cancelled; and a provision to that effect may, doubtless, be included in the surrogate's decree in the same case. The practice in regard to the settlement of the decree, if any question arise as to the terms and provisions thereof, may be the same as that hereinbefore proposed for the settlement of the decree on an accounting of an executor or administrator.(b)

The decree may be enforced in the same manner as decrees against executors and administrators.(c) If the decree be for the payment of money, the new guardian or the ward may have an execution thereon, pursuant to the provisions of the 63d, 64th and 65th sections of the Law of 1837,(d) as amended by the act of 1844,(e) which were considered, and the practice under which was pointed out, in connection with the kindred subject of enforcing decrees for the payment of money against executors and administrators.(f) The decree may also be enforced by a prosecution of the bond given by the guardian under the direction of the surrogate.(g)

In conclusion of this branch of the subject, it should be observed that, where a general guardian dies pending his trust, the surrogate has not jurisdiction, on the application of a succeeding general guardian on behalf of the ward, to compel an account of the previous guardianship from the executor or administrator of the former guardian.(h) The surrogate's jurisdiction in the premises is limited to the cases specified in the statute. The liability of the guardian to be called to account before the surrogate is expressly prescribed, but nothing is said about an account from his personal representative. Such personal representative is undoubtedly accountable to the ward or his guardian for all property of the ward remaining in the possession of the former guardian at his death, and which has come into his hands as the executor or administrator of the former guardian. But the jurisdiction to enforce such accountability resides in a court of equity of general jurisdiction, and does not pertain to the surrogate. As a creditor of the estate of the deceased guardian, the ward has a right to an account from his executor or administrator, but this is not an account of the guardianship, but an account of his proceedings as such executor or administra-

lately, and to order his bond to be given up immediately upon the infant's arriving of age, although he had settled with the guardian. That the ward, notwithstanding such settlement, was entitled to a reasonable time after he became of age to investigate the accounts of the guardian, and to surcharge and falsify the same, if, upon such investigation, he found anything wrong. That, by the practice of the Court of Chancery, he was usually allowed one year for that purpose, after he became of age, before the guardian's bond would be cancelled and the guardian absolutely discharged from his trust. That, where the application to the court was made after the expiration of the year, the bond would be delivered up and cancelled immediately upon the production of the receipt or discharge of the ward, duly proved or acknowledged. But if the application was made within the year, the order would only direct the guardian to be discharged, and that the bond be delivered up and cancelled at the expiration of the year; unless the infant before that time obtained an order to the contrary, or filed with the officer with whom the bond was deposited a *caveat*, or notice not to deliver up or cancel the bond without the further order of the court. *In the Matter of Van Horne*, 7 Paige, 46. See, also, *Fish v. Miller*, 1 Hoff. Ch. Rep. 266.

(b) See *ante*, p. 587.

(d) S. L. 1837, 535; 3 R. S. (5th ed.) 366.

(f) See *ante*, p. 588, *et seq.*

(g) See 2 R. S. 151; 3 R. S. (5th ed.) 244, sec. 8; *Ante*, p. 589, *et seq.*

(h) *Farnsworth v. Oliphant*, 19 Barb. Sup. Ct. Rep. 30.

(c) See *ante*, p. 587, *et seq.*

(e) S. L. 1844, 91.

tor, after the amount of the liability of the deceased guardian to his ward has been ascertained. And it is supposed that the claim of the ward upon the estate of his deceased guardian is of a higher character than that of a mere creditor—that he has a specific lien, at any rate, upon all property and funds of the ward's in the hands of the guardian at the time of his death, which can be identified; and that such claim and lien can be more effectually enforced in a court of general equitable jurisdiction, than before any other tribunal.

It may be added that the trustees of any absconding, concealed or non-resident debtor, or of any insolvent debtor, appointed under any of the provisions of the first title of the 5th chapter of the 2nd part of the Revised Statutes, are required, in making distribution of the estate of the debtor, to pay, in the first place, and in preference to all others, all debts which may be owing by the debtor as guardian, executor, administrator or trustee; and if there be not sufficient to pay all debts of the character above specified, then a distribution is required to be made among them in proportion to their amounts respectively.(i)

#### OF THE REMOVAL OF GUARDIANS BY PROCEEDINGS BEFORE SURROGATES.

The only remaining subject to be considered in this connection, is the removal of guardians by proceedings before surrogates.

The following sections of the statutes, taken from the above mentioned third title of the eighth chapter of the second part of the Revised Statutes, concerning guardians and wards, and from the Law of 1837, relate to such removals:

Sec. 13. [Sec. 14.] On the application of any ward, or of any relative in his behalf, or of the surety of a guardian, to the surrogate who appointed any guardian, complaining of the incompetency of such guardian, or of his wasting the real or personal estate of his ward, or of any misconduct in relation to his duties as guardian, the surrogate, upon being satisfied by proof of the probable truth of such complaint, shall issue a citation to such guardian to appear before him, at the day and place therein specified, to show cause why he should not be removed from his guardianship.(j)

Sec. 14. [Sec. 15.] Such citation shall be served personally on the guardian to whom it may be directed, at least fourteen days before the return thereof; or, if such guardian shall have absconded or concealed himself, so that such citation cannot be personally served, it may be served by leaving a copy thereof at the last place of residence of such guardian.(k)

Sec. 15. [Sec. 16.] The surrogate, at the day appointed for showing cause, and on such other days as he shall appoint, shall proceed to inquire into the alleged complaint, and shall grant subpoenas to compel the attendance of witnesses to any person applying; and if satisfied of the incompetency or misconduct of such guardian, he may, by an order to be duly entered in his minutes, remove the said guardian from his trust.

(i) 2 R. S. 476, sec. 39 [sec. 34]; 3 R. S. (5th ed.) 120.

(j) 2 R. S. 152; 3 R. S. (5th ed.) 245.

(k) 2 R. S. 152; 3 R. S. (5th ed.) 245.

Sec. 16. [Sec. 17.] Upon such removal being made, the surrogate may proceed and appoint a new guardian in the same manner as if no guardian had been appointed.<sup>(l)</sup>

Sec. 45. The fourteenth, fifteenth, sixteenth, seventeenth, eighteenth and nineteenth sections of title three, chapter eight, of the second part of the Revised Statutes,<sup>(m)</sup> shall extend to the case of a guardian who has removed or is about to remove from this state. And in case the guardian has removed from this state, the citation mentioned in the said fifteenth section may be served by publishing the same in the state paper for four weeks.<sup>(n)</sup>

Sec. 46. Whenever it shall be made to appear to any surrogate that the sureties of any guardian are becoming insolvent, that they have removed, or are about to remove, from this state, or that for any other cause they are insufficient, and he shall be satisfied that the matter requires investigation, he shall issue a citation to such guardian requiring him to appear before such surrogate, at a time and place to be therein specified, to show cause why he should not give further sureties, or be removed from his guardianship; which citation shall be served in the same manner as the citation mentioned in the last preceding section is required by that section to be served.

Sec. 47. On the return of the citation, or at such other time as the surrogate shall appoint, he shall proceed to hear the proof and allegations; and if it shall satisfactorily appear that the sureties are for any cause insufficient, the surrogate may make an order requiring such guardian to give further sureties in the usual form, within a reasonable time, to be prescribed by the surrogate.

Sec. 48. If such guardian neglect to give further sureties to the satisfaction of the surrogate, within the time prescribed, the surrogate may, by an order to be duly entered in his minutes, remove such guardian from his trust.

Sec. 49. Sections seventeen,<sup>(o)</sup> eighteen, and nineteen of title three, of chapter eight, of the second part of the Revised Statutes, shall extend to cases arising under the two preceding sections of this act.<sup>(p)</sup>

Sec. 50. Where a guardian shall be removed pursuant to the aforesaid section, he may be required to account immediately, pursuant to the eleventh section of said title,<sup>(q)</sup> and application for such account

<sup>(l)</sup> 2 R. S. 153; 3 R. S. (5th ed.) 245.

<sup>(m)</sup> The sections above quoted, with the 17th [18th] and 18th [19th] relating to appeals from decrees of surrogates, upon application for the appointment or removal of guardians.

<sup>(n)</sup> S. L. 1837, 532; 3 R. S. (5th ed.) 246.

<sup>(o)</sup> 2 R. S. 153; 3 R. S. (5th ed.) 245. See above, sec. 16 [sec. 17].

<sup>(p)</sup> S. L. 1837, 533; 3 R. S. (5th ed.) 246. The 18th and 19th sections referred to are as follows:

Sec. 17. [sec. 18.] Any person interested in the allowance, or appointment, or removal of a guardian, as next of kin or otherwise, and any guardian who may have been removed by any surrogate, may, within six months after any order shall have been made by a surrogate for the appointment of a guardian, or for his removal, or refusing to make such removal, appeal to the Supreme Court, who shall make such order for notifying the adverse party, and for correcting any such proceedings, as he may deem just.

Sec. 18. [sec. 19.] But no appeal made by a guardian, from the order of a surrogate removing him, shall in anywise affect such order until the same be reversed. 2 R. S. 153; 3 R. S. (5th ed.) 245.

<sup>(q)</sup> See *supra*, p. ; 2 R. S. 152; 3 R. S. (5th ed.) 244.



may be made by any new guardian of the minor, as well as by the persons mentioned in the said eleventh section.

The application to the surrogate under either of the above provisions of the statute should be in writing, and should set forth the facts upon which the complaint of incompetency, waste, or misconduct against the guardian is founded, or the fact of his removal from the state, or that he is about so to remove, or any of the circumstances relative to his sureties specified in the 46th section of the Law of 1837; and should pray a citation to the guardian for him to show cause, either why he should not be removed from his guardianship, or why he should not give further sureties or be removed according to the grounds of the application. The petition should be under oath, and, on presenting the same, the surrogate, if he be satisfied, by the proof thus furnished, of the probable truth of the complaint, or that the matter requires investigation, upon an order entered in his minutes, issues a citation to the guardian pursuant to the section of the statute under which the application is made.

On the return of the citation, if the parties appear, the hearing takes place as in the usual case. If the incompetency, waste, or misconduct complained of, or the fact of the removal of the guardian from this state, or that he is about so to remove, be proved, the surrogate makes his order removing the guardian from his trust.

It may occur that a statutory guardian for infants may be appointed when there is a deed or will in existence, appointing a testamentary guardian for the same children, which may be undiscovered and unknown at the time. In such a case, the statutory guardian will be superseded, and his powers suspended by the production of the deed or will appointing another to execute the same trusts.(r)

Fixed habits of intemperance constitute a sufficient reason for the removal of a guardian; and where the wife of a person of such habits was also a guardian with him, it was held improper that she should be the guardian, she being subject to his control.(s)

Where the guardian entered into a speculation with the husband of his ward, who was also an infant, in relation to her estate, and obtained a mortgage thereon from both, the court removed the guardian from his trust, and ordered the mortgage to be delivered up and cancelled.(t)

The Court of Chancery, it was held, had concurrent jurisdiction with a surrogate in removing a guardian appointed by the latter, for the causes specified in the Revised Statutes on that subject; but the surrogate had no jurisdiction to remove or discharge a guardian appointed by the Court of Chancery, or to compel such guardian to account, either before or after his removal by the court appointing him.(u)

If the application be made on the ground of the insolvency, or the removal, or the intention to remove from this state, of the surety or sureties of the guardian, and the charges in respect thereto be proved,

(r) *The People agst. Kearney*, 19 How. Prac. Rep. 493, 502.

(s) *Kettletas v. Gardner*, 1 Paige, 34.

(t) *In the Matter of Cooper*, 2 Paige, 34.

(u) *In the Matter of Dyer*, 5 Paige, 534.

the surrogate makes an order requiring the guardian to give further sureties in the usual form within a reasonable time, commonly five days; and if the guardian neglect to comply with such order, the surrogate orders his removal from his trust.

It has already appeared, in that chapter of the work treating of the removal of executors and administrators, that by section 34 of the Law of 1837,(v) whenever it shall appear to the surrogate that letters of administration, or letters of guardianship, have been granted on, or by reason of false representations made by the person to whom the same were granted; and also, whenever it shall appear that an administrator or guardian has become incompetent by law to act as such, by reason of drunkenness, improvidence, or want of understanding, the surrogate shall have power to revoke such letters. And in case a woman marries after being appointed an executrix, administratrix, or guardian, the surrogate, on the application of any person interested, shall have power to revoke such appointment. And that, by the 35th section of the same law,(w) whenever it shall appear that the penalty of the bond taken from an executor, administrator, or guardian, is inadequate in amount, the surrogate shall have power to make an order requiring him to give additional security for the faithful performance of his duty as such executor, administrator or guardian; and that, in case of non-compliance with such order, the surrogate may revoke the letters granted to him.

The proceedings, under these provisions, for the removal of executors and administrators, were also, in that chapter, considered and pointed out. It is sufficient in this place to state that the same practice is to be followed in the case of a like proceeding against a guardian, and to refer the reader to the observations made, and the directions given, in the chapter indicated for the proper instructions applicable to the latter case.

Where the surrogate has appointed a guardian for a minor under the age of fourteen, upon a false allegation, in the petition for the appointment of such guardian, of the residence of the minor within the county of the surrogate, the proper mode of proceeding to remove such guardian is to apply to the surrogate who made the appointment, on the ground of the fraud practiced upon him as to the residence of the infant.(x)

It also appeared, in connection with the subject of the removal of executors and administrators, that by the 61st section of the Law of 1837,(y) whenever any surrogate shall issue a citation to any administrator, executor or guardian, requiring him to show cause why he should not be removed from office, the surrogate shall have power to enter an order enjoining such executor, administrator or guardian from further acting in the premises until the matter in controversy shall be disposed of; and the practice, on procuring an injunction against an executor or administrator, was there described. It is neces-

(v) See *ante*, p. 649; S. L. 1837, 530; 3 R. S. (5th ed.) 164.

(w) See *ante*, p. 650; S. L. 1837, 530; 3 R. S. (5th ed.) 164.

(x) *Lewis agst. Dutton*, 8 How. Prac. Rep. 99. See, also, *In the Matter of the Guardianship of Hannah M. Pierce*, 12 How. Prac. Rep. 532.

(y) See *ante*, p. 29, also p. 643; S. L. 1837, 535; 3 R. S. (5th ed.) 366, sec. 15.

sary only to add, in this place, that the same practice is to be followed in the like case in procuring an injunction against a guardian.

#### OF THE RESIGNATION BY GUARDIANS OF THEIR TRUSTS

The following sections of the statute make provision for the resignation by a guardian of his trust to a surrogate :

Sec. 51. Any guardian may apply to the surrogate by whom he was appointed, for liberty to resign his trust, setting forth the reasons why the application is made, and verifying the same by his own oath or otherwise.<sup>(z)</sup>

Sec. 52. Such surrogate, in his discretion, may thereupon issue a citation to the ward, requiring him to appear at a time and place to be therein mentioned, and show cause why the guardian should not be at liberty to resign his trust. The citation shall be served by delivering a copy to the ward at least ten days before the return day thereof. Notice of the proceeding shall also be given to the next of kin of the ward, if there be any of the age of discretion in the county of the surrogate.

Sec. 53. On the return of the citation and proof of the service of the notice, the surrogate shall appoint some discreet and competent person to appear and attend to the interests of the ward in the premises, who shall consent, in writing, to such appointment. Any other [person] who shall be desirous to do so, may also appear on behalf of the ward.

Sec. 54. The guardians shall then proceed to render to the surrogate a full, just and true account, in writing, of all his receipts and payments on account of the ward, and of all the books, papers, moneys, choses in action, and other property of the ward which may be in the hands or under the control of the guardian ; and shall verify the same by his own oath, and such other evidence as shall be satisfactory to the surrogate.

Sec. 55. If the surrogate shall be satisfied that the guardian has in all respects conducted himself honestly in the execution of his trust ; that he has rendered a full, just and true account, and that the interest of the ward would not be prejudiced by allowing the guardian to resign his trust, he may thereupon proceed, in the mode prescribed by law, to appoint a new guardian for such ward, and order that his former guardian deliver over all the books, papers, moneys, choses in action, or other property of the ward, to such new guardian, and take duplicate receipts for the same.

Sec. 56. On delivering one of the said receipts to the surrogate to be filed in his office, the surrogate may enter an order that the former guardian, on his own application, has been permitted to resign his trust, and that he is thereupon discharged from any further custody or care of the ward or of his estate. But nothing herein contained shall preclude the ward or his new guardian from having a further account from such former guardian, in relation to all matters connected with his trust before he was permitted to resign the same ; and in relation to all such matters, the sureties of the former guardian shall remain

(z) S. L. 1837, 533 ; 3 R. S. (5th ed.) 246.

liable in the same manner, and to the same extent, as though such order had not been made.(zz)

The practice under these sections, and the principles which apply to the accounting therein mentioned, will readily be gathered from the provisions of the sections themselves, and from the instructions and directions embraced in the preceding pages of this chapter.

## CHAPTER XVIII.

### OF PUBLIC ADMINISTRATORS.

THE office of public administrator in this state is instituted for the purpose of taking charge of, collecting, preserving and administering the goods and effects of persons who have died, no executor of a will of whom appears, and who have left no widow or next of kin within this state claiming and entitled by law to the administration. In these cases, but for such an officer the personal property of such deceased persons would be liable to depredations, and the rights and interests of creditors and relatives would be without a protector. The public administrator is by law, immediately upon the death of such persons, entitled to the possession of all their personal property, and upon acquiring such possession, becomes responsible for its preservation, collection, and due administration.

In the city and county of New York, owing to the large number of foreigners and transient persons resorting to that city, among which classes are of course the largest number of persons dying intestate and without relatives in this state, the public administrator is a separate officer specially provided for by law, and has a peculiar and extended jurisdiction. In the other counties of this state, the county treasurer is, by virtue of his office, the public administrator, and has jurisdiction of the personal estates of the deceased persons described.

The appointment, powers and duties of the public administrator in the city of New York will, in the first place, be considered. Public administrators in the other counties of the state will then be treated of.

#### OF THE PUBLIC ADMINISTRATOR IN THE CITY OF NEW YORK.

##### OF HIS APPOINTMENT.

The public administrator in the city of New York was formerly appointed by the mayor, aldermen, and commonalty of the city in common council convened.(a) But now, by the act to amend the charter of the city of New York, passed April 14, 1857,(b) it is provided [sec.

(zz) S. L. 1837, 533-4; 3 R. S. (5th ed.) 247.

(a) 2 R. S. 118; 3 R. S. (5th ed.) 206

(b) S. L. 1857, vol. 1, ch. 446, p. 874.

16] that the executive power of the corporation shall be vested in the mayor and the executive departments; sec. 21, that the heads of departments shall have power to appoint and remove chiefs of bureaux and clerks in their respective departments; and [sec. 26] that there shall be an executive department known as the "law department," the chief officer whereof shall be called the "counsel to the corporation," and that there shall be a bureau in this department, the chief officer of which shall be called the "public administrator." The public administrator in the city of New York is therefore now appointed by the counsel to the corporation. The duties and powers of the office are for the most part prescribed and regulated by the first article of the sixth title of the sixth chapter of the second part of the Revised Statutes, entitled "of the public administrator in the city of New York."

#### OF THE OATH OF OFFICE, AND THE OFFICIAL BOND OF THE PUBLIC ADMINISTRATOR.

The above mentioned title of the Revised Statutes provides as follows:

Sec. 2. Before entering upon the duties of his office, the person so appointed shall take the oath prescribed by the constitution, and shall execute a bond, with such sureties as shall be approved by the mayor or recorder of the said city, to the mayor, aldermen and commonalty thereof, in the penal sum of ten thousand dollars, conditioned for the faithful discharge of all duties enjoined on him by law, and particularly that he will account for and pay over all moneys and property that may come to his hands as such administrator according to law.(c)

#### OF THE COMMISSIONS TO BE RETAINED BY THE PUBLIC ADMINISTRATOR, HIS ACCOUNTABILITY FOR THE SAME, AND HIS SALARY.

The statute further provides as follows:

Sec. 3. The public administrator shall retain a commission over and above all expenses, upon all moneys that shall come into his hands, at the rate of five dollars upon the hundred dollars upon all sums received from any one estate not exceeding two thousand five hundred dollars; and upon all sums so received exceeding that sum, at the rate of two dollars and fifty cents upon every hundred dollars; which sums may be so retained in preference to any debts or claims excepting funeral charges. The money so retained shall be accounted for and paid by him into the treasury of the city of New York. He shall be allowed and paid quarterly such salary for his services as the common council of the city shall prescribe, not less than one thousand two hundred and fifty dollars annually.(d)

#### OF THE AUTHORITY OF THE PUBLIC ADMINISTRATOR IN THE CITY OF NEW YORK.

The Revised Statutes prescribe the authority of the public administrator as follows:

Sec. 4. In the right of his office he shall have authority to collect and take charge of the goods, chattels, personal estate and debts of persons

(c) 2 R. S. 118; 3 R. S. (5th ed.) 206.

(d) 2 R. S. 118; 3 R. S. (5th ed.) 206.



dying intestate, and for that purpose to maintain suits as public administrator as any executor might by law in the following cases :

1. Whenever any person shall die intestate either within this state or out of it, leaving any goods, chattels or effects within the city and county of New York;

2. Whenever any goods, chattels or effects of any person who shall have died intestate, shall arrive within the said city and county after his death;

3. Whenever any person coming from any place out of this state in a vessel bound to the port of New York, and arriving at the quarantine near the city of New York, shall there die intestate and shall leave any effects either at the said quarantine or in the city of New York, or elsewhere;

4. Whenever any effects of any such person so arriving and dying intestate at the said quarantine, shall after his death arrive either at the said quarantine or within the city of New York;

5. Whenever any person coming from any place out of this state in a vessel bound to the port of New York, shall die intestate on his passage and any of his effects shall arrive at the said quarantine.

In all the preceding cases intestacy shall be presumed until a will shall be proved and letters testamentary be granted thereon.

Sec. 5. But the last section shall not confer on the public administrator any authority in respect to the estate of any person not a citizen of this state dying out of this state or on board any foreign vessel within the harbor of New York, unless :

1. Such person shall have landed within the city and county of New York, or at the quarantine near the said city; or,

2. Unless the effects of such person, or some part of them, shall have been so landed; and when any effects of such person shall have been so landed, the authority of the public administrator shall extend to such effects only.(e)

These statutory provisions confer authority upon the public administrator to take charge of the goods, &c., of every person dying intestate leaving goods, chattels, or effects within the city and county of New York. They extend to the case not merely of a foreigner or transient person, but to that of a person who, previously to his death, was a permanent resident of the city. But in the almost universal case where the decedent was a resident of the city, as well as in many cases where he was a non-resident, a widow or some next of kin interested in his estate comes forward and claims the administration, which divests the public administrator of authority in the premises. In the absence, however, of such a claim, the public administrator is entitled to the administration; and in all cases, as was seen in the chapter of this volume treating of the general subject of the granting of administration,(f) the public administrator is entitled, in the city of New York, to administration, after the widow and next of kin, over creditors and all other persons.(g) And even where there is a widow and next of kin of the intestate in the city of New York, the public administrator may, by an

(e) 2 R. S. 118-19; 3 R. S. (5th ed.) 206-7.

(f) Chapter 4.

(g) See *ante*, pp. 229, 235-6; 2 R. S. 74; 3 R. S. (5th ed.) 158-9.

order of the surrogate, become vested with authority to take charge of the goods, &c., of the intestate, to prevent waste or embezzlement. The proceeding to obtain such authority is regulated by the provisions of the statute now to be considered.

**OF THE DUTY OF THE PUBLIC ADMINISTRATOR WHERE THERE IS A WIDOW OR ANY NEXT OF KIN OF THE DECEDENT RESIDING IN THE CITY OF NEW YORK, AND OF THE PROCEEDINGS BEFORE THE SURROGATE TO AUTHORIZE THE PUBLIC ADMINISTRATOR TO INTERFERE TO PREVENT EMBEZZLEMENT OF THE DECEDENT'S GOODS.**

The statute makes provision upon this subject as follows :

Sec. 6. Whenever there shall be any widow or next of kin of any such intestate entitled to a distributive share in his estate, residing in the city of New York at the time of his death, the public administrator, upon receiving notice of such fact, shall not have any authority to interfere with the effects of the deceased until he shall have obtained an order from the surrogate of the city and county of New York to take charge thereof.

Sec. 7. Such order may be granted by the surrogate upon the application of the public administrator, and upon due proof being made to him by affidavit that the effects of the deceased are in danger of waste or embezzlement, or that for any other reason it would be for the benefit of the estate to have the same or any part thereof seized and secured.<sup>(h)</sup>

The proceedings under these provisions of the statute may be *ex parte* ; but it is doubtless competent for the surrogate to require reasonable notice of the application for the order to take charge of the intestate's goods to be served upon the widow or next of kin of the intestate, to give them an opportunity to be heard upon the question of the necessity of granting the order. [For forms of the application, affidavit and order, see Appendix, No. 119.]

**OF PROCEEDINGS BY THE PUBLIC ADMINISTRATOR TO OBTAIN POSSESSION OF PROPERTY OF THE DECEASED CONCEALED OR WITHHELD FROM HIM.**

And in the cases in which the public administrator has authority to take charge of the goods, &c., of a deceased person, he is empowered to maintain summary proceedings to obtain possession of any of the property of the deceased in the possession of the deceased at the time of his death, or within twenty days previously thereto, and which is concealed or withheld from the public administrator. This authority is conferred and regulated by the statute as follows :

Sec. 8. Whenever, in any of the cases in which the public administrator is authorized to take charge of the effects of any intestate, any goods, chattels, credits or effects of the deceased, or of which he had possession at the time of his death, or within twenty days previous thereto, shall not have been delivered to the public administrator, nor accounted for satisfactorily by the persons who were about the deceased in his last sickness, or in whose hands the effects of the deceased or any of them may be supposed at any time to have fallen, the public

(h) 2 R. S. 119; 3 R. S. (5th ed.) 207.

administrator may institute an inquiry concerning the same; and upon satisfying the surrogate of the city and county of New York, by affidavit, that there are reasonable grounds for suspecting that any such effects are concealed or withheld, he shall be entitled to a subpoena to be issued by the surrogate under his seal of office, to such persons as the said public administrator shall designate, requiring them to appear before such surrogate at the time and place therein to be specified, for the purpose of being examined touching the estate and effects of the deceased.<sup>(i)</sup>

Sec. 9. If the surrogate be absent from the city of New York, such application for a subpoena may be made to any justice of the Supreme Court elected for the district, to the first judge of the Court of Common Pleas of the said city or county, or to the mayor or recorder of the said city, either of whom is hereby authorized to issue such subpoena, under his hand and private seal, in the same manner as the surrogate.<sup>(j)</sup>

Sec. 10. Such subpoena shall be served in the same manner as in civil causes, and if any person shall refuse or neglect to obey the same, or shall refuse to answer touching the matters hereinafter specified, he shall be attached and committed to prison, by the said surrogate or other officer so issuing such subpoena, in the same manner as for disobedience of any citation or subpoena issued by a surrogate in any case within his jurisdiction.<sup>(k)</sup>

Sec. 11. Upon the appearance of any person so subpoenaed before such surrogate or other officer, he shall be sworn truly to answer all questions concerning the estate and effects of the deceased, and shall be examined fully and at large, by the public administrator, in relation to the said effects.<sup>(l)</sup>

Sec. 12. If upon any inquiry it shall appear to the officer conducting the same that any effects of the deceased are concealed or withheld, and the person having the possession of such property shall not give the security in the next section specified, for the delivery of the same, such officer shall issue his warrant, directed to the sheriff, marshals and constables of the city or county where such effects may be, commanding them to search for and seize the said effects, and for that purpose, if necessary, to break open any house in the day time, and to deliver the said property so seized to the public administrator, which warrant shall be obeyed by the officers to whom the same shall be directed and delivered, in the same manner as the process of a court of record.<sup>(m)</sup>

Sec. 13. But such warrant shall not be issued to seize any property, if the person in whose possession such property may be, or any one in his behalf, shall execute a bond, with such sureties and in such penalty as shall be approved by the surrogate or other officer acting in his place, to the public administrator in the city of New York, conditioned that such obligors will account for and pay to the said public administrator the full value of the property so claimed and withheld (and which shall be enumerated in the said condition), whenever it shall be deter-

(i) 2 R. S. 120; 3 R. S. (5th ed.) 207.

(j) 2 R. S. 120; S. L. 1849, ch. 30, p. 27; 3 R. S. (5th ed.) 207.

(k) 2 R. S. 120; 3 R. S. (5th ed.) 207.

(m) 2 R. S. 120; 3 R. S. (5th ed.) 208.

(l) 2 R. S. 120; 3 R. S. (5th ed.) 208.

mined in any suit to be brought by the public administrator, that the said property belongs to the estate of any deceased person, which the administrator has by law authority to collect and preserve.<sup>(n)</sup>

This statute confers very large powers upon the surrogate, and is to be construed with care, and acted upon with prudence. The scope of the inquiry contemplated by the statute is large, and for obvious reasons, there being no harm and much probable benefit in ascertaining all the facts possible relative to the estate of the deceased. But when the statute approaches the remedial action of the surrogate, the language is greatly narrowed. Whatever may have been the extent of the examination, the subject matter of the warrant is definite and limited. The warrant cannot issue unless it appear that some of "the effects of the deceased are concealed or withheld, and the person having the possession of such property shall not give security." The statute refers generally to any effects of the deceased, "or of which he had possession at the time of his death, or within twenty days previous thereto." The first clause refers to property of the deceased; the second to such as was in his custody. It is a matter of very great doubt whether this statute was intended to be applied to a case where the party having the property holds under a fair color of title, adversely as against the deceased, and not derived through him. The primary object seems to have been the rescue or restoration of effects of the deceased not satisfactorily accounted for by the persons who were about him in his last sickness, or in whose hands they had fallen. The provision restraining the issue of a warrant, if a bond be given, implies that a warrant may issue when there is an adverse claimant; but the nature of the claim, its apparent reasonableness, and the evidence and circumstances by which it is supported, may all be taken into consideration by the court in determining whether the subject matter constituted "effects of the deceased" at the time of his death. Where the deceased had not possession of the property at his death, nor twenty days previous thereto—where no advantage has been taken by attendants during the last sickness, so as to purloin, secrete, or obtain effects by importunity, fraud, or undue influence; where, at the time of the decease the assets remain in the same possession and condition as they were in for a long time before; where, in fact, the claim to the effects is independent and adverse even as to the deceased himself, it would seem that the statute was not designed to be invoked. The public administrator has the same remedy the intestate had by his action at law. If the claimant has not come into possession by seizure, but holds under color of title in the intestate's lifetime, there is no reason why the occurrence of his death should expose him to have the subject of controversy forcibly wrested from him by an extraordinary process. Accordingly, in a case<sup>(o)</sup> where the widow of the deceased had acknowledged the possession of some of the assets of the testator, and had delivered them to the public administrator, who had been appointed administrator with the will annexed of the testator; but it appeared by the statement of the widow that, at the testator's death, she was

(n) 2 R. S. 121; 3 R. S. (5th ed.) 208.

(o) *The Public Administrator v. Ward*, 3 Bradf. Surr. Rep. 244.

possessed of certain coupon bonds to a considerable amount, which she had since sold, and she claimed that those bonds were her own property—investments of the proceeds of her own labor, or gifts from her husband, and that prior to his decease they were in her possession, it was held, on a proceeding by the public administrator under this statute to compel the delivery of the bonds to him, that it was not proper to issue the warrant.

[For forms of application for subpoena, subpoena warrant and bond in proceedings under these sections of the statute, see Appendix, No. 120.]

OF THE DUTY OF THE HEALTH OFFICER AND HIS DEPUTY IN REGARD TO PROPERTY OF WHICH THE PUBLIC ADMINISTRATOR IS AUTHORIZED TO TAKE CHARGE, BEING OR ARRIVING AT QUARANTINE.

The statute makes the following provision on this subject :

Sec. 14. Whenever any effects of a deceased person, of which the public administrator is authorized to take charge, shall be at the quarantine at the time of the death of such person, or shall arrive there afterwards, it shall be the duty of the health officer or his deputy, whichever shall be present, to secure the said effects from waste and embezzlement, and immediately to give information of such effects to the public administrator, to cause an inventory or account thereof to be taken, and to deliver the same to the said public administrator, unless the said property be of such a description as ought not to be removed, or may be ordered to be destroyed under the laws concerning the public health.(p)

OF THE DUTY OF THE PUBLIC ADMINISTRATOR WITH REGARD TO PROPERTY OF THE DECEASED IN A PERISHING CONDITION.

The statute provides as follows :

Sec. 15. If any property taken into the charge of the public administrator shall be in a perishing condition, he may immediately sell the same at public auction, on obtaining an order for that purpose from the surrogate of New York, which shall be granted on due proof of the fact.(q)

An affidavit from any person acquainted with the facts is sufficient to found proceedings for the sale of perishing property under this section of the statute. The affidavit should specify the property in a perishing condition which it is proposed to sell, and the order of the surrogate should follow the affidavit in this particular. [For form of the affidavit and order, see Appendix, No. 121.]

The statute gives no direction as to the manner in which the sale shall be conducted. Where a sale at public auction, after notice, is practicable, it is usually adopted.

OF THE PROCEEDINGS TO OBTAIN ADMINISTRATION OF THE GOODS, ETC., OF A DECEASED PERSON, OF WHICH THE PUBLIC ADMINISTRATOR IS AUTHORIZED TO TAKE CHARGE, WHERE THE VALUE OF THE PROPERTY EXCEEDS ONE HUNDRED DOLLARS.

The following sections of the statute prescribe the duties and the rights of the public administrator, and the rights of parties in interest,

(p) 2 R. S. 121 ; 3 R. S. (5th ed.) 208.

(q) 2 R. S. 121 ; 3 R. S. (5th ed.) 208.



and regulate the proceedings in respect to granting administration, where the property, of which the public administrator is authorized to take charge, exceeds in value the sum of one hundred dollars :

Sec. 16. If the property of any intestate, of which the public administrator is authorized to take charge, shall exceed in value the sum of one hundred dollars, he shall immediately give notice of his intention to apply to the surrogate of New York for letters of administration upon the estate of such intestate, specifying the time and place when such application will be made.<sup>(r)</sup>

Sec. 17. Such notice shall be served personally on the widow and the relatives of the intestate entitled to any share in his estate, if there be any to be found in the city of New York, at least thirty days before the time therein specified. If there be none to be found in the said city, and in all cases where the notice shall not have been personally served, it shall be published at least twice in each week for four weeks in some newspaper printed in the city of New York.<sup>(s)</sup>

Sec. 18. At the time specified in such notice, any person interested in the estate of the deceased may appear and contest the granting of letters of administration to the public administrator, and shall be entitled to subpoenas to compel the attendance of witnesses on such hearing.<sup>(t)</sup>

Sec. 19. If it shall appear that the deceased has left any will of his personal property, by which any executor is appointed who is competent and qualified according to law to take upon him the execution of such will; or if it shall appear that there is a widow or any relative of the deceased entitled to a share in his estate, willing, competent and qualified, according to law, to take letters of administration, with the will annexed, if there be one, or to take letters of administration, if there be no will, then letters testamentary shall be granted to such executor, or letters of administration shall be granted to such widow or relative as in other cases.

Sec. 20. Upon such letters testamentary or letters of administration being granted, all control and authority of the public administrator over the estate of the deceased shall cease, and every order that may have been previously granted to him in relation to the estate shall be revoked.

Sec. 21. The expenses incurred by the public administrator, in all necessary measures for securing and guarding the effects of the deceased from waste and embezzlement, of serving and publishing the notice aforesaid, and of obtaining any necessary order from the surrogate and of executing such order, shall be taxed and allowed by the surrogate, and may be retained by the public administrator out of any moneys or effects of the deceased in his hands, and the residue thereof shall be delivered by him to the executor or administrator so allowed or appointed, without any abatement or deduction for commissions, or for any other charges than such as shall have been so allowed and taxed.

Sec. 22. If there shall be no moneys or effects of the deceased in the

<sup>(r)</sup> 2 R. S. 121; 3 R. S. (5th ed.) 208.

<sup>(s)</sup> 2 R. S. 121; 3 R. S. (5th ed.) 209.

<sup>(t)</sup> 2 R. S. 122; 3 R. S. (5th ed.) 209.

hands of the public administrator to pay such expenses, the same, after being allowed and taxed, shall be paid by the executor or administrator so appointed, in preference to all other debts or claims, except funeral charges; and the public administrator may maintain an action therefor in his own name.

Sec. 23. If no executor be allowed, and no letters testamentary or of administration be granted by the surrogate to any other person at the time specified for hearing the application, or at such other times as shall have been appointed, then, unless it appear that letters testamentary or of administration have already been granted on such estate, the surrogate shall grant letters of administration thereon, with the will annexed or otherwise, as the case may require, to the public administrator; briefly stating that administration of the goods, chattels, credits and effects of the deceased has been granted to him according to law; which letters, the record thereof, and a transcript of such record, duly certified, shall be conclusive evidence of the authority of the said public administrator in all cases in which he is authorized by law to act.<sup>(u)</sup>

[For form of the notice under the above section of the statute, numbered 16, see Appendix, No. 122.]

On the day specified in the notice, any party in interest, or representative of such party, may appear, and is entitled to be heard before the surrogate on the question of granting administration of the goods, &c., of the decedent to the public administrator.

Where there is a widow or next of kin of the deceased entitled to share in his estate, or where he has left a will, such widow or next of kin may apply for and obtain administration, or the executor named in such will may have the same proved and receive probate and letters testamentary pending the public administrator's proceedings, and although the day named in his notice has not arrived. Those parties are not obliged to wait, before applying for and obtaining letters of administration or letters testamentary, until the day named in the public administrator's notice. And the above quoted 23d section of the statute clearly contemplates such a case, by providing in substance that administration shall not be issued to the public administrator, if it appear in the proceeding that letters testamentary or of administration have already been granted on the estate. The 30th section of the statute, presently to be inserted at large, also provides for a surrender by the public administrator of the goods of the deceased in his hands, upon the production of letters testamentary or of administration of his estate at any time before the public administrator becomes vested with the power of administering the effects.<sup>(v)</sup> If the public administrator desires to be heard upon the question of the granting of administration of the goods, &c., of the deceased, or upon the proof of his will, he files with the surrogate a *caveat* stating his claim, and the surrogate will then require that notice of the proceedings to obtain administration or for the proof of a will be served upon the public administrator.

The next of kin of the decedent, whether alien or citizen, is entitled

<sup>(u)</sup> 2 R. S. 122; 3 R. S. (5th ed.) 209-10.

<sup>(v)</sup> 2 R. S. 124; 3 R. S. (5th ed.) 211.

to his personal estate; and only such next of kin as are entitled to share in the personal property of the intestate, have any right to the administration.(w) Nor, where the next of kin is an alien, not residing in this state, can administration be granted to him.(x) Being nearer of kin to the deceased than any other person residing in the United States, does not entitle the party applying to administration. If the next of kin is not here, or is legally disqualified from administering, the public administrator is entitled.(y)

And, as is above stated(z) and was shown in a previous chapter,(a) in the city of New York, the public administrator, in the order of granting administration, has preference, after the next of kin, over creditors and all other persons.(b) And, as also appeared in a previous chapter,(c) the public administrator in the city of New York is entitled to administration of the goods, &c., of a person not an inhabitant of this state dying, leaving assets in this state, where no application for letters of administration is made by a relative entitled thereto and legally competent, although it appears that letters of administration on the same estate, or letters testamentary, have been granted, by competent authority, in another state of the United States.(d)

And where the public administrator had renounced his right to letters of administration of the goods, &c., of the deceased, and the next of kin were cited, but failed to give the requisite security, and a creditor thereupon became entitled to the administration, it was held that the public administrator had the right, without any reference to his reason for changing his intention, to retract his renunciation at any time before a grant of administration had actually been made; and that, upon so doing, he was entitled to the administration.(e)

In *Gombault v. The Public Administrator*,(f) where it did not appear that the decedent left any known heirs or kindred, the will was allowed to be contested by the public administrator, representing the city of New York, and in behalf of the attorney-general, representing the State of New York, as in case of an *escheat* in default of a valid testamentary provision.

The statute is imperative, that if the property of the intestate exceeds the value of one hundred dollars, the public administrator shall serve a personal notice, upon the widow and the relatives who are entitled to any share of his estate, if there be any to be found in the city of New York, of his intention to apply to the surrogate for letters of administration. And in all cases where the notice shall not have been personally served, it shall be published for four weeks.(g) And where letters of administration are granted, by the surrogate to the public administrator, without a personal service of the notice upon the widow and relatives of the decedent, or the publication of the notice in the

(w) 2 R. S. 74; 3 R. S. (5th ed.) 158-9. See *ante*, pp. 229, 235-6.

(x) 2 R. S. 75; 3 R. S. (5th ed.) 159. *Ante*, p. 230.

(y) *The Public Administrator v. Watts and Le Roy*, 1 Paige, 347, 383.

(z) *Supra*, p. 705.

(a) *Ante*, ch. 4, pp. 229, 235-6.

(b) 2 R. S. 74; 3 R. S. (5th ed.) 158-9.

(c) Chapter 4, p. 230.

(d) 2 R. S. 75; 3 R. S. (5th ed.) 159.

(e) *Casey v. Gardiner*, 4 Bradf. Surr. Rep. 13.

(f) 4 Bradf. Surr. Rep. 226.

(g) 2 R. S. 121; 3 R. S. (5th ed.) 209; *Supra*, p.

manner directed by the statute, such grant will be irregular, and the letters of administration will be revoked.<sup>(h)</sup>

And by the 29th section of the statute presently to be inserted at large, whenever the deceased shall have been a foreigner, and shall not have become naturalized or taken any steps for that purpose, the public administrator is required to serve upon the consul of the nation to which the deceased belonged, if any there be in the city of New York, the notice of his intention to apply for letters of administration of the same as the same is above directed to be served upon the widow or relative of the deceased.<sup>(i)</sup>

The letters of administration with the will annexed, or otherwise, issued to the public administrator under these provisions of the statute, are not commonly different in form from those issued to an administrator or administrator with the will annexed in the usual case, although, by the above quoted 23rd section of the statute, it is sufficient if the letters briefly state that administration of the goods, chattels, credits, and effects of the deceased has been granted to the public administrator according to law.

OF ADMINISTRATION BY THE PUBLIC ADMINISTRATOR, WHERE THE PROPERTY OF THE INTESTATE, OF WHICH HE IS AUTHORIZED TO TAKE CHARGE, IS WORTH A SUM NOT EXCEEDING ONE HUNDRED DOLLARS.

The statute provides in this case as follows:

Sec. 24. If the property of any intestate, of which the public administrator is authorized to take charge, be worth a sum not exceeding one hundred dollars, he shall immediately give notice, briefly stating that the effects of the deceased, naming him, with his addition in the hands of the public administrator, will be administered and disposed of by him according to law, unless the same be claimed by some lawful executor or administrator of the deceased, by a certain day to be specified in such notice, not less than thirty days from the service or first publication thereof, as herein directed.

Sec. 25. Such notice shall be personally served on the widow and every relative of the deceased who shall be residing in the city of New York, if any can be found; and if none be found, and in all cases where such personal service shall not have been made, the notice shall be published once in each week for four weeks in a newspaper printed in the city of New York.

Sec. 26. If, at the time appointed in such notice, no claim to the effects of the deceased shall have been made by any lawful executor or administrator, the public administrator shall make and file in the office of the surrogate of the city and county of New York, an affidavit, stating the value of the property and effects of the deceased, the service and publication of the notice by him, as above directed, and that no claim has been made according to law, and that he has taken upon himself the administration of the estate of the deceased.

Sec. 27. Upon filing such affidavit, the public administrator shall be vested with all the rights and powers, and subject to all the duties of

<sup>(h)</sup> *Proctor v. Wanmaker*, 1 Barb. Ch. Rep. 302.

<sup>(i)</sup> 2 R. S. 124; 3 R. S. (5th ed.) 211.

an administrator of the estate of the deceased, in the same manner as if letters of administration had been granted. Such affidavit, and a duly certified copy thereof, shall be presumptive evidence of the facts therein contained, and that administration of the estate of the deceased has been committed to the public administrator according to law.(j)

[For form of the notice and affidavit under these sections of the statute, see Appendix, No. 123.]

**OF THE POWER OF THE PUBLIC ADMINISTRATOR OVER THE PROPERTY OF THE INTESTATE BEFORE THE LETTERS OF ADMINISTRATION ARE GRANTED TO HIM, OR HE FILES AN AFFIDAVIT.**

The statute provides as follows:

Sec. 28. Until letters of administration shall be granted to the public administrator, or until an affidavit shall be filed by him as above directed, he shall not proceed in the administration of any estate, further than to pay funeral charges of the deceased, to take possession of and secure his effects as hereinbefore authorized, to sell such of them as shall be perishable, and to defray the expenses of such proceedings, and of serving and publishing notices, and of taking out letters of administration.(k)

**OF THE DUTY OF THE PUBLIC ADMINISTRATOR WHERE THE DECEASED WAS A FOREIGNER NOT NATURALIZED, OR HAD NOT TAKEN ANY STEPS TO BECOME NATURALIZED.**

Sec. 29. Whenever the deceased, of whose estate the public administrator is authorized to take charge, shall be a foreigner, and shall not have become naturalized, or taken any steps for that purpose, it shall be the duty of the public administrator to serve upon the consul of the nation to which the deceased belonged, if any there be in the city of New York, the notice of his intention to apply for letters of administration, and of his intention to administer, hereinbefore specified, in the same manner as they are herein directed to be served, upon the widow or relative of the deceased.(l)

**OF THE CASES IN WHICH THE POWER AND AUTHORITY OF THE PUBLIC ADMINISTRATOR SHALL BE SUPERSEDED.**

The statute provides as follows:

Sec. 31. The powers and authority of the public administrator, in relation to the estate of any deceased person, shall be superseded in the three following cases:

1. Where letters testamentary shall be granted to any executor of a will of any deceased person, either before or after the public administrator shall have taken letters, or become vested with the powers of an administrator upon such estate;

2. Where letters of administration of such estate shall have been granted to any other person, before the public administrator became vested with the powers of an administrator upon the same estate;

3. Where letters of administration shall be granted upon such estate, by any surrogate having jurisdiction, at any time within six months

(j) 2 R. S. 123; 3 R. S. (5th ed.) 210.

(l) 2 R. S. 124; 3 R. S. (5th ed.) 210-11.

(k) 2 R. S. 123; 3 R. S. (5th ed.) 210.



after the public administrator became vested with the powers of an administrator upon such estate.<sup>(m)</sup>

The following sections of the statute also provide for the cessation of the powers and authority of the public administrator in certain cases :

Sec. 32. If any relative of the deceased, entitled to administration on his estate, being competent and qualified according to law, shall, within three months after the public administrator has become vested with the powers of an administrator on such estate, apply to the surrogate of New York for letters of administration, the same shall be granted to him upon proof to the surrogate that the applicant did not reside in the city of New York at the time of the death of the intestate ; or that, residing in the said city, no notice was served on him as herein required.<sup>(n)</sup>

Sec. 33. Upon notice being given to the public administrator of the granting such letters testamentary, or letters of administration, in either of the cases aforesaid, by producing to him duly attested copies thereof, his powers and authority in relation to such estate shall cease ; and he shall deliver over to the executor or administrator so appointed, the property, moneys and effects in his hands, belonging to the said estate, after deducting his commissions on the moneys received by him, at the rate hereinbefore allowed, and the expenses incurred by him in the preceding twenty-first section specified, to be allowed and taxed as therein directed.<sup>(o)</sup>

The provisions of the third subdivision of the above 31st section of the statute appear to have been intended to reach the case of a regular grant of administration to the public administrator, in relation to property in the city of New York, where the intestate was an inhabitant of some other county in this state at the time of his death ; so that the surrogate of such other county had the exclusive right to grant general administration on his estate, according to the provisions of the first subdivision of the 23d section of the title of the Revised Statutes relative to granting letters testamentary and of administration.<sup>(p)</sup> In that case, it will be perceived that, by the provisions of the title relative to public administrators, at present under consideration, the surrogate of New York is authorized to grant letters of administration to the public administrator where the decedent has left goods in the city of New York, or where goods belonging to his estate have afterwards come there. But, by the provisions of the 31st section, in all such cases of regular administration granted to the public administrator, if letters testamentary had been, or shall thereafter be, granted to an executor of the decedent's will, or if the surrogate of the proper county had already granted letters of administration upon the estate of the decedent, or shall grant such letters within six months thereafter, the powers of the public administrator are to be superseded. And the 32nd section was intended to provide for the case where the surrogate of New York had jurisdiction to grant letters of administration to the widow or next of kin ; but where such widow or next of kin had not appeared and

<sup>(m)</sup> 2 R. S. 124; 3 R. S. (5th ed.) 211.

<sup>(n)</sup> 2 R. S. 124; 3 R. S. (5th ed.) 211.

<sup>(o)</sup> 2 R. S. 125; 3 R. S. (5th ed.) 211.

<sup>(p)</sup> 2 R. S. 74; 3 R. S. (5th ed.) 158-9; *Ante*, p. 229.

opposed the granting of administration after a notice had been regularly published; because the widow and relatives did not reside in the city, or because the fact of their residence therein was not known to the public administrator, so as to require him to serve them personally with a notice. Neither of these sections, however, was intended to deprive the widow or next of kin of the right to have the grant of administration to the public administrator vacated and set aside for the irregularity, where it had been improperly obtained, without complying with the directions of the statute on that subject; although the application for that purpose was not made within the time limited by those sections, in cases where all the proceedings of the public administrator had been correct and regular. Accordingly, where letters of administration had been irregularly granted to the public administrator, upon a false or mistaken affidavit that the notice had been personally served upon the widow of the decedent, who was known to be living in the city of New York, and the application to the surrogate was made by the widow within six months after she discovered that administration had been granted to the public administrator, and as soon as she found that he intended to contest her right to the administration of the estate, it was held that the surrogate should have granted the application, and should have revoked and annulled the letters which had been irregularly granted to the public administrator.(q)

**OF CONTINUING, AFTER HIS AUTHORITY SHALL HAVE CEASED, SUITS COMMENCED BY THE PUBLIC ADMINISTRATOR.**

Sec. 34. No suit that shall have been commenced by the public administrator, shall abate on account of his authority having ceased for any cause; but the same may be continued by his successor, or the executor or administrator of the deceased, who shall succeed him in the administration of the estate, in relation to which such suit shall have been brought.(r)

**OF THE RIGHTS AND POWERS OF THE PUBLIC ADMINISTRATOR, AND THE OBLIGATIONS TO WHICH HE IS SUBJECT.**

Sec. 35. Whenever the public administrator shall become vested with the right of administering upon any estate as herein provided, he shall possess the following rights and powers, and be subject to the following obligations:

1. He shall have all the rights, powers and authority given by law to any administrator, except so far as the same may be qualified by the succeeding provisions;

2. He may, like any other administrator, sue and be sued; and he may plead the general issue in any action against him, and give the special matter of his defence in evidence under that plea;

3. He shall make and return an inventory in all cases, in the same manner and within the same time as is required by law of other administrators; and the same proceedings may be had to compel such return;

4. He may sell the personal property of the deceased at public auction, after publishing notice thereof three days, daily, in a newspaper

(q) *Proctor v. Wanmaker*, 1 Barb. Ch. Rep. 302.

(r) 2 R. S. 125; 3 R. S. (5th ed.) 211-12.

in the city of New York; but he shall not sell any property exceeding five hundred dollars in value, without having given such notice daily for fourteen days;

5. He shall not sell any public stock, or stock in any incorporated company, unless for the payment of debts, and on the order of the surrogate, to be duly entered in his records;

6. In all cases where the estate of any deceased person in his hands shall exceed the value of two hundred and fifty dollars, he shall give notice to the creditors of the deceased, to exhibit their claims by a publication once in each week, for eight weeks, in a newspaper printed in the said city, and in the state paper;

7. He may, in his discretion, proceed as other administrators are allowed by law, to compel creditors to exhibit their claims, and with the like effect in all respects;

8. He shall adjust and pay all demands against the estate of the deceased, in the same manner as other administrators; and like them may refer all disputes respecting such demands;

9. One year after he shall have become vested with the right of administering upon any estate, he shall account on oath to the surrogate of New York, for all assets of such estate received by him, and for the application thereof; and the same proceedings may be had to compel such account, as are provided by law in the case of administrators;

10. He may, in his discretion, proceed as other administrators are allowed by law, after the expiration of twelve months from the time he became vested with the powers of an administrator on any estate, to have a final settlement of his accounts in relation to such estate, and with the like effect;

11. In the settlement of his accounts, he shall not be allowed for any payments made by him, unless, in addition to the other vouchers therefor, it shall appear that the same were made on a joint check, signed by himself and the comptroller of the city of New York, upon the bank in which his deposits are required to be made; excepting that he may be allowed for current expenses authorized by law, not to exceed twenty dollars in any one case;

12. In the settlement of his accounts, he shall not be allowed for any demand which he may have against the estate of the deceased, unless such demand was specified in writing to the surrogate at the time of applying for letters of administration, or at the time of filing the affidavit herein required to vest him with the rights of an administrator, nor unless it shall appear that he had such demand, or that his responsibility, on which it may be founded, existed previous to the death of the person against whose estate it may be exhibited;

13. He shall pay all legacies and shares of the estate of the deceased according to the decrees of the surrogate;

14. The balance of any moneys remaining in his hands on the adjustment of his accounts, shall be paid into the treasury of the city of New York; and he shall transfer and deliver to the corporation of the said city, all public stocks, and all stock in any incorporated company belonging to the estate of the deceased.(s)

It will be observed that the powers and duties of the public administrator, in the case of an administration committed to him, prescribed by the several subdivisions of this section of the statute, differ in some particulars from those of an administration in the ordinary case. If he make a sale of the personal property of the deceased, it must be at public auction, and upon three days' notice in a newspaper; and if the property exceed five hundred dollars in value, fourteen days' notice in a newspaper must be given, whilst with other administrators the sale may be either public or private, and notice is not required.<sup>(t)</sup> Where the estate exceeds two hundred and fifty dollars in value, he is required to publish a notice for the exhibition of claims once a week for eight weeks in a newspaper printed in the city of New York, and in the state paper, and the time at which the publication of the notice is to commence is not prescribed, whilst in the ordinary case, the notice is published for six months, and publication in the state paper is not required; and the publication cannot commence until six months from the grant of the administration.<sup>(u)</sup> He is required to render an account at the expiration of a year from the time of becoming vested with the right of administering, whilst other administrators cannot be compelled to account until after the expiration of eighteen months from the date of their letters of administration.<sup>(v)</sup> His accountability and duties, as an officer of the corporation of the city of New York, of course are peculiar, and are particularly prescribed.

OF THE DUTIES OF THE PUBLIC ADMINISTRATOR WITH RESPECT TO DEPOSITING AND DRAWING MONEYS.

Sec. 36. The public administrator shall deposit all moneys by him collected and received, within two days after the receipt thereof, in such bank as the common council of the said city shall designate, to the joint credit of himself and the comptroller of the city of New York, excepting so much as may be necessary to pay the current expenses of any proceedings authorized by law, which shall be allowed by the surrogate of New York, and shall not exceed twenty dollars in any one case.<sup>(w)</sup>

Sec. 37. The moneys so deposited shall be drawn out only, on the joint check of the public administrator and the said comptroller, in the cases where by law the public administrator is required to pay out moneys. The comptroller shall preserve a register of all checks signed by him as a part of the documents of his office.<sup>(x)</sup>

These provisions relate only to the safe deposit of the money in the hands of the public administrator, the common council being responsible for his acts done *virtute officii*. For this reason, they select the bank of deposit, and the funds cannot be drawn without the signature of the comptroller. The regulation of the method in which the funds are to be deposited and kept, does not, however, interfere with the jurisdiction of the surrogate over the administrator, and it becomes his

(t) 2 R. S. 87; 3 R. S. (5th ed.) 173; *Ante*, p. 305.

(u) 2 R. S. 88; 3 R. S. (5th ed.) 175; *Ante*, pp. 354, 379, *et seq.*

(v) 2 R. S. 92; 3 R. S. (5th ed.) 178; *Ante*, pp. 481, 486, *et seq.*

(w) 2 R. S. 126; 3 R. S. (5th ed.) 213.

(x) 2 R. S. 127; 3 R. S. (5th ed.) 213.

duty to draw "where, by law," he "is required to pay out money." As to the payment of moneys, he stands in no other position than is occupied by all other administrators; but in this respect he is bound to obey all lawful orders of the surrogate. Accordingly, where it appeared that there were probably no claims of any great amount against the estate, and there was a large balance in the hands of the public administrator, in which minors were interested, who, if no income should be derived from the property, would be left destitute of the means of support, it was determined that it was not only just, but entirely within the province of the court, to order the amount collected to be deposited by the public administrator in the trust company, where it would be earning something towards the maintenance of the minors while the administration was in process of settlement.<sup>(y)</sup>

OF THE POWER OF THE PUBLIC ADMINISTRATOR TO MAKE ADVANCES TO RELATIVES OF THE DECEASED.

Sec. 38. The public administrator may at any time advance to any relative of the deceased such portion of the share of any estate to which he may be entitled, not exceeding fifty dollars, as in the opinion of the surrogate may be necessary for the support of such relative.<sup>(z)</sup>

OF THE DUTY OF THE PUBLIC ADMINISTRATOR TO MAKE ANNUAL REPORTS TO THE COMMON COUNCIL OF THE CITY OF NEW YORK, AND OF THE PUBLICATION OF HIS ANNUAL REPORT, AND OF THE PENALTY FOR OMITTING TO MAKE THE SAME.

Sec. 39. The public administrator shall exhibit to the common council of the city of New York, on the first day of January in each year, or within fourteen days after that day, a statement, on oath, of the moneys received by him for commissions and expenses, and of the total amount of his receipts and expenditures in each case in which he shall have taken charge of and collected any effects, or in which he shall have administered on any estate during the preceding year, with the name of the deceased, his addition, the place of his residence at the time of his death, if the same be known, and the country or place from which he came, if he was not a resident of this state at the time of his death.

Sec. 40. The public administrator shall cause the said statement to be published for three weeks daily in a newspaper in the city of New York, and twice in each week in the state paper; the expense of which shall be deducted by him from the balance in his hands, payable to the city treasury.<sup>(z)</sup>

Sec. 41. If any public administrator in the city of New York shall neglect to render, or to publish such statement as hereinbefore required, he shall forfeit five hundred dollars, to be recovered by the attorney-general for the use of this state; and on such recovery being had, he shall forfeit his office, and be thereafter incapable of being appointed to the same.<sup>(a)</sup>

<sup>(y)</sup> *Lockhart v. The Public Administrator*, 4 Bradf. Surr. Rep. 21.

<sup>(z)</sup> 2 R. S. 127; 3 R. S. (5th ed.) 213.

<sup>(a)</sup> 2 R. S. 127; 3 R. S. (5th ed.) 214.



OF THE LIABILITY OF THE MAYOR, ALDERMEN AND COMMONALTY OF THE CITY OF NEW YORK FOR THE ACTS OF THE PUBLIC ADMINISTRATOR.

Sec. 42. The mayor, aldermen and commonalty of the city of New York shall in all cases be responsible for the application of all moneys received by the public administrator, according to law, and for the due and faithful execution of all the duties of his office.

Sec. 43. The said corporation shall also be answerable for all stock transferred by the public administrator, and the dividends received thereon, and for all moneys paid into the city treasury by him, or which ought to be so transferred or paid in according to law, after deducting therefrom the commissions allowed by law; but not for any interest on such moneys, or dividends on stock. All persons who shall be entitled to receive such moneys and stock, as creditors, legatees, or relatives of the deceased, and all persons aggrieved by any unauthorized acts or omissions of the public administrator, shall have the same remedies against the said corporation for the same as they would have against any executor. [It shall and may be lawful for the common council of the said city to give such directions, and to make such rules and regulations for the government of the said public administrator as they may from time to time deem necessary and proper to carry into effect the provisions of said article; and it shall be his duty faithfully in all things to conform to the same.](b)

This statute authorizes the corporation of the city of New York to control and regulate the execution by the public administrator of his duties; makes the city responsible for the proper application of all moneys received by him, and for the due and faithful execution of all the duties of his office; and gives to all persons aggrieved by his unauthorized acts or omissions, the same remedies against the corporation that they would have had against any executor. In an action of debt against the corporation, to recover for such wrongful acts of the public administrator, it was held that the city was liable for the costs and expenses incurred by one against whom the public administrator, as such, had brought a wanton suit, the party having obtained a judgment for costs, and there being no assets of the intestate, or property of the administrator, out of which the same could be collected; that the city was liable for the amount of such judgment, it being uncollectable, because of the public administrator's waste of assets, and his being destitute of property; that the city was, in like manner, liable for a *devastavit* of the assets of the intestate; and that the liability of the corporation was direct and primary, and not of a secondary or collateral character; and that, therefore, debt was the proper form of action in favor of the party aggrieved.(c)

And in *Nash v. The Mayor, &c., of the City of New York*,(d) where the plaintiff had been employed as attorney by the public administrator to conduct certain suits in his representative character, and brought his action against the corporation to recover his costs in those suits, it was

(b) 2 R. S. 127; S. L. 1829, ch. 148, sec. 2; 3 R. S. (5th ed.) 214.

(c) *Mathews v. The Mayor, &c., of the City of New York*, 1 Sandf. Superior Ct. Rep. 132.

(d) 4 Sandf. Superior Ct. Rep. 1.

considered that the mayor, &c., of the city of New York are liable for the acts of the public administrator as their agent, and that it was competent for the public administrator to employ the plaintiff as his attorney to bring the suits; and judgment was pronounced for the plaintiff for the amount of his costs.

OF THE TRANSFER OF MONEYS, PAPERS, ETC., IN CASES OF THE RESIGNATION, REMOVAL FROM OFFICE, OR DEATH OF THE PUBLIC ADMINISTRATOR.

The statute provides as follows:

Sec. 44. Whenever the public administrator shall resign, or be removed from his office, he shall immediately deliver over all papers, money and effects in his hands to his successor; and in case of the death of such officer, the person into whose custody or possession any such papers, money or effects may come, shall, on demand, deliver the same to the successor duly appointed. Such delivery may in either case be enforced in the manner provided in chapter fifth of the first part of the Revised Statutes, in relation to public officers.(e)

OF THE DUTIES OF BOARDING AND LODGING-HOUSE KEEPERS TO REPORT TO THE PUBLIC ADMINISTRATOR DEATHS OF TRANSIENT PERSONS, AND OF THE NOTICE TO BE GIVEN BY THE PUBLIC ADMINISTRATOR TO THE KEEPERS OF THOSE HOUSES.

Sec. 45. Every person keeping a boarding or lodging-house in the city of New York, shall report in writing to the public administrator the name of every transient person who shall die in his house, within twelve hours after such death. Whoever shall neglect to comply with this provision shall forfeit one hundred dollars, to be sued for and recovered by and in the name of the public administrator, the one moiety thereof to his own use, and the other moiety to the use of the corporation of the said city.

Sec. 46. The public administrator in New York shall cause a copy of the last section to be left at every boarding and lodging-house in the city of New York, at least once in each year; and he shall not be entitled to recover of any person the penalty given by the last section, without due proof of the service of a copy of that section, personally on the defendant, previous to the neglect for which such suit may be brought, and within one year before the commencement of such suit.(f)

OF PUBLIC ADMINISTRATORS IN THE SEVERAL COUNTIES OF THIS STATE, OTHER THAN THE COUNTY OF NEW YORK.

The following sections of the statute prescribe and regulate the powers, duties, and liabilities of the county treasurer, in each of the counties of this state, as the public administrator in such county. They will be found to be substantially the same as those conferred and imposed by the provisions of the statute just considered upon the public administrator in the city of New York:(g)

Sec. 47. The county treasurer in each of the counties of this state shall, by virtue of his office, have authority to collect and take charge of the assets of every person dying intestate, where such assets shall

(e) 2 R. S. 128; 3 R. S. (5th ed.) 214.

(g) 2 R. S. 129; 3 R. S. (5th ed.) 215.

(f) 2 R. S. 128; 3 R. S. (5th ed.) 214.

amount to one hundred dollars or more, either in his county or out of it, upon which no letters of administration shall have been granted, in the following cases—

1. Whenever such person shall die, leaving assets in the county of such treasurer, and there shall be no widow or relative in the county entitled, or competent to take letters of administration on such estate;

2. Whenever assets of any person so dying intestate, shall after his death come into the county of such treasurer, and there shall be no person entitled or competent as aforesaid to take administration of such estate. But in the county of Richmond, the county treasurer shall not have power to act as public administrator, in those cases in which the public administrator in the city of New York has jurisdiction, according to the provisions of the first article of this title.

In the above cases intestacy shall be presumed until a will shall be proved, and letters testamentary issued thereon.

Sec. 48. For the purpose of collecting and preserving the said assets, he may maintain suits in his name of office, and without any other authority, in the same manner as any executor may by law.

Sec. 49. Although there may be a widow or relative of any such intestate, entitled to administration on his estate, in the county, yet if due proof be made to the surrogate of the county, that there are creditors, or relatives of the deceased, residing more than one hundred miles distant from the residence of such surrogate, who are interested in the distribution of the estate, and that the effects of the deceased are in danger of waste or embezzlement, he may grant an order to the treasurer of the county, authorizing him to seize and secure the said effects, or any part thereof, which order shall vest in him all the powers given in the two preceding sections.

Sec. 50. If any of the effects whereof the county treasurer is authorized to take charge shall be concealed or withheld, he shall be entitled to the same process from the surrogate or county judge of the county, to discover and seize the same, on the same evidence and on the like terms as the public administrator in the city of New York.<sup>(i)</sup>

Sec. 51. Any property that may be in a perishing condition taken into the charge of such treasurer, may be sold by him at auction, on obtaining an order for that purpose from the surrogate of the county; which shall be granted, on due proof of the fact, and shall specify the time and manner and the notice of such sale.<sup>(j)</sup>

Sec. 52. Upon taking charge of the property of any intestate, the county treasurer shall cause the same to be appraised by two disinterested appraisers, to be appointed by the surrogate as in other cases.

Sec. 53. The said appraisers shall make a just and true inventory of such property, and of the true value of each article; duplicates of which inventory shall be subscribed by them and verified by their affidavits. They shall be allowed the same compensation as appraisers under the third title of this chapter.

Sec. 54. Within ten days after taking charge of any property, as herein authorized, the county treasurer shall return an inventory thereof, signed and verified by the appraisers, to the surrogate of the county,

(i) 2 R. S. 129; 3 R. S. (5th ed.) 216.

(j) 2 R. S. 130; 3 R. S. (5th ed.) 216.

to be by him filed; and shall accompany the same with his affidavit, that the same contains a true and just account of all the effects of the deceased, which have come to his hands or knowledge.

Sec. 55. The time for making such return may, on good cause shown, be extended by the surrogate ten days longer. Any county treasurer who shall neglect to make such return within the time above prescribed, or within the time so extended, of all the effects of any deceased person which shall have come to his hands, shall forfeit five hundred dollars, to be sued for and recovered by the county superintendents of the poor, for the use of the poor, and shall forfeit his office.

Sec. 56. At the time of making such return the county treasurer shall give the bond required by law, to be given by any collector of an estate, appointed by a surrogate, with such sureties and in such penalty as the surrogate shall approve. The surrogate shall thereupon issue letters to the said county treasurer, authorizing him to collect and preserve the estate of the deceased.

Sec. 57. Immediately upon the issuing of such letters to collect, the surrogate shall cause notice thereof to be published once in each week for three months, in a newspaper printed in his county, and in the state paper, requiring all persons claiming a right to administer on such estate to appear and interpose such claim before the surrogate, within a certain time therein to be specified, which shall be at least six months after the first publication of such notice in the state paper.

Sec. 58. If before the time appointed in such notice any person so entitled to administration shall appear and claim the same, the surrogate shall cause ten days' notice of such claim to be served on the collector appointed, and may proceed to grant letters as aforesaid; and thereupon the publication of the notice specified in the last section shall be discontinued.(k)

Sec. 59. At the time appointed, any person entitled to administration on such estate, and duly qualified and competent, who shall appear and claim the same, shall be entitled to letters testamentary or of administration, as the case may be, as in other cases.(l)

Sec. 60. Upon letters testamentary or of administration being so issued to any person claiming them, all control and authority of the county treasurer over the estate of the deceased shall cease, and he shall deliver all the assets in his hands belonging to such estate to the person so appointed, after deducting therefrom the expenses incurred in securing and preserving the said assets, in obtaining letters to collect and in publishing the notice herein required, and a reasonable compensation for his services, not exceeding three dollars for each day necessarily employed, to be allowed and taxed by the surrogate on the oath of the collector.

Sec. 61. If no executor be allowed, and no letters testamentary or of administration be granted by the surrogate to any person claiming the same as aforesaid, within the time specified in the notice, then, unless it appear that letters testamentary or of administration have already been granted on such estate by some other surrogate, the surrogate shall grant letters of administration thereon to the county treasurer as

(k) 1 R. S. 130; 3 R. S. (5th ed.) 217.

(l) 2 R. S. 181; 3 R. S. (5th ed.) 217.

in other cases, upon receiving the like bond, with the like sureties and in the like penalty as administrators are required to give.

Sec. 62. It shall be the duty of the county treasurer to receive and accept such letters of administration, and to give the bond above required.

Sec. 63. Such letters of administration, and the record thereof, and a transcript of such record, duly certified, shall be conclusive evidence of the authority of the county treasurer, in all cases in which the surrogate has jurisdiction by this title.

Sec. 64. The surrogate shall immediately transmit to the comptroller, a certified copy of all such letters granted by him to the county treasurer; the expense of which copy shall be paid to him out of the state treasury, on the warrant of the comptroller.

Sec. 65. Until letters of administration shall be granted as aforesaid, the county treasurer shall not proceed further in the administration of any estate than to pay the funeral charges of the deceased, to collect debts, to take possession of and secure his effects, to sell such thereof as shall be perishable, and to defray the expenses of the proceedings required by law.

Sec. 66. The powers and authority of the county treasurer in relation to the estate of any deceased person shall be superseded,

1. By the production of any letters testamentary, that may have been granted before, or that shall be granted subsequent to his becoming vested with the authority of an administrator, upon the same estate;

2. By the production of any letters of administration that shall have been granted to any other person upon the same estate before the said county treasurer became vested with the powers of an administrator thereon;

3. By the production of any letters of administration issued by the surrogate of any county in this state, of which the deceased was a resident at the time of his death, granted after the county treasurer may have become vested with the powers of an administrator upon the estate of such deceased.

Sec. 67. On his authority being so superseded he shall deliver over to the executor or administrator so producing such letters, all the assets of the deceased in his hands after deducting therefrom the allowance for his services and the expenses incurred, to be taxed and allowed by the surrogate as aforesaid.<sup>(m)</sup>

Sec. 68. All acts done by such county treasurer, in good faith, previous to such notice, shall be valid; and all suits commenced by him, may be continued by and in the name of the executor or administrator who shall succeed him in the administration of the estate, in relation to which such suit may be brought.

Sec. 69. Upon receiving letters of administration, the county treasurer shall be vested with all the powers and rights of other administrators, and shall be subject to the same duties and obligations, except as herein otherwise provided.

Sec. 70. Within one year after receiving letters of administration,

<sup>(m)</sup> 2 R. S. 132; 3 R. S. (5th ed.) 218.



the person so appointed shall account on oath to the surrogate of his county, for all assets of such estate received by him, and for the application thereof; and proceedings may be had at the instance of any person interested, or of the attorney-general, or the comptroller, to compel such account.

Sec. 71. On the settlement of his accounts, he shall be allowed for his expenses as other administrators, and for his services double the commissions allowed them by law. The balance of any moneys in his hands shall be paid into the treasury of the state, for the benefit of such persons as shall be entitled to receive the same.

Sec. 72. The county treasurer of every county shall exhibit to the comptroller of the state, at the time of rendering his account of taxes in each year, a statement, on oath, of all the moneys received by him for commissions, services and expenses, and of the total amount of his receipts and expenditures in each case in which he shall have taken charge of and collected any effects, in which he shall have administered on any estate, during the preceding year; with the name of the deceased, his addition, the place of his residence at the time of his death, if the same be known, and the place from which he came, if he was not a resident of this state at the time of his death.

Sec. 73. The county treasurer shall cause a copy of every statement made by him, to be published for three weeks, once in each week, in a newspaper printed in his county, and in the state paper; the expense of which shall be retained by him out of any balance in his hands, payable into the state treasury. For a neglect to comply with this provision, he shall forfeit one hundred dollars, to be recovered by the attorney-general for the use of the people of this state. The comptroller shall give notice to the attorney-general of every such omission.<sup>(n)</sup>

Sec. 74. Any person claiming as creditor, legatee or next of kin, any moneys that shall have been paid into the state treasury by any county treasurer, pursuant to this title, may present a petition to the Supreme Court, praying that such moneys may be paid to him; and a copy of such petition shall be served upon the attorney-general fourteen days previous to the time when the same shall be presented.<sup>(o)</sup>

Sec. 75. The Supreme Court may make such order as they shall judge expedient, to ascertain the right of the claimant, either by a reference or by awarding an issue or otherwise; and may grant an order directing the payment of any moneys that shall appear to be due to the claimant, without any interest thereon, and deducting any expenses that may have been incurred on the part of the state, in relation to such balance.

Sec. 76. Upon the production of a certified copy of such order, the comptroller shall draw his warrant on the treasury, for the amount therein specified; which shall be paid by the treasurer to the person entitled thereto.

(n) 2 R. S. 133; 3 R. S. (5th ed.) 218.

(o) 2 R. S. 133; 3 R. S. (5th ed.) 219.

## CHAPTER XIX.

## OF THE ADMEASUREMENT OF DOWER.

ALTHOUGH proceedings for the admeasurement of dower are but seldom brought in the Surrogate's Court, yet, as the surrogate has jurisdiction "to cause the admeasurement of dower to widows,"(a) the subject is one the consideration of which should not be omitted in this volume.

The surrogate, as will presently appear,(b) has not jurisdiction to determine the right to the dower itself, but, his jurisdiction being expressly prescribed by the statute, is limited to the mere business of admeasuring the dower. By the act originally conferring jurisdiction upon the surrogate in respect to the admeasurement of dower, such jurisdiction could be exercised in those cases only in which the heirs of the deceased, or the proprietors or owners of the land, acknowledged the right of the widow, and themselves brought the proceeding to have her dower admeasured.(c) And probably now it is in such cases that the statute has its principal practical value—an admeasurement of the widow's dower where no right of dower exists being, of course, an idle proceeding; and, where her right is disputed, the admeasurement being of but little advantage to promote her claim.(d) The decree of the surrogate for the admeasurement of a widow's dower, is, however, as effectual for all purposes as the judgment for such admeasurement of any other court having jurisdiction in the premises, and carries with it all the force and every incident of such a judgment.

## OF DOWER.

Tenant in dower, it is said by Blackstone, is where the husband of a woman is seized of an estate of inheritance, and dies. In this case, the wife shall have the third part of all the lands and tenements whereof he was seized at any time during the coverture, to hold to herself for the term of her natural life.(e) And the statute declares as follows:

Sec. 1. A widow shall be endowed of the third part of all the lands

(a) 2 R. S. 220; 3 R. S. (5th ed.) 362, sec. 1; *Ante*, p. 24. (b) *Infra*, pp. 730-31-34.

(c) Act of April 7th, 1806, 1 R. L. 1813, p. 60.

(d) Although her dower has not been admeasured, the widow will be entitled to the costs of a successful action of ejectment brought to recover her dower. *Walker v. Schuyler*, 10 Wend. 480.

(e) 2 Black. Comm. 129; 4 Kent's Comm. 35(3).

The Revised Statutes contain ample provisions prescribing and regulating the right to dower in a variety of cases, (see title 3, ch. 1, pt. 2 of the Revised Statutes, entitled "of estates in dower;") but an extended consideration of the subject is manifestly not within the scope of this work. The statute of 1860 concerning the rights and liabilities of husband and wife (S. L. 1860, p. 157) declares as follows:

Sec. 11. At the decease of the husband or wife intestate, leaving minor child or children, the survivor shall hold, possess and enjoy all the real estate of which the husband or wife died seized, and all the rents, issues and profits thereof during the minority of the youngest child, and one-third thereof during his or her natural life.

This provision will doubtless be repealed or modified by the legislature at a very early day; but the question will occur, in cases which have arisen under it, whether it has restricted or enlarged the right of the widow.

whereof her husband was seized of an estate of inheritance, at any time during the marriage.(f)

The statute further provides as follows :

Sec. 17. A widow may tarry in the chief house of her husband forty days after his death, whether her dower be sooner assigned to her or not, without being liable to any rent for the same, and in the mean time she shall have her reasonable sustenance out of the estate of her husband.(g)

This provision of the statute, as was remarked at a previous page, (h) is to be construed to apply to a solvent, as well as an insolvent estate ; and the allowance is intended to apply to the sustenance of the widow only, and does not extend to authorize the maintenance of the children of her deceased husband out of an insolvent estate.(i) It may here be added that this provision has no application to leasehold property. It relates only to lands in which the widow has a right or claim of dower.(j) Where, therefore, the house occupied by the husband is only leased by him, the widow has no right of quarantine in the premises.

And the widow's right to tarry in the chief house of her husband determines on the expiration of forty days from his death, whether her dower has been assigned to her or not. And after the expiration of the forty days the heir may expel her, and put her to her suit ; and she is not thereafter protected from an action in the nature of the former action of ejectment by the heir, or by any person deriving the title from him.(k)

#### OF PROCEEDINGS IN THE SURROGATE'S COURT FOR THE ADMEASUREMENT OF DOWER.

The proceedings for the admeasurement of dower are prescribed by the seventh title of the eighth chapter of the third part of the Revised Statutes.(l) That title regulates the practice in these proceedings as well in the Supreme Court and in the county court as in the court of the surrogate.

For the more convenient consideration of the subject, proceedings by the widow for the admeasurement of her dower, will first be treated of. Proceedings by the heirs or owners of the land to procure such admeasurement, will then be discussed.

#### OF PROCEEDINGS BY THE WIDOW.

##### OF THE PETITION FOR THE ADMEASUREMENT OF HER DOWER.

The statute provides as follows :

Sec. 1. Any widow who shall not have had her dower assigned to her, within forty days after the decease of her husband, may apply, by petition, for the admeasurement of her dower, to the Supreme Court ; or to the county court of the county in which the lands subject to dower

(f) 1 R. S. 740 ; 3 R. S. (5th ed.) 31.

(h) See *Ante*, p. 543.

(j) *Voelckner v. Hudson*, 1 Sandf. Superior Ct. Rep. 215.

(k) See *Jackson v. O'Donaghy*, 7 Johns. 247.

(g) 1 R. S. 742 ; 3 R. S. (5th ed.) 33.

(i) *Johnson v. Corbett*, 11 Paige, 265.

(l) 2 R. S. 488 ; 3 R. S. (5th ed.) 790.

lie; or to the surrogate of the same county; specifying therein the lands to which she claims dower.<sup>(m)</sup>

Before assignment of her dower, the doweress has no estate in the land. The right of dower of the widow rests in action only, and cannot be sold on execution. And though the widow may release, she cannot convey or assign her interest. Nor can she convey or alien her right of dower so as to enable the grantee to bring an action or maintain proceedings for its admeasurement in his own name. One, therefore, who claims to be the owner of the right of dower by purchase from the widow, has no estate; and if the surrogate order dower to be admeasured on his application, the proceedings are unauthorized by the statute, and are void.<sup>(n)</sup>

The petition should allege the marriage, the death of the husband, and his seizin of the lands wherein dower is claimed. It should describe the lands, and should specify those whereof the husband died seized, and those, if any wherein dower is claimed, which were aliened by him. It should state the names of the heirs of the deceased, or, if they are not the owners of any of the lands subject to dower, the names of the owners of such lands claiming a freehold estate therein. Where a part of the lands subject to dower have been aliened by the husband, and a part are inherited by the heirs, then the names of the owners and of the heirs should both be stated, distinguishing the owners of the aliened lands from the heirs. The names of the occupants of the land should be stated. The places of residence of all the parties should be given. If any of the parties are minors, that fact, and whether or not the minors have a general guardian, should be stated; and the name of any general guardian of a minor, and his residence, should be set forth. The prayers of the petition will be for the admeasurement of the petitioner's dower, and to that end that commissioners may be appointed pursuant to the statute. [For form of the petition, see Appendix, No. 124.]

OF THE NOTICE OF THE PRESENTATION OF THE PETITION, THE SERVICE OF THE PETITION, AND THE NOTICE, AND THE PROCEEDINGS IN RELATION TO MINORS.

The statute provides as follows:

Sec. 2. A copy of such petition, with notice of the time and place when it will be presented, shall be served, at least twenty days previous to its presentation, upon the heirs of her husband; or if they are not the owners of the lands subject to dower, then upon the owners of such lands claiming a freehold estate therein; or their guardians, when any such heirs or owners are minors.

Sec. 3. Such notice may be served personally, on any party of full age; or upon the guardians of minors; or by leaving the same with any person of proper age, at the last residence of such party or guardian, in case of his temporary absence; and if any such heir or owner be a resident out of this state, the service of such notice may be upon the tenant in actual occupation of the lands, or if there be no tenant,

<sup>(m)</sup> 2 R. S. 488; 3 R. S. (5th ed.) 791.

<sup>(n)</sup> *Jackson v. Vanderheyden*, 17 Johns. 167; *Jackson v. Aspell*, 20 Johns. 411; *Ritchie v. Putnam*, 13 Wend. 524; *Tompkins v. Fonda*, 4 Paige, 448; *Green v. Putnam*, 1 Barb. Sup. Ct. Rep. 500; *Scott v. Howard*, 3 Barb. Sup. Ct. Rep. 319.

by publishing the same for three weeks successively, in some newspaper printed in the county where such lands are situated.

Sec. 4. Where such heirs or owners are minors and have no guardian, the court or surrogate, on application of the widow, shall appoint some discreet and substantial freeholder a guardian of such infants, for the sole purpose of appearing for and taking care of the interest of such infants in the proceedings.<sup>(o)</sup>

Sec. 5. Notice of the application for the admeasurement of dower, and all notices in the subsequent proceedings, shall be served on such guardian, whether the infant reside within this state or not.<sup>(p)</sup>

[For form of the notice under the above second section of the statute, see Appendix, No. 125.]

The statute provides that a copy of the petition, with the notice of presentation, shall, where the heirs of the deceased are not the owners of the lands subject to dower, be served upon the owners of the lands claiming a freehold estate therein. Service of the papers, therefore, is not required to be made upon the mere occupants of the land, or upon tenants under leases for a term of years.<sup>(q)</sup>

Any of the heirs, it has been considered, may avail himself of the want of notice to any other heir, as the admeasurement would be ineffectual unless all of them had been notified.<sup>(r)</sup>

As to the proof of the service of the notice, that rests much in the discretion of the surrogate. He ought to be reasonably satisfied, and if there is sufficient evidence to raise a fair presumption, although it may not be of a positive and direct character, that will sustain subsequent procedure. This is no more than just to the applicant; as, if any defect should be promptly pointed out, she might substitute new proof or give a new notice.<sup>(s)</sup>

Where any of the parties are minors having no general guardian, the application for the appointment of a special guardian for such minors, is to be made immediately upon the preparation of the petition. [For form of the widow's application for the appointment of a guardian for infant parties, and form of order, see Appendix, No. 126.]

Notice of the presentation of the petition, and all subsequent notices in the proceeding, to be given to the special guardian so appointed. Upon the hearing of the application on the day named in the notice, or on the day to which the matter may be adjourned, the surrogate may order the admeasurement of the widow's dower to be made. The proceedings on obtaining the order will be considered after the practice in the case of an application, by the heirs or owners of land subject to dower, to procure an admeasurement of such dower shall have been treated of; and a case has been conducted to the same time of the hearing before the surrogate on the petition.

OF PROCEEDINGS BY AN HEIR OR OWNER OF LANDS SUBJECT TO DOWER,  
TO PROCURE AN ADMEASUREMENT OF SUCH DOWER.

The statute provides as follows:

Sec. 6. After the expiration of forty days from the death of any hus-

<sup>(o)</sup> 2 R. S. 488; 3 R. S. (5th ed.) 791.

<sup>(p)</sup> 2 R. S. 489; 3 R. S. (5th ed.) 791.

<sup>(q)</sup> *Ward v. Kille*, 12 Wend. 137.

<sup>(r)</sup> *Board agst. Board*, 4 Abbott P. R. 295.

<sup>(s)</sup> *Board agst. Board*, *supra*.



band, his heirs, or any of them, or the owners of any land subject to dower, claiming a freehold estate therein, or a guardian of any such heirs or owners, may by notice in writing require the widow of such husband to make demand of her dower, within ninety days after the service of such notice, of the lands of her deceased husband, or of such part thereof as shall be specified in such notice.

Sec. 7. If such widow shall not make her demand of dower within the time specified in such notice by commencing a suit, or by an application for admeasurement, as herein prescribed; or if such widow shall not make such demand within one year after her husband's death, although no notice to that effect shall have been given; the heirs of the husband of such widow, or any of them, or the owners of any lands subject to dower, claiming a freehold interest therein, or the guardian of any such heirs or owners may apply by petition to the Supreme Court, or to the County Court of the county where such lands are situated, or to the surrogate of the same county, for the admeasurement of the said widow's dower of the lands of her husband, or of such part thereof as shall be specified in the said petition.(t)

Sec. 8. A copy of such petition, with notice of the time and place of presenting the same, shall be served personally on such widow twenty days previous to its presentation.(u)

[For forms of proceedings under these sections of the statute, see Appendix, No. 127.]

OF THE HEARING BEFORE THE SURROGATE, WHETHER UPON A PETITION BY THE WIDOW, OR AN HEIR OR OWNER; AND OF THE APPOINTMENT OF COMMISSIONERS TO ADMEASURE THE DOWER.

The following sections of the statute prescribe these proceedings:

Sec. 9. Upon such application being made either by a widow or by any heir or owner, or by the guardian of such heir or owner, the Supreme Court, or the County Court, or the surrogate to whom the same shall be made, may, upon hearing of the parties, order that admeasurement be made of such widow's dower of all the lands of her husband, or of such part thereof as shall have been specified in such application.

Sec. 10. Such court or surrogate shall thereupon appoint three reputable and disinterested freeholders, commissioners for the purpose of making such admeasurement, by an order which shall specify the lands of which dower is to be admeasured, and the time at which the commissioners shall report.(v)

The questions which may be considered by the surrogate upon this hearing are not numerous. All parties are doubtless entitled to be heard in relation to the selection of the commissioners; and it would seem also that questions going to the jurisdiction of the surrogate may be raised and determined.(w) But the title of the widow to dower cannot be litigated in this proceeding,(x) nor can an inquiry whether a settlement has been made by the husband in lieu of dower be gone into.(y) The surrogate's decree is conclusive only as to the admeasurement and location of the dower; and, if not reversed on appeal, so

(t) 2 R. S. 489; 3 R. S. (5th ed.) 791.

(v) 2 R. S. 489; 3 R. S. (5th ed.) 792.

(x) *Parks v. Hardey*, 4 Bradf. Surr. Rep. 15.

(u) 2 R. S. 489; 3 R. S. (5th ed.) 792.

(w) *Jackson v. Totten*, 20 Johns. Rep. 411.

(y) *Hyde v. Hyde*, 4 Wend. 630.

binds all the parties that the location cannot afterwards be objected to, if the widow seek to recover possession by ejectment. But the validity of her claim to any dower, the title of her husband, his seizin and her marriage may all be questioned in ejectment, notwithstanding the admeasurement.<sup>(z)</sup>

If, on the hearing, no valid objection appears against the admeasurement of the widow's dower, the surrogate makes an order for such admeasurement. By the above 11th section of the statute, the surrogate, upon ordering the admeasurement, is required to appoint three reputable and disinterested freeholders commissioners for the purpose of making the admeasurement, and the order appointing them is required to specify the lands of which dower is to be admeasured, and the time at which the commissioners shall report. The direction for the admeasurement of the dower, the appointment of the commissioners, the description of the lands, and the assignment of a day to bring in the report of the commissioners, are all embraced in one order or decree. [For form of the order, as well where the application for admeasurement is by the heirs or owners as where it is by the widow, see Appendix, No. 128.] A certified copy of the order is the official evidence of the authority of the commissioners to act; and such a copy should be delivered to the commissioners.

#### OF THE OATH OF OFFICE OF THE COMMISSIONERS.

Sec. 11. The commissioners so appointed, before entering upon their duties, shall be sworn before the surrogate who appointed them, or before some officer authorized to take affidavits, that they will faithfully, honestly and impartially discharge the duty and execute the trust reposed in them by such appointment.<sup>(a)</sup>

[For form of the oath, see Appendix, No. 129.]

#### OF VACANCIES IN THE OFFICE OF COMMISSIONER.

The statute provides as follows :

Sec. 12. If the persons so appointed commissioners, or either of them, shall die, resign, or neglect or refuse to serve, others may be appointed in their places by the court or surrogate who appointed the first commissioners, and shall take the same oath.<sup>(b)</sup>

<sup>(z)</sup> *Parks v. Hardey, supra*; 2 R. S. 491; 3 R. S. (5th ed.) 793, secs. 17, 18. *Infra*, p. 734.

<sup>(a)</sup> 2 R. S. 489; 3 R. S. (5th ed.) 792.

<sup>(b)</sup> 2 R. S. 490; 3 R. S. (5th ed.) 792. See *Gale v. Edsall*, 8 Wend. 460. Where the tenant of the freehold in which dower was to be admeasured, after having been notified, failed to appear before the surrogate on the day of the presentation of the petition, and the surrogate made an order for the admeasurement of the widow's dower, and appointed commissioners, and, ascertaining that one of the commissioners named was unable and declined to serve, on the same day on which the petition was presented made a further order substituting another commissioner in the place of the one who had declined, the action of the surrogate was sustained, and it was considered that all which transpired before the surrogate was to be regarded as one continued proceeding for the appointment of commissioners, and that it was not necessary to repeat the notice which had been previously served on the tenant of the freehold. If, it was suggested, the tenant of the freehold had attended in pursuance of the notice, and had left the office of the surrogate after the commissioners had been named, without being informed that anything further was to be done, he might then have had some ground for complaint; but, under the circumstances, it was held he had none. *White v. Story*, 2 Hill, 543.

## OF THE POWERS AND DUTIES OF THE COMMISSIONERS.

The statute regulates the conduct of the commissioners as follows :

Sec. 13. The commissioners so appointed shall execute their duties as follows :

1. They shall admeasure and lay off, as speedily as possible, the one-third part of the lands embraced in the order for their appointment, as the dower of such widow, designating such part with posts, stones or other permanent monuments ;

2. In making such admeasurement, they shall take into view any permanent improvements made upon the lands embraced in the said order by any heir, guardian of minors or other owners, since the death of the husband of such widow, or since the alienation thereof by such husband ; and if practicable, shall award such improvements within that part of the lands not allotted to such widow ; and if not practicable so to award the same, they shall make a deduction from the lands allotted to such widow, proportionate to the benefit she will derive from such part of the said improvements as shall be included in the portion assigned to her ;

3. They shall make a full and ample report of their proceedings, with the quantity, courses and distances of the land admeasured and allotted by them to the widow, with a description of the posts, stones and other permanent monuments thereof, and the items of their charges, to the court by which they were appointed, at the time specified in the order for their appointment ;

4. They may employ a surveyor, with necessary assistants, to aid them in such admeasurement.(c)

The parties in interest may attend the commissioners on their admeasurement of the dower ; but it is not required that notice shall be given them to enable them to do so.

In ascertaining the part to be assigned to the widow for her dower under this section of the statute, the commissioners are not authorized to make any deduction in consequence of any conveyance of land or other provision made by the husband to the wife during the marriage.(d) If such provision has been made by the husband and accepted by the wife to be in lieu of dower, that, indeed, would bar the widow's right to the dower, but that would not be a question to be determined by the commissioners.

Dower must, in general, be assigned by metes and bounds ; but that is sometimes impracticable, as in the case of a mill which is not divisible. There the widow shall be endowed in a special manner, as by having every third toll dish, or the entire mill every third year or month, or by taking a share of the profits in some other form. So, in the case of incorporeal hereditaments, dower must be assigned in a special manner, having respect to the nature of the subject and the mode of enjoyment.(e) And in mines which have been opened in the lifetime of the husband, if dower cannot be assigned by metes and bounds, the parties may have an alternate occupancy of the whole, or

(c) 2 R. S. 490 ; 3 R. S. (5th ed.) 792-3.

(d) See *Hyde v. Hyde*, 4 Wend. 630.

(e) "Perkins, sec. 342 ; Co. Litt. 32, a, and 34, b ; Roper on Husband and Wife, 395, 399 ; Park on Dower, 251, 255 ; 4 Kent's Comm. 63-4." 2 Hill, 547-8.

the widow may take a third of the rents and profits.<sup>(f)</sup> These are cases where the thing is of such a quality that no division can be made which will give the parties the enjoyment of their respective shares in severalty.

But, in cases where the property is in its nature divisible, the commissioners will be right in following the general rule, and assigning dower by metes and bounds. The widow may object to the assignment of a part of a dwelling-house for her dower. But where there are no other lands in which the dower may be assigned, it has been considered that the widow cannot refuse to take a part of the house.<sup>(g)</sup> At any rate, if she make no complaint, an objection on the part of the tenant of the freehold will not be entertained.<sup>(h)</sup> It is very questionable whether the commissioners under the statute can make an assignment of a rent out of land in lieu of dower. If the commissioners can make such an assignment in any case, it must be where, from the nature of the subject, the widow cannot have a part of the thing itself, but must take her dower in some other form. Where part of a dwelling-house is assigned to the widow for her dower, it must often be necessary to allow her the use of some of the halls and passages in common with the heir or tenant, as the only mode in which she can have the beneficial enjoyment of her part of the property.<sup>(hh)</sup>

If there has been an alienation of the land by the husband, the admeasurement of the widow's dower must be according to the value of the premises at the time of the conveyance, without reference to subsequent improvement, or any increased value of the land arising from neighboring improvements, or any general advance in the value of real property in the district of country in which the land is located.<sup>(i)</sup>

For their assistance in making the admeasurement, the commissioners are authorized to employ a surveyor. Their report must be in writing, must be full and ample, and must comply in every particular with the directions of the above third subdivision of the section. The commissioners are entitled to receive, for every day's actual and necessary service, two dollars each commissioner. The surveyors, for actual service in surveying, laying out, marking and mapping any real estate of which dower shall be admeasured, are entitled to receive two dollars and fifty cents per day; and for each of his necessary chain and flag-bearers and other necessary assistants, he is entitled to receive one dollar per day.<sup>(j)</sup> The items of the charges on these accounts must be inserted in the report. [For form of the report of the commissioners, see Appendix, No. 130.]

#### OF ENLARGING THE TIME TO BRING IN REPORT, COMPELLING REPORT, OR DISCHARGING COMMISSIONERS.

Sec. 14. The court or surrogate appointing such commissioners may, upon their application, or that of either party, enlarge the time for making their report; and may, by order, compel such report, or discharge

<sup>(f)</sup> *Coates v. Cheever*, 1 Cowen, 460; 4 Kent's Comm. 63-4; 2 Hill, 548.

<sup>(g)</sup> *White v. Story*, 2 Hill, 543, 548.

<sup>(h)</sup> *Id.*

<sup>(hh)</sup> *White v. Story*, 2 Hill, 543. See, also, *Parks v. Hardey*, 4 Bradf. Surr. Rep. 15.

<sup>(i)</sup> *Parks v. Hardey*, 4 Bradf. Surr. Rep. 15; *Walker v. Schuyler*, 10 Wend. 480, and cases cited.

<sup>(j)</sup> 2 R. S. 643; 3 R. S. (5th ed.) 922.

the commissioners neglecting to make the same, and appoint others in their places.(k)

#### OF FILING AND RECORDING THE REPORT.

Sec. 15. Such report shall be filed and entered at large in the minutes of the court to which the same shall be made, or in a book to be provided by the surrogate for that purpose, when made to him.(l)

#### OF THE HEARING ON THE REPORT OF THE COMMISSIONERS.

The statute provides as follows:

Sec. 16. The court or surrogate to whom such report shall be made may, at the time appointed for receiving the same, or at such other time to which the hearing shall have been adjourned, on good cause shown, set aside the said report, and appoint, as often as may be necessary, new commissioners, who shall proceed in the manner hereinbefore directed; and if not set aside, the said court or surrogate shall, by order, confirm the said report and admeasurement.(m)

In the absence of any objection to the report of the commissioners, and nothing appearing to lead to suspicion of their fairness and correctness in the business, the report is always confirmed. If any party is dissatisfied with the report, he should appear or be represented on the day on which the report is brought in; and his exceptions should be stated in writing. The matter is then heard on the report and exceptions, and the report is confirmed, modified, or set aside, as the surrogate may determine. [For form of the order confirming the report, see Appendix, No. 131.]

#### OF THE EFFECT OF THE ADMEASUREMENT, AND THE PROCEEDING BY THE WIDOW TO RECOVER HER DOWER.

Sec. 17. The admeasurement so made and confirmed shall, at the expiration of thirty days from the date of such confirmation, unless appealed from, be binding and conclusive, as to the location and extent of the said widow's right of dower, on the parties who applied for the same and on all parties to whom notice shall have been given, as hereinbefore directed. But no person shall be precluded thereby from controverting the right and title of such widow to the dower so admeasured.

Sec. 18. The widow to whom dower shall be admeasured, at the expiration of thirty days from the date of the said confirmation, unless the same be appealed from, may bring and maintain an action of ejectment to recover the possession of the lands so admeasured to her for her dower (in which her right to such dower may be controverted), and upon recovery, may hold the same during her natural life, subject to the payment of all taxes and charges accruing thereon subsequent to her taking possession.(n)

(k) 2 R. S. 490; 3 R. S. (5th ed.) 793.

(l) 2 R. S. 490; 3 R. S. (5th ed.) 793. See, also, 2 R. S. 222; 3 R. S. (5th ed.) 365; *Ante*, p. 31.

(m) 2 R. S. 490; 3 R. S. (5th ed.) 793.

(n) 2 R. S. 491; 3 R. S. (5th ed.) 793. See *Parks v. Hardey*, 4 Bradf. Surr. Rep. 15; *Lawrence agst. Brown*, 1 Seld. 394; *Ante*, p. 598.



## OF THE COSTS OF THE PROCEEDING.

The statute, after providing for and regulating appeals in proceedings for the admeasurement of dower, makes provision in respect to the costs of the proceeding as follows:

Sec. 25. All costs and expenses arising on any proceeding on such application, shall be taxed by the surrogate or a judge of the court in which such proceedings shall be had; and when such application is made in the Supreme Court, and also when made to a Surrogate's or County Court, and no appeal shall be made from the order of confirmation, the said costs and expenses shall be paid equally, the one-half thereof by the widow, and the other half by the adverse party.

Sec. 26. When an appeal is entered, then such costs shall be paid by the party applying for such admeasurement; and if the admeasurement be affirmed on such appeal, the Supreme Court, in awarding costs, shall require the party appealing to pay the one-half of such costs and expenses before the surrogate or court, if he have not before paid the same.<sup>(o)</sup>

The order for the confirmation of the report will make provision for the payment of the costs. See Appendix, No. 132.

## CHAPTER XX.

## OF APPEALS FROM THE ORDERS AND DECREES OF SURROGATES.

APPEALS may be made from the orders, decrees, and sentences of surrogates, in all cases to the Supreme Court. Previously to the constitution of 1846, the Revised Statutes contained the following provision:

Sec. 104. Appeals may be made from the orders, decrees, and sentences of surrogates, in all cases to the Court of Chancery, except where provision has been herein made for appeals to circuit judges, and except appeals from orders concerning any admeasurement of dower.<sup>(a)</sup>

The 55th section of the first title of the sixth chapter of the second part of the Revised Statutes<sup>(b)</sup> provided that, after any will of real or personal estate, or of both, shall have been proved before a surrogate, any devisee or legatee named therein, or any heir or next of kin to the testator might, within three months thereafter, appeal to the circuit judge of the circuit, from the decision of the surrogate, either admitting such will to record or probate, or refusing the same. The 35th section of the same title<sup>(c)</sup> provided that appeals from the decisions of surrogates, in cases where allegations were filed contesting a will or its validity,<sup>(d)</sup> might be made in the manner, within the time, and with the effect prescribed by law. Under this provision it was held that an appeal from a decree or sentence of a surrogate upon allegations against

(o) 2 R. S. 492; 3 R. S. (5th ed.) 794.

(b) 2 R. S. 66; 3d ed. 130.

(d) 2 R. S. 61; 3 R. S. (5th ed.) 142. See *Ante*, p. 638.

(a) 2 R. S. 609; 3d ed. 700.

(c) 2 R. S. 62; 3d ed. 122.

a will, lay in the first instance to the circuit judge.(e) These were the only cases in which provision was made for appeals to circuit judges.

The 19th section of the seventh title of the eighth chapter of the third part of the Revised Statutes(f) provided that, where the commissioners for the admeasurement of dower were appointed by a surrogate, the widow and any heir or owner of lands affected by the proceeding might, within thirty days after the order of confirmation of the report of the commissioners by the surrogate, appeal from such order to the Supreme Court.

The third section of the sixth article of the constitution of this state, adopted in 1846, declares that there shall be a Supreme Court, having general jurisdiction in law and equity. The eighth section of the fourteenth article of the constitution abolished the office of chancellor from and after the first Monday of July, one thousand eight hundred and forty-seven. The seventeenth section of the act "in relation to the judiciary," passed May 12th, 1847,(g) provides that appeals may be brought from the decisions, decrees, or orders of the county judge, acting as surrogate, or other officer acting as such, in all cases where appeals may now be brought from surrogates to the chancellor or circuit judge, and that the appeals thereby authorized shall be brought to the Supreme Court organized by the act. The term *other officer*, used in this section of the judiciary act, includes the officer usually denominated "surrogate."(h)

Appeals now lie, therefore, from the orders, decrees, and sentences of county judges acting as surrogates, and of surrogates,(i) in all cases to the Supreme Court.(j)

And, the appeal being given to the Supreme Court *organized by the judiciary act*, and that act, in defining the duties of the special terms of the court,(k) not including appeals from the decrees of surrogates, it follows that such appeals can be heard at a general term only. Appeals to the Supreme Court from all orders, decrees, or sentences of surrogates are, therefore, to be first heard at the general term of the Supreme Court.(l)

It may be remarked, in this place, that the second part of the "Code of Procedure," relating to civil actions commenced in the courts of this state, does not affect appeals from Surrogates' Courts.(m)

(e) *Alston v. Jones*, 10 Paige, 98. See, however, *Williams v. Fitch*, 15 Barb. S. C. R. 654.

(f) 2 R. S. 491; 3d ed. 585; 3 R. S. (5th ed.) 793. (g) 1 S. L. 1847, pp. 319, 324.

(h) *Watts agt. Aikin and others*, 4 How. Prac. Rep. 439.

(i) See 1 S. L. 1847, p. 308; 3 R. S. (5th ed.) 388, sec. 13; *Ante*, p. 15.

(j) See *Watts agt. Aikin*, 4 How. Prac. Rep. 439.

The fifth edition of the Revised Statutes recites the above 104th section as follows:

"Sec. 22. [Sec. 104.] Appeals may be made from the orders, decrees, and sentences of surrogates, in all cases to the Supreme Court, except appeals from orders concerning any admeasurement of dower. [As modified 1847, ch. 280, sec. 17.]" See 3 R. S. (5th ed.) 906.

But the 19th section of the statute "of proceedings for the admeasurement of dower" is given in this edition (see 3 R. S., 5th ed., 793) as contained in the original Revised Statutes, and as above quoted, and it is quite plain that appeals from orders concerning any admeasurement of dower are not excepted from the general provision that appeals may be made from the orders, decrees, and sentences of surrogates, in all cases to the Supreme Court.

(k) See 1 S. L. 1847, p. 325, sec. 20.

(l) See *Wever v. Marvin*, 7 How. Prac. Rep. 182, 14 Barb. Sup. Ct. Rep. 376; *Watts agt. Aikin*, 4 How. Prac. Rep. 439.

(m) Code, sec. 471. See, also, section 8, Howard's Code, pp. 4, 708.

The Supreme Court is authorized to prescribe, by rule, the course of practice on appeals from the decrees or orders of surrogates in the cases specified in the statutes.<sup>(n)</sup>

The following is the rule of the Supreme Court made in pursuance of this provision of the statute:

RULE XLIV—(77).—*Appeals from surrogate's decision, how taken.*—On the appeal to this court from the order, sentence or decree of a Surrogate's Court, the party appealing shall file a petition of appeal, addressed to this court, with the clerk of the county in which the order, sentence or decree appealed from was made, within fifteen days after the appeal is entered in the court below, or the appeal shall be considered as waived; and any party interested in the proceedings in the court below may thereupon apply to this court *ex parte*, to dismiss the appeal with costs. The petition of appeal shall briefly state the general nature of the proceedings, and of the sentence, order or decree appealed from, and shall specify the part or parts thereof complained of as erroneous; except where the whole sentence, order or decree is alleged to be erroneous, in which case it shall be sufficient to state that the same and every part thereof is erroneous. And where the appeal is from a sentence or decree, on the settlement of the accounts of an executor, administrator, or guardian, if the appellant wishes to review the decision as to the allowance or rejection of any particular items of the account, such items shall be specified in the petition of appeal; or the allowance or disallowance of any such items shall not be considered a sufficient ground for reversing or modifying the sentence or decree appealed from. The respondent, in his answer to the petition of appeal in such cases, may also specify any items in the account as to which he supposes the sentence or decree is erroneous as against him, and in favor of the appellant. And upon the hearing of the parties upon such appeal, the sentence or decree may be modified as to any such items, in the same manner as if a cross appeal had been brought by such respondent. The appellant may have an order of course, that the respondent in the petition of appeal answer the same within twenty days after the service of a copy of the petition of appeal and notice of the order, or that the appellant be heard *ex parte*. And where the respondent is an adult, upon filing an affidavit of such service upon the attorney of the respondent, if he has appeared either in this court, or the court below by an attorney of this court, or upon the surrogate, if he has not appeared by such attorney, and that no answer to the petition of appeal has been received, the appellant may have an order of course that the appeal be heard *ex parte* as against such respondent. Where the respondent is a minor, if he does not procure a guardian *ad litem* upon the appeal to be appointed within twenty days after the filing of the petition of appeal, the appellant may apply to a justice of this court *ex parte*, for the appointment of such guardian. And if the minor has appeared by his guardian *ad litem* in this court, the appellant may have an order of course that the guardian *ad litem* of the respondent answer the petition of appeal within twenty

(n) 2 R. S. 611; 3 R. S. (5th ed.) 907, sec. 38 [sec. 120].

days after service of a copy thereof and notice of the order, or that an attachment issue against such guardian. When a petition of appeal is filed, if it has not been served on the adverse party, the respondent may have an order of course, that the appellant deliver a copy of the petition of appeal to the attorney, or to the guardian *ad litem* of the respondent, within ten days after the service of notice of such order, or that the appeal be dismissed; and if the same is not delivered within the time limited by such order, the respondent, upon due notice to the adverse party, may apply at a special term to dismiss the appeal with costs. Upon the hearing of any such appeal as is referred to in this rule, it shall be the duty of the appellant to furnish the court with a copy of the petition of appeal, and of the answer thereto, if an answer has been received, and a copy of the proceedings below, including a copy of the appeal as entered.

The proceedings on bringing an appeal are commenced by filing, with the surrogate from whose decree the appeal is taken, a written appeal. Such appeal should describe the order or decree appealed from, giving its date and general character. Frequently the whole order is copied into the appeal. If the whole order is appealed from, it should be so stated in the appeal; if a portion only, then the provisions deemed erroneous should be specified. In cases of appeals from orders or decrees admitting or refusing to admit wills to probate, the whole decree is appealed from. Where, however, there is a will and codicils, and the decree grants or denies probate of one or the other; or where probate is granted of part of a will, and as to another part probate is refused; there may be an appeal from a part only of the decree. In cases of appeals from the decrees of surrogates settling the accounts of executors, administrators or guardians, frequently a portion or portions only of the decree are appealed from. And in other cases, whether an appeal shall be taken from the whole or a part only of the decree of the surrogate, depends upon the circumstances.

The appeal must be filed with the surrogate within the time limited by the statute for bringing the appeal; and if not so filed, the right to appeal is lost. The Supreme Court, acting as an appellate court, has no power to enlarge the time to appeal, and can afford no relief to the appellant.<sup>(o)</sup>

No appeal is effectual until a bond is filed with the surrogate, with two sufficient sureties, to be approved by him, in the penalty of at least one hundred dollars to the adverse party, conditioned substantially that the appellant will prosecute his appeal to effect, and will pay all costs which may be adjudged against him by the Supreme

(o) See *Bronson v. Ward*, 3 Paige, 189. See, also, *Caldwell v. The Mayor of Albany*, 9 Paige, 572; *The Bank of Monroe v. Widner*, 11 Paige, 529.

Whatever may be thought of the conflicting cases decided under the Code in relation to the power of the court to allow an appeal to be brought after the time prescribed by the statute for bringing the appeal had expired, all those cases were decided with reference to the provisions of the second part of the Code, which, as has been seen, does not apply to appeals from Surrogates' Courts. And a sound and authoritative decision upon this question under the provisions of the Code will, it is believed, determine that the appellate court has not in any case jurisdiction to entertain an appeal after the time for appealing has expired. See the cases under the Code collected in Howard's Code, p. 543.

Court.(p) The bond usually accompanies the written appeal, and is filed at the same time with the surrogate.

In general, the appeal, when perfected, suspends all proceedings on the order appealed from until the appeal is determined, or until the appellate court shall authorize proceedings thereon.(q)

No express notice of appeal is required to be given to the adverse party. The filing of the appeal in the office of the surrogate, and perfecting the same by giving a bond in the cases required by law, it is provided by statute,(r) shall be deemed sufficient notice of such appeal to the adverse party, without any other notice.

By the 44th rule of the Supreme Court, above recited, the party appealing must file a petition of appeal, addressed to the Supreme Court, with the clerk of the county in which the order, sentence, or decree appealed from was made, within fifteen days after entering the appeal in the court below, or the appeal will be considered as waived; and any party interested in the proceedings in the court below, may thereupon apply to the Supreme Court, *ex parte*, to dismiss the appeal with costs. The rule then proceeds to prescribe the form of the petition of appeal. The petition, together with those things expressly required by the rule, should set forth the names of the parties interested in sustaining the order, decree, or sentence appealed from, and should make them respondents to the appeal, and pray that they may answer the petition.(s) The practice on compelling an answer to the petition of appeal, on obtaining an order against an adult respondent that the appeal be heard *ex parte* as to him on procuring the appearance of infant parties, or the appointment of guardians *ad litem* for them, and on compelling the appellant to serve on the respondents his petition of appeal, is fully laid down in the rule.

Although, if the appellant neglect to file his petition of appeal addressed to the Supreme Court with the clerk of the county within fifteen days after he has entered the appeal with the surrogate, by filing the appeal and giving a bond as required by the statute, the appeal is to be considered as waived; this is not such a waiver as to deprive the Supreme Court of all jurisdiction over the case, and to authorize the surrogate to proceed as if no appeal had ever been entered; but it is such a waiver as to enable the Supreme Court to declare the appeal deserted, and to direct the surrogate to proceed, notwithstanding the appeal which has been entered in his office. If the appeal is deserted by the neglect of the appellant to file his petition of appeal in the Supreme Court within the time prescribed by the rule, or if any person who would have been entitled to proceed on the sentence or order appealed from, if such appeal had not been entered, is not made a party to such petition of appeal, by the usual prayer that he may answer the same, the party whose proceedings are stayed, must apply to the Supreme Court for leave to proceed before the surrogate notwithstanding the appeal.(t)

(p) See 2 R. S. 610; 3 R. S. (5th ed.) 906, sec. 26 [sec. 108].

(q) See 2 R. S. 610; 3 R. S. (5th ed.) 906. See *Hicks v. Hicks*, 12 Barb. Sup. Ct. R. 322; *infra*, p. 752.

(r) 2 R. S. 611; 3 R. S. (5th ed.) 907, sec. 35 [sec. 117]. See *infra*, pp. 753-4.

(s) See *Kellett v. Rathbun*, 4 Paige, 102.

(t) See *Halsey v. Van Amringe*, 4 id. 279.



Where an appeal is taken from an order of the surrogate, and the petition of appeal is filed within the time prescribed by the rules of the court (fifteen days), an application to the court, under the rule to dismiss the appeal, by a party whose interest is affected by the appeal, but who has not been made a party to the petition of appeal, must be made upon notice. The will authorizes an *ex parte* application to be made to dismiss, only where the petition of appeal has not been filed in time—not where some of the proper parties have been omitted in the petition.(u)

Formerly, the appellant was required to cause a transcript of all the proceedings before the surrogate to be made, authenticated, and returned to the appellate court within twenty days from the time of entering the appeal below, or the appellate court might dismiss the appeal unless further time was allowed for the return of the transcript.(v) And now, the appellant is required to furnish the court, upon the hearing of the appeal, with a copy of the petition of appeal, and of the answer thereto, if an answer has been received, and a copy of the proceedings below, including a copy of the appeal as entered.(w)

It is the duty of the surrogate, when an appeal is filed, to make a return to the appeal as soon as practicable. In strictness, the transcript should be filed in the appellate court, before the respondent will be compelled to answer the petition of appeal. The mode of compelling the surrogate to furnish the transcript, or to correct any omissions or imperfections therein, must be by order, and by attachment for disobedience thereto, in conformity to the practice of the Supreme Court in equity in similar cases.(x)

Where a surrogate's return upon an appeal assumes to state what the facts are in regard to a claim against the estate of a decedent, without stating what the evidence of those facts was, the court will presume that such facts were legally ascertained by the surrogate upon sufficient evidence. If the fact is otherwise, the respondent may compel a further return from the surrogate, showing whether any and, if any, what evidence was given in support of the claim.(y)

The appeal is to be brought on for argument before the general term, upon a notice of at least eight days.(z) A note of issue must be filed with the clerk of the Supreme Court in the county, eight days before the commencement of the court at which the appeal is noticed.(a) The

(u) *Suffern agst. Lawrence*, 4 How. Prac. Rep. 29.

(v) Rules of the Court of Chancery, Rule 118.

(w) Supreme Court Rules, Rule 44.

Upon an appeal being perfected from the decision of a surrogate, admitting or refusing to admit a will to probate or record, as will presently appear, the surrogate is by the statute required, upon being paid the fees allowed by law for the service, immediately to transmit to the Supreme Court (formerly to the circuit judge) a copy of the appeal and copies of the will and of all papers, documents, and testimony produced before him in relation to the subject of the appeal, duly certified by him under his seal of office, with a statement of the decision made by him, and the reasons of such decision, if required. See 2 R. S. 608; 3 R. S. (5th ed.) 905.

(x) See *Gardner and others agst. Brown and others*, 5 How. Prac. Rep. 351; *Halsey v. Van Amringe*, 4 Paige, 279.

(y) *Kirby v. Carpenter*, 7 Barb. Sup. Ct. Rep. 373.

(z) Supreme Court Rules, Rule 39.

(a) Supreme Court Rules, Rule 41.

date of the issue will, it is believed, be the day of the filing of the surrogate's transcript with the clerk of the Supreme Court.(b)

Appeals which prevent the issuing of letters testamentary or of general administration have a preference for hearing, in the Court of Appeals and in the Supreme Court, over all actions except criminal cases, and may be moved out of their order accordingly.(c)

Conformably with the practice in similar cases, the appellant must cause the petition of appeal, the answer thereto if any, and a copy of the surrogate's transcript of the proceedings in the court below, including a copy of the appeal as entered, to be printed. Three of the printed copies of the papers must be served, at least eight days before the commencement of the term, on the attorneys for the respondents. At the commencement of the argument, the appellant must furnish a printed copy of the papers to each of the judges, together with a printed copy of the points on which he intends to rely, with a reference to the authorities which he intends to cite. And at the commencement of the argument, each party must serve upon his adversary a printed copy of his points and authorities on which he intends to rely. In case the appellant neglects so to furnish to the adverse party the three printed copies of the papers, the latter will be entitled to move, on affidavit and notice of motion, for the earliest practicable day in term for hearing non-enumerated motions, that the appeal be stricken from the calendar (whichever party may have noticed it for argument), and that judgment be rendered in his favor.(d)

On an appeal, when the relief sought is the reversal of the judgment or decree, and a new trial before the same tribunal or any other, the court is to take into consideration all the testimony given by the unsuccessful party which was admitted, even erroneously, and on which the court below acted in making up its judgment; for the plain reason that, had the evidence been excluded, the party might have resorted to other proof.(e) Whether the witnesses of either of the parties shall be rejected as unworthy of credit, or not, is a matter within the discretion and sound judgment of the surrogate, and after he has decided in favor of their credibility, the Supreme Court, on appeal, will not reverse his decision on the ground of his misjudgment in that particular, unless his error is undoubted and palpable.(f)

The effect of the appeal is to transfer the entire case, not merely for review, but also, if deemed proper, for trial; and it is competent for the appellate court to hear further testimony on the old or on new allegations.(g)

So, likewise, the appellate court may not only affirm or reverse the judgment below, but may modify it or make an entirely new decree, in accordance with its own views of the justice of the case.(h)

(b) *Anon.*, 2 Code Reporter, 41. An appeal from an order of a surrogate appointing an administrator, is a calendar cause. *Brockway v. Jewett*, 16 Barb. Sup. Ct. Rep. 590.

(c) S. L. 1860, ch. 167, p. 270.

(d) See Supreme Court Rules, Rule 43.

(e) *Stanton v. Wetherwax*, 16 Barb. Sup. Ct. Rep. 259, 261.

(f) *Mead v. Mead*, 18 Barb. S. C. R. 578. See, also, *Delaplaine v. Lawrence*, 3 Comst. 301.

(g) See *Clayton v. Wardell*, 2 Bradf. Surr. Rep. 1, 6, and cases and authorities cited. *Caujolle's Appeal*, 9 Abbott Prac. Rep. 393.

(h) See *Clayton v. Wardell*, *supra*. And see *Mead v. Mead*, 11 Barb. Sup. Ct. Rep. 661.

And the judgment of the appellate court is conclusive upon the surrogate, and he has not authority to receive further proofs on any point determined by the appellate court.(i)

#### OF APPEALS TO THE COURT OF APPEALS.

The Court of Appeals has jurisdiction to review, upon appeal, the actual determination of the Supreme Court upon an appeal from an order, sentence, or decree of a surrogate.(j)

It may be observed that an appeal to the Court of Appeals from a judgment of the Supreme Court, reversing an order of a surrogate with costs, is premature, if brought before the amount of costs is ascertained and the judgment roll on appeal is filed; whether a stay of proceedings is sought or not.(k)

With respect to what shall constitute such an actual determination by the Supreme Court as to enable the party dissatisfied to bring an appeal to the Court of Appeals, it has been determined that, where the Supreme Court on appeal reverses the judgment or decree of a subordinate court, an appeal will lie under the Code to the Court of Appeals, although further proceedings are directed to be had in the court in which the suit or proceeding originated. Thus, where a surrogate dismissed a proceeding instituted before him to bring executors to account, and the Supreme Court, on appeal, reversed his decree with costs, and directed him to proceed with the account, an appeal from the judgment of the Supreme Court to the Court of Appeals was entertained.(l)

So, an order of the Supreme Court reversing a final decree of a surrogate in a proceeding for an account, and directing the proceedings to be remitted to the surrogate with instructions, &c., is an order from which an appeal will lay to the Court of Appeals.(m)

But a judgment of the Supreme Court affirming an order of the surrogate denying a motion for leave to discontinue proceedings of accounting in the matter of a guardian, and directing that the proofs be closed, is not an order or judgment from which an appeal will lie to the Court of Appeals, because the surrogate's order affirmed in the Supreme Court is not a final order.(n)

#### OF THE COSTS OF APPEALS.

Appeals to the Supreme Court from the decrees or orders of surrogates, are left to be governed by the law in force previous to the Code of Procedure, as well in respect to costs and fees, as in other particulars.(o) The statute in force previous to the Code provided as follows:

Sec. 42. [Sec. 35.] Upon appeals from Surrogates' Courts to the Court

(i) See *Clayton v. Wardell*, *supra*.

(j) See Code of Procedure, sec. 11.

(k) *McMahon v. Harrison*, 5 How. Prac. Rep. 360.

(l) *Messerve v. Sutton*, 3 Comst. 546.

(m) *Wagener v. Reiley*, 4 How. Prac. Rep. 195; 2 Code Reporter, 130.

(n) *Tompkins v. Soulice*, 7 How. Prac. Rep. 194.

(o) *Brockway v. Jewett*, 16 Barb. Sup. Ct. Rep. 590; *Sherman v. Youngs*, 6 How. Prac. Rep. 318. Costs on appeals from the decrees or sentences of surrogates, admitting or refusing to admit wills to probate or record are, as will presently appear, expressly regulated by statute. See 2 R. S. 67; 3 R. S. (5th ed.) 151; 2 R. S. 608; 3 R. S. (5th ed.) 905; *Infra*, pp. 745-6, 749; *Van Pelt v. Van Pelt*, 16 How. Prac. Rep. 299.

of Chancery, and upon appeals from the Court of Chancery to the court for the correction of errors, costs shall be paid by the appellant or respondent, as shall be directed by the court to which the appeal is made; and upon affirming any decree, or upon the appeal being discontinued or quashed, the court may, in its discretion, award damages for the delay and vexation caused by such appeal.(p)

The Supreme Court, therefore, has the power to award costs against either party; and the costs are to be regulated by the fee-bill applicable to the former Court of Chancery.(q) The items of the fee-bill of the Court of Chancery, applicable to appeals from the orders or decrees of surrogates to the Supreme Court, together with the twentieth section of the statute relating to disbursements, will be found in the Appendix to this volume.

It should be observed that it is erroneous to charge for printed copies of the case and points furnished the court, and served upon the opposite party on appeal, by the folio. The rule of the court requiring the papers which are to be used at a general term in calendar causes to be printed, renders the expense of printing a necessary disbursement within the provision of the Revised Statutes relating to solicitors' charges for disbursements,(r) and the party is confined to that mode of compensation.(s)

The costs on an appeal may be taxed by any justice of the Supreme Court, at any place within this state. And the application to have them taxed is not an application for an order, within the meaning of section 401 of the Code. It is not therefore a motion; and may be made without the limits specified in that section.(s)

It may be added that inasmuch as, where the surrogate has not jurisdiction of the subject matter of the suit or proceeding before him, no assent or submission of the parties can give him such jurisdiction;(t) so, where the objection to the jurisdiction is not taken before the surrogate, but is made for the first time in the appellate court, the appellant will not be allowed costs upon the reversal of the sentence or decree of the surrogate, unless the same is found to be erroneous upon the merits also.(u)

The provisions of the Code regulating appeals to the Court of Appeals, are contained in the second part of that statute, and, therefore, do not affect appeals from Surrogates' Courts; but, the appeal to the Court of Appeals being an appeal from an actual determination of the Supreme Court, and appeals from the Supreme Court to the Court of Appeals being regulated by the Code, the costs of an appeal from a decree of the Supreme Court upon an appeal from an order or decree of a surrogate, are the costs prescribed by the Code on appeals from the Supreme Court to the Court of Appeals.(v)

The practice on an appeal from a decree of a surrogate having thus

(p) 2 R. S. 618; 3rd ed. 709.

(q) See Code of Procedure, sec. 471; *Ante*, p. 736; *Brockway v. Jewett*, 16 Barb. Sup. Ct. Rep. 590; *Sherman v. Youngs*, 6 How. Prac. Rep. 318.

(r) 2 R. S. 633-4; 3rd ed. 727, sec. 31 [sec. 26]; Appendix.

(s) *Brockway v. Jewett*, 10 Barb. Sup. Ct. R. 590.

(u) *Dakin v. Deming*, 6 Paige, 95.

(t) See *ante*, p. 8.

(v) See Code, part 2, title 10.

been described, the provisions of the statutes, some of which have already been adverted to, regulating appeals from the orders, sentences or decrees of surrogates, as well in particular cases as in general, remain to be considered. Appeals from the sentences or decrees of surrogates, admitting or refusing to admit wills to probate or record, or revoking or refusing to revoke probates on allegations, will most conveniently be first treated of; appeals in other cases will then be discussed.

OF APPEALS FROM DECREES OF SURROGATES ADMITTING OR REFUSING TO ADMIT WILLS TO PROBATE OR RECORD.

Sec. 71. [Sec. 55.] After any will of real or personal estate, or of both, shall have been proved before a surrogate, any devisee or legatee named therein, or any heir or next of kin to the testator, may, within three months thereafter, appeal to the Supreme Court from the decision of the surrogate, either admitting such will to record or probate, or refusing the same; and upon such appeal being filed with the surrogate, it shall stay the recording or the probate of such will until it be determined.<sup>(w)</sup>

Sec. 72. [Sec. 56.] The party filing such appeal shall at the same time execute and file with the surrogate, a bond in the penalty of one hundred dollars, to the people of this state, with such sureties as the surrogate shall approve, conditioned for the diligent prosecution of such appeal, and for the payment of such costs as shall be taxed against him, in the event of his failure to obtain a reversal of the decision so appealed from. No appeal shall be deemed valid, until such bond be filed.<sup>(x)</sup>

Sec. 73. [Sec. 57.] If it appear to the Supreme Court that the decision of the surrogate was erroneous, he may, by order, reverse such decision; and, if such reversal be founded upon a question of fact, shall direct a feigned issue to be made up, to try the questions arising upon the application to prove such will, and shall direct the same to be tried at the next circuit court, to be held in the county where the surrogate's decision was made.<sup>(y)</sup>

Sec. 74. [Sec. 58.] Such issue shall be made up and tried in the same manner as issues awarded by the Court of Chancery; but a new trial of such issue may be granted by the Supreme Court, in the same manner as if it had been formed in a suit originally commenced in such court.

Sec. 75. [Sec. 59.] The final determination of such issue shall be conclusive as to the facts therein controverted, in respect to wills of personal estate only, upon the parties to the proceedings; if such determination be in favor of the validity of such will, either of real or personal estate, or in favor of the sufficiency of the proof thereof, the surrogate, to whom such determination shall be certified, shall record such will, or admit the same to probate, as the case may be.

Sec. 76. [Sec. 60.] If such determination be against the validity of such will, or against the competency of the proof thereof, the surrogate

<sup>(w)</sup> 2 R. S. 66; 3 R. S. (5th ed.) 150; S. L. 1847, ch. 280, sec. 17.

<sup>(x)</sup> 2 R. S. 66; 3 R. S. (5th ed.) 150; S. L. 1830, ch. 320, sec. 15.

<sup>(y)</sup> 2 R. S. 66; 3 R. S. (5th ed.) 151; S. L. 1847, ch. 280, sec. 17.



shall annul and revoke the record or probate thereof, if any shall have been made.

Sec. 77. [Sec. 61.] The costs and expenses of making up an issue, and of the trial thereon, and all subsequent costs thereon, shall be paid by the party appealing, in case of his failure to impeach the validity or execution of the will. Such costs and expenses may be collected in a suit upon the bond herein directed to be given, which shall be prosecuted for that purpose whenever directed by the surrogate.

Sec. 78. [Sec. 62.] If the appellant succeed in impeaching the validity or execution of the will, the party who shall have maintained such validity or execution, may be required, by the surrogate, to pay the costs and expenses of the proceedings, either personally or out of the property of the deceased; and such payment may be enforced by process of attachment.(z)

Sec. 13. [Sec. 90.] Appeals from the decisions of surrogates, by which any will of real estate shall have been admitted to record, or any will of personal estate shall have been admitted to probate, or by which any such will shall be refused to be admitted to record, or probate, to the Supreme Court, shall be made within three months after such decision made and entered, in the manner and with the security specified in the first title of the sixth chapter of the second part of the Revised Statutes.

Sec. 14. [Sec. 91.] Upon such appeal being perfected, and upon the surrogate being paid the fees allowed by law for the service herein required, he shall immediately transmit to the Supreme Court a copy of such appeal, and copies of the will, and of all papers, documents and testimony produced before him in relation to the subject of the appeal, duly certified by him, under his seal of office, with a statement of the decision made by him, and the reasons of such decision, if required.

Sec. 15. [Sec. 92.] The Supreme Court to whom an appeal shall have been made, upon due proof thereof, and of the unreasonable neglect of a surrogate to transmit the same with the copies herein directed, after having been paid or tendered his fees, may enforce such return by attachment, in the same manner and with the like effect as in case of a witness refusing to obey a subpoena to attend any circuit court.

Sec. 16. [Sec. 93.] Upon such appeal and copies being received by the Supreme Court, it shall appoint a day and place for the hearing of the parties. Such time shall be at least twenty days, and not more than three months, from the time of appointing the same.

Sec. 17. [Sec. 94.] Fourteen days' notice of the time and place so appointed, shall be given by the appellant to the parties who appeared before the surrogate in opposition to such appellant, by serving such notice on them personally, if they can be found; and if they cannot be found, by leaving the same at their places of residence respectively, with some proper person.

Sec. 18. [Sec. 95.] At the time and place appointed, and at such other times as the matter shall be adjourned to, the Supreme Court shall proceed to hear the allegations of the parties, upon the proofs

(z) 2 R. S. 67; 3 R. S. (5th ed.) 151.

submitted by them to the surrogate, and shall affirm or reverse the decision of the surrogate, as shall be just.

Sec. 19. [Sec. 96.] If the decision be affirmed, the Supreme Court shall award costs to be paid by the party appealing, either personally or out of the estate of the deceased, as he shall direct. If the decision be reversed upon a question of law, costs shall in like manner be awarded against the party maintaining the decision of the surrogate, either personally or out of the estate of the deceased.(a)

Sec. 20. [Sec. 97.] Such affirmance, or such reversal upon a question of law, shall be certified to the surrogate whose decision was appealed from by the Supreme Court, with the award of costs made by the court, and the copies of papers sent to the Supreme Court, shall be by the court returned to the surrogate. Such surrogate shall enforce the payment of the costs so awarded, in the same manner as if such award had been made by him; and shall proceed upon such affirmance or reversal, as directed in the first title of the sixth chapter of the second part of the Revised Statutes, unless such reversal or affirmance be appealed from, as hereinafter provided.(b)

Sec. 21. [Sec. 98.] If the Supreme Court shall reverse the decision of the surrogate upon a question of fact, an issue shall be made up, tried and determined, as prescribed in the said first title of the said sixth chapter; and upon the final determination thereof being certified to the surrogate whose decision shall have been appealed from, he shall proceed in the manner directed in the said chapter.(c)

[For form of an appeal from a decree of a surrogate admitting a will to probate, and form of the bond on appeal, see Appendix, No. 133.]

A will is nearly always recorded, and probate thereof granted, immediately upon the entry of the decree of the surrogate admitting the will to probate and record. No interval occurs, to enable the stay of the recording or the probate of the will, until the appeal is determined provided by the above 55th section of the statute to operate. And as three months are allowed after the will has been proved, within which the appeal may be brought, ample time is expressly given for recording the will and granting probate, before the appeal is taken. Where the will has been actually recorded, and probate issued before the appeal, the question arises as to the effect of the appeal upon the powers and proceedings of the executors. This question was examined by the late David B. Ogden, then surrogate of the county of New York, in

(a) 2 R. S. 608; 3 R. S. (5th ed.) 905.

(b) 2 R. S. 609; 3 R. S. (5th ed.) 905. The appeal from such reversal or affirmance was provided for by section 100 of the statute recited in note (c), *infra*. There does not appear to have been any provision for an appeal.

(c) 2 R. S. 609; 3 R. S. (5th ed.) 906. The sections of the statutes above recited are the sections which formerly regulated appeals to circuit judges from the decrees of surrogates admitting or refusing to admit wills to probate or record, modified conformably, as it has been considered, to the provisions of the constitution of 1846, and the judiciary act of 1847, by the substitution of the Supreme Court in the place of the circuit judge. By section 100 of the statute an appeal formerly lay from the decision of the circuit judge upon the appeal from the surrogate when no feigned issue had been awarded within one month from the time the decision had been certified to the surrogate and entered in his office. See 2 R. S. 609; 2 R. S. (3rd ed.) 700. By the construction given to the judiciary act, and the practice under it, this appeal is abolished, although it may be doubted whether such was the intention of the legislature.

the case of *The estate of Dorothea Brinkerhoff, deceased*, and he arrived at the conclusion that the acts of the executrixes pending the appeal under the will, which had been recorded, and letters testamentary of which had been issued before the appeal, were valid. In that case the letters testamentary to the executrixes were granted on the eleventh of January, 1885. The appeal from the order of the surrogate admitting the will to probate, was filed on the 4th of February, 1885. Whilst the appeal was pending, the executrixes had acted under the will, and had paid moneys, pursuant to the directions of the supposed will, to legatees. The decree of the surrogate, admitting the will to probate, was subsequently reversed. The question was as to the validity of those payments, and the surrogate, after quoting the above 55th section of the statute, pronounces his opinion as follows :

"It is not easy to say what is the true construction of this section of the statute, which is inartificially drawn. It gives an appeal *after any will* is proved, and then says that the appeal may be filed, whether the will is proved or not<sup>a</sup>; and it declares that, such appeal being filed with the surrogate, it shall stay the recording or probate of the will, until the appeal be determined. But it contains no provisions as to its staying any other proceeding than the recording and the probate of the will. As in this case the will had been recorded and probate granted before the appeal was filed, it could not operate as a stay upon them.

"It is therefore manifest that the legislature contemplated that this appeal was to be filed before the will was recorded or probate granted. That this was the understanding of the legislature, will also appear from the 59th section of the statute on the same page, by which it is declared that, if the circuit judge shall decide 'in favor of the validity of such will, either of real or personal estate, or in favor of the sufficiency of the proofs thereof, the surrogate to whom such determination shall be certified, shall *record such will, or admit the same to probate, as the case may be.*' It was surely not the intention of the legislature that the will should be recorded a second time, and they must therefore have supposed that the appeal was to be filed before the will was recorded.

"The next section (60) declares that if such determination be against the validity of such will, or against the competency of the proof thereof, the surrogate shall annul and revoke the record or probate thereof, if any shall have been made.

"There is nothing in either of the sections to which I have referred, which *directs* that an appeal to the circuit judge shall stay proceedings under a will recorded, and letters testamentary granted upon it, until the record or probate thereof shall be revoked or annulled; and by the 47th section of the statute, entitled 'general provisions relating to letters testamentary,' &c.,(d) it is declared, that all sales made in good faith, and all lawful acts done either by administrators before notice of a will, or by executors or administrators who may be removed or superseded, or who may become incapable, shall remain valid, and shall not be impeached on any will appearing, *or by any subsequent revocation or superseding of the authority of such executors or administrators.*

(a) 2 R. S. 79; 3 R. S. (5th ed.) 165; *Ans.* p. 307.

"This section of the act appears to me to be decisive of this case. The letters testamentary in this case were regularly issued. By the decree of the Court of Errors upon the appeal, the decree of the surrogate admitting this will to probate was reversed. The letters must therefore be revoked, but the acts of the executrixes, in the meantime, are valid. I have examined the cases cited from the English books upon the subject; they are not consistent with each other. I had prepared a careful examination of them, but I deem it unnecessary to state the result of that examination in this opinion, because the case before me must be decided upon our own statutes. I think they leave, and were intended to leave, no doubt upon this question."(*e*)

But all proceedings before the surrogate upon the order appealed from, are stayed by the appeal.(*f*) Thus, where an instrument had been propounded for proof before a surrogate as a will, and had been rejected by him as null and void, and the party propounding the same had appealed from his decision, and given the bond required by law, it was held that it was erroneous for the surrogate to grant an order appointing a particular person administrator of the goods, &c., of the deceased, and that all his proceedings were stayed.(*g*)

The appeal having been filed, and the bond having been approved by the surrogate and filed with him, the petition of appeal must next be prepared and filed with the clerk of the Supreme Court in the county of the surrogate. All the parties who appeared before the surrogate in support of the will, must be made respondents to the appeal. The devisees or legatees named in the will, who did not appear in the proceeding before the surrogate, are not necessarily in all cases to be made parties to the appeal, although they will have the right to intervene to protect their interests. Where the appeal is from an order refusing to admit a will to probate or record, the next of kin or heirs who contested the will, or appeared in the matter before the surrogate, must be made parties to the appeal.

[For form of petition of appeal from a decree of a surrogate admitting a will to probate, see Appendix, No. 134.]

By the above section numbered 73 [sec. 57], if it appear to the Supreme Court, on the appeal, that the decision of the surrogate was erroneous, the court may, by order, reverse such decision. It is a question not determined whether, upon the reversal of a decree of a surro-

(*e*) *In the Matter of the Estate of Dorothea Brinckerhoff, deceased*, Dayton's Surrogates, (1st ed.) Appendix Decisions, pp. v, vi.

The surrogate proceeds with the following additional remarks: "Since writing this opinion I have seen the opinion of the vice-chancellor in the case of *Lawrence v. James L. Brinckerhoff and others*, in which the vice-chancellor says, 'I cannot agree with the complainant's counsel, that an appeal from a surrogate's order admitting a will to probate, made after the will is recorded and letters testamentary are issued, stays all proceedings of the executor, or renders the payment of a debt to him invalid, if the order be subsequently revoked. The provision relied upon (2 R. S. 66, sec. 67) does not in terms arrest the execution of the will, unless the appeal is taken before the probate, or recording thereof; and a previous section of the statute (2 R. S. 61, sec. 29; *Ante*, p. 188) declares that the probate shall be conclusive evidence of the will, until reversed on appeal or revoked, or the will be declared void by a competent tribunal' From this it appears that the vice-chancellor has come to the same conclusion that I have upon this subject."

(*f*) See 2 R. S. 610; 3 R. S. (5th ed.) 906, sec. 27 [sec. 109]; *Infra*, p. 752.

(*g*) *Hicks v. Hicks*, 12 Barb. Sup. Ct. Rep. 322.

gate admitting an instrument to record and probate as a will, the Supreme Court is limited, in rendering judgment, to a reversal of the surrogate's decree, with an award of costs, or may go further, and adjudge that the instrument was not duly executed as a will, and is therefore null and void. But, assuming that it has the power to make such a disposition of the case as the surrogate ought to have made, it is not bound to do so, but may exercise a discretion on the subject. It is only when it is apparent that all the evidence which exists in the case has been produced, or there is some special reasons for it, that the court should go beyond a judgment of reversal, with a direction as to costs.(h)

With respect to the provisions in the above sections of the statutes for the trial of feigned issues, it may be observed that although, by the seventy-second section of the Code of Procedure, feigned issues are abolished, yet, as the second part of the Code, in which this section is contained, does not apply to appeals from Surrogates' Courts,(i) a feigned issue is probably still the authorized and correct practice in these cases.

And with respect to the question whether the reversal of the surrogate's decree is founded upon a question of fact, so that a feigned issue may be directed, it may be observed that, when there is no conflict in the testimony before the surrogate in reference to the execution of the will, the question whether it was duly executed, is one of law.(j)

Again, where the decision turns upon the competency of the testator to execute a will, as to whether he was of sound mind or under restraint, and the like, and the reversal is founded on a mixed question of law and of fact, it would seem that the appellate court is not required to award a feigned issue. At any rate, it has been considered that the duty of awarding a feigned issue, even in the case of a reversal founded upon a question of fact, was imposed upon the circuit judge only; and where the circuit judge affirmed the decision of the surrogate, and the decree of the surrogate and the decision of the circuit judge were afterwards reversed on appeal, the appellate court, it was determined, was not bound to direct a feigned issue to be made up, but was authorized to make the same decree which, in its judgment, the surrogate ought to have made in the case.(k)

With respect to the costs of the appeal, it may be observed that, on an appeal from the decree of a surrogate admitting or refusing to admit a will to probate or record, the Supreme Court has no inherent power to award costs, but must follow the directions of the statute in reference thereto. Therefore, an appeal from a decree of a surrogate admitting a will to probate, resulting in a reversal of the surrogate's decree upon a question of fact, and an order by the Supreme Court directing the question at issue to be tried by a jury pursuant to the statute, is but a single stage of the proceedings in the cause, and the court cannot absolutely award costs to the appellant upon such reversal. The costs of the appellant in such a case must depend upon the final determination of the question in controversy. And where the appellant succeeds, on

(h) *Mead v. Mead*, 11 Barb. Sup. Ct. Rep. 661.

(i) See Code, sec. 471.

(j) *Mead v. Mead*, 11 Barb. Sup. Ct. Rep. 661.

(k) *Stewart's executor v. Lispenard*, 26 Wend. 255, 319. See this judgment strongly disapproved of by the chancellor in *Alston v. Jones*, 10 Paige, 98.



the appeal to the Supreme Court, in reversing the decree of the surrogate upon a question of fact, but fails, on the trial before the jury, to impeach the validity or execution of the will, he has no right to costs, but should pay costs to the respondent. And where, on appeal, the decision of the surrogate is affirmed, and where it is reversed on a question of law, the statute<sup>(l)</sup> provides that the court shall award costs to be paid by the unsuccessful party, or out of the estate of the deceased, as the court shall direct.<sup>(m)</sup>

In a recent case, an application was made to the surrogate by W., executor, and others, legatees of W. P., to prove his will. O. P. contested its validity, and the surrogate refused to admit it to probate. The applicants appealed to the Supreme Court, and that court reversed the decision of the surrogate, directed the will to be admitted to probate, and ordered the costs of O. P. to be paid out of the estate. These costs were paid. Subsequently, O. P. appealed from the decision of the Supreme Court to the Court of Appeals. That court reversed the decision of the Supreme Court, and awarded a new trial, with costs to abide the event. The Supreme Court directed an issue to be formed, for the trial of the question of fact in reference to the proof of the will. A trial was accordingly had before a jury, and the decision was in favor of the validity of the will. This decision being certified to the surrogate, the will was admitted to probate. The executor and legatees then applied to the Supreme Court for costs of the appeals, and of the feigned issue; and for an order that O. P. repay the sum paid him for costs, in pursuance of the order of the Supreme Court which was reversed. It was held that the Supreme Court had not lost its control over the case, but that it still had the power to impose upon the contestant the payment of the costs of the feigned issue. He was accordingly directed to pay those costs, and to refund to the executor the costs of the appeal to the Supreme Court from the surrogate, which had been paid by the executor. But it was further held that this court had no control over the costs in the Court of Appeals, or power to direct the payment thereof by the contestant.<sup>(n)</sup>

#### OF APPEALS FROM THE DECREES OF SURROGATES REVOKING OR REFUSING TO REVOKE THE PROBATE OF WILLS ON ALLEGATIONS.

The 35th section of the statute, entitled "of wills of personal property and the probate of them," provides that if, upon hearing the proofs of the parties, where allegations have been filed against the validity of a will or against the competency of the proof thereof, the surrogate shall decide that such will is for any reason invalid, or that it is not sufficiently proved to have been the last will and testament of the testator, he shall annul and revoke the probate thereof; if otherwise, he shall confirm such probate. The section then provides as follows: "Appeals from such decisions may be made in the manner, within the time, and with the effect prescribed by law."<sup>(o)</sup>

(l) 2 R. S. 608; 3 R. S. (5th ed.) 905; *Supra*, p. 746.

(m) *Van Pelt v. Van Pelt*, 16 How. Prac. Rep. 299.

(n) *Whitbeck v. Paterson*, 22 Barb. Sup. Ct. Rep. 83.

(o) See 2 R. S. 62; 3 R. S. (5th ed.) 142, sec. 29 [sec. 35].

An appeal from a decision of a surrogate confirming the probate of a will, upon allegations being filed against its validity, must be brought within thirty days after the making of the decree or sentence. *(p)*

OF APPEALS FROM THE DECREES OF SURROGATES ON THE FINAL SETTLEMENT OF THE ACCOUNTS OF EXECUTORS, ADMINISTRATORS, OR GUARDIANS.

The statute provides as follows :

Sec. 73. [Sec. 67.] No appeal shall be allowed from the decree of the surrogate, for the final settlement of the account of an executor or administrator, unless the same shall be entered within three months after such decree shall have been recorded. *(q)*

Sec. 13. Appeals from the final order of the surrogate, on the settlement of a guardian's account, may be made to the Supreme Court, in the same manner and time, and with the same effect as in the case of administrators. *(r)*

Sec. 23. [Sec. 105.] Such appeals from the decree of a surrogate, for the final settlement of the account of any executor, administrator or guardian, shall be made within three months after such decree shall have been recorded. *(s)*

A decree of a surrogate which, upon its face, purports to be a final settlement of the accounts of executors, and which discharges them from all further responsibility on account of the personal estate of their testator, upon payment of the several sums specified therein, may be appealed from at any time within three months after the decree shall have been recorded; and this although the decree, in providing for a final settlement of the executor's account, was obviously erroneous. *(t)*

In the case of an appeal from a decree finally settling the accounts of an executor or administrator, all the parties interested in sustaining the decree appealed from should be made respondents to the appeal. *(u)*

Where the decree directed the payment of certain sums out of the estate to two persons who were not parties to the proceeding before the surrogate, and could not have been legally made such, it was considered notwithstanding, that the surrogate having, by the decree, awarded them the sums mentioned, they were interested in sustaining the decree, and therefore were properly made parties respondents in the petition of appeal to the Supreme Court. *(v)*

Particular provision is made in the forty-fourth rule of the Supreme Court, above quoted, *(w)* in the cases of appeals from the decrees of surrogates on the statement or settlement of the accounts of executors, administrators or guardians, with respect to the specification by the appellant, in his petition of appeal, of the items of the account to the allowance or rejection of which he objects, and for a similar specification by the respondent, in his answer to the petition of appeal, of the items, if any, objected to on his part. Where the petition presented to the surrogate was for the final settlement of the accounts of the petitioners

*(p)* *Williams v. Fitch*, 15 Barb. Sup. Ct. Rep. 654. See 2 R. S. 610; 3 R. S. (5th ed.) 906, sec. 25 [sec. 107]; *Infra*, p. 752. But see *Alston v. Jones*, 10 Paige, 98.

*(q)* 2 R. S. 95; 3 R. S. (5th ed.) 181.

*(r)* 2 R. S. 152; 3 R. S. (5th ed.) 244.

*(s)* 2 R. S. 610; 3 R. S. (5th ed.) 906.

*(t)* *Smith v. Van Keuren*, 2 Barb. Ch. R. 473.

*(u)* See *supra*, p. 739.

*(v)* *Willcox v. Smith*, 26 Barb. Sup. Ct. R. 316.

*(w)* *Supra*, p. 737.

as administrators, &c., of the deceased, and one of the petitioners was the guardian of certain minors interested in the estate, and a clause of the decree of the surrogate undertook to pass upon the accounts between the infants and their guardian, it was held that that clause ought to be stricken out, and the point raised by it not being a question of the allowance or rejection of any particular items of the account, but a question of jurisdiction, it was further held that the rule did not require that the items involved should be "specified in the petition of appeal."<sup>(x)</sup>

It is proper to observe in this place that where a surrogate, pursuant to the provisions of the statute relative to "the duties of executors and administrators in the payment of debts and legacies,"<sup>(y)</sup> in his decree upon the final settlement of the accounts of an executor or administrator, gives a preference to rents due upon a lease held by the decedent at the time of his death, and recites in his decree that it appeared to his satisfaction that it would benefit the estate, his decree is conclusive upon that point: And the appellate court will not inquire whether there was any proof of that fact before the surrogate.<sup>(z)</sup>

It has already been seen that an order of the Supreme Court reversing a final decree of a surrogate in a proceeding for an account, and directing the proceedings to be remitted to the surrogate with instructions, &c., is an order from which an appeal lies to the Court of Appeals.<sup>(a)</sup>

#### OF APPEALS IN OTHER CASES.

Sec. 24. [Sec. 106.] Such appeals from the order of a surrogate for the appointment of a guardian, or for his removal; or upon a refusal to make such removal; shall be made within six months after such order shall have been entered.

Sec. 25. [Sec. 107.] In all other cases not hereinbefore specified, and not otherwise limited by law, appeals from the orders, decrees and sentences of surrogates, to the Supreme Court, shall be made within thirty days after such order, decree, or sentence shall have been made.

Sec. 26. [Sec. 108.] No such appeal shall be effectual until a bond be filed with the surrogate, with two sufficient sureties to be approved by him, in the penalty of at least one hundred dollars, to the adverse party, conditioned substantially that the appellant will prosecute his appeal to effect, and will pay all costs that shall be adjudged against him by the Supreme Court.

Sec. 27. [Sec. 109.] Every such appeal, when perfected, except in the cases specified in the two next sections, shall suspend all proceedings on the order appealed from, until such appeal be determined, or until the court to which the appeal shall have been made shall authorize proceedings thereon.

Sec. 28. [Sec. 110.] Appeals from the order of any surrogate appointing a collector or special administrator on the estate of any deceased person; from orders directing the sale of perishable property;

(x) *Banks v. Taylor*, 10 Abbott Prac. Rep. 199.

(y) 2 R. S. 87; 3 R. S. (5th ed.) 174. See *ante*, pp. 312, 318.

(z) *Hovey v. Smith*, 1 Barb. Sup. Ct. Rep. 372.

(a) *Wagener v. Reiley*, 4 How. Prac. Rep. 195; 2 Code Reporter, 130. See *supra*, p. 742.

from orders appointing appraisers of personal property; and from all orders for the service and publication of notices shall not stay or affect any proceedings under such orders.

Sec. 29. [Sec. 111.] Appeals from orders for the commitment, or awarding process for the commitment of any executor, administrator or guardian, for not returning an inventory, rendering an account, or obeying any other order of a surrogate; and appeals from such orders for the commitment of any person refusing to obey any subpoena, or to testify when required according to law, shall not stay the execution of such orders or process, unless the party committed shall give bond, as directed in the next section.(b)

Sec. 30. [Sec. 112.] Such bond shall be executed, at the time of filing the appeal, by the appellant and two sufficient sureties, to be approved by the surrogate, to the people of this state, in a sufficient penalty, not exceeding one thousand dollars, conditioned, that if the order appealed from shall be affirmed, such person will, within twenty days after such affirmance, surrender himself to the custody of the sheriff to whom he shall have been committed, in obedience to such order or process.(c)

Sec. 31. [Sec. 113.] If the condition of such bond be violated, it shall be prosecuted by the district attorney of the county, under the direction of the surrogate receiving the same, in the same manner and with the like effect as recognizances for the appearance of any party, when they become forfeited.(d)

Sec. 32. [Sec. 114.] If it appear to the court in which a recovery on such bond shall be had, that any party has suffered pecuniary injury, by reason of the misconduct of the person so ordered to be committed, or by reason of the delay caused by such appeal, such court may order such part of the said penalty as it shall deem reasonable to be paid to such party.

Sec. 33. [Sec. 115.] The amount of such recovery which shall not be paid over as above directed, shall be paid into the county treasury.

Sec. 34. [Sec. 116.] Appeals from the order of a surrogate suspending or removing any executor, administrator or guardian, shall not affect any such order, until the same be reversed.

The statute, entitled of the "rights and liabilities of executors and administrators," provides, as was seen at a previous page,(e) that no appeal shall be made from any order of a surrogate that execution be issued upon a judgment obtained by a creditor against an executor or administrator, after a trial at law upon the merits, unless the person making the appeal shall execute to the plaintiff in such execution a bond with sufficient sureties, to be approved of by the surrogate, conditioned for the payment of the full amount so directed to be levied, with interest thereon, and the costs of defending the appeal, in case the order appealed from shall be affirmed.(f)

Sec. 35. [Sec. 117.] In every case of an appeal from the order, decree or sentence of a surrogate, the filing such appeal in the office of

(b) 2 R. S. 610; 3 R. S. (5th ed.) 906.

(c) 2 R. S. 610; 3 R. S. (5th ed.) 907.

(d) 2 R. S. 611; 3 R. S. (5th ed.) 907.

(e) Page 352.

(f) See 2 R. S. 116; 3 R. S. (5th ed.) 204.

the surrogate, and perfecting the same by giving a bond in the cases required by law, shall be deemed sufficient notice of such appeal to the adverse party, without any other notice.(g)

Appeals from the orders or decrees of surrogates in proceedings by executors or administrators for the sale of the real estate of deceased persons for the payment of their debts, must be brought under the above section of the statute, numbered 25 [sec. 107], within thirty days after the order or decree shall have been made. And a creditor may appeal from a decree of a surrogate for the distribution of the proceeds of a sale of the real estate of a deceased person made by an executor or administrator, notwithstanding such creditor receives, on account of his demand, the amount awarded to him by the decree.(h)

So, an order made by a surrogate on an application for the confirmation of a sale made by an executor or administrator under a previous order of the surrogate, for the sale of the real estate of his testator or intestate for the payment of his debts, upon which sale the property has been sold in separate lots, and by which order, made on the application for the confirmation of the sale, the surrogate has vacated the sale, and directed the property to be sold in one entire parcel, is an order from which an appeal lies to the Supreme Court, and a purchaser of one or more of the lots, who has complied with the terms of the sale, has such an interest in the confirmation of the sale of the lots bid off by himself at the sale as to entitle him to appeal from the order vacating the sale.(i)

Upon such an appeal, it is undoubtedly the duty of the court of review to see that the surrogate has not transcended the power vested in him by statute. If it should appear that he has arbitrarily set aside a sale, without any evidence that the amount bid was disproportionate to the value of the property, or had confirmed a sale when the evidence that the amount specified in the statute(j) might be obtained upon a resale was clear and uncontradicted, it would be the duty of the appellate court to reverse the order. On the other hand, where the decision depends upon the weight of evidence, and the importance to be attached to any particular circumstance in the case, it is considered that it was the intention of the legislature that the opinion of the surrogate should be conclusive.(k)

The above quoted provision of the statute relative to appeals from orders of surrogates directing the issuing of executions upon judgments against executors or administrators, applies only to cases of judgments obtained against executors or administrators after a trial at law upon the merits. Accordingly, where judgment was obtained against an administrator by default for not pleading, and the surrogate ordered payment to the amount of assets in the administrator's hands, namely, \$3,024, or that execution issue therefor, it was held that the administrator might appeal from the order by giving a bond in the penalty of \$100, conditioned to prosecute his appeal to effect, and pay costs as provided by the above section of the statute numbered 26 [sec. 108].(l)

(g) 2 R. S. 611; 3 R. S. (5th ed.) 907. (h) *Higbee agst. Westlake*, 14 N. Y. (4 Ker.) R. 281.

(i) See *Delaplaine v. Lawrence*, 10 Paige, 602.

(j) 2 R. S. 105; 3 R. S. (5th ed.) 191; *Ante*, p. 611.

(k) See *Delaplaine v. Lawrence*, 3 Comstock, 301, 303.

(l) *Davies v. Skidmore*, 5 Hill, 501.



OF APPEALS FROM THE DECREES OF SURROGATES CONFIRMING OR VACATING ANY ADMEASUREMENT OF DOWER.

Sec. 19. Where the commissioners have been appointed by a county court, or by a surrogate, the widow, and any heir or owner of lands affected by the proceeding, or the guardian of such heir or owner, may, within thirty days after the order of confirmation of the report of the commissioners by such court or surrogate, appeal from such order, to the Supreme Court, in the manner hereinafter directed.

Sec. 20. Such appeal shall be filed with the surrogate or with the clerk of the court granting such order; but shall not be effectual or valid for any purpose, until a bond to the adverse party shall be executed by the appellant, and filed with such surrogate or clerk, with security to be approved by the surrogate or a judge of the court by which such order was made, and to be evidenced by an indorsement on such bond, in the penal sum of one hundred dollars, conditioned for the diligent prosecution of such appeal, and for the payment of all costs that may be adjudged by the Supreme Court against such appellant; and no other notice or proceeding shall be necessary to perfect such appeal.(m)

Sec. 21. It shall be the duty of the surrogate, or the clerk of the court with whom such appeal and bond shall be filed, on receiving the amount of his fees for the service, to transcribe the petition, affidavits, notices, orders, reports, and all other proceedings on the said application, together with the said appeal, to certify them under his official seal, and to transmit the said copies to the Supreme Court.(n)

Sec. 22. The Supreme Court shall proceed to hear and determine the said appeal, and to review all the proceedings upon the said application, and shall do therein what shall be just.

Sec. 23. In case of the reversal of the order of confirmation, the court shall cause the same to be certified to the surrogate or county court making such order, to the end that new commissioners may be appointed, or a new admeasurement may be had, as the Supreme Court shall direct; or the said Supreme Court may proceed to appoint commissioners to make admeasurement, in the same manner as upon an original application to such court, and the like proceedings shall be had thereon.(o)

Sec. 24. In case of the affirmance of the order of confirmation of any surrogate or county court, the Supreme Court may also, in its discretion, award costs to be paid by the appellant, and to be taxed as the said court shall direct; and the original order of confirmation, and the admeasurement confirmed thereby, shall be binding and conclusive, and authorize an action of ejectment, as hereinbefore specified.

Sec. 25. All costs and expenses arising on any proceedings on such application, shall be taxed by the surrogate or a judge of the court in which such proceedings shall be had; and when such application is made in the Supreme Court, and also when made to a surrogate or county court, and no appeal shall be made from the order of confirma-

(m) 2 R. S. 491; 3 R. S. (5th ed.) 793.

(o) 2 R. S. 492; 3 R. S. (5th ed.) 794.

(n) 2 R. S. 491; 3 R. S. (5th ed.) 794.

tion, the said costs and expenses shall be paid equally, the one half thereof by the widow, and the other half by the adverse party.

Sec. 26. When an appeal is entered, then such costs shall be paid by the party applying for such admeasurement; and if the admeasurement be affirmed on such appeal, the Supreme Court, in awarding costs, shall require the party appealing to pay the one half of such costs and expenses before the surrogate or court, if he have not before paid the same.

Sec. 27. The hearing of an appeal by the Supreme Court shall be brought on by notice, and shall be conducted as other special motions; and notices of the hearing, and all other necessary notices in the said court, may be served on any party not residing within this state, by leaving the same with the surrogate or the clerk of the court from whose order the appeal is made, for the use of such party. And the Supreme Court may, by rule, direct further returns from any surrogate or county court, whenever the same shall be necessary, and may establish such rules to regulate the practice on such appeals, as the said court may deem expedient.(p)

Sec. 36. [Sec. 118.] Appeals to the Supreme Court, from the orders of surrogates and the decision of the county courts, confirming or vacating any admeasurement of dower, shall be made within thirty days after such order or decision made, upon giving a bond as required by law.(q)

(p) 2 R. S. 492; 3 R. S. (5th ed.) 794.

(q) 2 R. S. 611; 3 R. S. (5th ed.) 907.

# APPENDIX OF FORMS.

No. 1. Page 152.(a)

## PETITION TO PROVE WILL.

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of Philip Thompson, of the city of New York, respectfully showeth

That James Thompson, late of the city of New York, merchant, departed this life in the said city, on the tenth day of April, in the year 1860, having previously, as your petitioner is informed and believes, duly made and executed his last will and testament. That your petitioner is one of the executors named in the said will. That the said deceased was a citizen of the United States. That he was, at or immediately previous to his death, an inhabitant of the county of New York, and that his said last will and testament relates to both real and personal estate.

Your petitioner further shows that the heirs and next of kin of the said James Thompson, deceased, are William Thompson, Samuel Thompson, and your petitioner, all residing in the city of New York, Henrietta Wilson, wife of Alexander Wilson, residing in the city of Albany, in the state of New York, and Mary Jackson, wife of William Jackson, residing in the city of Detroit, in the state of Michigan, all of full age, and Charles Thompson and Henry Thompson, residing in the said city of New York, both minors, having no general guardian, his only surviving children, and Mary Jones and James Jones, residing in the city of New York, both minors, and of whose persons and estates Edward Jones, residing in the city of New York, is the general guardian, the only children of Sarah Jones, deceased, who was a daughter of the said James Thompson, deceased.

That Cornelia Thompson, residing in the city of New York, of full age, is the widow of the said James Thompson, deceased.

Your petitioner further shows that he is informed and believes that the surrogate of the county of New York has jurisdiction to take the proof of the said last will and testament, and over the executors thereof, and the power of granting letters testamentary thereof, with all powers incidental thereto; and that he is desirous that such proof should be taken and such letters granted, and that such further or other proceedings in the premises should be had as may be legal and proper.

Your petitioner therefore prays that a citation may issue out of and under the seal of this court, to be directed to the proper persons, pursuant to the statute in such case made and provided, requiring them, and each of them, at such time and place as shall be in the said citation mentioned, to appear and attend the probate of the said last will and testament, and that such further or other proceedings in the premises should be duly had as may be requisite to the proving and recording of the said last will and testament, and the granting probate and letters testamentary thereof. And your petitioner will every, &c.

Dated this twentieth day of April, 1860.(b)

PHILIP THOMPSON.

(a) These pages refer to the body of the work.

(b) The following is a short form of this petition in use in the court of the surrogate of the county of N. Y.:

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of Charles Smith, of the city of New York, respectfully showeth that your petitioner is sole executor named in the last will and testament of William Smith, late of the city of New York, carpenter, de-

## JURAT. (See page 152.)

*State of New York, } ss:  
County of New York, }*

On this twentieth day of April, in the year one thousand eight hundred and sixty, personally appeared before me Philip Thompson, the petitioner named in the foregoing petition, who, being by me duly sworn, did depose and say that he had read the foregoing petition by him subscribed, and knew the contents thereof, and that the same was true of his own knowledge, excepting as to the matters therein stated on his information and belief, and as to those matters he believes it to be true.

EDWARD C. WEST, *Surrogate.*

## No. 2. Page 152.

## CONSENT TO BE APPOINTED AND TO SERVE AS SPECIAL GUARDIAN.

*Surrogate's Court—County of New York.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
THOMPSON, DECEASED.

I, GABRIEL VAN COTT, of the city of New York, attorney at law, do hereby consent to be appointed by the surrogate of the county of New York the special guardian for Charles Thompson and Henry Thompson, minors, two of the heirs and next of kin of James Thompson, deceased, for the sole purpose of taking care of the interests of the said minors in the matter of proving the last will and testament of the said deceased, and I consent to serve as such special guardian.

GABRIEL VAN COTT.

Dated this twentieth day of April, 1860.

## No. 3. Page 152.

## ORDER APPOINTING A SPECIAL GUARDIAN.

At a Surrogate's Court held, in and for the county of New York, at the surrogate's office in the city of New York, on the twentieth day of April, in the year one thousand eight hundred and sixty.

Present—EDWARD C. WEST, *Surrogate.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
THOMPSON, DECEASED.

It appearing from the petition of Philip Thompson, propounding the last will and testament of James Thompson, late of the city of New York, deceased, for probate, that Charles Thompson and Henry Thompson, two of the heirs and next of kin of the said deceased, are minors, having no general guardian; and on reading and filing the consent of Gabriel Van Cott, of the city of New York, attorney at law, to be appointed and to serve as the special guardian for the said minors, for the sole purpose of taking care of their interests in this matter: It is ordered that the said Gabriel Van Cott be, and he hereby is, appointed the special guardian for the said Charles Thompson and Henry Thompson, to take care of their interests in this matter.

ceased; That the said deceased was, at or immediately previous to his death, an inhabitant of the county of New York, and departed this life in the city of New York on the third day of January last past, and that his said last will and testament relates to both real and personal estate.

Your petitioner further shows that the heirs and next of kin of the said William Smith, deceased, are Henry Smith and your petitioner, his brothers, and Sarah Smith, his sister, all of full age, and all residing in the city of New York.

That the said deceased left no widow him surviving.

Your petitioner therefore prays that said last will and testament may be proved, and letters testamentary granted thereon according to law.

CHARLES SMITH.

*City and County of New York, ss:* I, Charles Smith, the petitioner named in the foregoing petition, being duly sworn, do depose and say that I have read the foregoing petition, to which I have subscribed my name, and know the contents thereof, and that the matters of fact therein stated are true, and that the matters therein stated of my information and belief I believe to be true.

CHARLES SMITH.

Sworn this third day of February, {

1860, before me, {

EDWARD C. WEST, *Surrogate.*

## No. 4. Page 153.

## ORDER FOR ISSUING CITATION.

At, &amp;c. (See No. 3.)

Title. (See No. 3.)

On reading and filing the petition of Philip Thompson, propounding the last will and testament of James Thompson, late of the city of New York, deceased, for probate: It is ordered that a citation issue to the proper persons, pursuant to the prayer of the said petition, requiring them to appear in this court on the third day of June next, at ten o'clock in the forenoon of that day, and attend the probate of the said will.

## No. 5. Page 153.

## CITATION TO PROVE WILL.

*The People of the State of New York,*

To Cornelia Thompson, William Thompson, Samuel Thompson, Edward Jones, the general guardian of the persons and estates of Mary Jones and Philip Jones, minors, and Gabriel Van Cott, the special guardian for Charles Thompson and Henry Thompson, minors, severally residing in the city of New York, Alexander Wilson and Henrietta Wilson his wife, residing in the city of Albany, in the state of New York, and William Jackson and Mary Jackson his wife, residing in the city of Detroit, in the state of Michigan, send greeting:

Whereas, Philip Thompson, of the city of New York, has lately applied to our surrogate of the county of New York for the proof of the will of James Thompson, late of the city of New York, merchant, deceased, which said will relates to both real and personal estate: Therefore you and each of you are cited and required to appear at the office of the said surrogate, in the city of New York, on the third day of June next, at ten o'clock in the forenoon of that day, and attend the probate of the said will.

In testimony whereof we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, surrogate of the county of New York, at the [SEAL] surrogate's office in the city of New York, this twentieth day of April, in the year one thousand eight hundred and sixty. EDWARD C. WEST, Surrogate.(c)

## No. 6. Page 154.

## PROOFS OF SERVICE OF CITATION.

*City and County of New York*, ss: John Smith, of the city of New York, clerk, being duly sworn, doth depose and say that he served the foregoing citation on the seventeenth day of May, instant, on Cornelia Thompson, Edward Jones, the general guardian, and Gabriel Van Cott, the special guardian therein named, by delivering a copy thereof to each of them personally, and on the twenty-first day of May, instant, on William Thompson, therein named, by leaving a copy thereof for him, at his residence, with a female of suitable age and discretion belonging to his family, who stated that he was absent, but that she expected him at home in a short time, and would deliver the said copy to him. JOHN SMITH.

Sworn this thirtieth day of May, {  
in the year 1860, before me, }

THOMAS WARD, *Commissioner of Deeds.*

*County of Albany,* { ss:  
*City of Albany.* }

James Brown, of the city of Albany, clerk, being duly sworn, doth depose and say that, on the fifteenth day of May, instant, he served the foregoing citation on Henrietta Wilson,

(c) The following is the form of the citation commonly in use:

*The People of the State of New York, by the grace of God free and independent:*

To Henry Smith and Sarah Smith, residing in the city of New York, heirs and next of kin of William Smith, deceased, send greeting:

Whereas, Charles Smith, of the city of New York, has lately applied to our surrogate of the county of New York to have a certain instrument in writing, bearing date the twenty-eighth day of December, in the year 1860, relating to both real and personal estate, duly proved as the last will and testament of William Smith, late of the city of New York, deceased; Therefore you and each of you are cited and required personally to be and appear before our said surrogate, at his office in the city of New York, on the seventh day of December next, at ten o'clock in the forenoon of that day, then and there to attend to the probate of the said last will and testament.

In testimony whereof the surrogate of our said county has hereunto affixed his seal of office, the twentieth day of October, in the year of our Lord one thousand eight hundred and sixty, and of our independence the eighty-fifth. EDWARD C. WEST, Surrogate.



therein named, by leaving a copy thereof for her, at her residence, with a person of suitable age and discretion, who stated that she was engaged, and could not see this deponent, and that he would hand the said copy to her immediately. JAMES BROWN.

Sworn this twenty-ninth day of May, }  
in the year 1860, before me, }  
EDWARD SIMPSON, *Commissioner of Deeds.*

#### ADMISSION OF SERVICE OF CITATION. (See page 156.)

(ON THE BACK OF THE CITATION.)

I, Alexander Wilson, named in the foregoing citation, do hereby admit due and personal service of the said citation. ALEXANDER WILSON.(d)

Dated this twenty-seventh day of May, 1860.

County of Albany, } ss:  
City of Albany. }

Henry Day, of the city of Albany, being duly sworn, doth depose and say that on the twenty-seventh day of May, instant, he saw Alexander Wilson, named in the foregoing citation, to him personally known, sign the foregoing admission of service of the said citation. Sworn this thirtieth day of May, } HENRY DAY.

1860, before me, }

CALEB HILTON, *Commissioner of Deeds.*

#### PROOF OF PUBLICATION OF CITATION.

State of New York, } ss:  
City and County of Albany. }

George W. Quackenbush, of the city of Albany, being duly sworn, doth depose and say that he is the foreman in the office of the Atlas and Argus, the paper published by the printer to the state, and that the citation of which the annexed is a printed copy, has been regularly published in the said Albany Evening Journal once in each week for six weeks successively, commencing on the twenty-second day of April last past. GEO. W. QUACKENBUSH.

Sworn this twenty-ninth day of }  
May, 1860, before me, }

DAVID A. MANNING, *Commissioner of Deeds.*

#### No. 7. Page 156.

#### FORM OF ENTRY OF APPEARANCES AND ORDER OF ADJOURNMENT, AND FOR THE ISSUING OF A FURTHER CITATION WHERE THERE HAS BEEN AN OMISSION TO SERVE ANY OF THE PARTIES WITH THE FIRST CITATION.

At a Surrogate's Court held, in and for the county of New York, at the surrogate's office in the city of New York, on the third day of June, [*the return day of the first citation,*] in the year one thousand eight hundred and sixty.

Present—EDWARD C. WEST, *Surrogate.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
THOMPSON, DECEASED.

This matter having come on upon the return of the citation heretofore issued therein to the proper persons, requiring them to appear in this court on this day, and attend the probate of the last will and testament of James Thompson, late of the city of New York, deceased, and Philip Thompson, one of the executors named in the said will, and the petitioner herein having appeared in person, and by Charles Conner, his counsel, in support of the proof of the said will, and Cornelia Thompson, the widow of the said deceased, having appeared by George Lord, her counsel, to contest the said proof, and no other person or party having appeared in the said matter, and the said citation not having been served on Samuel Thomp-

(d) If the admission of service be not indorsed on the original citation, the citation should of course be sufficiently described in the admission. It is understood that in the court of the surrogate of the county of New York, at present, on proving a will of real estate, proof, by affidavit, of the service of the citation on all the heirs is absolutely required, and admissions or appearances of the parties are not allowed in the place of the same.

son, residing in the city of New York, therein named; On motion of the said counsel for the petitioner, it is ordered that this matter stand adjourned to the seventeenth day of June, instant, at ten o'clock in the forenoon of that day, and that a further citation issue therein, to be directed to the said Samuel Thompson, requiring him to appear in this court, on the said seventeenth day of June, instant, at ten o'clock in the forenoon, and attend the probate of the said will.

[The further citation, and proof of service thereof, will be similar in form to Nos. 5 and 6, ante.]

**No. 8. Page 157.**

**PETITION TO PROVE A WILL IN A CASE NOT REQUIRING A CITATION TO BE ISSUED.**

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of Mary Jackson and William Jackson, both of the city of New York, respectfully showeth

That James Jackson, late of the city of New York, carpenter, departed this life in the said city on the twenty-first day of April, instant, having previously duly made and executed, as your petitioners are informed and believe, his last will and testament; and that your petitioner, Mary Jackson, is the sole executrix named in the said last will and testament.

That the said deceased was, at or immediately previous to his death, an inhabitant of the county of New York, and that his said last will and testament relates exclusively to personal estate.

Your petitioners further show that your petitioner, Mary Jackson, residing in the city of New York, is the widow of the said deceased, and that Jane Jackson, and your petitioner William Jackson, both residing in the said city, are his only children, and only next of kin. That your said petitioner, William Jackson, is of full age, and that the said Jane Jackson is a minor, having no general guardian.(e)

Your petitioners further show that they are informed and believe that the surrogate of the county of New York has jurisdiction to take the proof of the said last will and testament, and over the executors thereof, and the power of granting letters testamentary thereof, with all powers incidental thereto, and that they are desirous that such proof should be taken, and such letters granted, and that such further or other proceedings in the premises should be had as may be legal and proper. And forasmuch as your petitioner, Mary Jackson, is the widow, and your petitioner, William Jackson, and the said Jane Jackson, are the only next of kin of the said James Jackson, deceased, your petitioners pray that your honor will be pleased forthwith to appoint a special guardian for the said Jane Jackson, to take care of her interest in the premises; and that, upon the due appearance of such special guardian to take care of such interest, your honor will be pleased to proceed immediately to the necessary examinations for proving the said last will and testament, and that such further or other proceedings in the premises should be duly had as may be requisite to the proving and recording of the said last will and testament, and the granting probate and letters testamentary thereof.

MARY JACKSON.

WILLIAM JACKSON.

And your petitioners will ever pray, &c.

Dated this twenty-fourth day of April, 1860.

[The jurat to this petition will be similar to that in No. 1.]

[The consent to serve as special guardian, and the order of appointment, will be like those at Nos. 2 and 3.]

**WAIVER AND CONSENT OF SPECIAL GUARDIAN. (See page 157.)**

*Surrogate's Court—County of New York.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
JACKSON, DECEASED.

1, Henry De Witt, of the city of New York, attorney at law, the special guardian for Jane Jackson, one of the next of kin of James Jackson, late of the city of New York, deceased,

(e) It should be stated that, in the county of New York, the surrogate will not allow proof of a will in this form, where there are minor heirs or next of kin.

duly appointed by the surrogate of the county of New York to take care of her interests in the matter of proving the last will and testament of the said deceased, do hereby waive the issuing and service upon me of a citation to attend the probate of the said last will and testament, and consent to the immediate examination of witnesses in this matter.(f)

Dated this 24th April, 1860.

HENRY DE WITT.

#### ORDER FOR IMMEDIATE PROOF. (See page 157.)

At, &c. (See No. 3.)

Title. (See No. 3.)

On reading and filing the petition of Mary Jackson and William Jackson, propounding the last will and testament of James Jackson, late of the city of New York, deceased, for probate, whereby it appears that the said Mary Jackson is the widow, and that Jane Jackson, residing in the city of New York, a minor, having no general guardian, and the said William Jackson, are the only next of kin of the said deceased, and on reading and filing the stipulation, in writing, of Henry De Witt, Esquire, the special guardian duly appointed to take care of the interests of the said minor in the premises, waiving the issuing and service upon him of a citation to attend the probate of the said last will and testament, and consenting to the immediate examination of witnesses in this matter: It is ordered, pursuant to the prayer of the said petition, that the examination of the witnesses in this matter be immediately proceeded with.

#### No. 9. Page 158.

#### MINUTE OF ISSUING SUBPŒNA.(g)

At, &c. (See No. 3.)

Title (See No. 3.)

Philip Thompson, the petitioner herein, having applied for a subpoena to Clinton Marshall and James Clarke, as witnesses in this matter, it is ordered that a subpoena issue accordingly, returnable the seventeenth day of June, instant, at ten o'clock in the forenoon.

#### SUBPŒNA TO WITNESSES.

County of New York, ss:

*The People of the State of New York, to Clinton Marshall and James Clark, greeting:*

We command you that, all business and excuses being laid aside, you and each [SEAL] of you personally appear and attend before our surrogate of the county of New York, at his office in the city of New York, on the seventeenth day of June, instant, at ten o'clock in the forenoon of that day, to testify and give evidence in the matter of proving the last will and testament of James Thompson, late of the city of New York, deceased, now pending before our said surrogate; And for a failure to attend, you will be deemed guilty of a contempt of court, and be responsible to the aggrieved party for the loss and hindrance sustained by such failure, and for all other damages sustained thereby, and will forfeit, to such aggrieved party, fifty dollars in addition to such damages.

Witness, Edward C. West, surrogate of our said county of New York, at the city of New York this twelfth day of June, in the year one thousand eight hundred and sixty, and of our independence the eighty-fifth.

EDWARD C. WEST, Surrogate.

CHARLES CONNER, Proctor.

#### ORDER THAT ATTACHMENT ISSUE AGAINST A WITNESS FOR DISOBEDIENCE TO A SUBPŒNA.

At, &c. (See No. 3.)

Title. (See No. 3.)

The subpoena heretofore issued in this matter to Clinton Marshall and James Clark, requiring them and each of them personally to appear and attend in this court on this day, at ten o'clock in the forenoon, to testify and give evidence in this matter, having been duly and personally served on the said Clinton Marshall, and his legal fees as a witness having been paid to him, as appears by the affidavit of James Gray, duly filed herein, proving such service and payment by him; and the said Clinton Marshall having failed to appear accord-

(f) See note (e), No. 8.

(g) See 2 R. S. 222; 3 R. S. (5th ed.) 264, sec. 13 [sec. 7], subdv. 4; Acts, ch. 1, p. 81.

ing to the exigency of the said subpoena, on motion of Mr. Charles Conner, of counsel for the petitioner herein, it is ordered that a warrant issue to the sheriff of the city and county of New York, to attach the said Clinton Marshall, and to bring him forthwith personally before this court, to answer for his contempt in not obeying the said subpoena, and to detain him in his custody until he shall be duly discharged.(h)

ATTACHMENT FOR DISOBEDIENCE TO A SUBPOENA.

*The People of the State of New York, to the Sheriff of the city and county of New York, greeting:*

We command you to attach Clinton Marshall, if he shall be found in your baili-  
[SEAL] wick, and bring him forthwith personally before our surrogate of the county of New York, to answer unto us for certain trespasses and contempts against us, in not obeying our writ of subpoena, issued in due form of law by our said surrogate, to him directed, and on him duly and personally served, and his legal fees as a witness paid him, commanding him personally to appear and attend before our said surrogate, at his office in the city of New York, on the seventeenth day of June instant, at ten o'clock in the forenoon, to testify and give evidence in the matter of proving the last will and testament of James Thompson, late of the city of New York, deceased, now pending before our said surrogate; and you are further commanded to detain him in your custody until he shall be discharged by our said surrogate. And have with you this writ.

Witness, Edward C. West, surrogate of our county of New York, at the surrogate's office in the city of New York, this seventeenth day of June, in the year one thousand eight hundred and sixty.

EDWARD C. WEST, *Surrogate.*

CHARLES CONNER, *Proctor.*

No. 10. Page 168.

REQUEST FOR THE EXAMINATION OF WITNESSES. (Pages 155-6.)

*Title. (See No. 3.)*

The subscriber, the widow of James Thompson, late of the city of New York, deceased, contesting the proof of the will of the said James Thompson, deceased, now pending before the surrogate of the county of New York, hereby makes her request in writing to the said surrogate, that all the witnesses to the said will be examined, and that Thomas Porter and John Corning, residing in the city of Albany, merchants, also be examined as witnesses on the part of the subscriber, in opposition to the proof aforesaid.

Dated this seventeenth day of June, 1860.

CORNELIA THOMPSON.

GEORGE LORD, *of Counsel for Cornelia Thompson.*

To EDWARD C. WEST, Esq., *Surrogate of the county of New York.*

[The affidavit to obtain the order for the examination in another county, of a sick or disabled witness residing in such county, should set forth his residence, the necessity for his examination according to the advice of counsel, and the improbability of procuring his attendance before the original surrogate.]

ORDER FOR THE EXAMINATION OF SICK OR DISABLED WITNESSES RESIDING IN ANOTHER COUNTY OF THIS STATE. (See pages 155-6.)

*At, &c. (See No. 3.)*

*Title. (See No. 3.)*

This matter having come on to be heard on the return of the citations duly issued to the proper persons, requiring them to appear in this court and attend the probate of the last will and testament of James Thompson, late of the city of New York, deceased, and Philip Thompson, one of the executors named in the said last will and testament, having appeared in person, and by Charles Conner, his proctor and counsel, in support of the proof thereof, and Cornelia Thompson, the widow of the said deceased, and having the right to contest the said proof, having appeared by George Lord, her proctor and counsel, to contest the same, and Gabriel Van Cott, the special guardian for Charles Thompson and Henry Thompson, minors, interested in the said matter, having also appeared, and no other party or person hav-

(h) "In all orders," says Mr. Kirtland, in his *Treatise*, "whereon a warrant or attachment is to be issued, the grounds upon which the order is made, and the attachment or warrant issued, ought to be inserted in them respectively; and the practice of the Court of Chancery and courts of general powers will not be justified in a surrogate, who acts by special and limited powers." *Kirtland's Surr.* 86.

ing appeared in the said matter, and the said matter having been heard and duly adjourned from day to day, until this day, and all the witnesses therein, other than those hereinafter mentioned, having been examined, and the said Cornelia Thompson having duly filed her request in writing that all the witnesses to the said will be examined, and that Thomas Porter and John Corning, residing in the city of Albany, merchants, also be examined as witnesses on her part, in opposition to the proof aforesaid; and it having been satisfactorily proved that James Clark, one of the subscribing witnesses to the said last will and testament, and the said Thomas Porter and John Corning reside in the city of Albany, and that they are, and each of them is infirm, and that it is not probable that their, or either of their attendance in this court can be procured within a reasonable time, and that the testimony of the said Thomas Porter and John Corning is material in this matter: On motion of Mr. George Lord, of counsel for the said Cornelia Thompson, it is ordered and directed that the said James Clark, Thomas Porter and John Corning be examined before \_\_\_\_\_, Esquire, surrogate of the county of Albany, and that a certified copy of this order under the seal of this court, together with the said will, be delivered to the said surrogate of the county of Albany, on or before Monday, the first day of July next. And it is further ordered that this matter stand adjourned to the \_\_\_\_\_ day of August next, at ten o'clock in the forenoon.

I, Edward C. West, surrogate of the county of New York, do hereby certify that the foregoing is a true copy of an order made by me in the matter of proving the last will and testament of James Thompson, late of the city of New York, deceased, now pending before me. In testimony whereof, pursuant to the statute in such case made and provided, I have hereunto affixed my seal of office, at the surrogate's office in the city of New York,  
[SEAL] this nineteenth day of June, in the year one thousand eight hundred and sixty.  
EDWARD C. WEST.

#### NOTICE OF EXAMINATION OF WITNESSES. (See page 168.)

*Surrogate's Court—County of New York.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
THOMPSON, DECEASED.

You will please take notice that James Clark, one of the subscribing witnesses to the last will and testament of James Thompson, late of the city of New York, deceased, and Thomas Porter and John Corning, will be examined as witnesses in this matter, before \_\_\_\_\_, Esquire, surrogate of the county of Albany, at the office of the said surrogate in the city of Albany, on the sixteenth day of July, instant, at ten o'clock in the forenoon of that day.

GEORGE LORD, *Proctor for Cornelia Thompson,*

Dated, this first day of July, 1860.

*contesting the proof of the said will.*

To PHILIP THOMPSON, Esq., *Special Guardian, &c.*

#### No. 11. Page 169.

#### AFFIDAVIT FOR COMMISSION.

*Title. (See No. 3.)*

*City and County of New York, ss:* Philip Thompson, of the city of New York, being duly sworn, doth depose and say that he is one of the executors named in the last will and testament of James Thompson, late of the city of New York, deceased, and the petitioner herein supporting the proof of the said will. That he has disclosed to Charles Conner, Esquire, his counsel in this matter, the facts which he expects to prove in the said matter by Nelson Brown, of the city of Mobile, in the state of Alabama, and that the said Nelson Brown is a material witness in support of the proof of the said will, as he is advised by his said counsel, and verily believes. That the said Nelson Brown does not reside within the state, but resides in the city of Mobile, in the state of Alabama, aforesaid. PHILIP THOMPSON.

Sworn this seventeenth day of

June, 1860, before me,

JAMES COWDREY, *Commissioner of Deeds.*

#### NOTICE OF APPLICATION FOR A COMMISSION.

*Title. (See No. 2.)*

Please to take notice that on the affidavit, of which the annexed is a copy, an application will be made to the surrogate of the county of New York, at his office in the city of New



# FORMS ON PROVING WILLS.

71

York, on the thirtieth day of June instant, at ten o'clock in the forenoon, order that a commission issue in this matter, to be directed to Jacob Barnard, lawyer, John Harris, merchant, and Henry Lawrence, gentleman, all residing in the state of Alabama, authorizing them, or any two of them, to examine Nelson Brown, residing in the said city of Mobile, as a witness in support of the last will of James Thompson, deceased, now pending before the said surrogate, to be annexed to the said commission, in which any party to this matter may join.

Dated this nineteenth day of June, 1860.

Yours, &c.,

CHARLES CONNER, *Proctor for Executor*.

To CORNELIA THOMPSON.

GABRIEL VAN COTT, Esq., *Guardian ad litem*.

## ORDER FOR COMMISSION.

At, &c. (See No. 3.)

Title. (See No. 3.)

On reading and filing the affidavit of Philip Thompson, the executor of the said last will and testament, and the petitioner herein, together with notice of motion for a commission and proof of due service on the other parties to this matter, and no one appearing to oppose, and on motion of Charles Conner, of counsel for the said executor, it is ordered that a commission issue out of and under the seal of this court, to be directed to Jacob Barnard, counsellor at law, John Harris, merchant, and Henry Lawrence, gentleman, all residing in the city of Mobile, in the state of Alabama, authorizing them, or any two of them, to examine on oath, upon interrogatories to be annexed to the said commission, Nelson Brown, residing in the said city of Mobile, as a witness in support of the proof of the said last will and testament, and that any of the parties to this matter have leave to join in the said commission, and that the same may be returned by mail, addressed to the surrogate of the county of New York.

No. 12. Page 169.

## COMMISSION TO EXAMINE FOREIGN WITNESSES.

The People of the State of New York to Jacob Barnard, counsellor at law, John Harris, merchant, and Henry Lawrence, gentleman, all residing in the city of Mobile, in the State of Alabama, send greeting:

Know ye that we, with full faith in your prudence and competency, have appointed you commissioners, and by these presents do authorize you, or any two of you, to examine Nelson Brown, residing in the said city of Mobile, as a witness in the matter of proving the last will and testament of James Thompson, late of the city of New York, deceased, now pending before our surrogate of the county of New York, in support of the proof of the said will, on oath, upon the interrogatories annexed to this commission, to take and certify the depositions of the said witness, and return the same according to the directions hereunto annexed.

Witness, Edward C. West, surrogate of our county of New York, at the surrogate's office in the city of New York, this thirteenth day of June, in the year one thousand eight hundred and sixty.

EDWARD C. WEST

CHARLES CONNER, *Proctor for Executor*.

[The proper directions for the execution of the commission should accompany the same, in accordance with the provisions of the Revised Statutes. 2 R. S. 393-4; 3 R. S. (5th ed.) 695-6.]

No. 13. Page 169.

## FORM OF INTERROGATORIES TO BE ANNEXED TO A COMMISSION FOR THE EXAMINATION OF A SUBSCRIBING WITNESS.

Interrogatories to be administered to W. P. J. and A. N. Z., witnesses to be produced, sworn and examined before R. H. G., commissioner, in the city of Washington, in the District of Columbia, in the matter of proving the last will and testament of H. B., late of the city of New York, single woman, deceased, now pending before the surrogate of the county of New York, under and in pursuance of the commission hereto annexed:

*First Interrogatory.*—What is your name, age and occupation, business or profession; and where do you reside?

*Second Interrogatory.*—Were you acquainted with James Thompson, late of the city of New York, deceased? State how long and how intimately you were acquainted with him. Where and when did he die?

*Third Interrogatory.*—Look at the instrument in writing hereunto annexed, bearing date the eighth day of January, in the year 1860, purporting to be the last will and testament of the said James Thompson, and say whether or not you were present at the time of the execution of the same? If so, where? State particularly what took place at the execution of the said instrument? Who was present? What was done and said, and by whom?

*Fourth Interrogatory.*—Was anything said in regard to the witnessing the execution of the said instrument, and by whom, and who were present?

*Fifth Interrogatory.*—What was done with regard to witnessing the execution of the said instrument, and in whose presence?

*Sixth Interrogatory.*—What was the condition of H. B. as regards the soundness or unsoundness of her mind at the time the instrument was executed?

*Seventh Interrogatory.*—What was H. B.'s age?

*Eighth Interrogatory.*—Do you know of any other matter or thing relating to the execution of the said instrument, and the condition of the mind of H. B. at the time of its execution? Answer fully and particularly.

The following interrogatories have been annexed to commissions to prove wills, issued out of the Surrogate's Court. They are objectionable as leading, and would not probably be allowed in a litigated case:

PROCEEDING FROM THE SECOND INTERROGATORY AS ABOVE.

*Third Interrogatory.*—Look at the instrument in writing hereunto annexed, bearing date the eighth day of January in the year 1860, purporting to be the last will and testament of the said James Thompson, and say whether or not you were present as a witness at the time of the execution of the same? Did you see the said James Thompson subscribe his name to the said instrument, or did he make such subscription in your presence?

*Fourth Interrogatory.*—If, in answer to the last preceding interrogatory, you shall state that you did not see the said James Thompson subscribe his name to the said instrument, or that he did not make such subscription in your presence, did he, at any time, and when, acknowledge to you that he had subscribed the said instrument? State, if you recollect, in what words he expressed to you such acknowledgment?

*Fifth Interrogatory.*—Did the said James Thompson, at the time of such subscription, or of such acknowledgment aforesaid, declare that the said instrument so subscribed by him was his last will and testament? Answer fully and particularly. State, to the best of your recollection, the language which he used in making such declaration, or in what manner he declared the said instrument to be his last will and testament, and whether you are certain, and how you became so, that he knew that the said instrument was his will.

*Sixth Interrogatory.*—Did the said James Thompson, at the time of such subscription, or acknowledgment and declaration aforesaid, request you to become a subscribing witness to the said instrument? Answer fully and particularly. State, to the best of your recollection, the language which he used in making such request, or in what manner he made the same. Did you immediately thereupon sign your name to the said instrument?

*Seventh Interrogatory.*—Was the person whose name is signed, together with your own, as a subscribing witness to the said instrument, present at the time of such subscription, or acknowledgment and declaration aforesaid? Did you see him sign the said instrument as a witness? Did the said James Thompson request him so to sign the said instrument, and did he so sign the same in his presence? State the name of the said person, whether he is now living, and where he resides.

*Eighth Interrogatory.*—Was the said James Thompson, at the time he so executed the said instrument, of sound mind and memory, and in all respects competent to devise real estate; and was he, or did he appear to you to be under any restraint, and was he competent to transact ordinary business, and to dispose of his property and estate.

*Ninth Interrogatory.*—[Same as the eighth of the above series.]

SURROGATE'S ALLOWANCE OF INTERROGATORIES.

At, &c. (As in No. 3.)

Present, &c.

Title. (As in No. 3.)

Ordered that the within interrogatories be, and the same are hereby allowed and settled.

EDWARD C. WEST, Surrogate.

No. 14. Page 186.

THE DEPOSITIONS OF WITNESSES ARE TAKEN IN THE FOLLOWING FORM.

*Surrogate's Court—County of New York.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
THOMPSON, DECEASED.

*County of New York, ss:* Samuel Belknap, of the city of New York, a witness produced, sworn, and examined in this matter on the part of Philip Thompson, the executor named in the last will and testament of James Thompson, deceased, in support of the said will, being duly sworn and examined before Edward C. West, surrogate of the county of New York, doth depose and say:

*Question.* Were you acquainted with James Thompson, deceased? and if so, how intimately, and how long?

*Answer.* I was well acquainted with him for some six years previous to his death, &c., &c.

No. 15. Pages 185, 186.

FORMS OF DEPOSITION OF WITNESSES PROVING WILL.

PROOF OF CUSTODY. (See page 185.)(f)

*Title.* (See No. 2.)

*County of New York, ss:* Philip Thompson, of the city of New York, being duly sworn and examined before Edward C. West, surrogate of the county of New York, doth depose and say that he received the instrument in writing, bearing date the eighth day of January, in the year one thousand eight hundred and sixty, purporting to be the last will and testament of James Thompson, deceased, from the said James Thompson, immediately after he executed the same; that the said instrument remained in the custody of this deponent until he brought the same to the office of the surrogate of the county of New York, where he deposited the same for probate, and that, whilst the said instrument remained in the custody of this deponent, the same was in no respect altered or changed. PHILIP THOMPSON.

Sworn this seventeenth day of }

June, 1860, before me, }

EDWARD C. WEST, *Surrogate.*

ANOTHER FORM.

*Title.* (See No. 2.)

*County of New York, ss:* Edgar Slosson and Philip Thompson, both of the city of New York, being severally and duly sworn and examined before Edward C. West, surrogate of the county of New York, do depose and say as follows: and the said Edgar Slosson for himself doth depose and say that, at the request of James Thompson, now deceased, he drew and wrote the instrument in writing, now produced and shown to this deponent, bearing date the eighth day of January, in the year one thousand eight hundred and sixty, purporting to be the last will and testament of the said James Thompson, deceased, and attends to the execution of the same; that he received the said instrument from the said James Thompson immediately after the execution thereof, and that the same remained in the custody of this deponent until the twelfth day of April last past, when he handed the same to Philip Thompson, one of the executors therein named, to take charge thereof. And the said Philip Thompson for himself doth depose and say that he received the said instrument from the said Edgar Slosson on the twelfth day of April last past, as above stated; that the same remained in his custody until the twentieth day of April last past, when he brought the same to the office of the surrogate of the county of New York, where he deposited the same for probate. And the deponents further say that, whilst the said instrument remained in their respective custody, the same was in no respect altered or changed. EDGAR SLOSSON,

Sworn this seventeenth day of }

June, 1860, before me, }

EDWARD C. WEST, *Surrogate.*

PHILIP THOMPSON.(j)

(f) See S. L. 1837, p. 527, sec. 17; 3 R. S. (5th ed.) 149. See *Ante*, pp. 160-1.

(j) Proof of custody is taken only in rare cases, at present, in the court of the surrogate of the county of New York.

## DEPOSITIONS OF SUBSCRIBING WITNESSES.

*Surrogate's Court—County of New York.*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF JAMES  
THOMPSON, DECEASED, AS A WILL  
OF REAL AND PERSONAL ESTATE.

*Examination of Witnesses, sworn and examined in the above entitled matter.*

*County of New York, to wit:* Thomas Brown, of the city of New York, being duly sworn as a witness in the above entitled matter, and examined on behalf of the applicant to prove said will, says: I was well acquainted with James Thompson, now deceased. I knew the above named decedent for about six years before his death. The subscription of the name of said decedent to the instrument now shown to me and offered for probate as his last will and testament, and bearing date the tenth day of December, in the year one thousand eight hundred and sixty, was made by the decedent at the residence of the decedent in the city of New York, in the presence of myself, and of James Thorne, the other subscribing witness. At the time of his making such subscription, the said decedent declared the said instrument so subscribed by him to be his last will and testament; and I thereupon signed my name as a witness at the end of said instrument, at the request of said decedent, and in his presence.

The said decedent, at the time of so executing said instrument, was upwards of the age of twenty-one years, and of sound mind, memory and understanding, and not under any restraint, or in any respect incompetent to devise real estate. I also saw said James Thorne, the other attesting witness, sign his name as a witness at the end of said will, and know that he did so at the request of said decedent, and in his presence. **THOMAS BROWN.**

Sworn this fifteenth day of {

June, 1860, before me, {

EDWARD C. WEST, *Surrogate.*

[The other subscribing witnesses each make a similar deposition.]

## ANOTHER FORM.

*Title. (See No. 2.)*

*County of Kings, City of Brooklyn, ss:* Clinton Marshall, of the city of New York, being duly sworn and examined before Jesse C. Smith, surrogate of the county of Kings, doth depose and say that he was well acquainted with William Jones, now deceased; that he was present as a witness, and did see the said William Jones subscribe his name at the end of the instrument in writing, now produced and shown to this deponent, bearing date the eighth day of January, in the year one thousand eight hundred and sixty, purporting to be the last will and testament of the said William Jones, deceased. That the said William Jones, at the time of making the said subscription, declared the said instrument to be his last will and testament, and requested this deponent to sign his name as a witness thereto; thereupon this deponent accordingly signed his name as a witness at the end of the said instrument. This deponent further says that the said William Jones, at the time he so executed the said instrument, was a citizen of the United States, of full age, of sound mind and memory, in all respects competent to devise real estate, and not under restraint, and that this deponent saw James Clark, of the city of Albany, sign the said instrument at the end thereof as a witness, in the presence of the said William Jones, and at his request.

Sworn this seventeenth day of {

June, 1860, before me, {

JESSE C. SMITH, *Surrogate.***CLINTON MARSHALL.**

## No. 16. Page 165.

IN CASE OF THE DEATH OR NON-RESIDENCE OF EITHER OF THE SUBSCRIBING WITNESSES, THE PROOF OF HIS HANDWRITING IS AS FOLLOWS:—

*Title. (See No. 2.)*

*County of New York, ss:* George Jackson, of the city of New York, being duly sworn and examined before Edward C. West, surrogate of the county of New York, doth depose and say that he is well acquainted with De Witt Sampson, late of the city of New York, but now

of the city of Cincinnati, in the state of Ohio, and with his manner and style of handwriting, having often seen him write, and that he verily believes that the signature, "De Witt Sampson," signed as a witness to the instrument in writing, now produced and shown to this deponent, bearing date the eighth day of January, in the year one thousand eight hundred and sixty, purporting to be the last will and testament of James Thompson, deceased, is the true and genuine handwriting and signature of the said De Witt Sampson, and that the said De Witt Sampson resides in the city of Cincinnati aforesaid, and is not now within the jurisdiction of the state of New York.

GEORGE JACKSON.

Sworn this seventeenth day of }  
June, 1860, before me, }  
EDWARD C. WEST, *Surrogate*.

The following is a form of a deposition on proving a will, adapted to two or more attesting witnesses:—

*County of Saratoga, }*  
*Surrogate's Court. }*

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF J. R.,  
DECEASED.

*Saratoga County, ss:*

J. M. K. and I. D., both of the city of New York, being first duly sworn in open court, on their oaths do depose and say that they are subscribing witnesses to the last will and testament of J. R., late of the town of , in the county of Saratoga, aforesaid, deceased. And these deponents further say that the said deceased did, in the presence of these deponents, subscribe his name at the end of the instrument which is now shown to these deponents, and which purports to be the last will and testament of the said deceased, and which bears date on the day of , in the year one thousand eight hundred and sixty; that the said deceased did, at the time of subscribing his name to said instrument as aforesaid, declared the same to be his last will and testament; that these deponents did thereupon subscribe their own names at the end of said instrument as attesting witnesses to the execution thereof, at the request of the said deceased, and in his presence, and in the presence of each other. That the said deceased, at the time of subscribing his name to said instrument as aforesaid, was upwards of twenty-one years of age; that he appeared to be of sound mind and memory, and was not under restraint to the knowledge or belief of these deponents.

Subscribed and sworn this 11th } J. M. K.  
day of July, 1860, before me, } I. D.  
JOHN C. HULBUT, *Surrogate*.

No. 17. Pages 186-7.

ORDER ADMITTING WILL TO PROBATE AND RECORD.

At, &c. (See No. 3.)

Title. (See No. 3.)

Satisfactory proof having been made of the due service of the citation heretofore issued in this matter, requiring the proper persons to appear in this court on the seventeenth day of June last past, and attend the probate of the last will and testament of James Thompson, late of the city of New York, deceased, bearing date the fourth day of December, in the year one thousand eight hundred and sixty; and Philip Thompson, the executor named in the said last will and testament, having appeared by Charles Connor, his proctor and counsel, in support of the proof of the same; and Cornelia Thompson, the widow of the said deceased, having appeared in person and by George Lord, her proctor and counsel, in opposition thereto; and Gabriel Van Cott, the special guardian of Charles Thompson and Henry Thompson, minors, two of the heirs and next of kin of the said deceased, having also appeared, and no other parties or persons having appeared in the said matter, and the said matter having been duly heard and adjourned from day to day until this day; and, after hearing the proofs and allegations of the said Philip Thompson and of the said Cornelia Thompson, and the said Gabriel Van Cott, special guardian as aforesaid, having submitted the rights and interests of the said minors to the care and discretion of this court, and due deliberation being thereon had; and it appearing upon the proof taken, that the said ~~and only~~ <sup>deceased</sup> ~~testator~~ <sup>testator</sup>, at the time of executing the same, was in all respects competent to devise real estate,



and not under restraint; and the surrogate being satisfied of its genuineness and validity;(k) and on motion of Mr. Charles Connor, in behalf of the said executor, it is adjudged and decreed, and the surrogate of the county of New York, by virtue of the power and authority in him vested, doth adjudge and decree, that the said last will and testament was duly executed—that the same is genuine and valid—that the said last will and testament, and the proofs and examinations taken in respect to the same, be recorded; and that the said last will and testament be admitted to probate, and that the same be, and hereby is, established as a will of real and personal estate.(l)

The following entry, also, is made in the book of surrogate's minutes in the New York surrogate's office:

At, &c. (See No. 3.)

Title. (See No. 3)

The proofs in this matter being deemed sufficient, it is ordered that the said will be admitted to probate, and that the usual decretal order be entered.

No. 18. Page 187.

COMPLETE RECORD OF WILL.

Be it remembered that heretofore, to wit, on the eighteenth day of August, in the year one thousand eight hundred and sixty, Anthony A. Jacobus, the sole executor named in the last will and testament of Richard Martin, late of the city of New York, deceased, appeared in open court, before the surrogate of the county of New York, and made application to have the said last will and testament, which relates to both real and personal estate, proved; and, on such application, the surrogate did ascertain, by satisfactory evidence, who were the widow, heirs and next of kin of the said testator, and their respective residences; and some of them appearing to be minors, and having no general guardian residing within the state of New York, a special guardian was appointed, in due form of law, to take care of their interests in the matter of proving the said will, by an order entered for that purpose by said surrogate; and said surrogate did thereupon issue a citation in due form of law, directed to the said widow, heirs and next of kin, and special guardian, by their respective names, stating their respective places of residence, requiring them to appear before said surrogate, at his office in the city of New York, on the eighteenth day of August, then instant, to attend the probate of the said will. And afterwards, to wit, on the said eighteenth day of August, satisfactory evidence by affidavit was produced and presented to said surrogate of the service of the said citation in the mode prescribed by law; and, on that day, no one appearing to oppose the probate of such will, such proceedings were thereupon had afterwards, that the surrogate took the proof of said will hereinafter set forth, upon this twentieth day of September, in the year one thousand eight hundred and sixty; and he adjudged the said will to be a valid will of real and personal estate, and the proofs thereof to be sufficient; which said last will and testament, and proofs, are as follows, that is to say:

FORM OF A WILL.(m)

I, R. M., of the city of New York, gentleman, do hereby make and publish this MY LAST WILL AND TESTAMENT:

I BEQUEATH to my wife, absolutely, the wines, liquors, fuel and other consumable household stores and provisions, and the linen, china and glass, together with the moneys and bank notes of common and usual currency, which may be in my dwelling-house at the time

(k) See S. L. 1837, pp. 537-8, sec. 17; 2 R. S. (4th ed.) 251, sec. 64; *Ante*, p. 152.

(l) The following is the form of the decretal order on the establishment of a will, entered in every case in the court of the surrogate of the county of New York. It is the "usual" decretal order referred to in the entry in the minutes:

At, &c.

(Title.)

The executors, next of kin, and heirs at law of said deceased having this day appeared to attend the probate of said will, in pursuance of the citation heretofore issued, and the proofs and examinations of the subscribing witnesses to said will having been duly taken and heard; and, upon such proof, it appearing satisfactory to this court that the said will had been duly executed according to law, and that said testator, at the time of executing the same, was in all respects competent to dispose of his estate:

It is therefore ordered, decided, and decreed that the said will be, and the same is hereby, established as a valid will, and that the same be admitted to probate and recorded.

(m) This was deemed a proper place to include a form of a will, with the attestation. The form given is taken from Taylor's *Precedents of Wills*, p. 187.

of my decease; and also such part of my plate which is engraven with the initials of her father's name. I ALSO give to my wife the use and enjoyment, during her life, of the household goods and furniture, fixtures and utensils (not hereinbefore bequeathed), and the plate, marked with the initials of my name, and the books, paintings and prints of which I shall die possessed: And from and after her decease I direct that the same articles be disposed of as part of the residue of my personal estate: And I direct my executors to cause an inventory to be taken of the same articles, before the delivery thereof to my said wife; and two copies to be made of such inventory, and to be signed by my said wife and executors, of which copies so signed one shall be delivered to my said wife, and the other kept by my executors.

I GIVE AND DEVISE unto my son *R.*, ALL THAT my freehold messuage, with the out-buildings, garden, &c., thereto adjoining, situate and being, &c., now in the occupation of, &c., for and during his natural life. And from and immediately after his decease, I devise such hereditaments unto my son *D.*, and his heirs forever.

AND WHEREAS, by virtue of a lease bearing date on or about the first day of June, 1860, made between *A. B.* of the first part and myself of the second part, I am entitled to the remainder of a term of years, now to come and unexpired, of and in ALL THAT messuage, tenement or dwelling-house, situate and being in . . . street, in the city of New York, now in the occupation of *G. V.*, with the coach-house, stables, &c., thereto adjoining or belonging, &c. Now I GIVE unto my daughter *M.* the said leasehold premises, for and during so many years of the said term as she shall happen to live: And from and after her decease, I BEQUEATH the same leasehold premises to my grandson, *V. M.*, for the whole residue of the said term which may be to come and unexpired therein at the decease of my said daughter *M.*

I GIVE AND DEVISE unto my son *C.*, for and during his life, provided he shall continue to reside therein for the term of . . . . . years next after my decease, ALL THAT messuage situate in . . . . . street, in the city of Rochester, in the state of New York, and the stable, garden, &c., thereto belonging, and now occupied with the said messuage by my son *C.*: And from and after the decease of my said son *C.*, or if he shall not continue to reside in the said messuage and premises for the term, and in the manner aforesaid, then I devise such hereditaments, immediately upon the happening of either the said events, to my grandson, *T. L. M.*, and his heirs forever.

I GIVE AND DEVISE unto *F. N.*, of, &c., and his heirs, ALL THAT messuage, &c., with the lands thereto adjoining, and usually occupied therewith, situate, &c., and now in the tenure of *B. R.*, containing, by estimation, . . . . . acres (more or less), &c., UPON TRUST, to let the same from year to year, or for any term not exceeding twenty-one years, for the best rent that can be obtained for the same, but without taking any fine or premium therefor; and on receipt of such rent and profits, UPON TRUST, from time to time to apply such rents and profits unto and to the use of *R. T.* and *J. T.*, two of the children of my daughter *M.*, until the youngest of such children attain the age of twenty-one years. And when and so soon as that event shall happen, I devise the messuage, lands and hereditaments last mentioned unto the said *R. T.* and *J. T.*, and their heirs forever, as tenants in common, and not as joint tenants.

I GIVE AND DEVISE all the rest, residue and remainder of my real estates, and I BEQUEATH the rest of my personal estate unto *B. B.* and *C. D.*, of the city of New York, merchants, their heirs, executors, &c., UPON THE TRUSTS hereinafter particularly mentioned, hereby giving and granting full power and authority to the said *B. B.* and *C. D.*, and the survivor of them, and his heirs, to sell such real estates, together or in parcels, either by public auction or private contract, at such time and place, or times and places, and subject to such stipulations relating to the title, or to the payment of the purchase money, (part whereof may be allowed to remain on mortgage of the estates sold for any reasonable time,) or to any other matters connected with the sale, as my said trustees shall judge expedient, or as their counsel shall advise; which sale of such real estates shall be made as soon as conveniently may be after my decease; and also with power for my said trustees to fix a reserved bidding, and buy in any lot or lots at any auction, and to rescind or vary any contract or contracts for sale, without being liable for any consequential loss, and also to execute such instruments and assurances as shall be requisite for completing the sale of my said estates, or any of them. AND I direct that my said trustees shall convert and get in my residuary personal estate, and invest the moneys to arise from such real estates and residuary personal estate in the names or name of the trustees or trustee, for the time being, of my will, in or upon real estate, or any stocks, funds, or other securities in the United States, generally considered good and permanent; with liberty for the said trustees or trustee, with the consent in writing of my said wife, to vary and transpose the investments from time to time for any other investments of the description aforesaid: AND UPON FURTHER TRUST, to pay over to my said wife the annual income of the said moneys, or the stocks, funds and securities whereon the

same shall be invested, during her life; and after her death, as to the same moneys, stocks, funds and securities, and the income from thenceforth to become due for the same, UPON TRUST, to pay thereout to my son R., his executors, administrators or assigns, the sum of \$10,000, which sum shall be absolutely vested in him on my decease, and shall carry interest after the rate of . . . per cent. per annum, from the decease of my said wife, until payment thereof; and, subject to the payment of the same sum and interest, IN TRUST for my other children, (naming them,) to be divided equally among them, their respective executors, administrators and assigns, and their respective shares to be absolutely vested on my decease; and the share of my said daughter to be received, enjoyed and disposed of by her as her separate estate, without the control or interference of her present or any future husband, and her receipt to be (notwithstanding coverture) an effectual discharge for the same. AND I hereby declare that my said trustees or trustee shall have a discretionary power to postpone, for any period not considered illegal by the laws of this state, the conversion or getting in of any part of my residuary personal estate, which shall, at my decease, consist of stocks, funds, or securities of any description whatever; And I further declare that my last devised real estates and hereditaments, from the time of my decease, shall be considered as absolutely converted into personal estate, and subject to the trusts lastly hereinbefore contained.

I devise all my real estate, vested in me as trustee or mortgagee, to the said B. B. and C. D., subject to the equities affecting the same respectively.

I EMPOWER the trustees or trustee for the time being of this my will, to give receipts for all moneys and effects to be paid or delivered to such trustees or trustee by virtue of my will, and declare that such receipts shall exonerate the persons taking the same from all liability to see to the application or disposition of the money or effects therein mentioned. I ALSO EMPOWER the trustees or trustee for the time being of my will, to compound or allow time for the payment of any debt or debts due or to become due to my estate, to pay all debts due from me according to their legal priority, and to settle all demands against my estate, and all manner of accounts between me and any person or persons, on such terms as my said trustees or trustee shall in their or his discretion think expedient, and to refer any matters in difference relating to my affairs to arbitration. AND I hereby declare that if my said trustees, or any of them, or any person or persons to be appointed under this clause, shall die, or be unwilling or incompetent to execute the trusts of my will, it shall be lawful for my wife during her life, and after her death for the competent trustees or trustee for the time being, (if any,) whether retiring from the office of trustee or not, or (if none) for the executors or administrators of the last surviving trustee, to substitute, by any writing under their or his hands or hand, any fit person or persons to be trustees or trustee, in whom alone, or (as the case may be) jointly with the surviving or continuing trustees or trustee, my trust estate shall be vested. AND I exempt every trustee of my will from liability for losses occurring without his own wilful default, and authorize him to retain and allow to his co-trustees or co-trustee all expenses incidental to the trusteeship.

I appoint the said B. B. and C. D. executors of this my last will and testament.

I hereby revoke and annul any will or wills heretofore executed by me.

In witness whereof I have hereunto set my hand this       day of       , in the year one thousand eight hundred and sixty. R. M.(s)

Witnesses:

O. P., residing at No.       Broadway, in the city of New York.  
S. T., residing at No.       Bowery, in the city of New York.(o)

Subscribed by R. M., the testator named in the foregoing will, in our presence, and at the time of making such subscription declared by the testator to be his last will and testament, and each of us signs his name as a witness at the end of the will, at the request of the testator, and in his presence.(p)

O. P., residing, &c.  
S. T., residing, &c.

Then follow the

# DEPOSITIONS AND TESTIMONY OF THE WITNESSES.

(CERTIFICATE AT THE END OF THE RECORD.)

County of New York, ss: Recorded the preceding last will and testament of R. M., deceased, as a will of real and personal estate, together with the proofs taken in the court of the surrogate of the county of New York, relating to the said last will and testament; which

(a) By the Revised Statutes it is not necessary to seal a will.

(b) This form of attestation follows strictly the words of the statute, which prescribes that each of the witnesses shall sign his name as a witness at the end of the will."

(c) This attestation certificate is a summary of the ceremonies usually observed on the execution of a will.

record is hereby signed and certified by me, pursuant to the provisions of the Revised Statutes, this twentieth day of September, one thousand eight hundred and sixty.(q)  
EDWARD C. WEST.

**No. 19. Page 188.**

**CERTIFICATE ON WILL.**

*County of New York, ss:* Be it remembered that on the day of the date hereof, the last will and testament of James Thompson, late of the city of New York, deceased, (being the foregoing written instrument,) was duly proved before Edward C. West, surrogate of the said county, according to law, as and for the last will and testament of the real and personal estate of said deceased; which said last will and testament, and the proofs and examinations taken thereon, are recorded in this office.

In testimony whereof, the surrogate of the said county hath hereunto set his hand and  
[SEAL] affixed his seal of office, this eleventh day of January, in the year of our Lord one thousand eight hundred and sixty-one. EDWARD C. WEST, *Surrogate*.

**No. 20. Pages 188, 212.**

**FORM OF PROBATE.**

*A correct copy of the Will, with the following Certificate:*

*County of New York, }  
Surrogate's Office. }*

Be it remembered, that on the day of the date hereof, the last will and testament of James Thompson, late of the city of New York, deceased, bearing date the seventh day of November, one thousand eight hundred and sixty, (the foregoing being a true copy thereof,) was duly proved before Edward C. West, surrogate of the said county, according to law, as and for the last will and testament of the real and personal estate of said deceased; which said last will and testament, and the proofs and examinations taken thereon, are recorded in this office.

In testimony whereof, the surrogate of the said county hath hereunto set his hand and  
[SEAL] affixed his seal of office, this eleventh day of January, in the year of our Lord one thousand eight hundred and sixty-one. EDWARD C. WEST, *Surrogate*.

*County of New York, } ss:  
Surrogate's Office, }*

Be it remembered, that on the day of the date hereof, Philip Thompson, the sole executor named in the last will and testament of James Thompson, late of the city of New York, deceased, having first taken and subscribed an oath faithfully and honestly to discharge the duties of such executor, letters testamentary were granted to him accordingly.

In testimony whereof, the surrogate of said county hath hereunto set his hand and affixed  
[SEAL] his seal of office, the eleventh day of January, one thousand eight hundred and sixty-one.(r) EDWARD C. WEST, *Surrogate*.

(q) The following is suggested as a form of this certificate more strictly in accordance with the provisions of the 14th section of the Revised Statutes—2 R. S. 58; 3 R. S. (5th ed.) 189-40:

*County of New York, ss:* It appearing, upon the proofs duly taken in respect to the last will and testament of James Thompson, late of the city of New York, deceased, that the said will was duly executed, and that the said James Thompson, at the time he executed the same, was in all respects competent to devise real estate, and not under restraint, the said last will and testament, and the said proofs and examinations are hereby recorded, signed and certified by me, pursuant to the provisions of the Revised Statutes, this seventeenth day of July, in the year of our Lord one thousand eight hundred and sixty.

E. C. W., *Surrogate*.

(r) These two certificates may properly, it is suggested, be embodied in one, in the following form:

*State of New York, } ss:  
County of New York. }*

I, Edward C. West, surrogate of the county of New York, do hereby make known and certify to all whom it may concern, that the foregoing is a true copy of the last will and testament of James Thompson, late of the city of New York, deceased, and of the whole thereof, and that the said last will and testament was, on this seventeenth day of June, in the year one thousand eight hundred and sixty, duly proved before me according to law, and by me admitted to probate, and recorded in the office of the surrogate of the county of New York; and further, that the administration of all and singular the goods, chattels, and credits of the said James Thompson, deceased, and the execution of the said last will and testament, were, on the same day, duly granted and committed by me to Philip Thompson, one of the executors named in the said will, he having first duly taken and subscribed an oath that he would faithfully and honestly discharge the duties of executor thereof, which oath is filed in the office of the surrogate aforesaid.

In testimony whereof I, the said surrogate, have hereunto set my hand and affixed my seal of office, at the  
[SEAL] surrogate's office in the city of New York, this seventeenth day of June, in the year one thousand eight hundred and sixty. EDWARD C. WEST, *Surrogate*.

## No. 21. Page 187.

## ORDER SETTING ASIDE A WILL.

At, &amp;c. (See No. 3.)

Title. (See No. 3.)

Satisfactory proof having been made of the due service of the citation heretofore issued in this matter, requiring the proper persons to appear in this court, on the seventeenth day of June last past, and attend the probate of the last will and testament of James Thompson, late of the city of New York, deceased; and Philip Thompson, the executor named in the said last will and testament, having appeared by Charles Conner, his proctor and counsel, in support of the proof of the same, and Cornelia Thompson, the widow of the said deceased, having appeared in person and by George Lord, her proctor and counsel, in opposition thereto, and Gabriel Van Cott, the special guardian of Charles Thompson and Henry Thompson, minors, two of the heirs and next of kin of the said deceased, having also appeared, and no other parties or persons having appeared in the said matter, and the said matter having been duly heard, and adjourned from day to day until this day, and the instrument in writing, bearing date the eighth day of January, in the year one thousand eight hundred and sixty, purporting to be the last will and testament of the said James Thompson, deceased, having been produced, and Clinton Marshall and James Clark, two of the subscribing witnesses to the said instrument, having been duly examined touching the facts and circumstances attending the execution thereof, and the competency of the said James Thompson to execute the same as and for his last will and testament, and after hearing the proofs and allegations of the said Philip Thompson, and of the said Cornelia Thompson, and the said Gabriel Van Cott, special guardian as aforesaid, having submitted the rights and interests of the said minors to the care and discretion of this court, and due deliberation being thereon had, and on motion of Mr. George Lord, of counsel for the said Cornelia Thompson, it is adjudged and decreed, and the surrogate of the county of New York, by virtue of the power and authority in him vested, doth adjudge and decree, that the said instrument in writing was not executed and attested in the manner prescribed by law for the execution and attestation of last wills and testaments; and further it is declared, and the surrogate aforesaid, by virtue of the power and authority aforesaid, doth declare that the said instrument in writing is null and void, as or for the last will and testament of the said James Thompson, deceased; and further, the said surrogate doth order that the costs of all the parties to this proceeding, and the fees and expenses thereof, be paid out of the estate of the said deceased.

## No. 22. Page 200.

## RECORD OF FOREIGN WILL ON AN EXEMPLIFICATION.

*The Exemplification is entered in full upon the Record, and the following Certificate is appended:*

County of New York, ss: I, Edward C. West, surrogate of the said county, do hereby certify to all whom it may concern, that the last will and testament, with three codicils of Abraham Beach, late of the county of Somerset, in New Jersey, deceased, has been duly admitted to probate by me, upon the production of a duly authenticated copy thereof, from under the seal of the court in which the same was proved; and I further certify that the foregoing is a correct record of such authenticated copy.

In testimony whereof, I have hereunto set my hand, this seventeenth day of November, in [SEAL] the year one thousand eight hundred and sixty. EDWARD C. WEST.

## No. 23. Page 216.

## CONSENT OF HUSBAND THAT LETTERS TESTAMENTARY ISSUE TO HIS WIFE.

I, Alexander Wilson, of the city of Albany, merchant, the husband of Jane Wilson, the executrix named in the last will and testament of James Thompson, late of the city of New York, deceased, do hereby consent that letters testamentary of the said last will and testament issue to the said Jane Wilson, and that she take upon herself the burden of the execution thereof.

ALEXANDER WILSON.

Dated this eighteenth day of June, A. D. 1860.



No. 24. Page 219.

AFFIDAVIT OF INTENTION TO FILE OBJECTIONS AGAINST THE GRANTING  
OF LETTERS TESTAMENTARY.

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE GOODS, CHAT-  
TELS AND CREDITS OF JAMES THOMP-  
SON, DECEASED.

*City and County of New York*, ss: Cornelia Thompson, of the city of New York, being duly sworn, doth depose and say that she is the widow of James Thompson, late of the city of New York, deceased, whose last will and testament has lately been admitted to probate by the surrogate of the county of New York, and of which said will Philip Thompson is named one of the executors. That she is a legatee under the said last will and testament, and intends to file objections against the granting of letters testamentary thereof to the said Philip Thompson, and that she is advised and believes that there are just and substantial objections to the granting of such letters to the said Philip Thompson. CORNELIA THOMPSON.

Sworn this tenth day of June, }  
1860, before me, }  
JOHN WELLS, *Commissioner of Deeds.*

OBJECTIONS AGAINST THE GRANTING OF LETTERS TESTAMENTARY.

*Title. (As above.)*

To EDWARD C. WEST, *Surrogate of the county of New York*:

The objections of Cornelia Thompson, of the city of New York, widow, a legatee under the last will and testament of James Thompson, late of the city of New York, deceased, against the granting of letters testamentary of the said last will and testament to Philip Thompson, one of the executors therein named.

*First Objection.*—That the said Philip Thompson is incompetent to execute the duties of his trust as executor of the said last will and testament, by reason of improvidence.

*Second Objection.*—That the circumstances of the said Philip Thompson are such as not to afford adequate security to the creditors, legatees and relatives of the said deceased for the due administration of the estate; that he has recently failed in his business as a merchant in the city of New York, and become insolvent; and that the debts owing by the said Philip Thompson greatly exceed the amount of property belonging to him.

Dated this sixth day of July, A. D. 1860. CORNELIA THOMPSON.  
GEORGE LORD, *of Counsel for Objector.*

ORDER THAT EXECUTOR APPEAR TO ATTEND INQUIRY.

At, &c. (*See No. 3.*)

*Title (As above.)*

On reading and filing the objections of Cornelia Thompson, the widow of James Thompson, late of the city of New York, deceased, and a legatee under his last will and testament, against the granting of letters testamentary of the said last will and testament to Philip Thompson, one of the executors therein named: It is ordered that the said Philip Thompson appear before the surrogate of the county of New York, at his office in the city of New York, on the tenth day of July instant, at ten o'clock in the forenoon of that day, and attend the inquiry into the said objections. EDWARD C. WEST, *Surrogate.*

GEORGE LORD, *Proctor for Objector.*

ORDER THAT OBJECTOR PROCEED WITH INQUIRY.

At, &c. (*See No. 3.*)

*Title. (As above.)*

Cornelia Thompson, the widow of James Thompson, late of the city of New York, deceased, and a legatee under his last will and testament, having filed objections against the granting of letters testamentary of the said last will and testament to Philip Thompson, one of the executors therein named: On motion of Mr. Charles Conner, of counsel for the said Philip Thompson, it is ordered that the said Cornelia Thompson appear before the surrogate of the county of New York, at his office in the city of New York, on the tenth day of July,

instant, at ten o'clock in the forenoon of that day, and proceed with the inquiry into the said objections.

EDWARD C. WEST, *Surrogate*.

CHARLES CONNER, *Proctor for Executor*.

#### DECREE ON OBJECTIONS.

At, &c. (*See No. 3.*)

*Title.* (*As above.*)

Cornelia Thompson, the widow of James Thompson, late of the city of New York, deceased, and a legatee under his last will and testament, having duly filed her objections against the granting of letters testamentary of the said last will and testament to Philip Thompson, one of the executors named therein, and the surrogate having inquired into the said objections, and due proof having been taken, and after hearing counsel for the respective parties, it is adjudged and decreed, and the surrogate, by virtue of the authority in him vested, doth adjudge and decree, that (\*) the said Philip Thompson is incompetent, by reason of improvidence, to execute the duties of his trust as such executor, and that letters testamentary of the said last will and testament be refused to the said Philip Thompson.

[*Or proceeding from the (\*)*] the circumstances of the said Philip Thompson are such as not to afford adequate security to the creditors, legatees and relatives of the said deceased, for the due administration of the said estate. And further, the said surrogate doth order that such letters testamentary aforesaid be refused to the said Philip Thompson until he shall give the like bond as is required by law of administrators in cases of intestacy.

#### DECREE DISMISSING OBJECTIONS.

[*Proceeding from the (\*)*] the said objections be dismissed. And further, it is ordered that such letters testamentary aforesaid issue to the said Philip Thompson, and that the said Cornelia Thompson pay the costs of the said Philip Thompson on this proceeding, and the fees and expenses thereof, to be taxed.(s)

No. 25. Page 222.

#### FORM OF RENUNCIATION.

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE GOODS, ETC., OF  
JAMES THOMPSON, DECEASED.

I, Charles Jones, of the city of New York, gentleman, one of the executors named and appointed in and by the last will and testament of James Thompson, late of the city of New York, deceased, do hereby renounce the said appointment, and all right and claim to letters testamentary of the said last will and testament, or to act as executor thereof, and pray the surrogate of the county of New York to accept and record this, my renunciation.

Dated this eighth day of June, A. D. 1860.

CHARLES JONES.

Signed in the presence of

JOHN STRONG,  
HENRY SMITH.

[The proof of the execution of the renunciation may be by affidavit, or by acknowledgment before a commissioner of deeds, notary public, or other proper officer.]

No. 26. Page 221.

#### APPLICATION AND PROCEEDINGS TO OBTAIN A DECREE THAT A PERSON NAMED AS EXECUTOR HAS RENOUNCED.

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York* :

The application of Harris Gibbons, of the city of New York, merchant, respectfully sheweth that he is a creditor of James Thompson, late of the city of New York, deceased. That the last will and testament of the said James Thompson, deceased, was proved before the surrogate of the county of New York on the seventeenth day of June last past, and that

(s) The payment of these costs may be enforced by attachment.

Philip Thompson, a person named and appointed as one of the executors thereof, has not yet appeared to qualify and take upon himself the execution of the said last will and testament. That the said Philip Thompson resides in the city of New York.

The said applicant therefore applies for a summons, to be issued out of and under the seal of this court, pursuant to the statute in such case made and provided, to be directed to the said Philip Thompson, requiring him to appear in this court and qualify as one of the executors of the said last will and testament, within a certain time therein to be limited; or that, in default thereof, he will be deemed to have renounced the said appointment.

Dated this first day of July, A. D. 1860.

HARRIS GIBBONS.

JAMES MITCHELL, *Proctor for Applicant.*

*City and County of New York, ss:* On this first day of July, in the year 1860, personally appeared before me Harris Gibbons, named in the foregoing application, and made oath that he had read the said application, and knew the contents thereof, and that the matters of fact therein stated were true.

AARON JONES, *Commissioner of Deeds.*

#### ORDER FOR ISSUING SUMMONS.

At, &c. (See No. 3.)

IN THE MATTER OF THE GOODS, CHATTELS  
AND CREDITS OF JAMES THOMPSON,  
DECEASED.

On reading and filing the application of Harris Gibbons, a creditor of James Thompson, late of the city of New York, deceased, it is ordered that a summons issue to Philip Thompson, a person named and appointed as one of the executors of the last will and testament of the said James Thompson, deceased, requiring him personally to appear in this court, before or on the eighth day of August next, at ten o'clock in the forenoon of that day, and qualify as one of the executors of the last will and testament aforesaid; or that, in default thereof, he will be deemed to have renounced the said appointment.

#### SUMMONS ON THE ABOVE ORDER.

*The People of the State of New York, to Philip Thompson, named and appointed one of the executors of the last will and testament of James Thompson, late of the city of New York, deceased:*

You are hereby summoned and required to appear before the surrogate of the county of New York, at his office in the city of New York, before or on the eighth day of August next, at ten o'clock in the forenoon of that day, and qualify as one of the executors of the last will and testament aforesaid, or, in default thereof, you will be deemed to have renounced the said appointment.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, surrogate of our said county of New York, at the city of New York, the twentieth day of July, in the year one thousand eight hundred and sixty, and of our independence the eighty-fifth.

EDWARD C. WEST.

#### DECREE THAT EXECUTOR HAS RENOUNCED.

At, &c. (See No. 3.)

*Title.* (See No. 26.)

Whereas Harris Gibbons, of the city of New York, merchant, a creditor of James Thompson, late of the city of New York, deceased, lately made application to the surrogate of the county of New York for a summons to be issued, pursuant to the statute in such case made and provided, to Philip Thompson, a person named and appointed as one of the executors of the last will and testament of the said James Thompson, deceased, requiring him to appear in this court and qualify as one of the executors of the said last will and testament, or that, in default thereof, he would be deemed to have renounced the said appointment; and whereas a summons was thereupon duly issued, directed to the said Phillip Thompson, and personally served upon him, as appears by the affidavit of such service duly filed herein, and which said summons and proof of such service thereof are as follows:—[insert summons and affidavit of service.] And whereas the said Philip Thompson has neglected to appear and qualify as required by the said summons, it is declared and decreed, pursuant to the statute in such case made and provided, and the surrogate of the county of New York, by virtue of the

power and authority in him vested, doth declare and decree that the said Philip Thompson has received his appointment as executor of the last will and testament of the said James Thompson deceased. And it is ordered that the fees of this proceeding be paid out of the estate of the said James Thompson deceased.

**No. 27. Page 212.**

**EXECUTOR'S OATH.**

*City and County of New York* ss: I Philip Thompson, one of the executors named in the last will and testament of James Thompson, late of the city of New York deceased, being duly sworn, do swear and declare that I reside in the city of New York and that I will faithfully and honestly discharge the duties of executor of the said last will and testament. Sworn this twenty-fifth day of July. 1860. PHILIP THOMPSON.

A. D. 1860. before me.

EDWARD C. WEST, Surrogate.

**No. 28. 213.**

**LETTERS TESTAMENTARY.**

*The People of the State of New York, by the grace of God free and independent, to all to whom these presents shall come, or may concern, send greeting:*

Know ye, that at the county of New York, on the twenty-fifth day of August, in the year of our Lord one thousand eight hundred and sixty, before Edward C. West, surrogate of our said county, the last will and testament of James Thompson, deceased, (1) was proved, and is now approved and allowed by us; and the said James Thompson having been, at or immediately previous to his death, an inhabitant of the county of New York, by reason whereof the proving and registering of said will, and the granting administration of all and singular the goods, chattels and credits of the said testator, and also the auditing, allowing and final discharging the account thereof doth belong unto us, the administration of all and singular the goods, chattels and credits of the said deceased, and any way concerning his will is granted unto Philip Thompson, one of the executors in the said will named, he being first duly sworn faithfully and honestly to discharge the duties of such executor according to law. In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto annexed. Witness, Edward C. West, Esquire, surrogate of our said county, at the [L.S.] city of New York, the twenty-fifth day of August, in the year of our Lord one thousand eight hundred and sixty, and of our independence the eighty-fifth.

EDWARD C. WEST.

*County of New York, }  
Surrogate's Office. }*

Recorded the preceding letters in liber No. 6 of Letters Testamentary, page 225, the twenty-fifth day of August, one thousand eight hundred and sixty. EDWARD C. WEST.

**No. 29. Page 244.**

**PETITION FOR LETTERS OF ADMINISTRATION.**

*County of New York.—Surrogate's Court.*

IN THE MATTER OF THE ADMINISTRATION OF  
THE GOODS, CHATTELS AND CREDITS OF  
JOHN KELLY, DECEASED.

To EDWARD C. WEST, Surrogate of the county of New York :

The petition of Eliza Kelly, of the city of New York, respectfully sheweth that she is the widow of John Kelly, late of the city of New York, gentleman, deceased. That the said John Kelly died a natural death, and died intestate, as your petitioner verily believe. That your petitioner has made diligent search and inquiry for a will of the said deceased, and has not found any, or obtained any information that he left or ever made one. That your petitioner has, to the best of her ability, estimated and ascertained the value of the personal

(1) Immediately after this word "deceased" was inserted, in the old forms, the words "(a copy whereof is hereunto annexed,)" and the letters were attached to a copy of the will, and, together with the will, formed the probate.

property of which the said deceased died possessed, and that the same does not exceed in value the sum of about five thousand dollars.

That the said intestate left kindred entitled to his estate, whose names and places of residence are as follows: Henry Kelly and William Kelly, residing in the city of New York, and Sarah Blake, wife of William Blake, residing in the city of Troy, in the county of Rensselaer, his only surviving children, and George Kelly, residing in the city of New York, a minor, having no general guardian, the only child of Samuel Kelly, deceased, who was a son of the said intestate.

Your petitioner further sheweth that the said intestate, at or immediately previous to his death, was an inhabitant of the county of New York; that your petitioner is of full age, and that she is informed and believes that the surrogate of the county of New York has sole and exclusive power to grant letters of administration of the goods, chattels and credits of the said intestate. She prays that such letters may be granted to her, in pursuance of the statute in such case made and provided. And your petitioner will ever pray, &c.

Dated this fifth day of February, 1860.(u)

ELIZA KELLY.

State of New York, }  
County of New York. } ss:

On the fifth day of February, in the year one thousand eight hundred and sixty, personally appeared before me Eliza Kelly, the petitioner named in the foregoing petition, who, being by me duly sworn, did depose and say that she had read the foregoing petition by her subscribed, and knew the contents thereof, and that the same was true of her own knowledge, excepting as to the matters therein stated on her information and belief, and as to those matters she believed it to be true.

EDWARD C. WEST.

*If the petitioner desire to have another person joined in the administration, the last clause of the petition will be as follows:*

She prays that such letters may be granted to her, and to Jacob Waring, residing in the city of New York, merchant, to be joined with her in the administration, pursuant to the statute in such case made and provided; and she hereby consents to have the said Jacob Waring so joined in such administration.

(u) The following is a form of this application at present in use in the court of the surrogate of the county of New York:

County of New York.—Surrogate's Court.

IN THE MATTER OF THE APPLICATION FOR LETTERS OF ADMINISTRATION ON THE ESTATE OF D. T., DECEASED.

County of New York, to wit: I, A. T., of the city of New York, merchant, being duly sworn and examined, do depose and say that I am the son of the said deceased; that said deceased departed this life at the city of New York on the twenty-first day of September last past, without leaving any last will and testament to my knowledge, information or belief; that said deceased died a natural death, and died possessed of certain personal property in the state of New York, the value whereof does not exceed the sum of about ten thousand dollars, as I have been informed and believe; that said deceased has left him surviving, this deponent, his only surviving child, and W. S. and J. S., both residing in the city of New York, and both minors, the only children of M. S., deceased, who was a daughter of the said D. T., deceased, his only next of kin; that the said deceased did not leave a widow him surviving; that this deponent is of full age; that said deceased was, in his lifetime, a physician, and was, at or immediately previous to his death, an inhabitant of the county of New York.

And I pray that letters of administration be granted on the estate of said deceased, by the surrogate of the county of New York, to me,

Sworn before me this first }  
day of October, 1860.

EDWARD C. WEST, Surrogate.

County of New York, ss: I, A. T., of the city of New York, merchant, do solemnly swear and declare that I will well, honestly, and faithfully discharge the duties of administrator of the estate of D. T., late of the city of New York, deceased, according to law.

Sworn before me this first }  
day of October, 1860.

EDWARD C. WEST, Surrogate.

At a Surrogate's Court held, in and for the county of New York, at the Surrogate's office in the city of New York, on the first day of October, in the year 1860.

Present—EDWARD C. WEST, Surrogate.

IN THE MATTER OF THE APPLICATION FOR LETTERS OF ADMINISTRATION ON THE ESTATE OF D. T., DECEASED.

It is ordered that letters of administration in this matter be granted to A. T., of the city of New York, merchant, the son of the said deceased.



**PETITION WHERE THE PARTY APPLYING IS REMOTELY ENTITLED.**

*Title.* (See above.)

To EDWARD C. WEST, *Surrogate of the county of New York*:

The petition of Mary Nelson, of the city of New York, widow, respectfully sheweth that she is the sister of Thomas Brown, late of the city of New York, deceased. That the said Thomas Brown died a natural death, and died intestate, as your petitioner verily believes. That your petitioner has made a diligent search and inquiry for a will of the said deceased, and has not found any, or obtained any information that he left or ever made one. That your petitioner has, to the best of her ability, estimated and ascertained the value of the personal property of which the said deceased died possessed, and that the same does not exceed in value the sum of about six thousand dollars.

That the said intestate left kindred entitled to his estate, whose names and places of residence are as follows: Benjamin Brown, residing in the village of Poughkeepsie, in the county of Dutchess, and Reuben Brown, residing in the city of Buffalo, in the county of Erie, both of full age, his brothers.

That your petitioner is the sister of the said intestate, as above stated, and is of full age.

That the said intestate left him surviving no widow, descendant or father.

Your petitioner further shows that the said intestate, at or immediately previous to his death, was an inhabitant of the county of New York. That your petitioner is informed and believes that the surrogate of the county of New York has sole and exclusive power to grant letters of administration of the goods, chattels and credits of the said intestate. She prays that such letters may be granted to her; and to that end, that a citation may issue out of and under the seal of this court, to be directed to the above named kindred of the said intestate, requiring them to show cause, at a day to be therein specified, why administration of the goods, chattels and credits of the said intestate should not be granted to your petitioner. And your petitioner will ever pray, &c.

MARY NELSON.

Dated the fifth day of February, 1860.

[Jurat, same as above.]

---

**No. 30. Page 244.**

**ORDER FOR ISSUING CITATION ON THE ABOVE PETITION.**

At, &c. (See No. 3.)

*Title.* (See No. 29.)

On reading and filing the petition of Mary Nelson, of the city of New York, widow, the sister of Thomas Brown, late of the city of New York, deceased, intestate, it is ordered that a citation issue to Benjamin Brown and Reuben Brown, the brothers of the said intestate, requiring them and each of them personally to be and appear in this court on the twenty-fourth day of March next, at ten o'clock in the forenoon, to show cause why administration of all and singular the goods, chattels and credits of the said intestate should not be granted to the said petitioner.

**CITATION ON THE ABOVE ORDER.**

*The People of the State of New York, by the grace of God free and independent, to Benjamin Brown and Reuben Brown, the brothers of Thomas Brown, late of the city of New York, deceased, intestate, send greeting:*

You and each of you are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the twenty-fourth day of March next, at ten o'clock in the forenoon of that day, then and there to show cause why administration of the goods, chattels and credits of the said intestate should not be granted to Mary Nelson, of the city of New York, widow, his sister.

In testimony whereof, we have caused the seal of office of said surrogate to be hereunto [L. S.] affixed. Witness, Edward C. West, Esquire, surrogate of our said county, at the city of New York, the sixth day of February, in the year of our Lord one thousand eight hundred and sixty-one, and of our independence the eighty-sixth. E. C. WEST.

---

**No. 31. Page 244.**

**CONSENT TO HAVE ANOTHER PERSON JOINED IN THE ADMINISTRATION.**

*Title.* (See No. 29.)

I, Eliza Kelly, of the city of New York, the widow of John Kelly, late of the city of New York, gentleman, deceased, intestate, and entitled to the administration of the goods, chattels

## GRANTING ADMINISTRATION.

XXV

and credits of the said intestate, do hereby consent that such administration be granted to Jacob Waring, of the city of New York, merchant, to be joined with me therein. Dated, &c.

No. 32. Page 244.

### FORM OF RENUNCIATION.

*Title. (See No. 29.)*

I, Benjamin Brown, of the city of Poughkeepsie, in the county of Dutchess, a brother of Thomas Brown, late of the city of New York, deceased, intestate, do hereby renounce all right and claim to administration of the goods, chattels and credits of the said intestate.

Witness my hand at Poughkeepsie aforesaid, this eighth day of February, 1861.

BENJAMIN BROWN.

Signed in the presence of  
RICHARD REN.

[This renunciation must be duly proved or acknowledged.]

No. 33. Pages 217, 219, 246.

### FORM OF ADMINISTRATOR'S BOND.

Know all men by these presents that we, Eliza Kelly, of the city of New York, widow, and John Green, of the same city, carpenter, and George Green, of the same city, merchant, are held and firmly bound unto the people of the state of New York in the sum of ten thousand dollars, lawful money of the United States of America, to be paid to the said people; to which payment, well and truly to be made, we bind ourselves, our and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals. Dated this seventh day of February, in the year one thousand eight hundred and sixty-one.

The condition of this obligation is such, that if the above bounden Eliza Kelly shall faithfully execute the trust reposed in her as administratrix of all and singular the goods, chattels and credits of John Kelly, late of the city of New York, gentleman, deceased, intestate, and also obey all orders of the surrogate of the county of New York touching the administration of the estate committed to her, then this obligation to be void, else to remain in full force and virtue.

ELIZA KELLY. [SEAL]  
JOHN GREEN. [SEAL]  
GEORGE GREEN. [SEAL]

Sealed and delivered in the presence of  
JAMES BLACK.

*State of New York,* }  
*City and County of New York.* } ss:

On this seventh day of February, in the year one thousand eight hundred and sixty-one, personally appeared before me Eliza Kelly, John Green and George Green, severally known to me to be the persons described in and who executed the foregoing bond, and respectively acknowledged that they executed the same.

WILLIAM RIPLEY, *Commissioner of Deeds.*

### AFFIDAVIT OF JUSTIFICATION.

*City and County of New York,* ss: George Green, within named, being duly sworn, doth depose and say that he is a freeholder residing at No. street in the city of New York, and is worth the sum of ten thousand dollars over and above all his just debts, liabilities and responsibilities, and property exempt from execution.

GEORGE GREEN.

Sworn this seventh day of February, }

A. D. 1861, before me, }

EDWARD C. WEST, *Surrogate.*

[A similar affidavit for the other surety.]

No. 34. Page 247.

### FORM OF ADMINISTRATOR'S OATH.

*County of New York,* ss: I, Eliza Kelly, of the city of New York, the widow of John Kelly, late of the said city, gentleman, deceased, intestate, about to be appointed, by the surrogate of

the county of New York, administratrix of the goods, chattels and credits of the said John Kelly, deceased, do solemnly swear and declare that I will well, honestly, and faithfully discharge the duties of such administratrix according to law. ELIZA KELLY.

Sworn this seventh day of February, {

A. D. 1861, before me,

EDWARD C. WEST, *Surrogate.*

**No. 35. Page 247.**

**ORDER FOR ISSUING LETTERS.**

At, &c. (*See No. 3.*)

*Title.* (*See No. 29.*)

On reading and filing the petition of Eliza Kelly, the widow of John Kelly, late of the city of New York, deceased, praying that letters of administration of the goods, chattels and credits of the said deceased be granted to her; and on reading and filing the bond executed by the said petitioner with two competent sureties, pursuant to the statute; and this court being satisfied that said petitioner is in all respects competent to act as administratrix of the goods, chattels and credits of the said deceased: It is ordered that the prayer of the said petition be granted, and that letters of administration issue to the petitioner in pursuance thereof.

**No. 36. Page 247.**

**LETTERS OF ADMINISTRATION.**

*The people of the state of New York, to Eliza Kelly, of the city of New York, the widow of John Kelly, late of the said city, gentleman, deceased, intestate, send greeting: Whereas John Kelly late departed this life intestate, being, at or immediately previous to his death, an inhabitant of the county of New York, by means whereof the ordering and granting administration of all and singular the goods, chattels and credits whereof the said intestate died possessed in the state of New York, and also the auditing, allowing and final discharging the account thereof, doth appertain unto us; and we, being desirous that the goods, chattels and credits of the said intestate may be well and faithfully administered, applied and disposed of, do grant unto you, the said Eliza Kelly, full power, by these presents, to administer and faithfully dispose of all and singular the said goods, chattels and credits; to ask, demand, recover and receive the debts which unto the said intestate, whilst living, and at the time of his death, did belong, and to pay the debts which the said intestate did owe, as far as such goods, chattels and credits will thereunto extend and the law require; hereby requiring you to make, or cause to be made, a true and perfect inventory of all and singular the goods, chattels and credits of the said intestate within a reasonable time, and return a duplicate thereof to our surrogate of the county of New York within three months from the date of these presents: And if further personal property, or assets of any kind not mentioned in any inventory that shall have been so made, shall come to your possession or knowledge, to make, or cause to be made, in like manner, a true and perfect inventory thereof, and return the same within two months after discovery thereof; and also to render a just and true account of administration when thereunto required; and we do by these presents depute, constitute and appoint you, the said Eliza Kelly, administratrix of all and singular the goods, chattels and credits of the said John Kelly, deceased.*

In testimony whereof, we have caused the seal of office of the surrogate of said county to be hereunto affixed. Witness, Edward C. West, surrogate of said county, at the city of [L. s.] New York, the seventh day of February, in the year one thousand eight hundred and sixty-one, and of our independence the eighty-sixth. EDWARD C. WEST, *Surrogate.*

**No. 37. Page 249.**

**PETITION FOR ADMINISTRATION WITH THE WILL ANNEXED.**

*County of New York.—Surrogate's Court.*

IN THE MATTER OF THE ADMINISTRATION  
WITH THE WILL ANNEXED OF THE  
GOODS, CHATTELS AND CREDITS OF  
JAMES THOMPSON, DECEASED.

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of Cornelia Thompson, of the city of New York, widow, respectfully sheweth that James Thompson, late of the city of New York, merchant, deceased, departed this life

in the said city, on the tenth day of October, in the year 1860, leaving his last will and testament, in and by which he appointed Philip Thompson the sole executor thereof. That the said last will and testament was duly proved before the surrogate of the county of New York on the fifth day of August, in the said year 1860, and recorded by him in his office; and probate thereof was on the same day granted, and letters testamentary issued to the said Philip Thompson, as such executor aforesaid. And your petitioner prays leave to refer to the said will and probate and letters testamentary, or to the record thereof, if it shall be necessary for her so to do in this matter. That the said Philip Thompson departed this life on the first day of February instant, leaving certain property and assets of the said deceased still unadministered. That your petitioner has, to the best of her ability, estimated and ascertained the amount of such property, and that the same does not exceed in value the sum of five thousand dollars.

Your petitioner further shows that the said deceased, at or immediately previous to his death, was an inhabitant of the county of New York. That your petitioner is the sole residuary legatee under the said last will and testament. That she is the widow of the said deceased, and is of full age. That she is informed and believes that the surrogate of the county of New York has sole and exclusive power to grant letters of administration with the will annexed of the goods, chattels and credits of the said deceased left unadministered by the said Philip Thompson. She prays that such letters may be granted to her, in pursuance of the statute in such case made and provided. And your petitioner will ever pray, &c.

Dated this twentieth day of February, 1861.

CORNELIA THOMPSON.

[Jurat. See No. 29.]

---

No. 38. Page 250.

LETTERS OF ADMINISTRATION WITH THE WILL ANNEXED.

*The People of the State of New York, to Cornelia Thompson, of the city of New York, sole residuary legatee under the last will and testament of James Thompson, late of the said city, merchant, deceased:*

Whereas the said James Thompson lately departed this life, having previously duly made and executed his last will and testament: And whereas said will (a copy whereof is hereunto annexed) was, on the twenty-fifth day of August, in the year one thousand eight hundred and fifty-four, duly admitted to probate by Edward C. West, Esquire, surrogate of the county of New York, and probate and letters testamentary thereof were duly granted and issued by the said surrogate to Philip Thompson, the sole executor named in the said will: And whereas the said Philip Thompson lately departed this life, leaving property and assets of the said testator still unadministered: And whereas the said James Thompson, at or immediately previous to his death, was an inhabitant of the county of New York, by means whereof the proving and registering of said will, and the ordering and granting administration of all and singular the goods, chattels and credits whereof the said testator died possessed in the state of New York; and also the auditing, allowing, and final discharging the account thereof, doth appertain unto us; and we, being desirous that said will should be observed and performed, and that the goods, chattels and credits of said testator should be well and faithfully administered, applied and disposed of, do grant unto you, the said Cornelia Thompson, full power and authority, by these presents, to administrator and faithfully to dispose of all and singular the said goods, chattels and credits, and to ask, demand, recover and receive the debts which unto the said testator whilst living, and at the time of his death, did belong; and to pay the debts which the said testator did owe, as far as such goods, chattels and credits will thereto extend, and the law require; hereby requiring you to observe and perform the said last will and testament, and to observe and perform all the duties to which you would have been subject if you had been named the executrix thereof. And we do, by these presents, depute, constitute and appoint you, the said Cornelia Thompson, administratrix with the will annexed of all and singular the goods, chattels and credits which were of said James Thompson, deceased.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, surrogate of said county, at the city of New York, this twentieth day of February, in the year of our Lord one thousand eight hundred and sixty-one, and of our independence the eighty-sixth.

EDWARD C. WEST, *Surrogate.*

No. 29. Page 252.

## PETITION FOR LETTERS OF COLLECTION.

*County of New York—Surrogate's Court.*

IN THE MATTER OF THE GOODS, CHATTELS AND CREDITS OF HENRY GRAY, DE- CEASED.
---

To EDWARD C. WEST, *Surrogate of the county of New York*:

The petition of George Day, of the city of New York, respectfully sheweth that your petitioner is sole executor named in the instrument in writing, purporting to be the last will and testament of Henry Gray, late of the city of New York, grocer, deceased, propounded for probate and now pending in the court of the surrogate of the county of New York. That the proof of the said will is contested, whereby a delay is necessarily produced in granting letters testamentary or of administration in this matter, and that it is uncertain when such contest will be terminated. That the property of the said deceased consists in part of groceries and perishable articles, and that it is necessary that immediate steps should be taken for the preservation or disposal thereof. That there are notes and debts belonging to the said deceased falling due, the collection whereof requires early attention. That your petitioner has, to the best of his ability, estimated and ascertained the value of the personal property of which the said deceased died possessed, and that the same does not exceed in value the sum of about five thousand dollars.

Your petitioner further shows that the said deceased, at or immediately previously to his death, was an inhabitant of the county of New York, and that he is informed and believes that the surrogate of the county of New York has power in his discretion to issue special letters of administration, authorizing the preservation and collection of the goods of the deceased. He prays that such letters may be issued to him, pursuant to the statute in such case made and provided. And your petitioner will ever pray, &c. GEORGE DAY.

Dated this sixth day of February, 1860.

[Jurat. See No. 29.]

## ORDER FOR ISSUING LETTERS OF COLLECTION.

At, &c. (*See No. 3.*)*Title. (See above.)*

On reading and filing the petition of George Day, the sole executor named in the instrument in writing, purporting to be the last will and testament of Henry Gray, late of the city of New York, deceased, now pending in this court, praying that special letters of administration authorizing the preservation and collection of the goods of the deceased may be issued to him; and the proof of the said will being contested, and a delay being necessarily produced in granting letters testamentary or of administration in this matter, and it appearing that the situation of the property of the said deceased requires that such letters should be issued, and on reading and filing the bond executed by the said petitioner, with two competent sureties, pursuant to the statute in such case made and provided, it is ordered that the prayer of the said petition be granted, and that such letters issue to the said petitioner in pursuance thereof.

## COLLECTOR'S BOND.

Know all men by these presents, that we, George Day, of the city of New York, physician, and William Martin and Robert Martin, of the same city, merchants, are held and firmly bound unto the people of the state of New York, in the sum of ten thousand dollars, lawful money of the United States of America, to be paid to the said people, to which payment well and truly to be made, we bind ourselves, our and each of our heirs, executors, and administrators, jointly and severally, firmly by these presents. Sealed with our seals. Dated the seventh day of February, in the year one thousand eight hundred and sixty.

Whereas, the surrogate of the county of New York is about to issue special letters of administration to the above bounden George Day, authorizing the preservation and collection of the goods of Henry Gray, late of the city of New York, deceased, and appointing him collector of the said goods: Now, the condition of this obligation is such, that if the said George Day shall make a true and perfect inventory of such of the assets of the said deceased as shall come to his possession or knowledge, and return the same within three months to the office of the said surrogate; and shall faithfully and truly account for all property, money



and things in action, received by him as such collector, whenever required by the said surrogate, or any other court of competent authority, and faithfully deliver up the same to the person or persons who shall be appointed executors or administrators of the said deceased, or to such other person as shall be authorized to receive the same by the said surrogate, then this obligation to be void, otherwise to remain in full force and virtue.

Sealed and delivered in }  
the presence of }  
GEORGE GREEN.

GEORGE DAY, [SEAL]  
WILLIAM MARTIN, [SEAL]  
ROBERT MARTIN. [SEAL]

[Acknowledgment and affidavits of justification. See No. 23.]

---

**No. 40. Page 252.**

**LETTERS OF COLLECTION.**

The people of the state of New York, to George Day, sole executor named in the instrument in writing, purporting to be the last will and testament of Henry Gray, late of the city of New York, deceased, propounded for proof, and now pending before the surrogate of the county of New York.

Whereas, the proof of the said will is contested, and a delay is necessarily produced in granting letters testamentary of a will, or letters of administration of the goods, chattels and credits of the said Henry Gray, deceased, and it appearing that the situation of the property of the said deceased requires that special letters of administration, authorizing the preservation and collection of the goods of the deceased should be issued: And we being desirous that the goods, chattels, personal estate and debts of said deceased may be collected and preserved, do grant unto you, the said George Day, full power, by these presents, to collect, recover and receive the said goods, chattels, personal estate and debts of the said deceased; and to secure the same at such reasonable expense as the surrogate of the county of New York shall allow; and to sell such of the said goods as are perishable, under the direction of the said surrogate, after the same shall have been appraised; hereby requiring you to make, or cause to be made a true and perfect inventory of such of the assets of said deceased as shall come to your possession or knowledge, and return the same to our said surrogate, within three months from the date of these presents; and also faithfully and truly account for all property, money and things in action received by you as collector, whenever required by our said surrogate, or any other court of competent authority; and faithfully to deliver up the same to the person or persons who shall be appointed executors or administrators of the said Henry Gray, deceased, or to such other person as shall be authorized to receive the same by said surrogate. And we do by these presents depute, constitute, and appoint you, the said George Day, collector of all and singular the goods, chattels, and credits which were of the said Henry Gray, deceased.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, surrogate of said county, at the city of New York, this tenth day of February, in the year one thousand eight hundred and sixty, and of our independence the eighty-fifth.

EDWARD C. WEST, *Surrogate.*

---

**No. 41. Page 268.**

**ORDER FOR APPOINTMENT OF APPRAISERS.**

At, &c. (See No. 3.)

IN THE MATTER OF THE ESTATE OF }  
JAMES THOMPSON, DECEASED. }

On the application of Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, to have two disinterested appraisers appointed to estimate and appraise the personal property of the said deceased, it is ordered that John A. Fleming and Henry S. Decker, both of the city of New York, be, and they are hereby appointed such appraisers.

---

**No. 42. Page 269.**

**NOTICE OF APPRAISEMENT.**

Notice is hereby given that the executor of the last will and testament of James Thompson, late of the city of New York, deceased, with the aid of appraisers for the purpose duly

appointed by the surrogate of the county of New York, will, on the eighteenth day of August instant, at nine o'clock in the forenoon of that day, at No. Broadway, in the city of New York, in said county, proceed to make an appraisement and inventory of all the goods, chattels and credits of the said deceased. **PHILIP THOMPSON, Executor.**

Dated this twelfth day of February, 1861.

**No. 43. Pages 269, 278.**

**FORM OF COMPLETE INVENTORY.**

**OATHS OF APPRAISERS. (See page 269.)**

*County of New York, ss:* I, John A. Fleming, of the city of New York, appraiser, duly appointed by the surrogate of the county of New York, do swear and declare that I will truly, honestly and impartially appraise the personal property of James Thompson, late of the county aforesaid, deceased, which shall be for that purpose exhibited to me, to the best of my knowledge and ability.

**JOHN A. FLEMING.**

Sworn this fifteenth day of February, }  
1861, before me, }

**WILLIAM RIPLEY, Notary Public.**

[Here follows the same oath of the other appraiser.]

A TRUE AND PERFECT INVENTORY of all the goods, chattels and credits which were of James Thompson, late of the city of New York, deceased, made by the executor of the last will and testament of the said deceased, with the aid and in the presence of J. A. Livingston and Cornelius Minor, both of the city of New York, they having been duly appointed and sworn as appraisers—containing a full, just and true statement of all the personal property of the said deceased, which has come to the knowledge of the said executor, and particularly of all moneys, bank bills, and other circulating medium belonging to the said deceased, and of all just claims of the said deceased against said executor, and of all bonds, mortgages, notes and other securities for the payment of money belonging to the said deceased; specifying the names of the debtor in each security, the date, the sum originally payable, the indorsements thereon with their dates, and the sum which, in the judgment of the appraisers, may be collectable on such security.

Upon the completion of this inventory, duplicates thereof have been made, and signed at the end thereof by the appraisers.

**ARTICLES INVENTORIED.**

The following articles are exempted from appraisement to remain in the possession of Cornelia Thompson, the widow of the testator, pursuant to the statute:

One mahogany table, six mahogany chairs, one mahogany French bedstead, and two other mahogany bedsteads, with their beds and necessary bedding. Six ivory handled knives, six silver forks, six China plates, six China tea-cups and six China saucers, one silver sugar-dish, one silver milk-pot, one silver tea-pot and six silver table-spoons. The family Bible, five family pictures, all school books, and sixty-eight books, the same not exceeding in value fifty dollars, and which were kept and used as part of the family library before the decease of the testator. Three stoves kept for use by the family. The following necessary cooking utensils [describe them.] The necessary wearing apparel and clothing of the family. The clothing of the widow and her ornaments.

In addition to the above enumerated articles exempt from appraisal, the appraisers, in the exercise of their discretion, pursuant to the statute, set apart the following articles of necessary household furniture and other personal property for the use of the widow and minor children of the testator, the same not exceeding in value one hundred and fifty dollars.

[Describe the articles.]

**GENERAL INVENTORY.**

Bond made by Jonathan Little to the testator, dated the first day of October, in the year 1859, conditioned for the payment of the sum of nine thousand dollars, on the first day of October, in the year 1860, with interest at the rate of seven per cent. per annum, payable half yearly: Secured by a mortgage of real estate in the city of New York, made by the said Jonathan Little and his wife, bearing even date with the bond.....  
The payment of interest is indorsed on this bond up to the first day of April, 1855.

**\$9,000 00**

Interest now due on this bond.....\$

Promissory note, made by Thomas Shaw to the testator or order, dated the first day of February, 1857, for three thousand dollars, payable on demand, with interest.....

**3,000 00**

# INVENTORY.

xxxi

Interest now due on this note.....\$	
The following accounts are due to the testator:—	
Account against John Green, 20th March, 1857.....	\$125 00
“ “ Henry Jones, 15th April, 1858.....	280 00
Twenty-five shares of the capital stock of the Greenwich Insurance Company, in the city of New York; certificate number 198—par value, twenty-five dollars each share; present actual value, one hundred and five per cent.....	656 25
Due to the testator, from Philip Thompson, the said executor, for money borrowed without interest, two thousand dollars.....	2,000 00
The interest of the testator in the stock in trade, effects and credits of the late firm of “Thompson & Jones,” hardware merchants, in the city of New York, composed of the said testator and Jacob Jones, and in which the said testator owned the one-half share, and interest.	
The accounts and affairs of the said partnership not having been adjusted and closed, the appraisers are not able to state the exact value of this interest. From the information they have obtained, the value of the said interest is, in their judgment, not less than ten thousand dollars.....	10,000 00
Money—In specie, at the residence of the testator, at the time of his death...	220 00
“ Deposited in the Bank of America.....	1,575 00
	<hr/>
	\$26,856 25

The following stocks, securities and accounts the appraisers consider of no value:

Thirty-two shares of the capital stock of the “President, Managers and Company for erecting a bridge over the River Delaware, near the town of Milford,” of which the par value was \$50 per share.

Bond made by James Hazen to the testator, dated the 21st June, 1835, conditioned for the payment of \$600 one year after the date, with interest.

Promissory note made by Simon Ward to the order of John King, and by him indorsed to the testator, dated 2d October, 1840, for \$400, payable six months after date, duly protested.

Account against George Brown.....	\$78 00
“ “ Thomas Jackson.....	95 00

## HOUSEHOLD FURNITURE AT NO. BROADWAY, NEW YORK.

### First Floor—Front Parlor.

About sixty yards of Brussels carpet.....	\$50 00
Set of window curtains and ornaments.....	150 00
Pair of window shades, \$6; mahogany sofa, \$25.....	31 00
Two mahogany couches, \$40; rocking chair, \$7.....	47 00
Six mahogany chairs, \$18; two mahogany tabouretta, \$8.....	26 00
Large mirror, \$80; one pair of candelabras, \$40.....	120 00
Mahogany stand, \$3; astral lamp, \$9.....	12 00

[Back Parlor, similar list, and so with the other rooms of the house.]

Dated this       day of       1861.

JOHN A. FLEMING, }  
HENRY S. DECKER, } Appraisers.

No. 44. Page 279.

## OATH TO INVENTORY.

State of New York, }  
County of New York, } ss:

Philip Thompson, of the city of New York, being duly sworn, doth depose and say that he is the executor of the last will and testament of James Thompson, late of the city of New York, deceased, and that the foregoing is an inventory of the personal property of the said deceased. That the said inventory is, in all respects, just and true; that it contains a true statement of all the personal property of the said deceased which has come to the knowledge of this deponent; and particularly of all money, bank bills and other circulating medium belonging to the said deceased, and of all just claims of the said deceased against this deponent, according to the best of the knowledge of this deponent.

PHILIP THOMPSON.

Sworn this second day of March, }  
1861, before me, }  
WILLIAM RIPLEY, Notary Public.

No. 45. Page 281.

## PETITION FOR FURTHER TIME TO FILE INVENTORY.

*County of New York, }*  
*Surrogate's Court, }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of Eliza Kelly, of the city of New York, widow, respectfully sheweth

That on the seventh day of February last past, your petitioner was duly appointed the administratrix of all and singular the goods, chattels and credits of John Kelly, late of the city of New York, gentleman, deceased, intestate, her late husband.

That the personal property of the said John Kelly consists for the most part of the undivided distributive share to which the said John Kelly was entitled in and of the personal estate of Patrick Kelly, lately deceased, intestate, his brother. That William Kelly was appointed by the said surrogate the administrator of all and singular the goods, chattels and credits of the said Patrick Kelly, deceased, on or about the first day of December, in the year 1859. That the period for the settlement of the estate of the said Patrick Kelly, deceased, has not yet arrived, and that the amount of the share thereof, to which the said John Kelly or his estate may be entitled, cannot yet be ascertained. That your petitioner will, therefore, be unable to exhibit a perfect inventory of the personal property of the said John Kelly, deceased, within the three months limited by law. Your petitioner prays that she may be allowed four months further time to return such inventory. And your petitioner will ever pray, &c.

ELIZA KELLY.

Dated this first day of February, A. D. 1861.

*County of New York, ss:* On this first day of Feb., in the year 1861, personally appeared before me, Eliza Kelly, the petitioner above named, and made oath that she had read the foregoing petition, and knew the contents thereof, and that the matters of fact therein stated were true.

EDWARD C. WEST, *Surrogate.*

## ORDER FOR FURTHER TIME TO RETURN INVENTORY.

At, &amp;c. (See No. 3.)

IN THE MATTER OF THE INVENTORY OF  
 THE PERSONAL PROPERTY OF JOHN  
 KELLY, DECEASED.

On reading and filing the petition of Eliza Kelly, the administratrix of all and singular the goods, chattels and credits of John Kelly, late of the city of New York, deceased, intestate, praying that she may be allowed four months further time to return an inventory of the personal property of the said intestate, and reasonable cause therefor being shown, it is ordered that the said Eliza Kelly, administratrix as aforesaid, be allowed four months further time to return such inventory.

No. 46. Page 282.

## PETITION TO COMPEL THE RETURN OF AN INVENTORY.

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of James Tucker, of the city of New York, respectfully sheweth that your petitioner is a creditor of Henry Jones, late of the city of New York, deceased, intestate, and that there is justly due to him from the estate of the said deceased, on a promissory note made by the said Henry Jones in his lifetime to your petitioner, the sum of two hundred and fifty dollars, and interest from the eighth day of October, in the year 1860.

Your petitioner further shows, that letters of administration of all and singular the goods, chattels and credits of the said Henry Jones, deceased, were, on the twenty-fourth day of March last past, granted, by the surrogate of the county of New York, to George Jones, of the city of New York, grocer, the brother of the said intestate. That more than three months have elapsed since the granting of the said letters, and that the said George Jones has neglected to return an inventory of the personal property of the said intestate, and has not obtained an allowance of further time to do so.

In consideration of the premises, your petitioner prays that the surrogate will issue a summons, requiring the said administrator, at a short day therein to be appointed, to appear before him and return an inventory of the personal property of the said intestate according to law, or show cause why an attachment should not be issued against him; and that your petitioner may have such further or other relief in the premises as to the surrogate shall seem meet. And your petitioner will ever pray, &c.

JAMES TUCKER.

Dated this first day of February, A. D. 1861.

[The usual jurat.]

No. 47. Page 282.

## ORDER FOR ISSUING SUMMONS.

At, &amp;c. (See No. 3.)

IN THE MATTER OF THE GOODS, CHATTELS  
AND CREDITS OF HENRY JONES, DE-  
CEASED.

On reading and filing the petition of James Tucker, a creditor of Henry Jones, late of the city of New York, deceased, intestate, it is ordered, pursuant to the prayer of the said petition, that a summons issue to George Jones, the administrator of all and singular the good chattels and credits of the said intestate, requiring him personally to appear in this court on the ninth day of July, instant, at ten o'clock in the forenoon of that day, and return an inventory, according to law, of the personal property of the said intestate, or show cause why an attachment should not be issued against him.

## SUMMONS ON THE ABOVE ORDER.

*The people of the state of New York, to George Jones, the administrator of all and singular the goods, chattels and credits of Henry Jones, late of the city of New York, deceased, intestate, send greeting:*

You are hereby summoned and required personally to appear before our surrogate of the county of New York, at his office in the city of New York, on the ninth day of July instant, at ten o'clock in the forenoon of that day, and return an inventory, according to law, of the personal property of the said intestate, or show cause why an attachment should not be issued against you.

In testimony whereof, &c.

No. 48. Page 285.

## ORDER FOR ATTACHMENT FOR NOT RETURNING INVENTORY.

At, &amp;c. (See No. 3.)

Title. (See No. 47.)

A summons having been heretofore duly issued to George Jones, the administrator of all and singular the goods, chattels and credits of Henry Jones, late of the city of New York deceased, intestate, requiring him personally to appear in this court on this day, at ten o'clock in the forenoon, and return an inventory, according to law, of the personal property of the said intestate, or show cause why an attachment should not be issued against him. And now, on reading and filing the affidavit of James Den, proving the due and personal service of the said summons on the said George Jones, on the       day of       instant; and the said George Jones having failed to appear and return such inventory on oath, in compliance with the exigency of the said summons, and not having obtained further time to return the same, it is ordered that an attachment issue out of and under the seal of this court, against the said George Jones, administrator as aforesaid, to be directed to the sheriff of the city and county of New York, commanding him to attach the said administrator, and commit him to the common jail of this county, there to remain until he shall return such inventory aforesaid, on oath, according to law.

## ATTACHMENT ON THE ABOVE ORDER.

*The people of the state of New York, to the sheriff of the city and county of New York, greeting:*

We command you that you attach George Jones, the administrator of all and singular the goods, chattels and credits of Henry Jones, late of the city of New York, deceased, intestate, if he shall be found in your bailiwick, and commit him to the common jail of the city and county of New York, there to remain until he shall return an inventory, on oath, according to law, of the personal property of the said intestate, in compliance with the exigency of a summons heretofore duly issued by our surrogate of the county of New York, directed to him, requiring him to appear before him on a certain day, now past, and return such inventory, or show cause why an attachment should not be issued against him, and duly and personally served on the said George Jones, before the return day thereof, as appears by satisfactory proof of such service, duly taken and had before our said surrogate, and for disobedience to which said summons this attachment is issued, pursuant to the statute in such cases made and provided; letters of administration of all and singular the goods, chattels and credits of the said intestate having been heretofore, in due form of law, granted



and issued by the said surrogate to the said George Jones: And you are to make and return to our said surrogate, in the Surrogate's Court of the county of New York, on Monday, the day of instant, wheresoever the said court shall then be, a certificate, under your hand, of the manner in which you shall have executed this writ; and have you then and there this writ.  
In testimony whereof, &c.

[*The attachment must be indorsed as follows:*]

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE GOODS, CHATTELS  
AND CREDITS OF THOMAS BROWN,  
DECEASED.

Attachment against George Jones, administrator, &c., of Thomas Brown, deceased, intestate, for not returning an inventory of the personal property of the said deceased.

EDWARD C. WEST, Surrogate.

No. 49. Page 286.

#### ORDER FOR THE ISSUING OF A REVOCATION OF LETTERS.

At, &c. (See No. 3.)

Title. (See No. 47.)

A summons having heretofore been duly issued out of this court, to George Jones, the administrator of all and singular the goods, chattels and credits of Henry Jones, late of the city of New York, deceased, intestate, requiring him to appear in this court, on the day of last past, and return an inventory, according to law, of the personal property of the intestate, or show cause why an attachment should not be issued against him; and satisfactory proof of the due and personal service of the said summons on the said George Jones having been produced and filed; and the said George Jones having failed to appear and return such inventory, on oath, in compliance with the exigency of the said summons; and not having obtained further time to return the same; and an attachment having thereupon duly issued out of this court, directed to the sheriff of the city and county of New York, commanding him to attach the said George Jones, and commit him to the common jail of the said county, there to remain until he should return such inventory aforesaid, on oath, according to law, in compliance with the exigency of the said summons; and the said sheriff having duly returned the said attachment, with his return thereon indorsed, that he had attached the said George Jones, and committed him to the said jail, as thereby commanded, on the day of last past; and the said George Jones, after being so committed to prison, having neglected for thirty days to make and return such inventory aforesaid, and not having yet returned the same, it is ordered and decreed, and the surrogate of the county of New York, by virtue of the power in him vested, and in pursuance of the statute in such case made and provided, doth order and decree that the letters of administration of all and singular the goods, chattels and credits of the said Henry Jones, deceased, intestate, heretofore granted and issued to the said George Jones, bearing date the day of last past, be revoked, and that a revocation of the said letters issue under his seal of office.

#### REVOCATION. (See page 286.)

*The people of the state of New York, to all to whom these presents shall come or may concern, send greeting:—*

Whereas, letters of administration of all and singular the goods, chattels and credits of Henry Jones, late of the city of New York, deceased, intestate, were, on the day of in the year one thousand eight hundred and fifty-nine, duly granted and issued, by our surrogate of the county of New York, to George Jones, of the city of New York, grocer, the brother of the said intestate.

And whereas a summons was heretofore duly issued by our said surrogate, directed to the said George Jones, administrator of all and singular the goods, chattels and credits of the said intestate, requiring him to appear before him on the day of last past, at ten o'clock in the forenoon, and return an inventory, according to law, of the personal property of the said intestate, or show cause why an attachment should not be issued against him; which said summons was duly and personally served upon the said George Jones, before the return day thereof, as appears by satisfactory proof of such service, duly taken

ORDER DIRECTING SALE OF PERSONAL PROPERTY, ETC. XXXV

and had before our said surrogate. And whereas the said George Jones failed to appear and return such inventory, in compliance with the exigency of the said summons, and did not obtain further time to return the same; whereupon an attachment was duly issued by our said surrogate, under his seal of office, directed to the sheriff of the city and county of New York, commanding him to attach the said George Jones, and commit him to the common jail of the said county, there to remain until he should return such inventory aforesaid, on oath, according to law, in compliance with the exigency of the said summons. And whereas the said sheriff did make return of the said attachment, that he had attached the said George Jones, and committed him to the said jail, as thereby commanded, on the      day of last past. And whereas the said George Jones, after being committed to prison, did neglect for thirty days to make and return such inventory aforesaid, and has not yet returned the same. Now, therefore, know ye that, in pursuance of the statute in such case made and provided, the said letters of administration of all and singular the goods, chattels and credits of the said Henry Jones, deceased, intestate, so as aforesaid granted and issued, by the surrogate of the county of New York, to the said George Jones, bearing date the      day of      in the year one thousand eight hundred and fifty-nine, are hereby revoked, and that the said George Jones is hereby deprived of all power, authority and control over the goods, chattels and credits of the said Henry Jones, deceased.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, Esquire, surrogate of our said county, at the [SEAL] city of New York, this      day of      in the year of our Lord one thousand eight hundred and sixty-      and of our independence the

EDWARD C. WEST.(v)

No. 50. Page 305.

SURROGATE'S CERTIFICATE OF APPOINTMENT OF AN ADMINISTRATOR OR EXECUTOR.

*The people of the state of New York, to all to whom these presents shall come or may concern, send greeting:*

Know ye, that at the county of New York, on the      day of      in the year one thousand eight hundred and sixty-      letters (\*) of administration of all and singular the goods, chattels and credits of John Kelly, late of the city of New York, gentleman, deceased, intestate, were duly granted by the surrogate of the county of New York to Eliza Kelly, the widow of the said intestate, and that the same are still valid and in full force. In testimony whereof, we have caused, &c.

[Or proceeding from the (\*)] testamentary of the last will and testament of James Thompson, late of the city of New York, merchant, deceased, were duly granted and issued by the surrogate of the city of New York to Philip Thompson, sole executor in the said will named, and that the same are still valid and in full force. In testimony whereof, we have caused, &c.

APPLICATION BY COLLECTOR FOR DIRECTION FOR SALE, AND ORDER DIRECTING SALE OF PERSONAL PROPERTY OF THE DECEASED BY COLLECTOR. (See page 311.)

Surrogate's Court, }  
County of New York. }

IN THE MATTER OF THE GOODS, ETC., OF }  
JOHN MCKINNEY, DECEASED. }

*To the Surrogate of the County of New York:*

The petition of Bernard McFeeley of the city of New York, respectfully shows That on the thirtieth day of September, in the year 1860, he was duly appointed collector or special administrator of the goods, &c., of John McKinney, late of the city of New York, deceased; that the citation issued by the surrogate of the county of New York to the next of kin of the said deceased, to attend the probate of the last will and testament of the said deceased, is returnable on the tenth day of November next, and that before that day the said will cannot be proved; that your petitioner has procured the personal property of the said deceased to be appraised, and has duly filed an inventory of the goods, &c., of the said

(v) These forms for the revocation of the letters granted to an executor or administrator, may be adapted to the kindred cases of revocation, where an executor or administrator neglects or refuses to pay a legacy, or to render an account, pursuant to the order of the surrogate. See pp. 483, 483, 491.

deceased; that the personal property of the deceased consists for the most part of a stock of boots and shoes, at his late store No. Bowery, in the city of New York, valued by the appraisers at the sum of two thousand seven hundred and fifty-four dollars; that the said boots and shoes are liable to loss or injury by fire or other casualty, or by depredations, and that it is necessary for the preservation and benefit of the estate of the said deceased that the said goods should be sold without delay; that it will be for the interest of the estate that such sale should take place previously to the first day of November next, in order that the said store may be given up to the landlord to be rented for the quarter commencing on that day.

Your petitioner applies for the direction of the surrogate, pursuant to the statute in such case made and provided, for the sale of the said stock of boots and shoes of the deceased, at his late store No. Bowery, in the city of New York, and of the fixtures of the said store. And your petitioner will ever pray, &c.

BERNARD McFEELEY.

*State of New York,*  
*City and County of New York,* } ss:

On this seventh day of October, in the year one thousand eight hundred and sixty, personally appeared before me Bernard McFeeley of the city of New York, who, having been by me duly sworn, did depose and say that he was the petitioner in the foregoing petition named, that he had read the said petition and knew the contents thereof, and that the matters of fact alleged in the said petition were true to his knowledge.

EDWARD C. WEST, *Surrogate*.

#### ORDER DIRECTING SALE BY COLLECTOR.

At a Surrogate's Court held, in and for the county of New York, at the surrogate's office in the city of New York, on the twelfth day of October, in the year one thousand eight hundred and sixty.

Present—EDWARD C. WEST, *Surrogate*.

IN THE MATTER OF THE GOODS, ETC., OF  
JOHN MCKINNEY, DECEASED. }

On reading and filing the petition of Bernard McFeeley, the collector of the estate of John McKinney, deceased, applying for the direction of the surrogate for the sale of the stock of boots and shoes, and fixtures of the deceased, at his late store, No. Bowery, in the city of New York; and it appearing that the said goods have been appraised, and that such sale is necessary for the preservation and benefit of the estate of the said deceased; the said collector is hereby directed and authorized to sell the said stock of boots and shoes, and fixtures of the deceased, at his late store, No. Bowery, in the city of New York.

EDWARD C. WEST, *Surrogate*.

No. 51. Page 368.

#### APPLICATION BY A CREDITOR WHO HAS OBTAINED A JUDGMENT AGAINST AN EXECUTOR OR ADMINISTRATOR, AFTER A TRIAL AT LAW UPON THE MERITS, FOR AN ORDER THAT EXECUTION ISSUE.

*County of New York,* }  
*Surrogate's Court.* }

To EDWARD C. WEST, *Surrogate of the county of New York*:

The petition of Henry Thorn, of the city of New York, respectfully sheweth that, on the day of in the year one thousand eight hundred and sixty- in the term of in the said year, your petitioner, in the Superior Court of the city of New York, obtained a judgment, after a trial at law upon the merits, against Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, for five hundred and twenty dollars and thirty-seven cents damages and costs, on a promissory note made by the said James Thompson, in his lifetime, to your petitioner, as by the record and proceedings, now on file in the office of the clerk of the said court, in the city of New York, reference being thereunto had, and to which, for greater certainty, your petitioner prays leave to refer, doth and may more fully and at large appear.

Your petitioner further shows that the said judgment still remains in full force, and has not been in any way paid or satisfied.

In consideration of the premises, and to the end that an order may be duly made that an

execution be issued upon the said judgment for the amount thereof, or for the amount of the assets of the estate of the said James Thompson, deceased, in the hands of the said executor, properly applicable to the payment, in whole or in part, of the said judgment; your petitioner applies to the surrogate, pursuant to the statute in such case made and provided, for an order against the said Philip Thompson, executor as aforesaid, to show cause why an execution on the said judgment should not be issued; and he further prays that a citation may issue out of and under the seal of this court, to be directed to the said executor, requiring him, at a certain time and place therein to be named, to appear and account before the said surrogate; and that your petitioner may have such further or other relief in the premises as to the surrogate shall seem meet. And your petitioner will ever pray, &c.

Dated this      day of      1860.

HENRY THORN.

[The usual jurat.]

### ORDER TO SHOW CAUSE ON THE ABOVE PETITION.

At, &c. (See No. 3.)

IN THE MATTER OF THE APPLICATION OF  
HENRY THORN, FOR AN ORDER THAT  
EXECUTION ISSUE UPON A JUDGMENT OB-  
TAINED BY HIM AGAINST PHILIP THOMP-  
SON, THE EXECUTOR OF THE LAST WILL  
AND TESTAMENT OF JAMES THOMPSON,  
DECEASED.

On reading and filing the petition of Henry Thorn, of the city of New York, setting forth that on the      day of      in the year one thousand eight hundred and sixty-      he obtained a judgment in the Superior Court of the city of New York, after a trial at law upon the merits, against Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, for five hundred and twenty dollars and thirty-seven cents damages and costs: It is ordered, pursuant to the prayer of the said petition, that the said Philip Thompson, executor as aforesaid, personally be and appear before the surrogate of the county of New York, at his office in the city of New York, on the      day of      next, at ten o'clock in the forenoon of that day, and show cause why an execution on the said judgment should not be issued. E. C. WEST, Surrogate.

### ORDER ON THE SAME PETITION THAT A CITATION ISSUE.

At, &c. (See No. 3.)

Title. (See last order.)

On reading and filing the petition of Henry Thorn, of the city of New York, setting forth that he has recovered a judgment, after a trial at law upon the merits, against Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased: It is ordered, pursuant to the prayer of the said petition, that a citation issue to the said Philip Thompson, executor as aforesaid, requiring him to appear in this court on the      day of      next (*the return day of the above order*), at ten o'clock in the forenoon of that day, and account as such executor.

### CITATION ON THE ABOVE ORDER.

*The people of the state of New York, to Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, send greeting:*

You are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the      day of      next, at ten o'clock in the forenoon of that day, then and there to account as such executor. In testimony whereof, we have caused, &c.

[L. S.]

EDWARD C. WEST.

### No. 52. Page 370.

### ORDER THAT AN EXECUTION ISSUE UPON A JUDGMENT OBTAINED AGAINST AN EXECUTOR AFTER A TRIAL AT LAW UPON THE MERITS.

At, &c. (See No. 3.)

Title. (See order to show cause.)

It appearing that Henry Thorn, of the city of New York, on the      day of      in the year one thousand eight hundred and sixty-      obtained a judgment in the Superior Court of the city of New York, against Philip Thompson, the executor of the last will and testa-

ment of James Thompson, late of the city of New York, deceased, after a trial at law upon the merits, for five hundred and twenty dollars and thirty-seven cents damages and costs; and, on the application of the said Henry Thorn, an order having been heretofore duly made against the said executor, and served upon him, to show cause why an execution on the said judgment should not be issued; and a citation having also been issued and served upon the said executor, requiring him to appear in this court on the      day of      last past, and account as such executor; and the said parties having duly appeared, and the said Philip Thompson having produced and filed his account as such executor aforesaid; and the said matter having been heard on several days, and duly adjourned from day to day until this day; and it appearing, from the said account, that there are in the hands of the said Philip Thompson, as such executor, assets of the estate of the said James Thompson, deceased, to the amount of three thousand two hundred and fifty dollars; and that the debts and outstanding liabilities of the said deceased do not exceed the sum of two thousand three hundred dollars; and that there are assets in the hands of the said executor properly applicable to the payment in full of the said judgment, and no cause to the contrary being shown; it is ordered, and the surrogate, pursuant to the statute in such case made and provided, doth order, that execution be issued in due form of law against the said Philip Thompson, executor as aforesaid, for the whole amount of the said judgment and interest.

And it is further ordered that the fees and expenses of this proceeding be paid out of the estate of the said deceased.

**No. 53. Page 332.**

**ORDER TO ADVERTISE FOR CLAIMS.**

At, &c. (See No. 3.)

Title. (See No. 41.)

On the application of Philip Thompson, setting forth, among other things, that six months and upwards had elapsed since he was appointed the executor of the said deceased; and that he was desirous of giving such notice to the creditors of the said deceased, to present their claims as is authorized by law; and that he had selected the newspaper, printed in the county of New York, called the "New York Daily Tribune," for the purpose of publishing such notice: and praying that the surrogate would make an order directing such notice to be published in such other newspapers as he might deem necessary to give notice to said creditors: It is ordered that the said executor insert a notice, once in each week for six months, in the said "New York Daily Tribune," and also in the "Evening Post," requiring all persons having claims against said deceased to present the same, with the vouchers thereof, to the said executor, at his place of residence or place of transacting business, to be specified in such notice, on or before a day therein mentioned, which shall be at least six months from the day of the first publication of said notice.

EDWARD C. WEST.

**NOTICE TO CREDITORS.**

Notice is hereby given, according to law, to all persons having claims against James Thompson, late of the city of New York, merchant, deceased, that they are required to exhibit the same, with the vouchers thereof, to the subscriber, the executor of the last will and testament of the said deceased, at his store and place of transacting business, No. Front street, in the city of New York, on or before the      day of      next, [six months from the first publication of the notice.]

PHILIP THOMPSON, *Executor.*

Dated this      day of      A. D. 1860.

**No. 54. Page 333.**

**AFFIDAVIT OF CREDITOR TO HIS CLAIM.**

*City and county of New York, ss:* George Bruce, of the city of New York, being duly sworn, doth depose and say that the foregoing claim against the estate of William Walsh, deceased, is justly due and owing to this deponent; that no payments have been made thereon, and that there are no off-sets against the same, to the knowledge of this deponent.

Sworn this      day of      }

GEORGE BRUCE.

A. D. 1860, before me,      }

JOHN KNOX, *Notary Public.*



No. 55. Page 389.

AGREEMENT TO REFER CLAIM.

Whereas George Bruce has lately presented a claim to Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, against the said James Thompson, upon a promissory note made by the said James Thompson in his lifetime, to the claimant, or order, for six hundred dollars, dated the fourteenth day of October 1859, and payable in thirty days after date, claiming of the said executor the whole amount of the said note, with interest from the time the same became due; and whereas the said executor doubts the justice of the said claim, alleging that the said note is not a valid claim against the said deceased or his estate: It is thereupon agreed, in conformity with the statute in such case made and provided, by and between the said George Bruce and the said Philip Thompson, executor as aforesaid, that the said matter in controversy be referred, pursuant to the statute aforesaid, to James Black, of the city of New York, counsellor at law, and William Andrews and Stephen Long, of the same city, merchants, three disinterested persons, as referees, to hear and determine upon the same with all convenient speed.

Dated this      day of      A. D. 1860.

GEORGE BRUCE,  
PHILIP THOMPSON.

APPROVAL OF THE SURROGATE.

The surrogate of the county of New York hereby approves of the three persons named as referees in the foregoing agreement.

EDWARD C. WEST, *Surrogate*.

Dated this      day of      A. D. 1860.

No. 56. Page 395.

APPLICATION FOR PROOF OF A DEBT DUE FROM THE DECEASED TO AN ADMINISTRATRIX.

County of New York, }  
Surrogate's Court. }

To EDWARD C. WEST, *Surrogate of the county of New York*:

The petition of Mary Nelson, of the city of New York, respectfully sheweth that she is the administratrix of all and singular the goods, chattels and credits of Thomas Brown, late of the city of New York, deceased, intestate; that the said intestate died indebted to your petitioner in the sum of three hundred dollars and interest, due upon a promissory note made by him in his lifetime to your petitioner, dated the second day of May, 1859, for \$300, payable on demand, with interest; that the said sum is justly due and owing to your petitioner; that no payments have been made thereon, and that there are no off-sets against the same, to the knowledge of your petitioner.

Your petitioner further shows that there are no other creditors of the said intestate, according to the best of her knowledge; that she has advertised, pursuant to the statute, for claims against him, and that none have been exhibited; and that Benjamin Brown, residing in the city of Poughkeepsie, in the county of Dutchess, and Reuben Brown, residing in the city of Buffalo, in the county of Erie, his brothers, and your petitioner, his sister, are the only persons entitled to share in the distribution of the personal property of the said intestate.<sup>(w)</sup>

In consideration of the premises, and to the end that your petitioner may retain a part of the property of the said intestate in satisfaction of her said debt or claim, your petitioner prays that a citation may issue out of and under the seal of this court, pursuant to the statute in such made and provided, to be directed to the proper persons, requiring them to appear before the surrogate, and attend the proof of the said debt or claim of your petitioner against the said intestate. And your petitioner will ever pray, &c.

MARY NELSON.

Dated this      day of      1860.

[The usual jurat.]

(w) If there be a co-executor or co-administrator, that fact, and his name and residence, should be set forth in the petition, and he should be made a party to the proceeding; and, if such co-executor or co-administrator assent to the claim, it should be so stated in the petition.

## ORDER FOR CITATION ON THE ABOVE PETITION.

At, &amp;c. (See No. 3.)

IN THE MATTER OF THE PROOF OF THE DEBT  
OR CLAIM OF MARY NELSON, ADMINISTRA-  
TRIX, &c., OF THOMAS BROWN, DECEASED,  
INTESTATE, AGAINST THE SAID INTESTATE.

On reading and filing the petition of Mary Nelson, the administratrix of all and singular the goods, chattels and credits of Thomas Brown, late of the city of New York, deceased, intestate, setting forth that she has a claim against the said intestate, it is ordered, pursuant to the prayer of the said petition, that a citation issue to the proper persons, requiring them to appear in this court on the       day of       next, at ten o'clock in the forenoon of that day, and attend the proof of the said debt or claim of the said administratrix.

And it is further ordered that the said citation be served on the persons to whom the same shall be directed, at least fifteen days before the return day thereof.

## CITATION ON THE ABOVE ORDER.

*The people of the state of New York, to Benjamin Brown, residing in the city of Poughkeepsie, in the county of Dutchess, and Reuben Brown, residing in the city of Buffalo, in the county of Erie, send greeting:*

You and each of you are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the       day of       next, at ten o'clock in the forenoon of that day, then and there to attend the proof of the debt or claim of Mary Nelson, the administratrix of all and singular the goods, chattels and credits of Thomas Brown, late of the city of New York, deceased, intestate, against the said intestate.

In testimony whereof, we have caused, &c.

[L. S.]

EDWARD C. WEST.

No. 57. Page 376.

## PETITION OF A CREDITOR FOR A DECREE FOR PAYMENT OF HIS DEBT.

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of John Rudd, of the city of New York, merchant, respectfully sheweth that your petitioner is a creditor of James Thompson, late of the city of New York, deceased; that he has a valid claim against the said deceased, on a promissory note made by the said James Thompson in his lifetime, to the order of your petitioner, dated the       day of       185 , for six hundred dollars, payable six months after date; that the said claim is justly due and owing to your petitioner; that no payments have been made thereon, and that there are no off-sets against the same, to the knowledge of your petitioner; that letters testamentary of the last will and testament of the said James Thompson, deceased, were duly granted, by the surrogate of the county of New York, to Philip Thompson, sole executor in the said will named, on the       day       185 ; that your petitioner duly exhibited the said claim to the said executor, under the notice published by him for the exhibition of claims, and that he assented to the justness and correctness of the same; that your petitioner has demanded payment of the said claim from the said executor, since the expiration of one year from the time of the granting of the letters testamentary aforesaid to him, and that he has neglected to pay the same.

Your petitioner further shows that he is informed and believes that ample assets for the payment of all claims against the said James Thompson, deceased, have come into the hands of the said Philip Thompson, as such executor aforesaid.

In consideration of the premises, your petitioner prays that a decree may be made, pursuant to the statute in such case made and provided, against the said executor, for the payment of the said claim of your petitioner. And your petitioner will ever pray, &c.

JOHN RUDD.

Dated this       day of       1860.

[The usual jurat.]

DECREE FOR PAYMENT OF A CLAIM.

xl

No. 58. Page 376.

ORDER FOR CITATION ON THE ABOVE PETITION.

At, &c. (See No. 3.)

IN THE MATTER OF THE CLAIM OF JOHN  
RUDD, A CREDITOR OF JAMES THOMP-  
SON, DECEASED.

On reading and filing the petition of John Rudd, of the city of New York, merchant, a creditor of James Thompson, late of the city of New York, deceased, setting forth that he has a just claim against the said deceased, and more than six months having elapsed since the granting of the letters testamentary of the last will and testament of the said deceased; it is ordered that a citation issue to Philip Thompson, the executor of the last will and testament of the said James Thompson, deceased, requiring him to appear in this court, on the day of instant, at ten o'clock in the forenoon of that day, and show cause why the surrogate should not decree payment of the said debt or claim against him.

And it is further ordered that the said citation be served on the said Philip Thompson, at least four days before the return day thereof.

CITATION ON THE ABOVE ORDER.

*The people of the state of New York, to Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, send greeting:*

You are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the day of instant, at ten o'clock in the forenoon of that day, then and there to show cause why the said surrogate should not decree payment against you of the debt or claim of John Rudd, of the city of New York, merchant, against the said James Thompson, deceased, upon an alleged promissory note made by the said James Thompson, in his lifetime, to the order of the said John Rudd, for six hundred dollars.

EDWARD C. WEST.

In testimony whereof, we have caused, &c.

No. 59. Page 377.

DECREE FOR PAYMENT OF A CLAIM.

At, &c. (See No. 3.)

*Title.* (See No. 58.)

John Rudd, of the city of New York, merchant, having heretofore presented his petition to the surrogate of the county of New York, setting forth that he had a valid claim against James Thompson, late of the city of New York, deceased, upon a promissory note made by the said James Thompson in his lifetime, to the order of the said petitioner, dated the day of for six hundred dollars, payable six months after date, and praying a decree against Philip Thompson, the executor of the last will and testament of the said James Thompson, deceased, for payment of the said claim; and more than six months having elapsed since the granting of the letters testamentary of the last will and testament of the said deceased; and the said executor having been duly cited to appear on the day of last past, and show cause why such payment should not be decreed: And the said Philip Thompson having appeared, and having assented to the said claim of the said John Rudd, and having produced and filed an account as such executor; and the said matter having been heard on several days, and duly adjourned until this day; and it appearing, from the said account and from the proofs herein taken, that there are in the hands of the said Philip Thompson, as such executor aforesaid, assets of the estate of the said James Thompson, deceased, to the amount of two thousand seven hundred and twenty-five dollars, and that the debts and outstanding liabilities of the said deceased do not exceed the sum of one thousand seven hundred dollars: It is ordered and decreed, and the surrogate of the county of New York, by virtue of the power in him vested, doth order and decree, pursuant to the statute in such case made and provided, that the said Philip Thompson, executor as aforesaid, pay to the said John Rudd the full amount of his said claim and interest, amounting in the whole to the sum of dollars and cents.

And it is further ordered that the said Philip Thompson personally pay the fees of this proceeding, and the costs of the said John Rudd therein to be taxed.

No. 60. Page 442.

**BOND TO REFUND LEGACY, WHERE THE SAME IS DIRECTED TO BE PAID  
BEFORE THE EXPIRATION OF THE YEAR**

Know all men by these presents, that we, Henry Tompkins, of the city of New York, grocer, and George Storma, of the same place, saddler, and John Baker, of the same place, cooper, are held and firmly bound unto Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, in the sum of one thousand dollars, lawful money of the United States of America, to be paid to the said Philip Thompson, as such executor aforesaid, or to his certain attorney, successor or assigns; to which payment, well and truly to be made, we bind ourselves, our and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals.

Dated the       day of       one thousand eight hundred and sixty-

Whereas, the said James Thompson, in and by his said last will and testament, did give and bequeath to the said Henry Tompkins the sum of five hundred dollars, to be paid to him in two months after the decease of the said James Thompson: And whereas the said Henry Thompson has demanded payment of the said legacy from the said executor before the expiration of one year from the time of the granting of the letters testamentary of the said last will and testament to the said executor, and the said executor is about to pay the same, pursuant to the statute in such case made and provided, upon the execution and delivery of this obligation.

Now, the condition of this obligation is such, that if any debts against the said deceased shall duly appear, and which there shall be no other assets to pay, and there shall be no other assets to pay other legacies, or not sufficient, and the said Henry Tompkins shall refund the legacy so paid, or such ratable proportion thereof, with the other legatees, as may be necessary for the payment of the said debts, and the proportional parts of such other legacies, and the costs and charges incurred by reason of the said payment to him; and if the probate of the said will shall be revoked, or the will declared void, and the said Henry Tompkins shall refund the whole of the said legacy, with interest, to the executor or administrator entitled thereto, then this obligation to be void; otherwise to remain in full force and virtue.

(Signed,)

[SEAL]  
[SEAL]  
[SEAL]

Sealed and delivered, &amp;c.

No. 61. Page 443.

**APPLICATION BEFORE THE EXPIRATION OF THE YEAR, BY A PERSON  
ENTITLED TO A LEGACY, TO RECEIVE A PORTION OF SUCH LEGACY  
AS NECESSARY FOR HIS SUPPORT.**

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of Samuel Reynolds, of the city of New York, respectfully sheweth

That your petitioner is a legatee under the last will and testament of James Thompson, late of the city of New York, deceased; that the said last will and testament was duly proved before the surrogate of the county of New York, and recorded in his office, on the       day of       in the year 186   , and that letters testamentary thereof were on the same day duly granted and issued by the said surrogate to Philip Thompson, sole executor in the said will named. That, in and by the said will, the said James Thompson did give and bequeath to your petitioner the sum of one thousand dollars, as by the said will, or the aforesaid record thereof, reference being thereto had, will more fully appear; and your petitioner prays leave to make such reference, if it shall be necessary for him so to do.

Your petitioner further shows that ample assets of the estate of the said testator for the payment of all his debts, and for the discharge of all the specific and general legacies given by his said will, have come into the hands of the said Philip Thompson, as such executor aforesaid, and that, as your petitioner is informed and believes, there is at least one-third more of assets of the estate of the said James Thompson, deceased, in the hands of the said Philip Thompson, as such executor aforesaid, than will be sufficient to pay all debts, legacies, and claims against the said estate now known.

Your petitioner further shows that he is in indigent circumstances, and that an advance of a portion of the said legacy is necessary for his support. He therefore prays that he may

ORDER ALLOWING PAYMENT OF THE PORTION.

xliii

be allowed, in pursuance of the statute in such case made and provided, to receive a portion of the said legacy to the amount of three hundred dollars, as necessary for his support, upon a satisfactory bond being executed for the return of such portion, with interest, whenever required.

SAMUEL REYNOLDS.

And your petitioner will ever pray, &c.

*On the copy of the petition served on the executor, the following notice is to be indorsed:*

SIR,—Take notice, that the petition, of which the within is a copy, will be presented to the surrogate of the county of New York, at his office in the city of New York, on Monday, the      day of      instant, at ten o'clock in the forenoon of that day, and that the said surrogate will then and there be moved to grant the prayer thereof. And further, that William Seaman, residing in the Tenth ward of the city of New York, merchant, and George Spicer, residing in the Fifteenth ward of the same city, gentleman, will be offered as sureties in the bond mentioned in the said petition, to be executed on the granting of the prayer thereof.

Yours, &c.,

SAMUEL REYNOLDS, *Petitioner within named, or*

GEORGE BARKER, *Proctor for, &c.*

Dated this      day of      A. D. 186 .

To PHILIP THOMPSON, *Executor, &c., of James Thompson, deceased.*

BOND.

Know all men by these presents, that we, Samuel Reynolds of the city of New York, carpenter, and William Seaman of the same city, merchant, and George Spicer of the same city, gentleman, are held and firmly bound unto Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, in the sum of six hundred dollars, lawful money of the United States of America, to be paid to the said Philip Thompson, as such executor aforesaid, or to his certain attorney, successors or assigns; to which payment well and truly to be made, we bind ourselves, our and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals. Dated the      day of      one thousand eight hundred and sixty.

Whereas the said James Thompson, in and by his said last will and testament, did give and bequeath to the said Samuel Reynolds the sum of one thousand dollars; and whereas the said legatee has lately applied to the surrogate of the county of New York, previous to the expiration of one year from the granting of the letters testamentary to the said executor, to be allowed to receive a portion of the said legacy, to the amount of three hundred dollars, as necessary for his support; and reasonable notice of the said application having been given to the said executor, and the said surrogate being about to allow the said portion of the said legacy to be advanced to the said legatee, pursuant to the statute in such case made and provided, upon the execution and delivery of this obligation:

Now, the condition of this obligation is such, that if the said Samuel Reynolds shall return the said portion of the said legacy, with interest, whenever required, then this obligation to be void, otherwise to remain in full force and virtue.

Sealed and delivered, &c.

(Signed.)

ORDER ALLOWING PAYMENT OF THE PORTION.

At, &c. (*See No. 3.*)

IN THE MATTER OF THE LEGACY OF SAMUEL REYNOLDS, UNDER THE LAST WILL AND TESTAMENT OF JAMES THOMPSON, DECEASED.

Samuel Reynolds, of the city of New York, carpenter, a legatee under the last will and testament of James Thompson, late of the city of New York, deceased, having lately made application to the surrogate of the county of New York, previous to the expiration of one year from the granting of the letters testamentary of the said last will and testament to Philip Thompson, the executor thereof, to be allowed to receive a portion of the legacy of one thousand dollars, given and bequeathed to him in and by the said last will and testament, to the amount of three hundred dollars, as necessary for his support; and reasonable notice of the said application having been given to the said executor, as appears by proof duly taken and filed herein; and it appearing that there is at least one-third more of assets of the estate of the said James Thompson, deceased, in the hands of the said Philip Thomp-



son, as such executor aforesaid, than will be sufficient to pay all debts, legacies and claims against the said estate now known, and that the said sum of three hundred dollars is necessary for the support of the said legatee, and a satisfactory bond having been executed for the return of the said portion with interest whenever required, and no objection being made: It is ordered, and the surrogate doth allow, that the said Philip Thompson, executor as aforesaid, advance and pay to the said Samuel Reynolds a portion of his said legacy, to the amount of three hundred dollars.

And it is further ordered that the said Samuel Reynolds pay the fees and expenses of this proceeding.

---

No. 62. Page 490.

PETITION FOR ORDER TO ACCOUNT.

County of New York, }  
 Surrogate's Court. }

To EDWARD C. WEST, *Surrogate of the county of New York*:

The petition of William Thompson, of the city of New York, merchant, respectfully sheweth

That your petitioner is one of the residuary legatees under the last will and testament of James Thompson, late of the city of New York, deceased. That the said last will and testament was duly proved before the surrogate of the county of New York, and recorded in his office on the       day of       in the year 1859, and that letters testamentary thereof were on the same day duly granted and issued by the said surrogate to Philip Thompson, sole executor in the said will named, and that more than eighteen months have expired since the time of such appointment of the said executor. That in and by the said will the said James Thompson, after giving and bequeathing certain specific and general legacies to the persons therein named, did give and bequeath all the rest, residue and remainder of his personal property to his three sons, Philip Thompson, the said executor, Samuel Thompson, and William Thompson, your petitioner, to be equally divided between them, share and share alike, as by the said will, or the aforesaid record thereof, reference being thereto had, will more fully appear; and your petitioner prays leave to make such reference, if it shall be necessary for him so to do.

Your petitioner further shows that the said James Thompson left a large personal estate, amounting to more than ten thousand dollars, as by the inventory thereof, filed by the said executor in the office of the surrogate, will, reference being thereunto had, fully appear; and that there is, or should be, a large amount of the said personal property remaining in the hands of the said executor, after paying all the debts of the said testator, and discharging and paying all the specific and general legacies bequeathed by his said will.

Your petitioner further shows that he has frequently, since the expiration of eighteen months from the time of the appointment of the said Philip Thompson as such executor aforesaid, applied to him for an account of his proceedings in the discharge of his said trust, and for payment of the share or portion of the personal property of the said testator due to your petitioner under such bequest aforesaid, but that the said Philip Thompson has heretofore refused to render such account or to make such payment.

In consideration of the premises, and to the end that the said Philip Thompson, executor as aforesaid, may be required to pay to your petitioner the amount of your petitioner's said claim, under the said last will and testament of the said testator, your petitioner prays that an order may be granted requiring the said Philip Thompson, at a certain short day to be therein specified, personally to appear in this court, and render an account of his proceedings as such executor aforesaid, and that such further or other proceedings, according to law, and pursuant to the practice of this court, may be thereon had, as may be requisite to enforce the payment of your petitioner's claim aforesaid, and as to the surrogate shall seem just and equitable. And your petitioner will ever pray, &c.

Dated this       day of       A. D. 1860.

[The usual jurat.]

---

No. 63. Page 490.

ORDER TO ACCOUNT.

At, &c. (See No. 3.)

IN THE MATTER OF THE ESTATE OF }  
 JAMES THOMPSON, DECEASED. }

On reading and filing the petition of William Thompson, of the city of New York, merchant, one of the residuary legatees under the last will and testament of James Thompson,

late of the city of New York, deceased; it is ordered, pursuant to the prayer of the said petition, that Philip Thompson, the executor of the last will and testament of the said James Thompson, deceased, personally be and appear before the surrogate of the county of New York, at his office in the city of New York, on the       day of       next, at ten o'clock in the forenoon of that day, and render an account of his proceedings as such executor aforesaid, or show cause why an attachment should not issue against him. E. C. W.

## ORDER FOR A CITATION TO ACCOUNT. (See page 491.)

At, &amp;c. (See No. 3.)

Title. (As above.)

On reading and filing the petition of William Thompson, of the city of New York, merchant, one of the residuary legatees under the last will and testament of James Thompson, late of the city of New York, deceased, it is ordered that a citation issue to Philip Thompson, the executor of the said last will and testament, requiring him personally to be and appear in this court, on the       day of       next, at ten o'clock in the forenoon of that day, then and there to render an account of his proceedings as such executor, or show cause why an attachment should not issue against him.

## CITATION TO ACCOUNT.

*The people of the state of New York, by the grace of God free and independent, to Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, send greeting:*

You are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the       day of       next, at ten o'clock in the forenoon of that day, and then and there to render an account of your proceedings as such executor, or show cause why an attachment should not issue against you.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, surrogate of our said county, at the surrogate's [SEAL] office in the city of New York, the       day of       in the year one thousand eight hundred and fifty-       and of our independence the eighty-  
EDWARD C. WEST, Surrogate.

## No. 64. Page 501.

## EXECUTOR'S APPLICATION FOR FINAL SETTLEMENT OF HIS ACCOUNT.

County of New York, }  
Surrogate's Court. }

To EDWARD C. WEST, Surrogate of the county of New York:

The petition of Philip Thompson, of the city of New York, respectfully sheweth

That he was duly qualified and appointed by the surrogate of the county of New York as the executor of the last will and testament of James Thompson, late of the city of New York, deceased, on the       day of       in the year one thousand eight hundred and sixty- and that eighteen months and upwards have expired since such appointment.

Your petitioner further shows that, in and by his said last will and testament, the said James Thompson did dispose of his estate as follows [*set forth the will, or so much thereof as directs the disposition of the personal property*], as by the said last will and testament, and the probate thereof, now in the possession of your petitioner, or by the record of the same in the office of the surrogate, reference being thereunto had, will fully appear. And your petitioner prays leave to make such reference, if it shall be necessary for him so to do, for the purpose of a settlement of his accounts as such executor aforesaid. (w)

Your petitioner further shows that, immediately after his appointment as such executor, he entered upon the duties of the trust thereof. (\*) That he has been required by the surrogate to render an account of his proceedings as such executor, and that he desires to have his said account finally settled.

Your petitioner therefore applies to the surrogate, pursuant to the statute in such case made and provided, for a citation requiring the creditors, legatees and next of kin of the said James Thompson, deceased, to appear before the surrogate on some day therein to be specified, and to attend the settlement of such accounts. And your petitioner will ever pray, &c. P. T.

Dated this       day of       A. D. 1861.

(w) The object of this part of the petition is stated at page 500, *ante*, and may or may not be deemed important. It may certainly be omitted where the estate has proved insufficient for the payment of the debts.

[*If there has been no order to account, proceed from the (\*) as follows:*] And that he is prepared to render a final account of his proceedings as such executor. He therefore prays that a citation may issue out of and under the seal of this court, to be directed to all persons interested in the estate of the said James Thompson, deceased, requiring them to appear in this court on a certain day to be therein specified, to attend the final settlement of the accounts of your petitioner as such executor aforesaid. And your petitioner will ever pray, &c. P. T.

Dated this      day of      186 .

No. 65. Page 503.

ORDER FOR ISSUING CITATION TO ATTEND FINAL SETTLEMENT.

At, &c. (See No. 3.)

IN THE MATTER OF THE ACCOUNTING OF  
PHILIP THOMPSON, AS EXECUTOR OF  
THE LAST WILL AND TESTAMENT OF  
JAMES THOMPSON, DECEASED.

On reading and filing the petition of Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, and eighteen months having expired since the time of the appointment of the said executor, (\*) and the said Philip Thompson having been required to render an account of his proceedings as such executor, it is ordered, pursuant to the prayer of the said petition, that a citation issue requiring the creditors, legatees and next of kin of the said James Thompson, deceased, to appear in this court, on the      day of      next, at ten o'clock in the forenoon of that day, then and there to attend the final settlement of the account aforesaid.

CITATION.

*The people of the state of New York, to the creditors, legatees and next of kin of James Thompson, late of the city of New York, deceased, send greeting:*

You and each of you are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the day of      next, at ten o'clock in the forenoon of that day, then and there to attend the settlement of the account of Philip Thompson, as the executor of the last will and testament of the said James Thompson, deceased.

In testimony whereof, we have caused, &c.

[L. S.]

EDWARD C. WEST, Surrogate.

[*If the executor render his account without being ordered to do so, the order for issuing the citation will be, proceeding from the (\*), as follows:*] It is ordered, pursuant to the prayer of the said petition, that a citation issue to all persons interested in the estate of the said James Thompson, deceased, requiring them to appear in this court on the      day of      next, at ten o'clock in the forenoon of that day, to attend the final settlement of the accounts of the said Philip Thompson as such executor aforesaid.

CITATION.

[The citation in the last case will be as follows:]

*The people of the state of New York, to all persons interested in the estate of James Thompson, late of the city of New York, deceased, send greeting:*

You and each of you are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the day of      next, at ten o'clock in the forenoon of that day, then and there to attend the final settlement of the accounts of Philip Thompson, as the executor of the last will and testament of the said James Thompson, deceased.

In testimony whereof, we have caused, &c.

[L. S.]

EDWARD C. WEST, Surrogate.

**No. 66. Pages 496, 509.**

### FORM OF AN ADMINISTRATOR'S ACCOUNT.

DR.      *The Estate of A. B., deceased, intestate, in account with C. D., Administrator, &c.*      CR.

1859.			1859.		
Aug. 14.	To cash paid surrogate's fees, on granting administration and appointing appraisers.....	\$11 12	Oct. 2.	By cash, net proceeds of sale of fixtures, stock, &c., at the factory .....	\$548 47
Oct. 2.	To cash paid for advertising notice of sale of stock, fixtures, &c., at the factory, in the Sun, Tribune, and Courier.....	4 25	" 16.	By cash received for sales from factory.....	81 96
" "	To cash paid for printing and circulating fifty handbills of the same sale.....	1 50	" "	By cash received from O. P., his account in full.....	7 00
" 16.	To cash paid E. F., the owner of the factory kept by the intestate, balance of two quarters' rent, due at the time administration was granted, with interest .....	275 08	" "	By cash, proceeds of sale of horse	55 00
1860.			Dec. 30.	By cash received from H. B., his account in full, by the hands of J. H., attorney, &c.....	18 88
Feb. 15.	To cash paid, Surrogate's fee on order to advertise.....	85	1860.		
" "	To cash paid Evening Post and Courier newspapers, for advertising notice to creditors to exhibit their claims .....	11 00	Mar. 20.	By cash received from P. D., judgment against him, with interest, by the hands of J. H.	126 40
Sept. 2.	To cash paid surrogate's fee for certificate.....	50	"		
" 20.	To cash paid S. H. on account of his claim.....	22 15	1861.		
1861.			Feb. 12.	By cash left on deposit, by intestate, in the Greenwich Savings Bank .....	\$489 90
Jan. 10.	To cash paid Mrs. A. C., executrix, &c., of F. C., deceased, on account of debt due by the intestate to the estate of the said F. C., deceased.....	\$75 00		By interest on ditto to 1st January, 1859....	10 97
Feb. 8.	To cash paid J. H., attorney, &c., for services, advice, costs, &c.	95 18		By ditto to 1st July....	11 25
	To balance to new account.....	460 80		By ditto to 1st Jan. 1861	11 55
		<u>\$1,256 88</u>			478 67
					<u>\$1,256 88</u>
			Feb. 15.	By balance brought down, am't now on hand, subject to the payment of expenses and administrator's commissions, and to distribution.....	\$460 80

**The following claims exist against the estate of the said intestate:**

[Give a list, with the particulars of the claims.]

**No. 67. Pages 496, 510.**

### OATH TO ACCOUNT.

*County of New York, ss:* James Hill, of the city of New York, being duly sworn, doth depose and say that he is the administrator of all and singular the goods, chattels and credits of Henry Lee, late of the city of New York, deceased, intestate, and that the annexed account is in all respects just and true; that the same, according to the best of his knowledge, information and belief, contains a full and true account of all his receipts and disbursements on account of the estate of the said deceased, and of all sums of money and property belonging to the estate of the said deceased, which have come into his hands as such administrator, or which have been received by any other person, by his order or authority, for his use; and that he doth not know of any error or omission in the said account, to the prejudice of any of the parties interested in the estate of the said deceased.

This deponent further says that the sums under twenty dollars, charged in the said account, for which no vouchers or other evidences of payment are hereto annexed, or for which he may not be able to produce vouchers or other evidences of payment, have actually been paid and disbursed by him as charged.

JAMES HILL.

Sworn this day of , A. D. }  
1861, before me, }

EDWARD C. WEST, *Surrogate.*

No. 68. Page 495, note (c) ; also page 509.

ACCOUNT RENDERED BY AN EXECUTOR OR ADMINISTRATOR ON A FINAL SETTLEMENT.

Surrogate's Court,  
County of New York. }

IN THE MATTER OF THE ACCOUNTING OF  
JAMES HILL, AS THE EXECUTOR OF  
THE LAST WILL AND TESTAMENT OF  
HENRY LEE, DECEASED.

Account of proceedings.

To the Surrogate of the County of New York :  
I, James Hill, of the city of New York, do render the following account of my proceedings as administrator of Henry Lee, deceased, for final settlement and allowance :

On the       day of       in the year 1859, letters testamentary of the last will and testament of Henry Lee, late of the city of New York, deceased, were issued to me. On the day of       A. D. 1859, I caused an inventory of the personal estate of the deceased to be filed in this office, which personal estate therein set forth amounts by appraisement, by the appraisers duly appointed, to \$       .

*Schedule A*, hereto annexed, contains a statement of all the property contained in said inventory, sold by me at public or private sale, with the prices and manner of sale ; which sales were fairly made by me, at the best prices that could then be had, with due diligence, as I then believed. It also contains a statement of all the debts due the said estate and mentioned in said inventory, which have been collected, and also of all interest for moneys received by me for which I am legally accountable.

*Schedule B*, hereto annexed, contains a statement of all debts in said inventory mentioned, not collected or collectable by me, together with the reasons why the same have not been collected and are not collectable ; and also a statement of the articles of personal property mentioned in said inventory unsold, and the reasons of the same being unsold, and their appraised value ; and also a statement of all property mentioned therein lost by accident, without any wilful default or negligence, the cause of its loss, and appraised value. No other assets than those in said inventory, or herein set forth, have come to my possession or knowledge, and all the increase or decrease in the value of any assets of said deceased is allowed or charged in said Schedules A and B.

*Schedule C*, hereto annexed, contains a statement of all moneys paid by me for funeral and other necessary expenses for said estate, together with the reasons and object of such expenditure.

On or about the       day of       in the year 1860, I caused a notice for claimants to present their claims against the said estate to me within the period fixed by law, and at a certain place therein specified, to be published in two newspapers, according to law, for six months, pursuant to an order of the surrogate of the county of New York ; to which order, notice and due proof of publication, herewith filed, I refer as part of this account.

*Schedule D*, hereto annexed, contains a statement of all the claims of creditors presented to and allowed by me, or disputed by me, and for which a judgment or decree has been rendered against me, together with the names of the claimants, the general nature of the claim, its amount and the time of the rendition of the judgment ; it also contains a statement of all moneys paid by me to the creditors of the deceased, and their names and the time of such payment.

*Schedule E*, hereto annexed, contains a statement of all moneys paid to the legatees, widow or next of kin of the deceased.

*Schedule F*, hereto annexed, contains the names of all persons entitled, as widow, legatee or next of kin of the deceased, to a share of his estate, with their places of residence, degree of relationship, and a statement of which of them are minors, and whether they have any general guardian, and if so, their names and places of residence, to the best of my knowledge, information and belief.

*Schedule G*, hereto annexed, contains a statement of all other facts affecting my administration of said estate, my rights, and those of others interested therein.

I charge myself—  
Amount of inventory.....\$  
“       increase, as shown by Exhibit A.....



*I credit myself—*

Amount of loss on sales, as per Schedule B.....\$  
 " debts not collected, as per do.....  
 " Schedule C.....  
 " Schedule D.....  
 " Schedule E.....

Leaving a balance of.....\$

to be distributed to those entitled thereto, subject to the deductions of the amount of my commissions, and the expenses of this accounting. The said schedules, which are severally signed by me, are part of this account.

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE ACCOUNTING OF  
 \_\_\_\_\_, OF \_\_\_\_\_,  
 DECEASED.

*City and county of New York*, ss: I, James Hill, of the city of New York, being duly sworn, say that the charges made in the foregoing account of proceedings and schedules annexed, for moneys paid by me to creditors, legatees and next of kin, and for necessary expenses, are correct; that I have been charged therein all the interest for moneys received by me and embraced in said account for which I am legally accountable; that the moneys stated in said account as collected were all that were collectable, according to the best of my knowledge, information and belief, on the debts stated in such account, at the time of the settlement thereof; that the allowances in said account for the decrease in the value of any assets, and the charges therein for the increase in such value, are correctly made; and that I do not know of any error in said account, or anything omitted therefrom, which may in anywise prejudice the rights of any party interested in said estate. And deponent further saith that the sums under twenty dollars charged in the said account, for which no vouchers or other evidences of payment are produced, or for which I may not be able to produce vouchers or other evidences of payment, have actually been paid and disbursed by him as charged.

JAMES HILL.

Sworn this \_\_\_\_\_ day of \_\_\_\_\_,  
 186 , before me, \_\_\_\_\_

No. 69. Page 515.

#### ENTRY OF ISSUING SUBPOENA ON AN ACCOUNTING.

At, &c. (See No. 3.)

Title. (See No. 68.)

William Thompson, the petitioner herein, having applied for a subpoena to be directed to Samuel Reynolds and James Clark, as witnesses on his part herein, it is ordered that a subpoena issue accordingly, returnable the \_\_\_\_\_ day of \_\_\_\_\_ instant, at ten o'clock in the forenoon.

#### SUBPOENA.

[Follow No. 9, except that, in the place of "in the matter of proving," &c., insert in the matter of the accounting of Philip Thompson as the executor of the last will and testament of James Thompson, late of the city of New York, deceased.]

No. 70. Page 517.

#### ORDER OF REFERENCE TO AN AUDITOR.

At, &c. (See No. 3.)

Title. (See No. 68.)

Philip Thompson, the executor of the last will and testament of James Thompson, late of the city of New York, deceased, having rendered his account of his proceedings as such executor, it is ordered that the said account be referred to William Talmadge, of the city of New York, counsellor at law, as auditor, to examine and report thereon.

It is further ordered that the first hearing of this matter before the said auditor take place at his office, No. \_\_\_\_\_ Wall street, in the city of New York, on the \_\_\_\_\_ day of \_\_\_\_\_ instant, at ten o'clock in the forenoon of that day, and that the said auditor bring in his report

herein, before the surrogate, on the      day of      next at ten o'clock in the forenoon, which last mentioned day is appointed for the hearing of the parties hereto at the surrogate's office in the city of New York, on the confirmation of the said report of the said auditor.

No. 71. Page 517.

### FORM OF AN AUDITOR'S REPORT.

#### BEFORE THE SURROGATE.

IN THE MATTER OF THE ACCOUNTING OF J.  
W. S., THE ADMINISTRATOR OF ALL AND  
SINGULAR THE GOODS, CHATTELS AND  
CREDITS OF W. W., DECEASED.

I, the subscriber, an auditor appointed by the surrogate of the city of New York to examine the accounts of J. W. S., administrator of the estate of W. W., deceased, and to make report thereon, do hereby respectfully report that I have examined the said accounts and have been attended upon said examination by the said administrator and by A. K. W., the widow of the said deceased, and by W. C. F., the guardian ad litem of the minor children of the said deceased, and by T. P. B., on the part of the executrix of F. C., deceased, a creditor; that the accounts of the said administrator, presented by him, are correct, with the following exceptions, that is to say:

1. That the claim made by A. C., executrix, and J. L., executor of F. C., deceased, should have been allowed by the said administrator at \$696 29, instead of \$701 48.
2. That from the bill of particulars of the item of \$95 13, charged in said administrator's account for cash paid J. H., attorney, &c. (which bill of particulars is annexed to the said administrator's account and marked G.), there should be deducted the sum of \$5, the second item in said bill, which ought to be paid by the administrator personally, and not charged to the estate. And I also submit the propriety of the last charge in said bill of particulars, for the sum of ten dollars, to the decision of the surrogate, the same being for services rendered in his court, and to be allowed or disallowed according to whatever rule the surrogate adopts in such cases. I will only remark that I do not consider the charge unreasonable in amount for the services rendered. It is, however, objected to by the widow and the guardian for the children.

I do also further report that, from the testimony taken before me, it appears that the said administrator has used due diligence in endeavoring to collect the debts due to the estate, and that he has collected all of the same that were collectable.

I further report that the following are just claims against the said estate, with the exception that the administrator has paid, as stated in his account, to S. H., \$22 50, and to Mrs. A. C., executrix, &c., of F. C., deceased, \$375, which amounts are to be severally deducted from their respective distributive shares of said estate, that is to say:

C. & A., 22d June, 1858.....	\$100 00
F. A. K., 6th September, 1859.....	7 36
C. E. Q., 27th November, 1859.....	50 15
I. P. S., 7th February, 1859.....	45 87
S. H. S., 31st July, 1860.....	53 15
H. C., executrix of F. C., deceased, January 8, 1860.....	696 29

There is also a suit now pending in the Superior Court of the city of New York, brought by said administrator against H. L., a debtor of said estate, who defends said suit on the ground that he paid the demand to the widow of the deceased before the appointment of the said administrator.

And also, that the charges in said account of the said administrator, for moneys paid for necessary expenses, not hereinbefore particularly referred to, are correct, and also that the fixtures, stock, &c., at the factory belonging to the said estate, were sold in the usual manner at public auction, and that the ordinary means by advertising, &c., and due diligence and prudence were used in obtaining a just price for the same.

I do hereby further report that the whole amount of the assets which have come to the hands of the said administrator is \$1,294 57; that the amount of the administrator's commissions is \$57 36; that the amount which I have allowed as properly paid for necessary expenses is \$404 08, leaving a balance applicable to the payment of debts and the expenses of this accounting, and any other necessary expenses that may yet be incurred, of \$833 13, which may be further reduced if the item of ten dollars, above submitted to the judgment of the surrogate, should be allowed by him.

And I further report that all the claims presented against the estate and allowed amount to \$953 42, of which a portion has been paid, as above stated.

All which is respectfully submitted.

New York, 1861.

J. W. W.

No. 72. Page 510.

EXECUTOR'S CLAIM AND OATH.

[State the particulars of the claim; if it be on an account, the dates and items on both the debit and credit side must be given; if it be on a note or other security, a copy of the same, with any indorsements, may be given; or the date, the sum originally payable, the terms of payment, and the payments which may have been made, must be set forth.] The following oath is to be annexed:

*County of New York*, ss: Philip Thompson, of the city of New York, being duly sworn, doth depose and say that the foregoing claim against James Thompson, late of the city of New York, deceased, is in all respects just and true; and that the sum of dollars and cents is now justly due to this deponent thereon, after allowing all payments and all proper discounts and off-sets against the same. PHILIP THOMPSON.

Sworn this day of A. D. }  
1861, before me, }  
EDWARD C. WEST, Surrogate.

No. 73. Page 529.

EXECUTOR'S RENUNCIATION OF A PROVISION MADE BY THE WILL FOR SPECIFIC COMPENSATION.

*To all whom it may concern*: Whereas James Thompson, late of the city of New York, deceased, in and by his last will and testament, did give and bequeath to Philip Thompson, his executor therein named, the sum of two hundred and fifty dollars for specific compensation for his services as such executor: Now know ye, that I, the said Philip Thompson, executor as aforesaid, divers good and sufficient causes me thereunto moving, do hereby renounce all right and claim to the said specific legacy.

Witness my hand, this day of in the year one thousand eight hundred and sixty-one. PHILIP THOMPSON.

No. 74. Pages 575, 576, 577.

FINAL DECREES AND DECREES FOR DISTRIBUTION.

At, &c. (See No. 3.)

IN THE MATTER OF THE FINAL SETTLEMENT OF  
THE ACCOUNTS OF C. D., ADMINISTRATOR OF  
THE GOODS, &C., OF A. B., DECEASED.

C. D., the administrator of all and singular the goods, chattels and credits of A. B., late of the city of New York, deceased, intestate, having heretofore duly rendered his account of his proceedings as such administrator, and a citation having been thereupon duly issued, pursuant to the statute, to all persons interested in the estate of the said deceased, and on the return of the said citation, on the day of last past, B. A., of the firm of C. & A., and F. A. R., creditors of the said intestate, having duly appeared in person, and W. C. F. having been duly appointed by the surrogate, the special guardian of the children and next of kin of the said intestate for the sole purpose of appearing for them and taking care of their interests upon the settlement of the accounts aforesaid, the said children and next of kin being minors, and the said special guardian having duly appeared in behalf of the said minors, and the said matter having been duly adjourned from day to day, and the said administrator having filed his supplemental and explanatory account, and A. E. B., the widow of the said intestate, having appeared and disputed the said accounts, and A. O., the executrix of the last will and testament of F. O., deceased, a creditor of the said A. B., deceased, having appeared by T. P. B., her proctor and counsel, and the said accounts having been duly referred to I. W., Esquire, as auditor, and the said auditor having returned and filed his report thereon, which is dated the ninth day of April, instant, and which said report has been duly confirmed, and the charge of ten dollars, the allowance of which is thereby submitted to the judgment of the surrogate, having been disallowed, and the said matter having been duly adjourned to this day, and on motion of I. H., of counsel for the said administrator, it is ordered, adjudged and decreed, and the surrogate, by virtue of the power and authority in him vested, doth order, adjudge, and decree that the said accounts of the said administrator, as corrected and allowed by the said auditor, according to his said report,

and after disallowing the said charge of ten dollars, be. and the same are hereby finally settled and allowed.

The following is a summary statement of the said accounts as settled and allowed, made and recorded, pursuant to the statute in such case made and provided, that is to say :

The total amount of the assets which have come into the hands of the said administrator, including proceeds of sale of the goods, &c., of the intestate, and all debts which were collectable, is one thousand two hundred and ninety-four dollars and fifty-seven cents.....	\$1,294 57
The total amount of the charges for necessary expenses as allowed, is four hundred and four dollars and eight cents.....	\$404 08
The commissions of the said administrator amount to fifty-seven dollars and thirty-six cents.....	57 36
	<hr/>
	\$461 44    \$461 44

Leaving a balance to pay the costs and expenses of these proceedings, and for the purposes of distribution, of eight hundred and thirty-three dollars and thirteen cents.....	833 13
The said administrator has paid, on account of the debt due by the intestate to the executrix, &c., of F. C., deceased, the sum of three hundred and seventy-five dollars.....	375 00
On account of debt due to S. H., the sum of twenty-two dollars and fifty cents.....	22 50
	<hr/>
	\$397 50    \$397 50

Leaving a balance in the hands of the said administrator of four hundred and thirty-five dollars and sixty-three cents.....	435 63
---	--------

The claims against the estate of the said A. B., deceased, and for which the assets which came to the hands of the said administrator were liable, as settled and allowed as aforesaid, were as follows, that is to say :

C. & A.....	22d June, 1858.....	\$100 00
F. A. K.....	6th Sept., 1859.....	7 36
C. E. Q.....	27th Nov., 1859.....	50 15
J. P. S.....	7th Feb., 1859.....	45 87
S. H. S.....	31st July, 1860.....	53 75
L. C., executrix, &c., of F. C., deceased, 8th Jan'y, 1860.....		696 29
		<hr/>
		\$953 42

Of which have been paid on account of the said claims of A. C., executrix, &c., and S. H. S., as above set forth, the sum of.....	\$397 50
---	----------

And it appearing that there is still pending and undetermined a suit, brought by the said administrator in the Superior Court of the city of New York, against H. L., to recover a debt due by the said H. L. to the said intestate, and the demand in controversy in which said suit, if recovered and collected by the said administrator, will be assets in his hands applicable to the purposes of his administration: It is ordered that all further proceedings in this matter be stayed until the determination of the said suit, and that the said matter stand adjourned until the            day of            next, at ten o'clock in the forenoon.

And it is further ordered that the said administrator, out of the said balance of moneys so as aforesaid remaining in his hands, pay to the auditor aforesaid the sum of six dollars and fifty cents, allowed to him for compensation for his services in this matter; and that, out of the said moneys, he also pay the surrogate's fees and charges upon this proceeding, amounting to the sum of ten dollars and forty-five cents; and also that he retain, out of the said balance of moneys, the sum of eight dollars and forty-five cents, allowed for his necessary and legal expenses incurred in conducting the said proceeding.

DECREE FOR DISTRIBUTION.

liii

DECREE FOR DISTRIBUTION.

At, &c. (See No. 3.)

IN THE MATTER OF THE FINAL SETTLEMENT OF  
THE ACCOUNTS OF C. D., ADMINISTRATOR  
OF THE GOODS, &C., OF A. B., DECEASED.

The accounts of C. D., administrator of all and singular the goods, chattels and credits of A. B., late of the city of New York, deceased, having been duly settled and allowed by the decree made in this matter on the eighteenth day of April last past; and the said matter having been duly adjourned until this day; and the said administrator having appeared in person, and by J. H., his counsel, and E. B., the widow of the said deceased, W. C. F., the special guardian of the children of the said deceased, minors, and B. A., creditor of the said deceased, having appeared in person, and A. C., the executrix, &c., of F. C., deceased, a creditor of the said deceased, having appeared by I. E. P., her proctor: And it appearing that the suit mentioned in the said decree brought by the said administrator in the Superior Court of the city of New York, against H. L., has been terminated in favor of the defendant therein, and the said administrator having rendered a further account, and the same having been referred to J. W., Esquire, as auditor, and the said auditor having made his report: And it appearing from the said account and report that the said administrator has received the sum of ten dollars and twenty-six cents, for interest on the sum of four hundred and ten dollars and twenty-three cents, remaining in his hands as such administrator at the time of the said decree, making the whole sum belonging to the estate of the deceased, for which the said administrator is now accountable, four hundred and twenty dollars and forty-nine cents; and that he has paid under the order of the said Superior Court, the referees' bill of fees in the said suit above mentioned, amounting to twenty-five dollars and seventy-four cents; and, also, the plaintiff's attorney's taxed bill of costs in the said suit, amounting to one hundred and forty-one dollars and seventeen cents, leaving a balance now in the hands of said administrator, belonging to the estate of the said A. B., deceased, of two hundred and fifty-three dollars and fifty-eight cents. On motion of J. H., of counsel for the said administrator, it is ordered, adjudged and decreed, and the surrogate, by virtue of the power in him vested, doth order, adjudge and decree that the said report be confirmed, and that the said account be, and the same is hereby finally settled and allowed.

And it is further ordered that the said administrator, out of the said sum so remaining in his hands, pay to the auditor aforesaid, for compensation for his services in this matter, the sum of two dollars; and also that he pay the surrogate's fees on this proceeding, amounting to four dollars and eighty-one cents, and that he pay and distribute the balance of the said sum, amounting to two hundred and forty-six dollars and seventy-seven cents, to and among the creditors of the said deceased named in the said above mentioned decree proportionably, according to the amounts due on their respective claims, as stated and established in and by the said decree.

The whole amount of the assets of the said estate which came into the hands of the said administrator, applicable to the payment of the fees and expenses of these proceedings, and for the purpose of distribution, as established by the said above mentioned decree, was.....

\$833 13

To which is to be added interest included in the account this day filed,

10 26

From which is to be deducted the fees and expenses directed to be paid and allowed by the said above mentioned decree.....

\$ 25 40

Referee's bill and costs as taxed in suit against H. L., as stated in account filed this day.....

166 91

Fees and expenses on present proceedings.....

6 81

Leaving a balance applicable to the payment of the debts of the deceased of six hundred and forty-four dollars and twenty-seven cents

644 27

\$843 39

\$843 39

The amount paid on account of debts, as stated in the said above mentioned decree, is \$397 50, leaving the balance now distributable, as above stated.....

\$246 77

It is therefore ordered that the said administrator pay to the persons named in the first



column of the following list, creditors of the said intestate, the sums set opposite their respective names in the third column of the said list, that is to say, that he pay to

C. & A. on their claim of.....	\$100 00	\$67 58
F. A. K. on his claim of.....	7 36	4 97
C. E. Q. on his claim of.....	50 15	33 83
I. P. S. on his claim of.....	45 87	30 99
S. H. S. on his claim of.....	53 75	
Whereof the said administrator has already paid the sum of \$22 50		13 82
A. C., executrix, &c., of F. C., deceased, on her claim of.....	696 29	
Whereof the said administrator has already paid the sum of \$375		96 53
		<hr/> \$246 77

The said sums being the amounts to which the said several creditors of the said intestate are respectively entitled on this distribution.(y)

ANOTHER FORM.

At, &c. (See No. 3.) 11th July, 1844.

IN THE MATTER OF THE ESTATE OF I. R., DECEASED.

The surrogate makes and records this summary statement of the accounts of S. F., I. L. R. and P. R. K., executors of the last will and testament of I. R. aforesaid, deceased, as finally allowed and settled by him :

The debit side of the said accounts, principal.....	\$179,074 42	
Income.....	11,250 00	
		<hr/> \$190,324 42
The credit:		
To sundry bills for personal expenses, outstanding debts, expenses of collecting estate previous to Feb. 27, 1860.....	\$1,328 43	
From February 27, 1860, to July 10, 1860, per statement.....	146 60	
To legacies and interest.....	2,070 75	
To commissioners of executors by law.....	2,003 24	
To the following sums paid under the provisions of the will, as follows:—		
To the children of E. B. R., through the N. Y. L. I. & T. Company, their guardian.....	4,574 75	
To I. D.....	23,073 95	
To E. A. & I. R. D.....	9,186 32	
To S. F., I. L. R. and P. B. R., as trustees of E. M. K.....	23,073 75	
To the same, as trustees of C. C.....	23,073 75	
To the same, as trustees of E. G.....	23,073 75	
To the same, as trustees of M. R. R.....	32,307 45	
To the same, as trustees of C. S.....	23,073 75	
To the same, as trustees of E. W.....	23,073 75	190,060 24
		<hr/>
Leaving a balance of.....		\$264 18

In the same matter.—On the eighth day of July, in the same year, at the place aforesaid,

(y) The following form of discharge to an administrator may be adopted. It may be easily applied in cases of payment to legatees or next of kin. It is here drawn to be indorsed on a certified copy of the decree for distribution :

C. & A..	\$67 58
F. A. K.,	4 97
C. E. Q.,	33 83

We do hereby severally acknowledge to have received from C. D., the administrator of all and singular the goods, chattels, and credits of A. B., deceased, the sums above set opposite our respective names, and ordered to be paid to us respectively by the decree of which the within is a copy ; and we do hereby, in consideration of the premises, and of one dollar to each of us in hand paid, release and discharge the said C. D. from all further liability and accountability as such administrator aforesaid, or for or on account of the estate of the said A. B., deceased.

In witness whereof we have hereunto severally set our hands and seals.  
Sealed in the presence of }

C. & A., [SEAL]  
F. A. K., [SEAL]  
C. E. Q., [SEAL]

at the office of the surrogate, the citation issued by the said surrogate, on the petition of S. F., I. L. R. and P. R. K., executors, being returned duly served on the N. Y. L. I. & T. Company, the guardians of the infant children E. B. R., and on I. D., and duly advertised in the New York American and Albany Argus, and the said P. R. K., one of the executors, appearing in proper person, and by W. B., Esquire, his counsel and the counsel of the executors, and no other person appearing, whereupon the said surrogate proceeded to examine the said executor upon oath, and the inventory of the estate which was produced before him, and the vouchers and accounts of the said executors; and it appearing that the said executors have accounted for every part of the said estate, and that no profit has been made by them of any increase in the inventory, and the accounts of the said executors having been finally settled, and a summary statement of the same as finally settled and allowed by the surrogate having been above and herewith recorded: It is ordered that the said accounts be, and the same are finally settled and allowed as filed and adjusted by the surrogate.

*In the same matter.*—The accounts of S. F., I. L. R. and P. R. K., the executors of I. R., deceased, having been finally settled and allowed, by which it appears that there is in their hands undistributed the sum of two hundred and sixty-four dollars and eighteen cents: It is ordered that they pay out of this sum fifteen dollars for the costs and expenses of such accounting and of the distribution..... \$15 00

And that they pay the residue of said sum as follows:  
To the N. Y. L. I. & T. Co., as guardians of the children of E. B. R..... 44 25  
To I. D..... 21 10  
To the children of S. D..... 51 69  
To S. F., I. L. R. and P. R. K., trustees of E. M. R..... 21 30  
To the same, as trustees of C. C..... 21 30  
To the same, as trustees of E. G..... 21 30  
To the same, as trustees of M. R. R..... 25 61  
To the same, as trustees of C. S..... 21 31  
To the same, as trustees of E. W..... 21 32  
As the respective distributive shares under said will remaining undistributed to them as legatees.

ANOTHER FORM.

At, &c. (See No. 3.) 11th November, 1860.

IN THE MATTER OF THE FINAL ACCOUNT-  
ING OF A. B. AND M. E. W., AS AD-  
MINISTRATOR AND ADMINISTRATRIX  
OF THE ESTATE OF I. W., DECEASED.

The surrogate makes and records this summary statement of the accounts of the administrator and administratrix, as finally settled and allowed by him and adjusted.

The whole amount of the inventory, as mentioned in said account, is.....\$2,710 61  
The increase of said inventory is..... 797 19

Making the total amount of assets .....\$3,507 80  
From this there is to be deducted the following items:  
Demands against the estate, as per Schedule B .....\$1,731 47  
Debts due the estate uncollectable, per Schedule F..... 115 48  
Expenses of funeral and administration, per Schedule G..... 49 90 1,896 85  
Leaving a balance, as settled,..... \$1,610 95

*In the same matter.*—The accounts of A. B. and M. E. W., administrator and administratrix, having been filed, and a summary statement of the same, as finally settled and allowed by the surrogate, having been above and herewith recorded, it is ordered that the said accounts be, and the same are, settled and allowed as filed and adjusted by the surrogate.

IN THE MATTER OF THE DISTRIBUTION  
OF THE PERSONAL ESTATE OF I. W.,  
DECEASED.

The accounts of A. B. and M. E. W., the administrator and administratrix, having been finally settled and allowed, by which it appears that there is in their hands undistributed the sum of one thousand six hundred and ten dollars and ninety-five cents, (1,610 95:) It is

ordered that the said administrator and administratrix be allowed the sum of one hundred and twelve dollars and sixty-seven cents for their commissions, and that they pay the sum of seven dollars and sixty-three cents for the costs and expenses of such accounting and of this distribution, and that they distribute the residue of the said sum as follows, to wit: To M. E. W. the sum of four hundred and ninety-six dollars and eighty-eight cents, as widow; the sum of three hundred and thirty-one dollars and twenty-five cents to the guardian of F. A. W., a minor; the sum of three hundred and thirty-one dollars and twenty-five cents to the guardian of W. A. W., a minor; and the sum of three hundred and thirty-one dollars and twenty-five cents to the guardian of B. T. W., a minor—being the sums to which they are respectively entitled on this distribution.

At a Surrogate's Court held, in and for the county of New York, at the surrogate's office in the city of New York, on the fifteenth day of February, in the year one thousand eight hundred and sixty-one.

Present—EDWARD C. WEST, *Surrogate*.

IN THE MATTER OF THE FINAL ACCOUNT-  
ING OF JAMES BRONSON AND JOHN  
HANSON, EXECUTORS OF THE LAST  
WILL AND TESTAMENT OF WILLIAM  
MUNSON, DECEASED.

James Bronson and John Hanson, the executors of the last will and testament of William Munson, late of the city of New York, deceased, having heretofore presented an application to the surrogate of the county of New York for the final settlement of their accounts as such executors, and the surrogate having thereupon issued a citation directed to all persons interested in the estate of said deceased, requiring them to be and appear in this court on the 5th day of January last, and attend such final settlement; and the said citation having been returned with due proof of service on all parties in interest, excepting Mary Munson, one of the legatees under the last will and testament of the said deceased, and on the return day of said citation, the surrogate having adjourned the said matter to the 28th day of January last, and having issued a citation to the said Mary Munson, requiring her to be and appear in this court on said last mentioned day, and attend the final settlement of the accounts aforesaid, and the said last mentioned citation having been duly served upon the said Mary Munson, as appears by the proof of such service duly filed herein, and the said executors having appeared in person, and by Alexander W. Bradford, Esq., their proctor, and Henry James, a legatee under the last will and testament of the said deceased, having appeared in person, and by Mr. John Todd, his proctor, and the surrogate having appointed Gabriel Van Cott, Esq., of the city of New York, the special guardian of Thomas Munson and Helen Munson, infant legatees under the last will and testament of said deceased, for the sole purpose of appearing for them and taking care of their interests on this accounting, and the said executors having filed their account of proceedings with the vouchers in support thereof, and objections having been filed against the same by the said Henry James, and the said matter having been adjourned from time to time until this day, and testimony having been taken in relation to said account and objections, and after hearing the respective parties, and due deliberation having been thereupon had, it is ordered, adjudged, and decreed that the accounts of the said executors be, and the same are hereby finally settled and allowed as filed and adjusted.

The surrogate makes and records the following summary statement of the accounts of the said executors, as finally settled and allowed, filed and adjusted:

PRINCIPAL.—*The said executors charge themselves,*

With amount of inventory.....	\$168,327 56
" " " increase as shown by their account.....	8,682 41
They are also charged with three years' interest on the bond and mortgage of Henry Stevens, for \$22,000.....	4,620 00
	<hr/>
	\$181,629 97

*The said executors credit themselves,*

With amount of loss on sales.....	\$ 386 51
" " " debts uncollected.....	9,832 36
" " " furniture specifically bequeathed and transferred to R. M., the widow of testator, pursuant to the directions of the will of the testator.....	3,654 21

With amount paid necessary expenses of administration.	\$1,867	42	
Less amount for which no vouchers or other evidences of payment are produced, .....	762	50	1,104 92
With amount paid debts .....			9,621 30
“ “ “ general legacies .....			10,000 00
“ “ “ B. M., residuary legatee, on account .....			4,600 00
“ “ “ M. M. “ “ “ .....			3,950 00
“ “ “ J. M. “ “ “ .....			6,854 11
			\$50,003 41
Leaving a balance of principal of .....			\$131,626 56

INCOME ACCOUNT.—*The said executors charge themselves,*

With total amount received .....			33,426 51
<i>They credit themselves,</i>			
With amount paid R. M., widow of testator, three years' interest on legacy of \$30,000 .....			\$6,300 00
With amount paid B. M. ....			7,651 32
“ “ “ M. M. ....			8,652 41
“ “ “ J. M. ....			6,952 36
			29,556 09
Leaving a balance of income of .....			\$3,870 42

The account of James Bronson and John Hansom, the executors of the last will and testament of William Munson, deceased, having been finally settled and allowed, by which it appears that they have on hand, of the principal of said estate, the sum of \$131,626 56, and of the income of said estate, the sum of \$3,870 42, and it appearing that there is an action now pending in the Supreme Court of the state of New York, in which James Lambert is plaintiff, and the executors aforesaid are defendants, to recover the sum of \$8,654 31, and it further appearing that the moneys of the said estate have been received by the said executors in unequal portions, and the said executors having requested the surrogate to apportion the commissions to which they are entitled as such executors aforesaid, between them in the proper proportions, according to their respective just rights and interests therein: It is ordered that, out of said balance of principal in their hands, the said executors pay the surrogate's fees and costs of this accounting, amounting to the sum of \$ , that they pay to Alexander W. Bradford, Esq., the proctor for said executors on this accounting, the sum of \$ , as and for his costs in this proceeding, to John Todd, Esq., proctor for said Henry James, the sum of \$ , as and for his costs in the said proceeding, to the said special guardian of the said infant parties therein the sum of \$ , as and for his costs on this accounting, and that said executors retain, as and for their commissions applicable to the principal of said estate, the sum of \$ , which is apportioned between the said executors as follows, that is to say: To J. B. the sum of \$ , and to the said J. H. the sum of \$ ; and it is further ordered that the said executors retain in their hands the sum of \$9,500, to abide the event of the said action now pending in the Supreme Court, brought by James Lambert against the said executors, the said amount to be hereafter accounted for by the said executors to the said surrogate.

And it is further ordered that, out of said balance of principal, the said executors invest and keep invested the sum of \$30,000, in bonds secured by mortgages of real estate in the city of New York, the income thereof to be paid to R. M., the widow of said testator, during her natural life, pursuant to the directions and provisions of the said will of the said testator; and after the death of the said widow, that the said executors distribute the said principal sum in the manner directed in and by the said last will and testament.

And it is further ordered that the said executors pay to R. M., a son of the said testator, the sum of \$ , which, with the sum of \$4,600 heretofore received by him, will be in full of his share of the residuary estate of the said testator, distributable upon this accounting.

And it is further ordered that the said executors pay to M. M., a daughter of said deceased, the sum of \$ , which, with the sum of \$3,950, heretofore received by her, will be in full of her share of the residuary estate of the said testator, distributable upon this accounting.

And it is further ordered that the said executors pay to T. M., a daughter of said deceased, the sum of \$ , which, with the sum of \$6,854 11 heretofore received by her, will be in full of her share of the residuary estate of the said testator, distributable on this accounting.

And it appearing that S. M., a daughter of the said deceased, and one of the residuary legatees under his will, is a minor, having no general guardian appointed within this state:

It is ordered that her share of the said residuary estate, distributable on this accounting, amounting to the sum of \$ , be paid into this court, and invested under the direction of the surrogate for her benefit, pursuant to the statute in such case made and provided.

And it is further ordered that, out of said balance of income remaining in their hands, the said executors retain, as and for their commissions applicable thereto, the sum of \$ , which is hereby apportioned as follows, that is to say: To James Bronson the sum of \$ , and to John Hanson the sum of \$

And it is further ordered that the residue of said income be distributed as follows, that is to say:

To the said B. M., the sum of \$

To the said M. M., the sum of \$

To the said J. M., the sum of \$

which, with the sums heretofore received by them respectively, will be in full of their respective shares of the said income distributable on this accounting.

And it is further ordered that the share of S. M., a minor daughter of said testator, in the said income, and amounting to the sum of \$ , be paid into court, and applied by the surrogate for her benefit.

EDWARD C. WEST, Surrogate.

**No. 75. Page 581.**

**CERTIFICATE OF DECREE FOR PAYMENT OF MONEY.**

*County of New York, }*  
*Surrogate's Court. }*

IN THE MATTER OF THE ACCOUNTING OF  
PHILIP THOMPSON, AS THE EXECU-  
TOR OF THE LAST WILL AND TESTA-  
MENT OF JAMES THOMPSON, LATE OF  
THE CITY OF NEW YORK, DECEASED.

I, Edward C. West, surrogate of the county of New York, do hereby certify that, on the day of in the year one thousand eight hundred and sixty- , a decree was made by me in this matter in favor of William Thompson, residing in the city of Poughkeepsie, in the county of Dutchess, carpenter, a creditor of James Thompson, deceased, against Philip Thompson, residing in the city of New York, merchant, the executor of the last will and testament of the said James Thompson, directing the payment by the said Philip Thompson, executor as aforesaid, to the said William Thompson, of the sum of three hundred and twenty-four dollars and ten cents, for the debt due to the said William Thompson from the said deceased, and the sum of thirty-one dollars and twenty-five cents for his costs and expenses in this proceeding, making in the whole the sum of three hundred and fifty-five dollars and thirty-five cents.

Witness my hand and seal of office at the city of New York, this day of in the [L. S.] year one thousand eight hundred and sixty-one.

EDWARD C. WEST, Surrogate.

**No. 76. Page 581.**

**ORDER TO ASSIGN ADMINISTRATOR'S BOND, ON EXECUTION BEING RETURNED UNSATISFIED.**

At, &c. (See No. 3.)

IN THE MATTER OF THE DECREE IN FAVOR  
OF L. C., AGAINST A. J., ADMINIS-  
TRATOR, ETC., OF W. B., DECEASED,  
FOR THE PAYMENT OF MONEY.

A decree having heretofore been made by this court, requiring A. J., the administrator of all and singular the goods, chattels and credits of W. B., late of the city of New York, deceased, intestate, to pay to L. C. the sum of three hundred and fifteen dollars and ten cents for his claim against the estate of the said intestate, and a certificate of the said decree having been issued, and the same having been docketed with the clerk of the county of New York, pursuant to the statute in such case made and provided, as appears by a certified copy of the transcript of the said docket duly filed herein; and an execution having been duly issued thereon to the sheriff of the city and county of New York, against the said A. J.; and the said execution having been returned unsatisfied, as appears by a certified copy of the said execution, and of the return of the said sheriff, also duly filed herein, and on reading and filing the application of the said L. C., it is ordered that the bond given by the said A. J. as



such administrator aforesaid, be, and the same is hereby assigned to the said L. C., for the purpose of being prosecuted.(z)

No. 77. Page 592.

PETITION FOR AUTHORITY TO MORTGAGE, LEASE, OR SELL REAL ESTATE  
OF DECEASED.

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of A. L., administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, respectfully sheweth

That the said B. M. departed this life on the thirteenth day of July, in the year 1859, and that your petitioner was duly appointed such administrator aforesaid, by the surrogate of the county of New York, on the      day of      in the year 1859: That he has made and filed an inventory, according to law, of the personal estate of said intestate, and that he has discovered the said personal estate to be insufficient to pay the debts of the said intestate.

Your petitioner further shows that the amount of personal property of the said intestate which has come into his hands as such administrator, is nineteen thousand eight hundred and fifteen dollars and eighteen cents, and that the sources whence, and the manner in which the said sum has been derived, appear in the account hereto annexed, marked Schedule A. That your petitioner has applied the said personal property in due course of administration, and has paid out the sum of thirteen thousand one hundred and seventeen dollars and eighty cents, and that the particulars of such application of the said personal property also appear in the said account hereto annexed, marked Schedule A, leaving in the hands of your petitioner, as such administrator aforesaid, on this      day of      in the year 1861, the sum of six thousand six hundred and ninety-seven dollars and thirty-eight cents. And your petitioner verily believes and states the fact to be, that he has proceeded with reasonable diligence in converting the personal property of the said intestate into money, and applying the same to the payment of debts.

Your petitioner further shows that the debts outstanding against the said intestate, and the particulars thereof, as far as the same can be ascertained, appear in the schedule hereto annexed, marked Schedule B. That the debts against the said intestate not secured by mortgage, or otherwise charged upon the real estate of the said intestate hereinafter described, and which remain to be paid on this      day of      in the year 1855, as far as the same can be ascertained by your petitioner, and as have been admitted by him upon due evidence, amount to four hundred and thirty-two thousand one hundred and fifty-four dollars and fifty-eight cents, exclusive of interest, as fully appears by the said last mentioned schedule.

Your petitioner further shows that the claims against the said intestate, mentioned in the schedule hereto annexed, marked schedule C, have been presented to your petitioner as such administrator aforesaid, but have not been admitted by him, because, by the books of accounts of the said intestate, by no means so large sums appear to be due to the parties presenting such claims.

Your petitioner further shows that the said intestate died seised of the following described real estate, valued at the sums respectively affixed to each lot or parcel, and occupied or not occupied, as stated in respect to each of the said several lots or parcels; that is to say:

All that certain house and lot of land situate, lying and being in the Eighth ward of the city of New York, and known as, &c.

The value of this house and lot, in the judgment of your petitioner, is.....\$8,000

The occupant of the same is W. G.

Also all those certain lots, pieces or parcels of ground situate, &c.

The value of these lots, in the judgment of your petitioner, is.....\$800

They are not occupied.

Your petitioner further shows that the heirs of the said B. M., deceased, are D. M., E. M. and F. J., wife of A. J., all over the age of twenty-one years, and G. M. and H. M., minors,

(z) With respect to the prosecution of the bond it may be stated, in addition to what is observed upon the subject in the text, at pages 581-2, that the provision in the Code requiring that the action shall be brought by the actual party in interest, is satisfied in suits upon official bonds given to the people, where they are named as plaintiffs, upon the relation of the proprietor of the claim. In such cases the defendants cannot be prejudiced by the procedure, as the relator would, if unsuccessful, be responsible for the costs. It is true that, in other cases, he for whose benefit the suit was instituted might be equally responsible, but the interest of third parties, who are not named, is not always discoverable. Besides, these official bonds are not actually assigned, but the moving parties are authorized to institute suits upon them. They are still held by the obligees or their officers, for the benefit not only of the proving party, but of all others who may have similar claims to enforce. As to all such, the people are still the effectual trustees. The case of *Baggot v. Boulger* (3 Duer, 160. See pages 581-2) merely decides that in those suits on administrators' bonds the creditor may be made the plaintiff, not that he must be. See *The People on the relation of Demarest agst. Laws*, 4 Abbott Prac. Rep. 292.

over fourteen years of age, having no general guardian, and J. M., a minor under fourteen years of age, also having no general guardian, all residing in the city of New York, his only children.

(\*) In consideration of the premises, your petitioner, pursuant to the statute in such case made and provided, applies to the surrogate for authority to mortgage, lease, or sell so much of the real estate of the said B. M., deceased, as shall be necessary to pay his debts.

And your petitioner will ever pray, &c.

Dated this      day of      1855.

[The usual jurat.]

SCHEDULE A will consist of an account of the proceedings of the administrator up to the time of the presentation of the petition, showing the items and particulars of all sums received and paid out by him in the course of the administration.

SCHEDULE B will consist of a list of the debts owing by the deceased, showing the names of the persons to whom the same are due, and in respect to each debt, whether it is on bond, note, book account or otherwise; the date, and terms and conditions, so far as they can be ascertained of each, the sums originally payable, and the payments which may have been made, and the amount due thereon.

SCHEDULE C will consist of a list of the debts disputed by the administrator, and the particulars thereof.

[If the administrator shall have ascertained that the requisite amount for the payment of the debts of the intestate may be raised by mortgage, a statement may be inserted in the petition at the (\*) similar to the following:]

Your petitioner further shows that W. R., of the city of New York, has offered to loan a sufficient amount to pay the debts of the said intestate, on a mortgage to him of the said real estate.

**No. 78. Page 593.**

**NOTICE OF INTENTION TO APPLY FOR THE APPOINTMENT OF A SPECIAL GUARDIAN.**

*County of New York—Surrogate's Court.*

IN THE MATTER OF THE APPLICATION OF  
A. L., THE ADMINISTRATOR, ETC.,  
OF B. M., DECEASED, INTESTATE,  
FOR AUTHORITY TO MORTGAGE,  
LEASE, OR SELL THE REAL ESTATE  
OF THE SAID INTESTATE FOR THE  
PAYMENT OF HIS DEBTS.

Take notice, that I have presented an application to the surrogate of the county of New York, pursuant to the statute in such case made and provided, for authority to mortgage, lease, or sell the real estate of B. M., late of the city of New York, deceased, intestate, for the payment of his debts, and that I intend to apply to the said surrogate, at his office in the city of New York, on the      day of      instant, at ten o'clock in the forenoon, for the appointment of a guardian for each of you, the minor heirs of the said intestate, for the sole purpose of appearing for you and taking care of your interests in the proceedings on the said application.

Yours, &c.,

Dated this      day of      1861.

A. L., Administrator, &c., of B. M., deceased.

To G. M. }

H. M. }

J. M. }

*Minors, heirs of B. M., deceased.*

**No. 79. Page 594.**

**ORDER APPOINTING SPECIAL GUARDIAN.**

At, &c. (See No. 3.)

IN THE MATTER OF THE APPLICATION OF  
A. L., THE ADMINISTRATOR, ETC., OF  
B. M., DECEASED, INTESTATE, FOR  
AUTHORITY TO MORTGAGE, LEASE,  
OR SELL THE REAL ESTATE OF THE  
SAID INTESTATE FOR THE PAYMENT  
OF HIS DEBTS.

A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, having heretofore presented his application to the

surrogate, pursuant to the statute in such case made and provided, for authority to mortgage, lease, or sell the real estate of the said intestate for the payment of his debts; and on reading and filing due proof, by affidavit, of a service of a notice of the intention of the said administrator to apply on this day for the appointment of a special guardian for G. M. and H. M., minors over fourteen years of age, and J. M., a minor under fourteen years of age, on the said G. M. and H. M. personally, and on S. M., the mother of the said J. M., in whose custody the said minor now is, and with whom he lives; and no one appearing to oppose, it is ordered that W. C. F., a disinterested freeholder residing in the city of New York, be, and he is hereby appointed the guardian of the said G. M., H. M. and J. M., minors aforesaid, for the sole purpose of appearing for them, and taking care of their interest in the proceedings on the said application.

**No. 80. Page 595.**

**ORDER TO SHOW CAUSE.**

At, &c. (See No. 3.)

Title. (See No. 79.)

On reading and filing the application of A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, for authority to mortgage, lease, or sell the real estate of the said intestate for the payment of his debts, it is ordered that all persons interested in the estate of the said B. M., deceased, appear before the surrogate of the county of New York, at his office in the city of New York, on the      day of      next, at ten o'clock in the forenoon of that day, then and there to show cause why authority should not be given to the said administrator to mortgage, lease, or sell so much of the real estate of the said B. M., deceased, as shall be necessary to pay his debts.

**No. 81. Page 603.**

**ENTRY OF DEMANDS AGAINST THE DECEASED IN THE SURROGATE'S MINUTES.**

At, &c. (See No. 3.)

IN THE MATTER OF THE APPLICATION  
OF T. C., THE ADMINISTRATOR, ETC.,  
OF R. S., DECEASED, INTESTATE, TO  
MORTGAGE, LEASE, OR SELL THE  
REAL ESTATE OF THE SAID R. S.,  
DECEASED, FOR THE PAYMENT OF  
HIS DEBTS.

On hearing, at the time and place appointed, in the order to show cause, entered in this matter on the fourth day of April, 1860, and at the times and places to which such hearing was adjourned, the said surrogate adjudged the demands of the following persons to be valid and subsisting demands against the said testator, R. S., deceased that is to say: The demand of

	Time due.	Amounts paid.	When paid.
W. T. \$2,400 00,.....	1st Jan., 1858,	\$402 99.....	October 7th, 1859.
		290 00.....	November 5th, 1859.
W. A. 300 00,.....	9th Sept., 1857,	120 00.....	October 7th, 1859.
		40 50.....	March 4th, 1860.

**No. 82. Page 609.**

**BOND ON ORDER TO MORTGAGE.**

*Know all men by these presents*, that we, A. L., of the city of New York, the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, and J. R., of the same city, merchant, and N. P., of the same city, gentleman, are held and firmly bound unto the people of the State of New York in the sum of      dollars, lawful money of the United States of America, to be paid to the said people; to which payment well and truly to be made we bind ourselves, our and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals. Dated this      day of      , in the year one thousand eight hundred and sixty-one.

Whereas the above bounden A. L., administrator as aforesaid, has lately made application

to the surrogate of the county of New York for authority to mortgage, lease, or sell so much of the real estate of the said B. M., deceased, as shall be necessary to pay his debts; and whereas such proceedings in due form of law, have been thereupon had, that the said surrogate is about to (\*) direct a mortgage of the real estate of the said deceased to be made, for the purpose of raising moneys for the payment of the said debts:

Now the condition of this obligation is such, that if the said A. L. shall faithfully apply the moneys arising from the said mortgage to the payment of the debts against the said deceased, established before the said surrogate on granting the order for such mortgage, and shall account for such moneys whenever required by the said surrogate, or by any court of competent authority, then this obligation to be void, otherwise to remain in full force and virtue.

(Signed)

Sealed and delivered, &c.

[SEAL]  
[SEAL]  
[SEAL]

No. 88. Page 605.

ORDER TO MORTGAGE.

At, &c. (See No. 3.)

Title (See No. 79.)

A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, having heretofore presented to the surrogate of the county of New York his application for authority to mortgage, lease, or sell so much of the real estate of the said intestate as shall be necessary to pay his debts; and the said surrogate, upon such application, having made an order directing all persons interested in the estate of the said B. M., deceased, to appear before him, at the surrogate's office in the city of New York, on this day, at ten o'clock in the forenoon, to show cause why such authority should not be given to the said administrator; and on reading and filing satisfactory proof, by affidavit, of the due publication of the said order, and of the due service thereof on every person in the occupation of the premises of which a sale is desired, and on the widow and heirs of the said deceased; and the said administrator having this day appeared in person, and by J. L. S., his proctor, and B. M., one of the heirs of the real estate in question, having also appeared; and the proper proceedings, in due form of law, having been thereupon had; and the surrogate, upon due examination, being satisfied that the said administrator has fully complied with the requisite provisions of the statutes concerning the powers and duties of executors and administrators in relation to the sale and disposition of the real estate of their testator or intestate; that the debts, for the purpose of satisfying which the said application is made, are justly due and owing, and that they are not secured by judgment or mortgage upon, or expressly charged on the real estate of the said deceased, and that the same amount to        dollars and        cents, exclusive of interest, and that the personal estate of the said deceased is insufficient for the payment of such debts; and having satisfactory evidence that the said administrator has proceeded with reasonable diligence in converting the personal property of the said deceased into money, and applying the same to the payment of debts; and having inquired and ascertained whether sufficient moneys for the payment of the debts aforesaid can be raised by mortgaging or leasing the real property of the said deceased, or any part thereof; and it appearing that (\*) such moneys can be raised advantageously to the interest of the estate of the said deceased by a mortgage of the following described real estate of the said deceased; and the said A. L., administrator as aforesaid, having executed a bond to the people of this state, with sufficient sureties approved by the surrogate, in the penalty and with the condition prescribed by the statute in such case made and provided, which said bond is filed with the said surrogate: It is thereupon ordered, and the surrogate aforesaid, pursuant to the statutes aforesaid, for the purpose of raising the sum of        dollars and        cents, sufficient moneys for the payment of the debts aforesaid of the said deceased, doth order and direct a mortgage to be made by the said A. L., administrator as aforesaid, of the following described real estate of the said B. M., deceased, that is to say:

All that certain house and lot, piece or parcel of ground, situate, &c.

And also all that certain, &c.

In testimony whereof, the surrogate of the county of New York has hereunto affixed his seal of office. Witness, Edward C. West, surrogate of the county of New York,  
[SEAL] at the surrogate's office in the city of New York, this        day of        in the year  
one thousand eight hundred and sixty-        EDWARD C. WEST, Surrogate.

ORDER FOR SALE—REPORT OF SALE.

Lxiii

No. 84. Page 609.

BOND ON ORDER FOR SALE.

[Follow No. 82 down to the (\*), and then proceed as follows:] order a sale of so much of the real estate whereof the said B. M., deceased, intestate, died seised, as shall be sufficient to pay the debts of the said intestate, which the surrogate has entered in his books as valid and subsisting, pursuant to the statute in such case made and provided;

Now the condition of this obligation is such, that if the said A. L., administrator as aforesaid, shall pay all the moneys arising from such sale so to be ordered as aforesaid, after deducting the expenses thereof, and shall deliver all securities taken by him on such sale to the said surrogate within twenty days after the same shall have been received and taken by him; then this obligation to be void, otherwise to remain in full force and virtue.

Sealed and delivered, &c.

(Signed.)

[SEAL.]

No. 85. Page 607.

ORDER FOR SALE.

[Follow No. 83 down to the (\*), and then proceed as follows:] the moneys required cannot be raised by mortgage or lease advantageously to the estate of the said deceased, and the said A. L., administrator as aforesaid, having executed a bond to the people of this state, with sufficient sureties approved by the said surrogate, in the penalty and with the condition prescribed by the statute in such case made and provided, which said bond is filed with the said surrogate, it is thereupon ordered, and the surrogate aforesaid, pursuant to the statute aforesaid, doth order that the said A. L., administrator as aforesaid, sell the following described real estate, whereof the said intestate died seised, to enable him to pay such debts aforesaid of the said intestate, that is to say:

All that certain house and lot of land situate, lying and being in the Eighth ward of the city of New York, known as, &c.

Also, all those certain lots, pieces or parcels of ground situate, &c.

And it is further ordered and directed that the said administrator may give to the purchaser at such sale of any of the said real estate, a credit not exceeding two years, for not more than one-half of the purchase money of such real estate purchased by him, to be secured by a bond of the said purchaser, and by a mortgage of the premises to him sold at the said sale.

And it is further ordered that the said administrator do make return, according to law, of all sales made by virtue of this order.

In testimony whereof, the surrogate, &c.

No. 86. Page 611.

REPORT OF SALE.

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE ADMINISTRATOR'S SALE OF THE REAL ESTATE OF B. M., DECEASED, INTESTATE, FOR THE PAYMENT OF HIS DEBTS.

To EDWARD C. WEST, *Surrogate of the county of New York*:

The return of A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, of his proceedings under the order of sale of the real estate of the said intestate granted by the surrogate, and bearing date on the       day of       in the year one thousand eight hundred and sixty-

The said administrator doth report and return that he caused a notice that the said real estate would be sold at public vendue, at the Merchant's Exchange in the city of New York, on the       day of       instant, at twelve o'clock at noon of that day, to be posted for six weeks previous to the day appointed for the said sale, at the Bank of the Republic, on the northeasterly corner of Broadway and Wall street, at       and at three of the most public places in the First ward of the city of New York, in which said ward the said Merchant's Exchange is situated, and the same notice to be published for six weeks successively, previous to the day appointed for the said sale, in the newspaper, entitled the Morning Courier and the New York Enquirer, printed in the county of New York, a copy of which said notice, with the proof of such posting and publication thereof, is hereunto annexed.



And the said administrator further reports and returns, that on the said day of instant, between the hour of nine o'clock in the morning and the setting of the sun of the same day, at the Merchant's Exchange in the city of New York, and within the county of New York, wherein the premises ordered to be sold are situated, he sold at public vendue, the whole of the real estate mentioned and described in the said order of sale, and that he did, on the said sale, sell the premises described in the said order as follows:—

All that certain house and lot of land, situate, lying and being in the Eighth ward, &c.;  
To H. B., for the sum of six thousand dollars, that being the highest sum bid for the same..... \$6,000

And that on the said sale, he did further sell the premises described in the said order as follows:—

All those certain lots, pieces or parcels of ground, &c.;  
To I. I. A., for the sum of three thousand dollars, that being the highest sum bid for the same..... \$3,000

And that on the said sale he did further sell, &c.;

And the said administrator doth further report and return to the surrogate that the said sale was in all respects legally made and fairly conducted.

All which is respectfully submitted.

A. L., Administrator, &c.

Dated this day of A. D. 1860.

No. 87. Page 613.

ORDER CONFIRMING SALE.

At, &c. (See No. 3.)

IN THE MATTER OF THE SALE OF THE  
REAL ESTATE OF B. M., DECEASED,  
FOR THE PAYMENT OF HIS DEBTS.

An order having been duly made by the surrogate of the county of New York, on the day of in the year one thousand eight hundred and sixty- authorizing A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, to sell the real estate whereof the said intestate died seized, mentioned and described in the said order, to enable him to pay the debts therein mentioned of the said intestate, and the said administrator having this day made his return of his proceedings upon the said order, by which said return it appears that under the said order the said administrator, after having posted and published due notice of the time and place of holding the said sale according to law, did, on the day of in the year one thousand eight hundred and sixty- at twelve o'clock at noon, the time mentioned in the said notice, and between the hour of nine in the morning and the setting of the sun of the same day, at the Merchant's Exchange in the city of New York, the place mentioned in the said notice, sell at public vendue the whole of the premises mentioned and described in the said order, and that he did, on the said sale, sell the premises described in the said order as follows:

All that certain house and lot of land situate, lying and being in the Eighth ward of the city of New York, and known as, &c.;

To H. B., for the sum of six thousand dollars, that being the highest sum bid for the same..... \$6,000

And that on the said sale, he did further sell the premises described in the said order as follows:

All those certain lots, pieces or parcels of ground, &c.

To I. I. A., for the sum of three thousand dollars, that being the highest sum bid for the same..... \$3,000

And the said administrator having this day appeared before the surrogate, in his own proper person, and by T. L. W., his counsel, and having moved for an order confirming the said sale, and G. M., one of the heirs of the said intestate, having also appeared in his own proper person, and by G. B., his counsel, and having made opposition to the confirmation of the said sale, and the surrogate having examined the proceedings upon the aforesaid order of sale, and having examined the said administrator and several other persons on oath touching the same; and it appearing to the surrogate that the said sale was legally made and fairly conducted, and that the sums bid for the several lots and parcels of the real estate so sold were not disproportionate to their value respectively: It is ordered and decreed, and the surrogate, pursuant to the provisions of the statutes concerning the powers and duties of executors and administrators in relation to the sale and disposition of the real estate of

their testator or intestate, doth order and decree that the said sale of the said real estate, so as aforesaid made by the said administrator, be, and the same hereby is confirmed. And the said surrogate, pursuant to the provisions of the statutes aforesaid, doth further order and direct the said A. L., administrator as aforesaid, to execute conveyances of the said several lots and parcels of the said real estate so sold by him as aforesaid, to the purchasers thereof respectively at the said sale.

In testimony whereof, the surrogate, &c.

---

No. 88. Page 614.

ADMINISTRATOR'S DEED.

*This indenture*, made the            day of            one thousand eight hundred and sixty- between A. L., administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, of the first part, and G. E. B., of the city of New York, gentleman, of the second part, witnesseth:

Whereas E. C. W., Esquire, surrogate of the county of New York, heretofore made an order, which said order is in the words and figures following, to wit: [*Here take in order for sale.*] And whereas, the whole of the premises described in the said order have accordingly been sold at public vendue, by the said party of the first part, on the            day of            , 186 , at the Merchant's Exchange in the county of New York, that being the county where the said premises are situated, due notice of the time and place of holding such sale having been given according to law: And whereas, the said party of the first part did make return of his proceedings upon such order of sale to the said surrogate, in pursuance of the said order, and of the statute in such case made and provided: And whereas, afterwards, the said surrogate, after examining the said proceedings, did make an order in the words and figures following, to wit: [*Here take in the order for confirmation.*]

And whereas, the said party of the first part did, at the said sale, sell to the said party of the second part, he being the highest bidder for the same,

*Now this indenture further witnesseth:* That the said party of the first part, in pursuance of the said sale, and of the said orders of the said surrogate, and in pursuance of the statutes of this state in such case made and provided, and also for and in consideration of the sum of            dollars, lawful money of the United States of America, to him in hand paid by the said party of the second part, the receipt whereof is hereby acknowledged, hath bargained, sold and conveyed, and by these presents doth bargain, sell and convey unto the said party of the second part, his heirs and assigns forever, ALL that certain, &c.

Together with the privileges and appurtenances thereunto belonging, or in any way appertaining, and all the estate, right and interest which the said B. M., deceased, at the time of his death, had of, in and to the same, free and discharged from all claims for dower of R. M., widow of the said B. M., deceased; subject, however, to all charges by judgment, mortgage or otherwise, upon the lands so sold, existing at the time of the death of the said B. M.

To have and to hold the above described and conveyed premises, with the appurtenances, and all the estate, right and interest which the said B. M., at the time of his death, had therein, unto the said party of the second part, his heirs and assigns forever, as fully and amply as the said party of the first part might, could or ought to sell and convey the same, by virtue of the orders above recited, and of the statutes of this state made and provided, or otherwise. In witness whereof the said party of the first part has hereunto set hand and seal, the day and year first above written.

Sealed and delivered in presence of

---

No. 89. Page 617.

NOTICE TO WIDOW AS TO SATISFACTION OF HER DOWER.

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE DISTRIBUTION OF  
THE PROCEEDS OF THE SALE OF THE  
REAL ESTATE OF B. M., DECEASED.

Take notice that A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, has brought into the office of the surrogate of the county of New York, the moneys arising from the sale lately made by him

of the real estate of the said intestate, pursuant to an order authorizing such sale herebefore granted by the said surrogate, and that the said surrogate will satisfy your claim of dower upon the lands so sold, by the payment to you of such sum in gross as shall be deemed, upon the principles of law applicable to annuities, a reasonable satisfaction for your said claim, if you shall consent before or on the       day of       instant, at ten o'clock in the forenoon, to accept such sum in lieu of your said dower, by an instrument under seal, duly acknowledged or proved in the same manner as deeds entitled to be recorded: And that if such consent be not given within the time above mentioned, then the said surrogate will set apart one-third of the purchase money of the said real estate to satisfy your said claim of dower, and will cause the same to be invested according to law.

Dated this       day of       A. D. 186       Yours, &c.  
To R. M., the widow of B. M., deceased, intestate.

No. 90. Page 618.  
ANNUITY TABLE

A table, corresponding with the Northampton tables, referred to at page 619, and in the 84th rule of the Supreme Court, showing the value of an annuity of one dollar, at six per cent., on a single life, at any age from one year to ninety-four, inclusive.

Age.	Number of years' purchase the annuity is worth.	Age.	Number of years' purchase the annuity is worth.	Age.	Number of years' purchase the annuity is worth.	Age.	Number of years' purchase the annuity is worth.
1	10,107	25	12,063	49	9,563	73	4,781
2	11,724	26	11,992	50	9,417	74	4,565
3	12,348	27	11,917	51	9,273	75	4,354
4	12,769	28	11,841	52	9,129	76	4,154
5	12,962	29	11,763	53	8,980	77	3,952
6	13,156	30	11,682	54	8,827	78	3,742
7	13,275	31	11,598	55	8,670	79	3,514
8	13,337	32	11,512	56	8,509	80	3,281
9	13,335	33	11,423	57	8,343	81	3,155
10	13,285	34	11,331	58	8,173	82	2,926
11	13,212	35	11,236	59	7,999	83	2,713
12	13,130	36	11,137	60	7,820	84	2,551
13	13,044	37	11,035	61	7,637	85	2,402
14	12,953	38	10,929	62	7,449	86	2,266
15	12,857	39	10,819	63	7,253	87	2,138
16	12,755	40	10,705	64	7,052	88	2,031
17	12,655	41	10,589	65	6,841	89	1,882
18	12,562	42	10,473	66	6,625	90	1,689
19	12,477	43	10,356	67	6,405	91	1,422
20	12,398	44	10,235	68	6,179	92	1,136
21	12,329	45	10,110	69	5,949	93	0,806
22	12,265	46	9,980	70	5,716	94	0,518
23	12,200	47	9,846	71	5,479		
24	12,132	48	9,707	72	5,241		

RULES FOR COMPUTING THE VALUE OF THE LIFE ESTATE OR ANNUITY.

Calculate the interest, at six per cent., for one year, upon the sum to the income of which the person is entitled; multiply this interest by the number of years' purchase set opposite the person's age in the table, and the product is the gross value of the life estate of such person in said sum.

EXAMPLE.—Suppose a widow's age is 37, and she is entitled to dower in real estate worth \$350 75; one-third of this is \$116 91 2-3; interest on \$116 91, one year, at six per cent., is \$7 01; the number of years' purchase which an annuity of one dollar is worth, at the age of 37, as appears by the table, is 11 years and 035.1000 parts of a year, which multiplied by \$7 01, the income for one year, gives \$77 35 and a fraction, as the gross value of her right of dower.

## No. 91. Page 618.

## WIDOW'S RELEASE OF DOWER, AND CONSENT TO ACCEPT A SUM IN GROSS.

Whereas A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, my late husband, has recently sold the real estate whereof the said B. M. died seised, upon an order of the surrogate of the county of New York, authorizing him to sell the same for the payment of the debts of the said deceased, and has brought the moneys arising from such sale into the office of the said surrogate for the purpose of distribution: Now these presents witness that I, R. M., of the city of New York, widow of the said B. M., deceased, do hereby consent to accept such sum in gross as shall be deemed, upon the principles of law applicable to annuities, a reasonable satisfaction for my claim of dower upon the lands so sold as aforesaid, in lieu of my dower therein.

And these presents further witness that I, the said R. M., widow as aforesaid, do hereby acknowledge that I have received from Edward C. West, Esquire, surrogate of the county of New York, the sum of        dollars and        cents, pursuant to the foregoing consent, in full discharge and satisfaction of all my right and claim of dower upon the lands so sold as aforesaid.

In testimony whereof I have hereunto set my hand and seal this        day of        in the  
year one thousand eight hundred and sixty-        R. M., [SEAL]  
Sealed and delivered, &c.

## No. 92. Page 618.

## ORDER FOR PUBLICATION OF NOTICE OF DISTRIBUTION.

At, &c. (See No. 3.)

IN THE MATTER OF THE DISTRIBUTION  
OF THE PROCEEDS OF THE SALE OF  
THE REAL ESTATE OF B. M., DE-  
CEASED.

A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, intestate, having brought into the office of the surrogate the moneys arising from the sale of the real estate of the said intestate, lately made by him upon the order of the surrogate, and the proceeds of the said sale, after making the necessary deductions therefrom, not being sufficient to pay all the debts of the said intestate, it is thereupon ordered that the balance of such proceeds be divided among the creditors according to law, at the surrogate's office in the city of New York, on the        day of        next, and that notice of the time and place of making such distribution be published for six weeks successively in the newspaper entitled "the Evening Post," printed in the county of New York, and also in the newspaper entitled "the Albany Argus," the said last named newspaper being deemed by the surrogate most likely to give notice to the creditors.

## NOTICE OF DISTRIBUTION.

Notice is hereby given that the balance remaining of the proceeds of the sale of the real estate of B. M., late of the city of New York, deceased, intestate, lately made under the order of the surrogate of the county of New York by A. L., the administrator of all and singular the goods, chattels and credits of the said intestate, will be divided by the said surrogate among the creditors of the said intestate, in proportion to their respective debts, according to law, at the surrogate's office in the city of New York, on the        day of        next, at ten o'clock in the forenoon of that day.

Dated this        day of        A. D. 186

## No. 93. Page 619.

## ENTRY OF DISTRIBUTION IN THE SURROGATE'S MINUTES.

At, &c. (See No. 3.)

IN THE MATTER OF THE ESTATE OF  
R. S., DECEASED.

On the hearing for distribution, in pursuance of notice, on the        day of        1861, and at the times and places to which such hearing was adjourned, and on the hearing on the order of sale, the following demands of the following named persons have been and were established as valid subsisting demands against the said intestate, R. S., deceased, and are

the only demands established : That said persons' names are mentioned in the first column of the following list, and the amount due to each in the second column opposite each name, and the amount to which each person is entitled on this distribution, this day ordered, in the third column opposite each name, which list is as follows, that is to say :

Names.	Amount due.	Amount entitled
W. T.....	\$1,281 73	\$726 73
W. A.....	192 11	108 92
&c.		&c.

At, &c. (See No. 3.)

IN THE MATTER OF THE DISTRIBUTION  
OF THE ESTATE OF R. S., DECEASED.

Notice that distribution of the proceeds of the sale of the real estate in this matter would be made on the        day of        186    having been duly published, and the whole amount of the money paid into the office of the said surrogate, on the sale of the said real estate, being \$4,132 59, from which is to be deducted the costs and charges of the said surrogate in this matter, to wit: the sum of \$62 23 for his fees and costs, and \$7 40 for advertising notice of distribution in this matter in the "New York Daily Tribune," leaving in the hands of the said surrogate, undistributed, the sum of \$4,062 96, and E. S., the widow of the testator, being entitled to dower in the lands sold, and she having consented to take a gross sum in lieu of said dower, it is ordered that distribution be made of said sum as follows; that is to say, to the said widow the sum of \$777 08, being the gross sum to which she is entitled in lieu of said dower, and that the residue of said sum be distributed among the creditors of the testator, whose debts have been established and above recorded, in the proportion as set opposite their respective names in the third column above recorded; that is to say, each to receive the amount set opposite their respective names in said third column, as the share of each on this distribution.

No. 94. Page 624.

PETITION OF A CREDITOR TO REQUIRE AN ADMINISTRATOR TO MORTGAGE, LEASE, OR SELL THE REAL ESTATE OF THE DECEASED FOR THE PAYMENT OF HIS DEBTS.

County of New York, }  
Surrogate's Court. }

To EDWARD C. WEST, Esq., *Surrogate of the county of New York* :

The petition of C. R., of the city of New York, merchant, respectfully sheweth that he is a creditor of D. S., late of the city of New York, deceased, intestate; that the said intestate died indebted to your petitioner in the sum of        dollars and interest upon a promissory note made by him to your petitioner or order, dated the        day of        186    , and payable in ninety days after date; that the said claim is justly due to your petitioner; that no payments have been made thereon, and that there are no off-sets against the same to the knowledge of your petitioner, and that the same is not secured by judgment or mortgage upon or expressly charged on the real estate of the said deceased.

Your petitioner further shows that letters of administration of all and singular the goods, chattels and credits of the said D. S., deceased, were duly granted by the surrogate of the county of New York to E. T., on the        day of        in the year 186    , and that the same still remain in full force, as he is informed and believes; that your petitioner has presented his said claim to the said administrator, and that the same has been admitted by him to be a valid and subsisting claim against the said intestate.

Your petitioner further shows that, on the        day of        last past, the said E. T. rendered to the surrogate an account of his proceedings as such administrator aforesaid, and which said account has been settled before the said surrogate, and that it appears from the said account, upon such settlement, that there are not sufficient assets to pay the debts of the said deceased.

Your petitioner further shows that the said intestate died seised of the following described real estate, valued at the sums respectively affixed to each lot or parcel, and occupied or not occupied, as stated in respect to each of the said several lots or parcels; that is to say:

All that certain house and lot of land situate, lying and being in the Eighth ward of the city of New York, and known as, &c.

The value of this house and lot, in the judgment of your petitioner, is.....\$8,000

The occupant of the same is W. G.



Also all those certain lots, pieces or parcels of ground situate, &c.

The value of these lots, in the judgment of your petitioner, is.....\$800

They are not occupied.

Your petitioner further shows that the heirs of the said D. S., deceased, are L. S., M. S. and N. S., all of full age, and all residing in the city of New York, his only children.

Your petitioner further shows that he is desirous that the said real estate of the said intestate, or so much thereof as shall be necessary for that purpose, should be mortgaged, leased, or sold for the payment of his debts. He therefore prays that the surrogate will grant an order for the said E. T., administrator as aforesaid, to show cause why he should not be required to mortgage, lease, or sell the real estate of the said deceased for the payment of his debts, and that such further proceedings, according to law, may be thereupon had as may tend to the relief of your petitioner, and to the satisfaction of his claim aforesaid out of the said real estate of the said intestate. And your petitioner will ever pray, &c.

Dated this      day of      A. D. 186 .

[The usual jurat.]

**No. 95. Page 625.**

**ORDER THAT ADMINISTRATOR SHOW CAUSE.**

At, &c. (See No. 3.)

IN THE MATTER OF THE APPLICATION OF  
C. R., A CREDITOR OF D. S., DE-  
CEASED, TO HAVE THE REAL ESTATE  
OF THE SAID DECEASED MORTGAGED,  
LEASED, OR SOLD FOR THE PAYMENT  
OF HIS DEBTS.

On reading and filing the petition of C. R., of the city of New York, merchant, a creditor of D. S., late of the said city, deceased, intestate, it is ordered, pursuant to the prayer of the said petition, that E. T., the administrator of all and singular the goods, chattels and credits of the said D. S., deceased, personally be and appear before the surrogate of the county of New York, at his office in the city of New York, on the      day of      instant, at ten o'clock in the forenoon of that day, then and there to show cause why he should not be required to mortgage, lease, or sell the real estate of the said D. S., deceased, for the payment of his debts.

**No. 96. Pages 625-6.**

**ORDER THAT PERSONS INTERESTED SHOW CAUSE.**

At, &c. (See No. 3.)

Title. (See No. 95.)

An order having heretofore been duly made on the application of C. R., of the city of New York, merchant, a creditor of D. S., late of the said city, deceased, intestate, requiring E. T., the administrator of all and singular the goods, chattels and credits of the said intestate, personally to be and appear before the surrogate of the county of New York, on this day, to show cause why he should not be required to mortgage, lease, or sell the real estate of the said deceased for the payment of his debts, and the said administrator having appeared, and having shown no cause to the contrary, it is ordered that all persons interested in the estate of the said D. S., deceased, intestate, appear before the surrogate of the county of New York, at his office in the city of New York, on the      day of      next, at ten o'clock in the forenoon of that day, then and there to show cause why authority should not be given to the said administrator to mortgage, lease, or sell so much of the real estate of the said D. S., deceased, as shall be necessary to pay his debts.

**No. 97. Page 626.**

**ORDER FOR SALE GRANTED ON THE APPLICATION OF A CREDITOR.**

At, &c. (See No. 3.)

Title. (See No. 95.)

An order having heretofore been duly made by the surrogate of the county of New York, on the application of C. R., of the city of New York, merchant, a creditor of D. S., late of the said city, deceased, intestate, requiring E. T., the administrator of all and singular the

goods, chattels and credits of the said intestate, personally to be and appear before the surrogate of the county of New York, on the       day of       last past, to show cause why he should not be required to mortgage, lease, or sell the real estate of the said D. S., deceased, for the payment of his debts, and the said administrator having appeared, and having shown no cause to the contrary; and, thereupon, the said surrogate having made a further order, directing all persons interested in the estate of the said D. S., deceased, to appear before him, at the surrogate's office in the city of New York, on this day, at ten o'clock in the forenoon, to show cause why authority should not be given to the said administrator to mortgage, lease, or sell so much of the real estate of the said D. S., deceased, as shall be necessary to pay his debts, and on reading and filing satisfactory proof, by affidavit, of the due publication of the said order, and of the due service thereof on every person in the occupation of the premises of which a sale is desired, and on the widow and heirs of the said deceased, and the said administrator having this day appeared in person and by D. W., his proctor; and L. S., one of the heirs of the real estate in question, and the said C. R. having also appeared; and the proper proceedings in due form of law having been thereupon had, and the surrogate, upon due examination, being satisfied that the said administrator has fully complied with the requisite provisions of the statutes concerning the powers and duties of executors and administrators in relation to the sale and disposition of the real estate of their testator or intestate, that the debt of the said C. R., and other debts presented and proved before the said surrogate, and which the said surrogate has duly adjudged valid and subsisting against the estate of the said deceased, and for the purpose of satisfying which, application for the mortgage, lease, or sale of the real estate of the said deceased is made, are justly due and owing, and that they are not secured by judgment or mortgage upon or expressly charged on the real estate of the said deceased, and that the same amount to       dollars and       cents, exclusive of interest, and that the personal estate of the said deceased is insufficient for the payment of such debts; and having satisfactory evidence that the said administrator has proceeded with reasonable diligence in converting the personal property of the said deceased into money, and applying the same to the payment of debts; and having inquired and ascertained whether sufficient moneys for the payment of such debts aforesaid can be raised by mortgaging or leasing the property of the said deceased, or any part thereof; and it appearing that the moneys required cannot be raised by mortgage or lease advantageously to the estate of the said deceased, and the said E. T., administrator as aforesaid, having executed a bond to the people of this state, with sufficient sureties, approved by the said surrogate, in the penalty and with the condition prescribed by the statute in such case made and provided, which said bond is filed with the said surrogate, it is thereupon ordered, and the surrogate aforesaid, pursuant to the statutes aforesaid, doth order that the said E. T., administrator as aforesaid, sell the following described real estate whereof the said intestate died seised, to enable him to pay such debts aforesaid of the said intestate, that is to say,

All that certain house and lot of land, &c.

Also, all those certain lots, pieces or parcels of ground situate, &c.

And it is further ordered and directed that the said administrator may give to the purchaser at such sale, of any of the said real estate, a credit not exceeding two years, for not more than one-half of the purchase money of such real estate purchased by him, to be secured by a bond of the said purchaser, and by a mortgage of the premises to him sold at the said sale.

And it is further ordered that the said administrator do make return, according to law, of all sales made by virtue of this order.

In testimony whereof, the surrogate, &c.

---

**No. 98. Page 640.**

**ALLEGATIONS AGAINST A WILL.**

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The allegations of Henry Thompson, one of the next of kin to James Thompson, late of the city of New York, deceased, against the validity of the last will and testament of the said James Thompson, deceased, and against the competency of the proof thereof.

*First allegation.* That the said James Thompson, at the time of the execution of the instrument in writing, purporting to be his last will and testament, was not competent to make a will.

*Second allegation.* That the instrument in writing aforesaid was not executed and attested in the manner prescribed by law for the execution and attestation of last wills and testaments.

*Third allegation.* That the execution of the said instrument, by the said James Thompson was obtained by fraud, imposition and coercion practiced upon the said James Thompson by certain legatees and devisees named in the said instrument, or by some person or persons at their instance, and under and by their direction and contrivance; and that the said James Thompson, at the time of the execution of the said instrument, was under the influence, duress, and restraint of the said legatees and devisees.

*Fourth allegation.* That the testimony of the attesting witnesses to the said instrument was vague, indefinite and uncertain, and did not prove the due execution and attestation of the said instrument in the mode prescribed by law.

HENRY THOMPSON.

Dated this      day of      A. D. 1860.

R. P., Proctor, and of counsel for Henry Thompson.

ALLEGATIONS IN THE FOLLOWING FORM WERE FILED IN THE NEW YORK SURROGATE'S OFFICE, IN AN IMPORTANT CASE, UNDER THE DIRECTION OF EMINENT COUNSEL.

*In the Surrogate's Court for the city and county of New York.*

IN THE MATTER OF THE PROBATE OF A  
PAPER WRITING PURPORTING TO BE  
THE LAST WILL AND TESTAMENT OF  
J. M., DECEASED, BEARING DATE THE  
TWENTY-SIXTH DAY OF SEPTEMBER,  
ONE THOUSAND EIGHT HUNDRED AND  
THIRTY-NINE.

A paper writing, bearing date the twenty-sixth day of September, in the year one thousand eight hundred and thirty-nine, purporting to be the last will and testament of J. M., deceased, in and by which I. J., G. J. and A. G. H., are appointed executors thereof, and M. J. and R. J. are appointed executrices thereof, having been heretofore propounded before James Campbell, Esquire, then surrogate of the city and county of New York, as such last will and testament; and having been by the said James Campbell, as such surrogate, at a Surrogate's Court held in and for the said county, on the twenty-first day of October now last past, admitted to probate; and the undersigned, H. A., wife of the undersigned J. A., being the daughter of said J. M., deceased, and one of his next of kin, we, the undersigned J. A. and H. his wife, pursuant to the statute in such case made and provided, do hereby contest the said probate and the validity of the said paper-writing, so purporting to be such last will and testament; and for that purpose allege against the validity of such alleged will, and against the competency of the proof thereof:

*First.* They, the subscribers, allege that the said paper-writing is not the last will and testament of the said J. M., deceased.

*Secondly.* They allege that the said J. M., deceased, was not, at the time of the making and subscribing, or of the acknowledging by him, the said J. M., of the said paper-writing, purporting to be his last will and testament, of sound mind or memory, or in any respect capable of making a will.

*Thirdly.* They allege that the said J. M., deceased, did not, at the time of making the subscription at the end of said alleged will, or at the time of acknowledging the same subscription to have been made by him to the attesting witnesses to the said paper-writing, declare the said paper-writing to be the last will and testament of him, the said J. M.

*Fourthly.* They allege that the attesting witnesses to said alleged will did not, nor did either of them sign his name as a witness at the end of said alleged will, at the request of the said J. M.

*Fifthly.* They allege that the said paper-writing, purporting to be such last will and testament, was obtained, and the execution thereof by said J. M. procured, by fraud and circumvention, and undue influence practiced against and upon the said J. M. by R. J., M. J., I. J. and G. J., or some or one of them, or some other person or persons unknown to the subscribers.

*Sixthly.* They allege that the said paper-writing was not freely and voluntarily executed or made as his last will and testament, by said J. M., deceased, but that the subscription thereto and publication thereof by him, the said J. M., was procured by fraud and coercion exercised upon him, the said J. M., deceased, by the said R., M., I. and G., or some one of them, or some other person or persons to the subscribers unknown.

*Seventhly.* They allege that the said paper-writing was not duly and sufficiently proved before the said James Campbell, as such surrogate, when so admitted to probate as aforesaid, and that the proofs taken at the said Surrogate's Court, on such admission

thereof to probate, did not and do not show or establish that the said J. M., deceased, was of sound mind or memory when the same alleged will was made, or that he was free from restraint when he made the same, or that the same alleged will was subscribed by the said J. M., or declared by him to be his last will and testament in the manner required by the statute in that behalf, or that the same was duly attested as required by said statute.

*City and County of New York*, ss: J. A., one of the subscribers to the foregoing allegations, being duly sworn, saith that he has good reason, from circumstances, to believe, and that he does verily believe, the matters stated and alleged in the preceding document to be true, and that the same document was subscribed by him, this deponent, and his wife H., who is one of the next of kin of the above named J. M., deceased.

Sworn this twentieth day of October, }  
 1860, before me, }  
 W. H. B., *Commissioner of Deeds.*

**No. 99. Page 640.**

**ORDER FOR ISSUING CITATION ON ALLEGATIONS.**

At, &c. (See No. 3.)

IN THE MATTER OF THE ALLEGATIONS  
 AGAINST THE PROBATE OF THE WILL OF  
 JAMES THOMPSON, DECEASED.

On reading and filing the allegations of Henry Thompson, one of the next of kin to James Thompson, late of the city of New York, deceased, against the validity of the last will and testament of the said James Thompson, deceased, and the competency of the proof thereof, and on motion of Mr. R. P., of counsel for the said Henry Thompson, it is ordered that a citation issue to Philip Thompson, the executor who has taken upon himself the execution of the said last will and testament, and to Cornelia Thompson, William Thompson, Charles Thompson, and the Orphan Asylum Society of the city of New York, legatees named in the said last will and testament, residing in the state of New York, requiring them and each of them to appear in this court on the first day of September next, at ten o'clock in the forenoon of that day, to show cause why the probate of the said last will and testament should not be revoked.

**CITATION ON ALLEGATIONS.**

*The people of the state of New York, to Philip Thompson, one of the executors who has taken upon himself the execution of the last will and testament of James Thompson, late of the city of New York, deceased, and to Cornelia Thompson, William Thompson, Charles Thompson, and the Orphan Asylum Society of the city of New York, legatees named in the said last will and testament, residing in the state of New York, send greeting:*

You and each of you are hereby cited and required to appear before the surrogate of the county of New York, at his office in the city of New York, on the first day of September next, at ten o'clock in the forenoon of that day, to show cause why the probate of the said last will and testament of James Thompson, deceased, should not be revoked.

In testimony whereof, we have caused, &c.

[*The forms for the examination of witnesses are similar to those on proving wills. See Appendix, p. ]*

**No. 100. Page 642.**

**ORDER REVOKING PROBATE.**

At, &c. (See No. 3.)

IN THE MATTER OF THE ALLEGATIONS  
 OF HENRY THOMPSON, AGAINST  
 THE VALIDITY OF THE WILL OF  
 JAMES THOMPSON, DECEASED, AND  
 THE COMPETENCY OF THE PROOF  
 THEREOF.

This matter having come on to be heard on the allegations of Henry Thompson, one of the next of kin to James Thompson, late of the city of New York, deceased, against the validity of the last will and testament of the said James Thompson, deceased, and against

the competency of the proof thereof, and on the return of the citation heretofore issued therein, requiring Philip Thompson, the executor who has taken upon himself the execution of the said last will and testament, and Cornelia Thompson, William Thompson, Charles Thompson and the Orphan Asylum Society of the city of New York, legatees named in the said last will and testament, residing in the state of New York, to appear in this court on the       day of       last past, to show cause why the probate of the said last will and testament of James Thompson, deceased, should not be revoked, and due proof of the personal service of the said citation on all the persons and parties named therein having been produced and filed, and Henry Thompson, one of the next of kin of the said James Thompson, deceased, and who filed the allegations herein, having appeared by R. P., their proctor and counsel, in support of the said allegations, and the said Philip Thompson, the executor as aforesaid, and the said William Thompson, having appeared by C. C., his proctor and counsel, in opposition thereto, and the said, the Orphan Asylum Society of the city of New York, having appeared by A. D., their proctor and counsel, also in opposition thereto, and I. H., the special guardian of Charles Thompson, one of the said legatees, a minor, having duly appeared in behalf of the said minor, and no other person or party having appeared in the said matter, and the said matter having been heard on several days, and duly adjourned to this day, and upon hearing the proofs of the parties aforesaid, and counsel for them respectively, and due deliberation being thereupon had, it is adjudged, decided and decreed, and the surrogate of the county of New York, by virtue of the power and authority in him vested, (\*) doth adjudge, decide and decree that the said James Thompson, at the time he executed the instrument, bearing date the eighth day of January, in the year 1840, purporting to be his last will and testament, was not of sound mind, nor competent to execute a will, and that the said instrument was not duly executed as and for the last will and testament of the said James Thompson, deceased; and it is further adjudged, decreed and declared, and the surrogate aforesaid, by virtue of the power and authority aforesaid, doth adjudge, decree and declare that the said instrument in writing is utterly null and void as or for the said last will and testament of the said James Thompson, deceased.

And the said surrogate doth further order and decree that the probate of the said last will and testament heretofore granted and issued by and under the seal of the surrogate of the county of New York, and bearing date on the       day of       in the year one thousand eight hundred and fifty-four, be, and the same is hereby revoked, and that the costs of all the parties to this proceeding, and the fees and expenses thereof, be paid out of the estate of the said deceased.

In testimony whereof, the surrogate of the county of New York hath hereunto affixed his seal, &c.

**No. 101. Page 642.**

**ORDER CONFIRMING PROBATE AND DISMISSING ALLEGATIONS.**

[*As in last form to the (\*) and then proceed:*] doth order, adjudge and decree that the said last will and testament of James Thompson, deceased, and the probate thereof be, and the same hereby are confirmed, and that the said allegations of the said Henry Thompson be dismissed:

And it is further ordered, and the surrogate, pursuant to the statute in such case made and provided, doth further order that the said Henry Thompson pay the surrogate's fees and expenses on these proceedings, or, in default thereof, that an attachment issue against him.

**No. 102. Page 642.**

**FORMS FOR PROCEEDINGS TO ENFORCE PAYMENT OF FEES AND EXPENSES OR COSTS.**

*Surrogate's Court, }  
County of New York. }*

IN THE MATTER, ETC., [*as in No. 99.*]

*City and county of New York, ss:* H. F. L., of the city of New York, being duly sworn, doth depose and say that, on the       day of       instant, he served H. T. with a copy of the order and decree of the surrogate of the county of New York, made in this matter, dated the       day of       , which is hereunto annexed, and with a copy of the bill of the surrogate's fees and expenses, required by the said order to be paid by the said H. T., and at the same time exhibited to the said H. T. a power of attorney duly executed, indorsed upon the copy of the said order hereto annexed, authorizing and empowering this deponent to demand and receive the sum of       dollars, the amount of the said bill of fees and ex-



penses, which service was made by delivering the copy of the said order and bill of fees and expenses, and exhibiting the said power of attorney to the said H. T. personally : and this deponent further says that he thereupon demanded of the said H. T. payment of the said sum of        dollars, fees and expenses aforesaid, on behalf of        , and the said H. T. wholly refused to pay the same.  
Sworn, &c.

*[ When the payment of the costs is to be enforced, annex the affidavit of the person entitled to receive such costs that he has not received the same. ]*

**POWER OF ATTORNEY INDORSED UPON THE ORDER**

I hereby authorize and empower H. F. L., of the city of New York, clerk, to demand and receive of H. T., named in the within order, the sum of        dollars, being the amount of the surrogate's fees and expenses required by the said order to be paid by the said H. T., according to the annexed bill of the same, and his receipt shall be a full discharge to the said H. T. for the amount of the said bill.

**ORDER FOR ATTACHMENT.**

At, &c. (See No. 3.)

*Title.* (See No. 99.)

On reading and filing the affidavit of H. F. L., with certain papers thereto annexed, proving the due and personal service on H. T. of a copy of the order made in this matter, on the        day of        last past, dismissing the allegations heretofore filed in the office of the surrogate of the county of New York, by the said H. T., against the validity of the will of James Thompson, late of the city of New York, deceased, and against the competency of the proof thereof, and confirming the probate of the said will, and ordering the said H. T. to pay the surrogate's fees and expenses on the said allegations, or, in default thereof, that an attachment issue against him ; and proving also a demand of payment of the sum of        dollars, the amount of the said fees and expenses, and the refusal of the said H. T. to pay the same ; thereupon it is ordered that an attachment issue out of and under the seal of this court, to be directed to the sheriff of the city and county of New York, commanding him to arrest the said H. T., and to bring him before the surrogate of the county of New York, at his office in the city of New York, on Monday, the        day of        instant, to answer for his misconduct in not paying the said fees and expenses. And it is further ordered and directed that the penalty in which the said H. T. shall give bond for his appearance to answer upon the said attachment be        dollars

**ATTACHMENT.**

*The people of the state of New York, to the sheriff of the city and county of New York, send greeting :*

Whereas on the        day of        , in the year one thousand eight hundred and sixty-        , by a certain order and decree made in our court of our surrogate of the county of New York, by our surrogate of our said county of New York, at the city of New York, in the matter of the allegations of H. T., against the validity of the will of I. T., deceased, and against the competency of the proof thereof, there depending before our said surrogate, it was ordered and decreed by our said surrogate, in due form of law, that the allegations of H. T. against the validity of the will of I. T., late of the city of New York, deceased, and against the competency of the proof thereof, be dismissed, and that the probate of the said will be confirmed, and, pursuant to the statute in such case made and provided, that the said H. T. pay the surrogate's fees and expenses of the proceedings on the said allegations, or, in default thereof, that an attachment issue against him, which fees and expenses amount to the sum of        dollars : And whereas we have been informed in our said court, before our said surrogate, that although the amount of the said fees and expenses has been personally demanded of the said H. T., yet the said H. T. has hitherto refused, and still refuses to pay the same : Now, therefore, we command you to arrest the said H. T., and bring him before our said surrogate of our county of New York, at the surrogate's office in the city of New York, on Monday, the        day of        instant, to answer unto us for his misconduct in not paying the said fees and expenses. And you are to make and return to our said surrogate in our said court, on that day, a certificate under your hand of the manner in which you shall have executed this our writ, and have you then and there this our writ.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, surrogate of the county of New York, at the

[SEAL] city of New York, this        day of        in the year one thousand eight hundred and sixty, and of our independence the        EDWARD C. WEST.

*The following indorsement is to be made on the attachment:*

"Let the said H. T. give bond in the penalty of            dollars for his appearance to answer upon the within attachment."

**No. 103. Page 644.**

**PETITION OR COMPLAINT FOR THE REMOVAL OF AN EXECUTOR, OR TO COMPEL HIM TO GIVE SECURITY.**

*County of New York, }  
Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The complaint of H. T., of the city of New York, respectfully sheweth that he is one of the legatees under the last will and testament of J. T., late of the city of New York, deceased, as by the said will, or the record thereof, will more fully appear, and that he is interested in the estate of the said deceased; that the said last will and testament was proved before the surrogate of the county of New York, and recorded by him on the       day of       , in the year one thousand eight hundred and sixty-       , and that letters testamentary thereof were on the same day issued, and granted by the said surrogate to P. T., sole executor in the said will named.

Your complainant further shows that the circumstances of the said P. T. are so precarious as not to afford adequate security for his due administration of the estate of the said testator; that the assets of the said testator which came into the hands of the said P. T., as such executor, as appears by the inventory filed by him, exceed ten thousand dollars; that the said P. T. has failed in his business, and become insolvent, owing large sums of money; and your complainant is apprehensive, and has reason to believe, that the said assets, or a portion thereof, will be lost or misapplied by the said P. T.

In consideration of the premises, your complainant prays that the surrogate will issue a citation, pursuant to the statute in such case made and provided, requiring the said P. T., executor as aforesaid, to appear before him to show cause why he should not be superseded, and that the surrogate will also grant and enter an order enjoining the said P. T. from further acting in the premises, until the matter in controversy shall be disposed of, and that such further proceedings may be thereupon had as shall tend to the security and due administration of the estate of the said J. T., deceased. And your petitioner will ever pray.

Dated this       day of       186       . H. T.  
[The usual jurat.]

**No. 104. Page 644.**

**ORDER FOR CITATION ON THE ABOVE PETITION.**

At, &c. (See No. 3.)

IN THE MATTER OF THE COMPLAINT OF  
H. T., AGAINST P. T., THE EXECUTOR,  
ETC., OF JAMES THOMPSON, DECE'D.

On reading and filing the complaint in writing of H. T., a legatee under the last will and testament of James Thompson, late of the city of New York, deceased, and interested in the estate of the said deceased, it is ordered, pursuant to the prayer of the said petition, that a citation issue to P. T., the executor of the last will and testament of the said J. T., deceased, requiring him to appear in this court, on the       day of       instant, at ten o'clock in the forenoon of that day, to show cause why he should not be superseded as such executor.

**CITATION.**

*The people of the state of New York, to P. T., the executor of the last will and testament of J. T., late of New York, deceased, send greeting:*

You are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the       day of       instant, at ten o'clock in the forenoon of that day, then and there to show cause why you should not be superseded as such executor.

In testimony whereof we have caused, &c.

No. 105. Pages 644, 648.

**ORDER ENJOINING EXECUTOR.**

At, &amp;c. (See No. 3.)

Title. (See No. 104.)

H. T., of the city of New York, a legatee under the last will and testament of J. Y., late of said city, deceased, and interested in the estate of the said deceased, having made his complaint in writing to the surrogate of the county of New York, that the circumstances of P. T., the executor of the said last will and testament of the said deceased, are so precarious as not to afford adequate security for his due administration of the estate of the said deceased; and the said surrogate having thereupon issued a citation to the said P. T., requiring him to appear before the said surrogate, at a day and place therein specified, to show cause why he should not be superseded as such executor, it is thereupon ordered, and the surrogate of the county of New York, by virtue of the power in him vested by the statute in such case made and provided, doth order that the said P. T. be, and he hereby is enjoined from farther acting in the premises until the matter in controversy shall be disposed of.

No. 106. Page 646.

**ORDER REQUIRING SECURITY.**

At, &amp;c. (See No. 3.)

Title. (See No. 104.)

On reading and filing due proof, by affidavit, of the due and personal service on P. T., the executor of the last will and testament of J. T., late of the city of New York, deceased, of the citation heretofore issued in this matter, in due form of law, requiring him to appear in this court on this day to show cause why he should not be superseded as such executor; and the said P. T. having appeared, and H. T., the complainant herein, having also appeared, and after hearing the proofs and allegations of the parties, and it appearing that the circumstances of the said P. T., executor as aforesaid, are so precarious as not to afford adequate security for his due administration of the estate, it is ordered, and the surrogate of the county of New York, pursuant to the statute in such case made and provided, doth order and require that the said P. T. give bond, with sureties like those required by law of administrators, within four days from this day, or, in default thereof, that his letters testamentary be superseded.

No. 107. Page 647.

**DECREE SUPERSEDING LETTERS FOR NOT GIVING SECURITY.**

At, &amp;c. (See No. 3.)

Title. (See No. 104.)

Letters testamentary of the last will and testament of I. T., late of the city of New York, deceased, having heretofore been granted and issued by the surrogate of the county of New York to P. T., sole executor in the said will named; and H. T., of the city of New York, a legatee under the said last will and testament, and interested in the estate of the said deceased, having made his complaint to the said surrogate that the circumstances of the said P. T. are so precarious as not to afford adequate security for his due administration of the said estate; and the surrogate having thereupon issued a citation in due form of law to the said P. T., executor as aforesaid, requiring him to show cause on the      day of      now past, why he should not be superseded as such executor; and due proof of the service of the said citation having been filed with the said surrogate, and the said P. T. and the said H. T. having appeared on the said day, and after hearing the proofs and allegations of the parties, and it having appeared that the circumstances of the said P. T., executor as aforesaid, are precarious as aforesaid, and the surrogate having thereupon, pursuant to the statute in such case made and provided, ordered and required the said P. T. to give bond, with sureties like those required by law of administrators, within four days from the said day, and the said P. T. having neglected to give such bond so required, it is ordered and decreed, and the surrogate of the county of New York, pursuant to the statute in such case made and provided, doth order and decree that the letters testamentary of the last will and testament of I. T., late of the city of New York, deceased, heretofore granted and issued by the said surrogate to P. T., sole executor in the said will named, and bearing date on the      day of      in the year one thousand eight hundred and sixty-      be, and the same hereby are superseded: And all authority and rights of the said P. T., as such executor aforesaid, are hereupon to cease.

ORDER THAT ADMINISTRATOR GIVE FURTHER SURETIES. lxxvii

No. 108. Page 648.

PETITION TO COMPEL AN ADMINISTRATOR, ONE OF WHOSE SURETIES HAS REMOVED FROM THE STATE, TO GIVE FURTHER SECURITY.

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The petition of G. M., of the city of New York, respectfully sheweth that your petitioner is one of the children and next of kin of B. M., late of the city of New York, deceased, intestate, and interested in the estate of the said deceased. That letters of administration of all and singular the goods, chattels and credits of the said B. M., deceased, were granted and issued by the surrogate of the county of New York to A. L., of the city of New York, on the      day of      in the year one thousand eight hundred and sixty-

Your petitioner further shows that C. R., lately a resident of the city of New York, but now a resident, as your petitioner is informed and believes, of the city of Detroit, in the state of Michigan, is one of the sureties of the said A. L. in his bond given by him on the granting of the said letters, and that the said C. R. has removed out of the state of New York, as your petitioner is informed and believes; has gone to Detroit, in the state of Michigan aforesaid. That E. N., of the city of New York, is the only other security of the said administrator in his said bond.

In consideration of the premises, your petitioner applies to the surrogate for relief, pursuant to the statute in such case made and provided. And your petitioner will ever pray.

Dated this      day of      A. D. 1860.

[The usual jurat.]

No. 109. Page 648.

ORDER FOR CITATION ON THE ABOVE PETITION.

At, &c. (*See No. 3.*)

IN THE MATTER OF THE SURETIES OF  
A. L., AS THE ADMINISTRATOR, ETC.,  
OF B. M., DECEASED.

On reading and filing the petition under oath of G. M., one of the children and next of kin of B. M., late of the city of New York, deceased, and interested in his estate, setting forth that C. R., one of the sureties of A. L., the administrator of all and singular the goods, chattels and credits of the said B. M., deceased, has removed out of the state of New York, and the surrogate having received satisfactory evidence that the matter requires investigation, it is ordered that a citation issue to the said A. L., administrator as aforesaid, requiring him to appear in this court on the      day of      instant, at ten o'clock in the forenoon, to show cause why he should not give further sureties as such administrator, or be superseded in his administration.

CITATION ON THE ABOVE ORDER.

*The people of the state of New York, to A. L., the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, send greeting:*

You are hereby cited and required personally to be and appear before our surrogate of the county of New York, at his office in the city of New York, on the      day of      instant, at ten o'clock in the forenoon of that day, then and there to show cause why you should not give further sureties as such administrator, or be superseded in your administration.

In testimony whereof, we have caused, &c.

No. 110. Page 648.

ORDER THAT ADMINISTRATOR GIVE FURTHER SURETIES.

At, &c. (*See No. 3.*)

*Title.* (*See No. 109.*)

The citation heretofore issued in this matter on the application of G. M., one of the children and next of kin of B. M., late of the city of New York, deceased, and interested in his estate, requiring A. L., the administrator of all and singular the goods, chattels and credits of the said deceased, to appear in this court on this day, to show cause why he should not

give further sureties as such administrator, or be superseded in his administration, having been returned, and the said A. L. and the said G. M. having appeared, and after hearing the proofs and allegations of the parties, and it appearing that the sureties of the said A. L., as such administrator aforesaid, are insufficient, and that C. R., one of the said sureties, has removed out of this state: It is ordered, and the surrogate of the county of New York, pursuant to the statute in such case made and provided, doth order that the said A. L. give further sureties in the usual form as such administrator aforesaid, within five days from this day, or in default thereof that his letters of administration be revoked.

---

**No. 111. Page 648.**

**ORDER REVOKING LETTERS OF ADMINISTRATION.**

*At, &c.* (See No. 3.)

*Title.* (See No. 109.)

Letters of administration of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, having heretofore been granted and issued by the surrogate of the county of New York to A. L., of the city of New York, and G. M., one of the next of kin of the said B. M. deceased, and interested in his estate, having represented to the surrogate that C. R., one of the sureties of the said A. L., in his bond given by him on the granting of the said letters, has removed out of the state of New York, and the surrogate having received satisfactory evidence that the matter required investigation, and having thereupon issued a citation in due form of law to the said A. L., administrator as aforesaid, requiring him to show cause, on the      day of      now past, why he should not give further securities as such administrator, or be superseded in his administration; and the said A. L. and the said G. M. having appeared on the return of the said citation, and it appearing that the sureties of the said A. L., as such administrator aforesaid, are insufficient, and that C. R., one of the said sureties, has removed out of this state, and the surrogate, pursuant to the statute in such case made and provided, having thereupon made an order requiring the said A. L. to give further sureties in the usual form as such administrator aforesaid, within five days from the said day, and the said A. L. having neglected to give further sureties to the satisfaction of the surrogate within the time so prescribed; it is ordered, and the surrogate of the county of New York, pursuant to the statute in such case made and provided, doth order that the letters of administration of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, heretofore granted and issued by the said surrogate, bearing date on the      day of      in the year one thousand eight hundred and sixty-      be, and the same are hereby revoked: And all authority and rights of the said A. L., as such administrator aforesaid, are hereupon to cease.

---

**No. 112. Page 648.**

**APPLICATION OF A SURETY TO BE RELEASED FROM FURTHER RESPONSIBILITY.**

*County of New York, }*  
*Surrogate's Court. }*

To EDWARD C. WEST, *Surrogate of the county of New York:*

The application of E. N., of the county of New York, respectfully sheweth that he is one of the sureties of A. L., as the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, and that he desires to be released from responsibility on account of the future acts or defaults of the said administrator. He therefore applies to the surrogate for relief, pursuant to the statute in such case made and provided.

E. N.

Dated this      day of      1860.

---

**No. 113. Page 648.**

**ORDER FOR ISSUING CITATION ON THE ABOVE PETITION.**

*At, &c.* (See No. 3.)

IN THE MATTER OF THE SURETIES OF  
A. L., AS THE ADMINISTRATOR, &C.,  
OF B. M., DECEASED.

On reading and filing the application of E. N., one of the sureties of A. L., as the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New



York, deceased, praying for relief, it is ordered that a citation issue to the said A. L., administrator as aforesaid, requiring him to appear in this court on the      day of      , at ten o'clock in the forenoon of that day, then and there to give new sureties in the usual form for the faithful discharge of his duties as such administrator.

[The citation will require the administrator to appear according to the order.]

**No. 114. Page 649.**

**ORDER RELEASING SURETY.**

At, &c. (See No. 3.)

Title. (See No. 113.)

E. N., of the city of New York, one of the sureties of A. L., as the administrator of all and singular the goods, chattels and credits of B. M., late of the city of New York, deceased, having heretofore presented his application to the surrogate, setting forth that he desired to be released from responsibility on account of the future acts or defaults of the said administrator, and praying for relief, pursuant to the statute in such case made and provided: and the surrogate having thereupon issued a citation requiring the said A. L., administrator as aforesaid, to appear before him and give new sureties; and the said A. L. having appeared in compliance with the said citation, and having given new sureties to the satisfaction of the surrogate; it is ordered, and the surrogate of the county of New York, pursuant to the statute aforesaid, doth order and declare that the said E. N. shall not be liable on the bond bearing date on the      day of      in the year one thousand eight hundred and sixty, executed to the people of the state of New York, by the said A. L. and C. R., and the said E. N. as sureties on the granting of the letters of administration of all and singular the goods, chattels and credits of the said B. M., deceased, to the said A. L., by the said surrogate, for any future act, default or misconduct of the said administrator.

**No. 115. Page 649.**

**ORDER THAT ADMINISTRATOR GIVE NEW SURETIES.**

[This order will be similar in form to No. 110.]

[The order revoking the letters in case new sureties be not given, will be similar in form to No. 111.]

**No. 116. Page 678.**

**PROCEEDINGS ON APPOINTMENT OF A GUARDIAN OF A MINOR OF THE AGE OF FOURTEEN YEARS.**

**PETITION.**

To EDWARD C. WEST, *Surrogate of the county of New York*:

The petition of A. M. C., of the city of New York, respectfully sheweth that your petitioner is a resident of the county of New York, and is a minor over fourteen years of age, and was seventeen years of age on the sixth day of May last past; that your petitioner is entitled to certain property and estate, and that, to protect and preserve the legal rights of your petitioner, it is necessary that some proper person should be duly appointed the guardian of his person and estates during his minority. Your petitioner therefore nominates, subject to the approbation of the surrogate, B. D., of the city of New York, merchant, to be such guardian, and prays his appointment accordingly, pursuant to the statute in such case made and provided. And your petitioner will ever pray.

A. M. C.

Dated New York, the first day of October, A. D. 1860.

**CONSENT.**

I, B. D., of the city of New York, merchant, do hereby consent to be appointed the guardian of the person and estate of the above named minor during his minority.

B. D.

Dated this first day of October, A. D. 1860.

**AFFIDAVIT AS TO PROPERTY.**

County of New York, ss: J. P., of the city of New York, being duly sworn, doth depose and say that he is acquainted with the property and estate of the above named minor, and

that the same consists of real and personal estate; and that the personal estate of said minor does not exceed the sum of two thousand dollars, or thereabouts; and that the annual rents and profits of the real estate of said minor does not exceed the sum of three hundred dollars, or thereabouts.

J. P.

Sworn this first day of October, }  
1861, before me, }  
EDWARD C. WEST, *Surrogate*.

GUARDIAN'S BOND.

Know all men by these presents, that we, B. D., of the city of New York, merchant, and L. R., of the same city, physician, are held and firmly bound unto A. M. C. of the city of New York, a minor over fourteen years of age, in the sum of six thousand four hundred dollars, lawful money of the United States, to be paid to the said minor, his executors, administrators or assigns; to which payment, well and truly to be made, we bind ourselves, our and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals. Dated the       day of       one thousand eight hundred and

The condition of this obligation is such, that if the above bounden B. D. shall and will faithfully, in all things, discharge the duty of a guardian to the said minor, according to law, and render a true and just account of all moneys and property received by him, and of the application thereof, and of his guardianship in all respects, to any court having cognizance thereof, when thereunto required, then this obligation to be void, else to remain in full force and virtue.

B. D. [SEAL]  
L. R. [SEAL]

Sealed and delivered in the presence of

[Proof or acknowledgment as in bonds of administrators. See *ante*, Appendix, p. 26.]

AFFIDAVIT OF JUSTIFICATION OF SURETY.

*City and county of New York*, ss: L. R., the within named surety, being duly sworn, doth depose and say that he resides at No.       street in said city, and is worth the sum of six thousand four hundred dollars, over and above all his just debts and liabilities.

Sworn this       day of }  
186 , before me, }  
E. C. W., *Surrogate*.

ORDER THAT THE LETTERS OF GUARDIANSHIP ISSUE.

At, &c. (See No. 3.)

IN THE MATTER OF THE GUARDIANSHIP  
OF A. M. C., A MINOR OF THE  
AGE OF FOURTEEN YEARS.

On reading and filing the petition of A. M. C., a minor, residing in the city of New York, of the age of fourteen years, nominating B. D., of the city of New York, merchant, to be the guardian of his person and estates, and praying his appointment as such guardian, and on reading and filing the bond, executed in due form of law to the said minor, by the said B. D., with sufficient security, it is ordered that letters of guardianship of the person and estate of the said minor issue to the said B. D., and that he be appointed such guardian aforesaid, according to the prayer of the said petition.

LETTERS OF GUARDIANSHIP.

*The people of the state of New York*, to B. D., of the city of New York, send greeting:

Whereas an application, in due form of law, has been made to our surrogate of the county of New York to have you, the said B. D., appointed the guardian of the person and estates of A. M. C., a minor, residing in the city of New York, of the age of fourteen years: And whereas the said B. D. has agreed and consented to become such guardian, and has duly executed and delivered a bond, pursuant to law, for the faithful discharge of his duty as such guardian, and we being satisfied of the sufficiency of said bond, and that said B. D. is a good and reputable person, and is in every respect competent to have the custody of the person and estate of said minor, do by these presents allow, constitute and appoint you, the said B. D., the general guardian of the person and estate of said minor during his minority, hereby requiring you, the said guardian, to safely keep the real and personal estate of said minor which shall hereafter come to your custody, and not suffer any waste, sale or destruction of the same, but to keep up and sustain his lands, tenements and hereditaments, by

and with the rents, issues and profits thereof, or with such other moneys belonging to him as shall come to your possession, and to deliver the same to him when he becomes of full age, or to such other guardian as may be hereafter appointed, in as good order and condition as you receive the same; and also to render a just and true account of all moneys and property secured by you, and the application thereof, and of your guardianship in all respects, to any court having cognizance thereof, when thereunto required.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, E. C. W., surrogate of said county, at the city of New York,  
[SEAL] the day of , in the year one thousand eight hundred and sixty- ,  
and of our independence the E. C. WEST, Surrogate.

*Annexed to the letters is the following extract from an act of the Legislature of New York, concerning executors, administrators, guardians, wards, &c., passed May 16, 1837.*

Sec. "Every general guardian appointed by the surrogate, shall annually after such appointment, so long as any part of the estate, or the income, or proceeds thereof, remain in his hands or under his control, file in the office of the surrogate appointing him, an inventory and account under oath of his guardianship, and of the amount of property received by him, and remaining in his hands, or invested by him, and the manner and nature of such investment, and his receipts and expenditures in form of debtor and creditor."(a)

No. 117. Page 682.

PROCEEDINGS FOR THE APPOINTMENT OF A GUARDIAN OF A MINOR UNDER THE AGE OF FOURTEEN.

PETITION.

*To the Surrogate of the county of New York:*

The petition of I. F., of the city of New York, merchant, respectfully sheweth

That your petitioner is a paternal uncle of C. F., a minor; that said minor is a resident of the county of New York, and is under fourteen years of age; that said C. F. was six years of age on the tenth day of July last past; that the only relatives of said minor residing in the county of New York are W. G., his maternal grandfather, A. G., and H. G., his maternal uncles, and D. F., R. F., and your petitioner, his paternal uncles; that said minor is entitled to personal property to the value of about one thousand dollars, as your petitioner is informed and verily believes, and that he is also seised of certain real estate, the annual rents and profits whereof do not exceed the sum of two hundred dollars; and that, to protect and preserve the legal rights of said minor, it is necessary that some proper person should be duly appointed the guardian of his person and estate.

Your petitioner therefore prays that you will appoint him, your petitioner, the guardian of the person and estate of the said minor until he shall arrive at the age of fourteen years, and until another guardian shall be appointed. And your petitioner will ever pray.(b)

Dated this day of A. D. 186 . I. F.

*City and county of New York, ss:* I. F., of the city of New York, the above petitioner, being duly sworn, deposes and says that the matters set forth in the foregoing petition are true, as he is informed and verily believes. I. F.

Sworn before me, this day }  
of , 186 . }

CONSENT.

I, I. F., of the city of New York, merchant, do hereby consent to become the guardian of the above mentioned minor, pursuant to the prayer of the foregoing petition. I. F.

ORDER ASSIGNING A DAY FOR THE HEARING OF THE APPLICATION, AND DIRECTING AS TO THE SERVICE OF NOTICE ON THE RELATIVES OF THE MINOR.

At, &c. (See No. 3.)

IN THE MATTER OF THE GUARDIANSHIP OF  
C. F., A MINOR UNDER THE AGE OF  
FOURTEEN YEARS.

I. F., of the city of New York, merchant, a paternal uncle of C. F., a minor, residing in the county of New York, under the age of fourteen years, having applied to the surrogate

(a) See S. L. 1837, p. 584, secs. 57-8; 2 R. S. (4th ed.) 883; *Anta*, p. 684.

(b) Where there are several minors of the same family, under the age of fourteen, for whom guardianship is necessary, and the same person desires to be appointed the guardian for them all, the application for such appointment may be included in a single petition.

to be appointed the guardian of the person and estate of the said minor until he shall arrive at the age of fourteen years, and until another guardian shall be appointed; and it appearing that there are other relatives of the said minor residing in the county of New York, the surrogate hereby assigns Monday, the day instant, at ten o'clock in the forenoon, for the hearing of the said application, at the surrogate's office in the city of New York; and he hereby orders and directs notice of such hearing to be given to A. G. and H. G., residing in the county of New York, maternal uncles of the said minor, and to D. F. and R. F., residing in the same county, paternal uncles of the said minor, at least six days before the day of the said hearing.

NOTICE OF HEARING.

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE GUARDIANSHIP OF  
G. F., A MINOR UNDER THE AGE OF  
FOURTEEN YEARS.

Sir,—Take notice that I. F., of the city of New York, merchant, a paternal uncle of G. F., a minor residing in the county of New York, under the age of fourteen years, has applied to the surrogate of the county of New York to be appointed the guardian of the person and estate of the said minor until he shall arrive at the age of fourteen years, and until another guardian shall be appointed, and that the said surrogate has assigned Monday, the day of instant, at ten o'clock in the forenoon, for the hearing of the said application before him at the surrogate's office in the city of New York.

Dated this day of A. D. 186 . Yours, &c., L. F.

[The form of the bond will be the same as that at No. 116, with the proper alteration as to the age of the minor.]

[The form of the order for issuing the letters will be similar to that in the case of a minor under the age of fourteen years. See No. 116.]

[The form of the letters of guardianship will be similar to that given at No. 116, except that, instead of the minor being stated to be "*of the age of fourteen years*," it should be *under the age of fourteen years*; and, instead of the appointment as "*the general guardian of said minor during his minority*," it should be *until he shall arrive at the age of fourteen years, and until another guardian shall be appointed*.]

No. 118. Page 691.

FORM OF AN ANNUAL INVENTORY AND ACCOUNT CURRENT TO BE RENDERED BY A GUARDIAN, PURSUANT TO THE 57TH SECTION OF THE LAW OF 1837.—S. L. 1837, 534. 3 R. S. (5th ed.) 247.

*Surrogate's Court—County of Albany.*

IN THE MATTER OF A. B., A MINOR.

INVENTORY.

A just and true inventory of the real and personal estate and effects of the above named minor, on the 31st of December, 1860:

1860.	
Dec. 31. By balance of cash on hand this day.....	\$ 816 74
Robert Gray's bond and mortgage on real estate, which is well secured, with interest at 7 per cent., from 31st December, 1860.....	350 00
Two hundred and forty shares of stock in the Mohawk Bank, worth at par value,	1,200 00
Two shares Albany and Bern Turnpike stock, par value \$1,000, but actually worth only about.....	300 00
A bond of G. Lord and mortgage for \$800 on unincumbered real estate, which is well secured, with interest at 7 per cent. Interest due from 7th March, 1842,	800 00
S. Drake's bond and mortgage for \$910 on unincumbered real estate, with interest at 7 per cent. Interest due from 27th July, 1860.....	910 00
J. Field's bond and mortgage for \$700, well secured on unincumbered real estate, with interest at 7 per cent. Interest due from 19th August, 1860.....	700 00
Balance of a legacy left to infant by John Miller, deceased.....	250 00
Carried forward.....	\$5,326 74

ACCOUNT CURRENT.

lxxxiii

Brought forward.....	\$5,326 74
A cultivated farm in the town of Charlton, in the county of Saratoga, containing 110 acres, in good repair, under a lease for one year from 1st May, 1842, at an annual rent of \$70, worth about.....	1,300 00
A dwelling-house and lot of ground on Genesee street, in the city of Utica, dimensions of lot 200 feet in depth and 28 feet in width, under a lease for one year from 1st May, 1860, at an annual rent of \$180, payable quarter-yearly, worth about.....	3,000 00
Estimated value of real and personal estate.....	\$8,626 74
J. K., Guardian.	

ACCOUNT CURRENT.

THE ESTATE OF A. B., A MINOR,

1859	To J. K., Guardian.	Dr.	1859	Contra.	Cr.
May 2.	To cash paid M. Low's bill for board and lodging, &c.....	\$ 27 83	Jan. 1.	Bal. due as by last annual acc't.	\$ 27 83
June 4.	Dr. Jones' bill, med. attendance	9 17	Feb. 2.	Cash rec'd, one quarter's rent on dwelling-house in Utica....	45 00
July 9.	L. Fox's bill for tuition.....	18 21	Mar. 7.	One year's interest on G. Lord's bond and mortgage.....	56 00
" 15.	P. Storm, in full for mortgage on farm.....	5,000 00	April 15.	Dividend on Mohawk Bk. stock	78 50
Aug. 19.	Cash loaned on mort. to A. B.	889 08	May 8.	One year's rent on farm in town of Charlton.....	50 00
" 11.	S. Marks' bill, books and stat.'ry	17 86	" 8.	One quarter's rent on house in Utica.....	45 00
Sept. 17.	Collector, for taxes on dwelling house and lot in Utica.....	19 22	June 12.	L. Kerr's note, principal.. \$78 00 Int. 1 yr, 8 mo., 15 dys.. 6 50	79 50
" 29.	G. Bull's bill for repairing dwelling house in Utica.....	43 19	July 27.	One year's interest on S. Drake's bond and mortgage.....	43 70
Oct. 12.	S. Rider, repairing barn in town of Charlton....	63 40	Aug. 4.	One quarter's rent on dwelling-house in Utica.....	45 00
" 20.	Postage on letter from A. B....	25	" 19.	One year's interest on J. Field's bond and mortgage.....	42 00
Nov. 6.	D. Gray's bill for merchandise..	83 29	" 19.	Cash for timber sold J. Peters..	6,000 00
" 18.	Wm. Lott's bill for board and lodging.....	46 67	Sep. 27.	Part of the amount of legacy to infant by John Miller's will..	50 00
" 21.	S. Newland's bill for lumber used in repairing house and barn.	15 44	Oct. 13.	Amount of G. Lord's note, given for balance of last year's interest on his mortg'ge, including interest on note.....	23 19
Dec. 31.	Loaned Robert Gay on his bond and mortgage on farm.....	350 00	Nov 13.	One quarter's rent on house in Utica....	45 00
" 31.	Commissions for receiving moneys since last annual acc't, exclusive of capital received from previous investments: 2 1-2 per cent. on...\$1,000 00 1 1-4 per cent. on... 4,000 00 1-2 " on... 1,553 98	25 00 50 00 7 77			
" 31.	Commissions for moneys pd. out exclusive of investments and re-investments: 2 1-2 per cent. on....\$1,000 00 1 1-4 " on.... 4,000 00 1-2 " on.... 637 04	50 00 25 00 3 18			
" 31.	Balance due the estate,	16 74			
		\$6,684 80			\$6,684 80

ALBANY, December 31st, 1860. J. K., Guardian.(c)

Albany County, ss: J. K., the guardian of the above named minor, being duly sworn, doth depose and say that the above is a just and true inventory of the whole real and personal estate and effects of the above named minor, so far as the same have come to his knowledge, and a just and true account of his guardianship, and of the amount of property received by and remaining in his hands, or invested by him on account of the said minor, and of the manner and nature of such investment, and also of his expenditures on account of the said minor and his estate, *since this deponent rendered his last account current, in this matter, to this court.* [In the first account, these last words in italics are to be left out.] Sworn to this 1st day of January, }  
1861, before me, }  
EDWARD C. WEST, Surrogate.

(c) If the same person is guardian for two or more minors, there must be an inventory of the property of each; or the part thereof belonging to each, if it is joint property, must be stated. And the accounts of the receipts and expenditures on account of each minor, or of his estate; must be kept separately; and each is to be charged with his proportionate share for any services or expenditures for their joint benefit.



No. 119. Page 706.

**APPLICATION OF THE PUBLIC ADMINISTRATOR IN THE CITY OF NEW YORK, TO THE SURROGATE OF THE COUNTY OF NEW YORK, WHERE THE INTESTATE HAS LEFT A WIDOW OR NEXT OF KIN IN THE CITY OF NEW YORK, FOR AN ORDER AUTHORIZING THE PUBLIC ADMINISTRATOR TO SEIZE AND SECURE THE PERSONAL PROPERTY OF THE INTESTATE, TO PREVENT WASTE OR EMBEZZLEMENT.**

*Surrogate's Court, }*  
*County of New York. }*

**IN THE MATTER OF THE GOODS, ETC., OF }**  
**JACOB FROST, DECEASED. }**

*To the Surrogate of the county of New York :*

The application of Stephen P. Russell, public administrator in the city of New York, respectfully shows, upon his information and belief, that Jacob Frost, late of London, in England, died in the city of New York, on the second day of March, in the year 1861, intestate, leaving a considerable amount of personal property within the city and county of New York, consisting of the stock of dry goods in the store No.                      street, in the city of New York, lately and at the time of his death kept by the said Jacob Frost, and that the said property is in danger of waste or embezzlement. He further shows, upon his information and belief, that the said Jacob Frost left him surviving, Mary Frost, his widow, of full age, and William Frost and Sarah Frost, both minors under the age of twenty-one years, his only children, and only next of kin, all, at the time of the death of the said intestate and still, residents of the city of New York, and for proof of the allegations herein contained the public administrator refers to the affidavit of William Steele, a creditor of the said intestate, hereto annexed.

The public administrator, pursuant to the statute in such case made and provided, applies to the surrogate for an order authorizing him to take charge of, seize, and secure the goods and property of the said Jacob Frost, deceased, intestate, in the said store No.                      street, in the city of New York.

STEPHEN P. RUSSELL, *Public Administrator.*

Dated New York, 5th March, 1861.

**AFFIDAVIT TO ACCOMPANY THE FOREGOING APPLICATION.**

*Surrogate's Court, }*  
*County of New York. }*

**IN THE MATTER OF THE GOODS, ETC., OF }**  
**JACOB FROST, DECEASED. }**

*City and county of New York, ss:* William Steele, of the city of New York, being duly sworn, doth depose and say that he is a creditor of Jacob Frost, late of London, in England, dry goods merchant, deceased, lately doing business at No.                      street, in the city of New York. That the said Jacob Frost died in the city of New York on the second day of March, instant. That he left him surviving, Mary Frost, of full age, his widow, and William Frost and Sarah Frost, minors, his only children, and only next of kin, and that the said widow and next of kin of the said intestate resided at the time of his death, and still reside in the city of New York.

This deponent further says that the said Jacob Frost died possessed of a stock of dry goods of the value of upwards of ten thousand dollars, in his said late store, No.                      street, in the city of New York. That the said store and the stock of goods therein contained have been, since the death of the said Jacob Frost, in the possession of the clerks formerly employed in the said store. That no responsible person has been in charge thereof, and that portions of the said goods, to the amount of upwards of one thousand dollars, have been disposed of and carried away, apparently under the direction of the clerks in the said store. And this deponent further says that the said goods are in danger of waste or embezzlement, and that, as this deponent believes, it will be for the benefit of the estate of the said deceased to have the said goods seized and secured.

WILLIAM STEELE.

Sworn before me this eleventh }  
day of March, 1861. }

WILLIAM E. ROBINSON, *Notary Public.*

ORDER AUTHORIZING PUBLIC ADMINISTRATOR TO SEIZE AND SECURE GOODS

At, &c. (See Nos. 3 and 7.)

Present, &c.

IN THE MATTER OF THE GOODS, ETC., OF  
JACOB FROST, DECEASED, INTESTATE.

On reading and filing the application of Stephen P. Russell, the public administrator in the city of New York, in this matter, and it appearing that the widow and next of kin of the said intestate, entitled to a distributive share in his estate, resided in the city of New York at the time of the death of the said intestate, and still reside in the said city; and on reading and filing the affidavit of William Steele, a creditor of Jacob Frost, deceased, intestate, in this matter, showing that the stock of dry goods of the said deceased in his late store, No.        street, in the city of New York, are in danger of waste and embezzlement; and it appearing that it would be for the benefit of the estate of the said intestate to have the said stock of goods seized and secured:

It is ordered, and the surrogate of the county of New York, by virtue of the power in him vested by the statute, doth order that the said Stephen P. Russell, public administrator in the city of New York, be and he hereby is authorized to take charge of, seize and secure the stock of dry goods, property and effects of the said Jacob Frost, deceased, in the store lately occupied by the said deceased at No.        street, in the city of New York.

EDWARD C. WEST, *Surrogate*.

No. 120. Page 709.

PROCEEDINGS BY THE PUBLIC ADMINISTRATOR TO OBTAIN POSSESSION  
OF PROPERTY OF A DECEASED PERSON OF WHICH HE IS AUTHORIZED  
TO TAKE CHARGE, AND WHICH IS CONCEALED OR WITHHELD  
FROM HIM.

AFFIDAVIT UPON WHICH TO OBTAIN SUBPOENA. (See pages 706-7.)

*Surrogate's Court, }*  
*County of New York. }*

IN THE MATTER OF THE GOODS, ETC., OF  
WILLIAM SHARP.

*City and county of New York*, ss: James Wilson of the city of New York being duly sworn, doth depose and say that he was intimately acquainted with William Sharp, late of the city of New York, deceased, for upwards of eight years previously to his death; that he died on the first day of March, 1861, intestate, leaving him surviving no widow, relatives, or next of kin residing in the city of New York.

This deponent further says that the said William Sharp, during his last illness and at the time of his death, resided at a boarding-house at No.        street, in the city of New York, kept by Jonas Mason; that during the last illness of the said William Sharp, and on or about the 27th day of February last past, this deponent saw the said William Sharp place in a drawer of the bureau, in the room occupied by him, a package of papers, consisting of two bonds and mortgages amounting to four thousand dollars, made by persons whose names were stated by the said William Sharp, but which this deponent cannot now recollect, and three promissory notes, which the said Sharp stated were good for twenty-five hundred dollars, but the makers of which he did not mention; and that immediately after so placing the said package of papers in the said drawer, the said William Sharp locked the said drawer, and handed to this deponent the key of the same for safe keeping; that this deponent retained the said key in his possession, without opening the said drawer or allowing the same to be opened until after the death of the said William Sharp; that on the morning after the said William Sharp died this deponent visited the room which the said William Sharp had occupied, and in which the bureau above mentioned still stood, and on examination discovered that the said drawer, in which the package of papers aforesaid had been placed as above described, had been broken open, and the said package of papers taken therefrom. This deponent further says that the said Jonas Mason, previously to the death of the said intestate, mentioned to this deponent that the said intestate had told him that he had placed the said papers in the said drawer; and this deponent believes that the said package of papers, so as aforesaid belonging to the said intestate in his lifetime, has been concealed and withheld by the said Jonas Mason.

JAMES WILSON.

Sworn before me, this        day  
of March, 1861,

WILLIAM RIPLEY, *Notary Public*.

## ORDER FOR ISSUING SUBPOENA. (See page 707.)

At a Surrogate's Court, &amp;c.

Present, &amp;c.

IN THE MATTER OF THE GOODS, ETC., OF  
WILLIAM SHARP, DECEASED, INTES-  
TATE.

On the application of Stephen P. Russell, public administrator in the city of New York, designating Jonas Mason of No.      street, in the city of New York, boarding-house keeper, as a person who conceals and withholds effects of William Sharp, deceased, intestate, of whose effects the said public administrator is authorized to take charge, and of which effects the said William Sharp had possession at the time of his death, or within twenty days previous thereto, the surrogate being satisfied by the affidavit of James Wilson, duly filed herein, that there are reasonable grounds for suspecting that effects of the said intestate are concealed and withheld from the said public administrator by the said Jonas Mason :

It is ordered that a subpoena issue out of the court and under the seal of the surrogate, to be directed to the said Jonas Mason, requiring him to appear before the surrogate at a time and place therein to be specified, for the purpose of being examined touching the estate and effects of the said deceased.

SUBPOENA. (See page 707. *Ante*, page 31, note (1).)

*The people of the state of New York, to Jonas Mason.*

*City and county of New York, ss:* WE COMMAND YOU, that all and singular business and excuses being laid aside, you and each of you appear in your proper person before the surrogate of the said county, at his office, in the Hall of Records, in the Park, in the city of New York, on the      day of March, 1861, at ten o'clock in the forenoon of the same day, for the purpose of being examined touching the estate and effects of William Sharp, dec'd, intestate.

Hereof fail not, under the penalty upon each of you of two hundred and fifty dollars. Witness, Edward C. West, surrogate of our said county, at his office aforesaid, the      day of      , one thousand eight hundred and sixty-      EDWARD C. WEST, *Surrogate*.

## WARRANT TO SHERIFF TO SEARCH FOR AND SEIZE EFFECTS.

*The people of the state of New York, to the sheriff and constables of the city and county of New York, greeting:*

WHEREAS, lately our surrogate of the county of New York was satisfied, by affidavit, that there are reasonable grounds for suspecting that effects of William Sharp, deceased, of which the public administrator in the city of New York is authorized to take charge, are concealed or withheld, of which effects the said William Sharp had possession at the time of his death, or within twenty days previous thereto; and whereas Stephen P. Russell, the public administrator in the city of New York, designated Jonas Mason of No.      street, in the city of New York, boarding-house keeper, as the person concealing or withholding the said effects; and whereas, pursuant to the statute in such case made and provided, a subpoena was issued by our said surrogate of the county of New York, directed to the said Jonas Mason, requiring him to appear before our said surrogate at a time and place therein specified, for the purpose of being examined touching the estate and effects of the said William Sharp, deceased; and whereas the said Jonas Mason appeared and was examined before our said surrogate as required by the said subpoena, and upon the said inquiry it appeared to our said surrogate that two certain bonds and mortgages, one made by, &c., [describing the bonds and mortgages,] and three certain promissory notes, one made by [describing the promissory notes] a portion of the effects of the said William Sharp, deceased, of which the public administrator in the city of New York is authorized to take charge, and of which the said William Sharp had possession at the time of his death, or within twenty days previous thereto, are concealed and withheld from the said public administrator by the said Jonas Mason, within the city and county of New York. THEREFORE WE COMMAND YOU to search for and seize the said effects above described of the said William Sharp, and for that purpose, if necessary, to break open any house in the day time, and to deliver the said property so seized to the public administrator in the city of New York. AND you are required to make return of this warrant, and of your doings hereon, within twenty days from the receipt thereof by you.

In testimony whereof, we have caused the seal of office of our said surrogate to be hereunto affixed. Witness, Edward C. West, Esquire, surrogate of our said county, at the [SEAL] surrogate' office in the city of New York, this      day of March, in the year one thousand eight hundred and sixty-one.      EDWARD C. WEST, *Surrogate*.

BOND TO PREVENT THE ISSUING OF THE WARRANT. (See page 707.)

BOND.

KNOW ALL MEN BY THESE PRESENTS that we, Jonas Mason, of the city of New York, boarding-house keeper, as principal, and William Ray and Charles Chase, both of the city of New York, merchants, as sureties, are held and firmly bound unto the public administrator in the city of New York in the sum of one thousand dollars, lawful money of the United States of America, to be paid to the said public administrator in the city of New York, his successors in office, or assigns: For which payment, well and truly to be made, we bind ourselves, our, and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals, dated the       day of March, one thousand eight hundred and sixty-one.

WHEREAS lately the surrogate of the county of New York was satisfied, by affidavit, that there are reasonable grounds for suspecting that the effects of William Sharp, deceased, of which the public administrator in the city of New York is authorized to take charge, are concealed or withheld, of which effects the said William Sharp had possession at the time of his death, or within twenty days previous thereto; and whereas Stephen P. Russell, the public administrator in the city of New York, designated Jonas Mason of No.       street, in the city of New York, boarding-house keeper, as the person concealing or withholding the said effects; and whereas, pursuant to the statute in such case made and provided, a subpoena was issued by the said surrogate of the county of New York, directed to the said Jonas Mason, requiring him to appear before the said surrogate at a time and place therein specified, for the purpose of being examined touching the estate and effects of the said William Sharp, deceased; and whereas the said Jonas Mason appeared, and was examined before the said surrogate as required by the said subpoena, and upon the said inquiry it appeared to the said surrogate that two certain bonds and mortgages, one made by, &c., [describing the bonds and mortgages], and three certain promissory notes, one made by [describing the promissory notes], a portion of the effects of the said William Sharp, deceased, of which the public administrator in the city of New York is authorized to take charge, and of which the said William Sharp had possession at the time of his death, or within twenty days previous thereto, are concealed and withheld from the said public administrator, by the said Jonas Mason, within the city and county of New York.

AND WHEREAS, to prevent the issuing of a warrant by the said surrogate to the sheriff or constables of the city or county of New York, to search for and seize the said effects as provided by the statute, the said Jonas Mason offers to give the security specified in the statute for the delivery of the said effects.

NOW THE CONDITION of this obligation is such that, if the said Jonas Mason, William Ray, and Charles Chase shall account for and pay to the public administrator in the city of New York the full value of the property aforesaid, and of which the following is an enumeration, [here enumerate the property,] which said property is so claimed and withheld as aforesaid, whenever it shall be determined, in any suit to be brought by the public administrator, that the said property belongs to the estate of the said William Sharp, and which the administrator has, by law, authority to collect and preserve, then this obligation to be void, else to be and remain in full force and virtue.

Sealed and delivered in the }  
presence of }

JONAS MASON, [SEAL.]  
WILLIAM RAY, [SEAL.]  
CHARLES CHASE. [SEAL.]

No. 121. Page 709.

AFFIDAVIT TO OBTAIN ORDER TO SELL PERISHING PROPERTY.

Surrogate's Court, }  
County of New York. }

IN THE MATTER OF THE GOODS, ETC., OF }  
JAMES HART, DECEASED. }

City and county of New York, ss: Thomas Veitch, of the city of New York, being duly sworn, doth depose and say that he is a clerk and assistant in the office of the public administrator in the city of New York. That the said public administrator has recently taken possession of the property of James Hart, late of the city of New York, grocer, deceased, intestate, that a portion of the property of the intestate consists of the stock of provisions and other goods in the retail grocery store lately kept by the said James Hart at No.       street in the city of New York, and that the said stock of groceries is in a perishing condition.

**ORDER FOR SALE (See page 709.)****At a Surrogate's Court, &c.****Present, &c.**

IN THE MATTER OF THE GOODS, ETC., OF  
JAMES HART, DECEASED.

The public administrator in the city of New York having recently taken charge of the property of James Hart, late of the city of New York, grocer, deceased, intestate, and it appearing, by the affidavit of Thomas Veitch duly filed herein, that the stock of groceries of the said deceased, in his late store No. \_\_\_\_\_ street in the city of New York, is in a perishing condition:

It is ordered, and the surrogate of the county doth order, that the said, the public administrator in the city of New York, sell, at public auction, the stock of groceries of the said James Hart, deceased, at his late store, No. \_\_\_\_\_ street, in the city of New York.

**No. 122. Page 711.**

**NOTICE OF APPLICATION BY THE PUBLIC ADMINISTRATOR FOR ADMINISTRATION, WHERE THE VALUE OF THE PROPERTY EXCEEDS ONE HUNDRED DOLLARS. (See page 711.)**

*Public Administrator's Office.*

Notice is hereby given to the relatives and next of kin of Peter Jones, deceased, and who is alleged to have died intestate, that I shall apply to the surrogate of the county of New York for letters of administration upon the estate of the said intestate, on the \_\_\_\_\_ day of \_\_\_\_\_ next, at ten o'clock in the forenoon.

STEPHEN P. RUSSELL, *Public Adm'r.*

Dated, New-York, March \_\_\_\_\_, 1861.

**No. 123. Page 714.**

**NOTICE AND AFFIDAVIT BY THE PUBLIC ADMINISTRATOR, WHERE THE PROPERTY OF WHICH HE IS AUTHORIZED TO TAKE CHARGE DOES NOT EXCEED IN VALUE ONE HUNDRED DOLLARS. (See page 714.)**

**NOTICE. (See page 713.)***Public Administrator's Office.*

Notice is hereby given to the relatives and next of kin of Henry King, deceased, and who is alleged to have died intestate, that the effects of the said intestate in the hands of the public administrator will be administered and disposed of by him according to law, unless the same be claimed by some lawful executor or administrator of the deceased, by the \_\_\_\_\_ day of \_\_\_\_\_ next.

STEPHEN P. RUSSELL, *Public Administrator.*

Dated, New York, March \_\_\_\_\_, 1861.

**AFFIDAVIT BY THE PUBLIC ADMINISTRATOR CONSTITUTING HIM ADMINISTRATOR. (See pages 713-14.)**

*Surrogate's Court—County of New York.*

IN THE MATTER OF THE ADMINISTRATION  
OF THE GOODS, CHATTELS AND CREDITS  
OF HENRY KING, DECEASED.

*City and county of New York, ss:* Stephen P. Russell, of the city of New York, the public administrator in the city of New York, being duly sworn, says that the said Henry King, late of the city of New York, deceased, as this deponent is informed and believes, departed this life at the city of New York, on the twenty-seventh day of February last, leaving property and effects of which this deponent is authorized by law to take charge, the value of which is about the sum of eighty dollars. This deponent further says that he has caused the service and publication of the notice required by law, as appears by the affidavits of such service and publication annexed hereto; that no claim has been made according to law, and that this deponent has taken upon himself the administration of the estate of the deceased.

Sworn before me, this \_\_\_\_\_

STEPHEN P. RUSSELL, *Public Administrator.*

day of March, 1861.

EDWARD C. WEST, *Surrogate.*

[This affidavit is made on the day specified in the notice.]



No. 124. Page 728.

## PETITION FOR ADMEASUREMENT OF DOWER.

*To the Hon. EDWARD C. WEST, Surrogate of the county of New York :*

The petition of N. C., of the city and county of New York, widow of J. C., late of the city and county of New York, deceased, sheweth that the said N. C. was lawfully married to the said J. C. in his lifetime, and lived and cohabited with him until the time of his death. That the said J. C. died on the twenty-fourth day of June, in the year one thousand eight hundred and sixty, intestate. That the said J. C., during such marriage and cohabitation aforesaid, was seized of an estate of inheritance of and in the following lands, situate in the city and county of New York, that is to say : All that certain dwelling-house, lot and parcel of land situate in the Fifth Ward, &c.

Also, all that house and lot, tract and parcel of land situate, lying and being in the Fifth Ward, &c.

Also, all that certain dwelling-house and lot of land situate, lying and being in the Fifth Ward, &c.

And your petitioner shows that the said J. C. was seized and possessed of all the said lands and premises at the time of his death.

Your petitioner further shows that the heirs of the said J. C., deceased, are P. W., widow, A. B., wife of J. B., Z. O., W. W. C., R. W. C., and O. C., his only surviving children, and M. W., wife of J. W., A. F., wife of T. F., M. V. H., wife of J. V. H., I. C., J. C., N. De P., wife of J. De P., and M. V., wife of A. V., the only children and heirs of D. C., deceased, who was a son of the said J. C., deceased, and B. R., widow, M. L., wife of C. L., C. C., wife of W. C., H. H., wife of J. W. H., the only surviving children and heirs of S. H., deceased, who was a daughter of the said J. C., deceased, and S. A., wife of T. A., J. C., A. C., E. C., and E. G., wife of N. G., the only surviving children and heirs of M. C., deceased, who was a son of the said J. C., deceased.

And your petitioner shows that all the said heirs of the said J. C., deceased, are of full age, excepting the said J. C., A. C., and E. C., and W. C., and S. C., who are minors under the age of twenty-one years, and that the said G. C. is the general guardian of the persons and estates of the said minors, J. C., S. C., and E. C., and that the said minors W. C., and S. C., have not any general guardian. And further, that the said M. W., A. F., G. C., N. De P., J. S. C., M. V. H., A. B., P. W., S. A., G. S. C., J. C., M. V., and M. L., all reside in the city of New York, that the said W. W. C., R. W. C., S. C., and H. H., wife of J. W. H., reside in the county of Richmond, and that the said G. C., and his said infant wards, J. C., A. C., and E. C., reside at Clarkstown, in the county of Rockland, and that the said S. C., Z. O., O. C., E. H., H. S., W. C., and J. C., reside in the city of Chicago, in the state of Illinois.

Your petitioner prays that, the premises considered, the surrogate will make an order that admeasurement may be made of her dower, in the lands and premises above described, and that three reputable and disinterested freeholders may be appointed commissioners for the purpose of making admeasurement of your petitioner's dower, pursuant to the Revised Statutes of this state.

N. C.

New York, the 16th day of August, 1860.

A. C., Attorney, No. 2 Wall street.

No. 125. Page 729.

## NOTICE OF PRESENTATION OF PETITION.

[TO BE ANNEXED TO THE PETITION.]

*To the heirs of J. C., late of the city and county of New York, deceased, and all other persons who claim a freehold estate in the lands described in the foregoing petition :*

Take notice that a petition, of which the foregoing is a copy, will be presented to the Hon. Edward C. West, surrogate of the county of New York, at his office in the city of New York, on Monday, the twenty-ninth day of September, 1860, at ten o'clock in the forenoon.

Yours, &amp;c.,

N. C.

Dated, New York, August 16th, 1860.

A. C., Attorney, No. 2 Wall street.

## PROOFS OF SERVICE AND PUBLICATION OF THE PETITION AND NOTICE.

The undersigned, heirs of J. C., admit due service of a copy on each of us of the foregoing petition and notice, Mrs. M. W., A. F., G. C., E. G., N. D., I. S. C., M. V. H., A. B., P. W.  
[Such an admission of service should be proved or acknowledged before a proper officer.]

**AFFIDAVIT OF SERVICE OF NOTICE AND PETITION.**

*In the Surrogate's Court, }*  
*County of New York. }*

**IN THE MATTER OF THE APPLICATION OF N.  
O., WIDOW OF J. C., DECEASED, FOR  
THE ADMEASUREMENT OF HER DOWER.**

*City and county of New York, ss:* W. B. T., Jr., of Staten Island, in the county of Richmond, being duly sworn, says that on the 1st day of September, 1860, at the city of New York, he served the annexed petition and notice on W. W. O., R. W. C., S. C., J. W. H., and H., his wife, respectively named in the said petition, by delivering a copy thereof personally to each of them. W. B. T., Jr.

Sworn to before me this thirteenth }  
day of September, 1860. }

H. D. T., *Commissioner of Deeds.*

**AFFIDAVIT OF SERVICE OF NOTICE AND PETITION.**

*In the Surrogate's Court, }*  
*County of New York. }*

**IN THE MATTER OF THE APPLICATION OF  
N. C., WIDOW OF J. C., DECEASED, FOR  
THE ADMEASUREMENT OF HER DOWER.**

*City and county of New York, ss:* A. C., of the city and county of New York, being duly sworn, saith that on the 8th day of September, 1860, at the city of New York, he served the annexed petition and notice on S. A., named in the said petition, by delivering a copy thereof to her personally. A. C.

Sworn to this 28th day of Sept., }  
1860, before me. }

H. D. T., *Commissioner of Deeds.*

**AFFIDAVIT OF SERVICE OF NOTICE AND PETITION.**

*In the Surrogate's Court, }*  
*County of New York. }*

**IN THE MATTER OF THE APPLICATION OF  
N. C., WIDOW OF J. C., DECEASED, FOR  
THE ADMEASUREMENT OF HER DOWER.**

*City and county of New York, ss:* E. J. of the city and county of New York, being duly sworn, says that on the 7th day of September, 1860, at the city of New York, he served the annexed petition and notice on G. S. C., J. C., M. V., M. S., and C. C., respectively named in the said petition, by delivering a copy thereof to each of them personally. E. J.

Sworn to before me this 28th day }  
of September, 1860. }

H. D. T., *Commissioner of Deeds.*

*City and county of New York, ss:* A. C., of the city of New York, being duly sworn, says that he is foreman in the office of the Evening Post, a daily paper printed and published in the city of New York, and that the notice of which the annexed is a printed copy, has been regularly published in the said Evening Post, once in each week, for three weeks successively, commencing on the first day of September, 1860. A. C.

Sworn before me this 22d day }  
of September, 1860. }

D. T. C., *Commissioner of Deeds.*

*New York Surrogate's Court.*

**IN THE MATTER OF THE APPLICATION OF  
N. C., WIDOW OF J. C., DECEASED, FOR  
THE ADMEASUREMENT OF HER DOWER.**

*City and county of New York, ss:* S. A. H., of the city and county of New York, being duly sworn, says that on the 8th day of September, 1860, at Clarkstown, in Rockland county,

PROCEEDINGS TO COMPEL WIDOW TO HAVE DOWER ADMEASURED. xci

New York, he served the annexed petition and notice on G. C., for himself, and as guardian of J. C., A. C., and H. C., by delivering to him personally a copy of the same. And he further says, he served the said notice and petition on C. G. and his wife, by delivering to each of them personally a copy of the said petition and notice on the said 8th day of September, 1860, and further saith not.

S. A. H.

Sworn this 12th day of September, }

1860, before me. }

H. D. T., *Commissioner of Deeds.*

No. 126. Page 729.

WIDOW'S APPLICATION FOR APPOINTMENT OF GUARDIAN OF MINOR HEIRS OR PARTIES IN INTEREST.

*Surrogate's Court,* }  
*County of New York.* }

IN THE MATTER OF THE ADMEASUREMENT  
OF THE DOWER OF N. C., THE WIDOW  
OF J. C., DECEASED, IN THE LANDS  
OF THE SAID J. C., DECEASED.

*To the Surrogate of the county of New York:*

The application of N. C., the widow of J. C., late of the city of New York, deceased, intestate, respectfully shows

That she is about presenting to the surrogate her respectful petition for an order for the admeasurement of her dower in the lands of her said late husband, and that W. C. and F. C., residing in the city of New York, two of the children and heirs of A. C., deceased, who was a son of the said J. C., deceased, and who are two of the heirs of the said J. C., deceased, are minors under the age of twenty-one years, and have not any general guardian. She applies to the surrogate, pursuant to the statute in such case made and provided, for the appointment of a discreet and substantial freeholder to be the guardian of the said infants, for the sole purpose of appearing for and taking care of their interests in the proceedings for the admeasurement of her said dower.

N. C.

Dated 16th August, 1860.

A. C., *Attorney for Applicant, 2 Wall street.*

[The usual jurat should be appended.]

ORDER FOR APPOINTMENT OF SPECIAL GUARDIAN.

At, &c. (See No. 3.)

Present, &c.

IN THE MATTER OF THE ADMEASUREMENT  
OF THE DOWER OF N. C., THE WIDOW  
OF J. C., DECEASED, IN THE LANDS OF  
THE SAID J. C., DECEASED.

On reading and filing the application of N. C., the widow of J. C., deceased, in this proceeding, setting forth that W. C. and F. C., two of the heirs of the said J. C., deceased, are minors under the age of twenty-one years, and have not any general guardian:

It is ordered, pursuant to the statute in such case made and provided, that Gabriel Van Cott, Esquire, a discreet and substantial freeholder, be and he hereby is appointed the guardian of the said W. C. and F. C., infants as aforesaid, for the sole purpose of appearing for and taking care of their interests in the proceedings for the admeasurement of the dower of the said N. C. in the lands of the said J. C., deceased.

No. 127. Page 730.

PROCEEDINGS BY HEIR OR OWNER OF LAND SUBJECT TO DOWER TO COMPEL THE WIDOW TO HAVE HER DOWER ADMEASURED.

NOTICE TO THE WIDOW.

*To Mrs. N. C., widow of J. C., deceased:*

Forty days having elapsed since the death of J. C., your late husband, and no proceedings having been taken by you for the admeasurement of your dower in the land herein-

after described subject to your dower, notice is hereby given to you by the undersigned, an owner of the said land, claiming a freehold estate therein, that you are required to make demand of your dower in the said land within ninety days after the service of this notice upon you.

The following is a description of the land above referred to:

All that certain lot, piece or parcel of ground situate, lying and being in the Sixteenth Ward of the city of New York, &c.

WILLIAM THOMAS.

Dated December, 1860.

#### PETITION TO THE SURROGATE.

*To the Hon. EDWARD C. WEST, Surrogate of the county of New York:*

The petition of William Thomas, of the city of New York, respectfully shows that he is the owner of the following described land, claiming a freehold interest therein, that is to say,

All that certain lot, piece, or parcel of land situate, lying and being in the Sixteenth Ward of the city of New York, &c.

And your petitioner shows that the said land is subject to the dower of N. C., the widow of J. C., who died on the      day of September, 1860.

Your petitioner further shows that he has caused a notice to be served upon the said N. C., widow as aforesaid, pursuant to the statute in such case made and provided, requiring her to make demand of her dower within ninety days after the service upon her of the said notice, a copy of which said notice, together with the proof of service thereof upon the said widow, is hereto annexed, and that more than ninety days have elapsed since the service upon the said N. C. of the said notice, and that the said N. C. has not made demand of her dower in the said land.

Your petitioner, being desirous of having the dower of the said N. C., widow of the said J. C. as aforesaid, in the said land admeasured, respectfully prays for the admeasurement of the said widow's dower of the said land. And your petitioner will ever pray, &c.

Dated 8th March, 1861.

WILLIAM THOMAS.

[The usual jurat.]

No. 128. Page 731.

#### ORDER FOR ADMEASUREMENT OF DOWER.

At, &c.

Present, &c.

<p>IN THE MATTER OF THE APPLICATION OF N. C., WIDOW OF J. C., DECEASED, FOR THE ADMEASUREMENT OF HER DOWER IN THE LANDS OF THE SAID J. C., DECEASED.</p>
--

On reading and filing the petition of N. C., widow of J. C., deceased, intestate, and the notice of the presentation of the said petition to the surrogate of the county of New York on this day accompanying the same, and due proof by affidavits or admissions in writing of the due service of the said petition, and notice on S. C., P. W., A. B., Z. G., W. W. C., B. W. C., C. C., E. H., H. S., W. C., J. C., G. C., M. W., A. F., M. V. H., G. C., S. C., J. C., I. C., J. C., N. D., M. V., B. R., M. S., C. C., H. H., S. A., J. C. C., A. C., E. C., E. C., and on G. C., the general guardian of the persons and estates of J. C., S. C., and E. C., three of the minor heirs of the said J. C., deceased, and on G. V. C., Esq., heretofore duly appointed by the surrogate the guardian of W. C. and F. C., two of the minor heirs of the said J. C., deceased, for the sole purpose of appearing for and taking care of their interests in this proceeding, and after hearing A. C., of counsel for the widow, and no one appearing in opposition thereto, it is ordered that admeasurement be made of the dower of the said N. C., in and to the following lands:

All that certain dwelling-house and lot and parcel of land situate in the Fifth Ward, &c.

Also all that house and lot, tract and parcel of land situate, lying and being in the Fifth Ward, &c.

Also all that certain dwelling-house and lot of land situate, lying and being in the Fifth Ward, &c.

And it is further ordered that J. C. M., D. B. M., and W. C. F., three reputable and disinterested freeholders residing in the city of New York be, and they are hereby appointed commissioners for the purpose of making the admeasurement herein directed; and that they

REPORT OF COMMISSIONERS.

xciii

make a report of their proceedings to this court, at the surrogate's office in the city of New York, on the first day of November next.

[The order, where the application is by an heir or owner, will be similar in form to the above.]

No. 129. Page 731.

OATH OF COMMISSIONERS.

*Surrogate's Court, }  
County of New York. }*

IN THE MATTER OF THE ADMEASUREMENT  
OF THE DOWER OF N. C., THE WIDOW  
OF J. C., DECEASED, IN THE LANDS  
OF THE SAID J. C., DECEASED.

*City and county of New York, ss:* We, J. C. M., D. B. M., and W. C. F., commissioners appointed by the surrogate of New York in this matter, do severally swear that we will faithfully, honestly, and impartially discharge the duty and execute the trust reposed in us by the said appointment.

Sworn this seventh day of }  
November, 1860. }

J. C. M.  
D. B. M.  
W. C. F.

EDWARD C. WEST, *Surrogate.*

ORDER ENLARGING TIME FOR THE COMMISSIONERS TO BRING IN THEIR  
REPORT. (See page 733.)

At a Surrogate's Court held, in and for the county of New York, at the surrogate's office in the city of New York, on the eleventh day of March, in the year one thousand eight hundred and sixty-one.

Present—EDWARD C. WEST, *Surrogate.*

IN THE MATTER OF THE ADMEASUREMENT  
OF THE DOWER OF N. C., THE WIDOW  
OF J. C., DECEASED, IN THE LANDS OF  
THE SAID J. C., DECEASED.

It appearing, on application, that W. C. F., J. C. M., and D. B. M., the commissioners appointed by the surrogate of the county of New York, by an order made in this matter, require further time to complete the admeasurement of dower, and make report of their proceedings: It is ordered that said commissioners have further time in which to make such report till the fifteenth day of November next, at ten o'clock in the forenoon, and that said commissioners make their report on the day and hour aforesaid.

No. 130. Page 732.

REPORT OF COMMISSIONERS.

[THE REPORT SHOULD BE ANNEXED TO A CERTIFIED COPY OF THE ORDER FOR THE ADMEASUREMENT OF THE DOWER AND APPOINTING THE COMMISSIONERS.]

IN THE MATTER OF THE ADMEASUREMENT  
OF THE DOWER OF N. C., THE WIDOW  
OF J. C., DECEASED, IN THE LANDS OF  
THE SAID J. C., DECEASED.

*To the Surrogate of the county of New York:*

We, the subscribers, appointed, by an order made in this matter by the surrogate of the county of New York, on the 1st day of October, 1860, commissioners to admeasure and lay off the dower of said N. C. in the lands of said J. C., deceased, as his widow, which lands are described in the order of which the foregoing is a certified copy, do respectfully report that, after being first duly sworn, we met and examined the lands mentioned in the said order, and the said houses thereon, and do value each house and lot at six thousand dollars, making the aggregate value of the three houses and lots eighteen thousand dollars. That said houses and lots are not mortgaged, and that the income of each is about the same, to wit, the annual sum of four hundred dollars. That the widow is entitled to one house and lot or the purposes of her dower. The undersigned therefore do hereby set apart for the said



widow the whole of the house and ground known and described in said order, as follows: "All that certain dwelling-house and lot of land situate, lying and being in the Fifth Ward, &c. &c.," which house and lot is set apart for her dower, she to pay all taxes and assessments and other expenses on the said house and lot, during the time the same is in her possession. And we do further report that our charges are as follows:—

J. C. M.....	\$5 00
D. B. M.....	5 00
W. C. F.....	6 50
	<hr/>
	\$16 50
	W. C. F.
	D. B. M.
	J. C. M.

Dated, New York, November 7, 1860.

No. 131. Page 734. No. 132. Page 735.

ORDER CONFIRMING REPORT.

At a Surrogate's Court held at the Hall of Records in the county of New York, before E. C. West, Esq., surrogate of said county, on the seventh day of November, 1860.  
Present—EDWARD C. WEST, *Surrogate*.

IN THE MATTER OF THE ADMEASUREMENT  
OF THE DOWER OF N. C., THE WIDOW  
OF J. C., DECEASED, IN THE LANDS OF  
THE SAID J. C., DECEASED.

Whereas J. C. M., D. B. M. and W. C. F., commissioners appointed, pursuant to a former order of the said court, on the petition of N. C., widow of J. C., deceased, for the admeasurement of her dower in certain lands and tenements of the said J. C., deceased, situated in the said county of New York, whereof the said deceased died seized of an intestate of inheritance, have this day made report to the said surrogate, among other things, that after having been sworn before legal authority faithfully, honestly and impartially to discharge the duty and execute the trust reposed in them by the said appointment, they did, on the 7th day of November, 1860, in the presence of A. C., her lawful attorney, cause a survey to be made of the lands and premises in the said petition, order for admeasurement of dower, and appointment and report mentioned, as, reference being thereunto had, will more fully appear. And that they had admeasured and allotted to the said M. C., for her dower, the one-third part of the said lands and premises; which said third part, so admeasured and allotted, fully appear by the said report this day filed in the office of the said surrogate, and entered at large on his minute book kept for that purpose; and whereas the said M. C., by A. C., her attorney, appeared before the said surrogate on the day and at the place first aforesaid, and prayed that the said report be confirmed; and, no cause appearing to the contrary, it is therefore ordered that the said report and admeasurement be, and the same hereby is confirmed.

And it is further ordered that, unless an appeal be entered from this order, the costs of this proceeding, to be taxed by the surrogate, be paid equally, the one half thereof by the widow, and the other half by the adverse party.

E. C. WEST, *Surrogate*.

No. 133. Page 746.

APPEAL FROM DECREE ADMITTING WILL TO PROBATE AND RECORD.

Surrogate's Court, }  
County of New York. }

IN THE MATTER OF PROVING THE LAST  
WILL AND TESTAMENT OF A. G. T.,  
DECEASED.

A sentence and decree of the surrogate of the county of New York having been pronounced and entered in this matter on the third day of January, in the year one thousand eight hundred and sixty-one, whereby it was decided, ordered, adjudged, and decreed that the instrument in writing propounded for probate in this matter by H. S., one of the executors therein named, bearing date the twenty-seventh day of October, in the year one thousand eight hundred and fifty-nine, is the last will and testament of A. G. T., late of the city of New York, deceased, above named, and as such is valid as a will of real and personal

# PETITION OF APPEAL.

XCV

estate, and whereby the same was admitted to probate and ordered to be recorded as a will of real and personal estate, and we, E. G. T. and C. R. Q., the grandchildren and only heirs at law and next of kin of the said A. G. T., deceased, feeling ourselves aggrieved by the said decree, we, the said E. G. T. and C. R. Q., do hereby appeal from the said sentence or decree to the Supreme Court, and pray that the pleadings, proofs, and proceedings in the said matter may be transmitted to the Supreme Court, to the end that such order may be made thereupon as shall be just.

C. D. N., *Proctor and Attorney for Appellants.*

Dated 3rd January, 1861.

## BOND ON THE SAME APPEAL.

KNOW ALL MEN BY THESE PRESENTS that we, E. G. T. and C. R. Q., as principals, and C. H. N. and J. S. C., as sureties, are held and firmly bound unto the people of the state of New York in the penal sum of one hundred dollars lawful money of the United States, to be paid to the said people, for which payment, well and truly to be made, we bind ourselves, our and each of our heirs, executors and administrators, jointly and severally, firmly by these presents. Sealed with our seals. Dated the third day of January, in the year one thousand eight hundred and sixty-one.

WHEREAS, in a certain proceeding lately had before the surrogate of the county of New York, for the proof of the last will and testament of A. G. T., late of the city of New York, deceased, the said surrogate, on the third day of January, in the year one thousand eight hundred and sixty, pronounced and made his certain sentence or decree, whereby it was decided, ordered, adjudged, and decreed that the instrument in writing propounded for probate and record before the said surrogate, by H. S., one of the executors therein named, bearing date the twenty-seventh day of October, in the year one thousand eight hundred and fifty-nine, is the last will and testament of the said A. G. T., deceased, and, as such, is valid as a will of real and personal estate, and whereby the same was admitted to probate, and ordered to be recorded as a will of real and personal estate. AND WHEREAS, the said E. G. T. and C. R. Q., the only heirs at law and next of kin of the said A. G. T., deceased, feeling themselves aggrieved by the said decision, sentence, and decree, have appealed therefrom to the Supreme Court.

NOW THE CONDITION of this obligation is such that, if the said E. G. T. and C. R. Q. shall dilligently prosecute the said appeal, and shall pay such costs as shall be taxed against them in the event of their failure to obtain a reversal of the decision so appealed from, then this obligation to be void, otherwise to be and remain in full force and virtue.

Sealed and delivered in the presence of }

E. G. T. [SEAL]  
C. R. Q. [SEAL]  
C. H. U. [SEAL]  
T. S. C. [SEAL]

No. 134. Page 748.

## PETITION OF APPEAL.

*Supreme Court,*  
*City and County of New York.* }

E. G. T., C. R. Q., APPELLANTS, *versus* D. T., G. W. T.,  
A. G. T., JUNIOR, J. T., A. B. AND E. B., HIS WIFE,  
S. G. AND M. G., HIS WIFE, J. C., S. H., T. S. C., F.  
H., M. K. AND C. K., HIS WIFE, D. T. C., W. A. S.,  
H. N., M. E. T., W. W. C., T. B., H. S., J. F., G. S.,  
J. S., THE AMERICAN BIBLE SOCIETY, THE AMERICAN  
TRACT SOCIETY, THE AMERICAN SEAMEN'S FRIEND  
SOCIETY, W. A. B., TREASURER OF CENTRAL AMER-  
ICAN EDUCATION SOCIETY, THE AMERICAN COLONIZA-  
TION SOCIETY, J. C., TREASURER OF THE HOME MIS-  
SIONARY SOCIETY, H. H., TREASURER OF THE AMERI-  
CAN BOARD OF COMMISSIONERS OF FOREIGN MISSIONS,  
THE N. Y. INST. FOR INSTRUCTION OF DEAF & DUMB,  
AND THE N. Y. INST. FOR THE BLIND, RESPONDENTS.

*To the Supreme Court:*

The petition of appeal of E. G. T., and of C. R. Q., appellants in the foregoing entitled cause, by C. D., their attorney, respectfully shows to this court  
That said E. G. T. and C. R. Q. are the grandchildren and sole and only heirs at law and next of kin of A. G. T., late of the city of New-York, deceased.

That the said A. G. T. died, at the city of New York, on the twenty-ninth day of October, one thousand eight hundred and fifty-one, aged seventy-five years and upwards, seized and possessed of, and well entitled unto a large real and personal estate, each amounting in value to more than one hundred thousand dollars.

That after the death of the said A. G. T., and during the month of November, one thousand eight hundred and fifty-one, the persons named in the paper writing hereinafter mentioned as executors, or one or more of them, produced before the surrogate of the county of New York, and propounded to him for proof as a will of real, and for probate as a will of personal estate, a certain paper writing, purporting to be the last will and testament of the said A. G. T., deceased, bearing date the twenty-seventh day of October, in the year one thousand eight hundred and fifty-one.

That the appellants, your petitioners, and each of them, duly appeared before the said surrogate, and contested the validity of said alleged will, and its admission to proof or probate, as aforesaid.

That the proceedings before the said surrogate, in the matter of proving the said alleged will, were duly continued from time to time; and proof therein having been taken, and the allegations of the parties appearing having been heard, the said surrogate, on the third day of January, one thousand eight hundred and fifty-four, made and entered a decree as said surrogate, in the matter aforesaid, in substance as follows:

It is now decided, ordered, adjudged, and decreed that the instrument in writing, propounded for the probate in the said matter by H. S., one of the executors therein named, bearing date the twenty-seventh day of October, in the year eighteen hundred and fifty-nine, is the last will and testament of the said A. G. T., deceased, and as such is valid as a will of real and personal estate, and the same is hereby admitted to probate, and ordered to be recorded as a will of real and personal estate.

And your petitioners, the said appellants, and each of them, complain and allege that the whole and every part of the said decision, order, judgment and decree (except such portion thereof as relates to the costs of the respective parties) is erroneous, improper and illegal, and that your petitioners, the said appellants, are injured and aggrieved thereby, and their right, title and interest of, in and to the real and personal estate of the said A. G. T., deceased, is, in consequence thereof, doubted, impaired and injured, and they are thereby unjustly deprived of the full and free enjoyment of the same; and they have, in consequence thereof, duly appealed to this honorable court for a redress and determination of their rights in the premises.

And the appellants, your petitioners, pray that the said decision, order, judgment and decree of the said surrogate, and every part thereof (except as aforesaid), may be reversed, set aside and annulled by this honorable court, and that such proceedings may be had, and such issue or issues awarded in the premises, as to the Supreme Court shall seem just and proper for a full and complete determination of the aforesaid rights of your petitioners.

And the appellants, your petitioners, further state that the persons, institutions, societies and corporations intended to be made defendants, respondents and parties to the said appeal, and who claim to be interested in the proceedings before the said surrogate and under the said will, are as follows, to wit:

D. T., G. W. T., A. G. T., junior, J. T., A. B. and E. B., his wife, S. G. and M. G., his wife, J. C., S. H., T. S. C., F. H., M. K. and C. K., his wife, D. T. C., W. A. S., H. N., M. E. T., W. W. C., T. B., H. S., J. F., G. S., J. S., The American Bible Society, The American Tract Society, The American Seamen's Friend Society, W. A. B., Treasurer of the Central American Education Society, The American Colonization Society, J. C., Treasurer of the Home Missionary Society, H. H., Treasurer of the American Board of Commissioners of Foreign Missions, The New York Institution for the Instruction of the Deaf and Dumb, and the New York Institution for the Blind.

That F. G., one of the persons who claimed as aforesaid, departed this life in the year eighteen hundred and fifty-two, and his alleged interest, as aforesaid, did not survive.

And the appellants, your petitioners, pray that the persons, institutions, societies and corporations above named, and claiming as aforesaid, and every of them, may answer this petition of appeal, pursuant to law and the rules and practice of this court, and that the Supreme Court may appoint a day and place for the hearing of the parties hereto upon said appeal; and that the appellants, your petitioners, may have such further or other relief in the premises as to the court shall seem just and equitable.

Dated March 18, 1861.

C. D. N., *Attorney for Appellants.*

W. F., *of Counsel.*

State of New York, }  
City and County of New York. } ss:

On this eighteenth day of March, A. D. 1860, before me personally appeared the above named E. G. T., and made oath that he has read and subscribed the foregoing petition of appeal,

ANSWER TO PETITION OF APPEAL.

xcvii

and knows the contents thereof; and that the same is true of his own knowledge, except as to the matters therein stated to be on his information or belief; and as to those matters he believes it to be true.

E. F. C., *Commissioner of Deeds.*

ANSWER TO PETITION OF APPEAL (See Rule 44 of Supreme Court, page 37.)  
*Supreme Court.*

E. G. T. AND C. R. Q., APPELLANTS, *versus*  
D. T., H. S., J. F., AND OTHERS, RE-  
SPONDENTS.

The answer of H. S. and J. F., two of the respondents in this appeal, to the petition of appeal of E. G. T. and C. R. Q., appellants:

These respondents admit that a decree or sentence of the date, tenor and effect in the said petition of appeal set forth, was made by the surrogate of the county of New York. And these respondents are advised and believe, and submit that such decree or sentence is just and equitable.

These respondents therefore pray that the said sentence or decree of the surrogate of the county of New York may be affirmed, and that the said petition of appeal may be dismissed by this honorable court, with costs to be adjudged to these respondents.

I. D., *Attorney for respondents, H. S. and J. F.*

*Supreme Court,*  
*City and County of New York.*

E. G. T. AND C. R. Q., APPELLANTS, *versus*  
D. T., G. W. T., THE NEW YORK IN-  
STITUTION FOR THE INSTRUCTION OF  
THE DEAF AND DUMB *et al.*, RESPOND-  
ENTS.

The New York Institution for the Instruction of the Deaf and Dumb, respondents in the above entitled cause, by H. E. D., their attorney, answering the petition of appeal of the appellants in the said cause, allege and say:

That they admit the matters and statements contained in the said petition of appeal as true, except such portion of the said petition as relates to the decision, judgment, order and decree of the surrogate of the county of New York, in regard to the last will and testament of A. G. T., deceased, and which alleges that the said decision, judgment, order and decree, except such portion thereof as relates to the costs of the respective parties, is erroneous, improper, and illegal; but these respondents deny that the aforesaid decision, judgment, order and decree, or any part thereof, is either erroneous, improper or illegal, and allege that the said decision, judgment, order and decree, and every part thereof, is legal, proper and correct.

These respondents therefore pray that the petition of appeal of the appellants may be dismissed, with costs to these respondents.

H. E. D., *Attorney for N. Y. Inst. for Instr. of Deaf and Dumb.*

Dated March 19th, 1861.

*City and county of New York, ss:* H. P. P., president of the above named New York Institution for the Instruction of the Deaf and Dumb, respondent in this action, being duly sworn, says that the foregoing answer is true of his own knowledge, except as to the matters therein stated on information and belief, and as to those matters he believes it to be true.

Sworn to before me this 19th  
day of March, 1861.

H. P. P., *President.*

D. S., *Commissioner of Deeds.*

*Supreme Court.*

E. G. T. AND C. R. T., APPELLANTS, *versus*  
D. T., G. W. T., A. G. T., JR., THE  
AMERICAN TRACT SOCIETY, AND  
OTHERS, RESPONDENTS.

The answer of the American Tract Society, one of the above named respondents, to the petition of appeal of E. G. T., and C. R. Q., appellants:

This respondent, not acknowledging all or any of the matters which, in the said appellants'

petition of appeal are contained, to be true, for answer thereto, nevertheless, saith and admits: That a decree was, on the third day of January, one thousand eight hundred and sixty-one, made and entered by the surrogate of the county of New York, in the matter for that purpose mentioned in the said petition, as is therein stated, and in substance as in the said petition is stated, but as to both the substance and form of the said decree, this respondent prays to refer thereto, when the same shall be produced.

And this respondent further saith and admits that sundry proceedings were had, and proofs taken, on which the said decree is founded, and which are in and by the said petition of appeal mentioned and referred to; as to all which this respondent prays leave to refer to the same, when the same shall be produced; and this respondent is advised and believes that the said decision, order, judgment and decree is just and agreeable to equity:

And therefore respondent prays that the same may be in all things affirmed with costs, to be adjudged to this respondent.

C. T. & C., *Attorneys for Respondents, the Amer. Tract Soc.* Wall street  
G. N. T., *of Counsel.*  
New York, March 20th, 1861.

*Supreme Court.*

E. G. T. AND C. R. Q., APPELLANTS, <i>agst.</i> J. C., TREASURER, ETC., IMPEADED WITH D. T. AND OTHERS, RESPOND- ENTS.
---

The respondent, J. C., treasurer of the Home Missionary Society, for answer to the appellants' petition of appeal, says:

That he admits the making and entry by the said surrogate of the said decree.

But this respondent says that the said decree was not erroneous, improper or illegal, but in all respects just and equitable.

Therefore, this respondent prays that said decree be affirmed, with costs of appeal, &c.

E. W. & G. F. C., *Attorneys for Respondent, J. C., Treasurer, &c.*

*AN ACT respecting the Fees of Surrogates. Passed May 7th, 1844.*

(S. L. 1844, 445; 3 R. S., 5th ed., 919. See p. 653.)

*The People of the State of New York, represented in Senate and Assembly, do enact as follows:*

Sec. 1. Section thirty-two of title three of chapter ten of part third of the Revised Statutes is hereby repealed.

Sec. 2. For the following services, hereafter done or performed by surrogates, the following fees shall be allowed, nor shall they be entitled to receive any other fees therefor:

Drawing proof of a will when contested, or any other proceeding before him, for which no specific compensation is provided, fifteen cents for every folio.

Drawing every petition in any proceeding before him, not otherwise provided for, including the affidavit of the proof of the facts stated therein, fifty cents.

Every certificate of the proof of a will, when contested, indorsed thereon, including the seal, fifty cents; and for any certificate upon exemplifications of records or papers filed in his office, or upon the papers transmitted upon appeal, including the seal, fifty cents.

Drawing, copying, and approving of every bond required by law, fifty cents.

Drawing, copying, and recording every necessary paper, and drawing and entering every necessary order, and for rendering every other service necessary to complete proceedings on the appointment of a general guardian of a minor, three dollars; and for the like services in appointing the same person guardian for any other minor of the same family, at the same time, one dollar and fifty cents.

Drawing, entering and filing a renunciation, in cases where the same may be made by law, twenty-five cents.

A citation or summons, in cases not otherwise provided for, to all parties in the same proceeding, residing in any one county, including the seal, fifty cents; and for a citation to all parties in any other county, twenty-five cents.

A subpoena for all witnesses in the same proceeding, residing in one county, including the seal, twenty-five cents.

For every copy of a citation and subpoena, furnished by a surrogate, twelve and a half cents, and every such copy of citation shall be signed by the surrogate.



A warrant of commitment or attachment, including the seal, fifty cents.

A discharge of any person committed, including the seal, fifty cents.

For drawing and taking every necessary affidavit, upon the return of an inventory, fifty cents.

For serving notice of any revocation, or other order or proceeding required by law to be served, twenty-five cents.

For swearing each witness, in cases where a gross sum is not allowed, twelve and one-half cents.

For searching the records of his office for any one year, twelve and one-half cents; and for every additional year, six cents; but no more than twenty-five cents shall be charged or received for any one search.

Recording every will, with the proof thereof, letters testamentary, letters of administration, report of commissioners for the admeasurement of dower, and every other proceeding required by law to be recorded, including the certificate, if any, at the foot of the record, when the recording is not specifically provided for in this act, ten cents for every folio.

For the translation of any will from any other than the English language, ten cents for every folio.

Copies and exemplifications of any record, proceeding or order had or made before him, or of any papers filed in his office, transmitted on an appeal, or furnished to any party on his request, six cents for every folio, to be paid by the party requesting them.

For making, drawing, entering and recording every order for the sale of real estate, and every final order or decree on the final settlement of accounts, one dollar and fifty cents; and for the confirmation of the sale of real estate, seventy-five cents; and for making, drawing, entering and recording any other order or decree, when the same is not otherwise provided for, twenty-five cents.

Hearing and determining, when the proof of a will, or the right to administration, or appointing a guardian, is contested, two dollars.

Taking, stating and determining upon an account rendered upon a final settlement, or determining and deciding the distribution of personal estate, if contested, two dollars for each day necessarily spent therein, not exceeding three days.

For hearing and determining any objections to the appointment of an executor or administrator, or any application for his removal, or for the removal of any guardian, or any application to annul the probate of a will, two dollars.

For hearing and determining upon an application to lease, mortgage or sell real estate, two dollars.

For drawing and recording all necessary papers, and drawing and entering all necessary orders on applications for letters of administration, when not contested, and for all services necessary to complete the appointment of administrators, and for the appointment of appraisers, five dollars; but in cases where a citation is necessary, seventy-five cents in addition.

For investing, for the benefit of any minor, any legacies, or the distributive shares of the estate of any deceased person, in the stocks of this state or of the United States, one per cent. for a sum not exceeding two hundred dollars; and for any excess, one-quarter of one per cent.; for investing the same on bond and mortgage of real estate, one half one per cent., for a sum not exceeding two hundred dollars, and one-quarter of one per cent. for any excess.

For receiving the interest on such investments, and paying over the same for the support and education of such minor, one half of one per cent.

Appointing a guardian to defend any infant who shall be a party to any proceeding, fifty cents; but where there is more than one minor of the same family, and the same guardian is appointed for all, twenty-five cents for each additional minor; and no greater or other fee shall be charged for any service in relation to such appointment.

Hearing and determining upon the report of commissioners for the admeasurement of dower, one dollar.

For distributing any moneys brought into his office on the sale of real estate, two per cent.; but such commission shall not in any case exceed twenty dollars for distributing the whole money raised by such sale, and no executors or other persons, authorized to sell any real estate, by order of any surrogate, shall be allowed any commission for receiving or paying to the surrogate the proceeds of such sale; but shall be allowed their expenses in conducting such sale, including two dollars for every deed prepared and executed by them thereon, and a compensation not exceeding two dollars a day for the time necessarily occupied in such sale.

But no fee shall be taken by any surrogate, in any case, when it shall appear to him, by the oath of the party applying for letters testamentary or of administration, that the goods, chattels and credits do not exceed the value of fifty dollars, nor shall he take any fee for copying any paper drawn by him or filed in his office, except as above provided.

For drawing and recording all necessary petitions, depositions, affidavits, citations and other papers, and for drawing and entering all necessary orders and decrees, administering oaths, appointing guardians *ad litem*, and appointing appraisers, and for rendering every other necessary service, in cases of proof of will and issuing letters testamentary, when not contested, and the will does not exceed fifteen folios, surrogates shall receive twelve dollars; and where the will exceeds fifteen folios, ten cents per folio for recording such excess, and six cents per folio for the copy of such excess to be annexed to the letters testamentary.

For all fees, on filing the annual account of any guardian, when the surrogate shall draw and take the affidavit of the guardian, and for examining such accounts, fifty cents; but when the same shall not be drawn nor taken by him, he shall charge no fees.

No charge shall be made for drawing, copying or recording his bill of fees in any case. (3 R. S., 5th ed., 922.)

Sec. 3. The fee for filing any paper in the surrogate's office is abolished. (S. L. 1844, 448; 3 R. S., 5th ed., 922.)

The remaining sections of this act will be found in the first chapter of this work—the same relating to the subjects of that chapter. (See p. 40.)

~~~~~

**FEE BILL OF COSTS ON APPEALS FROM THE DECREES OF SURROGATES TO THE SUPREME COURT; BEING THE ITEMS OF THE FEE BILL OF COSTS IN THE LATE COURT OF CHANCERY, APPLICABLE TO SUCH APPEALS, AND THE SECTION OF THE REVISED STATUTES RELATING TO DISBURSEMENTS. See 2 R. S. (3rd ed.) 719; *Ante*, p. 743.**

**Sec. 13. [Sec. 14.] *Counsellors' fees.***

A retaining fee to not more than one counsel in a cause, five dollars.

Perusing and settling every final decree containing special provisions, two dollars and fifty cents.

Arguing every special motion, two dollars and fifty cents.

Attending, pursuant to notice, to argue a special motion, which shall not have been argued, one dollar and fifty cents.

Arguing every demurrer, plea or exception, in court, five dollars.

Arguing every cause in court, *ex parte*, on bills taken as confessed, two dollars and fifty cents.

Arguing every other cause upon the merits, eight dollars to each counsel, not exceeding two.

**Sec. 14. [Sec. 15.] *Solicitor's fees.***

For a retaining fee, three dollars.

Drawing every bill, answer, or other pleading or proceeding in a cause, twenty-eight cents for every folio.

For every engrossment of such drafts to file, fourteen cents for every folio; for every other necessary copy, seven cents.

Attending the chancellor or a vice-chancellor, out of term, upon petition, or upon any special motion, one dollar.

Attending the Court of Chancery in term, upon a special motion founded on previous notice, one dollar; and if such motion be argued or opposed, one dollar and fifty cents.

Actually attending the Court of Chancery, upon the argument of a cause, five dollars.

Serving every rule, order or copy of interrogatories, twenty-five cents.

Every necessary notice or summons actually served, including a copy thereof and service thirty-seven and a half cents.

For actually abbreviating the pleadings, depositions and exhibits in a cause, for counsel other than the solicitor, three cents for each folio contained in the writing abbreviated.

Drawing brief for counsel, on any special motion or petition, of which notice shall have been given, one dollar.

Drawing brief for counsel, upon the hearing of a cause before the court, when there has been an answer, plea or demurrer to the bill; or before a master, on a reference to take and state an account, two dollars.

Attending the register with the drafts of any decree or order, to have the same settled and entered, fifty cents.

Copy of a bill of costs delivered with notice of taxation, or filed after taxation, if before a decree, fifty cents; if after a decree, one dollar.

# GENERAL INDEX.

---

## **Abatement.**

- action does not abate by death of party, if cause of action survive or continue, 297, 344, 347.
  - by death or removal of executor or administrator, 301, 349.
  - by death of defendant, 344.
- of legacy, 438, 443, 445.
  - if assets not sufficient, general legacies to abate in equal proportions, 438, 443.
  - general legatees must abate before specific, 443.
  - but a residuary legatee cannot call on them to abate, 444.
  - priority, among general legatees, of purchasers above volunteers, 444, 445.
  - under the provisions of the Revised Statutes, in favor of post-testamentary children, 444.
  - no exemption on account of being applied to particular purpose, 444.
  - legacies given for specific purposes, abate among themselves ratably, 445.
  - legacy for piety does not abate, 445.
- of specific legacies, 445.
  - of legacies in the nature of specific legacies, or demonstrative legacies, 445.

## **ACCOUNTING BY EXECUTORS AND ADMINISTRATORS IN THE SURROGATE'S COURT.**

- surrogate, upon accounting, may give preference to charge for rent paid on lease, 312, 318.
- when executors or administrators may be required to account, 480, 481.
  - on whose application account may be required, 481, 482, 487, 489, 493.
  - petition for account, 487, 488, 489.
    - parties to, 489.
    - prayer of, 489.
  - order to account, 490.
  - citation to account, 491.
  - citation to show cause, 493, note (*w*).
  - service of order, 481, 482, 491.
  - proceedings on return of order, 491, 497.
  - obedience to, how to be enforced, 482, 491, 492.
  - proceedings against absent or non-resident executors or administrators, 482.
- account, 482, 495, 496.
  - form of, 495, 507, 508.
  - vouchers, 482, 495, 496, 509, 512, 513.
    - when, if ever, they may be dispensed with, 513.
  - executor's oath to account, 496, 507.
  - proceedings on the accounting, 497, 498.
  - statute of limitations, 498.
  - examination of executor under oath, 482, 497.

## **FINAL SETTLEMENT OF THE ACCOUNTS OF EXECUTORS AND ADMINISTRATORS,**

- where executor has already been ordered to account, 483, 484, 499.
- where no proceeding has been taken against him, 485, 499.

ACCOUNTING BY EXECUTORS AND ADMINISTRATORS, ETC.—*continued.*FINAL SETTLEMENT OF ACCOUNTS, ETC.—*continued.*

- petition for final settlement, 500, 501.
- issuing and service of citation for final settlement, 483, 484, 502, 503.
- proceedings on return of citation, 505, 506.
- proceedings as to infant parties, 506.
- adjournment of the accounting, 507.
- proceedings on the accounting, 507, 508.
- form of the account, 508.
- oath to account on final settlement, 509.
- of contesting the account, 511, 516.
  - adverse party required to state objections in writing, 511, 512.
  - charges which may be preferred, 512.
  - comparing account and vouchers, 512.
  - adjournment of proceedings, 512.
  - proceedings where claimant fails to appear, 512.
  - executor bound to bring proof as to disputed items only, bound to produce his receipts and vouchers, 512.
  - when expenditure may be allowed for which no voucher is produced, 482, 496, 512, 513.
  - when, if ever, voucher may be dispensed with, 513.
  - want of affidavit verifying claim will not warrant rejection of vouchers or payment, 513.
  - burthen of impeaching payments on party objecting, 513.
  - taxes paid on real estate not proper charges in account, 514.
  - where such payment may be allowed as part of distributive share, 514.
  - executor may set up statute of limitations, 514.
  - witnesses may be examined, 515.
  - testimony of foreign witnesses may be taken by commission, 515.
  - examination of parties to the accounting, 9, 515.
- of referring to an auditor, 516, 517.
  - proceedings on reference, 484, 516, 517.
  - report, 517.
- confirmation of, 517.

## LIABILITY OF AN EXECUTOR, 517.

See titles *Executors and Administrators. Devastavit.*

debts due to the executor, 394, 510.

See title *Executors and Administrators.*

allowance for property perished or lost, 483, 518.

See titles *Executors and Administrators, liability of. Devastavit. Accounts of Executors and Administrators. Allowances.*

## ACCOUNTABILITY FOR INVESTMENTS, 521.

See titles *Executors and Administrators, liability of. Investments by Executors and Administrators.*

accountability for increase or decrease of the estate, 483, 586 *et seq.*

See titles *Accounts of Executors and Administrators. Allowances.*

liability for interest, 529 to 534. See title *Interest.*

allowance of executors' commissions, 483, 534 to 539.

See titles *Allowances. Commissions.*

allowance of executors' expenses, 482, 540 to 543.

See titles *Accounts of Executors and Administrators. Allowances.*

## EFFECT OF THE FINAL SETTLEMENT, 543 to 554.

facts of which final settlement and allowance of account to be deemed conclusive, 484, 543.

extent and sufficiency of protection to executor or administrator afforded by, 503, 543.

accounting, how far final, 543, 544.

of executors, as trustees 585, 546.

surrogate's jurisdiction to decree payment and distribution of property not paid or distributed, and to settle and determine questions concerning any debt, claim, legacy, &c., 485, 547.

claims between the executor and the estate, 548, 549.

claims between several executors, 549, 550.

as respects personal representations of deceased executor, 549.

in respect to claim due surviving partner, 549, 550.

to charge executor with value of premises of the estate sold to himself, 551.

ACCOUNTING BY EXECUTORS AND ADMINISTRATORS, ETC.—*continued*.EFFECT OF THE FINAL SETTLEMENT—*continued*.

- to determine validity of an alleged *donatio mortis causa*, 551.
- no jurisdiction to determine the validity and amount of a claim brought by a creditor against the executor or administrator, 552, 553.
- where creditor has security for his debt upon another fund, 554.
- where there is a specific lien on the land devised, 554.
- disposition of property still in executor's hands, and of securities not yet due, 485, 554, 555.
- surrogate, on consent of parties, may direct delivery of property not sold, 485, 554, 576.
  - value to be ascertained by appraisement, 485, 554, 576.
  - assignment of securities still in executor's hands, 485, 554.
  - suing and recovering on securities, 486, 555.
- retaining for claims not yet due, or on which suits pending, 486, 555, 576.
  - when surrogate may allow executor to retain for debt not due, 555, 576.
- distribution in cases of intestacy, 556 to 575.
  - See title *Distribution*.
  - when the deceased, at the time of his death, was domiciled abroad, 570, 573.
    - See title *Non-inhabitant*.
  - disposition of the personal property of intestate married women, 573.
- disposition of personal property, under will of person dying domiciled abroad, 572.
  - See title *Non-inhabitant*.
- disposition of personal property of intestate married women, 540, 542.
  - See titles *Distribution*. *Husband and Wife*.
- securing the distributive shares of minors, 573-4, 576.
- form of decree of surrogate, on final settlement of account of executor or administrator, 575, 577.
  - recitals in, 575.
  - decree for settlement, 575.
    - distribution, 576.
    - now united, 576.
  - where accounting has been at instance of a single party, 577.
  - costs of the accounting, 577.
- enforcing surrogate's decree upon, 577, 583.
  - See titles *Surrogates*. *Executors and Administrators*.
  - by action at law, 577.
    - complaint, 577, 578.
  - when by attachment, 578.
  - when surrogate may cause bond to be prosecuted, 578, 579.
  - certificate of decree for payment of money, 578, 579.
  - execution upon, 578, 581.
  - if execution returned unsatisfied, surrogate to assign bond, 578, 581.
    - prosecution of bond 581, 582, (appendix, p. lix.)
    - judgment on bond, 581.
  - jurisdiction of courts of equity, in relation to accounts of executors and administrators, 583, 585.
  - appeals from the decrees of surrogates on the final settlement of the accounts of executors and administrators, 737, 751-2.

## ACCOUNTS OF EXECUTORS AND ADMINISTRATORS.

- surrogates have power to settle, 24, 480 to 585.
  - formerly required to record accounts of, 33.
  - now required to file accounts, and record with decree summary statement of, 33, 485
- where married woman, executrix, and husband partner of testator, husband bound to render co-partnership account, 216.
  - form of, 495, 507.
- executor or administrator not to be charged, unless goods come to their hands, 518.
  - goods taken from his possession not to be charged with, unless in fault, 518.
  - goods perishing, 483, 518.
  - cattle dying, 518.
  - chooses in action not to be charged with, until he has received the money, 518-19.
  - will be regarded as assets, if they be released by executor, 519.
  - if release appear to have been for the benefit of estate, it is an excuse, 519.
  - he shall account for all profits, 483, 526 to 529.
  - sheep or cattle born after death of decedent, 526.



**ACCOUNTS OF EXECUTORS AND ADMINISTRATORS—continued.**

- profits of a lease, 526.
- of the trade of testator, 526.
- on sale of share of partnership, 526-7.
- profits of a sale, &c., to himself, 527, 551.
- executor or administrator may not buy interest of next of kin, 527.
- of compounding debts or mortgages, 528.
- of the purchase of the equity of redemption of an estate in mortgage to the testator, 528.
- of laying out assets in private securities, 528.
- in what cases charged with interest, 529 to 534.
- how computed, 534.
- to be allowed all reasonable expenses, 483, 540 to 543.
- what are reasonable expenses, 540, 542.
- when he may have recourse to agent, 540.
- traveling expenses, 540.
- if he drive his own horse, 540.
- accountant, 540.
- attorney's bills, 540.
- legal advice, 541,
- costs and expenses of a suit, though unsuccessful, 541.
- employing person not authorized to practice, 541.
- where he unsuccessfully resists an application to compel him to account, 541.
- counsel fee on preparing his accounts not to be allowed, 542.
- reasonable sustenance of widow during quarantine, 542.
- jurisdiction of courts of equity with respect to, 549, 551.
- where authority of executor or administrator has been revoked, 583, 650.
- statute regulating settlement of, 650.
- proceeding upon settlement, 583, 650-1.

**ACCOUNTS OF GUARDIANS.**

- surrogates have power to settle, 24.
- formerly required to record accounts of, 33.
- now required to file accounts of, and record with decree summary statement of, 33.
- rendering and settling of, 693 to 698.

**ACCUMULATION**

- of the interest of money may be directed, in what manner and for what period, 396-7.

**ACKNOWLEDGMENT**

- of subscription to will by testator, 65, 71 to 81.
- See title *Will, Form and Manner of making*.
- of bonds taken by surrogates, 246.

**ACTION.**

- proceeding to prove a will not an action, 148.
- foreign executor cannot bring, in this state, without taking out letters here, 224, 293.
- may collect bond held in his own right without letters here, 224.
- assignee of chose in action may sue in his own name without probate, where suit may be brought on the claim in name of assignee, 224.
- what rights of action pass to an executor or administrator, 289, 292.
- how far executor represents testator in his contracts, 289.
- actions an executor or administrator may bring, 289, 290.
- joint choses in action, 302.
- ancient rules—*actio personalis moritur cum persona*, 290.
- alterations of the rule, 290.
- forms of action abolished by the Code, 290.
- actions for torts to the person do not survive, 290.
- action against any person causing the death of the testator or intestate by willful act, neglect, or default, 291.
- in action by executors or administrators, those only to be joined as plaintiffs to whom letters testamentary have been issued, 291.
- action for rent due at death of decedent, 291.
- action for rent due deceased as tenant for life, 291-2.
- action on agreement in a lease, 291-2.
- action on covenants in a lease, 292.
- action on promissory note made to executor or administrator in his representative character, 294.

**ACTION—continued.**

for cause of action accruing after decedent's death, 291.]

under the Code, profert of letters not necessary, 294.

limitations of actions in favor of estates of deceased persons, 297-8.

See title *Limitation of Actions*.

action not to abate by death, where cause of action survives, 297, 344.

enforcement of judgments obtained by deceased in his lifetime, 299 to 301.

commenced by several executors or administrators, if one or more die or be removed, survivors or those remaining to continue, 301.

if all die or are removed, successors to continue, 301.

set-offs in actions by executors or administrators, 302.

See title *Set-offs*.

on continuing guarantee of testator 332.

upon promissory notes or bills of exchange due after death of decedent, 332, 333.

action against deceased, pending at his death, does not abate if cause of action survive, 344, 349.

proceedings under Code to continue action, 344-5.

upon decree of surrogate may be brought against executor or administrator, 378.

upon bond given by executor or administrator, 578, 580-1.

instances where executor or administrator, although he has an interest in a chose in action, cannot bring an action, 302.

executor of one of two or more joint obligees, covenantees, or partners, 302-3.

where an executor of last survivor sues, 303.

otherwise where the interest is several, 303.

executor of joint tenant of injured property, 303.

provisions of the Code of Procedure, 303.

what rights of action survive against an executor or administrator, 318, 333, 349.

See title *Executors and Administrators*.

in matters of contract, 318-19.

though not named, 319.

accruing after testator's death, 319.

where contract was personal to testator, 319.

in matters of tort, 320, 321.

rule—*actio personalis moritur cum persona*, 320.

examples of the rule, 320, 321.

modification of the rule by statute, 321.

on joint contracts, 321 to 325.

liability of executor in equity, 322.

law previous to Code of Procedure, 321, 322.

executor of deceased partner, 322.

cannot be sued jointly with surviving partner, 324.

same rule applies to joint contractors, 325.

on covenants, 325.

concerning the reality, 325.

on contracts between landlord and tenant, 325, 326.

personal liability of executor for rent in his own time, 326, 327.

where personally liable, and a non-resident, may proceed by an attachment, &c., 328.

for money due on purchase of real estate, 328, 329.

as to apprentices, 330.

as to debts of husband and wife, 330, 331.

as to an action for work and labor with a view to a legacy, 331.

on continuing guarantee of testator, 332.

upon promissory notes or bills of exchange due after death of decedent, 332, 333.

against deceased pending at his death does not abate if cause of action survive, 344.

proceedings under Code to continue action, 344, 345.

upon decree of surrogate, may be brought against executor or administrator, 378.

proceedings under the Code to enforce, against the estate of the deceased, judgment against deceased in his lifetime, not an action, 343.

reference under the Statute for the exhibition of claims, not an action, 389.

upon decree for settlement of executor's or administrator's account, 577.

upon bond given by executor or administrator, 578, 580, 581, (appendix, p. lix).

**ADEMPTION,**

when a revocation of will, 128, 138, 139, 140.

**ADEMPMENT OF LEGACIES,**

of specific legacies, 434, 436.

to complete title of specific legatee, thing bequeathed must remain in specie at the testator's death, 434.

where testator sells or disposes of subject of legacy adeemed, 434.

of gold chain, bale of wool, or piece of cloth, 434.

of a debt, 434.

partial receipt of, ademption *pro tanto*, 434, 435.

no distinction between compulsory and voluntary payment, 435.

of stock, 435.

where exchanged by act of law, no ademption, 435.

of goods, 436.

not by pawning, 436.

conveyance or other act by which interest in property previously bequeathed altered, but not wholly divested, 128, 139, 436.

where provisions of instrument wholly inconsistent with previous bequest, 129, 139, 436.

of demonstrative legacies, 434.

rule of ademption does not apply to, 434.

**ADJOURNMENTS.**

surrogate may adjourn proceedings pending before him, 29.

on accounting, by executor or administrator, 498.

on final settlement of accounts of executor or administrator, 512.

**ADMINISTRATION,**

Colonial governor granted letters of, before Revolution, 4.

letters of, 227.

See title *Letters Testamentary or of Administration*.

in matters relating to, course of proceedings in Surrogate's Court, 148.

*situs* of personal property regulates jurisdiction as to, 194.

of goods of non-inhabitant, dying leaving assets in this state, 225.

**GRANT OF LETTERS OF,**

in what Surrogate's Court letters of, shall be obtained, 227.

See title *Letters of Administration*.

what shall constitute assets to give surrogate jurisdiction, 228.

loan of article of little or no value not sufficient, 228.

nor debt due deceased, 228.

to whom general administration is to be granted, 229 to 240.

to the widow, if any, 229, 235.

children, 229, 231, 234.

grandchildren and descendants, 229, 234.

if no widow or descendants, to the father, 229, 234.

if no widow, descendants or father, then to brothers, 229, 234, 235.

sisters, 229, 235.

to any other of the next of kin entitled to share in distribution, 229, 231, 235.

where person entitled is a minor, his guardian to have letters in his right, 229, 230, 245.

where appointment of guardian invalid, appointment of same person administrator erroneous also, 245, 686.

if no relative or guardian will accept administration, then creditor entitled, 229.

creditor first applying, to have preference, 229.

if no creditor apply, then any other person legally competent entitled, 229.

except in the city of New York, where public administrator entitled next after next of kin, 229.

and in all the other counties, county treasurer entitled next after creditors, 229.

widow's right to be husband's administratrix, 229, 235.

right of next of kin, 229, 230, 233 to 236.

See title *Next of Kin*.

who are next of kin, 230, 236.

to be entitled to share in distribution requisite to entitle to administration, 229, 235.

relations by mother's side, equally entitled with those of father's, 232.

half-blood not excluded, 229, 232.

whole blood preferred, 229, 232-3.

males preferred to females where several persons entitled, 229.

several persons equally entitled, surrogate to grant to one or more, in his discretion, 229, 236.

**ADMINISTRATION—continued.****GRANT OF LETTERS OF—continued.**

several persons equally entitled, &c.

discretion to be exercised in subservience to same rule, 236.

court will, things being equal, put administration into the hands of nominee of majority in interest, 236.

will consider moral fitness, 236.

next of kin excluded where they have no interest, 229 to 235.

entitled, dying after intestate, right to administration dies with him, 236.

his personal representatives have no claim, 236.

husband's right to be his wife's administrator, 229, 236 to 239.

act for the more effectual protection of the property of married women, does not repeal or affect the husband's right to administration of his wife's property where she dies intestate, 236 to 239.

assets of wife, remaining unadministered by husband at his death, go to his personal representatives, 229, 238, 558, 573.

liable to her debts, 229, 238, 538, 573.

where husband and wife are drowned in the same ship, 239.

of the goods, &c., of a foreigner or non-inhabitant, 229, 239, 240.

grant goes according to the law of his country, 239, 240.

if domiciled in a foreign country, or another state, administration must be taken here, as well as there, 239.

of the goods, etc., of an illegitimate, 229, 236.

where no child, descendant, or widow, mother; and, if she be dead, relatives on part of mother, entitled, 229, 236.

may be granted, jointly, to one or more competent persons, although not entitled to same, by consent of person entitled, 230, 244.

person not entitled cannot be joined without, or against, consent of person entitled, 244.

consent to be in writing, 230, 244.

persons to whom letters of, may not be granted, 230, 240. See title *Administrator*.

where there are conflicting claims to, surrogate hears the testimony, 246.

oath of administrator, 247.

form of letters, 247.

**WITH THE WILL ANNEXED, 247.**

where minor entitled to, to be granted to his guardian, 230, 245.

person not entitled to, may be joined with person entitled, on consent of latter, 230, 244.

where there are persons having right to, prior to person applying, 242, 243.

person obtaining letters of, to give bond, 242, 250.

such bond is required as well of a residuary principal, or specific legatee, as of the widow, or next of kin, or creditor, 250.

in what cases, and when to be issued, 247, 248.

order in which persons entitled to, 247, 248.

proceedings on obtaining, 249.

in case of foreign will, usage to grant administration with will annexed to attorney in fact of foreign executor, 250.

grant regulated by law of place where assets situated, 250.

form of letters, 250.

rights, powers, and duties of administrators with will annexed, 250.

See title *Administrator with the Will annexed*.

**DE BONIS NON.**

in what cases, and when to be issued, 247, 248.

form of letters, 250.

**SPECIAL, authorized by the Statute, the only limited or temporary administrations, 251-2.**

limited to a particular object, nowhere expressly authorized, 252.

of the control over the estate before administration granted, 253-259.

See title *Executors and Administrators*.

by the public administrator of the city of New York of the goods, &c., of a deceased person of which he is authorized to take charge where the property exceeds in value \$100, 709 to 713.

by the public administrator in the city of New York where the property of which he is authorized to take charge is worth a sum not exceeding \$100, 713-14.

his power and authority will be superseded where letters granted to him upon a false or mistaken affidavit of service of notice upon the widow or next of kin, 715-16.

**ADMINISTRATOR,**

office of, 3.

power of, equal to and with that of an executor, 25.

survives to his companion, if administration granted to more than one, 260.

See title *Executor*.

duties of, as to making and filing an inventory, 267 to 288.

first duty of, to make and file an inventory, 267.

See title *Inventory*.

as to distribution under the Statute, 556 to 575.

See title *Distribution*.

as to payment of residue, 474, 478, 556.

in respect to accounting, 480 to 585.

See title *Accounting by Executors and Administrators in the Surrogate's Court*.

what may be done by, before letters granted, 253.

can do nothing as administrator, 255.

instances of relation of letters to death of intestate, 255.

estate of, see title *Estate*.

what are assets in his hands, see title *Assets*.

liability of, see titles *Executor*, *Actions*.

origin of, 3.

conduct of, surrogates have power to direct and control, 24.

surrogates have no power over, relative to proceedings in other courts, 24-5.

surrogate may control, where one persists in discharging duties erroneously, 25.

accounts of, surrogate to settle, 24.

under Revised Statutes, surrogate required to record, 33.

now required to file and to record with decree, summary statement of, 33, 485.

See title *Accounts of Executors and Administrators*.

property wrongfully taken by, to be administered, surrogate cannot, on summary application, compel delivery of, 26.

property in the hands of, surrogate cannot settle the rights of heirs to, 26.

surrogates may enjoin, when cited to show cause why they should not be removed from office, 29, 643, 644.

execution may issue against, when certificate of surrogate's decree for payment of money against, filed with county clerk, 29, 578 to 582.

certificate, when filed, lien upon lands of, 29, 578, 581.

if execution return unsatisfied, surrogate may assign bond of, 29, 578, 581.

general nature of their functions, 227.

in what Surrogate's Court letters of administration shall be obtained, 227.

See title *Letters of Administration*.

who are entitled to be appointed administrators, 229 to 240.

order of preference, 229, 236.

See title *Administration*.

who are incapacitated from being administrators, 230, 240.

persons convicted of infamous crime, 230, 240-1.

no degree of legal or moral guilt will exclude, unless convicted of infamous crime, 241.

persons incapable of making a contract, 230, 240.

alien non-residents, 230.

minors, 230.

persons adjudged, by the surrogate, incompetent by reason of drunkenness, improvidence, or want of understanding, 230, 240-1.

nature of improvidence contemplated by the statute, 241.

insolvency will not exclude, 241.

indebtedness to the estate will not exclude, 241.

professional gambler is disqualified by reason of improvidence, 241.

married women, but administration to go in their right to their husbands, 230.

surrogate cannot appoint person not entitled to administration, sole administrator on the petition of one of the parties entitled, 244.

all having a prior claim must renounce, or be cited before the surrogate, 244.

to give bonds, 242, 246.

bonds to be acknowledged or proved, 246.

although there are several, one bond only sufficient, 246.

oath of, 247.

form of letters of administration, 247.



**ADMINISTRATOR—continued.**

**DE BONIS NON**, 247, 248, 250.

if sole administrator, or all of several, die, become lunatic, convicted of infamous offence, or otherwise incapable, administrator *de bonis non* to be appointed, 247.  
proceedings on appointment of, 249, 250.  
estate of, 262.

See title *Estate*.

derives his authority entirely from the appointment of the surrogate, 255.

what he may do before grant of administration, 255, 256.

he can do nothing as administrator before letters of administration are granted to him, 255.

may maintain trover for goods taken before his appointment, 255.

payment of a debt due intestate, before appointment, legalized by subsequent appointment, 255.

where intestate a non-resident, 256.

See title *Non-inhabitant*.

recovery of property taken without authority before grant of administration, 256 to 259.  
**ESTATE OF**, 253 to 259.

See titles *Estate*. *Assets*.

where there are several, liability of, for the acts of each other, 524-5.

their commissions, 483, 534, 540.

See titles *Allowance*. *Commissions*.

of distribution under the statute, 556 to 570.

See title *Distribution*.

distribution nowhere made the duty of the administrator, unless on proceedings against him, 479.

where sureties insufficient, proceedings to obtain further sureties, or to remove the administrator, 647-8.

where surety applies to be released, 648-9.

**ADMINISTRATOR, WITH THE WILL ANNEXED.**

when, and in what cases, to be appointed, 247-8.

proceedings before surrogate on appointment of, 248.

bond of, 242, 250.

residuary legatee required to give, 250.

oath of, 247, 250.

in the case of a foreign will, 250.

rights, powers and duties of, 250.

to observe and perform will, 250.

have the rights and powers, and are subject to the same duties as if named executors in will, 250, 396.

subject to all the provisions of the statutes applicable to administrators, except so far as distribution directed by will, 250, 396.

his authority over the real estate of the deceased, 634-5.

first duty of, to make an file and inventory, 267.

See title *Inventory*.

duties and liabilities of, in respect to legacies, same as those of an executor, 396.

See titles *Executor*. *Legacy*. *Legatee*.

authority of, over the real estate of the deceased, 634, 635.

**ADVANCEMENT.** See title *Distribution*.

of children by their father, 557, 558 to 561, 565.

provisions of Revised Statutes respecting, 557, 558, 561.

respecting advancements out of real estate, 558, 564.

extend only to advancement by fathers, 563.

out of personal estate, 557, 558, 563.

what is so considered, 563.

what is not, 564.

out of real estate, 564.

child shall bring into hotchpot with the children only, not for benefit of widow, 563.

**ADVERTISING FOR CLAIMS.**

notice to be inserted by executor or administrator, six months after grant of letters, in two newspapers, once in each week, for six months, 354, 379 to 393.

provisions of statute directing and regulating, 354, 355.

notice to be published once a week for six months, 354, 379, 380.

**ADVERTISING FOR CLAIMS—continued**

- publication to commence six months after grant of letters, 354, 382.
- one year from grant of letters must elapse before advertisement completed, 382.
- notice to be published in one newspaper in the county, and in so many others as may be designated by the surrogate, 354, 382.
- publication in one newspaper sufficient unless surrogate designate a second, 382, 383, 390.

See title *Executors and Administrators*.

**AGE.**

- requisite to execution of will, 54, 61.
- mode of computing for testamentary or other purposes, 61.
- where payment of legacy postponed until legatee attains a certain, 453.

**ALIEN.**

- not competent to devise real estate, 176, 177.
- not being inhabitant, incompetent to serve as executor, 214.
- friend may be legatee, 400.
- duty of public administrator in the city of New York as to administration of the effects of, 714.

**ALLEGATIONS.**

- against the validity of the will, or the competency of the proof thereof.
  - statutory provisions authorizing, 638, 640.
- to be filed within one year after the probate, 638, 639.
- who may file, 639, 640.
- widow not excluded, 640.
- what the allegations should contain, 640.
- citation to executors, 639.
- service of, suspends proceedings of executors, 639.
- hearing and proceedings on return of citation, 640, 642.
- appointment of guardians for infant legatees, 639, 640.
- probate of the will not *prima facie* evidence of its validity, 641.
- will must be proved *de novo*, 641.
- testimony must be reduced to writing and entered in book, 641.
- testimony taken on first probate, 639.
  - revocation of probate, 639, 641.
  - notice of, 639, 641.
    - service and publication of, 639, 641.
    - effect of service on executor, 639.
- where probate is confirmed, 640, 642.
- costs and expenses of the proceeding, 640, 642.
- appeals from the decrees of surrogates on, 735, 736, 750, 751.

**ALLOWANCE**

- to executor or administrator
  - for property perished or lost, 482, 483, 518 to 521.
    - trespass, taking goods, 518.
    - goods perishing, 518.
    - cattle dying, 518.
  - of his commissions, 483, 534, 540.
    - rate of, 483, 534, 535.
      - where there are several executors or administrators, to be apportioned by surrogate, 483, 534.
      - where court directs annual rests to be made to charge interest, 535.
      - where investment, or re-investment of fund, 535.
    - sometimes entitled, for receiving, and not for paying, 536.
    - paying over income for life, 536.
    - not chargeable upon legacies, 536.
    - legacy to executor in trust, 537.
    - in two-fold capacity of executor and trustee not allowed, 537.
    - upon what to be charged, 537.
      - on moneys received and paid out, 537.
      - when on value of goods, 537, note (c).
    - are in lieu of personal services, and executor entitled to no other compensation, 538.
- for personal services 538.
- commissions are in lieu of, 538.

**ALLOWANCE—continued.**

- when executor or administrator acts as attorney for the trust, 538.
- he acts as counsel, not entitled to counsel fees, 538, 539.
- special services, not entitled to extra compensation, 539.
- for agent necessarily employed, 539.
- where incompetent person employed to act as attorney, 541.
- all just and reasonable expenses to be allowed, 482, 540 to 543.
- what are reasonable expenses, 540, 541.
- when he may be allowed expenses of an agent, 541.
- traveling expenses, 540.
- if he drive his own horse, 540.
- when executor or administrator may employ an agent or clerk, 540.
- whether authorized by will or not, 540.
- expenses of an accountant, 540, 541.
- trouble and attendance of an attorney, 541.
- reasonable counsel fees, where legal advice necessary, 541.
- costs and expenses of a suit, though unsuccessful, 541.
- where he unsuccessfully resists an application to compel him to account, charge not to be allowed, 541.
- so with counsel fee for preparing his accounts, 542.
- for interest for money advanced by him, 534.
- such charge, however, viewed with caution, 534.
- reasonable actual sustenance of widow during her quarantine, 542, 543, 727.
- applies to solvent as well as insolvent estate, 543, 727.
- not to be extended to children, 543, 727.

**AMBIGUITY,**

- upon the *factum*, 183.
- what it is, 183.
- parol evidence to explain, 183, 409.
- when evidence admissible to explain, 183, 409.
- must be upon the face of the paper, 183, 409.

**ANNUITY,**

- interest upon, 465.
- interest upon, where payable in quarterly payments, 465.
- full amount of, payable to annuitant without any deduction for taxes or commissions, 466.
- distinction between and income, 449.

**APPEALS FROM THE ORDERS AND DECREES OF SURROGATES, 735 to 756.**

- formerly to the Court of Chancery, 735.
- now to the Supreme Court, 735-6.
- to be heard at the general term of the Supreme Court only, 736.
- second part of the Code does not affect, 736.
- Supreme Court to prescribe by rule course of practice on, 737.
- rule of the Supreme Court, 737.

**PROCEEDINGS ON BRINGING AN APPEAL.**

- written appeal to be filed with surrogate, 738 to 744.
- must be filed within the time limited by the statute for bringing appeal, 738.
- bond to be filed with surrogate, 738.
- appeal, when perfected, suspends proceedings on order appealed from, 739.
- express notice of appeal need not be given to adverse party, 739.
- petition of appeal to be filed with Supreme Court, 739-40.
- when to be filed, 737, 739.
- effect of not filing in time, 739.
- party in interest not made party to, 740.
- transcript of proceedings, 740.
- surrogate's return, 740.
- answer to petition, 737.
- bringing on appeal for argument, 740-41.
- court will consider evidence in the court below, although admitted erroneously, 741.
- rejection of witness or party as unworthy of credit, 741.
- appeal transfers entire case, 741.
- appellate court may hear further testimony, 741.
- may affirm, reverse or modify a decree, or make a new one, 741.
- its judgment conclusive, 742.

**APPEALS TO THE COURT OF APPEALS, 742.**

**APPEALS FROM THE ORDERS AND DECREES OF SURROGATES—continued****COSTS OF APPEALS, 742 to 744.**

regulated by fee bill of the former Court of Chancery, 743.

printed cases not to be charged by the folio, 743.

to be taxed by a justice of the Supreme Court, 743.

provisions of the Code apply to costs of appeals to the Court of Appeals, 743.

**APPEALS FROM DECREES OF SURROGATES ADMITTING OR REFUSING TO ADMIT WILLS TO PROBATE OR RECORD, 744 to 750.****APPEALS FROM THE DECREES OF SURROGATES REVOKING OR REFUSING TO REVOKE THE PROBATE OF WILLS ON ALLEGATIONS, 735, 736, 750, 751.****APPEALS FROM THE DECREES OF SURROGATES ON THE FINAL SETTLEMENT OF THE ACCOUNTS OF EXECUTORS, ADMINISTRATORS OR GUARDIANS, 735, 751-2.****APPEALS IN OTHER CASES, 752-3.****APPEALS FROM THE DECREES OF SURROGATES CONFIRMING OR VACATING ANY ADMINISTRATION OF DOWER, 755-6.****APPRAISERS,**

two to be appointed, to aid in making inventory, 268.

how appointed, 268.

order of appointment, 268.

oath of, 268, 269, 272.

duties of, 268, 269 to 278.

See title *Inventory*.

as to stating in inventory property exempt from appraisement, 273, 276-7.

have discretion as to selection of articles, but not as to amount, 273, 276-7.

cannot exceed amount fixed by statute, 276-7.

surrogate may supervise their proceedings, 273, 274, 276-7.

and correct irregularities, 273, 274, 276-7.

certain property exempt from appraisement, for use of widow, or widow and minor children, 270-71.

additional exempt property, in the discretion of the appraisers, not exceeding in value \$150, 271.

discretion applies only to selection of the articles, not to the amount, 273, 274, 276-7.

may set apart a portion in furniture, or other articles, and a portion in money, 273-4.

entitled to a reasonable compensation, to be allowed by the surrogate, 268, 279.

to be appointed to appraise property still in the executor's hands at the time of final settlement of his accounts, when surrogate directs disposition of such property, 435, 486, 554.

**APPRENTICE.**

executor or administrator has no interest in an apprentice bound to deceased, but assets in his hands chargeable with maintenance of infant apprentice, 305, 330.

is not liable on covenant for instruction, 319, 330.

may assign indentures of apprenticeship, 310.

**ARBITRATION.**

liability of an executor or administrator upon a submission to, 337, 520.

reference under the statute relative to exhibition of claims not an arbitration, 386.

**ASSENT**

of executor to legacy, 445, 447.

necessity of, to complete legatee's title, 445.

although testator direct him to take possession without, 446.

where a testator forgives a debt, 446.

if legatee takes legacy without assent, executor may have trover, 446.

if executor refuse without cause, he may be compelled to assent, 446.

matter not of choice, but of obligation, 446.

what shall constitute, 446-7.

must be unambiguous, 447.

presumed assent, 447.

conditional assent, 447.

application of rules relating to, under the Revised Statutes, 447.

**ASSESSMENTS,**

rank among simple contract debts, in the fourth class, in the order of payment of the debts of the deceased, 315.

payment of, relieves real estate at the expense of the personal, 315.

**ASSETS.** See titles *Estates. Inventory.*

- what shall constitute, to enable surrogate to grant administration, 228.
- loan of article of little or no value not sufficient, 228.
- nor debt due the deceased, 228.
- what are assets in the hands of an executor or administrator, 269 to 278.
- assets which were not in testator, 526.
- by increase, 526.
- young of cattle, 526.
- profits of lands demised, 526.
- profits of trade, 526-7.
- good will, 527.
- property of testator, wherever situate, 225.
- of partnership business, 487.
- proceeds of sale of mortgaged premises, 277, 569.
- of sale of real estates devised to be sold to pay debts, 487, 555-6.
- executor cannot purchase from himself, 310.
- power of executor to alien, 305 to 311.
- when they may be followed, 308, 309.
- what a sufficient consideration to charge an executor personally on his own promises, 333-4.

**ASSISTANTS TO THE SURROGATE OF THE COUNTY OF NEW YORK,**

- may be appointed by surrogate of the county of New York, 17, 18.
- number of, to be authorized by board of supervisors, 18.
- board of supervisors to fix salary of, 18.
- appointment of, to be made in writing, and filed, 18.
- surrogate to be responsible for acts of, 18.
- have power to administer and certify oaths, &c., 18, 152.
- must take oath of office, 18.
- salary of, not to exceed \$1,200 per year, 20.
- chief clerk to receive \$2,500, 20, 21.
- may administer oath to executors, 219.
- administrator, 237.
- collector, 252.
- compensation of, not to be allowed until they certify on oath that the services have been performed, 21.
- compensation of, to be paid out of the fees, perquisites and emoluments of the surrogate paid into the treasury, 21.
- board of supervisors may require such security from, as to them may seem necessary and proper, 23.
- to charge the same fees for services rendered as the surrogate, had he performed them, 42.
- surrogates to account for all fees received by, 42.

**ATTACHMENTS,**

- surrogates have power to enforce lawful orders, process and decrees by, 27, 28.
- form of, 28.
- decrees for payment of money may be enforced by, 28, 37.
- against the person, surrogates can issue, 28, 29, 37.
- surrogates can issue, &c., to another county, 30, 34.
- officer to whom such process issues, empowered to arrest, &c., 30, 34.
- surrogate to enter in book minutes of, 31.
- where returnable, 34.
- certain sections of the Revised Statutes to apply to, issued by surrogates, 34, 237.
- court to fix the amount of penalty, in bond, 35.
- upon arrest on, sheriff to keep in actual custody, 35.
- on executing a bond, defendant to be discharged from arrest, 35.
- upon return of, officer shall also return bond, if any taken by him, 35.
- such bond to be filed with attachment, 35,
- to be returned by the return day specified.
- for neglect to do so, process may issue against officer, 35.
- officer to execute, by arrest, 35.
- on the attached defendant being brought into court, court to examine him, 35.
- if, on such examination, court shall deem him guilty of misconduct, he shall be punished, 35.
- if any injury shall have been produced by such misconduct, a fine shall be imposed to satisfy the injured party, 36.
- amount of fine on, 36.



**ATTACHMENTS—continued**

when the misconduct consisted of non-performance of some act, defendant may be discharged on its performance, and paying fine, &c., 36.  
 period of imprisonment, &c., 36.  
 persons proceeded against by attachment, may also be indicted, if the misconduct is an indictable offence, 36.  
 proceedings, if defendant do not appear on return day, 36.  
     may award another attachment, 36.  
     may order bond to be prosecuted, 36.  
     such order to operate as assignment or bond, 36.  
     measure of damages in such action, 36.  
     if no party aggrieved, district attorney to prosecute such attachment, 37.  
     in such case, penalty of the bond forfeited to party prosecuting, 37.  
 when officers receiving insufficient sureties to be liable to an action, 37.  
 requiring sheriff to arrest witness and bring him before surrogate "to testify," wholly void, 37.  
 where subsequent attachment issued against sheriff for not executing such attachment, and sheriff arrested, surrogate liable in action for false imprisonment, 37.  
 may issue for contemptuous refusal to appear and give evidence, but witness cannot be brought in forcibly to testify, 37.  
 will be issued by surrogate against executor or administrator, to enforce decree for payment of money, 28, 37.  
 for not returning inventory, 280, 284 to 287.  
 enforcing payment of legacy by, 456.  
 when surrogate's decree, on settlement of account of executor or administrator, may be enforced by, 578.  
 for non-payment of costs of proceedings on allegations against a will, 640, 641-2.  
 against collector to compel delivery of property and account to executor or administrator, 305.  
 to enforce payment of costs, 653.

**ATTESTATION CLAUSE.**

theory upon which assertions of, come in aid of proof of will, 79, 175.  
 object of, 79, 80, 108, 109, 175.  
 witnesses to will usually sign at the end of, 108.  
 does not constitute a part of the will, 108.  
 is not necessary to validity, and does not constitute part of will, 108, 109, note (k).  
 statute does not require one, 173.  
 prudence requires that one should be drawn, 108-9, note (k).  
 the end of, on a rigid construction of the statute, not the place designated for the witnesses to sign, 108-9.  
 legislature intended that one should be inserted at the end of the will, 109.  
 signing by witnesses at the end of, sanctioned by practice, 109.  
 may be appended to will, and witnesses sign both immediately after last line of will, and at the end of, 110.  
 witnesses, on examination for proof of will, may contradict statements of, 77, 175.  
 reading of, when it may amount to a request to witnesses to sign, 107.  
 in case of execution of will by a blind person, should recite that will was read over to him, 123-4.  
 effect of, where witnesses have forgotten circumstances attending execution of will, 119-20, 173-4.

**RNEYS AND COUNSELLORS.**

not known as officers of the Surrogate's Court, 9.  
 not considered as attorneys of record upon whom notices may be served, 9.  
 judiciary act of 1847 has not had the effect to make attorneys, &c., officers of the Surrogate's Court, 9.  
 executor or administrator may have compensation as attorney for estate, but not as counsel, 538-9.

**ATTORNEY-GENERAL.**

may intervene to contest proof of will, where deceased leaves no known heirs, 159.  
 in cases of intestacy, when citation to be served on, 242.

**AUDITOR.**

on accounting of executor or administrator before surrogate, 484, 516, 517.  
 order of reference, 516.  
 proceeding before auditor, 516, 517.

**AUDITOR—continued.**

- report, 517.
- confirmation of, 517.
- decree on report of, 576.

**AUNT.**

- her degree as next of kin, 234.
- grandfather preferred to her, 234.
- great-grandfather shall share with her in distribution, 567.
- so shall nephews and nieces, 567.

**Bequest.**

- power of bequeathing coeval with first rudiments of English law, 48.
- origin of, 48.
- restrictions upon, in ancient times, 49.
- no restriction upon, now exists, 49.
- unless act regulating bequests to benevolent societies operates a restriction, 49.
- See title *Legacy*.
- who may bequeath personal property, 54.
- See title *Wills of Personal Property*.
- of the revocation of, by subsequent change in subject matter, 128, 139.
- or discharge of debt not valid as against creditors, but same to be inventoried, 271, 433.
- to charitable uses, 401-2, 404.

See title *Charitable Uses*.

**BILL OF EXCHANGE.** See title *Promissory Notes or Bills of Exchange*.

**BLIND PERSON**

- may make a will, 61.
- execution of will by, 123-4.
- proof requisite to establishment of his will, 179, 180.

**BOARDING AND LODGING HOUSE KEEPERS IN THE CITY OF NEW YORK**

- to report deaths of transient persons to the public administrator in the city of New York, 721.
- notice to be given to by the public administrator, 721.

**BOND**

- to be executed by surrogate to people, as security for performance of his duties, &c., 22.
- form of, 22.
- penalty of, in county of New York, \$10,000, 22.
- in other counties, \$5,000, 22.
- to be approved by county clerk, 22.
- suits upon surrogate's official bond, 23.
- taken by surrogate, to be acknowledged or approved in all cases, 246.

**BOND OF ADMINISTRATOR.**

- surrogate may assign, when execution on surrogate's certificate returned unsatisfied, 36, 578, 581.
- action upon, 581-2, (appendix, p. lix.)
- surrogate to take, on granting administration, 242, 246.
- must be acknowledged or proved, 246.
- one only sufficient, although there are several administrators, 246.
- with the will annexed, 242, 246, 249.
- is required as well of residuary principal or specific legatee, as of widow, next of kin, or creditor, 250.
- surrogate may assign, for not returning inventory, 280, 286.
- when surrogate may order it to be prosecuted, 579.
- to sustain action upon, decree must be shown, 580.
- to be given by executor whose circumstances have become precarious, or who has removed from the state, 642 to 647.
- compelling further security from administrator, 647-8.
- relief of sureties of administrator, 648-9.
- revocation of letters of, for false representations, 649.
- costs against in Surrogates' Courts, 651 to 653.

**BOND OF ADMINISTRATOR WITH THE WILL ANNEXED,**

- required to be taken by the surrogate, 242, 250.
- as well of residuary principal or specific legatee, as of widow, next of kin, or creditor, 250.

**BOND OF COLLECTOR,**

required to be taken by surrogate, 252.  
form of, 252.

**BOND OF EXECUTOR,**

may be assigned when execution on surrogate's certificate returned unsatisfied, 30, 578, 581.  
action upon, 581-2, (appendix, p. lix.)  
person named as executor, adjudged of precarious circumstances, to give bond like administrator, 217-18.  
non-resident executor to give, 219.  
surrogate may assign, for not returning inventory, 280, 286.  
payment of legacy may be enforced by suit upon, 438, 453-4.  
when surrogate may order to be prosecuted, 579.  
to sustain action upon, decree must be shown, 580.

**BOND OF GUARDIAN.**

surrogate may assign, when execution on certificate returned unsatisfied, 30.  
surrogate to take, on appointment of guardian, 676.

**BOOKS**

and records required to be kept by surrogates, 31.  
See title *Surrogate*.

**BROTHERS AND SISTERS,**

right of, to administration, 229, 234-5.  
preferred in degree of kindred to grandfather, 235.  
of half blood entitled, 229, 557, 567.  
of whole blood, however, preferred in granting administration, 229, 232 to 236.  
half blood excludes uncle of whole blood, 234.  
of intestates shall share, in distribution, with their mother, 556-7, 566.

**CHILDREN OF,**

when they take *per capita*, and when *per stirpes*, 568-9.

**REPRESENTATIVES OF,**

not allowed beyond children of brothers or sisters of intestate, 557, 568.

**Cattaraugus—county of,**

surrogate to be elected in, 14.  
time of election and term of office, 14.  
to be allowed compensation by board of supervisors, 20.

**CAVEAT.**

objections to issuing letters testamentary to a person named as executor, operate as, 218  
purchaser or other party wishing to have notice of proceedings for confirmation of sale of real estate by executor, should file, 612.

**CAYUGA—COUNTY OF,**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.  
time of election and term of office, 13.  
when to be designated special county judge and when special surrogate, 17.  
to possess powers of, and to continue proceedings commenced before county judge, 17.  
to be allowed compensation by board of supervisors, 19, 40.  
to discharge duties of county judge and surrogate in case of vacancy, 39.  
fees of, to be paid over to county treasurer, 41.

**CERTIFICATE.**

on will proved, 187, 199.  
to record where all the subscribing witnesses to will of real estate are dead, insane or non-residents, 203.

to enable executor or administrator to collect money in bank or transfer stocks, 304.

**CERTIFICATE OF SURROGATE'S DECREE FOR PAYMENT OF MONEY**

filed in county clerk's office, becomes a lien on land, and execution may issue thereon, 30, 578, 581, 697.

**CHANCELLOR.**

when mentioned, now Supreme Court, 46.

**CHARGES**

against executors or administrators' account, 511, 512.

**CHARGES—continued.**

should be in writing, 511.

grounds upon which charges may be preferred, 512.

**CHARITABLE USES.**

bequest to, 401.

question as to validity of bequests to charitable uses, 362.

law of charitable uses not abrogated by Revised Statutes, 401.

*bequest to religious corporation.*

right of religious corporation to take and hold property, extends to such as may be necessary, within specified limit prescribed by act of the legislature, for purposes of the church, congregation, or society, 402.

provisions of Revised Statutes relative to accumulations, and prohibiting perpetuities of personal estate, do not apply to religious corporations, 402.

instances in which bequests for charitable and religious purposes have been sustained, 402, 404.

**CHATAUQUE—COUNTY OF.**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election and term of office, 13.

when to be designated special county judge and when special surrogate, 17.

to possess powers of, and continue proceedings commenced before county judge, 17.

to be allowed compensation by board of supervisors, 19, 40.

to discharge duties of county judge and surrogate, in case of vacancy, 39.

fees of, to be paid over to county treasurer, 41.

supervisors to make allowance to surrogate of, to meet expenses of office, 20.

**CHENANGO—COUNTY OF.**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election and term of office of, 13.

to be known and designated as special county judge, 13.

powers and duties of, 17.

to be allowed compensation by board of supervisors, 19, 40.

to discharge duties of county judge and surrogate in case of vacancy, 39.

fees of, to be paid over to county treasurer, 41.

**CHIEF CLERK IN THE OFFICE OF THE SURROGATE OF THE COUNTY OF NEW YORK.**

See title *Assistants to the Surrogate of the County of New York.*

salary of, \$2,500, 20, 21.

**CHILD.**

post-testamentary, 444.

provisions of Revised Statutes relative to, 444.

entitled to share in father's estate, 444.

legatees to contribute to make up share of, 444.

*posthumous.*

provisions for, in respect to future estates, 398.

who entitled to legacy under description of "children," 411.

**CHILDREN,**

right of, to take administration to their father, 229, 234.

preferred to his parents, 234.

who entitled to legacy under description of, 411.

right of, to distribution under the statute, 556, 557, 559, 563.

See title *Distribution.*

right of their representatives, 560.

advancement to, 557-8, 561 to 565.

out of real estate, 558, 564-5.

father may appoint testamentary guardian of, 673-4.

rights of mother of, as to guardianship, 674.

See title *Advancement.*

**CIRCUIT AND DISTRICT COURTS OF THE UNITED STATES.**

judgments in, whether entitled to preference in payment of debts by executors and administrators, 316, 317.

on stipulations in admiralty, have no preference, 317.

**CITATION.**

surrogates have power to issue, 27, 28.

to enter minutes of issuing in book, 31.

to prove will, 144.

CITATION—*continued.*

- issuing and service of, and proof of service, 149, 150, 153 to 157.
- on proving will of non-inhabitant, 193.
  - non-cupative will, 202-3.
- on granting administration where person applying is remotely entitled, 242, 244, 245.
  - where minor has prior claim to person applying, 245, 246.
- to account on proceedings to obtain order to issue execution upon judgment against an executor or administrator after trial upon the merits, 352, 367-8.
- to account, 491.
- to show cause, 493, note (*w*).
- on final settlement of accounts of executor or administrator, 483, 484, 502 to 505.
- on allegations, 639, 640, 641.
- to executor to remove him, 642-3.
- to remove administrator, 647.
- to compel guardian to account, 693, 694.
- on accounting of guardian, 694, 695.
- on removal of guardian, 698, 701.
- on resignation of guardian, 702.

## CLERK OF THE SURROGATE'S COURT OF THE COUNTY OF ERIE.

- to be appointed by surrogate, 18.
- duty of, 18.
- not to be appointed unless recommended by board of supervisors, 18.

## CLERKS TO ASSIST IN OFFICE OF SURROGATE OF THE COUNTY OF KINGS.

- surrogate of the county to appoint, 18.
  - their powers and duties, 18.
- may administer oath to executor, 219.
  - administrator, 247.
  - collector, 252.
- compensation of, to be audited and allowed by board of supervisors, out of fees and perquisites of the office, 21, 22.

CODICIL. See title *Will*.

- included in term will, as used in 6th chapter of 2d part of Revised Statutes, 205.
- old meaning of the term, a testament without an executor appointed, 52.
- modern acceptance of the term, 52.
- is part of a will making but one testament, 52.
- has the effect to bring down will to its date, making will speak as of that date unless contrary intention appear, 522-3, 145.
  - will, however, not to be read in any differently, 145.
- may be on same paper with, or in a different paper from will, 53.
  - physical annexation not necessary—internal or constructive annexation sufficient, 53.
- testator may make as many as he pleases, although he can make only one will which can take effect, 53.
- when a cancellation of the will, is so of the codicil, 136.
- republication of will by, 142-3. See title *Wills*.
- will republish and render valid will deficiently executed, 143.
- effect of republication of prior will upon subsequent codicils, 143.
- executed after Revised Statutes, to will made previously, renders construction of will subject to those statutes, 144-5.

CO-EXECUTORS. See titles *Execuitor*. *Executors and Administrators*.

- several executors
- probate granted to one enures to the benefit of all, 216.
- renunciation by all, 220, 221, 247, 248.
  - consequences of, 222, 248.
- renunciation by some only, 223.
- estate of one of several executors, 260, 261.
- survivorship, 260, 261.
- they cannot sue on a promise made jointly with one of them, 262.
  - regarded as an individual person, 260, 349.
- one may pledge, assign and transfer securities of the estate, 261.
  - even securities taken by executors as such, 261.
- release by two without concurrence of third, good, 261.
- act of one, act of all, 261-2.
- one neglecting to return inventory, not afterwards to interfere in administration, 257.
- not necessary to join those as plaintiffs to whom letters have not been issued, 291.
- admission of one will not take demand out of Statute of Limitations, 347.



**CO-EXECUTORS**—*continued.*

suits against, 348.

judgment, how rendered, 349.

no evidence of assets against one not served, 349.

in what cases one liable for devastavit of his co-executor, 524.

duty of, to watch over and correct the conduct of each other, 524-5.

commissions, 483, 534 to 540.

to be apportioned among, 480, 534.

in court of law one cannot sue the other, 549.

in Surrogate's Court questions between, may be determined, 549.

in proceedings by creditor for mortgage, lease or sale of real estate, all must account, 622.

**CO-EXECUTORS OR ADMINISTRATORS.**

where suit commenced by several executors or administrators, if one or more die or be removed, survivors or those remaining to continue suit, 301.

**COLLECTION.** See title *Administration.*

letters of, where to be issued, 251, 252.

of oath and bond of collector, 252.

**COLLECTOR.** See title *Special Letters of Administration.*

in what cases, and when he may be appointed, 251.

where there is a delay in proving a will, 251.

surrogate's discretion, both as to issuing letters and whom to appoint, unrestricted, 251

where there is a delay in issuing letters of administration, 251.

oath of, 251-2.

security required of, 252.

form of letters to, 252.

the only limited or temporary administrators, 252.

first duty of, to make and file an inventory, 267. See title *Inventory.*

authority of, to sell or alien the assets of the deceased, 311.

limited by statute, 311.

under authority of surrogate, may sell goods necessary for preservation of the estate after appraisement, 311.

sale void unless direction obtained, 311.

proceedings before surrogate to obtain directions for sale, 311.

his authority to collect and secure the goods, &c., of the deceased, 305, 583.

sole object of appointing, preservation of goods of deceased, 583.

has no authority to pay debts, 583.

nor to make payments except such as are necessary for preservation or collection of property, 583.

not even on order of surrogate, 583.

accountable to executor or administrator when appointed, 305.

proceedings before surrogate on accounting, 583.

surrogate may pass upon claim of, to property belonging to deceased at the time of his death, where he acquired title after appointment, 583.

has not jurisdiction to try title to property acquired before appointment, 583.

**COMMISSIONS.** See title *Allowances.*

not to be deducted from annuity, 466.

may be charged on interest payable to a legatee 466.

of executors or administrators, where there are several, 483, 534, 540.

rate of, 483, 534, 535.

how to be computed, 535 to 538.

where court directs annual rests to be made to charge interest, 535.

where investment or re-investment of fund, 535.

sometimes entitled for receiving and not for paying, 536.

paying over income, 536.

surrogate not authorized to decree payment of costs out of estate to the exclusion of, 534, 535, 576, 577.

not chargeable upon legacies, 536.

legacy to executor in trust, 537.

upon what to be charged, 537.

on moneys received and paid out, 537.

when on value of goods, 537, note (e).

are in lieu of personal services, and executor entitled to no other compensation, 538.

**COMMISSIONS—continued.**

provision in will to, for compensation to be in full for services in lieu of commissions, unless he renounce the legacy, 483, 539.

incidents of such a legacy, 539.

when executor shall elect, 539.

of guardians, 691.

**COMMISSION TO TAKE TESTIMONY.**

surrogate empowered to issue, 30, 168 to 171, 196.

to take the testimony of witnesses in other states and foreign places, 30.

may issue to take proof of will of personalty valid by law of domicil, but not by law of place where made, 148.

of witnesses, on proving will, residing out of the state, practice on issuing, 168 to 171, returns, &c., to go on file, 170-71.

surrogate may issue to prove will executed abroad, and witnesses to which reside abroad, 196.

may be issued to take testimony of foreign witnesses on accounting of executors and administrators, 515.

**COMMISSIONERS TO ADMEASURE DOWER, 730-1.**

appointment of, 730-31.

oath of office of, 731.

vacancies in the office of, 731.

powers and duties of the commissioners, 732-3.

may employ a surveyor, 732-3.

compensation and expenses of, 732-3.

report of, 732-3.

enlarging time to bring in report of, 733-4.

filing and recording report, 31, 734.

hearing on report, 734.

confirmation, modification, or setting aside of report, 734.

**COMPROMISING OR COMPOUNDING DEBTS OR CLAIMS.**

executors or administrators may be authorized by surrogate to compromise, or compound debts or claims, 483, 520.

party in interest may show fraud or negligence, 483.

compromise must be shown to be for the benefit of the estate, 520.

takes no benefit from compounding debts, 528.

**CONDITIONAL LEGACIES.**

definition of, 426.

how conditions created in a will, 426.

condition precedent or subsequent, 427.

legacy to a designated individual, with a gift over in case of his death, 422.

**LEGACIES TO EXECUTORS, 427.**

given in that character, are on condition of accepting office, 427.

when a legacy is considered as given to an executor in that character, 427-8.

what is sufficient assumption of the office to satisfy the condition, 428.

where a legacy is given to an executor for care and trouble, and the executor delays to assume executorship, and the estate is put to expense for services, Court of Equity will interfere to reduce legacy, 428-9.

rule not carry interest, 466.

**CONDITIONAL WILL,**

rule in respect to, 53.

**CONJOINT OR MUTUAL WILL.**

unknown to the testamentary law, 53, 157.

**CONSENT**

of husband, that letters testamentary issue to his wife, 214, 216.

of person entitled to administration, to have another joined in the administration, 230-244.

in case of administration with the will annexed, 249-50.

**CONSTRUCTION,**

cf wills of personalty, 404 to 411.

See title *Legacy*.

must be according to the law of that country where testator domiciled, 410.

jurisdiction of Courts of Equity as to, 212-13.

general rules of, 404 to 411.

**CONSTRUCTION—continued.**

general rules of, 404 to 411.

technical words not necessary, 404.

technical words to be taken in their legal sense, 405.

particular intent to be sacrificed to general, 405.

must be on the whole will, and not of disjointed parts, 406.

when the same words occur more than once, 406.

words "without leaving any issue," 406.

different meanings when applied to real or personal estate, 406-7.

remedy applied by Legislature, 407.

when one bequest construed with reference to another, 407.

effect must be given to every word, 407.

when part of a will ambiguous, whole will is to be considered to ascertain intention, 408.

to be favorably and benignly expounded, 408.

intention of testator to govern, 408.

when words may be transposed, supplied or rejected, 408.

"or" construed "and," 409, but see notes (x) and (y).

"if" construed "when," 375.

mistakes and omissions, how to be corrected, 184, 409.

not by reference to instructions for the will, 409.

parol evidence not admissible to supply, contradict, enlarge or vary, 183, 409.

when it may be admitted, 183, 409.

bequest not to be controlled by reason assigned, 409.

by inference from context, 409.

by its unmeritorious nature, 409.

where words are capable of two-fold construction, 410.

if two parts irreconcilable, last to prevail, 410.

where intention cannot take effect, in part, 410.

where will contains trusts, some of which are valid, and some void, 403, 410.

where valid and invalid provisions so intermingle they cannot be separated, 410.

of wills made by testators domiciled in foreign countries, 410, 572.

**CONTEMPTS.**

surrogate has power to punish, 27, 28.

**CONTINGENT LEGACIES, 421 to 424.**

See title *Lapsed Legacies*.

**CONTINGENT LIABILITIES OF DECEASED,**

claim upon, must be presented to executor or administrator, 393, 437.

payment of legacies or distributive shares, good as against such as are not presented under the notice, 393, 437.

**CONTRACT FOR THE PURCHASE OF LAND,**

sale of the interest of a deceased person in, for the payment of his debts, 630 to 632.

**COSTS,**

Surrogate's Court may award in cases of contest, 39, 651.

to tax at same rate allowed by Court of Common Pleas, 39, 651-2.

to tax at rate allowed by Court of Common Pleas in 1837, 652.

on accounting of executors and administrators, 576.

executor entitled to his costs when he is not in fault, 576, 653.

surrogate cannot decree costs against executor or administrator, to the exclusion of his commissions, 534-5, 577.

of proceedings on allegations against will, 640-41-42.

payment of, may be enforced by attachment, 640-41-2.

attachment to enforce payment of, 653.

cannot require security for, 653.

in suits in courts other than the Surrogate's Courts, liability of executor or administrator for, 654 to 671.

of proceedings for the admeasurement of dower, 735.

of appeals from decrees of Surrogate's to the Supreme Court, 742 to 744.

fee bill of the late Court of Chancery applies to, 743.

of appeals to the Court of Appeals from judgment of Supreme Court, on appeals from Surrogates' Courts, 742.

regulated by Code, 743.

**COUNTY JUDGE,**

to be elected to perform duties of office of surrogate, 10.

election of, 11,

COUNTY JUDGE—*continued.*

time of election, and term of office of, 11.  
 regulations respecting vacancies in office of, 14, 15.  
 title of, when acting as surrogate, 15, 16.  
 election of, to fill the office of surrogate, 10, 11, 12.  
 what time he shall hold Surrogate's Court, 16.  
 may hold Surrogate's Court at time and place of holding County Court, 16.  
 order of business to be under his direction, 16.  
 to perform duties of surrogate at such other time and place as public interest may require, 16.  
 jurisdiction and powers of, when acting as surrogate, 16.  
 laws relating to surrogates, to apply to, 16.  
 compensation of, and other officers, acting as surrogate, 19, 20.  
 to receive salary to be fixed by board of supervisors, 19, 20.  
 salary not to be increased nor diminished during continuance in office, 19.  
     to be paid at close of each quarter, 19.  
 to charge and receive fees, and account for the same, and pay the same over to county treasurer, 40.

See title *Fees of Surrogate.*

to act as surrogate, where surrogate disabled from acting, 44.  
 cannot practice as attorney or counsel, in any court of which he is or may be a member, 47.  
 partner of, cannot practice in court of which he is or may be a member, in any cause or proceeding originating in such court, 47.  
 partner or clerk of, cannot practice before, 47.  
 cannot demand or receive fees for advice or drawing papers, except where fees are given by law, 47.

## COUNTY TREASURER,

to pay salaries and receive fees of surrogates, county judges, and officers elected to perform the duties of the office of surrogate, 40.  
 when entitled to administration, 229, 721 to 726.

## COURT OF APPEALS.

appeals to, from judgment of Supreme Court, on appeals from Surrogates' Courts, 742.  
 costs of, regulated by Code, 743.

## COURT OF PROBATES,

instituted, 4.  
 abolished, 4,  
 in England established by act of 20 and 21 Vict., cap. 77, 1, note (a).

## CREDITOR,

of testator competent witness to will, where debt charged upon real estate, 119, 162.  
 may file affidavit of intention to file objections, and objections against grant of letters testamentary to person named as executor, 216 to 218.  
 may compel person named as executor, neglecting to qualify for thirty days after proof of will, to qualify or be deemed to have renounced, 220 to 222.

See title *Legatee.*

when entitled to administration, 229.  
     next after next of kin, except in the city of New York, where public administrator has preference, 229, 246.  
 entitled to be present at appraisement, 269.  
 bequest or discharge of debt not valid as against, 271, 433.  
 presenting claim under notice, 354, 379 to 394.  
 remedy when not presenting claim under notice, 354-5, 393, 441-2.  
 may apply to surrogate to compel executor or administrator to account, 481.  
 rights of creditor by judgment or mortgage, upon proceedings to mortgage, lease, or sell the real estate of a deceased person for the payment of his debts, 620 to 622.  
 proceedings by, to obtain order for the mortgage, lease, or sale of the real estate of the deceased for the payment of his debts, 621 to 627.  
     when he may apply to surrogate, 622-3.  
     where executor has not accounted, must compel him to account, 622.  
     assignee of claim must take proceedings in his own name, 623.  
     record of judgment sufficient in the first instance, 623.  
     petition, 624.  
     appointment of guardians for minors interested, 624-5.  
     order that executor or administrator show cause, 624-5.

**CREDITOR—continued.**

order that parties in interest show cause, 625.

order that executor or administrator mortgage, lease, or sell, 626.

**CROPS,**

growing, are assets, 270, 273.

every kind of produce raised annually, 270, 273.

grass growing, and fruit not gathered, 270, 273.

**CUMULATIVE LEGACIES,**

doctrine of, 429.

where same sum repeated in same writing, 429.

where two legacies of unequal amount to same person, 429-30.

where two bequests in different instruments, 430.

presumption liable to be controlled by internal evidence and the circumstances of the case, 430.

**Deaf and Dumb.**

in presumption of law an idiot, and incapable of making a will, 57.

presumption may be rebutted, 57.

capacity of, to make a will, 79, 124.

manner of executing will by, 124.

**DEATH, CAUSED BY WRONGFUL ACT, NEGLECT, OR DEFAULT.**

compensation for causing, may be recovered, 291.

**DEBTS,**

surrogate has power to enforce payment of, by executors and administrators, 24.

although surrogate may decline to decree payment of claim, he may allow time to the applicant, after the expiration of the six months' advertisement, to prevent a distribution, without reference to his claim, 25.

assets at place of decedents domicil, but not at residence of debtor, so as to give surrogate jurisdiction to grant administration, 228.

decrees for payment of money, surrogate may enforce by attachment, 28, 37.

due by executor to deceased, to be inventoried, 271, 433.

discharged or bequeathed by will, to be inventoried, 271, 433.

order of payment of debts of deceased by executor or administrator, 312, 353.

what debts are entitled to preference, 312, 314-15.

under laws of United States, 312, 314.

judgments, 312, 315.

entitled to preference, according to priority in docketing, without reference to being a lien on real estate, 315.

foreign judgments have not any preference, 316.

whether judgments of Circuit and District Courts of the United States, 316-17.

judgment on stipulation in admiralty has not any preference, 317.

judgments in Justices' Courts, not docketed in lifetime of deceased, have not any preference, 316.

rents due or accruing upon leases, 312, 318, 547.

those not included in first three classes to be paid ratably unless rent on lease, 312, 353-4.

law regulating payment of, previous to Revised Statutes, 357.

changes made by the Revised Statutes, 358.

due testator by executor, 271, 433.

release of, by legacy, 431 to 433.

executor may retain legacy to satisfy debt, 431-2.

debt to be deducted, 432.

all must be paid before legacies, 436 to 438.

executor personally liable if he discharge legacy, and there is a deficiency of assets to pay debts, 436-7.

the whole personal estate must be applied to payment of, although testator, by his will, has directed otherwise, 445.

whether surrogate, in proceedings before him to compel payment, may determine validity of, 377, 552 to 554.

if docketed, entitled to preference, 316.

surrogate has not such jurisdiction, 377, 552.

proceedings to sell real estate of the deceased for the payment of, 585 to 627.

See titles *Surrogate. Executors and Administrators. Real Estate of Deceased Persons.*

sale of the interest of a deceased person in a contract for the purchase of land for the payment of, 630, 633.



## DECLARATION

by testator at the time of subscription of will that instrument is his will 55, 57, 211.  
*See title Wills, form and manner of making. Of the Publication of the Will*

## DECREE

on settlement of executor or administrator's account 575 to 577.

form of 577.

for settlement of the account, 575.

distribution, 576.

settlement and distribution united in one decree, 576.

gone upon, 576.

where accounting at the instance of a single party, 577.

by whom to be drawn up, 577.

settlement of, 577.

must be shown to sustain action upon administrator or executor's bond, 559.

DECREEES. *See Orders and Decrees.*

for the payment of money, surrogates have power to enforce, 23, 37, 578 to 582.

surrogate to enter in a book, 31.

## DELUSIONS,

test of insanity, 59.

eccentric habits, belief in witchcraft, &c., 58.

of monomaniacs, 59.

## DEMONSTRATIVE LEGACIES.

what they are, 385.

ademption of, 434.

abatement of, 445.

rule of ademption does not apply to, 434.

## DEPOSIT,

of wills in county clerk's or register's office for safe keeping, 202, note (q.)

## DEVASTAVIT,

instances of, 518 to 521.

by negligence, 519.

in not selling lease determinable upon life, 519.

in not selling stock, 519.

in not paying debts carrying interest, 519.

in not paying note carrying interest, 519-20.

by submitting to arbitration, 520.

by delay in commencing action, 520.

loss by theft or casualty, 518.

by invalid security, 521.

where the will directs investment of the estate in real or personal securities, 521.

by not investing in the proper stock, 522.

by not calling in money on security, 523.

by failure of banker or agent, 523-4.

in what cases an executor is liable for the devastavit of his co-executor, 524 to 526.

executor liable for acts of his co-executor, as for those of a stranger entrusted by him, 524.

executor joining in a receipt with co-executor, 525.

where there are several administrators, 525.

of *feme covert* executrix, 525.

liability of husband, 525-6.

liability of wife surviving for devastavit during coverture, 526.

## DEVISE,

what may be devised, 50-1.

every estate and interest in real property, descendable to heirs, may be devised, 51.

expectant estates are devisable, 51.

whether lands acquired after making, will pass by a general devise of all the testator's real estate, 51.

*See title Wills of Real Estate.*

who may devise their real estate, 54.

all persons except idiots, persons of unsound mind, married women and infants, 54.

every devise specific, 420.

**DEVISEE.**

where subscribing witness to will, devise void, in case will cannot be proved without his testimony, 119, 162, 163-4, 171-2.

share of the estate which he would be entitled to if will not proved, secured to him, 119, 163-4.

may apply to surrogate to have will proved, 149.

judgment against, bar to subsequent suit against executor or administrator, 350.

minor, proceedings for appointment of guardian of, upon an application to mortgage, lease or sell real estate for payment of debts, 593 to 597, 624.

in proceedings to mortgage, lease or sell the real estate of the deceased for the payment of his debts, 585 to 627.

order to show cause, to be served upon, 595-6.

what he may show on hearing, 597 to 603.

may set up the Statute of Limitations, 599.

relative to application of personal property, 601.

objection of a portion, if successful, defeats entire claim, 602.

to show that money can be raised by mortgage or lease, 604.

where proceeds of sale insufficient to pay debts, devisees exonerated, 615.

when entitled to surplus, 621.

**DISTRIBUTION.**

surrogate has power to enforce, 24.

as to personalty of non-inhabitant determined by the law of his domicil, 194.

person entitled in, may compel payment of distributive share, by proceedings under the statute authorizing the surrogate to decree payment of legacies and distributive shares against executors or administrators, at any time after one year from the granting of their letters, 439, 455.

petition, 455, 356, 373 to 379, 479.

See title *Payment of Legacies*.

under the Revised Statutes, 556 to 570.

provisions of the statute, 556 to 559.

if a person entitled die within the time fixed for calling administrator to account, his executor may claim, 569.

*rights of widow*, 556 to 559-60.

when barred by settlements, 559.

not barred by provisions by will in lieu of claims, where subsequent disposition of property lapses, 560.

*rights of children*, 556-7, 560 to 565.

their representatives, 560.

1, where none of the intestate's children are dead, 560.

2, where the intestate's children are all dead, all leaving children, 561.

3, where some of the intestate's children are dead, leaving children, 561.

half-blood, 560.

posthumous child, 557, 560.

an only child, 561.

advancement, 557-8, 561 to 565.

applies only to cases of actual, not *quasi*, intestacy, 562.

operates only in distribution of the estates of intestate fathers, 563.

takes nothing away which has been given, 563.

children of deceased child must bring in their father's advancement, 563.

a child shall bring in his advancement among the children only, and not for the widow, 563.

advancement out of the personal estate, 557-8, 563.

what is considered so, 563.

what is not, 564.

out of real estate, 558, 564.

*rights of next of kin*, 556-7, 565 to 570.

who are next of kin, 229-30 to 236, 565 to 570.

right of the father, 557, 566.

right of the mother, 556-7, 566.

brothers and sisters shall share with her, 557, 566.

mother-in-law, 566.

right of brothers and sisters, 566 to 568.

preferred to grandfather, 566.

grandfather preferred to uncle, 567.

great-grandfather shall share with uncle, 567.

DISTRIBUTION—*continued.**rights of next of kin—continued.*

grandfather by father's side, and grandmother by mother's side, 567.

aunts and nieces, uncles and nephews, 567.

half-blood, 556, 567.

posthumous, 556, 567.

relatives by marriage, 568.

no representation among collaterals after brothers' and sisters' children, 556, 568.

i. e., brothers and sisters of the intestate, 568.

when they take *per capita*, 568-9.

when *per stirpes*, 569.

where the deceased was an illegitimate, 557, 569.

mother may inherit property of her illegitimate child, and illegitimate child

may inherit property of his mother in default of lawful issue, 556-7, 569.

WHERE THE DECEASED, AT THE TIME OF HIS DEATH, WAS DOMICILED ABROAD, 570 to 573.

it shall be according to the law of the country of domicil, 570.

rules for ascertaining the domicil, 571.

OF THE PERSONAL PROPERTY OF INTESTATE MARRIED WOMEN, 558, 573, 575.

husband entitled to her personal property, 558, 573.

notwithstanding act for the more effectual protection of the property of married women, 573-4.

where administration granted to any person other than her husband, 558, 573-4.

## DISTRIBUTIVE SHARE,

person entitled to, may compel payment of, by proceedings under the statute authorizing the surrogate to decree such payment against the executor or administrator at any time after one year from grant of letters, 439, 455.

petition and proceedings, 455, 356, 375 to 379, 479.

See title *Payment of Legacies*.

belonging to minor, 440, 461-2.

recovery of, by action at law, 440, 461-2.

securing same on final settlement of accounts of administrators, 559, 575.

## DISTRICT ATTORNEY,

to act as surrogate, where surrogate disabled from acting, 46.

## DISTRICT COURT OF THE UNITED STATES.

See title *Circuit and District Courts of the United States*.

judgment on stipulation in admiralty is not entitled to preference in payment by executors or administrators, 317.

## DISTRICT JUSTICES' COURTS OF THE CITY OF NEW YORK

have not jurisdiction of actions against executors or administrators, 351.

## DOMICIL,

country of.

the law there regulates the validity of wills proved here, 194 to 201.

governs as respects testamentary capacity, not as to solemnities and forms, 194.

grant of probate of letters testamentary follows, 225-6.

so as to title to letters of administration, 239-40.

construction of will and distribution in case of intestacy governed by, 570 to 573.

what shall constitute domicil, 571.

only one for purpose of succession, 571.

domicil of origin, 571.

acquired domicil, 571.

cannot be acquired during pupillage, nor until party *sui juris*, 571.

on death of father, children follow domicil of mother, 571.

changed to alter succession, 572.

## DONATIO MORTIS CAUSA,

definition of, 262.

attributes of, 262 to 267.

a trust may be annexed to it, 264.

delivery of, 263.

what is sufficient, when the subject is incapable of actual transfer, 263-4.

what may be the subject of, 263 to 265.

bonds or bank notes, 264.

negotiable instruments passing by delivery, 264.

**DONATIO MORTIS CAUSA—continued.**

mortgage deeds, 265.  
 not receipts for stocks, 265.  
 not bills or notes not payable to bearer, 265-6.  
 not notes made by deceased in his last illness, 265.  
 not checks on bankers, 265.

how it differs from a legacy, 266.

how it differs from a gift *inter vivos*, 266.

evidence of, 265-6.

incompetency of donee to prove, 266.

validity of, surrogate may determine on final settlement of account of executor, 551.

**DOWER,**

definition of, 726.

surrogates authorized to cause admeasurement of, 4.

to admeasure, 24, 726 to 735.

all proceedings in relation to orders, reports, and decrees thereupon, surrogate to record in book, 31.

provisions of statutes prescribing, 469, 726.

election by widow to take testamentary provision in lieu of dower, 469 to 471.

See title *Election*.

satisfaction of, in proceedings to mortgage, lease, or sell real estate for payment of debts, 615 to 618.

assigned cannot be sold under surrogate's order of sale for payment of debts, 606, 617.

part assigned may be sold subject to her life-estate, 617.

where widow, on sale, declines to accept a gross sum in lieu of, 617-18.

effect of the act of March 20, 1860, concerning the rights and liabilities of husband and wife, 618.

**ADMEASUREMENT OF, 726 to 735.**

surrogate's jurisdiction in respect to, 726.

definition of, 726-7.

statute prescribing, 726-7.

**PROCEEDINGS IN THE SURROGATE'S COURT FOR THE ADMEASUREMENT OF, 727 to 735.****PROCEEDINGS BY THE WIDOW.**

before assignment widow has no estate in the land, 727.

petition for admeasurement, 728.

notice of presentation of petition, 728-9.

service of the petition and notice, 728-9.

proceedings in relation to minors, 728-9.

**PROCEEDINGS BY AN HEIR OR OWNER OF LANDS SUBJECT TO DOWER TO PROCURE AN ADMEASUREMENT OF SUCH DOWER, 729 to 735.**

notice in writing to widow requiring widow to demand her dower, after expiration of forty days from death of husband, 729-30.

petition to surrogate, 730.

notice to widow, and service of copy of petition and notice on widow, 730.

hearing before surrogate, 730-31.

appointment of commissioners to admeasure the dower, 730-31.

oath of office of commissioners, 731.

vacancies in the office of, 731.

powers and duties of, 732-3.

may employ a surveyor, 732-3.

compensation and expenses of, 732-3.

report of, 732-3.

enlarging time to bring in report of, 733-4.

filing and recording report, 31, 734.

hearing on report, 734.

confirmation, modification, or setting aside of report, 734.

effect of admeasurement, 734.

proceedings by widow to recover when assigned, 734.

costs of the proceeding, 735.

appeal to Supreme Court from surrogate's decree for admeasurement of, 755-6.

**DRUNKARD.**

person overcome by drink incapable of making will, 61.

disqualified to be executor, 214.

administrator, 230, 240.

**Election.**

- power of, by legatee, from a certain number of stocks, 467-8.
- doctrine of, as to legacies, 468 to 473.
  - to what cases applicable, 468-9.
- widow entitled to dower, 469.
  - provisions of statutes prescribing dower, 469, 726.
  - testamentary provisions for wife in lieu of dower, 469-70.
  - what shall constitute a valid election by the widow, 470.
  - what constitutes a case of election under Revised Statutes, 471.
- where testator makes two bequests to the same person, one onerous, the other beneficial, 471.
- what acts constitute an implied election, 471.
  - instance of implied election, 472.
- party bound to elect entitled to ascertain value of the funds, 473.
- whether, in case of election to take against the will, benefit by it must be relinquished in toto, 473.
- of executor, whether to take specific compensation provided by will, or commissions, 483, 539.
- of widow, whether to take gross sum in lieu of dower, in real estate sold under order of surrogate for payment of debts, 615 to 618.

**ERIE—COUNTY OF.**

- clerk of Surrogate's Court to be appointed in, 18, 22.

**ESTATE**

**OF AN EXECUTOR OR ADMINISTRATOR,**

- at what time it vests, 253, 259, 260 to 262.
- estate of executor, 253, 288.
  - of several executors, 209, 260.
    - each has the whole, 209, 260-1.
    - they cannot sue on a promise made jointly with one of them, 262.
- of administrator, 255.
- of administrator *de bonis non*, 262.

**ITS QUALITY, 256-7.**

- where executor or administrator becomes bankrupt, it does not pass to his assignee 259.
- it cannot be taken in execution for debt of executor, 259.
- executor cannot bequeath it, 259.
- executor may alien the assets, 259.
- grant of *omnia bona sua* by executor, 259,
- release of all his demands by executor, 259.

**QUANTITY OF ESTATE IN POSSESSION**

- of an executor or administrator, is the same, 259.
- the whole personal estate vests in the executor or administrator, 260.
  - unless estate in joint tenancy, 260.
  - except in case of partners in trade, 260.
- property generally, which shall be deemed assets and go to executor or administrator, specified by statute, 260, 269, 270, 272-3.
- real estate, 260.
  - executor or administrator hath not to deal with, 260, 585.
    - except as empowered by will or authorized or required by statute to dispose of, for payment of debts, 260, 585.
- of administrator *de bonis non*—
  - goods and personal estate in specie left unadministered by executor or first administrator, 262.
- money laid up by itself by executor, 262.
- where executor or administrator has fraudulently aliened the assets, 262.
- in respect to property fraudulently sold or transferred by the deceased, 257, 292, 294.

**EQUITY,**

- courts of, consider executors as trustees, and have jurisdiction of construing wills, 212, 213.
  - termed courts of construction, 213.
- jurisdiction of, with respect to accounts of executors and administrators, 583 to 585.

**EVIDENCE.**

- record of will of real estate proved before surrogate, only *prima facie*, 48.
- probate of will of personal property taken by surrogate, conclusive, 48, 183, 188-9, 212.



**EVIDENCE—continued.**

- burthen of proof lies with party impeaching will for want of capacity of the testator, 57.
- insanity once proved, burthen of showing lucid interval lies with party supporting will, 58.
- effect of evidence of attesting witnesses on proof of will, 119, 120.
  - See title *Wills, form and manner of making*.
- on proof of nuncupative wills, 124-5.
- parol, when to be admitted, where there are several codicils to determine which and how far one is a revocation of another, 131-2.
- onus of making out cancellation of will, lies with party opposing will, 137.
- on proving will, 162 to 165, 171 to 186.
  - where ambiguity upon *factum* of the instrument, 183, 409.
  - as to undue insertions or omissions in wills, 184.
- exemplification of record of will only, without proofs, cannot be received in evidence, 201.
- certain exemplifications of copies and records of wills, when evidence, 201-2.
- record of proofs and examinations taken in relation to will of real estate before surrogate, where all subscribing witnesses are dead, insane, or non-residents, when and how received in evidence, 203-4.
- of *donatio mortis causa*, 265-6.
  - incompetency of donee as witness, 266.
- inventory, how far evidence for or against executor or administrator, 287, 350.
- judgment against several executors no evidence of admission of assets against him, or those not served, 349.
- when parol may be admitted to rectify mistake in description of legatee, 417.
- effect of judgment against executor or administrator, as evidence, in proceedings to mortgage, lease, or sell real estate for payment of debts, 609.
  - only *prima facie* evidence of the debt, 609.

**EXAMINATION**

- of parties, 9.
  - in proceedings for the proof of a will, 162.
  - on accounting of an executor or administrator, 515, 516.

**EXECUTION,**

- may issue against executor, administrator or guardian, when certificate of surrogate's decree for payment of money against them, filed with county clerk, 29, 578 to 583.
- when returned unsatisfied, surrogate to assign bond, 29, 518, 581-2.
- where defendant dies, after judgment, but before execution, execution not to issue until expiration of a year after death, 340.
- against property, where defendant dies in execution against his body, 341.
- to issue, notwithstanding death of party, but not within a year after the death, and then upon permission of the surrogate, 342, 344.
  - proceedings on issuing execution in such cases, 344.
- on judgment against executor or administrator, 352, 372.
- on judgment against executor or administrator, after trial upon the merits, 352-3, 367 to 371.
  - form of, 370.
- enforcing surrogate's decrees by, 578, 583.

**EXECUTOR. See title *Executors and Administrators*.**

- surrogate has power to direct and control conduct, and settle accounts of, 24.
  - has power to enforce payment of debts by, 24.
  - has no power over conduct, relative to proceedings in other courts, 24.
  - may control conduct of, where he persists in discharging duties erroneously, 24.
  - to file accounts of, 33, 485.
  - has power to enjoin, when cited to show cause why he should not be removed, 29, 643-4.
  - cannot settle, by summary proceedings, rights of heirs to property in hands of, 26.
  - to make certificate of decree, for payment of money against, upon which, filed with county clerk, execution may issue against, 29, 578, 582.
    - when certificate filed, a lien on his lands, 29, 578.
  - may assign bond of, when execution returned unsatisfied, 29, 578, 581.
  - may issue attachment for non-payment of decree of execution, in what cases, 28, 37.

See title *Surrogate*.

- appointment of, formerly essential to a testament; term codicil applied to testamentary instruments not appointing, 52.

**EXECUTOR—contd.**

- MAY HAVE WILL TESTED BEFORE SIGNATURE 213.
- QUALIFICATION OF WILL AS TO PERSONS NAMED AS 213.
- WILL MADE BY A PERSON IN THIS STATE 213.
- WHO IS CAPABLE OF MAKING WILL 213.
- GENERAL PRINCIPLE OF PROBATE OF WILL 216.
- EVERY PERSON CAPABLE OF MAKING WILL 216.
- WILL MADE BY PERSONS IN THIS STATE 216-217.
- MADE IN WILL AND COUNTRY OF ORIGIN 217.
- APPOINTMENT OF
  - CAN ONLY BE BY TESTAMENT 214.
  - MAY BE EITHER EXPRESS OR IMPLICATION 215.
  - EXECUTOR, ACCORDING TO THE WILL 215.
  - BY WILL POINTING AT THE OFFICE OR RIGHTS OF AN EXECUTOR 215-7.
  - BY NECESSARY IMPLICATION 218.
  - EXPRESS, AND ACCORDING TO TEST, MAY HAVE PROBATE TOGETHER 209.
  - GENERAL BY IMPLICATION AFTER AN EXPRESS LIMITED APPOINTMENT 219.
  - SEVERAL EXECUTORS 219, 220, 221.
  - HOW QUALIFIED OR LIMITED 219.
  - FORMERLY MIGHT BE TRANSMITTED 219.
  - UNDER REVISED STATUTES] CANNOT BE TRANSMITTED 219.
  - DECEASED NOT KNOWN TO THE LAW OF THIS STATE 219, 221.
  - STATUTORY PROVISIONS, DECLARING LIABILITY OF PERSONS INTERFERING WITH ESTATES OF DECEASED PERSONS WITHOUT AUTHORITY 211, 256.
  - CANNOT ASSERT OR RELY ON HIS RIGHT IN ANY OTHER COURT WITHOUT SHOWING PROBATE OR LETTERS TESTAMENTARY, FROM SURROGATE'S COURT 213.
  - PROBATE OR LETTERS TESTAMENTARY NOT FOUNDATION OF EXECUTOR'S TITLE, DERIVES HIS TITLE FROM WILL, 213, 253.
  - PERSONS NOT COMPETENT TO SERVE AS EXECUTORS, 214.
  - PRESCRIBED BY STATUTE, 214.
  - NO DEGREE OF LEGAL OR MORAL GUILT, UNLESS PERSON CONVICTED OF INFAMOUS CRIME, WILL RENDER INCOMPETENT, 215.
  - IMPROVIDENCE WHICH WILL RENDER INCOMPETENT, 215.
  - GAMBLER INCOMPETENT BY REASON OF IMPROVIDENCE, 215.
  - WHERE MARRIED WOMAN EXECUTRIX, POWERS OF HUSBAND SUBSTANTIALLY THAT OF EXECUTOR, 216.
  - REMOVAL OF DISABILITIES IN CERTAIN CASES, 216.
  - TWO OR MORE EXECUTORS MAY QUALIFY AT DIFFERENT TIMES, 216.
  - PROVING WILL ENURES TO THE BENEFIT OF ALL, 216.
  - WHERE CIRCUMSTANCES OF PERSON NAMED AS, ARE PRECARIOUS, SURROGATE TO REQUIRE BOND, 216 TO 219.
  - NON-RESIDENT TO GIVE BOND, 219.
  - TO TAKE OATH BEFORE GRANT OF LETTERS TESTAMENTARY TO, 219.
  - BY WHOM AND WHEN OATH MAY BE ADMINISTERED, 219.
  - MAY DELAY TAKING OUT LETTERS AT HIS PLEASURE, 220, note (f).
- HIS REFUSAL OR ACCEPTANCE OF THE OFFICE, AND RENUNCIATION BY PERSON NAMED AS EXECUTOR, 220, 223. See title Renunciation.**
  - WHERE HE NEGLECTS TO QUALIFY FOR DAYS AFTER PROOF OF THE WILL, PROCEEDINGS MAY BE TAKEN AGAINST HIM, TO COMPEL HIM TO QUALIFY, OR BE DEEMED TO HAVE RENOUNCED, 220, 221.
  - ANOTHER EXECUTOR MAY TAKE THE PROCEEDING, 221.
  - IF ALL THE EXECUTORS RENOUNCE, OR, AFTER BEING SUMMONED, NEGLECT TO QUALIFY, ADMINISTRATION WITH WILL ANNEXED TO BE ISSUED, 247-8.
  - IF SOLE EXECUTOR, OR ALL OF SEVERAL, DIE, BECOME LUNATIC, &c., LETTERS OF ADMINISTRATION WITH WILL ANNEXED TO ISSUE, 247-8.
  - FOREIGN, CANNOT SUE IN THIS STATE WITHOUT ADMINISTERING IN THIS STATE, 224.
  - WHERE PLAINTIFFS, THOUGH EXECUTORS, ARE IN THEIR OWN RIGHT OWNERS OF A BOND WHICH WAS PART OF THE ESTATE, THEY MAY SUE ON SAME IN THIS STATE, WITHOUT TAKING OUT LETTERS, 224.
  - OF WILL MADE IN FOREIGN COUNTRY, DISPOSING OF PERSONAL PROPERTY HERE, MUST PROVE HERE, 224.
  - RIGHTS OF EXECUTOR OF PARTY DECEASED, DOMICILED HERE, EXTEND TO PERSONAL PROPERTY WHEREVER SITUATED, 225.
  - MUST BE ENFORCED BY PROBATE OR ADMINISTRATION IN STATE OR COUNTRY WHERE PROPERTY SITUATED, 225.
  - OF NON-INHABITANT DYING LEAVING ASSETS IN THIS STATE, 225.

**EXECUTOR—continued.****HIS REFUSAL OR ACCEPTANCE, ETC.—continued.**

residing here, may have letters testamentary, without security, 226.

if non-resident, must give security, 226.

interest of, in estate, derived exclusively from will, and vests from moment of testator's death, 253, 288.

what he may do before the grant of letters testamentary or probate, 253 to 258.

See title *Letters Testamentary*.

• of his interest in the estate, 259 to 262, 288.

**ESTATE OF EXECUTOR, 253, 259 to 262, 288.**

See titles *Estate. Assets*.

**POWER OF,**

to bring actions, 288.

to enter the house descended to the heir, 288.

to take deeds, &c., out of heir's chest, 288.

to alien the assets, 259, 307.

he has an absolute power over the personal estate, 307.

even specific legacies, 307.

cannot sell specific legacies, however, until residue of personal estate applied to payment of debts, 306.

he may mortgage the assets, 307-8.

a purchaser from him is not bound to see to the application of the purchase money, 307-8.

power of sale of lands does not depend upon probate, 307.

exception, where there is collusion between the executor and purchaser, 308.

whether a sale, in satisfaction of executor's private debt, is valid, 309.

where there is collusion, legatees as well as creditors may follow the assets, 309.

he cannot purchase the assets from himself, 310.

he cannot bequeath the assets, 259.

to indorse a promissory note or bill of exchange, 310.

to become petitioning creditor for the discharge of insolvent from his debts, 310.

to assign indentures of apprenticeship, 310.

he cannot exercise a power of sale by attorney, 310.

one of two or more executors may, in general, make a valid sale without the others uniting, 307.

**DUTIES OF,**

as to the funeral, 313, 482, 540.

See title *Funeral*.

in respect to the payment of the taxes imposed upon the property of the deceased in his hands, 339.

See title *Taxes*.

as to proving the will, &c, 149.

as to the making an inventory, 267, 288.

first duty of, to make and file an inventory, 267.

See title *Inventory*.

as to collecting the effects, 288 to 305.

consequences of not removing personal property from the land, 288.

as to collecting debts due to the deceased, 288, 289.

See title *Actions*.

personally liable for not collecting debts due deceased, where same collectable, 294 to 297.

See title *Devastavit*.

as to disposal of the estate of the deceased, 305 to 311.

as to sales of personal property, 305 to 307.

sales on credit, 306.

cannot sell on credit in the city of New York, 306.

as to the payment of debts, 311, 395.

whole personal estate must be applied to the payment of the debts, although testator by his will has directed otherwise, 445.

as respects creditors, must set up Statute of Limitations, 347-8.

as to the payment of legacies, 396, 480.

See title *Legacy*.

all debts must be satisfied before any legacies, 436-7.

executor personally liable, if he discharge legacy where there is a deficiency of assets to pay debts, 436-7.

EXECUTOR—*continued.*DUTIES OF—*continued.*

- contingent debts, 437.
- executor's assent to legacies, 445 to 447. See title *Assent*.
- at what time legacies are to be paid, 447 to 453. See title *Payment of Legacies*.
- not until a year after grant of letters, 437-8, 447-8.
- unless will direct earlier payment, 438.
- bond, where will directs payment before the end of the year, 438, 441-2.
- how far protection to executor, 442.
- where legacy, or part of it, necessary for support of legatee, 438-9, 443-4.
- application to surrogate for order for advance, 438-9, 442.
- order, 443.
- how far protection to executor, 443.
- where gift of things *quæ ipso usu consumuntur*, to legatee for life, he is required to convert the property into money, and invest the proceeds in permanent securities, 450.
- of things not necessarily consumed in using, 452.
- inventory to be taken, 452.
- to whom legacies are to be paid, 460 to 463.
- See title *Payment of Legacies*.
- when he can take legacy without accepting office of executor, 427-8.
- See title *Conditional Legacies. Legacies to Executors*.
- when property is given to, as trustee, taking probate, is an acceptance of the trust, 429, 517, 518.
- legacy to, will not carry interest, 466.
- legacies to minors, 438, 460, 462.
- may apply interest on, to their support, 461-2.
- legacy to a married woman, 462.
- to be paid to her, 463.
- interest upon legacies, 463 to 467.
- payment or delivery of specific legacies, 467-8.
- disposition or distribution of residuary estate, 474 to 480.
- in respect to accounting, 445 to 585.
- See title *Accounting by Executor and Administrator in the Surrogate's Court*.
- to keep funds of trust estate distinct from their other funds, 528.
- to keep moneys belonging to trust estate, properly invested, 532.
- LIABILITY OF. See title *Executors and Administrators*.
- personally liable, if he discharge legacy where there is a deficiency of assets to pay debts, 436-7.
- general nature of the liability of, 518.
- where debtor legatee, executor may retain legacy to satisfy debt, 432.
- in disposing of residuary estate, right to retain for future expenses and contingencies, 478.
- his commissions, 483, 534, 540.
- See titles *Allowances. Commissions*.
- expenses of, 482, 540, 543.
- See titles *Accounts of Executors and Administrators. Allowances*.
- election of, whether to take specific compensation provided by, or commissions, 483, 539.
- to be allowed widow's sustenance during her quarantine, 543, 726-7.
- effect of final settlement of accounts of, 543 to 554.
- See title *Accounting of Executors and Administrators in the Surrogates' Courts; final Settlement of the Accounts*.
- property still in executor's hands, and securities not yet due at the time of final settlement of accounts, 485, 554-5.
- surrogate, on consent of parties, may direct delivery of property not sold, 485, 554, 576.
- value to be ascertained by appraisement, 485, 554, 576.
- assignment of securities, 485, 554.
- suing and recovering on securities, 486, 555.
- retaining, to meet claims not yet due, or on which suits pending, 486, 555, 576.
- when executor may retain, 486, 555, 576.
- to account, in Surrogate's Court, for proceeds of sales of real estate ordered or devised by will to be sold to pay debts or legacies, 486, 555, 627-8.
- when bound to account for such proceeds, 486, 555, 628-9.

**EXECUTOR—continued.****LIABILITY OF—continued.**

- may bring proceeds into surrogate's office, to be distributed, 628.
- statute not compulsory, 629.
- sales of real estate by, under devise or power in will, 627.
- executors qualifying, entitled to make, 627.
- when no one named, 629.
- when power to sell is special, 629.
- fraudulently selling, 630.
- after service of citation to show cause why probate should not be revoked, to suspend proceedings, 639.
- See title *Allegations*.
- revocation of probate on allegations, 638-9, 641-2.
- notice of, 639, 641.
- service and publication of, 639, 641.
- effect of service on executor, 639.
- proceedings to remove him as incompetent, 642 to 645.
- where circumstances precarious, to compel him to give security or remove him, 642 to 647.
- See title *Surrogate*.

**EXECUTORS AND ADMINISTRATORS.**

- surrogate has power to direct and control conduct of, and settle their accounts, 24.
- has no power over conduct of, relative to proceedings in other courts, 24.
- may control conduct of, where they persist in discharging duties erroneously, 24.
- has power to enforce payment of debts by, 24.
- has power to enjoin such as have been cited to show cause why they should not be removed, 29, 643-4.
- cannot settle rights of heirs to property in the hands of, 26.
- execution may issue against, when certificate of surrogate's decree for payment of money against, filed with county clerk, 29, 578, 582.
- when certificate filed a lien upon their lands, 29, 578.
- if execution returned unsatisfied, surrogate may assign bond, 29, 578, 581.
- surrogate, under Revised Statutes, required to record accounts of, 33.
- now required to file accounts of, and record with decree summary statement of, 33, 485.
- sales of real estate by, to pay debts of deceased, 585 to 637.
- See title *Real Estate of Deceased Persons*.
- surrogate will issue attachment against, to enforce decree for payment of money, 28, 37.
- when surrogate's decree, on settlement of account of, will be enforced by attachment, 578.
- surrogate cannot be attorney or counsel for or against in any civil action, over whom or whose accounts he has jurisdiction. 47-8.
- what they may do before grant of letters testamentary or of administration, 253 to 259.
- See titles *Letters Testamentary, and Administrator*.
- ESTATE OF EXECUTOR, 253, 259 to 262, 288.
- See title *Estate. Assets*.
- first duty of, to make and file inventory, 267.
- See title *Inventory*.
- what property to be deemed assets, and to be included in inventory, 269 to 278.
- See title *Inventory*.
- debts due by to deceased, to be inserted in inventory, 271, 278.
- powers and duties of, as to articles and property exempt from appraisement, 270, 271, 273 to 277.
- to return inventory within three months from date of letters, 278.
- under oath, 279.
- form of oath, 179.
- time to return may be extended by surrogate, 280.
- payment of appraiser's compensation, 268, 279.
- amount should be approved by surrogate, 279.
- of proceedings to compel an executor or administrator to return an inventory, 279 to 287.
- See title *Inventory*.
- where one or more of several omit to return inventory, delinquent prohibited from interfering with administration, &c., until he return and verify inventory, 287.
- of returning further inventories, 287.
- how far inventory evidence for or against, 287, 350.
- duties of in respect to collecting the estate of the deceased, 288 to 305.
- actions by, 289 to 295. See title *Action*.



EXECUTORS AND ADMINISTRATORS—*continued*ESTATE OF EXECUTOR—*continued*

may recover proportion of rent due from lessee of ~~tenant~~ for life at death of ~~tenant~~ for life, 291-2.

not necessary to join those as plaintiffs to whom letters have not been issued 29.  
limitation of actions in favor of, 297 to 299.

See title *Limitation of Actions*.

enforcement by, of judgments obtained by deceased, 299 to 301.  
dying or being removed pending action, who to continue action, 301.  
of set-offs in action by, 302, 353, 366-7.

See title *Set-off*.

what may be set-off, 302, 366.

instances where executor or administrator, although he has an interest in a ~~cause~~ action, cannot bring an action, 302.

See title *Action*.

where deceased died possessed of public or corporate stocks or money in bank, executor or administrator must procure certificate in order to transfer or collect, 304.  
may enforce performance of contract made by deceased for delivery of goods, 304.  
has no interest in apprentice bound to deceased, but assets in his hands chargeable with his maintenance, 305.

collector to deliver over property and account to, 305, 583.

sales of the personal property by, 305-6.

when they may make, 305-6.

may be on credit with approved security, 306.

except in the city of New York, 306.

order in which property is to be sold, 306.

how far executor or administrator warranted in selling at appraised values set down in the inventory, 306.

duty of, as to the funeral of the deceased, 313, 482, 540.

See title *Funeral*.

duty of, in respect to payment of debts of the deceased,

to proceed with diligence to pay debts according to order of classes, 312.

order of payment, 312, 353.

what debts are entitled to preference, 312 to 315.

under laws of the United States, 312, 314.

taxes, 312, 315.

judgments, 312, 315.

entitled to preference, according to priority of docketing, without reference to being a lien on real estate, 315.

where record filed and docketed within a year after death of the party, 312, 340.

where proceedings on verdict stayed until after death of the party, 312, 340.

foreign judgments have not any preference, 316.

whether judgments of Circuit and District Courts of the United States have preference, 316-17.

judgment on stipulation in admiralty has not any preference, 317.

nor have judgments in Justices' Courts, not docketed in lifetime of deceased, 316.

if docketed, entitled to preference, 316.

rents due or accruing upon leases, 312, 318, 547.

pew rent not preferred, 318.

debts not included in first three classes to be paid ratably, unless rent on lease, 312, 353-4.

what claims upon the deceased survive against the executor or administrator, and of his liability upon the acts of the deceased, 318 to 340.

LIABILITIES OF. See title *Action*.

in respect of the acts of the deceased, 318, 340.

in matters of contract, 318-19.

though not named, 319.

accruing after testator's death, 319.

where contract was personal to deceased, 319.

in matters of *tort*, 320-1.

rule *actio personalis moritur cum persona*, 320.

examples of the rule, 320-1.

modification of the rule by statute, 321.

**EXECUTORS AND ADMINISTRATORS—continued.****LIABILITIES OF—continued.**

in respect of the acts of the deceased.

actions may be brought against executors and administrators, for waste, destruction, or conversion of personal property, or trespass on real estate, and for wrongs done to the property, rights, or interests of another, except for slander, libel, false imprisonment and injuries to the person, 321.

on joint contracts, 321 to 325.

in equity, 322-3.

law previous to Code of Procedure, 321-2.

executor of deceased partner, 322.

cannot be sued jointly with surviving partner, 324.

same rule applies to joint contractors, 325.

on covenants, 325.

concerning the realty, 325.

on contracts between landlord and tenant, 325-6.

personal responsibility of executor for rent in his own time, 326-7.

where personally liable, may be proceeded against by attachment, under the act relative to absconding, concealed and non-resident debtors, 328.

for money due on purchase of real estate, 328-9.

where secured by mortgage, executor not liable, 328-9.

where a simple contract for purchase of land, executor liable to complete purchase for the benefit of the heir or devisee, 329.

where title cannot be made, or imperfect, or one the court thinks ought not to be executed, 329.

to exonerate specific legacies, 330.

as to apprentices, 330.

as to debts of husband and wife, 330-1.

as to an action for work and labor, with a view to a legacy, 331.

as to completing gift of testator, 332.

on continuing guarantee of testator, 332.

upon promissory notes or bills of exchange, due after death of decedent, 332-3.

where deceased was drawer or indorser of bill of exchange, notice of protest to be given to executor or administrator, 332-3.

drawer, acceptor or maker, to whom bill or note must be presented, 332-3.

in respect of his own contracts, 333 to 340.

to make him personally liable, there must be a good consideration, and the promise must be in writing, 333-4.

what is a valid consideration, 334-5.

what is a sufficient writing, 336.

provisions of the statute, 336.

on a submission to arbitration, 337.

whether the rules of law under the Statute of Frauds, even as re-enacted by the Revised Statutes, have any application under the system of administration introduced by the Revised Statutes, 337.

with respect to the expenses of the funeral, 313, 482, 540.

continuing testator's trade, 338.

in respect to the payment of the taxes imposed upon the property of the deceased in his hands, 339.

See title *Taxes*.

on judgments against the deceased in his lifetime, 340 to 344.

where record of judgment docketed within a year after death, 312, 340.

where death after judgment, but before execution issued, 340-1.

surrogate may permit execution to issue upon, after a year from death of judgment creditor, 242, 344.

proceedings on obtaining permission, 344.

where person taken in execution against his body dies, 341.

judgments against deceased could, under Revised Statutes, be revived by *scire facias*, 341.

remedy in such case under the Code, 341, 363-4.

provisions of Code supersede remedy by *scire facias*, 341, 363-4.

proceedings under Code to enforce judgment, 341-2, 363-4.

must be taken within a year after appointment of executor or administrator, 341.

EXECUTORS AND ADMINISTRATORS—*continued.*LIABILITIES OF—*continued.*

execution upon such proceedings to be regulated by provisions of Revised Statutes, and not to issue until account of proceedings of executor and administrator rendered and settled, 363-4.  
 in suits pending against testator or intestate at the time of his death, 297, 344-5.  
 action not to abate, 297, 344-5.  
 proceedings under Code to continue action, 344-5.  
 law regulating payment of, previous to the Revised Statutes, 357.  
 changes made by the Revised Statutes, 358.  
 executor personally liable if he discharge legacy when there is a deficiency of assets to pay debts, 436-7.  
 general nature of the liability, 517-18,  
 on a *devastavit*, 518 to 521.

See titles *Devastavit. Accounts of Executors and Administrators.*  
 for acts of negligence which defeat rights of creditors, 519. See title *Devastavit.*  
 neglecting to sell stock, 519.  
 compounding or releasing debts, 483, 520.  
 provisions of the statute relative to, 483.  
 proceedings to obtain authority of surrogate to compromise a debt, 520.  
 not bound to prosecute doubtful claim, 521.  
 for investments, 521 to 524.

See title *Investments by Executors and Administrators.*  
 executor must not keep funds idle, 522.  
 required to manage funds as a prudent man would his own, 522-3.  
 where obliged to foreclose mortgage of the estate, 523.  
 for not calling in money already invested by the deceased, 523.  
 for increase or decrease of estate, 483, 526 to 529.

See title *Accounts of Executors and Administrators.*  
 to account for profits, 526.  
 sale to himself, 527.  
 compounding debts, &c., 528.  
 accounts of, and allowances to, 482-3, 528, 543.  
 See titles *Accounts of Executors and Administrators. Allowances.*  
 in what cases charged with interest, 529 to 534. See title *Interest.*  
 employing agents, 539-40.  
 whether authorized by will or not, 540.

incompetent person to act as attorney, 541.  
*limitation of actions against executors and administrators*, 345 to 348.  
 if time limited by statute has not expired at death, action may be commenced against executor or administrator after the expiration of that time, and within one year after the issuing of letters, 345.  
 eighteen months after death not to be deemed any part of time limited for commencing action, 345.  
 whether this does not give two years and six months to bring action, 345-6.  
 mode of pleading statute before the Code, 364.  
 rule for computing time, 364-5.  
 statute, one of evidence or computation, 365.  
 defence of the statute under the Code, 365-6.  
 no exception to statute can be claimed unless expressly mentioned in statute, 345.  
 where cause of action accrued after death, statute does not begin to run until letters granted, 347.

limitation of six months where executor or administrator advertises for claims, 347, 355, 390-1.

statute runs notwithstanding claim presented, 347.  
 whether admission of personal representatives of deceased, or of one of several, will take demand out of Statute of Limitations, 347-8.  
 as respects creditors, executor or administrator must set up Statute of Limitations, 348.

OF BRINGING AND CONDUCTING SUITS, THE OBTAINING AND ENFORCING JUDGMENTS, AND THE PROSECUTION AND COLLECTION OF CLAIMS GENERALLY, AGAINST EXECUTORS AND ADMINISTRATORS; AND OF ASCERTAINING CLAIMS AGAINST THE ESTATE BY THE PUBLICATION OF A NOTICE AND THE REFERENCE OF CLAIMS PRESENTED, AND THE DISPOSITION OF CLAIMS REFERRED, 348 to 394.

*suits and judgments against executors and administrators*, 348.  
 as to necessary defendants and their liability to arrest, 348-9

**EXECUTORS AND ADMINISTRATORS—continued.****OF BRINGING AND CONDUCTING SUITS, ETC.—continued.**

where there are several defendants, 349.

judgment, how rendered, 349.

does not bind real estate of deceased, 586-7.

no evidence of admission of assets against one not served, 349.

where his power is revoked or superseded, or he dies whilst a suit is pending against him, 349.

provision of Code applicable to such case, 349-50.

effect of inventory as evidence, 350.

judgment against heir or devisee, bar to suit against, 350.

justice of the peace has not cognizance of actions against, 351.

nor have the Justices' Courts or the Marine Court of the city of New York, 351.

pleadings and proceedings in actions against executors and administrators, 351 to 367.

what executors or administrators may plead, 352, 359 to 367.

defence of want of assets and defence in the nature of a plea of *plene administravit* not available, 357 to 360.

counts on promise by intestate and by administrator, cannot be joined, 361.

count on promise by administrator on account stated of moneys due from intestate, may be joined with count on promise by intestate, 361.

where married woman executrix, her husband must be joined as defendant, 361.

pleadings in former proceedings by *scire facias*, 361 to 363.

how far rule of pleading in those cases applies under the Code, 363.

defence of the Statute of Limitations, 364 to 366.

mode of pleading before the Code, 364-5.

under the Code, 365-6.

set-offs in suits by executors, 366.

defence of set-off by executors, 367.

See title *Set-off*.

enforcing judgment against executor or administrator, 360, 364, 367.

enforcing, against executor or administrator, judgment against deceased in his lifetime, 340 to 348, 361 to 364.

*enforcing a judgment obtained against an executor or administrator after a trial at law upon the merits*, 352, 367 to 371.

creditor may, at any time, apply to surrogate for an order against the executor or administrator, to show cause why an execution should not issue, 352, 368-9.

citation to account to issue, 352, 368.

petition for order to show cause, and for citation, 368.

order to show cause and citation to account, 368.

proceedings on return of order and citation, 368.

account to be submitted by executor or administrator, 368.

proceedings where time for advertising has not expired, 369, 374-5.

where time has expired, 369-70.

where there are assets properly applicable to the payment of the claim, 370.

where executor's accounts rendered and settled, 370.

form of the order that execution issue, 370.

effect of the order, 352, 370.

provision for further executions, 352, 370.

form of the execution, 370.

may also have remedy under statute authorizing surrogate to decree payment after six months, 356, 371.

may also have remedy under statute respecting accounting after eighteen months, 356, 371.

*enforcing a judgment obtained against an executor or administrator otherwise than after a trial at law upon the merits*, 352, 371 to 373.

execution not to issue until account rendered and settled, unless on order of the surrogate, 352, 362, 367, 371 to 373.

when surrogate may grant the order, 371.

rendering and settling account, cannot be enforced until eighteen months from grant of letters, 356, 372.

remedy of creditor, who has obtained judgment otherwise than after trial upon the merits, by proceedings for accounting after eighteen months, 372.

amount for which execution may issue, 372.

**EXECUTORS AND ADMINISTRATORS—continued****OF BRINGING AND CONDUCTING SUITS, ETC.—continued***enforcing a judgment obtained against executor, dec.—continued*

judgment creditor may have remedy under statute authorizing surrogate to decree payment of claim after six months, 356, 373.

*of the enforcement of debts against the estate, whether in judgment or not, by proceedings in the Surrogates' Courts, 373 to 379.*

surrogate may decree payment of claim against executor or administrator at any time after six months from grant of letters, 356, 373.

creditor, obtaining judgment after trial upon the merits, may have remedy under this provision, 371, 378.

proceeding, on application, to issue execution on judgment against executor or administrator, upon the merits, where time for advertising has not expired, 369, 374-5.

where creditor applies for decree, under the section of the statute authorizing the surrogate to decree payment of a claim against an executor or administrator after six months from grant of letters, 374.

where administrator alleges that he has not advertised, 378.

proceeding where claimant applies for decree before expiration of time for advertising, 369, 374-5.

proceeding after expiration of the year, 375.

petition for relief, under the statute, 375.

citation to show cause why payment of debt should not be decreed, 376.

proceedings on return of citation, 376.

where executor or administrator makes default, 376.

if he appear, defences he may make, 376.

Statute of Limitations, 377.

must state defence of, in time to enable creditor to meet it by proof, 377.

account to be rendered, 377.

investigation into account, 377.

form of decree, 377.

costs of proceeding, 377.

surrogate has not jurisdiction to try validity of alleged claim, 377-8, 551 to 554:

decision of surrogate against claimant will not preclude claimant in a suit in another court, 378.

where administrator alleges that he has not advertised for claims, 378.

enforcement of the decree, 378.

claimant may also have remedy, by proceedings for an account after eighteen months from grant of letters to the executor or administrator, 356, 378-9.

**OF THE ASCERTAINMENT OF THE DEBTS OF THE DECEASED BY THE PUBLICATION, BY THE EXECUTOR OR ADMINISTRATOR, OF A NOTICE TO CLAIMANTS TO EXHIBIT THEIR CLAIMS, AND THE SUBSEQUENT PROCEEDINGS THEREON, 354-5, 379 to 394.**

at the expiration of six months from grant of letters, notice to be published in two newspapers, once in each week for six months, requiring claimants to exhibit their claims, 354-5, 379 to 394.

publication of notice in one newspaper sufficient, unless surrogate directs publication in another, 382-3, 390.

terms of the statute permissive, 380.

delay in advertising for claims after executor may advertise, not to prejudice claimants, 378, 380 to 382.

where he neglects to advertise, whether chargeable with costs after time for advertising has arrived, 380-1.

effect of omitting to advertise as respects limitation to prosecute claim, 390-1.

one year at least must elapse from time of appointment of executor or administrator before advertisement completed, 382.

application to surrogate to designate newspapers, 382-3.

designation of newspapers, 382.

advertising in county, other than that of the surrogate, 382.

one newspaper sufficient unless surrogate designate a second, 382-3, 390.

*on presentation of claim, executor or administrator may require vouchers and affidavit of claimant, 354, 383.*

object of requiring affidavit, 383.

payee of note not bound to state consideration of note, 383.



**EXECUTORS AND ADMINISTRATORS—continued.****OF THE ASCERTAINMENT OF THE DEBTS OF THE DECEASED, ETC.—continued.***on presentation of claim, executor or administrator may require, &c.—continued.*

owner of judgment against the deceased, must exhibit his claim to the executor or administrator, 392-3.

contingent liabilities of the deceased, must be presented, 393, 437.

claim upon, where they are not presented, 393, 437.

*if the executor or administrator doubt the justice of the claim, he may enter into agreement in writing for a reference, 354, 383-4.*

doubt must be distinctly signified, 383.

rejection of claim, not a refusal to refer, 385-6.

exhibition to, and rejection by, legal adviser of executor not sufficient rejection, 383.

where notice directs claims to be exhibited at the office of the counsel of the executor, 383-4.

where communications between claimant and administrators carried on through counsel of administrators, 384.

personal interview between claimant and executor or administrator not required, 384.

oath not required, unless executor demand it, 354, 384.

where executor understands the whole matter, 354, 384.

presentation of claim by letter, 384.

presentation of claim before publication of notice, not invalid, 384-5.

vouchers and affidavits may be required, whether notice published or not, 385.

so with agreement to refer, 385.

executor or administrator may agree to refer, whether he has demanded vouchers and affidavit or not, 385.

so if he not merely doubt but reject claim, 385.

offer to refer need not be in writing, 388.

unqualified rejection of a claim, unaccompanied by an offer to refer, not a refusal to refer, 385-6.

claimant cannot propose reference until claim disputed or rejected, 385-6.

proposition to refer must proceed from claimant, 386.

executor ought not to decline reference, 392.

*three referees or one referee to be agreed upon, approved by surrogate, and order of reference entered in Supreme Court, 354-5, 388.*

statute not explicit, 386.

parties should mutually agree upon referees, 386.

if they fail to agree, selection to be made by surrogate, 386.

executors cannot offer to refer to referees named by themselves, 386.

proceeding under statute not an arbitration, 386.

claims in favor of the estate not referable, 386-7.

administrator claiming off-set, 387.

whether equitable claims may be referred, 387.

claims referable, those cognizable in the common law courts, 388.

proceedings on reference, 354, 388-9.

statute makes no provision for pleading, 389.

agreement commencement of suit, 389.

should set out issue, 389.

matters of defence need not be set up in, 389.

trial before referee or referees, 389.

executors may set up Statute of Limitations, 389.

proceeding no bar to action, 389.

reports of referees, 254, 389.

confirmation of report, 354-5, 389-90.

setting aside report, 354-5, 389-90.

judgment on report, 354-5, 389-90.

record of proceedings in court in which reference prosecuted, 389-90.

judgment on reference, 389-90.

execution on judgment, 390.

where claimant dies after report, and before judgment, 390.

*where claim exhibited and disputed and not referred, claimant to prosecute within six months or claim forever barred, 347, 355, 390.*

this short bar applies only where claim presented in pursuance of notice, 390.

if executor or administrator omit to advertise, claimant not limited to six months, 390.

**EXECUTORS AND ADMINISTRATORS—continued.**

**OF THE ASCERTAINMENT OF THE DEBTS OF THE DECEASED, ETC.—continued.**

*where claim exhibited and disputed and not referred, &c.—continued.*

where creditor presents claim before time for advertising has arrived, limitation does not attach, 390-1.

effect of not presenting claim within the six months prescribed for advertising, 391.

in order that limitation shall attach, claim must be disputed or rejected, 355, 391.

instances in which limitation does not attach, 391-2.

there must be, also, a refusal to refer, 355, 391.

what amounts to a refusal to refer, 392.

verbal rejection of claim good, but written notice of rejection preferable, 392.

reference optional with executor or administrator, 392.

if judgment against him after refusal to refer, chargeable with costs, 355-6, 392, 657 to 666.

he ought not to decline to refer, 392.

*where claim not presented under notice, 355, 360-1, 392-3, 437, 441.*

defence of executor in suit upon such claim, 355.

extent of recovery in such case, 355, 393.

costs not to be recovered in such suit, 355-6, 657.

*creditor neglecting to present claim under notice, may recover against next of kin or legatees, 356, 392, 441-2.*

judgments against deceased must be presented under the notice published, 317, 392-3.

costs not to be recovered in any suit at law against executor or administrator, unless claim presented under notice, and its payment unreasonably resisted or neglected, or executor or administrator refuses to refer, 355-6, 657.

in such cases costs, how to be levied, 355-6.

whether executor or administrator may be charged with costs, when he neglects to advertise, after time to advertise has arrived, 380-1, 663-4.

*of the adjustment and retainer of debts due by the deceased to the executor or administrator, 394-5, 510.*

law previous to Revised Statutes, where executor creditor, 394.

under Revised Statutes, executor or administrator not to retain in satisfaction of his debt, but to prove same before surrogate, 394, 433, 510.

manner of proving claim, 394.

citation to be issued by surrogate to proper persons, 394-5.

usually proof taken on final accounting, 394-5, 510.

earlier proof sometimes necessary to save Statute of Limitations, 394.

petition for citation, 395.

citation, 395.

service and return of citation, 395.

proceedings for proof, 395.

where executor delays proving until final accounting, 395, 510.

general affidavit annexed to account will not enable him to retain, on final accounting, 510.

proceedings on final accounting, to enable him to retain, 510.

legatees and next of kin may set up statute of limitations against his claim, 511.

**DUTIES OF, IN RESPECT TO ACCOUNTING, 480 to 485.**

See title *Accounting by Executors and Administrators in the Surrogate's Court.*

may be examined on oath before surrogate on accounting, 482, 499.

not warranted in paying taxes assessed subsequent to decedent's death, 514.

may be allowed such charges as paid on account of distributive share, 514.

allowance to, on accounting for property perished or lost, 483, 518 to 521.

See titles *Accounts. Allowances.*

liability for investments, 521 to 524.

See title *Investments by Executors and Administrators.*

to make no profit by increase, nor sustain loss by decrease of estate, without his fault, 483, 526 to 529.

See title *Accounts of Executors and Administrators.*

their commissions, 483, 534, 540.

See titles *Allowances. Commissions.*

expenses of, 482, 540, 543.

to be allowed widow's sustenance during her quarantine, 543, 726-7.

allowance not to be extended to children, 543, 727.

**EXECUTORS AND ADMINISTRATORS—continued.****DUTIES OF, IN RESPECT TO ACCOUNTING—continued.**

effect of the final settlement of the accounts of, 543 to 554.

See titles *Accounting by Executors and Administrators in the Surrogate's Court.*

*Final Settlement of the Accounts.*

disposition of property still in executor's hands, and of securities not yet due at time of final settlement of accounts, 485-6, 554-5.

appraisement of property, 485-6, 554, 576.

retaining for claims not yet due, or on which suits pending, 486, 555, 576.

when he may retain, 486, 555, 576.

to account in Surrogate's Court for proceeds of sale of real estate ordered by will to be sold to pay debts or legacies, 486, 555, 627-8.

when bound to account for such proceeds, 486, 555, 628-9.

distribution under the statute, 556 to 570.

See title *Distribution.*

where the deceased, at the time of his death, was domiciled abroad, 570 to 573.

See title *Non-inhabitant.*

disposition of personal property under will of person domiciled abroad, 572-3.

See title *Non-inhabitant.*

disposition of personal property of intestate married women, 573-4.

See titles *Distribution. Husband and Wife.*

of securing the distributive shares of minors, 559, 575.

form of surrogate's decree on final settlement of accounts of, 575, 577.

costs of accounting, 576-7.

executor entitled to, so far as he is not in fault, 596, 653.

surrogate not authorized to decree payment of costs against, to the exclusion of their commissions, 534-5, 577.

*enforcing surrogate's decree against*, 577 to 583.

by action at law, 577.

complaint, 577-8.

when by attachment, 578.

when surrogate may cause bond to be prosecuted, 30, 578-9.

to sustain action upon bond, decree must be shown, 580.

service of copy decree not required, 580.

omission to perform decree must appear, 580.

demand not necessary, 580.

certificate of decree for payment of money, 29, 518, 580-1.

application for, and issuing of certificate, 580-1.

to be filed with county clerk, 29, 578-9, 581.

becomes a lien on land, 29, 578-9.

execution upon, 29, 578-9, 581.

form of the process, 29, 578-9, 581.

to direct levy of property of executor and not of deceased, 581.

if execution returned unsatisfied, surrogate to assign bond, 30, 579, 581.

proof required to obtain assignment, 581.

order for assignment, 581.

constitutes assignment, 581.

surrogate retains custody of bond, 581.

action upon, bond may be in name of party obtaining decree, 581-2.

or may be in the name of the people on the relation of the proprietor of the claim, appendix lix.

judgment in, 582.

accounts of, whose authority has been revoked, 583, 650.

statute regulating settlement of, 650.

proceedings upon settlement, 583, 650, 651.

jurisdiction of Courts of Equity in relation to accounts of, 583 to 585.

appeals from decrees of surrogates on final settlement of accounts of, 737, 751-2.

**PROCEEDINGS BY, BEFORE SURROGATE, TO OBTAIN AUTHORITY TO MORTGAGE, LEASE, OR SELL REAL ESTATE OF DECEASED FOR THE PAYMENT OF HIS DEBTS**, 585 to 627.

See title *Real Estate of Deceased Persons.*

when they may make the application, 590.

within three years after grant of letters, 590.

petition to mortgage, lease or sell, 591 to 593.

appointment of guardians of minors interested, 593 to 595.

where minor is under the age of fourteen, 593.

**EXECUTORS AND ADMINISTRATORS—continued.**

**PROCEEDINGS BY, BEFORE SURROGATE, TO OBTAIN AUTHORITY TO MORTGAGE, LEASE, OR SELL, ETC.—continued.**

- importance of attending to provisions of statute strictly, 594.
- order to show cause why authority should not be given, 595 to 597.
  - when it may be made, 595.
  - service and publication of the order, 595 to 597.
  - absolutely necessary to confer jurisdiction, 597.
- hearing on return of the order to show cause, 597 to 603, 619.
- executor or administrator may be examined on oath, 597.
- it is no objection that the whole of the personal estate has not been applied to pay debts, if shown to be insufficient, and executor has proceeded with diligence to apply, 597, 601.
- where executor has personal property undisposed of, surrogate may refuse order, 601.
- widow whose dower has been assigned cannot show cause, 598.
- objections should be stated in writing, 598.
- judgment against executor or administrator after trial upon merits, *prima facie* evidence of debt, 599.
  - where not upon merits, debt to be proved, 599.
- heir setting up Statute of Limitations, 599.
- creditor concluded by amount of judgment entered on offer, 601.
- surrogate cannot make order to mortgage, &c., to pay expenses of administration, 601.
  - nor to pay costs, 602.
- where objection of any heir sustained, entire claim rejected, 602.
- questions of fact to be sent to a jury, 602.
  - trial of the issue, 602-3.
- entering demands adjudged valid, 603.
  - when they may be entered *nunc pro tunc*, 603.
- order to mortgage, lease or sell, 603-4.
  - facts of which surrogate to be satisfied, before making order, 603-4.
- determining whether property shall be mortgaged, leased or sold, 604-5.
  - heirs to show that sufficient money may be raised by mortgage, 604.
- order to mortgage, 604-5.
  - money raised by mortgage to be received by executor, 605.
  - executor to account for, 605, 615.
- order for sale, 605 to 608.
  - to specify lands to be sold, 606.
  - different orders may be made from time to time, 605 to 607.
  - administrator cannot, at his option, discontinue proceedings, 606.
  - where heir has conveyed part of lands, 606.
  - widow's estate in dower cannot be sold, 606.
- recitals to be contained in, 606-7.
  - direct credit to be given, 607.
- day to be fixed for bringing in report of sale, 607.
- security to be given by executor on obtaining order to mortgage, lease or sell, 608-9.
  - where executor refuses to give security, surrogate may appoint disinterested freeholder to make sale, 609.
  - creditors may nominate such person, 609.
  - order for appointment of such person, 609.
- notice of sale, 609-10.
  - to be posted six weeks, 609-10.
  - to be advertised, 609-10.
- regulations of the sale, 610.
  - property to be sold in parcels, sale to be in county, 610.
  - credit on sale, 607, 610.
  - when sale for cash, 611.
- vacating or confirming sale, 611 to 614.
- report of executor or administrator of sale, 611.
  - when proceedings irregular or unfair, or bid disproportionate, and ten per cent. advance to be had, sale to be set aside, 611 to 613.
  - purchaser may file *caveat*, 612.
  - entitled to be heard in relation to confirmation of sale, 612.
- order confirming report and directing conveyance, 613.
  - where purchaser has assigned bid, 613.
- conveyance of the real estate, 614.

**EXECUTORS AND ADMINISTRATORS—*continued*.**

**PROCEEDINGS BY, BEFORE SURROGATE, TO OBTAIN AUTHORITY TO MORTGAGE, LEASE OR SELL, ETC.—*continued*.**

- to set forth order authorizing sale, 614.
- surrogate cannot compel purchaser to take conveyance, 614.
- disposition of proceeds of sale, 615.
- surrogate may compel executor or administrator to account for, 615.
- money to be brought into surrogate's office for distribution, 615.
- expenses of sale, 615-16.
- executor or administrator entitled to \$2 per day for time actually occupied, 616.
- to be allowed his expenses, 616.
- fee to lawyer, 616-17.
- but no costs where there has not been litigation, 617.
- satisfaction of widow's dower, 616.
- surrogate cannot sell widow's estate in dower where dower has been assigned, 617.
- where dower has been assigned, that part to be sold subject to widow's estate for life, 617.
- manner of calculating gross sum to be received by widow in satisfaction of dower, 618.
- notice of distribution of proceeds, 616, 618 to 620.
- to be published six weeks, 616.
- proceedings on distribution, 618-19.
- debts not presented on application for sale may be proved upon, 619.
- judgment creditor or mortgagee cannot prove his debt upon, 619.
- remedy of, 619.
- where creditor has other security, 620.
- equitable as well as legal demands may be proved, 620.
- claim for mesne profits, 620.
- distinction between legal and equitable assets no longer prevails, 620.
- disposition of the surplus, 620-1.
- surplus after payment of debts to be distributed among heirs and devisees, 621.
- securities taken on sale, 621.
- surrogate to distribute moneys due thereon, among creditors, 621.
- securities to satisfy dower, 621.
- surrogate to collect interest and pay to person entitled, 621.
- after her death, principal to be distributed among creditors, 621.
- surplus to be distributed among heirs 621.
- investment of surplus belonging to minor, 621.
- or to person having a temporary interest, 621.
- proceedings to mortgage, lease or sell the real estate of the deceased on the application of a creditor*, 621 to 627.
- executor or administrator cannot object that the three years has expired, 622.
- if he has not accounted, creditors must compel him to account, 622.
- all must account, 622.
- petition of creditor, 624.
- order that executor or administrator show cause, 622, 624-5.
- appointment of guardian for minors interested, 624-5.
- order that parties in interest show cause, 625-6.
- order that executor or administrator mortgage, lease or sell, 626.
- sales of real estate by, under a devise of power, 627 to 630.
- of interest of deceased in contract for purchase of land, 630 to 632.
- revocation of probate on allegations, 638 to 642.
- removal of executor incompetent, 642 to 646.
- where his circumstances have become precarious, 642 to 647.
- compelling security, 642-3, 646-7.
- liability of, for costs in suits in courts other than the Surrogate's Courts, 654 to 671.

**EXEMPLIFICATIONS**

of copies, and records of wills, when evidence, 201.

**EXEMPTION OF PROPERTY FROM APPRAISEMENT.**

See title *Inventory*.

whether the statutes create a limitation upon bequests? 49.

provisions of statute, 270-1.

rights of widow and minor children, 273 to 276.

duties of appraisers as to statement of, in inventory, 273, 276-7.



**EXEMPTION OF PROPERTY FROM APPRAISEMENT—continued**

- appraiser's discretion applies to selection of articles, not to amount, 273-4, 276-7.
- cannot exceed amount fixed by statute, 276-7.
- applies to estate of non-resident, or foreign decedent, 275.

**Father,**

- his rights to the grant of administration to his son, 229, 234-5.
- relations by his side and by mother's, are in equal degree of kindred and equally entitled to administration, 232.
- his right to the residue of his son's effects, 557, 566.
- to share with his widow, 557, 566.
- only can appoint testamentary guardian, 674.
- but whether, under the act "concerning the rights and liabilities of husband and wife," passed 1860, mother has not the power, 674.

**FEEES OF SURROGATES.**

- surrogates to keep a book of, 32, 41.
- surrogate of New York to keep an exact account of, 32, 41.
- what fees to be charged, 40.
- to be accounted for and paid over to county treasurer, 40.
- on demand of party, to be taxed, 43, 653-4.
- unless prepaid, services not to be performed, 40.
- to be accounted for and paid over, whether act pertains to business of office or not, 40.
- in certain counties how to be applied, 41.
- in county of New York, provisions in regard to, 41.
- accounts of, how made, when and to whom delivered, and how verified in the city of New York, 41, 42.
- surrogate in the county of New York, receiving to his own use, or neglecting to account for, guilty of a misdemeanor, 42.
- how punished for such misdemeanor, 42.
- to be charged for services of assistants of surrogates of New York, 42.
- surrogate of New York to account for all fees received by assistants, 42.
- surrogates to transmit yearly, between 1st and 20th January, an account of all fees, &c., to secretary of state, 43.
- surrogates not to charge, where the value of goods do not exceed fifty dollars, 43.
- for travel, on taking testimony of aged, sick or infirm witness, on proving will, 166, note (j).

**FEEES OF WITNESSES,**

- on proving will, 150.

**FEEES OR PERQUISITES,**

- no judicial officer, except justices of the peace, to receive any to his own use, 19, 40.
- of surrogate of the county of New York to pay salary of surrogate and assistants, 21.

**FINAL SETTLEMENT OF THE ACCOUNTS OF EXECUTORS AND ADMINISTRATORS, 483 to 486, 499 to 585.**

See title *Accounting by Executors and Administrators in the Surrogate's Court*.

**FIRST JUDGE,**

- in certain cases to be vested with the power and authority of the surrogate, 45.
- in vacancy of the office of surrogate, to act as surrogate, 45.
- to possess the powers and authority of surrogate, when acting as such, 45.
- when to use seal of Court of Common Pleas in executing duties of surrogate, and to deposit papers with county clerk, 45.
- when to use seal of Surrogate's Court, and file papers in surrogate's office, while acting as surrogate, 45-6.
- when precluded from acting, 46.
- to record wills, &c., in books kept by surrogate, 46.
- what is meant by, in city of New York, 46.
- in other counties, 46.

**FIXTURES,**

- as between executor and heir, things annexed to the freehold for purposes of trade and manufacture, and not fixed to wall of the house so as to be essential to its support, not to be deemed, but to go to executor, 269-70, 272-3.
- things annexed otherwise, not to go to executor, 269-70.
- heir stripped of machinery fixed in ordinary way to mill or manufactory, 272-3.
- pump and pipe, balances and scales, *prima facie* personal property, 273.

**FOREIGNERS.** See title *Non-inhabitants*.

letters testamentary of the wills of, 223 to 226.

**FRAUD.**

wills set aside on the ground of, 183.

must be in Surrogate's Court; Court of Equity has not jurisdiction, 183.

**FRAUDULENT SALES OR TRANSFERS OF PROPERTY BY THE DECEASED.**

right of executor or administrator to disaffirm, 257.

formerly executor or administrator could not set up fraud, 257, 292.

alteration of the law by the Revised Statutes, 257.

act to declare and extend the powers of executors and administrators, 258, 293.

intent and meaning of the act, 258, 293.

executor or administrator, on behalf of creditors, may treat as void, 292 to 294.

**FUNERAL,**

expenses of, executor may defray, before grant of letters testamentary, 253, 313.

allowed out of the estate in preference to all other claims, 313.

executor or administrator, on accounting, to produce vouchers for, 313, 482.

what allowable to executor, as against creditors, 313, 540.

as against legatees, 313.

• head-stone may be considered as a part of, where rights of creditors not involved, 313, 445.

liability of executor for, when he has given no directions for the burial, 313-14.

expenses of removing remains to another place, 540.

directions to executor to erect a monument at testator's grave, 313, 445.

**FUTURE ESTATE,**

definition of, 397.

when it may be termed a remainder, 397.

vested or contingent, 397.

when void, 397.

two or more future estates, 398.

not void on ground of probability or improbability of contingency, 398.

when posthumous children take, 398.

when defeated by birth of posthumous child, 398.

**Gambler.**

disqualified from being executor by reason of improvidence, 215.

disqualified from being administrator by reason of improvidence, 241.

may be removed from trust as executor, 646.

**GOOD WILL,**

of trade, when assets, 526-7.

of partnership business does not survive, but must be disposed of, and accounted for, 527.

**GRANDCHILDREN,**

right of, to administration, 227.

entitled to administration next after children, in preference to father, brothers or sisters of the intestate, 234-5.

inaccuracy in the statute in this particular, 234-5.

who entitled to legacy under description of, 413-14.

**GRANDFATHER AND GRANDMOTHER,**

preferred in degree of kindred to uncle, 235, 567.

brothers and sisters of intestate entitled to administration in preference to, 235.

postponed in distribution to brothers and sisters, 566.

by the father's side, and grandmother by the mother's side, 567.

**GREAT-GRANDFATHER OR GREAT-GRANDMOTHER.**

share with uncles and aunts, 567.

**GUARANTY.**

executor not liable upon, for advances made after testator's death, 332.

**GUARDIANS FOR MINORS.**

surrogates authorized to allow of, by act of 1802, 4, 675.

have power to appoint, remove, direct and control conduct of, settle their accounts, &c., 24.

to compel former guardian to account, and to decree time when person to whom, and manner in which balance in hand shall be paid, 25-6.

**GUARDIANS FOR MINORS—continued**

- have no power to remove or accept the resignation of, except in the cases specified in statute, 26.
- to appoint guardian in place of one appointed by Court of Chancery, 24.
- have the same power possessed by the late Court of Chancery, 25.
- may enjoin such as have been cited to show cause why they should not be removed, 29, 701.
- have power to attach and commit, for not paying over money belonging to ward, 37-8.
- execution may issue against, when certificate of surrogate's decree for payment of money against, filed with county clerk, 29.
- certificate filed, a lien upon lands of, 29.
- execution returned unsatisfied, surrogate may assign bond of, 30.
- when appointed by surrogate, same surrogate to have jurisdiction of all matters relating to, 39.
- surrogate may appoint, although person appointed is his relative, 44.
- cannot act as attorney or counsel for or against, in any civil action, over whom or whose accounts he has jurisdiction, 48.
- testamentary named in will to be proved, not guardian for purpose of proof of will, 149, 152.

See title *Testamentary Guardians*.

- if minor heir or next of kin has no general guardian, surrogate to appoint special guardian to take care of interests of such minors on proof of will, 149, 152.

See title *Special Guardians*.

- appointment of guardians for minors interested on final settlement of accounts of executors or administrators, 506-7.
- appointment of guardians for minors interested on proceedings to mortgage, lease or sell real estate, 593 to 595.

See title *Special Guardians*.

- appointment of guardians for minor legatees on proceedings by allegations to revoke probate of a will, 639, 641.

See title *Special Guardians*.

- where person entitled to administration is a minor, administration to go to his guardian, 229-30, 245.
- where appointment of, as guardian, invalid, subsequent appointment as administrator erroneous, 245, 686.
- three kinds at common law, description of, 671-2.
- proceedings before surrogate for the appointment of general guardian for minor over fourteen years, 673 to 679.
- for minor under fourteen years, 673 to 678.
- general guardian,
  - when entitled to receive legacy of minor, 438, 460-1.

See title *Payment of Legacies*.

- when entitled to receive distributive share belonging to minor, his ward, 559, 575.
- to act as guardian for minor, in proceedings to mortgage, lease or sell real estate, 593.
- power and authority of, 683 to 687.
- duties and liabilities of, 687 to 690.
- of their accounts, 690 to 693.
- his duty in respect to accounting, 693 to 698.
- commissions to, 691.
- authority of surrogate to compel him to account, 693.
- proceedings on accounting, 693-4.
- accounting with ward, after he has attained full age, 694 to 697.
- removal of, by proceedings before surrogates, 698 to 703.
- appointed on false allegations may be removed, 701.

**Half-blood.**

- kindred of, entitled to administration as well as the whole, but whole blood preferred,
- entitled in distribution equally with those of whole blood, 557, 567-8.

**HEALTH OFFICER.**

- his duty in regard to property of a deceased person of which the public administrator in the city of New York is authorized to take charge at Quarantine, 709.

**HEIR AT LAW.** See title *Heirs*.

cannot require executor or administrator to account, 487.

**HEIRS.**

surrogate has not jurisdiction to inquire into rights of, to property in hands of administrator, 26.

names and places of residence of, to be ascertained on application to prove will of real estate, 149, 151.

citation to prove will of real estate to be directed to, and served upon, 149, 151, 153 to 157.

as between heirs and executor, what to be deemed fixtures, 270, 272.

See titles *Fixtures*. *Inventory*.

judgment against, bar to suit against executor or administrator, 350.

minor, proceedings to appoint special guardian for, on application to mortgage, lease, or sell real estate for payment of debts, 593-4, 624.

in proceedings to mortgage, lease, or sell the real estate of the deceased for the payment of his debts, 593 to 595.

order to show cause to be served upon, 597.

what he may show on hearing, 597 to 603.

may set up statute of limitations, 599.

may show that personal estate has not been applied to payment of debts, 601.

if objection of a portion of, successful, entire claim rejected, 602.

to show that money can be raised by mortgage or lease, 604-5.

where proceeds of sale sufficient to pay debts, heirs exonerated, 615.

when entitled to surplus, 621.

**HUSBAND AND WIFE.****HUSBAND,**

consent of, in writing, necessary to entitle wife to letters testamentary, 214, 216.

giving such consent, responsible for her acts jointly with her, 214, 216.

marriage after grant of letters to executrix, consent not necessary, 216.

power of husband of married woman, executrix, substantially that of executor, 216.

where married woman executrix and husband partner of testator, husband bound to render co-partnership account, 216.

his right to be his wife's administrator, 229-30, 236-7.

act for the more effectual protection of the property of married women, does not repeal or affect his right to administration of her property, where she dies intestate, 236-7.

where wife entitled to administration, letters to be granted to husband in her right, 230.

liability of husband or his executor for debts of wife, 229, 330-31.

before marriage, 330.

during marriage, 331.

must be joined as defendant where wife sued as executrix, 361.

his liability for devastavit of wife, executrix, 214, 216, 525.

See title *Devastavit*.

absolutely entitled to personal property of wife dying intestate, 558-9, 573 to 575.

notwithstanding act for the more effectual protection of the property of married women, 573-4.

where administration granted to any person other than her husband, surplus goes to him, 559, 574.

**WIFE.** See title *Widow*.

capacity of wife to make a will, 62 to 64.

formerly could not devise lands, 54, 62.

previous to Revised Statutes, could bequeath personal property with her husband's consent, 63.

under Revised Statutes, prohibited from making will of personal property, 54, 62.

might make will under a power, 62, note (c).

act for more effectual protection of property of married women repeals restrictions upon power of, to make wills, 63-4.

removes personal disability, and power given to devise probably not limited to subsequently acquired property, 64.

operation or effect of will not to be considered on the probate, 64.

will of, whether revoked by subsequent marriage, 138.

competent to serve as executrix, 214, 216.

not entitled to letters testamentary, unless husband consent in writing, 214, 216.

consent not necessary, where marriage after grant of letters to executrix, 216.

**HUSBAND AND WIFE—continued.***capacity of wife to make a will—continued.*

dying intestate, administration of her goods, &c., belongs to her husband, 229-30, 236-7, 330-31.

not entitled to administration, 230.

where entitled to administration, letters to be granted to her husband in her right, 230.

separate estate of, in the hands of her personal representatives, liable for her debts before marriage, 331.

she cannot be sued as executrix without her husband, 361.

may be legatee of her husband, 400-401.

who entitled as legatee under description of, 414.

formerly, legacy to, must be paid to her husband, 462.

her equity for a support, 473.

now entitled to receive legacy, 463.

where legacy took effect previous to act for the protection of property of married women, 463.

her husband's liability for her devastavits, 525.

her right as to guardianship of her children, 674.

**Idiots,**

cannot devise real estate, 54.

definition of idiotcy, 54.

Supreme Court to have the care and custody of, 54.

those who have a glimmering of reason not, 54.

people of mean understanding may make a will, 55.

mind and memory to understand situation and value of property, and condition of relations, 57.

rule in cases of lunacy not to be applied to, 176.

**ILLEGITIMATE INTESTATE.**

who entitled to administration of the goods, &c., of, 229.

who entitled to inherit personal property of, 557, 569.

**IMPROVIDENCE,**

ground of exclusion from executorship, 214-15.

administration, 230.

description of, which shall exclude, 214-15, 240-41.

gambler disqualified by reason of, 215, 241.

**INDIANS.** See title *Seneca Indians*.**INFAMOUS CRIME,**

person convicted of, incompetent to serve as executor, 214-15.

to serve as administrator, 230.

**INFANT.** See title *Minors*.

may not devise real estate, 54.

male of 18, and female unmarried of 16, may give or bequeath personal estate, 54, 61.

formerly male of 14 and female of 12, 61.

mode of computing age for testamentary or other purposes, 61.

heir or next of kin, special guardian to be appointed for, on proving will, 149, 152-3, 156.

not competent to serve as executor, 214.

administrator, 230.

legacy to, 438, 460, 462.

See title *Payment of Legacies*.

general rule that payment to father or any other person is bad, 460.

of less than \$50 to, may be paid to father, 438, 460.

if of \$50 or more, general guardian entitled to receive under direction of surrogate, and on giving security, 438, 460-1.

proceedings to obtain directions of surrogate, 460-1.

guardian appointed in another State not entitled to receive, 461.

when surrogate to receive and invest, 438, 461.

recovery of, by action at law, 439-40, 461-2.

where legacy to trustee for infant, payment to trustee good, 462.

distributive share belonging to, 439-40, 461-2, 559, 575.

recovery of by action at law, 439-40, 461-2.

proceedings as to infant parties on final settlement of accounts of executors and administrators, 506-7, 559, 575.



**INJUNCTION.** See ORDER ENJOINING EXECUTOR, ADMINISTRATOR OR GUARDIAN.

surrogate may make order enjoining executor, administrator or guardian, whenever he makes citation requiring him to show cause, &c., 29, 643-4, 701-2.

**INSANITY.** See titles *Lunatic.* *Weakness.*

what is, 57.

sanity presumed until contrary appears, 175.

partial insanity, 59.

delusions, 58.

eccentric habits, belief in witchcraft, &c., 58.

onus of proof on party asserting it, 57, 175.

will made by person insane, 58-9.

does not become valid, though testator recover his mind, 57.

during lucid interval, 58, 175-6.

transfer in such case of *onus probandi*, 58.

what is proof of lucid interval, 58-9.

act of making rational will, 59.

will not revoked by subsequent insanity, 58.

rule in respect to, not to be applied to idiots and imbeciles, 176.

where executor or administrator has become insane, 248.

**INTEREST.**

upon legacies, 463 to 467.

on specific legacies, 463-4.

on general legacies, 464.

where no time for payment is fixed, 464.

interest from end of the year, 464-5.

legacy carries interest from a year from the death, although not payable until a year from grant of letters testamentary, 465.

on annuities, 465.

on annuities payable in quarterly payments, 465.

on legacies for life, 466.

on general legacies where time for payment is fixed, 466.

legacies to children of testator, 466.

where it is the intention that the legatee shall be maintained thereout, 466.

legacy given with interest, 466.

rate of interest, 466.

to be computed on principal of legacy only, 466.

legacy to executor will not carry, 466.

in what cases executor to be charged with, in his accounts, 529 to 534.

when guilty of negligence in omitting to lay out money, 529.

not ordinarily chargeable for a year after grant of letters, 529.

but where he employs funds, must account for interest, 529.

reason why chargeable with interest, 529.

when computation of compound interest may be resorted to, 530.

when he mixes trust funds with his own private money, 531-2.

receipt for legacy without interest will not preclude claim for, 533.

at what rate interest to be charged, 533.

allowance of, to executor, for money advanced by him, 534.

charge of, viewed with caution, 534.

**INVENTORY,**

first duty of executor, administrator or special administrator to make, 267.

ancient ecclesiastical law respecting, 267.

to be made by executor or administrator with the aid of appraisers, 268.

two appraisers to be appointed by surrogate, 268.

how appointed, 268.

See title *Appraisers.*

notice of appraisement, 268-9.

on whom to be served and how posted, 268-9.

oath of appraisers, 268.

manner of taking, 269 to 278.

property to be deemed assets and to be included in, 269-70, 272-3.

See title *Assets.*

leases, 270.

interest in a term of years, 270.

in a term of one year, and for an indefinite period thereafter, 273.

INVENTORY—*continued.*

- interest in lands devised to pay debts, 270.
  - pew in a church, 273.
- things annexed to freehold for purpose of trade or manufacture, and not fixed in wall so as to be essential to support, 270, 272-3.
  - See title *Fixtures*.
- rights of heir to machinery, 272-3.
- pump and pipe, balances and scales, 273.
- crops growing, 270.
- grass growing and fruit not gathered, not assets, 273.
- produce raised annually, except grass growing and fruit not gathered, 270, 273.
- rent reserved accrued at death, 270.
- debts and evidences of debts, money and stocks, 270, 277.
  - particulars of securities, 271, 277.
- goods, wares, merchandise, cattle, &c., and other property not expressly excepted, 270.
- surplus on sale on foreclosure of mortgage in the lifetime of decedent, 277.
- interest of deceased in partnership, 277.
  - surplus after payment of debts of firm only assets, 277-8.
- all personal property without reference to situation or destination, 278.
- parcels sealed up, 278, 468.
- all personal property deceased died possessed of, not subsequent profits of business, 278.
  - nor property in another state or country, 278.
    - but if such property afterward come into executor's hands, further inventory to be filed, 278.
- debt due deceased by executor, 271, 278, 433-4.
- debt discharged or bequeathed by will, 271, 433.
- how far evidence for or against executor or administrator, 287, 350.
- property exempt from appraisement for the benefit of widow, or widow and minor children, 270 to 273, 277.
- spinning wheels, stove, &c., 270.
- family bible, pictures, school books, &c., 270.
- sheep, cow, swine, &c., 270.
- wearing apparel, widow's clothes and her ornaments, table, chairs, crockery, &c., 270.
- necessary household furniture, provisions or other personal property in the discretion of the appraisers, to the value of not exceeding \$150, to be set apart for use of widow, &c., in addition to articles enumerated, 270-71, 273 to 277.
- to be carefully described so that articles may be easily identified, 273.
- exemption applies to estate of non-resident or foreign decedent, 275.
- discretion of appraisers as to the additional articles not exceeding \$150, applies only to a selection of the articles, not to the amount, 273, 276-7.
- may set apart a portion in furniture or other articles, and a portion in money, 273-4.
- executor or administrator entitled to take exempt property into his possession in order that he may inventory it, 276.
- disposition of exempt property, 270-1, 275-6.
- omission to set apart articles as exempt, 276-7.
- where appraisers set apart additional articles exceeding in value \$150, 276-7.
- compensation of appraisers, 268, 279.
- oath to, 279.
- duplicate to be made, 278-9.
- to be returned within three months from date of letters, 279-80.
- time to return may be extended four months by surrogate, 280.
- of proceedings to compel executor or administrator to return an inventory, 279 to 287.
- surrogate to issue summons, 280, 282.
  - attachment for disobedience of the summons, 280, 283 to 286.
    - when he may revoke letters of executor or administrator for not returning, 280, 286, 637.
    - assign bond, 280, 286.
  - executor committed for not returning, when he may be discharged, 280, 286-7.
  - who may apply for summons, 280-1.
    - petition for, 281-2.
    - return of summons, 282-3.
  - proceedings must be taken within a reasonable time, 283-4.
  - one or more of several executors or administrators omitting to return, delinquent prohibited from interfering with administration, &c., until he return, 287.
  - of returning further inventories, 287.

**INVENTORY—continued.**

- how far evidence for or against executor or administrator, 287, 350.
- how far executor or administrator warranted in selling personal property at the appraised values set down in the inventory, 287.
- to be taken by executor of articles not necessarily consumed in using, bequeathed to legatee for life, 452.

**INVESTMENTS BY EXECUTORS AND ADMINISTRATORS.**

- good, although without decree, where upon real security, 521.
- where upon personal security, executor personally liable for loss, 521-2.
- mortgages on real estate or stocks of this state or of the United States, or under rules of Supreme Court, loans to New York Life Insurance and Trust Company, the only safe investments, 521.
- where will directs fund to be laid out in personal or real securities, 522.
- in loans on real estate, mortgage must be to more than from half to two-thirds value of property mortgaged, 522.

**Jefferson—county of,**

- local officer to be elected in, to discharge duties of county judge and surrogate, 13.
- time of election and term of office of, 13.
- when to be designated special county judge and when special surrogate, 17.
- to possess powers of, and to continue proceedings commenced before county judge, 17.
- to be allowed compensation by board of supervisors, 19, 40.
- to discharge duties of county judge and surrogate in case of vacancy, 39.
- fees of, to be paid over to county treasurer, 41.

**JUDGMENT,**

- obtained by deceased in his lifetime, 299 to 301.
  - enforcement of, 299 to 301.
    - writ of *scire facias*, 299.
    - abolished by Code, 300.
    - action under the Code, 300-1.
- against the deceased in his lifetime, 312, 315 to 318.
  - entitled to preference in payment of debts, 312, 315.
  - where record of judgment docketed within a year after his death, 312, 340.
  - where death after judgment, but before execution, 340-1.
  - could, under Revised Statutes, be received by *scire facias*, 341.
    - remedy upon, under the Code, 341-2.
    - provisions of Code supersede remedy by *scire facias*, 342.
  - proceedings under Code to enforce judgment, 342-3.
    - must be taken within a year after appointment of executor or administrator, 343.
    - not an action, 343.
    - execution upon such proceedings to be regulated by provisions of Revised Statutes, 343-4.
  - proceeding to enforce under the act of April 10, 1850, "to provide for the enforcement of judgment liens," &c., 342, 344.
    - judgment creditor need not wait for letters testamentary or of administration, 344.
    - application on affidavit, 344.
    - order, 344.
  - claim upon, must be presented to the executor or administrator under the notice for the exhibition of claims, 317, 392-3.
  - against executor or administrator, 349, 367 to 379.
    - where there are several executors defendants, 349.
    - judgment, how rendered, 342.
      - no evidence of admission of assets against one not served, 349.
    - judgment for set-off in suit by executor or administrator, 366-7.
    - effect of, 366.
    - of enforcing judgment against executor or administrator after trial at law upon the merits, 367 to 371. See title *Executors and Administrators*.
    - of enforcing judgment against executor or administrator obtained otherwise than after trial upon the merits, 371 to 379. See title *Executors and Administrators*.
    - against executor does not bind real estate of deceased, 586-7.
      - effect of, as evidence in proceedings to mortgage, lease or sell real estate for payment of debts, 599.

**JURISDICTION,**

- when acquired by Surrogate's Court, same exclusive of all other surrogates, 39.
- when guardian appointed, same surrogate has jurisdiction of all matters in relation to, 39.
- surrogate who issues letters testamentary or of administration has jurisdiction of all matters relating to same estate, 267.
- what surrogate has to take proof of will, 147.
- See title *Wills. Proving Wills. Surrogate's Court.*
- of surrogate as to proof of wills of non-inhabitants, 147, 192-3.
- as to administration, *situs* of personal property regulates, 194.
- of Supreme Court, to take proof of wills in certain cases, 195.
- to issue letters testamentary or of administration with the will annexed, and over executors or administrators, belongs to surrogate taking proof of will of personal property, 212.
- to grant letters of administration, 227.
- of surrogate to grant administration of goods, &c., of intestate non-inhabitant, 227.
  - in cases not provided for by statute, 228.
- of the laws of this state respecting administration, does not extend to private property of Seneca Indians, 228.
- of surrogate to try validity of alleged claim against the deceased, in proceedings before him, against any executor or administrator, to compel payment of the claim, 377, 552 to 554.
  - to settle and determine questions concerning any debt, &c., on final settlement of the accounts of an executor or administrator, 485, 543 to 554.
- of surrogate with respect to title to property acquired by collector before appointment, 583.
- of courts of equity with respect to accounts of executors and administrators, 583 to 585.

**JURY,**

- question of fact arising in proceedings before surrogate for the mortgage, lease or sale of real estate for the payment of debts, to be tried by, 602.

**JUSTICES OF THE PEACE,**

- have not cognizance of actions against executors and administrators, 351.
- nor District Courts of the city of New York, 351.
- nor Marine Court, 351.

**Lapsed legacies, 421 to 429.**

- lapse by death of legatee *before* testator's, 421.
  - general rule of lapse, unless legatee survives testator, 421-2.
  - where legacy is given to the legatee *and his executors, &c.*, 422.
    - payable at the end of a year after testator's death, 422.
- no lapse by death of legatee in trust, 422.
- rule controlled by manifest intention, 422.
  - where there is a gift over, 422.
  - legacy to a man or his personal representatives, 423.
- legacies given to joint tenants, 423.
  - tenants in common, 423.
  - in a class, 423.
- by statute, legacy to a child or other descendant of the testator does not lapse, 423-4.
  - statute extends only to lineal descendants, 424.
  - legacy to a sister's child not saved by the statute, 424.
- lapse by death of legatee *after* testator's, 424-5.
  - when no period of payment is specified, 424.
    - if legatee die within a year from the granting of the letters testamentary, no lapse, 424.
  - when a future time for payment is specified, 424-5.
    - legacy vested or contingent, 424-5.
      - rule 1, when the bequest is immediate, and payment alone postponed, vested, 424-5.
        - legacy "*to be paid*" at twenty-one, 425.
      - rule 2, a legacy given "at," "if," "when," "in case of," "provided" the legatee attains twenty-one, contingent, 425.
- lapse of legacies payable out of real estate, 425-6.
- where legacy made up partly of personal estate and partly of money charged on land, 425.

**LAPSED LEGACIES—continued.**

when residuary legatee entitled to, 426.

**LEASES,**

collection of rent due upon by executors and administrators, 291-2.

executor or administrator may recover proportion of rent due from lessee of tenant for life, at death of tenant for life, 291.

surrogate may give preference to payment of rent due or accruing upon, 312, 318, 547.

liability of executor or administrator for rent due upon, or upon covenants in, 325, 326.

**LEGACY.** See titles *Bequest. Legatee.*

surrogate has power to enforce payment of, 24.

has power to enforce payment of, by attachment, 28, 37-8.

power of bequeathing coeval with first rudiments of English law, 49.

See title *Bequests.*

who may bequeath personal property, 54.

See title *Wills of Personal Property.*

to subscribing witness void, in case will cannot be proved without his testimony, 119, 162 to 164, 172, 399, 400.

share of the estate saved to him, to which he would be entitled if will not proved, 119, 399.

executor cannot pay nor advance on account of, before grant of letters testamentary, 254.

specific, pledged or charged by testator, legatee entitled to have it exonerated, 330.

action for work done in expectation of, 331-2.

definition of, 396.

to charitable uses, 401 to 404.

See title *Charitable Uses.*

construction of, 404 to 411.

See title *Construction.*

modes of, description of legatee, 411 to 415.

See title *Legatee.*

who are entitled under description of—1. "children;" 2. "grand children;" 3. "wife;" 4. "nephews and nieces," 411 to 415.

mistakes in the names or description of legatees, 415 to 418.

specific legacies, 418 to 421.

See title *Specific Legacies.*

payment or delivery of, 467-8.

of the description of the things bequeathed, 421.

vested or contingent, 421 to 429.

See title *Lapsed Legacies.*

legacies lapsed by the death of the legatee after the death of the testator, 424.

See title *Lapsed Legacies.*

lapse of legacies payable out of the real estate, 425-6.

payable in *futuro*, 424.

legacies on condition, 426 to 429.

See title *Conditional Legacies.*

to executors, whether they can take without accepting the office, 427-8.

where legacy given in express terms for care and trouble, 428-9.

cumulative legacies, 429-30.

See title *Cumulative Legacies.*

satisfaction of debts by legacies, 430-1.

See title *Satisfaction.*

release of debts, &c., by legacies, 431 to 433.

legacy by a creditor to his debtor, 431.

evidence expressive of intention to release required, 431-2.

recital binds legatee, 432.

executor may retain legacy to satisfy debt, 432.

debt to be deducted from legacy, 432.

debt released or discharged to be included in inventory, 271, 278, 433.

due from executor to deceased, not discharged, 271, 278, 433.

to be inventoried, 271, 278, 433.

ademption of, 434 to 436.

See title *Ademption.*

payment of, 436 to 468.

See title *Payment of Legacies.*

abatement of, 438, 443, 445.

See title *Abatement.*



**LEGACY—continued.**

to widow in lieu of dower, contribution from, to make up share of post-testamentary child, 444.

assent to, by executor, 445 to 447.

See title *Assent*.

at what time legacies are to be paid, 447 to 450.

bequests for life, remainder over, 450 to 453.

compelling payment of in Surrogate's Courts, 453 to 460.

to whom legacies are to be paid, 460 to 463.

interest upon, 463 to 467.

See title *Interest*.

payment or delivery of specific legacies, 467-8.

doctrine of election as to legacies, 468 to 473.

refunding of, 473-4.

See title *Refunding*.

under Revised Statutes, case can hardly arise for refunding legacy to executor, 473.

when legatee liable to refund to creditor, 474.

liability of co-legatees, 474.

to refund to make up deficiency of another legatee, 474.

for life, remainder over, 450 to 453.

See title *Tenant for Life*.

residuum, 474 to 480.

See title *Residue*.

not chargeable with commissions, 536-7.

commissions not chargeable upon legacy to executor in trust, 537.

to executor as compensation for his services, 539.

election of executor to take, instead of commissions, 483, 539.

under will of person domiciled abroad, 571.

**LEGATEE. See title *Legacy*.**

when subscribing witness to will, legacy void, in case will cannot be proved without his testimony, 119, 162 to 164, 399, 400.

share of the estate to which he would be entitled if will not proved, saved to him, 119, 399.

writing will, 122-3.

may apply to surrogate to have will proved, 149.

may file affidavit of intention to file objections, and objections against grant of letters testamentary to person named as executor, 216-17.

may compel person named as executor, neglecting to qualify for thirty days after proof of will, to qualify or be deemed to have renounced, 220 to 222.

or other person interested in estate desiring to realize legacy or interest, where executor declines to act, must prove will, and then take proceedings to compel executor to qualify or be deemed to have renounced, 221-2.

residing in the county, entitled to notice of appraisement, 268.

who is capable of being, 399, 400.

subscribing witness to will, where will can be proved without his testimony, and next of kin do not contest will, nor require that he shall be examined, may be a legatee, 119, 162 to 164, 399, 400.

alien friend, 400.

wife of testator, 400.

**MODE OF DESCRIPTION OF, 411 to 415.**

who entitled under description of "children," 411 to 413.

"children" in a class, 411.

child in *ventre sa mere*, when it will include natural children, 411-12.

grandchildren not included, 412.

natural children, 412-13.

"grandchildren," 413.

grandchild by marriage, 414.

who entitled under that of "wife," 414.

"nephews and nieces," 414-15.

**MISTAKES IN NAMES OR DESCRIPTIONS OF LEGATEES, 415 to 418.**

rectified by context, 415.

error in description obviated by certainty in the name, 415-16.

rectified by parol evidence, 416.

**LEGATEE—continued.****SPECIFIC**—See title *Specific Legacy*.

when entitled to increase of legacy, 463-4.

indigent, when and how he may proceed to obtain payment of his legacy before the expiration of the year, 439, 442-3.

See title *Payment of Legacies*.

payment of, when there is a deficiency, 436-7, 445.

residuary, 474 to 480.

See title *Residuary Legatee*.

may apply to surrogate to compel executor or administrator to account, 481.

under will of person dying, domiciled abroad, 572.

**LETTERS OF ADMINISTRATION.**

surrogate has power to grant, 24.

to record in book, 31, 191.

to enter in book testimony taken in relation to granting or revocation of, 31, 191.

of the goods, &amp;c., of intestate residents of county of surrogate, 227.

non-inhabitant dying in county of surrogate, leaving assets therein, 227.

dying out of the state, leaving assets in the county of surrogate and in no other, 227.

dying out of the state, not leaving assets therein, but assets afterwards come into county of surrogate, 227.

dying out of the state, leaving assets in several counties, 227.

cases unprovided for by statute, 228.

what shall constitute assets to give surrogate jurisdiction to grant, 228.

loan of article of little or no value not sufficient, 228.

nor debts due deceased, 228.

private property of Seneca Indians not within jurisdiction of laws respecting, 228.

to whom to be granted, 229-30.

persons to whom they may not be granted, 230, 240 to 242.

See title *Administrator*.

of the goods, &amp;c., of non-inhabitants dying, leaving assets in this state, 229, 239-40.

unless relative apply, administrator appointed in another state of the United States, has preference over creditors or other persons, except public administrator in the city of New York, 230, 239-40.

practice in the office of surrogate of county of New York, 240.

proceedings on taking out, 247.

proof required by surrogate, 242, 245-6.

petition to surrogate embodying matters required to be inquired into, 243.

where married woman entitled, husband should join in, 243.

must be under oath, 243.

where there are persons having prior right to person applying, 242, 244-5.

petition in such case, 243-4.

citation, 244.

consent of person entitled to administration to have another person joined in the administration, 230, 244.

surrogate cannot grant to a person not entitled on the petition of one entitled, 244.

persons having prior claim must be cited or renounce, 244.

where minor, having no general guardian, has prior right to person applying, 245.

citation, 245.

special guardian on hearing, 245, 506-7.

official bond of the administrator, 242, 246.

must be acknowledged or proved, 246.

one only sufficient, although there are several administrators, 246.

form of, 247.

with the will annexed, 247 to 250.

See title *Letters of Administration with the Will annexed*.**DE BONIS NON,**

where necessary, 247-8.

when sole administrator, or all of several die, become lunatic, &amp;c., 247-8.

where letters of administration revoked, because administrator has not filed inventory, 248-9, 280, 286.

special letters of administration, 251 to 253.

what administrator may do before grant of, 253 to 259.

recovery of property taken without authority, before grant of, 256 to 259.

granted to public administrator in the city of New York where property exceeds \$100, 711, 713.

## LETTERS OF ADMINISTRATION WITH THE WILL ANNEXED.

See title *Administration with the Will annexed*.

surrogate, when to issue on will proved in Supreme Court, 196.

jurisdiction to issue belongs to surrogate taking proof of will of personal estate, 212.

when necessary, 247-8.

when all the executors named in will renounce, or, after summons, neglect to qualify, 247-8.

to whom to be granted, 247-8.

effect of, 249.

proceedings on obtaining, 249.

in the case of a foreign will, 250.

form of, 250.

rights, powers and duties of administrator, 250, 396.

his authority over the real estate of the deceased, 634-5.

See title *Administrator with the Will annexed*.

where necessary, 247-8.

where letters testamentary superseded, and no acting executor, 248.

if sole executor, or all of several executors die, become lunatic, &amp;c., 248.

where letters of executor revoked because he has not returned inventory, 248, 280, 286.

form of letters, 250.

## LETTERS OF COLLECTION, 251 to 253.

See title *Special Letters of Administration*.

## LETTERS OF GUARDIANSHIP,

of minor over the age of fourteen years, 675-6, 678.

under the age of fourteen years, 676, 678 to 683.

where superseded on ward attaining age of fourteen, 696.

removal of guardians, 697 to 700.

resignation of guardians, 702-3.

## LETTERS OF SPECIAL ADMINISTRATION.

surrogate to record in book, 31, 191.

when to be issued, 251.

when there is delay in proving will or granting administration, 25.

issuing in discretion of surrogate, 251.

where there is an appeal staying proceedings from a decree admitting a will to probate, 251.

proceedings on issuing, 251-2.

LETTERS TESTAMENTARY. See title *Executor*.

power to grant, before Revolution, resided in colonial governor, 4.

surrogate has power to grant, 24.

cases in which disabled from granting, 44.

to record in book, 31, 191.

to reduce to writing, and enter in book, testimony taken in relation to granting or revoking, 31, 191.

when to issue on will proved in Supreme Court, 195-6.

credentials of executor's authority, 213.

form of, 212 to 219.

jurisdiction to issue, belongs to surrogate taking proof of will of personal property, 212.

and probate, convertible terms, 212.

executor not named in, 213.

where married woman entitled to, not to issue, unless with written consent of husband, 214, 216.

issued to executrix, afterwards marrying, consent not necessary, 216.

where executors qualify at different times, 216.

## TIME WHEN THEY MAY BE GRANTED, OBJECTIONS TO GRANTING SAME, AND DISPOSITION OF OBJECTIONS, 216, 219.

may be granted at any time after proof of will, unless affidavit of intention to file objections filed, 216-17.

who may file affidavit and objections, 217.

affidavit stay of proceedings thirty days, unless objections sooner disposed of, 217.

proceedings on objections, 217-18.

**LETTERS TESTAMENTARY—continued.****TIME WHEN THEY MAY BE GRANTED, ETC.—continued.**

- if circumstances of person named as executor, in opinion of surrogate, precarious, letters not to issue unless on bond required of administrators, 217-18.
- what are precarious circumstances, 217.
- non-resident executor to give bond-like administrator, 219, 226.
- before issuing, executor to take oath, 219.
- form of, 219.
- executor may delay taking, at his pleasure, 220, note (f).
- party interested in estate, by proceedings before surrogate, may compel him to qualify or be deemed to have renounced, 221-2.
- foreign, cannot sue in this state, without taking out letters here, 224.
- may collect bond held in his own right, without letters here, 224.
- assignee of, of chose in action, may sue on in his own name, without probate, where suit may be brought on the claim in name of assignee, 224.
- of wills of personal estate executed by persons residing out of the state, according to laws where executed, 195-6, 225.
- in this state, by non-residents, 196, 225.
- of will of non-inhabitant, leaving assets in this state, 225.
- practice on granting probate, or letters testamentary of the wills of non-inhabitants, or wills made abroad, 225-6.
- executor of non-inhabitant, residing here, may have, without security, 226.
- non-resident must give security, 219, 226.
- what the executor may do, before the grant of, 253 to 256.
- what he might do formerly, 253.
- prohibitory provision of the Revised Statutes, 253.
- he may defray funeral expenses, and provide for storage, &c., of goods, 253.
- is not authorized to commence suits, 253.
- cannot pay legacy, nor advance on account of legacy, 254.
- payment of a debt to him, if he afterwards take probate, good, 254.
- otherwise, if he refuse, 254.
- where the deceased was a non-resident, 256. See title *Non-inhabitant*.
- recovery of property taken before, 256 to 258.
- where letters superseded, administration with will annexed to issue, 248, 280.

**LETTERS TESTAMENTARY, OR OF ADMINISTRATION,**

- surrogate has power to grant, 20.
- to record in book, 31, 191.
- to keep book, in which to be entered testimony taken in relation to granting, or revocation of, 31, 191.
- not to take fees where it appears, by oath of party applying for, that personal estate does not exceed in value fifty dollars, 43.
- cannot grant, where he is interested under will of intestate, or where he is named executor or trustee in will, 44.
- prohibition does not apply, unless objection taken by parties interested at first hearing, 44.
- when issued by first judge, to be recorded in surrogate's books, 46.
- to reduce to writing, and enter in book, testimony taken in relation to granting or revoking, 191.
- when to issue, on will proved in the Supreme Court, 195-6.

**LIMITATIONS.** See title *Statute of Limitations*.**LIMITATIONS OF ACTIONS.** See title *Statute of Limitations*.

- in favor of estates of deceased persons, 297 to 299.
- where limitation not expired at death of testator or intestate, action may be commenced within one year thereafter, 297.
- where action commenced within time limited, and judgment reversed on appeal, 297.
- where plaintiff dies pending action, 297.
- mode of computing time limited for commencement of actions by executors or administrators, 297-8.
- pleading statute under the Code, 298.
- no exception to statute unless expressly mentioned therein, 298.
- from what time statute begins to run, 298-9.
- when the act which gives the cause of action happens after the death of the person to whom the cause of action is intended to be given, 298-9.

**LIMITATION OF ACTIONS—continued.**

against executors and administrators, 345 to 348.

if time limited by statute has not expired at death of decedent, an action may be commenced against executor or administrator, after the expiration of that time, and within one year after the issuing of letters, 345.

eighteen months after death not to be computed, 345.

whether this does not give two years and six months to bring action, 345-6.

no exception to statute can be claimed, unless expressly mentioned in statute, 345.

when cause of action accrued after death, statute does not begin to run until letters granted, 347.

limitation of six months where executor or administrator advertises for claims, 347, 355, 390 to 392.

statute runs notwithstanding claim presented, 347.

short bar applies only where the demand has been presented pursuant to notice, 390.

if creditor present claim before time for advertising has arrived, limitation does not attach, 390-1.

statute applies only where claim disputed or rejected, 391.

what amounts to disputing or rejecting the claim, 391.

what amounts to a refusal to refer, 391.

whether admission of personal representatives of deceased, or of one of several, will take demand out of statute, 348-8.

as respects creditors, executor or administrator must set up statute, 348.

mode of pleading statute before the Code, 364-5.

rule for computing time, 364-5.

statute, one of evidence or computation, 365.

defence of the statute under the Code, 364-5.

in proceedings before surrogate to compel payment of a claim, 377.

Statute of Limitations will run against claim due the executor by the deceased, 394, 511.

heir has a right to set up statute in bar to claims against the real estate, 599, 600.

**LOCAL OFFICERS TO DISCHARGE THE DUTIES OF COUNTY JUDGE AND SURROGATE**

provided for, 10, 11.

election of, 10, 11, 12.

time of election and term of office of, 13.

regulations respecting vacancies in office of, 14.

when acting as surrogates, to perform all the duties and exercise the same powers and jurisdiction as are performed, possessed and exercised by surrogates, 16.

laws relating to surrogates to apply, 16.

have power to take affidavits and proof or acknowledgment of deeds, 16, 17.

in the counties of Orange, Chautauque, Cayuga and St. Lawrence, Tioga, Oneida, Jefferson and Oswego, when to be designated special county judges, and when to be designated special surrogates, 17.

filling the office of surrogate, possess the same powers as a county judge out of court, 17.

to receive such reasonable compensation for their services as board of supervisors may deem proper to allow, 19.

**LUNATIC. See titles *Insanity. Weakness.***

who is to be considered as such, 57.

*onus* of proof on party asserting the lunacy, 57.

delusions, 58.

eccentric habits, belief in witchcraft, &c., 58.

will made by, 58-9.

does not become valid, if testator recovers his mind, 57.

during lucid interval, 58.

transfer, in such case, of *onus probandi*, 58.

what is sufficient proof of lucid interval, 58-9.

act of making rational will, 59.

not revoked by subsequent insanity, 58.

rule in respect to, not to be applied to cases of idiots and imbeciles, 176.

**Marine Court of the city of New York**

has not jurisdiction of actions against executors or administrators, 351.



**MARINER,**

while at sea may make nuncupative will, 65, 125 to 127, 202.

"while at sea," cases coming within this description, 125 to 127.

commander-in-chief of naval force at Jamaica, but living on shore, not a "mariner being at sea," 125.

seaman on shore on leave within the description, 125.

master of coaster at anchor within the capes of the Delaware, 125-6.

cook in a foreign port, 126.

purser on board of man-of-war, 126.

women as well as men employed on board vessel, mariners, 126.

right to make nuncupative will not an unqualified right, will only tolerated where made *in extremis* 126.

but see note (d,) p. 126.

circumstances which must attend the speaking of the words, 127.

proof of nuncupative will, 202-3.

See title *Nuncupative Will*.

**MARRIAGE.** See title *Husband and Wife*.

when to revoke a previous will, 128 to 137.

law in this particular at the present time, 137-8.

modification by the act "for the more effectual protection of the property of married women," 138.

**MARRIED WOMEN.** See titles *Husband and Wife*. *Wife*.

could not, under Revised Statutes, devise real estate, 54, 62.

nor give or bequeath personal estate, 54, 62.

might make will under a power, 62 note (c.)

by act for more effectual protection of property of, empowered to make wills, 63-4.

personal disability removed and power to devise probably not limited to subsequently acquired property, 64.

operation or effect of will of, not to be considered on the probate, 64.

competent to serve as executors, 214, 216.

consent of husband to be given, 214, 216.

where married woman executrix, and husband partner of testator, husband bound to render copartnership account, 216.

See title *Husband and Wife*.

letters of administration cannot be granted to, 230.

where entitled to administer, letters to be granted to her husband in her right, 230.

disposition of the personal property of intestate married women, 573.

her rights as to guardianship of her children, 674.

See titles *Administrator*. *Husband and Wife*.

**MEMORY,**

defect of, unless total, does not disable a person from making a will, 60.

**MENTAL CAPACITY,**

degree of, requisite to the due execution of a will, 55.

**MINOR.** See title *Guardians for Minors*.

heirs or next of kin, special guardian to be appointed for on proving will, 149, 152, 153, 156.

letters of administration cannot be granted to, 230.

where entitled to administration, letters to go to his guardian, 229-30, 245.

proceeding before surrogate on granting administration where minor having no general guardian, has prior right to person applying, 245, 506.

citation, 245.

special guardian on hearing, 245, 506.

legacy to, 438, 460 to 462.

See title *Payment of Legacies*.

when father entitled to receive, 438, 460.

when general guardian entitled to receive, 438, 460-1.

guardian appointed in another State not entitled to receive, 461.

when surrogate to receive and invest, 438, 461.

recovery of, by action at law, 439-40, 461-2.

where legacy to trustee for minor, payment to trustee good, 462.

distributive share belonging to, 439-40, 461-2, 559, 575.

recovery of by action at law, 439-40, 461-2.

interested in final settlement of accounts of executor or administrator, appointment of special guardian for, 506-7.

**MINOR—continued.**

- distributive shares belonging to,
  - paying or securing on final settlement of accounts of administrator, 559, 575.
- interested in proceedings to mortgage, lease or sell real estate, 593-4, 624-5.
- notice of application to appoint guardian for, 593, 624-5.
  - where minor under fourteen, 593, 624-5.
  - where minor has a general guardian, 593.
  - order appointing special guardian, 593-4, 625.
- legatees, appointment of guardian for, on proceedings by allegations against the probate
  - of a will, 639 to 641.
    - where minor has a general guardian, 641
- proceedings before surrogate for the appointment of guardian of, when over the age of fourteen years, 673 to 679.
- under the age of fourteen years, 673 to 678.
- power and authority of general guardian of, 683 to 687.
- duties and liabilities of general guardian of, 687 to 690.
  - their accounts, 690, 693.
- authority of surrogates to compel guardians to account and to settle their accounts, 24 693.
  - proceedings on accounting, 693 to 698.

**MONEY IN BANK.**

- belonging to deceased. how collected, 304.
- surrogate's certificate to draw, 304-5.

**MONOMANIAC.**

- when he may make a valid will, 59.

**MORTGAGE.**

- sale on foreclosure of, in the life of the mortgagor, surplus personal property, and to be included in inventory, 277, 569.
- held by deceased, particulars of, to be stated in inventory, 271 to 277.
- if sale after death of mortgagor, surplus real estate, and goes to heirs, 569.
- where heirs infants, surplus to be distributed as equitable assets, 569.

**MOTHER,**

- right of, to grant of administration of goods, &c., of her son, 234.
  - preferred to brothers and sisters, 234.
    - to distributive share, 556-7, 566.
  - when brothers and sisters shall share with, 234, 556-7, 566.
- advancement from to a child shall not be brought into hotchpot, 563.
- rights of, as to guardianship of her children, 674.

**MUTES,**

- how they make acknowledgments of wills, 79.
  - publication of will by, 83.
  - manner of executing will by, 124.
- See title *Deaf and Dumb*.

**MUTUAL WILL,**

- unknown to testamentary law, 53, 127.

**Nephews and nieces,**

- who can take under description of, in a will, 414.
- their degree as next of kin, 567.
- they shall share in distribution with uncles and aunts, 567.
- when they shall take *per capita*, and when *per stirpes*, 569.

**NEW COUNTY,**

- jurisdiction of surrogate on organization of, 27.

**NEXT OF KIN,**

- names and places of residence of, to be ascertained on application to prove will of personal estate, 149.
  - citation to prove will of personal estate, to be directed to and served upon, 149, 150, 153 to 157.
  - if title disputed, bound to show right to contest will, 159.
- person receiving property of deceased without authority, not liable to, 211.
- such person liable only to executor or administrator when appointed, 211.
- may file affidavit of intention to file objections against grant of letters testamentary to person named as executor, 216 to 219.

**NEXT OF KIN—continued.**

may compel person named as executor, neglecting to qualify for thirty days after proof of will, to qualify or be deemed to have renounced, 220-1.

See titles *Legatee. Executor.*

their right to administration, 229 to 236.

See title *Administration.*

who are next of kin, 236.

to be entitled to share in distribution, requisite to entitle to administration, 230-1 to 235.

definition of consanguinity or kindred, 230-1.

lineal, 231.

collateral, 231.

mode of calculating degrees, 231 to 235.

rights of ascendants, 234.

of the father, 234.

of the mother, 234.

grandfather or grandmother preferred to uncle or aunt, 234.

children preferred to parents, 234-5.

brother preferred to grandfather, 235.

residing in the county entitled to notice of appraisement, 268.

may apply to surrogate to compel executor or administrator to account, 481.

their rights under the Statute of Distributions, 556-7, 562 to 569.

See title *Distribution.*

**NON COMPOS MENTIS,**

definition of, 55.

imports not weakness of understanding, but total deprivation of reason, 55, 176.

cannot make a will, 54-5.

**NON-INHABITANT,**

jurisdiction of surrogate to take proof of will of, 24, 147, 191 to 201.

See titles *Wills. Of the Proving of Wills of Non-inhabitants.*

proving wills of, in the Supreme Court, 195.

alien non-resident not entitled to letters testamentary, 214.

executor non-resident to give bond before entitled to letters testamentary, 219.

letters testamentary of the wills of, 223 to 227.

See titles *Letters Testamentary* and *Executor.*

dying, leaving assets in this state, if no relative apply for administration, person appointed executor or administrator, in any other state of United states, entitled to administration or letters testamentary, in preference to creditors or any other person, except public administrator in the city of New York, 225.

jurisdiction of surrogate to grant administration of goods of, dying intestate, 227.

See title *Letters of Administration.*

letters testamentary of a will, or of administration of the goods, &c., of, granted by tribunal of his domicil, authorize executor or administrator here to take charge of property and collect debts due here; where debtors will pay without suit, 156.

provisions of law relative to exemptions from appraisement, apply to estates of, 275.

**DISPOSITION OF PERSONAL PROPERTY UNDER THE WILL OF A PERSON DYING DOMICILED ABROAD, 570 to 573.**

will made in place of domicil, to be construed according to law of domicil, 572.

estate of legatee under, 572.

persons to take under, 572.

**DISTRIBUTION WHERE THE DECEASED, AT THE TIME OF HIS DEATH, WAS DOMICILED ABROAD.**

governed by law of domicil, 570.

who entitled to take as heir or distributee, 570.

what shall constitute domicil, 571.

**NON-RESIDENT. See title *Non-inhabitant.***

alien not entitled to letters testamentary, 214.

executor to give bond before entitled to letters testamentary, 219.

**NOTICE,**

of appraisement, 268.

See title *Inventory.*

to claimants to exhibit their claims to executors or administrators, 354, 379 to 394.

See titles *Executors and Administrators. Advertising for Claims.*

**NUNCUPATIVE WILL, 65, 125 to 127, 202.**

definition of, 124.

**NUNCUPATIVE WILL—continued.**

restrictions on, by the Statute of Frauds, 124.

by Revised Statutes, can be made only by soldier while in actual military service, or mariner at sea, 65, 125 to 127.

See titles "*Mariner.*" "*Soldier.*"

construction of the statute, 125 to 127.

can be made only in *extremis*, 126, but see note (d) p. 126.

proof of, 126-7, 202-3.

may be made by interrogatories, 127.

testimony taken in relation to proof of, to be reduced to writing by surrogate, and entered in book, 191.

proving of, 202-3.

preliminary proceedings, 203.

petition, 203.

citation, 203.

one witness sufficient to establish, 203.

will embodied in his testimony, 203.

probate of, will contain will as made up from his statements, 203.

will in writing, defectively executed, may be good as a nuncupative will, 203.

**Oath,**

of office to be taken by surrogate, 23.

executors to take, before grant of letters testamentary, 219.

by whom, and when oath may be administered, 219.

of administrator, 247.

of administrator with the will annexed, 251.

of special administrator or collector, 252.

of appraisers, 268-9, 272.

to be made by executor, or administrator to inventory, 278-9.

of executors or administrators to accounts, 496, 509.

**OATHS.**

surrogate may administer, to witnesses, 29.

**OBJECTIONS,**

against granting letters testamentary of the filing of, and proceedings thereon, 216-17.

to account of executor or administrator, 511-12.

**OBJECTIONS TO ACCOUNTS, 511-12.**

See title *Charges.*

**OLD AGE,**

does not preclude a man from making a will, 61.

in what case it does, 60.

**ONEIDA—COUNTY OF,**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election and term of office of, 13.

when to be designated special county judge, and when special surrogate, 17.

to possess powers of, and to continue proceedings commenced before county judge, 17.

to be allowed compensation by board of supervisors, 19, 40.

to discharge duties of county judge and surrogate, in case of vacancy, 39.

fees of, to be paid over to county treasurer, 41.

**ORANGE—COUNTY OF,**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election and term of office of, 13.

when to be designated special county judge, and when special surrogate, 17.

to possess powers of, and to continue proceedings commenced before county judge, 17.

to be allowed compensation by board of supervisors, 19, 40.

to discharge duties of county judge and surrogate in case of vacancy, 39.

fees of, to be paid over to county treasurer, 41.

**ORDER,**

appointing appraisers, 268.

for advance where legacy necessary to support of legatee, 443.

for enforcement of judgment against deceased under the act of April 10, 1850, "to provide for the enforcement of judgment liens," &c., 344.

**ORDER ENJOINING EXECUTOR, ADMINISTRATOR, OR GUARDIAN.**

surrogate may make, wherever he issues citation to executor, &c., requiring him to show cause why he should not be removed from office, 29, 643-4, 701.  
proceedings to obtain, 643-4, 701-2.

**ORDERS AND DECREES OF SURROGATES.**

surrogate, by virtue of his incidental and constructive authority, has power to set aside order for irregularity, 5-6.

to open a decree taken by default, 6.

to enter order *nunc pro tunc*, 6.

in pleading, formerly, jurisdiction should be shown affirmatively, 7.

provisions of Code in respect to, 7, 8.

in relation to sales, &c., of real estate, 8.

in matters within their jurisdiction, conclusive, 8.

how they may be proved, 9.

and process, surrogate has authority to enforce by attachment, 27-8.

this authority extends to orders and decrees for payment of money, 28, 37.

surrogate may make order enjoining executor, administrator, or guardian, whenever he issues citation, requiring him to show cause why he should not be removed from office, 29, 643-4, 701.

See *Order enjoining Executor, Administrator, or Guardian*.

for payment of money, certificate of, filed with county clerk, becomes a lien upon land, and execution may issue thereon, 30, 578 to 581.

surrogate to enter in minutes, 31.

when appealed from, appeal suspends proceedings on, 739.

**OSWEGO—COUNTY OF,**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election, and term of office of, 13.

when to be designated special county judge, and when special surrogate, 17.

to possess powers of, and to continue proceedings commenced before county judge, 17.

to be allowed compensation by board of supervisors, 19, 40.

to discharge duties of county judge and surrogate in case of vacancy, 39.

fees of, to be paid over to county treasurer, 41.

**OTSEGO—COUNTY OF,**

clerk hire of surrogate to be limited to \$300, 22.

**Papers,**

unattested, referred to by will, 118.

**PAROL EVIDENCE,**

when admitted to explain intention of testator, on question of revocation of a will, 132.  
in regard to undue insertions or omissions, 184.

**PARTIES,**

to petition for order to account, 489.

examination of, in proceedings in the Surrogates' Courts, 9.

on proving wills, 162.

on accounting of executor or administrator, 515.

**PARTNERS.**

survivorship as to their joint property, 260.

interest of deceased partner in stock of the firm to be included in inventory, 277.

surplus only, after payment of debts of firm, assets, 277-8.

qualified statement as to inventory only can be made, 278.

where one dies, actions on contracts in favor of the firm must be brought in the name of the survivor or survivors, 302.

partnership continues for certain purposes, 302.

representatives of deceased partner cannot take closing up of the affairs of the firm out of the hands of survivor, if responsible, 302-3.

they are entitled to have affairs of the concern wound up, 303.

executor or administrator of deceased partner not liable jointly with surviving partners for debts of the firm, 321 to 325.

liable only after remedy against surviving partners exhausted, 321 to 325.

executor cannot sell testator's share in firm, to re-sell to himself, 526.

good will of partnership business does not survive, 527.

surviving partner, executor, not entitled to allowance for carrying on trade, 526-7.

surrogate's jurisdiction, on final settlement of accounts of executor, to adjust claim due surviving partner, 549-50.



**PAYMENT**

- of debts, surrogate may enforce, 24.
- surrogate may decree against executor or administrator, at any time after six months from grant of letters, 356, 373.
- surrogate has not jurisdiction to try validity of alleged claims, 377-8, 552 to 554.
- of money, decrees for, surrogate may enforce by attachment, 28, 37,
- certificate of, filed in county clerk's office, becomes a lien upon land, and execution may issue thereon, 30, 578 to 581.
- order of payment of debts of deceased by executor or administrator, 312, 353.

**OF LEGACIES.**

- to legatee when there is a deficiency, 436-7, 445.
- all debts must be paid before, 436-7.
- executor personally liable if he discharge legacy where there are debts unsatisfied and there is a deficiency, 436-7.
- as to contingent debts, 393, 437.
- at what time legacies are to be paid, 437-8, 442, 447 to 449.
- not until a year after grant of letters, 437-8, 447-8, 453-4.
- unless will direct earlier payment, 437-8, 441-2.
- formerly legatee required to give bond on payment of legacy, 44.
- bond now dispensed with, 441.
- unless will direct payment before the end of the year, 437-8, 441-2.
- form of bond for payment of legacy, where will directs payment before the end of the year, 437-8, 442.
- how far protection to executor, 442.
- where legacy or part of it necessary for support of legatee, 438, 442, 462.
- where there is one-third more assets than will be necessary to pay debts, executor may pay legatee under direction of surrogate and upon legatee giving security, 439, 442-3.
- proceeding on application to surrogate, 442.
- order for advance, 443.
- costs of the application, 443.
- order for advance, how far protection to executor, 443.
- annuity, 448.
- bequest of personalty for life, remainder over, 449-50.
- a person taking the residue for life, is entitled to the proceeds from the death of the testator, 449.
- where the gift is of thing *quæ ipso usu consumuntur*, 450.
- duty of the executor to convert the property into money, and invest the proceeds in permanent securities, 450 to 452.
- where specific, 450.
- where of articles not necessarily consumed in using, 452.
- inventory to be taken, 452.
- legacy to A, to be paid at twenty-one, 452.
- at twenty-one, and if he die before, to B, 452-3.
- where payment postponed by will till legatee attains twenty-five, 453.
- where legacy directed to accumulate for a certain period, 453.

**OF COMPELLING PAYMENT BY PROCEEDINGS IN THE SURROGATE'S COURT, 437-8, 453 to 460.**

- payment to be enforced in the same manner as the return of an inventory, 438, 453, 279 to 287.
- by a suit on the bond given by the executor, 438, 453-4.
- remedy in this form, 453-4.
- by proceedings under the statute authorizing the surrogate to decree payment of a legacy or distributive share, at any time after one year from the granting of the letters testamentary, 439, 454-5, 356, 373 to 379.
- petition of legatee, 455.
- proceedings upon, 455-6, 356, 373-4.
- account, 456.
- decree, 456.
- difficulties in the practice, 456-7.
- by proceedings against the executor after the expiration of eighteen months from the granting of his letters to, to compel him to render and settle his accounts, 439, 459, 481.
- by suits in the common law courts, 439, 459.
- to whom legacies are to be paid, 460 to 463.
- legacy to A. and his family, 460.

**PAYMENT—continued.****OF COMPELLING PAYMENT BY PROCEEDINGS IN THE SURROGATE'S COURT—continued.**

infant legatee, 460–463,

general rule that payment to the father or any other person is bad, 460.

by statute, legacy of less than \$50 may be paid to father, 438, 460.

if legacy be \$50 or more, general guardian entitled to receive it, under the direction of the surrogate, and on giving security, 438, 460–1.

who is general guardian, 460.

form of security, 461.

application to surrogate by general guardian, to receive a legacy, 461.

order directing him to receive, 461.

guardian appointed in another state not entitled to receive legacy of minor, 461.

where minor has no general guardian, surrogate to receive and invest legacy, and apply income to his support, 438, 461.

recovery of, by actions at law, 439–40, 461–2.

where legacy to trustee for infant, payment to trustee good, 462.

interest on legacy may be applied to support of the minor, 462.

where testator in *loco parentis*, 462.

*feme covert* legatee, 462.

formerly legacy should be paid to her husband, 462–3.

equity of wife for support, 463.

now entitled to receive legacy, 463.

where legacy took effect previous to act for protection of property of, 463.

interest upon legacies, 463 to 467. See title *Interest*.

payment of specific legacies, 467–8.

legatee entitled to property as it exists at time of testator's death, 467.

where testator shows intention to pass property at a particular place at the date of will, 467.

when legatee entitled to the increase of, 463–4.

right of selection in a legatee of a certain number of a stock of articles, 467–8.

legacy of an unopened packet, 278, 468.

**PERSONAL PROPERTY.**

wills relating to, probate of, taken by surrogate, conclusive, unless revoked or reversed on appeal, 48, 183, 188–9, 212.

except in proceedings to attack probate, 189.

no limitation in respect to bequests of, 49.

act of April 13, 1860, relating to wills, 49.

will of, operates on all a man dies possessed of, 50.

recorded as to real estate, need not be recorded as to personal, 188.

*situs* of, regulates jurisdiction as to administration, 194.

will of, made out of this state by person not a citizen, not to be admitted to probate unless made according to laws where made, 196.

proving and validity of wills of, made by persons domiciled abroad, or executed out of this state by persons not citizens of this state, 196 to 198.

proceedings in such cases, 198–9.

will made in foreign country, disposing of, here, must be proved here, 224.

follows the person and rights of personal representative, not limited to such as is in this state, 225.

restriction, upon suspension of absolute ownership of, 396.

directions for accumulations of, 396.

the primary fund for the discharge of debts, 586.

to be first exhausted before real estate resorted to, 586.

where insufficient to pay debts, executor or administrator may apply to surrogate for authority to mortgage, lease or sell, for payment of debts, 587.

See title *Real Estate of Deceased Persons*.

need not, in proceedings to mortgage, &c., real estate, be all applied to payment of debts, if shown to be insufficient for their payment, and executor has proceeded with diligence to apply, 587, 604.

**PETITION,**

for proof of will, 150 to 153.

to prove will of non-inhabitant, 193.

nuncupative will, 203–3.

**PETITION—continued.**

- for letters of administration, 243.
  - letters of administration with the will annexed, and *de bonis non*, 249.
  - special letters of administration, 251.
  - summons to compel executor or administrator to return inventory, 281.
- by collector, for leave to sell goods necessary for preservation and benefit of estate, 311.
- for leave to issue execution upon a judgment obtained against an executor or administrator, after a trial at law upon the merits, 368-9.
- for order for account, 487 to 489.
  - parties to petition, 489.
  - prayer of, 489.
- for final settlement of accounts, 500 to 502.
- of executor or administrator, for authority to mortgage, lease or sell real estate of deceased for payment of debts, 591 to 593.
- of creditor, to have real estate mortgaged, leased or sold to pay debts, 624.
  - to remove executor or compel him to give security, 643.
  - to compel administrator to give further security, &c., 647.
  - of surety of administrator to be released, 648.
  - for revocation of letters on false representations, 649.
  - to require executor or administrator to give increased security, 650.
  - for appointment of guardian of minors, 678-9.
    - over fourteen, 678.
    - under fourteen, 678-9.
  - to compel guardian to account, 693.
    - remove guardian from his trust, 700.
- of public administrator, for authority to interfere to prevent embezzlement of decedent's goods, 706.
  - to obtain possession of concealed property, 706, 708-9.
  - for leave to sell perishing property, 709.
- by widow, for admeasurement of dower, 727-8.
  - for appointment of guardian of minors interested in admeasurement, 729.
- by heir or owners for admeasurement of dower, 729-30.
- of appeal, 737, 739.
  - from sentence or decree admitting or refusing to admit will to probate, 748.
  - from decree on final settlement of accounts of executors, administrators or guardians, 737, 751-2.

**PEW,**

- rent of, not entitled to preference in payment of the debts of the deceased, 318.
  - unless upon a term of years, 318.
- property in, passes to heir-at-law, 273.
  - not to be included in inventory, 273.

**PLEADINGS,**

- in Surrogates' Courts,
  - no statute or rule regulating, 9.
  - statements to be made, 9.
  - person disputing will, bound to propound his interest, 159.
  - opponent of probate not obliged to define objections, 160.
- in actions against executors and administrators, 351, 353.
  - See title *Executors and Administrators*.
- plea of *plene administravit* abolished by provisions of Revised Statutes, 351 to 360.
- in former proceeding by *scire facias*, 361-2.
  - how far rule of pleading in those cases applies under Code, 363.

**POSTHUMOUS CHILD.**

- unprovided for in will, 444.
- in cases of intestacy, 557, 560.
- provisions for, in respect to future estates, 398.
- entitled in distribution, 557, 560.
  - half-blood, 557, 567.

**POST-TESTAMENTARY CHILD.** See title *Child*.

- provisions of Revised Statutes relative to, 129, 444.
- entitled to share in father's estate, 129, 444.
- legatees to contribute to make up share of, 129, 444.

**POWER.**

- will made under, 62; note (c).

**PRESUMPTION.**

that witnesses signing the attestation clause, know of the due execution of the will, 175.

**PRISONERS,**

when they can make a will, 61.

**PROBATE,**

former jurisdiction of, in England, 1, 2.

present jurisdiction of, 1; note (a).

court of probate, 1; note (a).

court of, before Revolution, 4.

instituted under state government, 4.

abolished, 4.

surrogate cannot admit will to, where he is interested, or named as executor or trustee, 44.

prohibition does not apply, unless objection taken at first hearing by parties in interest, 44.

taken by surrogate conclusive as to personal estate, unless revoked or reversed on appeal, 48, 183, 188-9, 212.

surrogate to admit will of married women to, upon due proof, without considering what property passes under it, or its operation or effect, 64.

course of proceeding in Surrogate's Court in matters relating to, 148.

effect of, 188.

conclusive as to validity of will, 48, 183, 188-9, 212.

except in a proceeding which has for its very object to revoke or modify, 189.

will of personalty cannot be recognized here, except such as has been or may be admitted to probate in this state, 194.

of nuncupative will, 125 to 127, 202.

definition of, 212.

what it consists of, 212.

and letters testamentary, convertible terms, 214.

where executors qualify at different times, 216.

limited, 220.

almost entirely unknown in this state, 220.

when court may grant, 220.

necessity for foreign executor to take, 223-4.

practice on granting probate or letters testamentary of the wills of non-inhabitants, or wills made abroad, 225-6.

of the control over the estate before probate granted, 253 to 256.

recovery of property taken before, 256 to 258.

proceedings for the revocation of, on allegations, 638 to 642. See title *Allegations*.

probate not *prima facie* evidence of validity of will, 641.

will must be proved *de novo*, 641.

**PROCESS,**

issued by surrogate, may be enforced by attachment, 27-8.

of attachment or other compulsory process, may be issued by surrogate of one county to officers required by law to serve such process in any other county, 30, 34.

officer receiving required to serve same, 30, 34.

issued out of Surrogate's Court to be executed by sheriff, jailer or coroner, the same as if issued by court of record, 34.

officers subject to penalty for neglect to execute, 34.

of attachment or other compulsory process to be made returnable to county where the same may issue, 34.

provisions of certain sections of the Revised Statutes specified to apply to attachments issued by surrogates, 34 to 37.

**PROFERT**

of letters not necessary under the Code, 294.

**PROMISSORY NOTE OR BILL OF EXCHANGE,**

payable to deceased or order, may be indorsed by his executor or administrator, 310.

where maker, drawee, indorser, &c., dead at maturity, to whom presentment for payment to be made, and to whom and how notice of non-payment to be given, 332-3.

**PROOF,**

burthen of, lies on party attempting to overthrow will on ground of insanity, 57, 175.

necessary to be taken, to establish will, 160-1, 171 to 186.

where all the witnesses to will dead, insane, out of the state or incompetent to testify, 161.

where one witness or more examined and others dead, &c., 162.

## PUBLICATION

of will to be made by testator at the time of subscription, 65 to 67, 81 to 101.

See titles *Wills, form and manner of making*. Of the *Publication of the Will* of notice by executors and administrators to claimants to exhibit their claims against the deceased, 354-5, 379 to 394.

See title *Executors and Administrators*.

## PUBLIC ADMINISTRATOR IN THE CITY OF NEW YORK, 703-721.

rights of, to administration of the goods &c., of non-inhabitants dying, leaving assets in this state, where administration or letters testamentary granted in any other state, 225, 230, 240.

administration of the goods of intestates, 225-6, 229, 236.

entitled next after next of kin, 225-6, 229, 236, 712, 715.

entitled to be cited on proceedings for granting administration where a person, neither the widow nor a relative, applies for administration, 245.

in the county of New York, where delay in issuing letters of administration, usually appointed special administrator or collector, 251.

his appointment, 703-4.

oath of office and official bond, 704.

his commissions, his accountability, and his salary, 704.

his authority, 704 to 706.

extends to permanent residents as well as to foreigners and transient persons, 705.

his duty where there is a widow or any next of kin of the decedent residing in the city of New York, 706.

his proceedings before the surrogate to authorize the public administrator to prevent embezzlement of the decedent's goods, 706.

his proceedings to obtain possession of property of the deceased concealed or withheld from him, 706 to 709.

subpoena to person withholding or concealing property, 706-7.

warrant to search for and seize property, 707.

statute to be construed with care, 708.

duty of health officer in regard to property of, which the public administrator is authorized to take charge at quarantine, 709.

his duty with regard to property of the deceased in a perishing condition, 709.

proceedings to obtain administration of the goods, &c., of a deceased person, of which the public administrator is authorized to take charge, where the value of the property exceeds \$100, 709 to 713.

notice of intention to apply for administration, 710-11.

service and publication of notice, 710 to 712.

granting letters, 711 to 713.

administration by him, where the property of the intestate of which he is authorized to take charge is worth a sum not exceeding \$100, 713-14.

notice to widow and relatives, 713.

service and publication of the notice, 713.

affidavit of value of the property, and service of the notice.

on filing affidavit, public administrator becomes vested with administration, 713-14.

his power over the property of the intestate before the letters of administration are granted to him, or he files an affidavit, 714.

his duty where the deceased was a foreigner not naturalized, or had not taken any steps to become naturalized, 714.

cases in which his power and authority shall be superseded, 714 to 716.

where letters of administration granted to him upon a false or mistaken affidavit of

service of notice upon widow or next of kin, 715-16.

his duties with respect to depositing and drawing moneys, 718-19.

his power to make advances to relatives of the deceased, 719.

his duty to make annual reports to the common council of the city of New York, the publication of such reports, and the penalty for omitting to make the same, 719-20.

liability of the mayor, aldermen, and commonalty of the city of New York for his acts, 720.

transfer of moneys, papers, &c., in cases of resignation, removal from office, or death, 721.

boarding and lodging-house keepers to report deaths of transient persons to, 721.

notice to be given by the public administrator to the keepers of those houses, 721.

## PUBLIC ADMINISTRATORS, 703 to 726.

purpose of office of public administrators, 703.

public administrator in the city of New York, 703 to 721.

See title *Public Administrator in the city of New York*.



**PUBLIC ADMINISTRATORS—continued.**

IN THE SEVERAL COUNTIES OF THIS STATE OTHER THAN THE COUNTY OF NEW YORK, 721 to 726.

county treasurer, by virtue of his office, to have authority to take charge of assets of intestate persons, 721.

regulations concerning his powers and duties, 721 to 726.

**Quarantine.**

widow may tarry forty days in chief house of her husband, 542, 726-7.

without rent, 542-3, 726-7.

shall have her reasonable sustenance, 543, 726-7.

executors or administrators entitled to allowance for, 543, 727.

statute applies to solvent as well as insolvent estate, 543, 727.

does not extend to children, 543, 727.

has no application to leasehold property, 727.

her right to tarry in the chief house determines at the expiration of the forty days, whether dower has been assigned or not, 747.

at the expiration of that time, heir may expel her, 727.

**Real Estate.** See title *Real Estate of Deceased Persons*.

advancements out of, 558, 564.

authority of administrator with the will annexed over, 634-5.

money due at testator's death on purchase of, to be paid by executor, 328.

unless secured by mortgage, 328-9.

**REAL ESTATE OF DECEASED PERSONS.**

surrogates' sales of, valid and effectual as if made by court of record, 7-8.

title of purchaser under, not to be impeached, except for such causes as would impeach same if sale made by order of court of general jurisdiction, 8.

surrogate has power to order sale of, for payment of debts, 24.

all proceedings in relation to sale of, surrogates to record in book, 32.

papers, &c., relating to such proceedings, surrogates to file, 32.

record of will of, in Surrogate's Court—effect of, as evidence, 48.

who may devise, 54.

See title *Devise*.

devise of, on what land it operates, 50.

what, may be devised, 51.

what, passes by a general devise of, 51-2.

devise of, operation as to after purchased lands, 52.

See title *Wills of Real Estate*.

where charged with debt, creditor competent witness to prove will of, 119.

will affecting, must be proved in Surrogate's Court having jurisdiction, 146.

importance of proving, since Revised Statutes, 146-7.

on proof of will of, testator must be shown competent to devise, 171.

as to such proof, 176-7.

will of, where testator non-inhabitant, 24, 191-2.

what requisite to give surrogate jurisdiction to take proof of, 192.

must be executed and proved in accordance with statutes of this state, 192-3.

will of, proved before surrogate, may be recorded in any other county, 201-2.

record of proofs and examinations, taken in relation to will of, by surrogate, where all the subscribing witnesses are dead, insane, or non-residents, 203-4.

executor or administrator hath not to deal with, except as authorized or required by statute to pay debts, 260, 585.

legacy payable out of, 425-6.

when vested, 425-6.

when it lapses, 425-6.

when will orders sale of, for payment of debts or legacies, executors to account in Surrogate's Court for proceeds, 486, 555, 628.

to what cases jurisdiction extends, 555.

where there is an equitable conversion, 555.

not bound by judgment against executor, 586-7.

personal property primary fund for payment of debts, 586.

where insufficient, executor or administrator may apply to surrogate for authority to mortgage, lease, or sell real estate for payment of debts, 585 to 627.

**REAL ESTATE OF DECEASED PERSONS—continued**

**PROCEEDINGS BY EXECUTOR OR ADMINISTRATOR TO OBTAIN AUTHORITY OF SURROGATE TO MORTGAGE, LEASE, OR SELL FOR THE PAYMENT OF THE DEBTS OF THE DECEASED.**

See titles *Executors and Administrators*, *Surrogate*.

when executor or administrator may make the application, 590.

must be within three years from grant of letters, 590.

liability of real estate to be sold, is a statutory lien, 597 to 599.

petition to mortgage, lease, or sell, 591.

appointment of guardians for minors interested, 593.

See titles *Special Guardians*, *Minors*.

order to show cause why authority should not be given, 595.

service and publication of the order to show cause, 595.

hearing on return of the order to show cause, 597 to 603, 619.

widow, after assignment of dower, has no right to show cause, 598.

what heir or devisee may show, 598 to 601.

demands, adjudged valid, to be entered in book, 603.

order to mortgage, lease, or sell, 603.

determining whether property shall be mortgaged, leased, or sold, 604-5.

lease may be for how long, 604.

order of sale, 605-6.

security to be taken, 608-9.

notice of sale, 609-10.

regulations of sale, 610.

property to be sold in parcels, 610.

vacating or confirming sale, 611-12.

conveyance of the real estate, 614.

subject to all charges by judgment, mortgage, &c., at testator's death, 615.

disposition of the proceeds of the sale, 615.

where proceeds of sale sufficient to pay debts, remaining lands exonerated, 615.

expenses of the sale and satisfaction of the widow's dower, 615 to 618.

notice of distribution of proceeds, and distribution, 618 to 620.

disposition of surplus, 620-21.

**PROCEEDINGS FOR THE MORTGAGE, LEASE, OR SALE OF, FOR THE PAYMENT OF DEBTS, TAKEN ON THE APPLICATION OF A CREDITOR, 621 to 627.**

when they may be taken, 622 to 624.

liability of real estate to be sold, is a statutory lien, 623.

petition of creditor, 624.

appointment of guardian for minors interested, 624-5.

order that executor or administrator show cause, 623 to 625.

order that parties in interest show cause, 625.

order that executor or administrator mortgage, lease, or sell, 626.

sales of, by executors, under devise or power in will, 627.

executors qualifying, entitled to make, 627.

when no one named, 629.

where power to sell is special, 629.

proceeds may be distributed in surrogate's office, 486, 555, 628.

offence in relation to giving notice of sale, &c., not to affect title, 630.

contract for the purchase of, 630 to 633.

sale of the interest of a deceased person in, for the payment of his debts, 630 to

**EXECUTION OF ORDER TO MORTGAGE, LEASE OR SELL, WHERE EXECUTOR OR ADMINISTRATOR**

**OR OTHER PERSON AUTHORIZED HAS DIED, OR BEEN REMOVED, OR BECOME DISQUALIFIED**

**AFTER THE MAKING OF THE ORDER, 632-3.**

**IRREGULARITIES, OMISSIONS OR DEFECTS IN THE PROCEEDINGS BEFORE THE SURROGATE WHICH DO NOT INVALIDATE THE SALE, 632-4.**

authority of the administrator with the will annexed, over, 634-5.

**CONFIRMATION BY THE SUPREME COURT OF SALES OR CONVEYANCES WHERE IRREGULARITIES HAVE OCCURRED IN THE PROCEEDINGS, 635-6.**

**RECEIPT,**

for legacy without interest will not preclude claim for interest, 533.

**RECORD IN SURROGATE'S COURT.**

transcripts of, to be received in evidence in all courts with like effect as exemplifications of records, &c., in courts of record, 32.

form of, of will, 187.

of will proved in Supreme Court, 195-6, 200.

of wills, exemplifications of, without proof, cannot be received in evidence, 201.

**RECORD IN SURROGATE'S COURT—continued.**

- previous to 1785, when to be received in evidence, 201.
- previous to 1830, when and how to be received in evidence, 201.
- surrogates and clerks of Supreme Court to make exemplified copies, 201.
- will of real estate, duly proved, may be recorded in any county, 201.
- exemplification of, may be recorded in any county, 201-2.
- such record to be indexed, 202.
- clerk's fees for recording, 202.

**RECORDS**

- and books required to be kept by surrogates, 31.
- See title *Surrogate*.

**RECORDS TO BE KEPT BY SURROGATES, 31.**

See title *Surrogates*.

**REFERENCE**

- of claims presented to an executor or administrator and disputed or rejected, 354, 383-4, 389-90.
- proposition to refer must proceed from claimant, 386.
- See title *Executors and Administrators*.

**REFUNDING.**

- of legacies, 473.
- case can hardly arise where executor can make legatee refund, 473.
- when creditor can make legatee refund, 474.
- when one legatee can make another, 474.
- liability of one legatee to refund to make up deficiency of another, 474.

**RELIGIOUS CORPORATIONS.**

See titles *Charitable Uses*. *Bequests to Religious Corporations*.

**REMAINDER.**

- when future estate may be termed, 397.

**RENUNCIATION,**

- of office by executor, 220 to 223.
- he may renounce though he agreed with testator to accept it, 220.
- but he cannot assign, 220.
- where executor neglects to qualify for 30 days after proof of will, proceedings before surrogate may be taken against him, to compel him to qualify or be deemed to have renounced, 220-21.
- executor may decline by express renunciation, 222.
- form of and manner of executing, proving and recording renunciation, 222.
- he cannot in part refuse, 222.
- consequences of renouncing, 222-3.
- executor renouncing in order to be a competent witness, 222.
- executor who has renounced may, before administration granted, retract renunciation, 222.
- where there is a sole executor or several who all renounce, 223.
- where administration with will annexed issued, renunciation peremptory, 222.
- where there are several executors, and some renounce and others qualify, 223.
- the renunciation is not peremptory, 223.
- where and how it may be retracted, 223.
- effect of decree of surrogate that executor has renounced, on proceedings to compel him to qualify or be deemed to have renounced, 223.
- if all the executors renounce, or, after having been summoned, neglect to qualify, administration with will annexed to issue, 247-8.

**REPRESENTATION,**

- not admitted among collaterals after intestate's brothers' and sisters' children, 557 to 569

**RE-PUBLICATION OF WILLS, 142 to 146.**

See title *Wills, re-publication of*.

**REQUEST,**

- to witnesses to attest will, 65-6, 109, 118.

See titles *Wills, form and manner of making*. *Of the Attesting Witnesses and their Duties*.

**RESIDUARY LEGATEE,**

- when entitled, 474 to 480.
- dying before payment, personal representatives entitled to his portion of the estate, 474.
- what terms of bequest are sufficient to constitute, 474.
- rights of general residuary legatee, 474-5.

**RESIDUARY LEGATEE—continued.**

- when he is entitled to lapsed legacies, 475.
- rights of partial residuary legatee, 476.
- survivorship as to residue, in cases of several residuary legatees, 476.
  - when they are joint tenants, 476.
  - when tenants in common, 477.
  - with words of survivorship, 477.
- formerly, surplus undisposed of by will, went to executor, 477.
- now, surplus undisposed of, distributable, 477.
- remedies for enforcing disposition or distribution of residue, 478 to 480.
- cannot call on general legatees to abate, 443.

**RESIDUE.** See title *Residuary Legatee*.

- what words in a will will pass it, 475.
- bequeathed for life, remainder over, 448.
  - See title *Tenant for Life*.
- formerly, surplus undisposed of by will, went to executor, 477.
- now, surplus undisposed of, to be divided among widow and children, or next of kin, 477.
- remedies for enforcing disposition or distribution of, 477 to 479.

**RESTRAINT,**

- to establish will, it must be shown that the testator, at the time of executing, was not under, 171 to 178.
- nature of, to invalidate will, 179.

**REVERSION.**

- definition of, 397.

**REVOCATION,****OF WILLS, 127 to 143.**

- will in all cases revocable, 127.
- statutory provisions, 128-9.
  - revocation not effectual unless statute complied with, 129.
- by a subsequent testamentary disposition, 130 to 133.
- by express revocation, 133-4.
- by re-publication of a prior will, 134.
- by burning, tearing, cancelling, obliterating or destroying, 134 to 137.
- by marriage, or other change of circumstances, 137 to 139.
  - will executed by an unmarried woman not revoked by her subsequent marriage, 137-8.
- presumptive or implied revocations, 139 to 142.
- of letters testamentary or of administration, where executor or administrator neglects or refuses to return inventory, 280 to 286.
  - administration, with the will annexed, to issue, 247-8, 280.
- of probate on allegations against the validity of the will, or the competency of the proof thereof, 637 to 642.

See title *Allegations*.

**REVOCATION OF APPOINTMENT OF GUARDIAN,**

- surrogate to record in book, 31.
- proceeding to obtain, 698 to 703.

**REVOCATION OF LETTERS OF ADMINISTRATION,**

- surrogate to enter in book testimony taken in relation to, 31.
- proceedings to obtain, 648 to 651.

**REVOCATION OF PROBATE, OR LETTERS TESTAMENTARY,**

- surrogate has jurisdiction, by virtue of his incidental or constructive authority, to revoke probate, &c., where subsequent will discovered and proved, 6.
- surrogate to enter in book testimony taken in relation to, 31.
- proceeding on allegations to obtain, 638 to 642.

See title *Allegations*.

**REVOCATION OF WILLS, 52 to 127.**

See title *Wills, revocation of*.

- 1, by subsequent testamentary disposition, 129.
- 2, express revocation, 133.
- 3, the re-publication of a prior will, 134.
- 4, burning, tearing, canceling, obliterating or destroying, 134.
- 5, marriage, or other change of circumstances, 137.
  - presumptive or implied revocations, 137.

**St. Lawrence—county of.**

- county judge and surrogate to be separate officers, 3.
- local officer to be elected in, to discharge duties of county judge and surrogate, 13.
- time of election, and term of office of, 13.
- when to be designated special county judge, and when special surrogate, 17.
- to possess powers of, and to continue proceedings commenced before county judge, 17.
- to be allowed compensation by board of supervisors, 19, 40.
- to discharge duties of county judge and surrogate in case of vacancy, 39.
- fees of, to be paid over to county treasurer, 41.

**SALARY**

- of chief clerk in the office of the surrogate of the county of New York, 20-1.

**SALES.**

- fraudulent, made by deceased, 257-8, 292 to 294.
- See title *Fraudulent Sales or Transfers of Property by the Deceased*.

**SATISFACTION,**

- of debts by legacies, 429.
- general rule, 429.
- exceptions, 430.
- so numerous that rule should be stated differently, 430.
- legacy of specific chattel no satisfaction, 430.
- legacy satisfaction, where deficiency of assets, 431.
- of widow's dower on sale of real estate by surrogate's order for payment of debts, 615 to 618.

**SEAL**

- of the surrogate, 27, note (p.)

**SEARCHES, 33.**

- See title *Surrogate*.
- surrogate's duty to search files and certify transcript, 33.
- on refusal, surrogate guilty of misdemeanor, 33.

**SELECTION.**

- power of legatee to make, where bequest is a gift of one or more out of a number, 467-8.

**SENECA INDIANS,**

- private property of, not within jurisdiction of laws of this state respecting administration, 228.
- surrogate has no jurisdiction in respect to, 228.
- customs of the nation regulate distribution of property of deceased Indian, 228.

**SEPARATE OFFICER TO PERFORM THE DUTIES OF OFFICE OF SURROGATE.**

- provided for, 10.
- election of, 11 to 13.
- time of election and term of office of, 13.
- regulations for dispensing with, 14.
- when Surrogate's Court held by, to be held at the same time and place, and in the manner, and with the same powers and jurisdiction as are provided by law, 15.
- laws relating to surrogate to apply to, 15.
- has power to take affidavits, and proof and acknowledgment of deeds, &c., 15.
- supervisors to fix salaries of, 16.
- salary to be paid quarterly, and not to be increased or diminished during continuance in office, 19.
- fees of, 39.

See title *Fees of Surrogates*.

**SERVICES RENDERED WITH A VIEW TO A LEGACY.**

- recovery of compensation for, 331-2.

**SET-OFFS,**

- in actions by executors or administrators, 301 to 305, 352 to 366.
- what demands may be set-off, 301, 352, 366.
- in suit for cause of action arising after death of decedent, 301 to 305, 366.
- note paid after death of intestate, not a proper subject of set-off, 302.
- where note made to executor, describing him as such, for a debt due to testator in his lifetime, 367,
- in actions against executors or administrators, 352, 367.
- administrator cannot set-off judgment purchased by him since rendition of judgment against him, 367.



**SHERIFF,**

to whom process issued out of Surrogates' Courts directed, to execute same in the same manner as if issued out of Court of Records 34.  
 executor, &c., of, not liable in action for an escape 303

**SOLDIER,**

in actual military service, may make nuncupative will 63, 125 to 127, 292.  
 words "actual military service" confined to soldiers on an expedition 125.  
 will of a soldier in barracks not privileged 125.  
 right to make nuncupative will not an unqualified right, will only tolerated when made *in extremis*, 126, but see note (*id.* p. 125).  
 circumstances which must attend the speaking of the words 127.  
 proving nuncupative wills, 202-3.

**SPECIAL ADMINISTRATION, 250 to 253.**

See title *Special Letters of Administration*.

**SPECIAL COUNTY JUDGE.**

local officer elected in the counties of Ulster and Chenango, to be designated as, 13.  
 when local officer elected in the counties of Orange, Chautauque, Cayuga and St. Lawrence, Toga, Oneida, Jefferson and Oswego, to be designated as, 17.  
 powers and duties of, 17,  
 fees of, 40.

See title *Fees of Surrogates*.

**SPECIAL GUARDIAN FOR MINORS,**

to be appointed by surrogate for minor heir, or next of kin having no general guardian, to attend to his interests on proof of will, 149 to 152.  
 mode of appointment, 149 to 152.  
 citation to prove will directed to and served upon, 153.  
 to be appointed by surrogate, on proceedings for granting administration for a minor who has a prior claim to administration to the person applying, 244-5.  
 on final settlement of accounts of executors and administrators, 505-6.  
 on proceedings to mortgage, lease or sell real estate, 593, 624.  
 notice to minors, 593, 624.  
 to be of five days, 593.  
 where minor is under fourteen, 593.  
 notice to be served on relative or person having his custody, 593.  
 order designating relative, 593.  
 order of appointment, 593.  
 on proceedings by allegations, to revoke the probate of a will, 638 to 640.  
 proof of service of the citation on the minors, 640.

**SPECIFIC LEGACY,**

executor's power over, 305, 307.  
 may sell, 305, 307.  
 not to sell until residue of personal estate applied to payment of debts, 306, 445, 467.  
 although to the complete disappointment of the general legatees, 445.  
 expenses incurred in getting in, part of expenses of estate, 467.  
 subject of, in all respects equally liable to testator's debts as the rest of his property, 436.  
 nature and incidents of, 418.  
 of money, 419.  
 of stock, shares, &c., 419.  
 bequests connected with the realty, 420.  
 bequests contained in a residuary clause, 420.  
 bequests of general personal estate, 421.  
 bequests in the nature of, 419.  
 demonstrative legacy, 419.  
 ademption of, 433.  
 abatement of, 444-5.  
 when legatee is entitled to increase of, 463.  
 where bequest speaks as of death of testator, 465, 467.  
 confined to date of will, 467.  
 not to be sold by executor without necessity, 467.  
 of an unopened packet, 473, 468.  
 executor's liability to exonerate, 330.  
 payment or delivery of, 466, 467.

See title *Payment of Legacies*.

**SPECIAL LETTERS OF ADMINISTRATION,**

when to be issued, 250-1.

where delay in proving will, 251.

surrogate's discretion unrestricted both as to issuing letters and to whom same shall be granted, 251-2.

where delay in granting letters of administration, 251.

security on issuing, 251.

form of, 252.

special administration thus issued, the only limited or temporary administrations, 551-2.

**SPECIAL SURROGATE,**

when local officer, elected in the counties of Orange, Chautauque, Cayuga and St. Lawrence, Tioga, Oneida, Jefferson and Oswego, to be designated as, 17.

powers and duties of, 17.

fees of, 41.

See title *Fees of Surrogates*.

**STATUTE OF LIMITATIONS.** See title *Limitation of Actions*.

pleading statute, 297-8.

from what time statute begins to run, 298-9.

no exception to, can be claimed, unless expressly mentioned in statute, 345.

when it does not begin to run until letters testamentary or of administration granted, 298 to 348.

six months' limitation after presentation and rejection of claim, 347.

effect of admission of personal representatives to take claim out of, 347.

one of evidence, 365.

will run against claim due executor, 394.

may be set up by executor or administrator on accounting, 498.

executor or administrator may set up, on accounting, 514.

direction in will to pay debts will not bar, 514.

valid claim presented to executor or administrator, statute does not run against until six years from presentation, 515.

applies by analogy, to prosecution of claims against executors and administrators in the Surrogates' Courts, 515.

heir has a right to set up, in bar to claims against real estate, 600.

**STOCKS,**

belonging to deceased.

now transferred, 304, 305.

dividends, how collected, 304-5.

**SUBPOENA,**

surrogate has power to issue and to punish disobedience of, 27, 158.

to enter in book minute of issuing, 31, 158.

has no power to issue attachment for disobedience of, to require witness to be brought before him to testify, 37.

witnesses may be summoned by to prove will, 157.

production of will may be compelled by, 157.

disobedience of, to prove or produce will, how punished, 158.

form of, 27, 158.

*duces tecum* to compel production of will before surrogate, 204.

executor, &c., of a man served with, not liable in an action for disobedience of, 209.

subpoena issued on application of public administrator to person withholding or concealing property, 706-7.

**SUBSCRIPTION OF WILL,** 65, 69.

See title *Will, form and manner of making*.

**SULLIVAN—COUNTY OF.**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election and term of office, 13.

powers and duties of, 13.

to be allowed compensation by board of supervisors, 19, 40.

to discharge duties of county judge and surrogate in case of vacancy, 39.

fees of, to be paid to county treasurer, 41.

**SUMMONS,**

process of, designated for certain purposes, 27.

to compel person named as executor neglecting to qualify for thirty days after proof of will, to qualify or be deemed to have renounced, 220.

to compel executor or administrator to return inventory, 279 to 282.

**SUNDAY,**

Surrogates' Courts cannot transact business on, 31.

**SUPERVISORS.**

board of, in any county except, &c., of New York, having population exceeding 40,000, may provide for election of officer other than county judge to perform duties of office of surrogate, 11.

resolution of, to be delivered to county clerk to be filed, 11.

copy to be transmitted by county clerk to secretary of state, 11.

may resolve in counties having population exceeding 40,000, that there shall be no separate officer to perform duties of office of surrogate, 14.

resolution of, to be filed with county clerk and copy transmitted to secretary of state, 14. in the county of New York, authorized to fill vacancy in office of surrogate, 14.

to prescribe number of assistants to be appointed by surrogate, 17.

fixes and changes salaries of such assistants, 17.

to fix salaries of county judges, and separate officers to perform duties of office of surrogate, 19.

to allow local officers reasonable compensation, 19.

in the counties of Orange, Chautauque, Cayuga and St. Lawrence, Tioga, Oneida, Jefferson, Oswego, Tompkins, Washington and Cattaragus, local officers to be allowed compensation by board of supervisors, 19.

of the county of Chautauque to make allowance to surrogate for expenses, 20.

in the county of Otsego, clerk hire for surrogate to be allowed pay not to exceed \$300, 22.

clerk of Surrogate's Court in the county of Erie to be appointed, if recommended by, 18.

in the county of Erie, to fix the salary of clerk of surrogate, 22.

in the county of Wayne, may, by a two-third vote, abolish fees of county judge, 43.

of the county of New York, to regulate salary of surrogate of the county, 20.

of the county of Kings to audit and pay compensation of clerks of surrogates out of fees and perquisites of the office, 21.

of the city and county of New York, to require of surrogate and his assistants such security as they may deem proper, 22.

**SUPREME COURT,**

to be understood when Chancellor or Court of Chancery is named, 46.

jurisdiction of, to take proof of wills in certain cases, 194.

when to issue a commission, 194.

such commission how obtained, 194.

on return of such proof, if validity of will established, will, &c., to be recorded, 195.

such will to be indorsed, 195.

how received in evidence, 195.

when decree to be transmitted to surrogate, 195.

when surrogate to issue letters testamentary, &c., on a will proved in a foreign state or country, 196.

when will made out of the state, by a person not a citizen of this state, cannot be admitted to probate, 196.

**SURETIES,**

of administrator or executor, may compel administrator or executor to account before surrogate, 481, 487-8.

when insufficient, proceedings before surrogate to compel further security or remove administrator or executor, 647-8.

proceedings before surrogate by, to be released from responsibility, 648 to 651.

**SURPLUS,**

on sale on foreclosure of mortgage, in the life of the mortgagor, personal property, and to be included in the inventory, 277, 569.

if sale after death of mortgagor, surplus real estate, and goes to heirs, 569.

where heirs infants, surplus to be distributed as equitable assets, 569.

**SURROGATE,**

previous to the Revised Statutes, 3, 4.

powers of, limited and defined by Revised Statutes, 4.

incidental and constructive authority of, 4.

has power to set aside order for irregularity, 4.

open a decree taken by default, 4.

enter order *nunc pro tunc*, 4.

**SURROGATE—continued.**

powers of, to revoke first probate, and letters testamentary, where subsequent will discovered and proved, 5, 6.

right to receive foreign probate in evidence, 5.

in *casus omissus* should not decline jurisdiction, 6.

proceeds with great delicacy in exercising incidental authority, 7.

court of. See title *Surrogate's Court*.

office of, county judge to be elected to perform the duties of, 10.

election of county judges, 10 to 12.

where county has population exceeding 40,000, legislature may provide for the election of separate officer to perform duties of, 10.

act to provide for the election of separate officers to discharge duties of, in the different counties, 11.

board of supervisors to determine whether office shall be separate, 10.

time of election and term of office of county judges, and officers to discharge duties of, 12.

local officers, in certain counties, to discharge duties of, 10, 11.

See titles *Surrogate of county of New York*. *Surrogate of county of Kings*.

vacancies in, regulations respecting, 14.

jurisdiction and powers of county judge, when filling, 15.

county judge, when to perform duties of, 15.

laws relating to, to apply to county judge, and other officers, 15.

county judge and other officers discharging duties of, supervisors to provide compensation for, 17, 18.

of the county of New York, compensation of, 20.

to execute to the people bond with two or more sureties for faithful performance of duties, 22.

time of giving security, 22.

form of bond, 22.

penalty in county of New York, \$10,000, 22.

other counties, \$5,000, 22.

clerk of county to approve bond and sureties, 22.

in the county of New York supervisors may require of surrogate and his assistants such security as they may deem proper, 23.

suits upon official bonds of surrogates, 24.

local officer confined in the execution of his duties to the county for which elected, 23.

oath of office of, 23.

**SPECIFIC POWERS AND DUTIES OF, 24.**

to hold a court within the limits of county, 24.

to take proof of wills of both real and personal estate, 24, 147-8.

to grant letters testamentary, 24, 214 to 220.

of administration, 24, 227 to 251.

to direct and control, and settle accounts of executors and administrators, 24.

to enforce payment of debts, legacies and distributive shares, 24.

to order sales of real estate to pay debts, 24, 585 to 627.

to administer justice in matters relating to affairs of deceased persons, 24.

to appoint guardians, control them and settle their accounts, 24.

to cause admeasurement of dower to widows, 24, 726 to 735.

powers to be exercised as prescribed by statute, 24.

have not the power to control conduct of executors or administrators relative to suits in other courts, 24.

may control conduct of executor or administrator where he persists in discharging his duties erroneously, 25.

although he decline to decree payment of claim, yet, to protect the applicant, he may allow time, after the expiration of the six months' advertisement, to enable him to bring a suit to prevent a distribution without reference to his claim, 25.

may decree time when, person to whom, and manner in which superseded guardian shall pay over trust funds in his hands, 25.

has no power to remove guardian except in particular cases specified in statute, 26.

has no power to appoint a guardian in the place of guardian appointed by Court of Chancery, 26.

has not jurisdiction on a summary application to compel an administrator to deliver property, although his claim is unfounded or merely colorable, 26.

has not power to inquire into rights of heir-at-law, 26.

has jurisdiction to settle accounts of testamentary trustees, 26-7, 484-5.

SURROGATE—*continued.*

- jurisdiction of, in cases of erection of new county, 26.
- where will of personal property proved before, joined with other estates in will, granting letters testamentary and administration will will annexed, 212.
- granting letters testamentary or of administration, has jurisdiction over all proceedings relating to same estate, 267.
- his jurisdiction to grant letters of administration, 227.
- has power to issue subpoenas and compel the attendance of witnesses from any part of this state, and the production of papers, 27.
- form of their subpoena, 27.
- to punish disobedience to such subpoena, and to punish refractory witnesses, 27.
- to issue citations, 28.
- to enforce all lawful orders and decrees of their courts by attachment, 28.
- form of such attachment, 28.
- to exemplify papers, records, &c., 28.
- to preserve order in their courts, 28-9.
- seals of, 27, note (p).
- first judge to use, when acting as surrogate, 45.
- to enforce by attachment, decree for the payment of money, 29.
- to proceed by attachment against the person, 29.
- to enjoin executors, administrators and guardians who have been cited to show cause why they should not be removed, 29, 642 to 644, 701.
- to adjourn proceedings, 29.
- to administer oaths to witnesses, 29.
- to make certificate of a decree issued by him against executor, administrator, or guardian, for payment of money, 29, 30, 578 to 583, 697.
- such certificate, on filing and entering with county clerk, shall be a lien on land and execution may be issued thereon, 29, 578, 581, 697.
- proceedings on such execution being returned unsatisfied, 30, 578 to 583, 697.
- may assign bond of executor, administrator or guardian, 30, 578, 582, 697.
- process may be issued by to enforce orders, &c., of court of, to officers of other courts, and such officers bound to execute same, 30.
- may issue commission to take testimony of foreign witnesses, 29, 168 to 171, 196.
- books and papers to be kept by—filing and preserving papers—searches and transcripts, 31.
- required to provide and keep book in which to record wills; and wills of real estate in his county proved in another county, 31.
- letters testamentary and of administration, &c., 31, 219.
- to enter minutes of other proceedings in relation to estates of deceased persons, &c., 31.
- to record the appointment of guardians for infants, and the revocation, &c., 31.
- to enter proceedings relative to admeasurement of dower, 31, 734.
- books to be indorsed, 31.
- open to public inspection, 31.
- to record in books, orders and decrees upon proceedings for sale of real estate, 31, 630.
- file and preserve papers in same proceedings, 26.
- to keep a book of fees, 31.
- to reduce to writing and enter in book, testimony taken in relation to proof of will, or in any controversy relating to the granting or revoking of letters testamentary or of administration, 191.
- to receive and deposit any will which any person shall deliver to them for that purpose, 202, note (q).
- regulations respecting wills deposited, 202, note (q).
- to file and record renunciations of persons named as executors, 222.
- of New York to keep in a book an account of fees, perquisites, &c., 31.
- under Revised Statutes, required to keep a book in which to record accounts of executors, administrators and guardians, 32.
- since law of 1837, required to file such accounts and to record with decree summary statement of same, 32, 485.
- duty of, to file and preserve affidavits and other papers belonging to his court, 33.
- to deliver papers and books to his successor, 33.
- to reduce to writing and preserve evidence and admissions of parties in proceedings before him, 33, 510.
- to search files and make transcripts and certify same, 33.
- for neglect or refusal to search or certify, guilty of misdemeanor, 33.



SURROGATE—*continued.*

liable to an action for false imprisonment, for issuing attachment against sheriff for not executing an attachment requiring him to arrest a witness and bring him before the surrogate to testify, 37.

may issue an attachment against a witness for contemptuous refusal to appear and testify, but not forcibly to bring a witness before him to testify, 37.

See title *Attachment*.

will issue attachment against executor, or administrator, or guardian for not paying over money on decree, 28, 37.

has power to attach a guardian for not paying over money belonging to his ward, 37-8.

has power to award costs, 39, 651 to 653.

to tax costs at rate allowed by Court of Common Pleas, 39, 651-2.

See title *Costs*.

of the completion of business pending before a surrogate on a vacancy occurring in his office, 39,

successor to complete, 39.

of the exclusive jurisdiction of, with reference to each other, 39.

See title *Jurisdiction*.

when guardian appointed by, same surrogate has jurisdiction of all matters in relation to, 39.

fees of, and duty in respect to accounting for same, 40, 653-4.

constitution prohibits from taking to his own use, 19, 40.

fixed by act of 7th May, 1844, 40, 653.

prescribed by law to be charged, received and accounted for, 40.

to be paid over to county treasurer, 40.

unless prepaid, services not to be performed, 40.

to be accounted for, whether act pertains to duty or business of office or not, 40.

See title *Fees of Surrogates*.

to be reported annually by surrogate, between 1st and 20th January, to secretary of state, 43.

when to have taxed, 43, 653-4.

cases in which he is disabled from acting, and of supplying his place in such cases, 43.

cannot sit as judge in any case where he is a party, &c., 44.

cannot grant probate or letters testamentary or of administration, where he is interested under the will or in the estate, or where he is named as executor or trustee in will, 43.

prohibition does not apply, unless objection taken at first hearing by parties interested, 43.

may appoint guardian for infant, although person appointed is his relative, 44.

cannot decide cause where he has been attorney, counsel or solicitor, 44.

where disabled from acting, and no local officer, county judge or district attorney to act as surrogate, 44.

in the county of New York, first judge of the Court of Common Pleas to act as surrogate where surrogate disabled, 44.

when both disabled, then district attorney to act as surrogate, 45.

first judge, when acting as surrogate, to use seal of surrogate, 45.

to record wills and letters testamentary or of administration in surrogate's books, 45.

cannot act as attorney, counsellor, &c., in his court, 47.

partner of, cannot practice in his court, 39, 40, 47-8.

cannot be interested in costs in his court, 47.

cannot decide case brought or defended by his partner, 48.

cannot demand fees for advice, or for drawing papers, in matters pending, or which may be brought before him, except where fees are expressly given, 47.

cannot be counsel, solicitor or attorney, for or against executor, administrator or guardian, in any civil action, over whom or whose accounts he has jurisdiction, 44

son, partner or clerk of, cannot practice as attorney or counsel before, 48.

his record of proof of will only *prima facie* proof as to real estate, 48.

probate of will of personal estate taken by, conclusive, 48.

See title *Will*.

JURISDICTION OF, TO TAKE THE PROOF OF WILLS, 147-8.

See titles *Wills*. *Of Proving Wills*. Also title *Surrogate's Court*.

PROVING WILLS OF INHABITANTS OF THE COUNTY BEFORE, 148.

preliminary proceedings before, 148.

facts he must ascertain by evidence on application, 148.

**SURROGATE—continued.****PROVING WILLS OF INHABITANTS, ETC.—continued.**

- to appoint special guardians for minors interested, 148 to 152.
- to issue citation, 148.
- before proceeding to take proof, to require satisfactory evidence of service of citation, 149 to 156.
- if citation not served on all to be served, to adjourn proceeding, and issue further citation, 149, 156.
- on proof of service of citation, to cause witnesses to be examined before him, 157.
- may issue subpoena to compel attendance of witnesses and production of the will, 157-8.
- punishment for disobedience of such subpoena, 158.
- of taking the testimony before surrogate, 159.
- to take examination of aged, sick or infirm witness residing in same county, at residence of such witness, 165.
- where such witness resides in another county, proceedings to obtain his testimony, 165 to 168.
- fees of, on taking testimony in such cases, 166.
- may issue commission to take testimony of witness residing out of the state, 168.
- proceedings on taking out commission, 168 to 171.
- proof requisite to be taken to establish will, 160, 170 to 179.
- on proof of will, must determine upon questions of error or mistake, as well as fraud or incapacity, 182-3.
- entries to be made by, in his minutes, relative to proceedings for proof of will, 195-6.
- form of record of will, 187.
- to indorse certificate on will proved, 187.
- to reduce to writing and enter in book testimony taken on proceeding to prove will, 48, 146, 191.
- jurisdiction of, to take proof of will of non-inhabitant, 24 to 191.
- proceedings on taking proof, 191 to 201.
- See title *Wills. Of Proving Wills of Non-inhabitants.*
- as to probate and letters testamentary of wills of non-residents, 195.
- when to record will, and issue letters testamentary or of administration upon decree of Supreme Court, 196.
- may issue commission to prove will executed abroad, and witnesses to which reside abroad, 195-6.
- proceedings before, as to wills made by persons domiciled abroad, or executed out of this state by persons not citizens of this state, 196 to 200.
- entries and orders, 199.
- certificate on will, 199.
- mandate of Supreme Court, where recorded, 199.
- to make exemplified copies of wills, 201.
- will of real estate proved before, may be recorded in any other county, 201.
- record of proofs and examination taken by, in relation to will of real estate, where all the subscribing witnesses are dead, insane or non-residents, 203.
- production of will before, may be compelled by *subpoena duces tecum*, 204.
- JURISDICTION OF, TO ISSUE THE LETTERS TESTAMENTARY, 212 to 214.**
- on affidavit of intention to file objections filed, to stay granting letters testamentary for thirty days, unless objections sooner disposed of, 216.
- proceedings on objections, 217-18.
- to require bond like that given by administrator of person named as executor, where his circumstances are so precarious as not to afford adequate security, 217-18.
- proceedings before, to compel person named as executor neglecting to qualify for thirty days after proof of will, to qualify or be deemed to have renounced, 220 to 222.
- to file and record renunciations of persons named as executors, 222.
- consequences of renunciation, 222.
- effect of decree of surrogate that executor has renounced on proceedings to compel him to qualify or be deemed to have renounced, 223.
- JURISDICTION OF, TO GRANT LETTERS OF ADMINISTRATION, 227.**
- what surrogate has jurisdiction to grant administration of goods, &c., of intestate non-inhabitant dying out of the state leaving assets in several counties, 227.
- jurisdiction of, to grant administration in cases unprovided for by statute, 228.
- private property of Seneca Indians, not within jurisdiction of, 228.

**SURROGATE—continued.****JURISDICTION OF, TO GRANT LETTERS, ETC.—continued.**

where several persons equally entitled to administration, surrogate grants to one or more, in his discretion, 229.

discretion to be exercised by some rule, 236.

other things being equal, nominee of majority of parties in interest entitled, 236.

will consider moral fitness, 236.

proceedings before on taking out letters of administration, 241.

cannot grant letters of administration to person not entitled alone on the petition of a person entitled, 243-4.

where person remotely entitled applies for administration, those having prior claim must be cited or renounce, 243 to 245.

proceedings before on granting administration where minor has prior claim to person applying, 245.

bond of administrator to be taken by, 242, 245.

must be acknowledged or proved, 245.

**JURISDICTION OF, TO ISSUE LETTERS OF ADMINISTRATION WITH THE WILL ANNEXED, AND *de bonis non*, 247-8.**

in what cases, and when to issue, 247-8.

proceedings before, on issuing, 249.

to take bond of administrator, 242, 249.

bond required as well of residuary legatee as of widow or next of kin, 249.

form of letters, 250.

**AUTHORIZED TO GRANT SPECIAL LETTERS OF ADMINISTRATION, OR OF COLLECTION, 250 to 252.**

in what cases, 251-2.

where delay in proving will, 251.

surrogate's discretion unrestricted, 252.

where delay in granting letters of administration, 251-2.

security to be given by collector, 252.

form of letters, 252.

**POWERS AND DUTIES OF, IN RESPECT TO INVENTORIES.**

appointment of appraisers by, to aid in taking inventory, 267.

See title *Inventory*.

may supervise proceedings of appraisers, and correct irregularities, 273-4, 276.

where executor or administrator omits to set apart property for widow, surrogate may order payment of a sum of money in lieu of the amount to which she was entitled, 276.

may extend time to return inventory, 279.

*proceedings to compel executor or administrator to return inventory*, 279, 287.

who may apply, 281.

petition, 281.

summons, 279 to 283, 286.

attachment, 279 to 283, 286.

when he may revoke letters of executor or administrator for not returning, 279, 286.

assign bond, 280, 286.

must be taken within a reasonable time, 282-3.

certificate of, to enable executor or administrator to transfer stocks, 304.

authority of, over collector, in respect to sales of personal property of deceased, 311.

proceedings before surrogate to obtain directions for sale, 311.

his authority to compel collector to account, and to deliver over property to executor or administrator, 305, 383.

*may give preference, in payment of debts by executor or administrator, to rent due or accruing upon leases, over debts of fourth class*, 311-12, 547.

proceedings to obtain order allowing preference, 317.

order allowing preference, 317.

upon accounting of executor or administrator, preference may be allowed, 318, 547.

pew rent not entitled to preference, 318.

may permit execution to issue upon a judgment obtained against deceased in his lifetime, 342, 344.

proceedings on obtaining permission.

*proceedings before, to procure order that execution issue upon a judgment obtained against an executor or administrator, after a trial at law upon the merits*, 367 to 371.

petition, 368.

order to show cause why execution should not issue, 368.

citation to account, 368.

order that execution issue, 370.

**SURROGATE—continued.**

**POWERS AND DUTIES OF, IN RESPECT TO TESTAMENTARY—OF 1862**

*proceedings before, to obtain order to have executor or administrator removed or appointed, otherwise than after a trial upon the merits* 371 to 372

when he may grant the order, 371.

amount for which execution may issue, 372.

*may decree payment of claim against an executor or administrator at any time after six months from the grant of letters* 356, 373.

petition for relief under the statute, 375.

citation to show cause why payment of debt should not be decreed, 376.

proceedings on return of citation, 376.

defence of Statute of Limitations, executor must set up in time, 376.

decree, 377.

whether surrogate may try validity of alleged claim? 377, 552 to 554.

to designate newspaper in which notice for extinction of claims to be published, 354, 382.

one newspaper sufficient unless he designate a second, 382-3.

*may order payment of legacy, or portion of legacy, to legatee, where same necessary, for his support, before the expiration of the year* 438-9, 442-3.

when and how order for advance to be made, 438-9, 442-3.

See title *Payment of Legacies*.

*may decree payment of legacy or distributive share, against executor or administrator, at any time after one year from grant of letters* 439, 454-5.

petition and proceedings, 356, 373, 455 to 457.

See title *Payment of Legacies*.

*may direct payment of legacy to minor, to his general guardian, on his giving security*, 438, 461.

See title *Payment of Legacies*.

proceedings to obtain directions, 460-1.

order directing guardian to receive, 461.

when minor has no general guardian, or direction not given for payment, surrogate to receive and invest legacy to minor, and apply income to his support, 426, 461.

remedies by proceedings before, to enforce disposition or distribution of residuary estate, 477-8, 483-4.

**JURISDICTION OF, IN RESPECT TO THE ACCOUNTING OF EXECUTORS AND ADMINISTRATORS**

after eighteen months from grant of letters, surrogate may order executor or administrator to account, and decree payment of claim, 355.

thirty days' service of order, 355.

creditor obtaining judgment after trial upon the merits, may have remedy to issue execution under this provision, 355, 371.

creditor obtaining judgment against executor or administrator otherwise than after trial upon the merits, must seek his remedy to issue execution under this statute, 371 to 373.

claimant who has not obtained judgment may have remedy under same statute, 378.

payment of legacies may be enforced by proceedings on accounting, 439, 459.

payment or distribution of residuary estate may be enforced by same proceedings, 478.

who may apply for order to account, 481.

may authorize executors and administrators to compromise debts, 483, 520.

proceedings to obtain authority to compromise debt, 520.

to apportion commission among the executors or administrators where there are several, 483, 534.

*jurisdiction of, on final settlement of the accounts of executors and administrators, to decree payment and distribution of property not paid or distributed, and to settle and determine questions concerning any debt, claim, legacy, &c.*, 547.

claims between the executor and the estate, 546-7.

several executors, 548-9.

as respects personal representatives of deceased executor, 549.

in respect to claim due surviving partner, 549.

to charge executor with value of premises of the estate sold to himself, 549.

to determine validity of an alleged *donatio mortis causa*, 549.

to determine the validity and amount of a claim by a creditor, brought against the executor and administrator, 551 to 553.

where creditor has security for his debt upon another fund, 554.

where there is a specific lien on the land devised, 554.

power of, on final distribution of accounts, to direct disposition of property still in executor's hands, and of securities not yet due, 485, 554, 556.

to allow retainer for debts not yet due, 586, 555.

**SURROGATE—continued.****JURISDICTION OF, IN RESPECT TO ACCOUNTING, ETC.—continued.***jurisdiction of, on final settlement of accounts of executors, &c.—continued.*

may compel executors to account for proceeds of sales of real estate, ordered by will to be sold to pay debts or legacies, 586, 555, 627-8.

cases to which jurisdiction extends, 555, 628.

*distribution under the statute*, 555, 569. See title *Distribution*.

where the deceased, at the time of his death, was domiciled abroad, 569, 571.

See title *Non-inhabitant*.

disposition of personal property under will of person dying domiciled abroad, 569.

See title *Non-inhabitant*.

disposition of personal property of intestate married women, 573 to 575.

See titles *Distribution*. *Husband and Wife*.

his authority with respect to disposing of or securing the distributive shares of minors, 558, 575.

form of surrogate's decree on final settlement of accounts of executor or administrator, 575, 577.

recitals in, 575.

decree for settlement of account, 575.

distribution, 576.

where accounting at instance of a single party, 577.

costs of the accounting.

executor entitled to his costs, so far as he is not in fault, 576.

surrogate not authorized to decree costs to the exclusion of the executor's commissions, 534, 576.

*enforcing surrogate's decree*, 583, 577.

by action at law, 577.

complaint, 577.

when by attachment, 577.

when surrogate may cause bond to be prosecuted, 578-9.

to sustain action upon bond, decree must be shown, 579.

service of copy decree not required, 579.

demand not necessary, 579.

omission to perform decree must appear, 579.

certificate of decree for payment of money, 30, 578, 581.

application for, and issuing certificate, 581.

to be filed with county clerk, 578, 581.

becomes a lien on land, 578.

execution upon, 578, 581.

form of the process, 578, 581.

to direct levy of property of executor and not of deceased, 581.

if execution returned unsatisfied, surrogate to assign bond, 578, 581.

proof required to obtain assignment, 581.

order for assignment, 581.

constitutes assignment, 581.

surrogate retains custody of bond, 581-2.

action upon bond may be in name of party obtaining decree, 582.

judgment in, 582.

accounting before, by collector, when letters of collection superseded, 305, 583.

**PROCEEDINGS BEFORE, BY EXECUTOR OR ADMINISTRATOR, TO OBTAIN AUTHORITY TO MORTGAGE, LEASE OR SELL REAL ESTATE OF DECEASED FOR THE PAYMENT OF HIS DEBTS, 585**

to 627. See title *Real Estate of Deceased Persons*.

when executor or administrator may make application, 587.

petition to mortgage, lease or sell, 587.

appointment of guardian for minors interested, 592.

See titles *Special Guardian*. *Minors*.

order to show cause why authority should not be given, 595.

service and publication of the order to show cause, 595.

hearing on return of the order to show cause, 596, 603. See also 617, 619.

what heir or devisee may show, on hearing, 596, 599.

surrogate cannot order sale to pay executor's expenses, 601.

nor costs, 601.

to order question of fact to be tried by a jury, 601-3.

to enter demands adjudged valid in a book, 603.

entry, *nunc pro tunc*, 603.



**SURROGATE—continued.****PROCEEDINGS BEFORE, BY EXECUTOR, ETC., TO OBTAIN AUTHORITY TO MORTGAGE, LEASE OR SELL—continued.**

order to mortgage, lease or sell, 603.

facts of which surrogate to be satisfied before making, 603.

of determining whether property shall be mortgaged, leased or sold, 604.

lease may be for how long, 604.

hears or deposes to show that money can be raised by mortgage or lease, 604.

order for sale, 605.

security to be taken, 606.

appointment of person to sell where executor or administrator omits to give security, 606.

notice of sale, 608.

to be six weeks, 608.

regulations of sale, 609.

vacating or confirming sale, 609-10.

conveyance of the real estate, 612.

surrogate cannot compel purchaser to take, 614.

disposition of proceeds of sale, 614.

calling executor or administrator to account, 614.

proceeds to be brought into surrogate's office to be distributed, 614-15.

payment of expenses of sale, and satisfaction of widow's dower, 615.

cannot sell widow's estate in dower, where dower has been assigned, 615.

notice of distribution, 617.

to be published six weeks, 616.

disposition of proceeds of sale, 617-18.

proceedings on distribution, 618.

disposition of surplus, 620.

surrogate to keep securities, and collect and apply same, 620-1.

to make investments, 621.

**PROCEEDINGS BEFORE, TO MORTGAGE, LEASE OR SELL THE REAL ESTATE OF THE DECEASED, FOR THE PAYMENT OF HIS DEBTS, TAKEN ON THE APPLICATION OF A CREDITOR, 621, 627.**

when they may be taken, 621 to 623.

petition of creditor, 623.

order that executor or administrator show cause, 621-2, 624.

appointment of guardian for minors interested, 624-5.

order that parties in interest show cause, 625.

order that executor or administrator mortgage, lease or sell, 626.

may distribute proceeds of sale of real estate, made by executors under devise or power, 627.

**PROCEEDINGS BEFORE, FOR THE REVOCATION OF THE PROBATE OF A WILL ON ALLEGATIONS, 637, 641.**

allegations, 638-9.

citation, 638 to 640.

hearing and proceedings on return of citation, 638, 640-1.

appointment of guardians for infant legatees, 638, 640.

probate of the will not *prima facie* evidence of its validity, 640.

will must be proved *de novo*, 640.

must reduce testimony to writing and enter it in a book, 641.

revocation of probate, 638, 641.

notice of, 639, 641.

service and publication of, 639 to 641.

where probate is confirmed, 639, 641.

costs and expenses of the proceeding, 639.

enforcing the collection of, 639, 641.

**PROCEEDINGS BEFORE, FOR THE REMOVAL OF AN EXECUTOR WHO IS LEGALLY INCOMPETENT, AND TO COMPEL AN EXECUTOR WHOSE CIRCUMSTANCES ARE SO PRECARIOUS AS NOT TO AFFORD ADEQUATE SECURITY FOR HIS DUE ADMINISTRATION OF THE ESTATE, TO GIVE SECURITY, OR TO REMOVE HIM FOR WANT OF SECURITY, 642 to 647.**

complaint, 642 to 644.

citation, 642, 644.

order enjoining executor, 643.

proceedings on return of citation, 644, 646.

when security should be required, 645.

**SURROGATE—continued.****PROCEEDINGS BEFORE, FOR REMOVAL OF EXECUTOR, ETC.—continued.**

cases to which statute applicable, 645-5.

order requiring security, 646.

amount of security, 646.

bond like that required of administrators, 643.

must be to the people, 647.

where executor neglects to give bond, letters testamentary superseded, 647.

when executor incompetent, security not to be taken, but letters testamentary to be superseded, 643, 646

cases of incompetence, 646.

**PROCEEDINGS BEFORE, TO COMPEL AN ADMINISTRATOR WHOSE SURETIES ARE INSUFFICIENT TO GIVE FURTHER SURETIES, OR, FOR WANT OF SUCH FURTHER SURETIES, TO REMOVE HIM FROM HIS TRUST, 647-8.**

**PROCEEDINGS, BY A SURETY OF AN ADMINISTRATOR, TO BE RELEASED FROM RESPONSIBILITY FOR THE FUTURE ACTS OR DEFAULTS OF THE ADMINISTRATOR, AND TO COMPEL SUCH ADMINISTRATOR TO GIVE NEW SURETIES, AND, FOR WANT OF NEW SURETIES, TO REMOVE HIM FROM HIS TRUST, 648, 651.**

**PROCEEDINGS BEFORE, FOR THE APPOINTMENT OF A GUARDIAN FOR A MINOR OVER THE AGE OF FOURTEEN YEARS, 677, 683.**

**FOR THE APPOINTMENT OF A GUARDIAN FOR A MINOR UNDER THE AGE OF FOURTEEN YEARS, 678 to 682.**

to annex copy of statute to letters of guardianship, 690.

**AUTHORITY OF, TO COMPEL GUARDIANS TO RENDER ACCOUNTS, AND TO SETTLE THEIR ACCOUNTS, 693.**

proceedings on accounting, 693.

**PROCEEDINGS BEFORE, FOR THE REMOVAL OF GUARDIANS, 697, 702.**

**PROCEEDINGS BEFORE, IN RESPECT TO AUTHORITY REQUIRED TO BE EXERCISED BY PUBLIC ADMINISTRATORS, 706 to 716, 721 to 726.**

**PROCEEDINGS BEFORE, FOR THE ADMESUREMENT OF DOWER, 726 to 735.**

**APPEALS FROM THE ORDERS AND DECREES OF SURROGATES, 735 to 756.**

**SURROGATE OF THE COUNTY OF KINGS.**

authorized to appoint clerks to assist him in his office, 21.

compensation of such clerks to be audited and allowed by board of supervisors, out of fees and perquisites of office, 21.

**SURROGATE OF THE COUNTY OF NEW YORK.**

election and term of office of, 13, 14.

vacancy in the office of, supervisors of the city and county to fill, 14.

has power to appoint assistants, 17.

number of, to be authorized by board of supervisors, 17.

to be responsible for acts of assistants, 17.

to be allowed salary of \$3,000 per year, 20.

board of supervisors of county may increase or diminish, but not more than \$500 at one time, 20.

salary, how to be paid, 20.

accounts of assistants of, not to be allowed until verified, &c., 20.

salary of, and of assistants, to be paid out of fees and perquisites of office, 20.

contingent expenses of, to be paid out of fees and perquisites of office, 20.

fees and perquisites and contingent expenses of, comptroller to keep a distinct account of, 20, 31.

penalty of bond of, as security, to be in \$10,000, 22.

supervisors may require from, and from assistants, such security as they may deem proper, 22.

to keep an exact account in a book of fees, &c., 32-41.

fees of, belong to, and are for the benefit of the city and county, and are to be paid over into the treasury, 41.

transcript of, to be transmitted to comptroller, under oath, monthly, within ten days after expiration of month, 41.

assistants to charge for service, and same to be accounted for, 42.

receiving fees to his own use, or neglecting to account for same, guilty of misdemeanor, and punishable by fine and imprisonment, 42.

where disabled from acting, first judge of Court of Common Pleas to act as surrogate, 46.

when both disabled, district attorney to act as surrogate, 46.

first judge, when acting as surrogate, to use seal of surrogate, 46.

to record wills and letters testamentary and of administration in surrogate's books, 46.

**SURROGATE OF THE COUNTY OF NEW YORK—continued**

practice in the court of in respect to granting administration of the goods &c. of deceased non-inhabitants, 239.

proceedings by public administrator before, to obtain authority to interfere to prevent embezzlement of decedent's goods, 706.

to obtain possession of property of the deceased concealed or withheld from him, 706 to 709.

**SURROGATES.**

office of surrogate, derivation and origin of, 1, 2, 4.

under the colonial government, 4.

first recognized under state government by act of 1778, 4.

See title *Surrogate*.

authorized to allow of guardians by act of 1802-3, 675.

to cause admeasurement of dower to widows, 4.

previous to Revised Statutes, jurisdiction undefined, laws defective, practice various and floating, 4.

evils remedied by Revised Statutes, 4.

incidental and constructive authority, 4.

restraints upon, provided by Revised Statutes, repealed by act of 1837, 5.

fees of, and their duties in respect to accounting for the same, 40.

cases in which disabled from acting, 43.

of supplying their places in such cases, 43.

prohibitions to which they, their clerks, law partners, &c., are subject, 47.

appeals from the orders, sentences and decrees of, 735 to 756.

**SURROGATES' COURTS.** See titles "*Surrogates*" and "*Surrogate*."

Statutory Courts, 7.

not courts of record, 7.

courts of peculiar and special jurisdiction, 7.

previous to Code of Procedure, laid down that they were entirely creatures of the statute, 7.

in pleading decree of, previous to Code, jurisdiction required to be shown affirmatively, 7.

since the Code, not necessary to state facts conferring jurisdiction, 7.

sales of real estate by order of, since statute of 1850, valid and effectual as if made by order of court of original general jurisdiction, 7, 633.

title of purchaser at, cannot be impeached for any cause for which same could not

be impeached, if order made by court of original general jurisdiction, 8.

have only a special and limited statutory jurisdiction, 8.

authority of, need not be given in express words, 8.

may be taken as granted, if same may be fairly inferred from general language of statute, &c., 8.

pleadings in, 8.

parties must make statements of their claims in, in the nature of pleadings, 7.

**OF THE COMPETENCY OF WITNESSES, AND THEIR EXAMINATION, AND THE EXAMINATION OF PARTIES IN PROCEEDINGS IN THE SURROGATES' COURTS.** 9, 10.

examination of parties in proceedings for the proof of a will, 162.

on accounting of executors or administrators, 515-16.

attorneys and counsellors not known as officers of, 9.

See title *Attorneys and Counsellors*.

existing organization of, 10.

See title *Surrogates, office of*.

county judge to be elected to discharge duties of office of surrogate, 10 to 12.

separate officer, 10 to 12.

local officers, 12.

surrogate of the county of New York, 14.

time for holding, when county judge performs duties of office of surrogate, 14.

may be held at time and place of holding county court, 15.

county judge to direct order of business in, 15.

when held by separate officer elected to discharge duties of office of surrogate, to be

held at the times and places, and in the manner and with the powers and jurisdiction provided by law, 15.

laws relating to, to apply to county judge and other officers, 15.

to be held by surrogate, 23.

writs and process, issuing of, to be in the name of the people, unless otherwise provided by law, 30.

time when they shall be open, 30-1.

**SURROGATES' COURTS**—*continued.***OF THE COMPETENCY OF WITNESSES, AND THEIR EXAMINATION, ETC.**—*continued.*

open at all times, particularly Monday of each week, sittings of, must be public, 31.

cannot be open or transact business on Sunday, 31.

no stated terms in, 31.

process to enforce orders, &c., of, may be issued by surrogate of one county, to officers

authorized to serve in any other country, and officer required to execute same, 30.

issued out of, officers to whom directed must execute as if issued by a court of record, 34.

for neglect, officers subject to like penalty, 34.

sheriff, jailer, coroner, &c., to execute process of, in the same manner as if issued by court of record, 34. See title *Process*.

provisions of certain sections of the Revised Statutes specified, to apply to attachments issued out of, 34.

See title *Attachments*.

may award costs in cases of contest, 39, 651.

costs to be taxed at rate allowed by Court of Common Pleas, 39, 651-2.

See title *Costs*.

when jurisdiction acquired, same exclusive of all other surrogates, 39.

See title *Jurisdiction*.

will proved in, conclusive as to personal estate only, 48.

may be proved in, as to both real and personal estate, 49.

See title *Will*.

where will of married woman proved in, duty of to admit to probate, leaving it to proper tribunal to determine what property passes, 64.

cannot, upon the probate, pass upon operation or effect of such will, 64.

whether provisions of statutes relative to revocation of *devises and bequests*, as distinguished from those relating to revocation of *wills*, were not intended for guidance of courts of construction and not of Probate Court? 141.

what wills must be proved in, 146.

importance of proving will of real estate in, since R. S., 146.

what Surrogate's Court has jurisdiction to take the proof of the will, 147.

provisions of the statute prescribing the surrogate to have jurisdiction, 147-8.

cases unprovided for by the statute, 147.

jurisdiction in those cases, 147.

Surrogate's Court has jurisdiction to take proof of will of personalty valid by law of domicile, but not by law of place where made by commission, 147.

course of proceeding in, in matters relating to probate and administration, 147.

where jurisdiction given by statute, mode of exercising it is in discretion of surrogate, 147.

proceedings in, on proving wills, 148.

of the proving of wills of inhabitants of the county—of the preliminary proceedings, 148.

of the petition for the proof, 150.

of the issuing and service of the citation, 153.

of proceedings for the proof, 157.

See titles *Will*. *Of Proving Wills*.

of the proving of wills of non-inhabitants, 192.

the only courts in which validity of will of personalty can be established or disputed, 212-13.

the only Courts of Probate, 213.

letters testamentary or probate from, requisite to enable executor to assert or rely on title in any other court, 213.

what Surrogate's Court may issue letters testamentary, 212 to 14.

in what Surrogate's Court letters of administration shall be obtained, 227.

See title *Letters of Administration*.

costs and fees in, 39, 651. 563.

cannot require security for costs, 653.

**SUSPENSION,**

of the absolute ownership of personal property restricted, 396.

**Taxes.**

in the order of payment of debts of deceased, to be paid in second class, 312, 315, 353.

executor or administrator not warranted in paying taxes assessed subsequent to decedent's death, 514.

may be allowed such charges as paid on account of distributive share, 514.

payment of, on real estate relieves real estate at the expense of the personal, 315.

**TAXES—continued.**

- duties and liabilities of executor or administrator in respect to the payment of taxes on property of the deceased in his hands, 339.
- individual property of executor or administrator may be taken for tax upon him in his representative character, 339.
- executor or administrator should examine tax lists and have the same corrected if erroneous, 339.
- due at the death of the deceased, payable out of personal estate, 340.
- ascertaining subsequently, chargeable upon the land, 340.
- not to be deducted from annuity, 466.
- may be deducted from interest payable to legatee, 466.

**TENANT FOR LIFE.**

- executor or administrator of, may recover from lessee of, proportion of rent due at death, 292.
- of personal property, remainder over, 449.
- See title *Payment of Legacies*.
- a person taking the residue for life, is entitled to the proceeds from testator's death, 442.
- where the bequest is of things *quæ ipso usu consumuntur*, 460.
- of things daily wearing out, 452.
- inventory by tenant for life, 452.
- duty of executor to convert the property into money, and invest the proceeds, 451.

**TESTAMENTARY GUARDIAN,**

- appointed by will, not guardian for purposes of proof of will, 149.
- when entitled to receive legacy of minor, 438, 460-1.

See title *Payment of Legacies*.

- how constituted, 673.
- powers of, 673-4.
- father only can appoint, 674.
- under law of 1860 probably mother has the power, 674.

**TESTAMENTARY TRUSTEES,**

- surrogate has jurisdiction to take and settle the accounts of, 26-7, 484-5, 546.
- accounting by executors as, 546.

**TESTIMONY,**

- of foreign witnesses may be taken by commission in proceedings in Surrogates' Courts, 30, 168.
- taken by surrogate, in relation to the granting or revocation of letters testamentary, or of administration, to be reduced to writing and entered in book, 31, 191.
- on proving will, 159, 171.
- to be committed to writing, 165.
- and subscribed by witness, 165.
- statute prescribes no form for taking, 165.
- of aged, sick, or infirm witness residing in same county with surrogate, how obtained, 165.
- residing in another county, 165 to 168.
- of foreign witness, 168 to 171.
- what must appear by, to establish will, 171.
- where that of subscribing witness defective, may be supplied from other sources, 174 to 181.
- parties resisting probate, may introduce, to show will not valid, 181.
- of blind person, 60, 181.
- in relation to the proof of any written or unwritten will, to be reduced to writing and entered in a book, 165, 191.
- on proving will of non-inhabitant, 193.
- where there are conflicting claims to administration, 245.

**TIME,**

- for holding Surrogates' Courts, 15, 30.

**TIOGA—COUNTY OF,**

- local officer to be elected in, to discharge duties of county judge and surrogate, 12.
- time of election, and term of office of, 13.
- when to be designated special county judge, and when special surrogate, 17.
- to possess powers of, and to continue proceedings commenced before county judge, 17.
- to be allowed compensation by board of supervisors, 19.
- to discharge duties of county judge and surrogate in case of vacancy, 39.
- fees of, to be paid over to county treasurer, 41.



**TITLE,**

of purchaser of real estate at surrogate's sale, not to be impeached for any omission, &c., for which same could not be impeached, if made by order of a court of original general jurisdiction, 8.

**TITLES,**

of officers authorized to perform duties of office of surrogate, 16.

**TOMPKINS—COUNTY OF.**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election and term of office of, 13.

powers and duties of, 13.

to be allowed compensation by board of supervisors, 20, 41.

to discharge duties of county judge and surrogate in case of vacancy, 39.

fees of, to be paid to county treasurer, 41.

**TRADE OF THE DECEASED.**

profits of, carried on by executor, shall be assets in all cases, 337.

executors have no authority to carry on, 337-8.

what is meant by this doctrine, 338.

liability of executor continuing, 337.

executors carrying on, profits must be accounted for, 526.

good will of partnership, assets, 527.

**TRANSCRIPTS.**

surrogates to make and certify to the correctness of, 33.

on neglect or refusal so to do, surrogate guilty of a misdemeanor, 33.

**TRUSTEE.** See title *Testamentary Trustee*.**TRUSTS,**

express trusts, 398.

purposes for which they may be created, 398.

act of May 14, 1840, authorizing certain, 398-9.

. **Ulster—county of,**

local officer to be elected in, to discharge duties of county judge and surrogate, 13.

time of election, and term of office of, 13.

powers and duties of, 17.

to be known as special county judge, 13.

to be allowed compensation by board of supervisors, 19.

to discharge duties of county judge and surrogate in case of vacancy, 39.

fees of, to be paid over to county treasurer, 41.

**UNCLE,**

his degree as next of kin, 234, 566.

grandfather preferred to him, 334, 566.

great grandfather shall share with him in distribution, 566.

so shall nephews and neices, 566.

**UNSOUND MIND,**

persons of, cannot devise real estate, 54.

bequeath personal estate, 54.

who are, 55.

persons who do not betray a total loss of understanding, are not of, 55-6.

what evidence necessary to support will, made by persons of, during lucid intervals, 57-8.

how to determine whether a man is of, 60.

See titles "*Idiots*." "*Insanity*." "*Lunatic*."

**USES AND TRUSTS,**

statutory provisions relative to, 398.

express trusts, 398.

act authorizing certain trusts, 398.

**Vacancies,**

in office of surrogate, regulations respecting, 15.

of county of New York, board of supervisors of city and county authorized to fill, 15.

**VESTED LEGACIES,**

doctrine of, 420, 426.

See title *Lapsed Legacies*.

## VOUCHERS.

- executor or administrator to produce, on rendering account, 482, 496.
- to be deposited and remain with surrogate, 482, 496.
- when expenditure may be allowed, for which no voucher produced, 482, 496, 512, 513.
- comparing with account, 512.
- when, if ever, they may be dispensed with, 513.
- conclusive, unless successfully attacked, 513.
- want of affidavit, verifying claim, will not warrant rejection of, 513.

**Warrant**

- to be issued by surrogate on application of public administrator in the city of New York, to search for and seize property, 707.

## WASHINGTON—COUNTY OF,

- local officer to discharge duties of surrogate, to be elected in, 13.
- to be designated "special surrogate," 14.
- time of election, and term of office of, 14.
- to be allowed compensation by board of supervisors, 20, 41.
- to discharge duties of surrogate, in case of vacancy, 13, 39.
- fees of, to be paid to county treasurer, 41.

## WAYNE—COUNTY OF,

- board of supervisors of, by a two-third vote, may abolish fees of county judge, 43.

## WEAKNESS,

- of understanding,
- what is sufficient to be a ground of incapacity, 55.
- subject of affirmative proof, 181.
- rule in respect to, not to be applied to cases of lunacy, 176.

## WIDOW.

- surrogates authorized to cause admeasurement of dower of, 4.
- have power to admeasure, 24.
- proceedings upon admeasurement to be recorded, 32.
- name and residence of, to be ascertained on application to prove will of personal property, 149.
- citation to prove will of personal estate to be directed to, and served upon, 149-50.
- 153.
- may file affidavit of intention to file objections against grant of letters testamentary to person named as executor, 216-17.
- may compel person named as executor, neglecting to qualify for thirty days after proof of will, to qualify or be deemed to have renounced, 220-21.
- See title *Legatee*.
- her right to administration, 228, 235.
- articles exempted from appraisement to be set apart to, 270-1.
- additional property in the discretion of the appraisers, not exceeding in value \$150, for the use of, 271.
- appraisers' discretion applies only to select the articles, not to the amount, 273, 275.
- may set apart portion in furniture or other articles, and portion in money, 273-4.
- where executor or administrator omits to set apart property for widow, surrogate may direct the payment of a sum of money in lieu of the amount to which she was entitled, 276.
- testamentary provision in favor of, no bar to right to exempt property, 276.
- even if declared to be in lieu of all rights in estate, 276.
- contribution from legacy given to, in lieu of dower to make up share of post-testamentary child, 444.
- whose dower has been assigned, cannot show cause why real estate should not be mortgaged, leased or sold, 598.
- her estate in dower cannot be sold by order of surrogate for payment of debts, 606, 617.
- part assigned may be sold, subject to her life estate, 617.
- where she declines to accept a gross sum in lieu of her claim of dower, 617-18.
- effect of act of March 20, 1860, concerning the rights and liabilities of husband and wife, 618.
- has no estate in the lands of her husband before assignment of her dower, 728.
- PROCEEDINGS BEFORE, BY WIDOW, FOR ADMEASUREMENT OF HER DOWER, 727 to 735.
- proceedings by widow to recover her dower when assigned, 734.
- right of, to elect where testamentary provision made for her in lieu of dower, 469, 471.
- See title *Election*.

WIDOW—*continued.*

- provisions of statute prescribing dower, 469, 726-7.
- what shall constitute a valid election by her, 470.
- what constitutes a case of election under the Revised Statutes, 471.
- may make application to surrogate to compel executor or administrator to account, 487.
- executor entitled to be allowed reasonable sustenance of, during her quarantine, 542, 727.
- See title *Quarantine*.
- her rights under the Statute of Distributions, 556, 559.
- See title *Distribution*.
- a child shall not bring in his advancement for her benefit, 563.
- in proceedings to mortgage, lease or sell the real estate of the deceased for the payment of his debts.
- order to show cause to be served upon, 595.
- satisfaction of her dower, 615.
- E. See title *Husband and Wife*.
- capable of making will while married, or revoking will made previous, 63, 137-8.
- of being executrix with consent of husband, 214, 215.
- of being legatee, 400.
- her rights as to guardianship of her children, 674.

## WILL.

- surrogate to take proof of, 24.
- to record all wills proved before him in a book, 31.
- to record will of real estate in his county, proved in another county, 31.
- cannot admit to probate where he is interested or named as trustee or executor, 44.
- prohibition does not apply, unless objection taken by parties in interest at first hearing, 44.
- when proved before first judge, to be recorded in surrogate's books, 45-6.
- of personal property, probate taken by surrogate conclusive, unless revoked or reversed on appeal, 41, 283, 188-9, 212.
- codicils to wills, 52.
- definition of, 52.
- form of, 52-3.
- need not be physically annexed, 53.
- must be constructively connected by reference to the will of which it is a supplement, 53.
- there may be many codicils, if not contradictory to each other, 53.
- must be executed, &c., with the same formalities as a will, 53.
- is revocable, 53.
- mutual, unknown to testamentary law, 53, 127.
- there can be only one last will, 53.
- the term as used in 6th chapter of the 2d part of R. S., includes codicils as well as wills, 205.
- mutual, 53, 127.
- See title *Mutual Wills*.
- nuncupative, 65, 125 to 127, 202.

See title *Nuncupative Wills*.

## OF PERSONAL PROPERTY.

- origin of, 49.
- power of making, has existed from earliest period, 49.
- not at common law of the whole, unless testator died without either wife or issue, 49.
- the law now altered, and a man may bequeath the whole, 49.
- whether exemption law imposes a restriction, 49.
- act of April 13, 1860, "relating to wills," restricting as to bequests to benevolent, religious or other societies, 49, 50.
- termed *testamentum*, 50, note (k).
- definition of last will and testament, 50.
- will operate on personal estate acquired since the date of will, 50.
- in strictness cannot exist without the appointment of an executor, 52.
- former distinction between a will and a codicil, 52.
- distinction, in this respect, between a will and a codicil, 52.

## OF REAL ESTATE.

- formerly operated only on land owned at the making of the devise, 50.

**WILL—continued.****OF REAL ESTATE—continued.**

law in this particular, altered by Revised Statutes and devises made prospective, 50.

See *Wills of Real Estate*.

the bare nomination of an executor will make it a will, 205.

its nature, 53.

in all cases a revocable instrument, 53.

cannot be joint or mutual, 53.

**WHO IS CAPABLE OF MAKING, 54.**

*persons incapable from want of discretion.*

infants, 54.

See title *Infant*.

males under eighteen, females under sixteen, 54, 61.

cannot and never could devise real estate, 61.

mode of computing age for testamentary purposes, 61.

idiots, 54,

who are considered so, 54-5.

cannot at any time make a will or testament, 54.

persons of mean understanding, however, may make will, 54-5.

degree of mental capacity requisite to execution of a will discussed, 55 to 57.

man born deaf, dumb and blind, looked upon by law as an idiot, 57.

deaf and dumb, 57, 79, 124.

blind persons, 61, 179.

what formalities necessary, 123-4, 179-80.

persons who cannot read, 179.

lunatics, 57 to 60.

See title *Lunatic*.

monomaniacs, 59.

persons who have outlived their understanding, 60.

incapacity from old age, 60.

old age alone will not disqualify, 60.

persons weak in understanding, 54-5, 176.

persons drunk, 61.

habitual drunkards, 61.

inofficious testaments, 179.

*persons disabled from want of liberty or free will, 61 to 64, 177.*

prisoners, captives and the like, 61.

will obtained by *force*, 61, 171, 177, 183.

will obtained by *fear*, 62.

will obtained by *fraud*, 62, 171, 177, 183.

cannot be set aside in equity, 183.

will obtained by *influence*, 62, 171, 177.

what sort of influence will invalidate, 177.

suggestion allowable, 177.

will of married woman, 62 to 64.

See title *Husband and Wife*.

of real estate, who may make, 54.

See title *Devise*.

made under a power, 62, note (c).

**FORM AND MANNER OF MAKING, 64.**

difference between formalities before and since the Revised Statutes, 64 to 67.

statute prescribing, 65.

witnesses to, must write opposite their names their places of residence, 65.

person signing testator's name, to write his own name as a witness, 65.

penalty for neglect to comply with either of these requirements, 65.

omission will not affect validity of will, 65.

statute prescribing, 65.

comprises all the directions necessary to be observed in execution of wills, 66.

sole guide to formalities, 66.

four ingredients must enter into complete execution, 66.

1, will must be subscribed by testator at the end, 65-6, 68 to 81.

2, subscription must be in the presence of, or acknowledged to two attesting witnesses, 65 to 67.

3, testator must publish, 65-7, 81 to 101.

4, two witnesses must sign at the request of the testator, 65-7, 101 to 118.

**WILL—continued.****FORM AND MANNER OF MAKING—continued.**

statute, is peremptory evasion or omission not tolerated, 66-7.

literal compliance with, however, not necessary, substantial sufficient, 67.

neither of the four acts may be done at a different time from the rest, 67, 80, 90.

order in which acts performed immaterial, 68, 80, 90, 172-3.

all must be performed and completed in the life of the testator, 68.

*of the subscription of the will by the testator*, 68 to 81.

must be subscribed by the testator at the end, 65 to 68.

not necessary that testator should be able to write—signing by mark or by hand of another, good, 68-9.

what constitutes a subscription by the testator at the end, 69 to 73.

case of the *will of John Tonnele*, 70.

*Catharine Kerr*, 71-2.

English statute, 72, note (n.)

person signing for testator, must sign testator's, not his own name, 73.

not necessary that all the sheets of will should be signed, or that they should be connected together, 73.

absence of separate paper containing testamentary intentions, will not vitiate execution, 73.

*subscription to be made by testator at the end, in the presence of the two attesting witnesses, or to be acknowledged to each of the witnesses*, 74 to 81.

acknowledgment good, whether subscription made by testator or another person, 75.

where subscription not made by testator, what constitutes a sufficient acknowledgment of the subscription, 75.

case of *Chaffee v. The Baptist Missionary Convention*, 75.

the *goods of Charlotte Elizabeth Ives Bosanquet*, 77, note (v).

the *will of Thomas Lewis*, 78-9.

where subscription not made in presence of one of the witnesses, and no acknowledgment to him, defect fatal, 79.

acknowledgment, no particular form of, 79.

need not be in words, 79.

may be by signs, 79.

must be to both witnesses at the same time, 79, 80.

subscription in presence of one witness and acknowledgment in presence of the other not allowed, 80.

*of the publication of the will*, 65 to 67, 81.

testator, at time of making subscription or acknowledging same, to declare instrument so subscribed to be his last will and testament, 65 to 67, 81.

no publication ever necessary before Revised Statutes, 81.

intent and meaning of the statute, 82-3.

no particular form of words necessary to comply with requirement of statute, 83, 98 to 101.

what is a sufficient declaration, 83, 98 to 101.

case of the *will of Emanuel Abrams*, 84.

*Remsen v. Brinckerhoff*, 85 to 87.

the *will of Mungo Currie*, 87.

*Brown v. De Selding*, 88-9.

whether declaration may precede subscription? 89, 90.

which is done first in order of time is immaterial? 89, 90.

*whether declaration must be made to the attesting witnesses, or in their presence, in such terms or in such a manner as to enable them to know the fact that it was so made?* 90 to 98.

case of the *will of Henrietta Hicks*, 92-94.

*Brown v. De Selding*, 94-5.

*Van Wyck agt. Seymour*, 95-6.

*what shall constitute a sufficient publication?* 98 to 101.

use of the precise term "declare," not necessary, 98.

reading of the will in the presence of the testator and witnesses, and subscription by all parties in presence of each other, good execution, 98-9.

publication may be by answer to question, 98.

where testator deaf, writing on slate, 99.

statement by one witness to the other at the time of subscription, in the presence and hearing of testator, a compliance with statute, 99.



**WILL—continued.**

**FORM AND MANNER OF MAKING—continued.**

*of the publication of the will—continued.*

*what shall constitute a publication—continued.*

- information in such case should be distinct and unequivocal, 99.
- where instrument called "will or agreement" not sufficient, 99.
- where testator declared the paper to be his free will and deed, not sufficient, 100.
- calls paper "an instrument in writing," it is not a publication, 100.
- declaration cannot be left to the interpretation of a laugh, to mere guess, surmise or conjecture, 100-1.
- publication and attestation must be completed in the life of the testator, 68, 101.
- of the attesting witnesses and their duties, 65, 100.*
- there must be at least two attesting witnesses, each of whom must sign his name at the end of the will, at the request of the testator, 65, 100, 105.
- only two witnesses necessary; if three persons sign, defect as to one immaterial, 100.
- witnesses must sign their names, initials not sufficient, 101.
- one, however, holding and guiding the hand of the other, good, 102.
- making a mark to his name already written, sufficient, 102-3.
- mark alone not sufficient, 105.
- must sign their names *at the end of the will*, 105.
- what is a signing *at the end of the will*, 105.
- object of the statute, 105, 106.
- testator and witnesses must agree as to the instrument—what it is and where it is to end, 106.
- case of the *will of Catharine Kerr*, 106.
- goods of M. Taylor*, 106.
- usually sign at end of attestation clause, 107.
- attestation clause not necessary to validity, and does not constitute part of, 107.
- on a rigid construction of the statute, the conclusion of that clause is not the place designated for the witnesses to sign, 108.
- signing by, at the end of the attestation clause, sanctioned by practice, 109.
- immediately after the last line of the will is the proper place for the witness to sign, 109.
- attestation clause may then be appended, and signed also, 109.
- duties of, on attesting will, 107-8.
- each of the witnesses must sign his name at the end of the will, *at the request of the testator*, 65-6, 109.
- not material at what stage of the execution request is made, 109.
- testator need not, in terms, request witnesses to attest, 110.
- request to, to sign, may be implied as well as expressed, 110.
- reading of will and attesting clause may amount to a request, 111.
- circumstances attending execution, may establish and imply, 111.
- request to, to sign, may be communicated by signs or implied by acts of parties, 112.
- may be made by testator answering "yes," to question whether he wished them to sign, 112.
- by one witness to the other in the presence of the testator, 112 to 114.
- when it may be presumed, 114.
- statute silent as to witnesses signing in the presence of the testator, 114.
- doctrine of constructive presence, under previous statute, rejected, 115.
- not necessary that they should sign in testator's presence, 115-16.
- unattested papers referred to by will, 118-19.
- rule as to, under former statute, not changed by Revised Statutes, 118, 119.
- where subscribing witness, legatee, devisee, &c., 119, 162, 171-2, 399, 400.
- creditor, when debt charged on land, competent witness to, 119, 161, 171-2.
- effect of evidence of attesting witnesses as to the circumstances of attestation, 119-20, 172.
- will may be sustained in opposition to their testimony, 120.
- where attestation clause full, mere inability of witnesses to recollect will not invalidate will, 120, 172 to 178.

**FORM AND LANGUAGE OF A WILL, 121.**

no particular form necessary, 121.

**WILL—continued.****FORM AND LANGUAGE OF A WILL—continued.**

- technical language not necessary, 121.
- may be in Latin, French, or any other tongue, 122.
- may be contained in several papers, 121-2.
- materials with which will may be written, 122.
  - may be made or altered in pencil as well as in ink, 122.
- who may be the writer, 122, 175.
  - legatee, 122, 175 to 178.
    - doctrine as to proof of will when so prepared, 122, 123, 178-9.
- in all cases revocable, 53, 127, 129.

**REVOCATION OF, 127.**

- revocable nature of a will, 53, 127, 141.
  - whether mutual wills revocable, 53, 127.
- not affected by subsequent insanity of testator, 57.
- enactments in Revised Statutes respecting, 127, 129.
  1. By a subsequent testamentary disposition, 129.
    - subsequent will does not revoke former, unless it contains clause of revocation, or is inconsistent with it, 129 to 131.
    - mere proof of execution of subsequent will not sufficient to invalidate prior will, 131.
    - two inconsistent wills of the same date, 131.
    - where there are several testamentary papers of different dates, it is a question of intention, upon all the circumstances, how far either is a revocation of another, 131-2.
    - whether, on revocation of a latter will, a former uncanceled will is revived, 132.
      - statutory provision that it shall not, 128-9, 132.
      - construction of the statute, 132-3, and note (n) p. 133.
  2. By express revocation.
    - statutory provision respecting, 127, 133.
  3. By re-publication of a prior will.
    - doctrine stated, 133.
  4. By burning, tearing, cancelling, obliterating or destroying.
    - not necessary that instrument itself should be consumed or torn to pieces, 133-4.
    - what injury amounts to revocation, 134.
    - question of revocation, question of intention, 134, 136.
    - when destruction of will is a revocation of codicil, 136.
    - onus of proof of cancellation, lies with those who oppose will, 137.
  5. By marriage, or other change of circumstances. Presumptive or implied revocations.
    - no will to be revoked, unless by another will, or expressly, or by mutilation, &c., except where, subsequently to making will, testator has married and had issue, or a change has occurred in his family, or with respect to property devised or bequeathed, 127-8, 137, 436.
      - to what cases the statute extends, 137.
        - conveyance, settlement, deed, or other act, by which estate or interest in property previously devised or bequeathed altered, but not wholly divested, 128, 138, 142, 436.
        - where provisions of instrument wholly inconsistent with previous devise or bequest, 128, 138, 142, 436.
      - by Revised Statutes, will of married woman revoked by subsequent marriage, 137.
        - effect upon this statute of the law for the more effectual protection of the property of married women, 138.
      - implied revocation by ademption, 138 to 141.

**RE-PUBLICATION OF, 142 to 146.**

- provision of the statute respecting, 128-9, 142.
- revocation of a second will, which, by its terms, manifests intention to revive first will, itself a re-publication of first will, 142.
- codicil will amount to, 142.
  - need not be annexed to or confirm will, 142.
    - when annexation important, 142.
  - though referring inaccurately to will, may re-publish it, 143.
  - will not re-published will, if it appear on the face of the codicil that it was not the intention of the testator to re-publish will, 143.

**WILL—continued.****RE-PUBLICATION OF—continued.**

codicil will amount to—*continued.*

will re-publish and render valid a will deficiently executed, 143.

**CONSEQUENCES OF RE-PUBLICATION, 143 to 146.**

re-publication not so important with respect to personalty, as it was with regard to realty before Revised Statutes, 143.

codicil, executed after Revised Statutes, to will made previously, renders construction of will subject to provisions of Revised Statutes, 144.

re-publishing will; will not be read in any way differently from mode in which it would have been read had the testator died the moment after executing will, 144.

**OF PROVING WILLS.**

what wills must be proved in Surrogates' Courts, 145.

of real estate, importance of proving since R. S., 146.

what Surrogate's Court has jurisdiction to take proof of, 146.

provisions of the statute, 146-7.

cases unprovided for by the statute, 147.

surrogate's jurisdiction in those cases, 147.

of personalty, valid by law of domicil, but not by law of place where made, may be proved in Surrogate's Court, 158.

course of proceeding in Surrogate's Court, 147.

**OF PROVING WILLS OF INHABITANTS OF THE COUNTY, 148.**

*of the preliminary proceedings, 148.*

who may apply to have will proved, 148.

facts surrogate must ascertain by evidence on application, 148.

provisions of the statute, 148.

petition for proof to embody facts required, 150.

to set forth heirs and next of kin of testator, 148, 150.

who are heirs and next of kin, 150.

must be under oath, 152.

where married woman propounds will, husband must join, 152.

where person applying for proof is the only person interested in estate, 156.

all parties in interest may unite in application, 156.

proceedings in such cases, 156.

no citation to issue, 156-7.

regulations and proceedings respecting minors, heirs and next of kin, 156-7.

appointment of special guardian for minors, heirs, or next of kin, having no general guardian, 148-9, 152.

citation to be issued and served, 149 to 158.

directed to proper persons, 149 to 158.

who are the proper persons, 158.

how directed, 158.

as to married women, 158.

as to minors, 158.

where heir or next of kin has died since testator's death, 158.

contents of, 158-9.

how served, 150, 154-5.

upon married woman, 154.

persons residing in this state, 150, 154.

out of this state, 150, 155.

proof of service of, 150, 155-6.

on return day of, appearances and defaults to be entered, 156.

if not served on all to be served, matter to be adjourned, and second citation to issue, 148, 156.

need not be issued where all the parties in interest unite in application for proof of the will, 156-7.

*proceedings for the proof, 157.*

on proof of service of citation, surrogate to cause witnesses to be examined before him, 157.

proofs and examinations to be reduced to writing, 157.

witnesses may be subpoenaed, 157.

person having custody of will, may be subpoenaed to produce, 157.

disobedience to subpoena, how punished, 158.

**WILL—continued.****OF PROVING WILLS OF INHABITANTS OF THE COUNTY—continued.***proceedings for the proof—continued.*

witnesses' fees, 158.

form of subpoena, 27, 158.

any person interested in establishing will, may intervene to promote proof, 158.

must come in by petition, 158-9.

legatee under will revoked by codicil, may intervene, 159.

legatee under prior will may intervene, 159.

person intending to oppose proof, must appear before surrogate on return day of citation, 159.

*of taking the testimony, 159.*

person opposing proof, if interest disputed, bound to show his right to contest, 159.

where two or more wills propounded, proceedings consolidated, 159.

opponent of will not obliged, in any stage of the proceedings, to define his objections, 159.

*of the necessary witnesses, 160.*

statute prescribing proof to be taken for establishment of will, 161 to 171.

two witnesses, at least, in what cases required, 160 to 171.

person contesting, may require examination of all, in what cases, 160, 165.

where all the witnesses dead, insane, out of the state, or incompetent to testify, 161.

proof of handwriting to be taken, and will admitted to probate as a will of personal estate only, 161.

where one witness or more examined, and the others dead or out of the state, proof to be taken, 161.

competency of subscribing witness to will, 119, 161-2, 172 to 399.

proof of custody of will, 160, 162.

not required in all cases, 162.

oath to witnesses, 162 to 165.

testimony to be committed to writing, 165, 190.

to be entered in book, 190.

statute prescribes no form for taking, 165.

*of the examination of witnesses residing in the same county with the surrogate, or in an adjoining county, disabled from attending, 165.*

aged, sick or infirm witness in same county, surrogate to take his testimony at his residence, 165, 171-2.

proceedings to obtain testimony of such aged, sick or infirm witness residing in another county, 165 to 168, 171.

*of the examination of witnesses residing out of the state, 168 to 171.*

surrogate may issue commission to take the testimony of, 30, 168.

practice on taking out commission, 168 to 171.

commission, returns, &amp;c., to be filed, 170-1.

*of the evidence on proving will, 171.*

proof requisite to establishment of will, 171.

compliance with all the requirements of the statute must be shown, 172-3.

where attesting witnesses dead, &amp;c., or have forgotten facts, attestation clause may come in aid of proof, 173 to 175.

testimony may also be supplied from other sources, 174 to 181.

parties resisting probate may introduce any testimony to show will not valid, 181.

where the evidence of the attesting witnesses, or of one of them, shows a positive non-compliance with the statute, will must be rejected, 175.

testator must be shown competent to devise real estate, and not under restraint, 171 to 175.

what restraint will invalidate will, 177.

where legatee or devisee writes will, 122-3, 175 to 181.

See *supra*, *Form and Language of Will*.

where testator blind or infirm, 60, 123-4, 179-80.

as to what shall operate as, and compose will of testator, 182.

ambiguity upon *factum* of instrument, 182.

parol evidence, when to be admitted to explain, 183, 409.

undue insertions or omissions in wills, 184, 409.

as to proof requisite of competency to devise real estate, 185.

*of the entries to be made by the surrogate in his minutes, 185, 187.*

form of record of will, 187.

**WILL—continued.**

**OF PROVING WILLS OF INHABITANTS OF THE COUNTY—continued.**

*of the record and probate of, and effect thereof, and of recording the proceedings, 187 to 191.*  
will proved to have certificate of proof indorsed on it, 187.

probate conclusive of validity of will, 188.

exception, 188.

record to be made in surrogate's books, 190.

effect of, as evidence, 190.

if will be not established, testimony only need be recorded, 190.

*disposal of, after proof, 191.*

*of the proving of wills of non-inhabitants, 191.*

jurisdiction of surrogate to take proof, 191.

relating to real estate, must be executed and proved in accordance with statute of this state, 191.

preliminary proceedings, 193.

petition, 193.

citation, 193.

witnesses and testimony, 193.

relating to personal property, *situs* of the property regulates jurisdiction, 194.

none can be recognized except such as has been or may be admitted to probate in this state, 194.

made in foreign country, disposing of personal property here, must be proved where assets are, 194, 223.

law of domicile determines validity of, 194.

jurisdiction of Supreme Court to take proof of wills of non-inhabitants, 195 to 201.

statutory provisions, 194, 223.

record of will on proof in Supreme Court, 195, 223, 225.

executed abroad, and witnesses to which reside abroad, may be proved on commission issued out of Surrogate's Court, 195-6.

proving and validity of wills of personalty, executed in this state by non-residents, 195.

proving and validity of wills made by persons domiciled abroad, or executed out of this state by persons not citizens of this state, 196-7.

proceedings in such cases, 198-9.

entries and orders to be made by surrogate, 198.

certificate on will, 198.

exemplifications, copies, and records of wills, 201.

exemplification of record of will only, without proofs, cannot be received in evidence, 201.

of, previous to 1785, when to be received in evidence, 201.

of, previous to 1830, when and how to be received in evidence, 201.

surrogates and clerks of Supreme Court to make exemplified copies, &c., 201.

will of real estate, duly proved, may be recorded in any county, 201.

exemplification of record may be also recorded in any county, 201-2.

clerk, on recording such will, to index it in indices of deeds, 202.

clerk's fees for recording, &c., 202.

proving of nuncupative will, 202.

See title *Nuncupative Will*.

*proceedings where all the subscribing witnesses are dead, insane, or non-residents, 202-3.*

surrogate to take proof of handwriting, 202-3.

such proof to be signed, &c., and deposited with surrogate, 203.

in what case such proof, &c., to be received in evidence, 203.

of the preliminary proceedings in such case, 203-4.

certificate at the end of the record, 203.

*of compelling production of will before surrogate for proof, 204.*

by *subpoena duces tecum*, 204.

any person may deposit his will with surrogate, 202.

regulations respecting wills deposited, 202.

proving of, enures to benefit of all the executors, though they qualify at different times.  
216.

**CONSTRUCTION OF**

general rules of construction, 404.

See titles *Construction*. *Legacy*.

wills of persons dying domiciled abroad must be according to the law of the place where testator died domiciled, 410, 571-2.

proceedings for revocation of probate of, on allegations, 638 to 642.

See title *Allegations*.



**WILL—continued.****CONSTRUCTION OF—continued.**

appeals from sentences of surrogates admitting, or refusing to admit, will to probate or record, 735-6, 744 to 750.

from the decrees of surrogates revoking, or refusing to revoke, the probate of wills on allegations, 750.

**WILL OF PERSONAL PROPERTY.** See title *Will*.

probate of, taken by surrogate, conclusive, unless revoked or reversed on appeal, 48-9, 182-3, 188-9, 212.

operates on all personal estate a man dies possessed of, 49, 50. See title *Will*.

**WILL OF REAL ESTATE.** See title *Will*.

surrogate's record of, proof of can be received in evidence, and is as effectual only as the original will would be if produced and proved, and may, in like manner, be repelled by contrary proof, 48-9.

formerly affected those lands only of which testator was seized at execution of will, 49, 50, 139, 443-4.

in express terms of all testator's real estate, now, by statute, passes all real estate of which testator seized at death, 51, 144.

construction and effect of the statute, 51-2, 144.

statute proceeds upon ground that in a general devise of real estate, testator has reference to real estate as it shall exist at his death, 51.

real estate of a particular description or at a particular place, 51, 144.

devise of, at a particular place, will not pass after-purchased lands at that place, 52, 144.

residue of *my estate* will not pass after-purchased lands, 52.

use of all my real estate, will made before R. S., will not pass lands acquired after R. S., 52.

creditor, when debt charged on land, competent witness to prove, 118-19.

jurisdiction to prove previous to the Revised Statutes, 144.

under Revised Statutes, 145 to 147.

importance of proving since Revised Statutes, 146-7.

proceedings on proving, 146-7. See titles *Will*. *Proving Wills*.

where testator non-inhabitant, 23-4, 191-2.

must be executed and proved in accordance with statutes of this state, 191-2.

proved before surrogate, may be recorded in any other county, 201-2.

record of proofs and examinations taken in relation to, by surrogate, where all subscribing witnesses are dead, insane, or non-residents, 202-3.

**WITNESSES,**

competency of and their examination in proceedings in the Surrogates' Courts, 9, 10.

surrogate has power to issue subpoenas to, and compel attendance of, from any part of the state, 27-8.

to punish disobedience to subpoenas, and witnesses for refusing to testify, 27-8.

may administer oaths to, in matters or causes pending before him, 28-9.

foreign surrogate may issue commission to take testimony of, 29, 30.

surrogate cannot issue attachment against, for disobedience to a subpoena to bring him into court, forcibly, to testify, 37-8. See title *Attachment*.

to will, subscription by testator, must be in the presence of, or testator must acknowledge same to, 65.

acknowledgment must be to both at same time, 79, 80.

subscription in presence of one, and acknowledgment in presence of the other, not allowed, 79.

whether declaration that instrument is his will must be made by testator to, so that they may know the fact that it was so made? 90, 97-8.

statement by one to the other, in the presence of the testator, may be a declaration, 98-9.

only two necessary, 100.

if more than two persons sign, defect in execution as to one, not material, 100.

cannot sign their initials, must sign their names, 101.

one holding and guiding the hand of the other, however, sufficient, 102.

making mark to name already written, sufficient, 102 to 104.

mark alone not sufficient, 105-6.

must sign their names *at the end* of the will, 105-6.

what is a signing *at the end*, 105-6.

and testator must agree, as to the instrument, where it is to end, 106-7.

**WITNESSES—continued.**

- to will usually sign at end of attestation clause, 107-8.
  - signing by, at the end of the attestation clause, sanctioned, 109-10.
  - immediately after last line of will is the proper place to sign, 109.
  - attestation clause may be appended and signed also, 109.
  - duties of an attesting witness to a will, 107 to 109, note (k).
  - each of, must sign his name at the end of the will, at *the request of the testator*, 65-6.
- signing will at the request of testator.
  - not material at what stage of execution request is made, 109-10.
  - testator need not, in terms, request, 110-11.
    - request to, may be implied, as well as expressed, 110-11.
    - reading of will and attesting clause, may amount to a request, 110-11.
  - circumstances attending execution may establish request, 111-12.
  - request to, may be communicated by signs, &c., 111-12.
    - may be made by testator answering question, 111-12.
    - by one witness to the other, 112 to 114.
    - when it may be presumed, 113-14.
  - statute silent as to their signing, in the presence of testator, 113-14.
    - constructive presence abolished, 115.
  - not necessary they should sign in testator's presence, 115-16.
  - where legatee or devisee, &c., and will cannot be proved without his testimony, will not, on that account, invalid, but legacy, &c., void, 119, 161-2.
    - but if he would be entitled to share in the estate, in case will not proved, share saved to him, 119, 161-2, 172.
  - creditor, when debt charged on land, competent, 119, 161-2, 172.
  - effect of evidence of, as to the circumstances attending attestation, 119-20, 172, 175 to 177.
- may be summoned by subpoena to prove will, 157-8.
  - disobedience of such subpoena, how punished, 158.
  - form of, 27, 158.
  - fees of, on proving will, 158.
  - how many must be examined on proving will, 160, 171.
    - in case will contested, 160, 165.
  - competency of, 161-2, 172.
  - oath to, 162, 165.
- aged, sick or infirm, residing in same county with surrogate, testimony how obtained, 165, 171.
- in another county, testimony how obtained, 165 to 168, 171-2.
- residing out of the state, commission may issue to take testimony of, 29, 168.
  - practice on taking out commission, 168 to 171.
  - testimony of, other than subscribing, may be introduced to sustain or resist will, 173-4, 181.
- examination of, on proving wills of non-inhabitants, 193.
- to nuncupative will, 126-7, 202-3.
  - there must be at least two, 203.
  - will embodied in their testimony, 203.
  - probate will contain will, as made up from their statements, 203.
    - there must be two attesting, 65-6.
    - must sign at the end at the request of the testator, 65-5, 100.
    - write opposite their names their places of residence, 65.
  - person signing testator's name, must write his own name as, 65.
  - penalty for non-compliance with either of these requirements \$50, 65.
    - liability to penalty will not excuse from testifying, 65.
    - for testator, must write testator's, not his own name, 73.
- on accounting of executor or administrator, 513 to 515.
  - testimony of foreign, may be taken by commission, 513 to 515.]
- can be punished for contempt, for disobedience to subpoena, 36-7.
- cannot be arrested and brought forcibly into court to testify, 36-7.
- fees of, on proving wills, and by whom paid, 158.

**WRITS AND PROCESS.**

- form of, issuing out of Surrogates' Courts, 29, 30.
- to be in name of the people, 29, 30.
  - except where otherwise provided by law, 29, 30.

# INDEX TO FORMS.

---

## **Account,**

- petition for order to, **xliv.**
- executor's application for final settlement of his account, **xl v.**
- order for issuing citation to attend final settlement of, **xlvi.**
- form of administrator's account, **xl vii.**
  - oath to, **xl vii.**
- rendered by an executor or administrator on a final settlement, **xl viii.**
- order of reference to an auditor, **xl ix.**
  - form of auditor's report, **l.**
- final decrees and decrees for distribution, **li to l viii.**
- annual account current to be rendered by a general guardian of a minor, **l xxx ii, l xxx iii.**

## **ADJOURNMENT.**

- order for, and for the issuing of a further citation in proceedings to prove a will, where there has been an omission to serve any of the parties with the first citation, **iv.**

## **ADMINISTRATION,**

- petition for letters of, **xx ii.**
  - where the party applying is remotely entitled, **xx iv.**
  - order for citation, **xx iv.**
  - citation, **xx iv.**
- consent to have another person joined in, **xx iv.**
- renunciation of, **xx v.**
- bond, on issuing, **xx v.**
- with the will annexed, petition for, **xx vi.**
- notice of application for, by the public administrator in the city of New York, where the value of the property exceeds one hundred dollars, **l xxx viii.**
- notice and affidavit by the public administrator where the property of which he is authorized to take charge does not exceed in value one hundred dollars, **l xxx viii.**

## **ADMINISTRATION WITH THE WILL ANNEXED.**

- petition for, **xx vi.**
- letters of, **xx vii.**

## **ADMINISTRATOR.**

- bond of, **xx v.**
  - affidavit of, justification to, **xx v.**
- oath of, **xx v.**
- certificate of appointment of, **xx v.**
- application by a creditor who has obtained a judgment against, after a trial upon the merits, for an order that execution issue, **xxx vi.**
- application for proof of a debt due from the deceased to an administratrix, **xxx ix.**
  - order on application, **xl.**
  - citation, **xl.**
- form of his account, **xl vii.**
  - oath to, **xl vii.**
- account rendered by, on a final settlement, **xl viii.**
- order to assign bond of, on execution being returned unsatisfied, **l viii.**
- deed made by, of real estate sold by surrogate's order, **lx v.**

**ADMINISTRATOR—continued.**

- petition of a creditor to require an administrator to mortgage, lease or sell the real estate of the deceased for the payment of his debts, lxxviii.
- petition to compel an administrator, one of whose sureties has removed from the state, to give further security, lxxvii.
- order for citation on petition, lxxvii.
- citation, lxxvii.
- order that administration give further sureties, lxxvii.
- order revoking letters of administration, lxxviii.
- application of surety of, to be released from further responsibility, lxxviii.
- order for issuing citation on, lxxviii.
- citation, lxxix.
- order releasing surety, lxxix.
- order that administrator give new surety, lxxix.
- order revoking letters of, on omission to give new surety, lxxix.
- affidavit by the public administrator in the city of New York, constituting him administrator, where the value of the property of which he is authorized to take charge does not exceed in value one hundred dollars, lxxxviii.

**ADMEASUREMENT OF DOWER.**

- petition of widow for, lxxxix.
- notice of presentation of, lxxxix.
- proofs of service and publication of the petition and notice, lxxxix, xc.
- widow's application for appointment of guardian of minor heirs or parties in interest, xci.
- proceedings by heir or owner of land subject to dower, to compel the widow to have her dower admeasured*, xci.
- notice to the widow, xci.
- petition to the surrogate, xcii.
- order for admeasurement of dower, and appointing commissioners, xcii.
- oath of commissioners, xciii.
- order enlarging time for the commissioners to bring in their report, xciii.
- report of commissioners, xciii.
- order confirming report, xciv.

**ADMISSION.**

- of service of citation to prove will, iv.

**AFFIDAVIT,**

- of service of citation to prove will, iii, iv.
- for commission to examine foreign witnesses in proceedings to prove a will, viii.
- of intention to file objections against the granting of letters testamentary, xix.
- of justification to bond of administrator, xxv.
- of creditor, to his claim exhibited to an executor or administrator, xxxviii.
- of service of order on allegations on which to found proceedings to enforce payment of fees, expenses, or costs, lxxiii.
- as to property of a minor over fourteen years of age, on proceedings for appointment of guardian, lxxix.
- of justification of sureties to bonds of guardian, lxxx.
- to accompany application of the public administrator in the city of New York, to the surrogate of the county of New York, where the intestate has left a widow or next of kin in the city of New York, for an order authorizing the public administrator to seize and secure the personal property of the intestate, to prevent waste or embezzlement, lxxxiv.
- upon which to obtain subpoena in proceedings by the public administrator to obtain possession of property of a deceased person of which he is authorized to take charge, and which is concealed or withheld from him, lxxxv.
- to obtain order authorizing public administrator to sell perishing property, lxxxvii.
- by the public administrator in the city of New York constituting him administrator where the property of which he is authorized to take charge does not exceed in value one hundred dollars, lxxxviii.
- of service and publication of the petition and notice in proceedings for admeasurement of dower, lxxxix, xc.

**AGREEMENT.**

- to refer claim, xxxix.

**ALLEGATIONS.**

- against a will, lxx, lxxi.
- order for issuing citation on, lxxii.

**ALLEGATIONS**—*continued.*

against a will, citation on, lxxii.

order revoking probate on, lxxii.

confirming probate and dismissing allegations, lxxiii.

proceedings to enforce payment of fees, expenses and costs on allegations, lxxiii, lxxiv.

See title *Costs*.

**ALLOWANCE,**

of interrogatories to be annexed to commission to examine foreign witnesses in proceedings to prove will, x.

**ANNUITY TABLE,**

for computing present value of widow's right of dower on sale of real estate by surrogate's order, lxvi.

**ANSWER,**

to petition of appeal, xcvi.

**APPEAL,**

from decree admitting will to probate and record, xciv.

bond on appeal, xcv.

petition of appeal, xcv, xcvi.

answer to petition of appeal, xcvi.

costs on appeals from the decrees of surrogates, c.

**APPLICATION,**

to obtain a decree that a person named as executor has renounced, xx.

by collector for directions for sale of personal property, xxiv.

by a creditor who has obtained a judgment against an executor or administrator after trial at law upon the merits for an order that execution issue, xxvi.

for proof of a debt due from the deceased to an administratrix, xxix.

order on application, xl.

citation, xl.

before the expiration of the year, by a person entitled to a legacy, to receive a portion of such legacy as necessary for his support, xlii.

notice to be indorsed on, xliii.

of executor for final settlement of his account, xlv.

of a surety of an administrator to be released from further responsibility, lxxviii.

of the public administrator in the city of New York to the surrogate of the county of New York, where the intestate has left a widow or next of kin in the city of New York, for an order authorizing the public administrator to seize and secure the personal property of the intestate to prevent waste or embezzlement, lxxxiv.

affidavit to accompany application, lxxxiv.

notice of, by the public administrator, for administration where the value of the property exceeds \$100, lxxxviii.

of widow in proceedings for admeasurement of dower, for appointment of guardian of minor heirs, or parties in interest, xci.

**APPROVAL,**

of surrogate to agreement to refer claim, xxxix.

**APPRAISEMENT.**

notice of, xxix.

**APPRAISERS.**

order for appointment of, xxix.

notice of appraisement, xxix.

oaths of, xxx.

**ATTACHMENT.**

order for, against a witness, for disobedience to a subpoena to prove will, vi.

for disobedience to a subpoena to prove will, vii.

order for, to compel return of an inventory, xxxiii.

to compel return of an inventory, xxxiii.

indorsement on, xxxiv.

to enforce payment of fees, expenses or costs on allegations, lxxiv.

indorsement on, lxxv.

**AUDITOR.**

order of reference to, xlix.

report of, l.



**Bond,**

of administrator, xxv.

affidavit of justification to, xxv.

of collector, xxiv.

to refund legacy, where the same is directed to be paid before the expiration of the year, xlii.

to return portion of a legacy advanced to a legatee as necessary for his support, xliii.

order to assign bond of administrator on execution being returned unsatisfied, lviii.

on order to mortgage, in proceedings to mortgage real estate for payment of debts, lxi.

on order for sale in same proceedings, lxiii.

of guardian, lxxx.

affidavit of justification of surety, lxxx.

to prevent issuing of warrant in proceedings by the public administrator to obtain possession of the property of a deceased person of which he is authorized to take charge,

and which is concealed or withheld from him, lxxxv, lxxxvii.

on appeal from decree admitting will to probate and record, xcv.

**Certificate.**

at the end of record of will, xvi.

on will, xvii.

of appointment of administrator or executor, xxxv.

of decree for payment of money, lviii.

**CITATION.**

order for issuing, to prove will, iii.

to prove will, iii.

proof of service of, iii, iv.

admission of service of, iv.

proof of publication of, iv.

order for adjournment, and for issuing further citation in proceeding to prove will, where there has been an omission to serve any of the parties with the first citation, iv.

order for issuing, on petition for letters of administration, where the party applying is remotely entitled, xxiv.

on petition for letters of administration, where party applying is remotely entitled, xxiv.

order for issuing, on application by a creditor who has obtained a judgment against an executor or administrator after a trial upon the merits, xxxvii.

to account on the order, xxxvii.

order for issuing, on application for proof of a debt due from the deceased to an administratrix, xl.

for proof of a debt due from the deceased to an administratrix, xl.

order for, on petition of a creditor of the deceased for a decree for payment of his debt, xli.

on petition of a creditor for a decree for payment of his debt, xli.

order for, to account, xlv.

to account, xlv.

order for issuing, to attend final settlement of account of executor, xlvi.

to attend final settlement of account of executor, xlvi.

order for issuing, on allegations against a will, lxxii.

citation on allegations against a will, lxxii.

order for, on petition or complaint, for the removal of an executor, or to compel him to give security, lxxv.

citation for removal of an executor, or to compel him to give security, lxxv.

**CLAIMS.**

order to advertise for, xxxviii.

notice to creditors to exhibit, xxxviii.

affidavit of creditor to his claim, xxxviii.

agreement to refer claim, xxxix.

decree for payment of a claim due from the deceased, xli.

claim of executors, and oath, li.

**COLLECTION.**

petition for letters of, xxviii.

order for issuing letters of, xxviii.

bond of collector, xxxviii.

**COLLECTOR.**

petition for letters of collection, xxviii.  
 order for issuing letters of collection, xxviii.  
 bond of, xxviii.  
 letters to, xxix.  
 petition by, for directions for sale of personal property, xxxv.  
 order directing sale of personal property by, xxxv, xxxvi.

**COMMISSION,**

to examine foreign witnesses in proceedings to prove will, viii, ix.  
 affidavit for, viii.  
 notice of application for, viii.  
 order for, ix.  
 form of, ix.  
 interrogatories to be annexed to, for the examination of a subscribing witness, ix, x.  
 surrogate's allowance of, x.

**COMMISSIONERS TO ADMEASURE DOWER.**

order appointing, xcii.  
 oath of, xciii.  
 order enlarging time to bring in report, xciii.  
 report of, xciii.  
 order confirming report of, xciv.

**COMPLAINT,**

or petition for the removal of an executor, or to compel him to give security, lxxv.

**CONSENT,**

to be appointed and to serve as special guardian in proceedings to prove will, ii.  
 and waiver of special guardian in proceedings to prove will in a case not requiring a citation to be issued, v.  
 of husband, that letters testamentary issue to his wife, xviii.  
 to have another person joined in administration, xxiv.  
 of widow, to accept a sum in gross, and her release of dower on a sale of real estate by surrogate's order, lxvii.  
 of proposed guardian to be appointed guardian of a minor over fourteen years of age, lxxix.

**COSTS.**

proceedings to enforce payment of fees and expenses, or costs on allegations against a will, lxxiii, lxxiv.  
 affidavit of service of order for payment, lxxiii.  
 power of attorney indorsed on order, lxxiv.  
 order for attachment, lxxiv.  
 attachment, lxxiv.  
 fee bill of, on appeals from the decrees of Surrogate's to the Supreme Court, c.

**CREDITOR,**

who has obtained a judgment against executor or administrator after a trial upon the merits, application by, for an order that execution issue, xxxvi.  
 order to show cause, xxxvii.  
 that citation issue, xxxvii.  
 citation, xxxvii.  
 order that execution issue, xxxvii.  
 notice to, to exhibit claim to executor or administrator, xxxviii.  
 affidavit of, to his claim, xxxviii.  
 agreement to refer claim, xxxix.  
 petition of, for a decree for payment of his debt, xl.  
 petition of, for an order to require an administrator to mortgage, lease or sell the real estate of a deceased person for the payment of his debts, lxviii.  
 order that administrator show cause, lxix.  
 that persons interested show cause, lxix.  
 for sale, on creditor's application, lxix.

**CUSTODY OF WILL.**

depositions proving, xi.

**Decree,**

on objections against granting letters testamentary, xx.  
 dismissing objections against granting letters testamentary, xx.  
 in proceedings to obtain renunciation of executor that he has renounced, xxi.

**DECREE—continued.**

- for payment of a claim due a creditor of the deceased, xli.
- final, on accounting, and for distribution, li to lviii.
- for distribution, liii.
- certificate of, for payment of money, lviii.
- superseding letters testamentary of an executor for not giving security, lxxvi.
- admitting will to probate and record, xiii.
- appeal from, xciv.
- bond on appeal, xcv.
- petition of appeal, xcv, xcvi.

**DEED,**

- made by administrator, of real estate sold by surrogate's order, lxv.

**DEPOSITION,**

- of witnesses on proving will, xi to xiii.
- proof of custody of will, xi.
- of subscribing witnesses on proving will, xii, xiii.
- of witness proving handwriting of deceased, or non-resident subscribing witness, in proceedings on proving will, xii, xiii.
- joint, of two or more subscribing witnesses in proceedings to prove will, xiii.

**DISTRIBUTION,**

- decrees for, li, liii.
- on sales of real estate by surrogate's order.*
- order for publication of notice of distribution, lxvii.
- notice of distribution, lxvii.

**DOWER.**

- notice to widow as to satisfaction of, on sale of real estate by surrogate's order, lxv.
- release of, by widow, and consent to accept a sum in gross on sale of real estate by surrogate's order, lxvii.

**ADMEASUREMENT OF,**

- petition of widow for, lxxxix.
- notice of presentation, lxxxix.
- proofs of service and publication of the petition and notice, lxxxix, xc.
- widow's application for appointment of guardian of minor heirs or parties in interest, xci.
- proceedings by heir or owner of land subject to dower to compel widow to have her dower admeasured, xci, xcii.
- notice to the widow, xci.
- petition to the surrogate, xcii.
- order for admeasurement of, and appointing commissioners, xcii.
- oath of commissioners, xciii.
- order enlarging time for commissioners to bring in their report, xciii.
- report of commissioners, xciii.
- order confirming report, xciv.

**Entry,**

- of issuing subpoena to prove will, vi.
- of appearances and order for adjournment, and for the issuing of further citation in proceedings to prove a will, where there has been an omission to serve any of the parties with the first citation, iv.
- of issuing subpoena on an accounting, xlix.
- of demands against the deceased in the surrogate's minutes in proceedings to mortgage, lease or sell real estate, lxi.
- of distribution on sale of real estate by surrogate's order, lxvii.

**EXAMINATION.**

- request for examination of witnesses in proceedings to prove will, vii.
- order for the examination of sick or disabled witnesses residing in another county of this state in proceedings to prove will, vii.
- notice of the examination, viii.
- commission to take examination of foreign witnesses in proceedings on proving will, viii, ix.
- interrogatories to be annexed to, ix, x.

**EXECUTION.**

- order for, upon a judgment against an executor or administrator after a trial upon the merits, xxxvii.
- see application and preliminary proceedings, xxxvi, xxxvii.
- on return of unsatisfied, order to assign administrator's bond, lviii.

**EXECUTOR.**

- order that he appear to attend inquiry on objections against granting letters testamen-  
tary, xix.
- renunciation of executorship, xx.
- application and proceedings to obtain a decree that a person named as executor has  
renounced, xx, xxi.
- order for issuing summons, xxi.
- summons, xxi.
- decree that executor has renounced, xxi.
- oath of, xxii.
- certificate of appointment of, xxxv.
- application by a creditor who has obtained a judgment against, after a trial at law upon  
the merits, for an order that execution issue, xxxvi.
- petition of, for final settlement of his account, xlv.
- account rendered by, on a final settlement, xlviii.
- claim of, and oath, li.
- his renunciation of a provision made by the will for his specific compensation, li.
- petition on complaint for the removal of, or to compel him to give security, lxxv.
- order for citation on petition, lxxv.
- citation, lxxv.
- order enjoining executor, lxxvi.
- order requiring security, lxxvi.
- decree superseding letters of, for not giving security, lxxvi.

**EXEMPLIFICATION.**

- record of foreign will on, xviii.

**Fees,**

- of surrogates, xcvi.
- fee bill of costs on appeals from decrees of surrogates, c.

**FOREIGN WILL**

- record of, on an exemplification, xviii.

**Guardian.**

- special, in proceedings to prove will, ii.
- consent to be appointed and to serve as, ii.
- order appointing, ii.
- consent of, to immediate proof and manner of service of citation in proceedings to  
prove will, v.
- in proceedings to mortgage, lease or sell real estate of the deceased for the payment  
of his debts—notice of intention to apply for appointment of guardian of minors  
interested, lx.
- order appointing special guardian in same proceedings, lx.
- in proceedings by widow for admeasurement of dower.*
- widow's application for appointment of guardian of minor heirs or parties in inte-  
rest, xci.
- order for appointment of special guardian, xci.

**GENERAL.**

- proceedings for appointment of a general guardian of a minor over fourteen years of age,*  
lxxix, lxxx.
- petition, lxxix.
- consent of proposed guardian, lxxix.
- affidavit as to property, lxxix.
- bond of guardian, lxxx.
- affidavit of justification of surety, lxxx.
- order for issuing letters of guardianship, lxxx.
- letters of guardianship of minor over fourteen years of age, lxxx.
- proceedings for the appointment of a general guardian of a minor under the age of fourteen*  
*years, lxxxii, lxxxiii.*
- petition, lxxxii.
- consent of person proposed for appointment, lxxxii.
- order assigning a day for the hearing of the application, and directing as to the  
service of notice on the relatives of the minor, lxxxii.
- notice of hearing, lxxxii.
- bond of guardian, lxxxii.
- order for issuing letters of guardianship, lxxxii.
- letters of guardianship of minor under the age of fourteen years, lxxxii.
- annual inventory and account current to be rendered by, lxxxii, lxxxiii.

**Husband.**

consent of, that letters testamentary issue to his wife, xviii.

**Injunction.**

order enjoining an executor in proceedings to remove him or compel him to give security, lxxvi.

**INTERROGATORIES,**

to be annexed to commission for the examination of a subscribing witness to a will, ix, x.

**INVENTORY.**

form of, complete, xxx.

oath to, xxxi.

petition for further time to file, xxxii.

order for further time to return, xxxii.

petition to compel the return of, xxxii.

order for summons to compel return of, xxxiii.

summons to compel return of, xxxiii.

order for attachment for not returning, xxxiii.

attachment for not returning, xxxiii.

indorsement on attachment, xxxiv.

order for revocation of letters for not returning, xxxiv.

revocation of letters for not returning, xxxiv.

annual, to be returned by a general guardian, lxxxii, lxxxiii.

**Lease**

of real estate of the deceased for the payment of his debts, proceedings to obtain surrogate's order to lease, lix to lxx.

by executor or administrator, lix to lxviii.

by creditor, lxviii to lxx.

See title *Real Estate of the Deceased*.

**LEGACY.**

bond to refund where the same is directed to be paid before the expiration of the year, xlii.

application before the expiration of the year by a person entitled to a legacy, to receive a portion of such legacy as necessary for his support, xlii.

bond to return portion advanced, xliii.

order allowing payment of portion, xliii.

**LETTERS OF ADMINISTRATION.**

petition for, xxii.

where the party applying is remotely entitled, xxiv.

order for issuing citation on, xxiv.

consent to have another person joined in, xxiv.

renunciation of, xxv.

bond on issuing, xxv.

affidavit of justification to, xxv.

order for issuing, xxvi.

form of, xxvi.

with the will annexed, xxvi, xxvii.

petition for, xxvi.

form of letters, xxvii.

order revoking where an administrator, one of whose sureties has removed from the state. omits to give further security, lxxviii.

order revoking where an administrator, one of whose sureties has been released, omits to give new surety, lxxlx.

**LETTERS OF ADMINISTRATION WITH THE WILL ANNEXED.**

petition for, xxvi.

form of, xxvii.

**LETTERS OF COLLECTION.**

petition for, xxviii.

order for issuing, xxviii.

**LETTERS OF GUARDIANSHIP,**

form of, where minor over fourteen years of age, lxxx.



**LETTERS TESTAMENTARY.**

consent of husband that letters testamentary issue to his wife, xviii.  
 affidavit of intention to file objections against the granting of, xix.  
 objections against the granting of, xix.  
     order that executor appear to attend inquiry on, xix.  
         that objector proceed with inquiry, xix.  
 decree on, xx.  
     dismissing, xx.  
 form of, xxii.  
 decree superseding for not giving security, lxxvi.

**Minor,**

*interested as heir or next of kin in proceedings to prove will*  
     consent of proper person to be appointed and to serve as special guardian of, ii.  
     order appointing, ii.  
     waiver and consent of special guardian of, in proceedings for immediate proof, v.  
*interested as heir in proceedings before surrogate to mortgage, lease, or sell the real estate of  
 a deceased person for the payment of his debts.*  
     notice of intention to apply for appointment of special guardian of, lx.  
     order appointing special guardian of, lx.  
*of the age of fourteen years, proceedings for the appointment of general guardian of,*  
 lxxix to lxxx.  
     petition, lxxix.  
     consent of person proposed for appointment, lxxix.  
     affidavit as to property, lxxix.  
     bond of guardian, lxxx.  
         affidavit of justification of surety, lxxx.  
     order that letters of guardianship issue, lxxx.  
     letters of guardianship of, lxxx.  
*under the age of fourteen years, proceedings for the appointment of a general guardian of,*  
 lxxi to lxxiii.  
     petition, lxxxi.  
     consent of person proposed for appointment, lxxxi.  
     order assigning a day for the hearing of the application, and directing as to the  
         service of notice on the relatives of the minor, lxxxi.  
     notice of hearing, lxxxii.  
     bond of guardian, lxxxii.  
     order that letters of guardianship issue, lxxxii.  
     letters of guardianship of, lxxxii.  
 annual inventory and account current to be rendered by guardian of, lxxxii. lxxxiii.  
 widow's application for appointment of guardian of minor heirs or parties in interest in  
 proceedings for admeasurement of dower, xci.

**MINUTE**

of issuing subpoena to prove will, vi.

**MORTGAGE,**

of real estate of the deceased for the payment of his debts, proceedings to obtain surro-  
 gate's order to mortgage, lix to lxx.  
     by executor or administrator, lix to lxvii.  
     by creditor, lxviii to lxx.  
         See title *Real Estate of the Deceased*.

**Notice,**

of examination of sick or disabled witness, residing in another county, in proceedings  
 to prove a will, viii.  
 of appraisement, xxix.  
 to creditors to exhibit their claims, xxxviii.  
 to be indorsed on application before the expiration of the year by a person entitled to  
 a legacy, to receive a portion of such legacy as necessary for his support, xlii.  
 in proceedings to mortgage, lease or sell real estate of the deceased, notice of intention  
 to apply for the appointment of a special guardian of infant's interested, lx.  
 to widow, as to satisfaction of her dower on sale of real estate by surrogate's order, lxv.  
 of distribution on sale of real estate by surrogate's order, lxvii.  
 of hearing, on application for the appointment of a guardian of a minor under fourteen  
 years of age, lxxxii.  
 of application by the public administrator for administration, where the value of the  
 property exceeds one hundred dollars, lxxxviii.

**NOTICE**—*continued.*

and affidavit by the public administrator, where the property of which he is authorized to take charge does not exceed in value one hundred dollars, lxxxviii.  
 of presentation of petition by widow for admeasurement of her dower, lxxxix.  
     proofs of service, and publication of, lxxxix, xc.  
 by heir or owner of land subject to dower, to compel widow to have her dower admeasured, xci.

**Oath**

of executor, xxii.  
 of administrator, xxv.  
 of appraisers, xxx.  
 to inventory, xxxi.  
 to administrator's account, xlvii.  
 of executor to his claim, li.  
 of commissioners to admeasure dower, xcii.

**OBJECTIONS.**

affidavit of intention to file objections against the granting of letters testamentary, xix.  
 against the granting of letters testamentary, xix.  
 order that executor appear to attend inquiry on, xix.  
     that objector proceed with inquiry, xix.  
 decree upon, xx.  
     dismissing, xx.

**ORDER.**

appointing special order in proceedings to prove will, ii.  
 for issuing citation in proceedings to prove will, iii.  
 of adjournment, and for issuing further citation in proceedings to prove will, where there has been an omission to serve any of the parties with the first citation, iv.  
 for immediate proof of a will in a case not requiring a citation to be issued, vi.  
 for the examination of sick or disabled witnesses residing in another county of this state, in proceedings to prove will, vii.  
 for commission, to examine foreign witnesses, in proceedings to prove will, ix.  
 admitting will to probate and record, xiii.  
 setting aside a will, xviii.  
 that executor appear to attend inquiry on objections against granting letters testamentary, xix.  
 that objector proceed with inquiry on objections against granting letters testamentary, xix.  
 on objections against granting letters testamentary, xix.  
 dismissing objections against granting letters testamentary, xix.  
 for issuing summons in proceedings to obtain a decree that a person named as executor has renounced, xxi.  
     decree that executor has renounced, xxi.  
 for issuing citation on application for letters of administration, where party applying is remotely entitled, xxiv.  
 for issuing letters of administration, xxvi.  
 for issuing letters of collection, xxviii.  
 for appointment of appraisers, xxix.  
 for further time to return inventory, xxxii.  
 for issuing summons to compel the return of an inventory, xxxiii.  
 for attachment for not returning inventory, xxxiii.  
 for issuing revocation of letters for not returning inventory, xxxiv.  
 directing sale of personal property by collector, xxxvi.  
 to show cause, on application of creditor, who has obtained judgment after a trial upon the merits, for an order that execution issue, xxxvii.  
 that a citation issue on same application, xxxvii.  
 that an execution issue upon a judgment against an executor or administrator, after a trial upon the merits, xxxvii.  
 to advertise for claims, xxxviii.  
 for citation on petition for proof of a debt due from deceased to an administratrix, xl.  
 for citation on a petition of a creditor for a decree for payment of his debt, xli.  
 allowing payment of a portion of a legacy to a legatee as necessary for his support, xliii.  
 to account, petition for, xlv.  
 to account, xlv.  
 for a citation to account, xlv.

ORDER—*continued.*

- for issuing citation to attend final settlement of account of executor, xlvi.
- of reference to an auditor, xlix.
- to assign administrator's bond on execution being returned unsatisfied, lviii.
- appointing special guardian in proceedings by executor or administrator to mortgage, lease or sell real estate of the deceased for payment of debts, lx.
- in proceedings on the application of the executor or administrator to mortgage, lease or sell the real estate of the deceased for the payment of his debts.*
- order appointing special guardian of minors interested, lx.
- that parties in interest show cause, lxi.
- bond on order to mortgage, lxi.
- order to mortgage, lxii.
- bond on order for sale, lxiii.
- order for sale, lxiii.
- order confirming sale, lxiv.
- order for publication of notice of distribution, lxvii.
- in proceedings by a creditor to compel an administrator to mortgage, lease or sell the real estate of the deceased for the payment of his debts.*
- order that the administrator show cause, lxix.
- order that persons interested show cause, lxix.
- order for sale on creditor's application, lxix.
- on allegations against a will*
- order for issuing citation, lxxii.
- order revoking probate, lxxii.
- order confirming probate, and dismissing allegations, lxxiii.
- order for attachment to enforce payment of fees, expenses or costs, lxxiv.
- on petition or complaint for the removal of an executor, or to compel him to give security.*
- order for citation on, lxxv.
- citation, lxxv.
- order enjoining executor, lxxvi.
- order requiring security, lxxvi.
- order superseding letters for not giving security, lxxvi.
- on petition to compel an administrator, one of whose sureties has removed from the state, to give further security, lxxvii.*
- order for citation, lxxvii.
- citation, lxxvii.
- order that administrator give further sureties, lxxvii.
- order revoking letters of administration, lxxviii.
- on application of a surety of an administrator to be released from further responsibility, lxxviii.*
- order for issuing citation, lxxviii.
- citation, lxxviii.
- order releasing surety, lxxix.
- order that administrator give new sureties, lxxix.
- order revoking letters of administration, because administrator omits to give new sureties, lxxix.
- that letters of guardianship of a minor, over fourteen years of age, issue, lxxx.
- in proceedings for appointment of a guardian of a minor under the age of fourteen years, lxxxi.*
- order assigning a day for the hearing of the application, and directing as to the service of notice on the relatives of the minor, lxxxi.
- order for issuing letters of guardianship, lxxxii.
- in proceedings by the public administrator in the city of New York, to obtain authority to seize and secure personal property of intestate, to prevent waste or embezzlement, lxxxiv.*
- application for order, lxxxiv.
- affidavit to accompany, lxxxiv.
- order authorizing public administrator to seize and secure goods, lxxxv.
- in proceedings by the public administrator in the city of New York, to obtain possession of property of a deceased person of which he is authorized to take charge, and which is concealed or withheld from him, lxxxv.*
- affidavit upon which to obtain subpoena, lxxxv.
- order for issuing subpoena, lxxxvi.
- subpoena, lxxxvi.
- warrant to search for and seize effects, lxxxvi.
- authorizing public administrator to sell perishing property, lxxxviii.

**ORDER—continued.**

*in proceedings by public administrator in the city of New York, to obtain property of deceased person, &c.—continued.*

for appointment of special guardian of minor heirs or parties in interest in proceedings for admeasurement of dower, xci.

for admeasurement of dower, and appointing commissioners, xcii.

enlarging time for commissioners to admeasure dower to bring in their report, xciii.

order confirming report of commissioners to admeasure dower, xciv.

appeal from, xciv.

bond on appeal, xcv.

petition of appeal, xcv, xcvi.

answer to petition of appeal, xcvi.

**Petition,**

to prove will, i.

jurat to, ii.

to prove will in a case not requiring a citation to be issued, v.

to obtain a decree that a person named as executor has renounced, xx.

for letters of administration, xxii.

where the party applying is remotely entitled, xxiv.

for letters of administration with the will annexed, xxvi.

for letters of collection, xxviii.

for further time to fill inventory, xxxii.

to compel the return of an inventory, xxxii.

by collector, for directions for sale of personal property, xxxv.

by a creditor, who has obtained a judgment against an executor or administrator, after a trial at law upon the merits, for an order that execution issue, xxxvi.

for proof of a debt due from the deceased to an administratrix, xxxix.

of a creditor, for a decree for payment of his debt, xl.

before the expiration of a year, by a person entitled to a legacy, to receive a portion of such legacy as necessary for his support, xlii.

notice to be indorsed on, xliii.

for order to account, xliv.

of executor for final settlement of his account, xlv.

by executor or administrator for authority to mortgage, lease, or sell real estate of deceased for payment of his debts, lix.

of a creditor, to require an administrator to mortgage, lease, or sell the real estate of the deceased for the payment of his debts, lxviii.

on complaint for the removal of an executor, or to compel him to give security, lxxv.

to compel an administrator, one of whose sureties has removed from the state, to give further security, lxxvii.

of a surety of an administrator to be released from further responsibility, lxxviii.

for appointment of a guardian of a minor over fourteen years of age, lxxix.

for appointment of a guardian of a minor under fourteen years of age, lxxxi.

of the public administrator in the city of New York, to the surrogate of the county of New York, where the intestate has left a widow or next of kin in the city of New York, for an order authorizing the public administrator to seize and secure the personal property of the intestate, to prevent waste or embezzlement, lxxxv.

affidavit to accompany application, lxxxix.

of widow, for admeasurement of dower, lxxxix.

of heir or owner of land subject to dower, to have widow's dower admeasured, xcii.

of appeal from decree, admitting will to probate and record, xcv, xcvi.

answer to petition of appeal, xcvi.

**PROBATE.**

order admitting will to, xiii.

form of, xvii.

order revoking, on allegations against a will, lxxii.

order confirming probate, and dismissing allegations, lxxiii.

appeal from, xciv.

bond on appeal, xcv.

petition of appeal, xcv, xcvi.

answer to petition of appeal, xcvi.

public administrator in the city of New York, application of, to the surrogate of the county of New York, where the intestate has left a widow or next of kin in the city of New York, for an order authorizing the public administrator to seize and secure the personal property of the intestate, to prevent waste or embezzlement, lxxxiv.

**PROBATE—continued.**

- affidavit to accompany application, lxxxiv.
- order authorizing public administrator to seize and secure goods, lxxxv.
- proceedings by, to obtain possession of property of a deceased person of which he is authorized to take charge, and which is concealed or withheld from him, lxxxv.
- order for issuing subpoena, lxxxvi.
- subpoena, lxxxvi.
- warrant to sheriff to search for and seize effects, lxxxvi.
- bond to prevent issuing of the warrant, lxxxvii.
- affidavit to order, authorizing him to sell perishing property, lxxxvii.
- order for sale, lxxxviii.
- notice of application by, for administration, where the value of the property exceeds one hundred dollars, lxxxviii.
- notice and affidavit by, where the property of which he is authorized to take charge does not exceed in value one hundred dollars, lxxxviii.
- affidavit constituting him administrator, lxxxviii.

**Real estate of the deceased.**

- PROCEEDINGS BY THE EXECUTOR OR ADMINISTRATOR TO MORTGAGE, LEASE, OR SELL, FOR THE PAYMENT OF THE DEBTS OF THE DECEASED, lix to lxviii.
- petition for authority to mortgage, lease, or sell, lix.
- notice of intention to apply for appointment of special guardian of minors interested, lx.
- order appointing special guardian, lx.
- order that persons interested show cause, lxi.
- bond on order to mortgage, lxi.
- order to mortgage, lxii.
- bond on order for sale, lxiii.
- order for sale lxiii.
- report of sale, lxiii.
- order confirming sale, lxiv.
- administrator's deed, lxv.
- notice to widow as to satisfaction of her dower, lxv.
- annuity table, lxvi.
- widow's release of dower, and consent to accept a sum in gross, lxvii.
- order for publication of notice of distribution, lxvii.
- notice of distribution, lxvii.
- entry of distribution in the surrogate's minutes, lxvii.
- PROCEEDINGS BY A CREDITOR TO COMPEL AN ADMINISTRATOR TO MORTGAGE, LEASE, OR SELL THE REAL ESTATE OF THE DECEASED FOR THE PAYMENT OF HIS DEBTS.
- petition of creditor, lxviii.
- order that administrator show cause, lxix.
- order that persons interested show cause, lxix.
- order for sale on creditor's application, lxix.

**RECORD.**

- order admitting will to, xiii.
- of foreign will on an exemplification, xviii.

**REFERENCE.**

- agreement for, on claim exhibited to an executor or administrator, xxxix.
- approval of surrogate, xxxix.
- order of reference to an auditor, xlix.
- form of auditor's report, l.

**RENUNCIATION.**

- of executorship, xx.
- application and proceedings to obtain a decree that a person named as executor has renounced, xx, xxi.
- order for issuing summons, xxi.
- summons, xxi.
- decree that executor has renounced, xxi.
- of administration, xxv.
- by executor, of a provision made by a will for his specific compensation, li.

**REPORT.**

- of auditor, l.
- of sale, in proceedings for sale of real estate for payment of debts, lxiii.
- of commissioners, to admeasure dower, xciii.
- order confirming, xciv.



## INDEX TO FORMS.

of witnesses on proving will, vii.

returning inventory, xxxiv.

return on allegations, lxxii.

return of an executor for not giving security, lxxvi.

return, where an administrator, one of whose sureties has removed  
to give further security, lxxviii.

return, where an administrator, one of whose sureties has been released, omits to give new

return of deceased by collector, application for direction for, xxxv.

return of the deceased for the payment of his debts, proceedings to obtain  
to sell, lix to lxx.

return of administrator, lix to lxxviii.

lxxviii to lxx.

See title *Real Estate of the Deceased*.

return by public administrator, order authorizing, lxxxviii.

return, and to serve as, in proceedings to prove will, ii.

, ii.

return of proof and waiver of service of citation in proceedings to prove

mortgage, lease, or sell real estate of the deceased for the payment  
of intention to apply for appointment of special guardian of  
x.

return of special guardian in same proceedings, lx.

return for admeasurement of dower.

return for appointment of guardian of minor heirs or parties in inter-

ment of special guardian, xci.

return to prove will, vi.

will, vi.

return for disobedience to, vi.

disobedience to, vii.

returning, xlix.

x.

return of proceedings by the public administrator to obtain possession of  
deceased person of which he is authorized to take charge, and which is  
held from him, lxxxvi.

return of public administrator to obtain possession of property of a deceased  
is authorized to take charge, and which is concealed or withheld

return in a decree that an executor has renounced, xxi.

return of an inventory, xxxiii.

return of inventory, xxxiii.

return of an administrator, one of whose sureties has removed from the  
return security, lxxvii, lxxviii.

return of application of, to be relieved from further responsibility, lxxviii.

return of citation on application, lxxviii.

lx.

return of surety, lxxix.

return of and seize effects, in proceedings by the public administrator in  
order to obtain possession of property concealed or withheld from

return of citation of her dower, on sale of real estate by surrogate's order, lxxv.  
and consent to accept a sum in gross on sale of real estate by sur-

return of admeasurement of dower, lxxxix.

## INDEX TO FORMS.

### WIDOW—continued.

- petition of, notice of presentation of, lxxxix.
- proofs of service and publication of the petition and notice, lxxxix.
- application of, for appointment of guardian of minor heirs or parties in int  
proceedings by heir or owner of land subject to dower, to compel her to have  
measured, xci.
- notice to the widow, xci.
- petition to the surrogate, xcii.
- order for admeasurement of her dower, and appointing commissioners, xci
- oath of commissioners, xciii.
- order enlarging time for the commissioners to bring in their report, x
- report of commissioners, xciii.
- order confirming report, xciv.

### WIFE—consent of husband that letters testamentary issue to, xviii.

### WILL,

- petition to prove, i.
- jurat to, ii.
- consent to be appointed special guardian in proceedings to prove, ii.
- order appointing special guardian in proceedings to prove, ii.
- order for issuing citation in proceedings to prove, iii.
- citation to prove, iii.
- proofs of service of, iii, iv.
- admission of service of, iv.
- proofs of publication of, iv.
- entry of appearances, and order of adjournment, and for issuing further  
there has been an omission to serve any of the parties with the first  
petition to prove, in a case not requiring a citation to be issued, v.
- order for immediate proof of, in a case not requiring a citation to be issued
- minute of issuing subpoena in proceedings to prove, vi.
- subpoena to prove will, vi.
- order that attachment issue for disobedience of, vi.
- attachment for disobedience of, vii.
- request for examination of witnesses in proceedings to prove, vii.
- order for the examination of sick or disabled witnesses, residing in anot  
this state, in proceedings to prove, vii.
- notice of the examination, viii.
- commission to examine foreign witnesses in proceedings to prove, viii, ix
- affidavit for, viii.
- notice of application for, viii.
- order for, ix.
- form of commission, ix.
- interrogatories to be annexed to, ix.
- allowance of, x.
- depositions of witnesses on proving, xi, xii, xiii.
- proof of custody of, xi.
- depositions of subscribing witnesses to, xii, xiii.
- witness proving handwriting of deceased or non-resident subscribin
- ~~will~~
- joint, of two or more subscribing witnesses to, xiii.
- order admitting to probate and record, xiii.
- complete record of, xiv.
- form of, xiv.
- certificate at the end of record of, xvi.
- certificate on, xvii.
- form of probate of, xvii.
- order setting aside, xviii.
- foreign, record of, on an exemplification, xviii.
- renunciation by an executor of a provision made by, for his specific comp
- allegations against a will, lxx, lxxi.
- order for issuing citation on, lxxii.
- citation on, lxxii.
- order revoking probate on, lxxii.
- order confirming probate and dismissing allegations, lxxiii.
- proceedings to compel payment of costs, fees and expenses on alle
- lxxiv. See title Costs.



100

1

2

3

4

5

6

7

8

9

10

11











